## Categories of Services for 214 Applications (Streamline/Non-streamline)

F	Des					P								
HOLD - mait for JOINT PN W Mireless Burery)	Description of Application:	SUBMARINE CABLE LANDING LICENSE	SPECIAL TEMPORARY AUTHORITY	PRO FORMA TRANSFER/ASSIGNMENT	ASSIGNMENT OF LICENSE	TRANSFER OF CONTROL	SWITCHED RESALE SERVICE	INTERNATIONAL SPECIAL PROJECT	INMARSAT AND MOBILE SATELLITE SERVICE	INTERCONNECTED PRIVATE LINE RESALE SERVICE	INDIVIDUAL FACILITIES-BASED SERVICE	LIMITED/GLOBAL FACILITIES-BASED/RESALE SERVICE	LIMITED/GLOBAL FACILITIES-BASED SERVICE	LIMITED/GLOBAL RESALE SERVICE

APPROVED BY OMB

3060-0589

are true and correct to the best of my knowledge, infomation and beliefSIGNATURE PAYER TIN 27) CERTIFICATION STATEMENT 19C) FCC CALL SIGN/OTHER ID 19B) FCC CALL SIGN/OTHER ID COMPLETE SECTION C FOR EACH SERVICE, IF MORE BOXES ARE NEEDED, USE CONTINUATION SHEETS (FORM 159-4) Section (17) DAYTIME TELEPHONE NUMBER (Include area code) (9) DAYTIME TELEPHONE NUMBER (Include area code) Lukas, Nace, Gu 11) APPLICANT NAME (if paying by cradit Communications (1) LOCKBOX # Bar Mills P.O. Main Street (207) 929-9911 MASTERCARD Washington, DC (202)Suite SE Box 48 IF PAYER NAME AND THE APPLICANT NAME ARE DIFFERENT, COMPLETE SECTION IF MORE THAN ONE APPLICANT, USE CONTINUATION SHEETS (FORM 159-C) sereby authorize the FCC to cha 214 857-3500 1200 (PRINT NAME) TION 358115 0 Gutierrez 5 Design, (208) PAYMENT TYPE CODE (PTC 0 my VISA or MASTERCARD 2 Street, 0 U w CODE (PTC) Inc. **AXPAYER**  $\infty$ SECTION B -8 SECTION C N.W. H 6 Sachs, Certify under penalty of perjury that the foregoing and supporting information V SECTION A - PAYER INFORMATION on your card) 7 CREDIT CARD PAYMENT INFORMATION - PAYMENT INFORMATION
(224) FEE DUE FOR (PTC) IN BLOCK 204 Chartered APPLICANT INFORMATION (18) COUNTRY CODE (if not in (7) STATE (10) COUNTRY CODE (if not in U.S.A.) APPLICANT TIN NFORMAT (24B) FCC CODE 2 (22D) FEE DUE FOR (PTC) IN BLOCK 20D (22C) FEE DUE FOR (PTC) IN BLOC (228) FEE DUE FOR (PTC) IN BLOCK 780.00 ME 02000 O (16) ZIP CODE (8) ZIP CODE 0 780.00 RE 0 FCC USE 04004 QUIRE 20036 0 w 9 \_  $\infty$ 7 4

### LUKAS, NACE, GUTIERREZ & SACHS

CHARTERED

1111 NINETEENTH STREET, N.W.
SUITE 1200
WASHINGTON, D.C. 20036
(202) 857-3500

CONSULTING ENGINEERS

DAVID M. BRIGLIA+ TODD SLAMOWITZ B. LYNN F. RATNAVALE PAMELA GAARY HOLRAN MARILYN SUCHECKI MENSE DAVID A. PAMELA L. GIST JOEL R. KASWELL GEORGE L. LYON, JR. THOMAS GUTIERREZ DAVID L. NACE + NOT ADMITTED IN D.C ALLISON M. JONES+ ELIZABETH R. SACHS RUSSELL D. LUKAS LAFURIA

July 20, 2000

RECEIVED

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Federal Communications Commission

International Bureau - Telecommunications

P.O. Box 358115 Pittsburgh, Pennsylvania 15251-5115

Telecom Division International Bureau

Application for Transfer of Control of Section 214 Authority

FCC File No. 98-431

Authorized Reseller: Communications Design, Inc

Transferor: Robert C. Carroll, et al.

Transferee:

TLA Spectrum LLC

Dear Sir or Madam:

granted in File No. 98-431 to Communications Design, Inc, from Robert C. Carroll et al. to TLA Spectrum LLC. Transmitted herewith is an application for transfer of control of Section 214 Authority

Federal Communications Commission, in payment of the required filing fee. Attached to the original application is a check in the amount of \$780.00, made payable to the

Very truly yours,

David L. Nace

## Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

Private Line, Data, Television and Business Services To International Points	Communications Act of 1934, as Amended, to Resell the Provision of International Switched,	granted in File No. 98-431 to Communications Design, Inc. under Section 214 of the	For Transfer of Control of Authorization	Robert C. Carroll, et al., and TLA Spectrum, LLC	Communications Design, Inc.,	In re: Application of
) Telecom Division International Bureau	) .1111_ 2 5 7000	RECEIVED			) File No	

To: Chief, Telecommunications Division, International Bureau

# APPLICATION FOR TRANSFER OF CONTROL OF SECTION 214 AUTHORITY

international basic switched services to countries found to offer equivalent resale opportunities facilities of authorized U.S. common carriers, and to resell private lines for the purpose of providing line, data, television and business services to all international points through the resale of existing authorization granted to CDI in FCC File No. ITC-98-431 to provide international switched, private LLC ("Transferee" or "TLA") hereby seek authorization to transfer control of the Section 214 River Telegraph and Telephone Company ("SRTT") (collectively, "Transferor") and TLA Spectrum, Communications Design, Inc. ("CDI"), Robert C. Carroll and other stockholders of Saco

will hold all of the stock of SRTI. SRTI's wholly owned subsidiary, Saco River Communications SRTT will be changed to Saco River Telecommunications, Inc. ("SRTI") after the merger. Transferee subsidiary of TLA, will be merged into SRTT with SRTT to survive after the merger. In the proposed transaction, SRTT Acquisition Corp., a newly formed wholly owned The name

structures of the companies to be acquired are shown in diagrams attached hereto Corporation, will continue to own all the stock of CDI. The current and proposed organizational

In support of this request, the applicants submit the following information required by Section

#### (a) Name and Address of CDI:

63.18 of the Commissions Rules:

Communications Design, Inc. Main Street P.O. Box 408 Bar Mills, Maine 04004

Name and address of Transferor:

Robert C. Carroll, et al. Main Street P.O. Box 48 Bar Mills, Maine 04004

Name and address of Transferee:

TLA Spectrum, LLC P.O. Box 2000 Alexandria, Minnesota 56308

- **(b)** state of Minnesota. Transferor stockholders are United States citizens and Transferee is organized in the
- **©** addressed to: Correspondence concerning this application concerning CDI or Transferor should be

Mr. Robert C. Carroll Main Street P.O. Box 48 Bar Mills, Maine 04004 (207) 929-9911

with a copy to:

Theresa F. Falk, Esq.
Pillsbury, Madison & Sutro, LLP
1100 New York Avenue, N.W., 9th Floor
Washington, D.C. 20005
(202) 861-3094

and

David L. Nace, Esq.
Lukas, Nace, Gutierrez & Sachs
1111 Nineteenth Street, N.W., Suite 1200
Washington, D.C. 20036
(202) 857-3500

Correspondence concerning this application for Transferee should be addressed to:

Ann K. Newhall
Vice President and General Counsel
TLA Spectrum, LLC
P.O. Box 2000
Alexandria, Minnesota 56308
(320) 762-2000

with a copy to:

David L. Nace, Esq.
Lukas, Nace, Gutierrez & Sachs
11111 Nineteenth Street, N.W., Suite 1200
Washington, D.C. 20036
(202) 857-3500

and

Theresa F. Falk, Esq.
Pillsbury, Madison & Sutro, LLP
1100 New York Avenue, N.W., 9th Floor
Washington, D.C. 20005
(202) 861-3094

(d) Statement of previously received authority:

Act in FCC File No. ITC-98-431. CDI, under the control of Transferor, was granted authority under Section 214 of the

Rural Cellular Corporation. See FCC File No. ITC-98-264 Transferee holds authority under Section 214 of the Act through its parent company

(e) Request for authority to resell services of authorized U.S. common carrier:

No change from Section 214 authorizations as referenced above

(f) Application for any or all authority:

Not applicable

(g) Applicant's existing facilities:

Not applicable

(<u>h</u> is a Minnesota corporation whose address is the same as that of TLA Transferee is a wholly owned subsidiary of Rural Cellular Corporation ("RCC"). RCC

July 10, 2000, entitles the holder to 18.868 votes per share. shares outstanding as of July 10, 2000, entitles the holder to 10 votes per share. Class to 1 vote per share. Class B Common Stock of RCC, of which there were 861,562 which there were 10,909,541 shares outstanding as of July 10, 2000, entitles the holder M Convertible Preferred Stock, of which there were 110,000 shares outstanding as of A Common Stock of RCC is publicly traded. Class A Common Stock of RCC, of There are various classes and types of common or preferred stock of RCC. Only Class

Additional forms of securities issued by RCC are non-voting securities

- Series A and 5,363.214 shares of Series B outstanding as of July 10, 2000, is a nonsecurities, according to whether Series A or Series B is held, for Class A or Class B system licensees that serve the same market area; Section 22.942 or similar rule of the FCC which restricts cross-ownership in cellular Common Stock of RCC if and when such exchange would not result in a violation of voting security classifiable as a form of debt of RCC. Its holders may exchange such 1. Class T Convertible Preferred Stock, of which there were 2,176.875 shares of
- 12 1/4% Junior Exchangeable Preferred Stock, issued February 8, 2000;
- 11 3/4% Senior Exchangeable Preferred Stock, issued February 8, 2000; and

### 4. 9 5/8% Senior Subordinated Notes.

warrants, options or debt securities are the following: The entities which held, as of July 10, 2000, 10% or more of each class of RCC stock,

rights of Arvig Enterprises, Inc. total 6.4% of all voting rights in RCC Perham, MN 56573 (through two subsidiaries named East Otter Tail Telephone Class B Common Stock which represents 14.1% of the class. The combined voting Class A Common Stock which represents 1.6% of the class and 121,664 shares of Arvig Enterprises, Inc., a Minnesota corporation located at 150 2nd Avenue SW, Company and Twin Valley Ulen) holds the following interests: 169,545 shares of

Consolidated Telephone Company total 4.8% of all voting rights in RCC Common Stock which represents 10.0% of the class. The combined voting rights of Common Stock which represents 1.6% of the class and 86,189 shares of Class B Street, Brainerd, MN 56401, holds the following interests: 171,107 shares of Class A Consolidated Telephone Company, a Minnesota corporation located at 1102 Madison

stock total 5.4% of all voting rights in RCC. Stock which represents 10.7% of the class. The voting rights associated with such following interest on behalf of itself or others: 1,162,215 shares of Class A Common J & W Seligman & Co., Inc., 100 Park Avenue, New York, NY 10017, holds the

associated with such stock total 4.8% of all voting rights in RCC the following interest on behalf of itself or others: 55,000 shares of Class M Madison Dearborn Capital Partners III, L.P., Three First National Plaza, Suite 1300, Convertible Preferred Stock which represents 50% of the class. Chicago, IL 60670, directly and through the holdings of two affiliated entities, holds The voting rights

such stock total 3.2% of all voting rights in RCC Preferred Stock which represents 33.3% of the class. The voting rights associated with MA 02110, holds the following interest: 36,666.67 shares of Class M Convertible Boston Ventures Limited Partnership V, 21 Custom House Street, 10th Floor, Boston,

1.6% of all voting rights in RCC. which represents 16.7% of the class. The voting rights associated with such stock total holds the following interest: 18,333.33 shares of Class M Convertible Preferred Stock Toronto Dominion Investments, Inc., 909 Fannin, Suite 1700, Houston, TX 77010,

following interests in RCC: corporation located at 30 North LaSalle Street, Chicago, Illinois 60602, holds the Through various subsidiaries, Telephone and Data Systems, Inc. ("TDS"), a Delaware

- (a) to less than a 5% overall equity or voting interest in RCC; and Class A and Class B Common Stock which, in the aggregate, amounts
- 9 as follows: Class T Convertible Stock, non-voting in type and classifiable as debt,
- shares of United States Cellular Corporation ("USCC"). USCC owns 100% of United States Cellular Investment Company which owns 1,128.533 shares (21% of Series B issued and outstanding); (100% of total of Series A issued and outstanding); and Series B: Inc. owns Class T Convertible Stock, Series A: 2,176.875 shares 100% of Minnesota Invco of RSA #5, Inc. Minnesota Invco RSA #5, TDS owns approximately 80% of the issued and outstanding
- issued and outstanding); and of Arvig Cellular, Inc. Arvig Cellular Inc. owns 3,106.148 shares of which owns 100% of Arvig Telcom, Inc. which, in turn, owns 100% RCC=s Class T Convertible Stock, Series B (58% of total of Series B TDS owns 100% of TDS Telecommunications Corporation
- which, in turn, owns 100% of Mid State Telephone Co. Stock, Series B (21% of total of Series B issued and outstanding). Telephone Co. owns 1,128.533 shares of RCC=s Class T Convertible TDS owns 100% of TDS Telecommunications Corporation Mid State

and provide such information, upon request. if the Commission staff has a need for such information, RCC will attempt to obtain not believed that such information is essential for the purposes of this application, but 5/8% Senior Subordinated Notes. Since those are non-voting forms of securities, it is Exchangeable Preferred Stock, 11 3/4% Junior Exchangeable Preferred Stock or 9 RCC does not have information as to the current holders of 12 1/4% Junior

- $\Xi$ Transferee certifies that it is not, nor is it affiliated with, a foreign carrier.
- $\bigcirc$ Not applicable. See Section (i), above. The Transferee so certifies
- (k) Not applicable. See Section (i), above
- (l) Not applicable. See Section (i), above
- (m) Not applicable. See Section (i), above.
- (n) accept any special concessions directly or indirectly from any foreign carrier market power on the foreign end of the route with respect to any U.S. international route where the foreign carrier possesses Transferee certifies that it has not agreed, and will not in the future agree, to

- 0 and belief, neither Transferee nor any party to this application is subject to a denial of federal benefits under Section 5301 of the Anti-Drug Abuse Act of 1988. Transferee certifies that, to the best of its knowledge, information
- (p) demonstrated herein, the exceptions to streamlined processing that are stated in Section 63.12(c) do not apply to Transferee. Transferee requests streamlined processing of this application. As

For the foregoing reasons, the applicants request that the Commission grant the authority requested in this application.

Respectfully submitted

		Date:			Date:		
		7.19.00			7.19.00		
TLA SPECTRUM, LLC	Robert C. Carroll For the Transferor	By: Kunul Commell	ROBERT C. CARROLL, et al.	Officer	By Canual Course	COMMUNICATIONS DESIGN, INC.	respectatily submitted,

Date:

By:

Officer

For the Transferee

- 0 neither Transferee nor any party to this application is subject to a denial of federal benefits under Section 5301 of the Anti-Drug Abuse Act of 1988. Transferee certifies that, to the best of its knowledge, information and belief,
- **(b)** demonstrated herein, the exceptions to streamlined processing that are stated in Section 63.12(c) do not apply to Transferee. Transferee requests streamlined processing of this application.

authority requested in this application. For the foregoing reasons, the applicants request that the Commission grant the

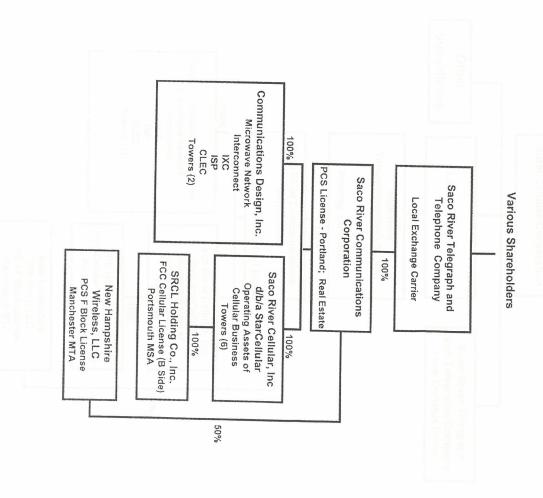
Respectfully submitted,

COMMUNICATIONS DESIGN, INC.

Date: 7/19/10	Date:		Date:
By: Jun J. Markall Sk. Vice B	By:  Robert C. Carroll  For the Transferor	ROBERT C. CARROLL, et al.	By: Officer

For the Transferee

#### SACO RIVER TELEGRAPH AND TELEPHONE COMPANY EXISTING ORGANIZATIONAL STRUCTURE



#### **LUKAS, NACE, GUTIERREZ & SACHS, CHARTERED**

CITY 15-154-540

**BRANCH BANKING & TRUST COMPANY** 

30972

**OPERATING ACCOUNT** 

1111 NINETEENTH STREET N.W., SUITE 1200 WASHINGTON, DC 20036 (202) 857-3500 CHECK NO.

CHECK DATE

VENDOR NO.

PAY

030972

07/20/00 FCC

CHECK AMOUNT

SEVEN HUNDRED EIGHTY AND 00/100 DOLLARS\*\*\*\*\*\*\*\*\*

s\*\*\*\*\*\*\*\*780.00

6 OF OF

F. C. C.

TWO SIGNATURES REQUIRED IF AMOUNT IS OVER \$2,500.00

â

#00030972# #054001547#5160013267#

#### CERTIFICATE OF SERVICE

to the following States mail, copies of the foregoing Application for Transfer of Control of Section 214 Authority Chartered, do hereby certify that I have on this 20th day of July, 2000, sent by first class United I, Loren B. Costantino, a legal assistant in the law offices of Lukas, Nace, Gutierrez & Sachs,

Governor Angus King State of Maine 1 State House Station Augusta, Maine 04333

Secretary of Defense
Attention: Special Assistant for
Telecommunications
Pentagon
Washington, D.C. 20301

Secretary Madeleine Albright
Department of State
2201 C Street, N.W, 7th Floor
Washington, D.C. 20520

Rebecca Arbogast, Chief\*
Telecommunications Division
International Bureau
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Justin Connor, Attorney\*
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Loren B. Costantino

\*Hand Delivery