

Notification of *Pro Forma* Assignment
New Lisbon Telephone Company, Inc.
International 214 Authority – ITC-214-20040511-00206

Attachment 1
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Answer to Question 10

In Attachment 1, please respond to paragraphs (c) and (d) of [Section 63.18](#) with respect to the assignor/transferor and the assignee/transferee.

63.18(c)

Transferor:

John E. Greene, Jr.
CEO and General Manager
New Lisbon Telephone Company, Inc.
6369 East Dublin Pike
Post Office Box 38
New Lisbon, IN 47366
Email: john.greene@nlbc.com
Telephone: 765-332-2413
Facsimile: 765-332-3111

Transferee:

John E. Greene, Jr.
CEO and General Manager
New Lisbon Holdings, Inc.
6369 East Dublin Pike
Post Office Box 38
New Lisbon, IN 47366
Email: john.greene@nlbc.com
Telephone: 765-332-2413
Facsimile: 765-332-3111

Copies of all correspondence, notices, and inquiries should also be addressed to:

Thomas J. Moorman
Woods Aitken LLP
5335 Wisconsin Avenue, NW, Suite 950
Washington, DC 20015
Telephone: (202) 944-9502
Facsimile: (202) 944-9501

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63.18(d)

New Lisbon Telephone Company, Inc. holds an International Telecommunications Certification for global and/or limited global resale service, File No. ITC-214-20040511-00206.

Answer to Question 11

Does any entity, directly or indirectly, own at least ten (10) percent of the equity of the assignee/transferee as determined by successive multiplication in the manner specified in the note to [Section 63.18\(h\)](#) of the rules?

If you answered "Yes" to this question, provide in Attachment 1, the name, address, citizenship, and principal businesses of each person or entity that directly or indirectly owns at least ten (10) percent of the equity of the assignee/transferee, and the percentage of equity owned by each of those persons or entities (to the nearest one percent).

The following information is provided with respect to shareholders of 10% or greater of the equity interests of New Lisbon Holdings, Inc. ("NLHI"). NLHI is a holding company organized under the laws of the State of Indiana. No shareholder owns Ten Percent (10%) or more of the issued and outstanding stock of NLHI. No individual owned a ten percent (10%) or greater interest in NLHI. All owners of NLHI are citizens of the United States. Overall operational control of NLHI rests with NLHI's Board of Directors. Daily control of NLHI rests with its Chief Executive Officer and General Manager. The individuals comprising the Board of Directors, the President, and the Chief Executive Officer and General Manager of NLHI are as follows:

<u>Name</u>	<u>Title</u>	<u>Occupation</u>	<u>Citizenship</u>
Joel Magiera	Pres. & Dir.	Farmer	USA
Allen Mills	VP & Dir.	Businessman	USA
Judy Marlatt	Sec. & Dir.	Retired	USA
Roy Claar	Dir.	Contractor	USA
Trent Dishman	Dir.	Farmer	USA
Richard McKnight	Dir.	State Employee	USA
Jeff Pfaff	Dir.	Farmer	USA
John E. Greene, Jr.	CEO and General Manager	Telecommunications	USA

The business address for each of these individuals is: 6369 East Dublin Pike, Post Office Box 38, New Lisbon, IN 47366. There are not interlocking directorates with a foreign carrier.

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Answer to Question 13

Provide in Attachment 1 a narrative of the means by which the proposed assignment or transfer of control will take place. In circumstances of a substantial assignment or transfer of control pursuant to [Section 63.24\(e\)](#), where the assignor seeks authority to assign only a portion of its U.S. international assets and/or customer base, please specify whether the assignor requests authority to continue to operate under any or all of its international Section 214 File Nos. after consummation; and, if so, please specify in Attachment 1 each File No. it seeks to retain in its own name. Label your response "Answer to Question 13."

On August 14, 2021, without change of ownership, New Lisbon Telephone Company, Inc. ("NLTC") and New Lisbon Holdings, Inc. ("NLHI") undertook a reorganization of NLTC where NLTC became a wholly-owned subsidiary of NLHI and the pro forma transfer of control wherein NLHI became the parent company of NLTC. As a result, the transfer described herein was pro forma in that it was a "transfer from a corporation to a wholly owned direct or indirect subsidiary thereof or vice versa. . . ." (47 C.F.R. §63.24(d) Note 2).

Explanation of Response to Question 22 of the Federal Communications Commission Form

In response to Question 22 of the Federal Communications Commission form, NLTC and NLHI hereby explain why the response to this question was provided as a "no." Specifically NLTC and NLHI responded "no" in light of that portion of the question that states that NLHI, as the transferee, is "subject to the notification procedures for *pro forma* transactions under Section 63.24 of the rules." Section 63.24(f)(2) requires that applicants involved in a pro forma transfer of control, such as the instant case, "must file a notification with the Commission no later than thirty (30) days after the assignment or transfer is completed." 47 C.F.R. § 63.24(f)(2). The notification that was required to be filed by September 13, 2021 was not submitted.

NLHI and NLTC regrets this oversight and makes the instant submission to correct this error. NLHI and NLTC respectfully submit that acceptance of this late-filed corrective filing is in the public interest in that the oversight was not service affecting; no harm was experienced by the end users of NLTC in that the pro forma transfer of control was transparent to such consumers.