Date & Time Filed: Nov 4 2003 4:56:19:653PM File Number: SAT-AMD-20031104-00324

FCC APPLICATION FOR SPACE AND EARTH STATION:MOD OR AMD – MAIN FORM	FCC Use Only
FCC 312 MAIN FORM FOR OFFICIAL USE ONLY	

## APPLICANT INFORMATION

Enter a description of this application to identify it on the main menu: Conforming Amendment – Orbital Debris Mitigation

1–8. Legal Name of Ap	plicant		
Name:	Northrop Grumman Space & Mission Systems Corporation	Phone Number:	703–741–7717
DBA Name:		Fax Number:	703–741–7792
Street:	1000 Wilson Blvd.	E-Mail:	peter.hadinger@ngc.com
	Suite 2300		
City:	Arlington	State:	VA
Country:	USA	Zipcode:	22209 –
Attention:	Peter Hadinger		

9–16. Name of Contact Representative (If other than applicant)

Name: Stephen D. Baruch/David S. Keir **Phone Number:** 202–429–8970

**Company:** Leventhal Senter & Lerman PLLC **Fax Number:** 202–293–7783

Street: 2000 K Street, N.W. E-Mail: sbaruch@lsl-law.com

City: Washington State: DC

Country: USA Zipcode: 20006–

Contact Member Relationship: Legal Counsel

Title:

a1. Earth Station

a2. Space Station

#### **CLASSIFICATION OF FILING**

17. Choose the button next to the classification that applies to this filing for both questions a. and b. Choose only one (N/A) b1. Application for License of New Station (N/A) b2. Application for Registration of New Domestic Receive—Only Station

for 17a and only one for 17b. (N/A) b3. Amendment to a Pending Application

(N/A) b4. Modification of License or Registration

b5. Assignment of License or Registration

b6. Transfer of Control of License or Registration

(N/A) b7. Notification of Minor Modification

(N/A) b8. Application for License of New Receive-Only Station Using Non-U.S. Licensed Satellite

(N/A) b9. Letter of Intent to Use Non-U.S. Licensed Satellite to Provide Service in the United States

(N/A) b10. Other (Please specify)

17c. Is a fee submitted with this applicati	on?			
o If Yes, complete and attach FCC Form	159. If No, indicate reason for fee exemption (see	ee 47 C.F.R.Section 1.1114).		
Governmental Entity Noncomme	rcial educational licensee			
Other(please explain): Conforming A	mendment pursuant to 47 C.F.R. Sec. 1.1114(a)			
_				
17d.				
Fee Classification A CAW – Space Station Amendment(Non–Geostationary)				
18. If this filing is in reference to an existing station, enter:	19. If this filing is an amendment to a pending a modification please enter only the file number:	•		
(a) Call sign of station: S2254	(a) Date pending application was filed:	(b) File number:		
32234	09/04/1997	SATLOA1997090400080		

# TYPE OF SERVICE

20. NATURE OF SERVICE: This filing is for an authorization to provid	e or use the following type(s) of service(s): Select all that apply:
a. Fixed Satellite	
b. Mobile Satellite	
c. Radiodetermination Satellite	
d. Earth Exploration Satellite	
e. Direct to Home Fixed Satellite	
f. Digital Audio Radio Service	
g. Other (please specify)	
_	
21. STATUS: Choose the button next to the applicable status. Choose	22. If earth station applicant, check all that apply.
only one.	Using U.S. licensed satellites
Common Carrier Non–Common Carrier	☐ Using Non–U.S. licensed satellites
23. If applicant is providing INTERNATIONAL COMMON CARRIER affacilities:	service, see instructions regarding Sec. 214 filings. Choose one. Are these
O Connected to a Public Switched Network O Not connected to a	Public Switched Network    N/A
24. FREQUENCY BAND(S): Place an 'X' in the box(es) next to all a	applicable frequency band(s).
a. C–Band (4/6 GHz) b. Ku–Band (12/14 GHz)	
c.Other (Please specify upper and lower frequencies in MHz.)	
Frequency Lower: 17700 Frequency Upper: 20200	(Please specify additional frequencies in an attachment)

# TYPE OF STATION

5. CLASS OF STATION: Choose the button next to the class of station that applies. Choose only one.
a. Fixed Earth Station
b. Temporary–Fixed Earth Station
c. 12/14 GHz VSAT Network
d. Mobile Earth Station
e. Geostationary Space Station
f. Non–Geostationary Space Station
g. Other (please specify)
6. TYPE OF EARTH STATION FACILITY:
Transmit/Receive Transmit-Only Receive-Only N/A
For Space Station applications, select N/A."

# PURPOSE OF MODIFICATION

27. The purpose of this proposed modification is to: (Place an 'X' in the box(es) next to all that apply.)
a — authorization to add new emission designator and related service
b — authorization to change emission designator and related service
c — authorization to increase EIRP and EIRP density
d — authorization to replace antenna
e — authorization to add antenna
f — authorization to relocate fixed station
g — authorization to change frequency(ies)
h — authorization to add frequency
i — authorization to add Points of Communication (satellites & Double
j — authorization to change Points of Communication (satellites & tountries)
k — authorization for facilities for which environmental assessment and
radiation hazard reporting is required
1 — authorization to change orbit location
m — authorization to perform fleet management
n — authorization to extend milestones
o — Other (Please specify)

## **ENVIRONMENTAL POLICY**

28. Would a Commission grant of any proposal in this application or amendment have a significant environmental impact as defined by 47 CFR 1.1307? If YES, submit the statement as required by Sections 1.1308 and 1.1311 of the Commission's rules, 47 C.F.R. 1.1308 and 1.1311, as an exhibit to this application. A Radiation Hazard Study must accompany all applications for new transmitting facilities, major modifications, or major amendments.	O Yes ⊚ No	
--	------------	--

ALIEN OWNERSHIP Earth station applicants not proposing to provide broadcast, common carrier, aeronautical en route or aeronautical fixed radio station services are not required to respond to Items 30–34.

29. Is the applicant a foreign government or the representative of any foreign government?	٥	Yes	•	No	0	N/A
30. Is the applicant an alien or the representative of an alien?	0	Yes	•	No	0	N/A
31. Is the applicant a corporation organized under the laws of any foreign government?	0	Yes	•	No	0	N/A
32. Is the applicant a corporation of which more than one—fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	0	Yes	•	No	0	N/A

33. Is the applicant a corporation directly or indirectly controlled by any other corporation of which more than one–fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	O Yes (	No N/A
34. If any answer to questions 29, 30, 31, 32 and/or 33 is Yes, attach as an exhibit an identification of the aliens or foreign entities, their nationality, their relationship to the applicant, and the percentage of stock they own or vote.		
BASIC QUALIFICATIONS		
35. Does the Applicant request any waivers or exemptions from any of the Commission's Rules? If Yes, attach as an exhibit, copies of the requests for waivers or exceptions with supporting documents.	<b>⊚</b> Ye	es <b>o</b> No
	Exhibit D	
36. Has the applicant or any party to this application or amendment had any FCC station authorization or license revoked or had any application for an initial, modification or renewal of FCC station authorization, license, or construction permit denied by the Commission? If Yes, attach as an exhibit, an explination of circumstances.	O Ye	es 👩 No

37. Has the applicant, or any party to this application or amendment, or any party directly or indirectly controlling the applicant ever been convicted of a felony by any state or federal court? If Yes, attach as an exhibit, an explination of circumstances.	Yes  Exhibit F	O No
38. Has any court finally adjudged the applicant, or any person directly or indirectly controlling the applicant, guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement or any other means or unfair methods of competition? If Yes, attach as an exhibit, an explanation of circumstances	O Yes	No
39. Is the applicant, or any person directly or indirectly controlling the applicant, currently a party in any pending matter referred to in the preceding two items? If yes, attach as an exhinit, an explanation of the circumstances.	<b>○</b> Yes	<b>⊚</b> No
40. If the applicant is a corporation and is applying for a space station license, attach as an exhibit the names, address, and citizenship of those stockholders owning a record and/or voting 10 percent or more of the Filer's voting stock and the percentages so held. In the case of fiduciary control, indicate the beneficiary(ies) or class of beneficiaries. Also list the names and addresses of the officers and directors of the Filer.		

41. By checking Yes, the undersigned certifies, that neither applicant nor any other party to the application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti–Drug Act of 1988, 21 U.S.C. Section 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR 1.2002(b) for the meaning of "party to the application" for these purposes.	Yes	O No		
42a. Does the applicant intend to use a non–U.S. licensed satellite to provide service in the United States? If Yes, answer 42b and attach an exhibit providing the information specified in 47 C.F.R. 25.137, as appropriate. If No, proceed to question 43.	• Yes	<b>⊚</b> No		
42b. What administration has licensed or is in the process of licensing the space station? If no license will be issued, what administration has coordinated or is in the process of coordinating the space station?				

43. Description. (Summarize the nature of the application and the services to be provided). (If the complete description does not appear in this box, please go to the end of the form to view it in its entirety.)

This amendment is for the sole purpose of responding to the FCC staff request to provide an orbital debris mitigation plan in conformance with new FCC Rule 25.145(c)(3). See Letter from Jennifer M. Gilsenan, Chief, Policy Branch, Satellite Division, International Bureau, FCC, to Stephen D. Baruch, Counsel to Northrop Grumman, dated October 17, 2003.

Exhibit G

## **CERTIFICATION**

The Applicant waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application. The applicant certifies that grant of this application would not cause the applicant to be in violation of the spectrum aggregation limit in 47 CFR Part 20. All statements made in exhibits are a material part hereof and are incorporated herein as if set out in full in this application. The undersigned, individually and for the applicant, hereby certifies that all statements made in this application and in all attached exhibits are true, complete and correct to the best of his or her knowledge and belief, and are made in good faith.

true, complete and correct to the best of his or her	r knowledge and belief,	and are made in good fa	aith.	
44. Applicant is a (an): (Choose the button next to	o applicable response.)			
<ul> <li>Individual</li> <li>Unincorporated Association</li> <li>Partnership</li> <li>Corporation</li> <li>Governmental Entity</li> <li>Other (please specify)</li> </ul>				
45. Name of Person Signing Jeff Grant		46. Title of Person Sign Vice President, Busines		
47. Please supply any need attachments.				
Attachment 1: Attachment	Attachment 2:		Attachment 3:	l

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND / OR IMPRISONMENT (U.S. Code, Title 18, Section 1001), AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).

#### FCC NOTICE REQUIRED BY THE PAPERWORK REDUCTION ACT

The public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the required data, and completing and reviewing the collection of information. If you have any comments on this burden estimate, or how we can improve the collection and reduce the burden it causes you, please write to the Federal Communications Commission, AMD–PERM, Paperwork Reduction Project (3060–0678), Washington, DC 20554. We will also accept your comments regarding the Paperwork Reduction Act aspects of this collection via the Internet if you send them to jboley@fcc.gov. PLEASE DO NOT SEND COMPLETED FORMS TO THIS ADDRESS.

Remember – You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060–0678.

THE FOREGOING NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995, PUBLIC LAW 104–13, OCTOBER 1, 1995, 44 U.S.C. SECTION 3507.

#### 43. Description. (Summarize the nature of the application and the services to be provided).

This amendment is for the sole purpose of responding to the FCC staff request to provide an orbital debris mitigation plan in conformance with new FCC Rule 25.145(c)(3). See Letter from Jennifer M. Gilsenan, Chief, Policy Branch, Satellite Division, International Bureau, FCC, to Stephen D. Baruch, Counsel to Northrop Grumman, dated October 17, 2003. Unless otherwise indicated, NGST repeats, but does not alter, the information provided in its December 1997 Ka-band NGSO amendment (File No. SAT-AMD-19971222-00219) and the associated September 1997 V-band NGSO application (File No. SAT-LOA-19970904-00080).