# ATTACHMENT 1 TO FCC ELECTRONIC FORM Notification of *Pro Forma* Assignment

Pursuant to Section 214 of the Communications Act of 1934, as amended, ("the Act"), 47 U.S.C. § 214, and Section 63.24(f) of the Commission's rules, 47 C.F.R. § 63.24(f), the Commission is hereby notified of the *pro forma* assignment of the international Section 214 authorizations held by US Telecom, Inc. ("US Telecom") to Sprint Communications, Inc. ("SCI"). Both the assignor and assignee are indirect wholly owned subsidiaries of T-Mobile USA, Inc ("T-Mobile") and thus ultimate control of the authorizations has not changed. The transaction, which occurred on December 31, 2020, involved the merger of US Telecom into SCI, with SCI as the surviving entity.

#### Answer to Question 10 - Section 63.18(c)-(d):

#### **Contact Information for Assignee:**

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### with a copy to:

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#### Place of Formation:

SCI is a Kansas corporation.

## **International Section 214 Authority:**

Prior to the transaction, US Telecom held ITC-214-19851107-00004 and ITC-214-19941209-00368, which it has now assigned to SCI. SCI also holds ITC-214-19970723-00428.

#### **Interlocking Directorates:**

SCI has no interlocking directorates with a foreign carrier.

### **Answer to Question 11 - Section 63.18(h):**

The following entities hold indirectly a ten percent or greater equity and/or voting interest, or a controlling interest, in SCI:

Name: Sprint Corporation ("Sprint")
Address: 12502 Sunrise Valley Drive

Reston, VA 20196

Citizenship: U.S.

**Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** Sprint Corporation holds a direct 100 percent equity and

voting interest in SCI.

Name: T-Mobile USA, Inc. ("T-Mobile")

Address: 12920 SE 38th Street

Bellevue, WA 98006

Citizenship: U.S.

**Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** T-Mobile holds a direct 100 percent equity and voting

interest in Sprint.

Name: T-Mobile US, Inc. ("T-Mobile US")

Address: 12920 SE 38th Street

Bellevue, WA 98006

Citizenship: U.S.

**Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** T-Mobile US holds a direct 100 percent equity and voting

interest in T-Mobile.

Name: Deutsche Telekom Holding B.V. ("DT Holding")

**Address:** Stationsplein 8-K, Office Number 809

6221 BT Maastricht

Netherlands

Place of Organization:NetherlandsType of Organization:CorporationPrincipal Business:Holding company

**Percentage Held:** DT Holding holds approximately a 43 percent direct equity

and voting interest in T-Mobile US.

Name: T-Mobile Global Holding GmbH ("T-Mobile Holding")

Address: Landgrabenweg 151

Bonn, Germany 53227

**Citizenship:** Germany **Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** T-Mobile Holding holds a direct 100 percent equity and

voting interest in DT Holding.

Name: T-Mobile Global Zwischenholding GmbH ("T-Mobile

Global")

Address: Friedrich-Ebert-Allee 140

Bonn, Germany

**Citizenship:** Germany **Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** T-Mobile Global holds a direct 100 percent equity and

voting interest in T-Mobile Holding.

Name: Deutsche Telekom AG ("DT")
Address: Friedrich-Ebert-Allee 140

Bonn, Germany

**Citizenship:** Germany **Type of Organization:** Corporation

**Principal Business:** Telecommunications and information services

**Percentage Held:** DT holds a direct 100 percent equity and voting interest in

T-Mobile Global. DT has *de facto* control of T-Mobile US – and thus Assignee – as a result of a proxy agreement which authorizes DT to vote a majority of the stock of T-

Mobile US.

Name: Kreditanstalt fur Wiederaufbau ("KfW")

**Address:** Palmengartenstrasse 5-9

Frankfort, Germany 60325

**Citizenship:** Germany **Type of Organization:** Corporation

**Principal Business:** Commercial banking

**Percentage Held:** KfW, a bank controlled by the German government and

federal states, holds approximately 17 percent of the

ownership interests of DT.

Name: Federal Republic of Germany ("FRG")

**Address:** c/o Federal Ministry of Finance

Wilhelmstr 97

Berlin, Germany 10117

**Citizenship:** Germany

**Type of Organization:** Government entity **Principal Business:** Government

**Percentage Held:** FRG directly holds approximately 15 percent of the

ownership interests of DT. FRG also directly holds approximately 80 percent of the ownership interests in

KfW which, as described above, holds approximately 17 percent of the ownership interests in DT. Thus, FRG is deemed to hold approximately 32 percent of DT.

No other individual or entity will hold directly or indirectly a ten percent or greater equity and/or voting interest in SCI.

#### **Answer to Question 13**:

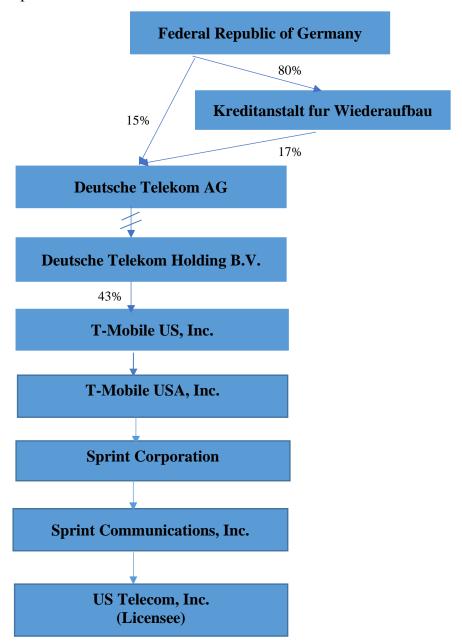
The Commission is hereby notified of the *pro forma* assignment of the international Section 214 authorizations held by US Telecom to SCI as part of an internal reorganization involving wholly owned subsidiaries of T-Mobile. The transaction, which occurred on December 31, 2020, involved the merger of US Telecom into SCI, with SCI as the surviving entity. Attached are charts showing the ownership of the holder of the authorizations before and after the transaction.

Because the restructuring did not change the ultimate control of the authorizations, it was *pro forma* in nature.<sup>1</sup> The Commission has stated that, in situations "where no substantial change of control will result from the transfer or assignment, grant of the application is deemed presumptively in the public interest."<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> In re Fed. Communications Bar Ass'n's Petition for Forbearance from Section 310(d) of the Communications Act Regarding Non-Substantial Assignments of Wireless Licenses & Transfers of Control Involving Telecomms. Carriers, Memorandum Opinion and Order, 13 FCC Rcd. 6293, 6299, ¶ 8 (1998) ("FCBA Forbearance Order") ("corporate reorganization which involves no substantial change in the beneficial ownership of the corporation" is *pro forma* in nature); cf. 47 C.F.R. § 63.24(d).

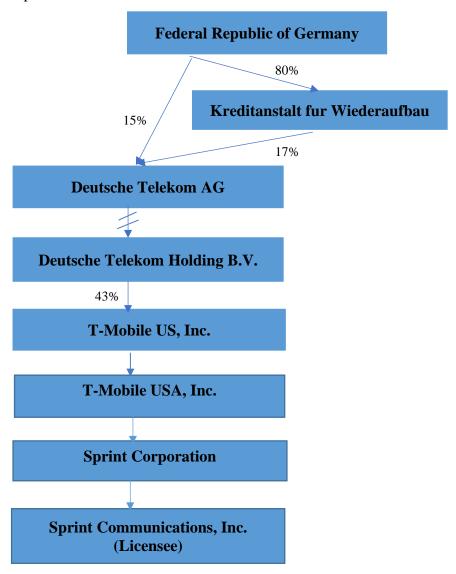
<sup>&</sup>lt;sup>2</sup> Id. at 6295, ¶ 2. See also 1998 Biennial Review – Review of International Common Carrier Regulations, Report and Order, 14 FCC Rcd 4909, ¶ 42 (1999) (finding that "[r]egulatory review of [pro forma] transactions yields no significant public interest benefits, but may delay or hinder transactions that could provide substantial financial, operational, or administrative benefits for carriers.").

# Pre-Reorganization Ownership:



<sup>\*</sup>All ownership is 100% unless otherwise indicated.

# Post-Reorganization Ownership:



<sup>\*</sup>All ownership is 100% unless otherwise indicated.