#### FCN-NEW-20070214-00038

IB2007000447

Level 3 International, Inc.

1060-0686

# FC) Federal Communications Commission

## FEDERAL COMMUNICATIONS COMMISSION FCC FOREIGN CARRIER AFFILIATIONS NOTIFICATION

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#### Menu

#### CARRIER INFORMATION

CONFIDENTIALITY: Carriers filing foreign carrier affiliation notifications pusuant to Section 63.11(a) of the Rules, 47 C.F.R §63.11(a), and requesting confidential treatment for the first twenty days pursuant to Sections 63.11(i) and 0.459 of the Rules, 47 C.F.R. §§63.11(i) and 0.459, should not file this form electronically, but instead, should file this form and the required attachments manually. Please send the manual submission to:

Secretary Room TW-B204F 445 12th Street, SW Washington, DC 20554

REMINDER: Carriers filing foreign carrier affiliation notifications are reminded to review the requirements in Section 63.11 of the Rules, 47 C.F.R. §63.11. See *FACT SHEET* 

# Note: Use only standard punctuation. Please do not use special characters - such as ';' - in any of the fields below!

Enter a description of this notification to identify it on the main menu: Level 3 International FCN 020607

1. Carrier Name: DBA Name: Street: City:	Level 3 International, Inc.	Fax Number:	720-888-56	519	
Country:		Zipcode	: 80021 <b>-</b>		
2. Contact Name:			Phone Number: Fax Number: E-Mail:	kbressie@narriswittsiiite.com	

http://svartifoss2.fcc.gov/cgi-bin/ws.exe/prod/ib/forms/getappn.hts

1								
City:	Washington	State:	DC					
Country:	USA	Zipcode:	20036 -2560					
Attention:		Relationship	: Legal Counsel					
	3. Place of Incorporation of Carrier Delaware							
4. Other C	ompany(ies) and Place(s) of Incorporation	on						
5. Country or Countries for which carrier is notifying the Commission of an affiliation. Canada								
6. Is there an accompanying Transfer of Control or Assignment Application pursuant to Section 63.18 • Yes • No (e)(3) of the Rules, 47 C.F.R. §63.18(e)(3), to this foreign carrier affiliation notification?								
7. If the answer to question 6 is yes, please provide the date of filing of the Transfer of Control or Assignment Application. 10/17/2006								
8. Is this f 63.11(a) o	foreign carrier affiliation notification bei or as a post notification pursuant to Secti	ing filed as a p ion 63.11(b)-(	prior notification pursuant to c)?	o Section O Prior O Post				
9. If this foreign carrier affiliation notification is being filed as a prior notification pursuant to Section $(3.11(a)(1) \text{ or } (2) \text{ of the Rules}, 47 \text{ C.F.R. } \S (3.11(a)(1) \text{ or } (2), is this filing being made at least forty-five days prior to the consummation of the acquisition? If the answer to this question is no, include in an Attachment (use Attachment 1 below) a statement explaining the reason for non-compliance with the Section (3.11(a) \text{ filing requirement}.$								
10. If this foreign carrier affiliation notification is being filed as a post notification pursuant to Section 63.11(b)-(c) of the Rules, 47 C.F.R. §63.11(b)-(c), is this filing being made within thirty days after consummation of the acquisition? If the answer to this question is no, include in an Attachment (use Attachment 1 below) a statement explaining the reason for non-compliance with the Section 63.11(c) <b>C</b> Yes <b>O</b> N/A filing requirement.								
11. If the foreign carrier affiliation notification is being filed as a post notification pursuant to Section 63.11(c) of the Rules, 47 C.F.R. §63.11(c), are you relying upon an exception in Section 63.11(b)?								
12. If the questions being ma a. H ca fi	answer to question 11 is yes, please cer s as applicable for each carrier and destin ade (see Section 63.11(b)): las the Commission previously determin arrier(s) lacks market power in any desti ling? If the answer is yes, please provide strachment (use Attachment 1 below).	tify to one or mation market ed in an adjud nation market e the citation(s	more of the following for which notification is lication that the foreign being notified in this s) to the decision(s) in an	O yes ⊙ No O N/A				
n It n	Does the foreign carrier(s) own facilities otified in this filing (see Section 63.11(b f the answer is yes, please specify the ca otified in this filing in an attachment (us	rrier(s) and de Re Attachment	estination market(s) being 1 below).	O Yes ⊗ No O N/A				
c. Is	<ol> <li>s the foreign carrier authorized to operat</li> <li>If the foreign carrier is authorized authorized carrier making this fili retain non-dominant classification to Section 63.10 of the Rules, 47 necessary demonstration in an At</li> </ol>	l to operate in ng demonstrat n on the newly C.F.R. §63.10 tachment (use	a WTO Member, is the ting that it is entitled to affiliated route pursuant ? If so, please provide the Attachment 1 below).	⊙ Yes C No C N/A				
	<ol> <li>If the foreign carrier is authorized authorized carrier agreeing to con safeguards contained in Section 6 effective upon the acquisition of the</li> </ol>	nply with the 63.10 of the Ri	ales, 47 C.F.R. §63.10,	○ Yes ⊙ No Undefined field (dominant_carrier_safeguards_sw:3)				

13. If the foreign carrier affiliation notification is being filed as a prior notification pursuant to Section

# FCC - FCN Filing

63.11(a) of the Rules, 47 C.F.R. §63.11(a), is the foreign carrier authorized to operate in a non-WTO <b>Yes No N</b> /A Member country?					
14. If the answer to question 13 is yes, is the authorized carrier making the required showing that the foreign carrier lacks market power in the non-WTO Member country (see Section 63.18(k)(2), 47 C.F.R. §63.18(k)(2)) or, alternatively, the Effective Competitive Opportunities showing specified in Section 63.18(k)(3) of the Rules, 47 C.F.R. §63.18(k)(3)? If yes, please provide the supporting information in an Attachment (use Attachment 1 below). ○ Yes ○ N/A					
15. If the answer to question 13 is yes and the answer to question 14 is no, you may provide information in an Attachment (use Attachment 1 below) to demonstrate it continues to serve the public interest for you to operate on the route for which you propose to acquire an affiliation with the non-WTO foreign carrier. (See Section 63.11(g)(2)).					
16. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, does the authorized carrier request continued regulation as a non-dominant international carrier pursuant to Section 63.10 of the Rules, 47 C.F.R. §63.10? If yes, include an Attachment (use Attachment 1 below) stating how the carrier qualifies for non-dominant classification pursuant to this section.					
17. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide in an Attachment (use Attachment 1 below) the name of the newly affiliated foreign carrier(s) and the country or countries in which it is authorized to provide telecommunications services to the public.					
18. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, state in an Attachment (use Attachment 1 below) what services the authorized carrier(s) making this filing is authorized to provide to each country named in response to question 17 and the FCC file numbers under which each such authorization was granted.					
19. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, state in an Attachment (use Attachment 1 below) which, if any, of the countries the authorized carrier making this filing serves solely through the resale of international switched services of unaffiliated U.S. facilities-based carriers.					
20. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide in an Attachment (use Attachment 1 below) the name, address, citizenship and principal business of any person or entity that directly or indirectly owns at least ten percent of the equity of the authorized carrier making this filing, and the percentage of equity owned by each of those entities to the nearest one percent.					
21. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide the name of any interlocking directorates, as defined in Section 63.09(g) of the Rules, 47 C.F.R. §63.09(g), with each foreign carrier named in the notification.					
22. By checking Yes, the carrier certifies that it has not agreed to accept special concessions directly or indirectly from any foreign carrier with respect to any U.S. international route where the foreign <b>Yes No</b> carrier possesses market power on the foreign end of the route.					
23. By checking Yes, the carrier certifies that it shall maintain the continuing accuracy of information provided pursuant to Section 63.11 of the Rules, 47 C.F.R. §63.11, for a period of forty-five days after the filing of this form. In addition, the applicant certifies that it shall immediately inform the Commission if at any time, not limited to forty-five days, the representations in the "special concessions" certification referenced in question 22 are no longer accurate.					

### CERTIFICATION

Thomas C. Stortz	25. Title of Person Signing Group VP, General Counsel & Secretary					
Information of Storid         WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND / OR IMPRISONMENT         (U.S. Code, Title 18, Section 1001), AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).						
	chment 3: Attachment					

#### FCC - FCN Filing

# FCC NOTICE REQUIRED BY THE PAPERWORK REDUCTION ACT

The public reporting for this collection of information is estimated to average 1 - 6,056 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the required data, and completing and reviewing the collection of information. If you have any comments on this burden estimate, or how we can improve the collection and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-PERM, Paperwork Reduction Project (3060-0686), Washington, DC 20554. We will also accept your comments regarding the Paperwork Reduction Act aspects of this collection via the Internet if you send them to jboley@fcc.gov. PLEASE DO NOT SEND YOUR RESPONSE TO THIS ADDRESS.

Remember - You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0686.

THE FOREGOING NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995, PUBLIC LAW 104-13, OCTOBER 1, 1995, 44 U.S.C. SECTION 3507.

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#### **ATTACHMENT 1**

#### Background

Level 3 International, Inc. ("Level 3 International"), acquired its new foreign carrier affiliation with Broadwing Communications Canada, LLC ("Broadwing Canada"), following the consummation of the acquisition by Level 3 Communications, Inc. ("Level 3 Parent"), of Broadwing Corporation ("Broadwing Parent") and its subsidiaries, (including Broadwing Canada and Broadwing Communications, LLC ("Broadwing")), on January 3, 2007. The International Bureau had previously approved Level 3 Parent's acquisition of Broadwing effective November 10, 2006.<sup>1</sup> As a result, Broadwing Canada and Level 3 International are both wholly-owned, indirect subsidiaries of Level 3 Parent.

Level 3 International was delayed in submitting this notification due to ongoing debt-toequity conversions affecting Level 3 Parent's shareholder composition (which in turn affected Level 3 International's ability to certify regarding 10-percent-or-greater direct or indirect interest holders). Now that those changes are complete, Level 3 International is able to provide an accurate certification. Level 3 International therefore respectfully asks that Commission treat these notifications—which were due on February 2, 2007—as timely filed.

<sup>&</sup>lt;sup>1</sup> See International Authorizations Granted, Public Notice, DA 06-2312 (rel. Nov. 17, 2006, effective Nov. 10, 2006) ("Broadwing IB Transfer PN"); FCC File No. ITC-T/C-20061017-00475. See also Notice of Streamlined Domestic 214 Applications Granted, Public Notice, WC Docket No. 06-193, DA 06-2357 (rel. Nov. 24, 2006, effective Nov. 23, 2006).

### Response to Question 12(b)

Broadwing Canada owns no facilities in Canada.

### Response to Question 12(c)(1)

Level 3 International is entitled to retain non-dominant classification on its newlyaffiliated route pursuant to Section 63.10(a)(3) of the Commission's rules.<sup>2</sup> Broadwing Canada holds significantly less than a 50-percent market share in Canada's international transport and local access markets. Moreover, Broadwing Canada has no ability to discriminate against unaffiliated U.S. international carriers through the control of bottleneck services or facilities in Canada. Broadwing Canada does not appear on the Commission's list of foreign telecommunications carriers presumed to possess market power any in foreign telecommunications market.<sup>3</sup> Broadwing Canada therefore continues to qualify for a presumption of non-dominance pursuant to Section 63.10(a)(3) of the Commission's rules and exemption from the dominant carrier safeguards in Sections 63.10(c) through (e) of the Commission's rules.<sup>4</sup>

<sup>4</sup> See 47 C.F.R. §§ 63.10(a)(3), (c)-(e).

<sup>&</sup>lt;sup>2</sup> See 47 C.F.R. §§ 63.10(a)(3), 63.11(f).

<sup>&</sup>lt;sup>3</sup> See International Bureau Revises and Reissues the Commission's List of Foreign Telecommunications Carriers that Are Presumed to Possess Market Power in Foreign Telecommunications Markets, Public Notice, 19 FCC Rcd. 20,358 (Int'l Bur. 2004) ("Presumed Market Power List").

#### **Response to Question 16**

Level 3 International requests continued regulation as a non-dominant international carrier pursuant to Section 63.10 of the Commission's rules, consistent with its Response to Question 12(c)(1) above.

### **Response to Question 17:**

Broadwing Canada is authorized to provide telecommunications services to the public in Canada, a WTO Member:<sup>5</sup>

# **Response to Question 18:**

Pursuant to FCC File No. ITC-214-19981214-00867, Level 3 International is authorized to provide global facilities-based and global resale services to the country named in response to Question 17, *i.e.*, Canada.

### **Response to Question 19**:

With respect to the country listed in response to Question 17, Level 3 International serves Canada solely through the resale of international switched services of unaffiliated U.S. facilitiesbased carriers.<sup>6</sup>

<sup>&</sup>lt;sup>5</sup> See id. §§ 63.11(e)(1), (2).

<sup>&</sup>lt;sup>6</sup> See id. § 63.11(e)(4).

#### **Response to Question 20:**

Level 3 International is ultimately a wholly-owned, indirect subsidiary of Level 3 Parent. The names, addresses, citizenships, and principal businesses of Level 3 International's 10percent-or-greater direct or indirect owners are as follows.<sup>7</sup>

Level 3 International is a wholly-owned, direct subsidiary of Level 3 Financing, Inc. ("Level 3 Financing"), a Delaware corporation engaged in the business of providing managed information technology infrastructure services across a variety of platforms. Level 3 Financing's address is 1025 Eldorado Boulevard, Broomfield, Colorado 80021.

Level 3 Financing, in turn, is a wholly-owned, direct subsidiary of Level 3 Parent, a Delaware corporation engaged in the business of providing, through its operating subsidiaries, a wide range of communications services over its extensive broadband fiber-optic network in North America, Europe, and Asia, including Internet-protocol-based services, broadband transport, collocation services, and patented Softswitch-based managed modem and voice services. Level 3 Parent's address is 1025 Eldorado Boulevard, Broomfield, Colorado 80021. Level 3 Parent has no controlling shareholder or group of shareholders exercising common control.

Only one party holds a ten-percent-or-greater direct or indirect interest in Level 3 Parent: Southeastern Asset Management, Inc. ("SAM"). SAM is a Tennessee corporation engaged in the business of providing investment advisory services and located at 6410 Poplar Avenue, Suite 900, Memphis, Tennessee 38119. SAM holds sole or shared voting rights for 19.8 percent of

<sup>&</sup>lt;sup>7</sup> See id. § 63.11(e)(5).

outstanding shares of Level 3 Parent that are otherwise owned by other entities for whom SAM

acts as an investment advisor.

#### **Response to Question 21:**

Level 3 International has the following four interlocking directorates with foreign

carriers:

- Brian R. Hedlund, who is Assistant Secretary of Level 3 International, is also Secretary of Level 3 (Austria) Ltd., Secretary of Level 3 Denmark (Ltd.) an Assistant Secretary of Level 3 Communications Ltd. (Ireland), Secretary of Level 3 Communications AG (Switzerland), and Vice President and Assistant Secretary of Level 3 Communications Limited (United Kingdom);
- Andrew E. Ottinger, Jr., who is Vice President of Level 3 International, is also a Secretary and Director-Tax of Level 3 Communications Ltd. (Ireland), Secretary of Level 3 Communications AG (Switzerland), and a Secretary and Director-Tax of Level 3 Communications Limited (United Kingdom);
- Thomas C. Stortz, who is a Director, Group Vice President, General Counsel, and Secretary of Level 3 International, is also Senior Vice President and General Counsel of Level 3 Communications GmbH (Germany), Senior Vice President and General Counsel of Level 3 Communications Ltd. (Ireland), a Director of Level 3 Communications S.R.L. (Italy), Senior Vice President and General Counsel of Level 3 Communications B.V. (Netherlands), a Director, Senior Vice President, and General Counsel of Level 3 Communications AG (Switzerland), and Senior Vice President and General Counsel Level 3 Communications Limited (United Kingdom); and
- Robert M. Yates, who is a Senior Vice President, Assistant General Counsel, and Assistant Secretary of Level 3 International, is also is a Director of Level 3 Communications (Austria) Limited, a Director of Level 3 Communications S.A. (Belgium), a Director of Level 3 Communications (Denmark) Limited, a Director of Level 3 Communications S.A.S. (France), a Director of Level 3 Communications GmbH (Germany), a Director of Level 3 Communications Ltd. (Ireland), a Director of Level 3 Communications S.R.L. (Italy), a Director of Level 3 Communications B.V. (the Netherlands), a Director of Level 3 Communications SLU (Spain), a Director of Level 3 Communications A.B. (Sweden), and a Director of Level 3 Communications Limited (United Kingdom).



# **PUBLIC NOTICE**

FEDERAL COMMUNICATIONS COMMISSION 445 12th STREET S.W. WASHINGTON D.C. 20554

News media information 202-418-0500 Fax-On-Demand 202-418-2830; Internet: http://www.fcc.gov (or ftp.fcc.gov) TTY (202) 418-2555

DA No. 07-2148

Report No. FCN-00102

Wednesday May 23, 2007

#### FOREIGN CARRIER AFFILIATION NOTIFICATION

Pursuant to the Commission's rules, the U.S. international carriers and submarine cable landing licensees listed below filed with the Commission a notification of the affiliation they have or propose to have with foreign carriers. These notifications are filed pursuant to Sections 63.11 and 1.768 of the Commission's rules, 47 C.F.R. Sections 63.11 and 1.768.

The Commission amended Section 63.11 in IB Docket No. 97-142, Rules and Policies on Foreign Participation in the U.S. Telecommunications Market, 15 FCC Rcd. 18158 (2000). The Commission recently adopted Section 1.768 in IB Docket No. 00-106, Review of Commission Consideration of Applications under the Cable Landing License Act, 16 FCC Rcd. 22167 (2001).

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T-Systems North America, Inc.

Notification

Pursuant to Section 63.11 of the Commission's Rules, T-Systems North America, Inc. (T-Systems) hereby notifies the Commission of its affiliation with the following foreign carriers: tele.ring Telekom Services GmbH and T-Systems Austria GesmbH (Austria); Hrvatske telekominkacije d.o.o. and T-Systems d.o.o. (Bosnia-Herzegovina); T-Systems Telecommunicacoes e Servicos Ltda. (Brazil); T-Systems Danmark A/S (Denmark); T-Systems Greece E.P.E. (Greece); T-Systems Luxemborg (Luxembourg); Monet d.o.o. and Telekom Crne Gore A.D. (Montenegro); T-Systems Nederland b.v. (Netherlands); T-Systems Polska Sp.z.o.o. (Poland); T-Systems CIS (Russia); T-Systems d.o.o. (Serbia); T-Systems informacijski sistemi, d.o.o. (Slovenia); Infovan (Pty) Ltd. (South Africa); T-Systems Telekomunikasyon Ltd Sirketi (Turkey); and TOB T-Systems Ukraine (Ukraine).

By letter filed December 21, 2006, T-Systems North America, Inc. notified the Commission of their surrender of its authority to provide international telecommunications service on the U.S.-Montenegro route, effective immediately. T-Systems North America, Inc. also notified the Commission that it has acquired a new foreign carrier affiliation with Telekom Crne Gore A.D., the monopoly fixed line telecommunications carrier in Montenegro.

FCN-NEW-20070214-00032 E

Level 3 Communications, LLC

Notification

Pursuant to Section 63.11 of the Commission's rules, Level 3 Communications, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

FCN-NEW-20070214-00033

WilTel Communications, LLC

Notification

Pursuant to Section 63.11 of the Commission's rules, WilTel Communications, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

FCN-NEW-20070214-00034

Eldorado Acquisition Three, LLC

Notification

Pursuant to Section 63.11 of the Commission's rules, Eldorado Acquisition Three, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

FCN-NEW-20070214-00035

Vyvx, LLC

Notification

Pursuant to Section 63.11 of the Commission's rules, Vyvx, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.