



**FEDERAL COMMUNICATIONS COMMISSION  
FCC FOREIGN CARRIER AFFILIATIONS NOTIFICATION**

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**CARRIER INFORMATION**

**CONFIDENTIALITY:** Carriers filing foreign carrier affiliation notifications pursuant to Section 63.11(a) of the Rules, 47 C.F.R §63.11(a), and requesting confidential treatment for the first twenty days pursuant to Sections 63.11(i) and 0.459 of the Rules, 47 C.F.R. §§63.11(i) and 0.459, should not file this form electronically, but instead, should file this form and the required attachments manually. Please send the manual submission to:

Secretary  
Room TW-B204F  
445 12th Street, SW  
Washington, DC 20554

**REMINDER:** Carriers filing foreign carrier affiliation notifications are reminded to review the requirements in Section 63.11 of the Rules, 47 C.F.R. §63.11. See *FACT SHEET*

**Note: Use only standard punctuation. Please do not use special characters - such as ';' - in any of the fields below!**

Enter a description of this notification to identify it on the main menu:  
Level 3 LLC FCN 021407

<b>1. Carrier</b>	
Name: Level 3 Communications, LLC	Phone Number: 720-888-2516
DBA Name:	Fax Number: 720-888-5134
Street: 1025 Eldorado Blvd.	E-Mail: bill.hunt@level3.com
City: Broomfield	State: CO
Country: USA	Zipcode: 80021 -
Attention: Mr William P Hunt III	
<b>2. Contact</b>	
Name: Kent D. Bressie	Phone Number: 202-730-1337
Company: Harris, Wiltshire & Grannis LLP	Fax Number: 202-730-1301
Street: 1200 18th Street, N.W. Suite 1200	E-Mail: kbressie@harriswiltshire.com
City:	State:

Washington

DC

Country: USA

Zipcode: 20036 -2560

Attention:

Relationship: Legal Counsel

3. Place of Incorporation of Carrier Delaware

4. Other Company(ies) and Place(s) of Incorporation

5. Country or Countries for which carrier is notifying the Commission of an affiliation. Canada

6. Is there an accompanying Transfer of Control or Assignment Application pursuant to Section 63.18 (e)(3) of the Rules, 47 C.F.R. §63.18(e)(3), to this foreign carrier affiliation notification?  Yes  No

7. If the answer to question 6 is yes, please provide the date of filing of the Transfer of Control or Assignment Application. 10/17/2006

8. Is this foreign carrier affiliation notification being filed as a prior notification pursuant to Section 63.11(a) or as a post notification pursuant to Section 63.11(b)-(c)?  Prior  Post

9. If this foreign carrier affiliation notification is being filed as a prior notification pursuant to Section 63.11(a)(1) or (2) of the Rules, 47 C.F.R. §§63.11(a)(1) or (2), is this filing being made at least forty-five days prior to the consummation of the acquisition? If the answer to this question is no, include in an Attachment (use Attachment 1 below) a statement explaining the reason for non-compliance with the Section 63.11(a) filing requirement.  Yes  No  N/A

10. If this foreign carrier affiliation notification is being filed as a post notification pursuant to Section 63.11(b)-(c) of the Rules, 47 C.F.R. §63.11(b)-(c), is this filing being made within thirty days after consummation of the acquisition? If the answer to this question is no, include in an Attachment (use Attachment 1 below) a statement explaining the reason for non-compliance with the Section 63.11(c) filing requirement.  Yes  No  N/A

11. If the foreign carrier affiliation notification is being filed as a post notification pursuant to Section 63.11(c) of the Rules, 47 C.F.R. §63.11(c), are you relying upon an exception in Section 63.11(b)?  Yes  No  N/A

12. If the answer to question 11 is yes, please certify to one or more of the following questions as applicable for each carrier and destination market for which notification is being made (see Section 63.11(b)):

a. Has the Commission previously determined in an adjudication that the foreign carrier(s) lacks market power in any destination market being notified in this filing? If the answer is yes, please provide the citation(s) to the decision(s) in an Attachment (use Attachment 1 below).  Yes  No  N/A

b. Does the foreign carrier(s) own facilities in any destination market(s) being notified in this filing (see Section 63.11(b)(1)(ii) for a definition of "facilities")? If the answer is yes, please specify the carrier(s) and destination market(s) being notified in this filing in an attachment (use Attachment 1 below).  Yes  No  N/A

c. Is the foreign carrier authorized to operate in a WTO Member?
1. If the foreign carrier is authorized to operate in a WTO Member, is the authorized carrier making this filing demonstrating that it is entitled to retain non-dominant classification on the newly affiliated route pursuant to Section 63.10 of the Rules, 47 C.F.R. §63.10? If so, please provide the necessary demonstration in an Attachment (use Attachment 1 below).  Yes  No  N/A

2. If the foreign carrier is authorized to operate in a WTO Member, is the authorized carrier agreeing to comply with the dominant carrier safeguards contained in Section 63.10 of the Rules, 47 C.F.R. §63.10, effective upon the acquisition of the affiliation?  Yes  No Undefined field (dominant\_carrier\_safeguards\_sw:3)

13. If the foreign carrier affiliation notification is being filed as a prior notification pursuant to Section 63.11(a) of the Rules, 47 C.F.R. §63.11(a), is the foreign carrier authorized to operate in a non-WTO

Member country?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A
14. If the answer to question 13 is yes, is the authorized carrier making the required showing that the foreign carrier lacks market power in the non-WTO Member country (see Section 63.18(k)(2), 47 C.F.R. §63.18(k)(2)) or, alternatively, the Effective Competitive Opportunities showing specified in Section 63.18(k)(3) of the Rules, 47 C.F.R. §63.18(k)(3)? If yes, please provide the supporting information in an Attachment (use Attachment 1 below).	
<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A	
15. If the answer to question 13 is yes and the answer to question 14 is no, you may provide information in an Attachment (use Attachment 1 below) to demonstrate it continues to serve the public interest for you to operate on the route for which you propose to acquire an affiliation with the non-WTO foreign carrier. (See Section 63.11(g)(2)).	
16. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, does the authorized carrier request continued regulation as a non-dominant international carrier pursuant to Section 63.10 of the Rules, 47 C.F.R. §63.10? If yes, include an Attachment (use Attachment 1 below) stating how the carrier qualifies for non-dominant classification pursuant to this section.	
<input checked="" type="radio"/> Yes <input type="radio"/> No	
17. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide in an Attachment (use Attachment 1 below) the name of the newly affiliated foreign carrier(s) and the country or countries in which it is authorized to provide telecommunications services to the public.	
18. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, state in an Attachment (use Attachment 1 below) what services the authorized carrier(s) making this filing is authorized to provide to each country named in response to question 17 and the FCC file numbers under which each such authorization was granted.	
19. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, state in an Attachment (use Attachment 1 below) which, if any, of the countries the authorized carrier making this filing serves solely through the resale of international switched services of unaffiliated U.S. facilities-based carriers.	
20. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide in an Attachment (use Attachment 1 below) the name, address, citizenship and principal business of any person or entity that directly or indirectly owns at least ten percent of the equity of the authorized carrier making this filing, and the percentage of equity owned by each of those entities to the nearest one percent.	
21. Regardless of whether the foreign carrier affiliation notification is filed as a prior or post notification, provide the name of any interlocking directorates, as defined in Section 63.09(g) of the Rules, 47 C.F.R. §63.09(g), with each foreign carrier named in the notification.	
22. By checking Yes, the carrier certifies that it has not agreed to accept special concessions directly or indirectly from any foreign carrier with respect to any U.S. international route where the foreign carrier possesses market power on the foreign end of the route.	
<input checked="" type="radio"/> Yes <input type="radio"/> No	
23. By checking Yes, the carrier certifies that it shall maintain the continuing accuracy of information provided pursuant to Section 63.11 of the Rules, 47 C.F.R. §63.11, for a period of forty-five days after the filing of this form. In addition, the applicant certifies that it shall immediately inform the Commission if at any time, not limited to forty-five days, the representations in the "special concessions" certification referenced in question 22 are no longer accurate.	
<input checked="" type="radio"/> Yes <input type="radio"/> No	

**CERTIFICATION**

24. Typed Name of Person Signing Thomas C. Stortz	25. Title of Person Signing Exec VP & Chief Legal Officer
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**WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND / OR IMPRISONMENT (U.S. Code, Title 18, Section 1001), AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).**

26. 1: Attachment 1	2: Attachment	3: Attachment
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**FCC NOTICE REQUIRED BY THE PAPERWORK REDUCTION ACT**

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**THE FOREGOING NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995,  
PUBLIC LAW 104-13, OCTOBER 1, 1995, 44 U.S.C. SECTION 3507.**

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## ATTACHMENT 1

### Background

Level 3 Communications, LLC (“Level 3 LLC”), acquired its new foreign carrier affiliation with Broadwing Communications Canada, LLC (“Broadwing Canada”), following the consummation of the acquisition by Level 3 Communications, Inc. (“Level 3 Parent”), of Broadwing Corporation (“Broadwing Parent”) and its subsidiaries, (including Broadwing Canada and Broadwing Communications, LLC (“Broadwing”)), on January 3, 2007. The International Bureau had previously approved Level 3 Parent’s acquisition of Broadwing effective November 10, 2006.<sup>1</sup> Broadwing Canada is now a wholly-owned, indirect subsidiary of Level 3 LLC, Level 3 Parent’s principal operating subsidiary in the United States.

Level 3 LLC was delayed in submitting this notification due to ongoing debt-to-equity conversions affecting Level 3 Parent’s shareholder composition (which in turn affected Level 3 LLC’s ability to certify regarding 10-percent-or-greater direct or indirect interest holders). Now that those changes are complete, Level 3 LLC is able to provide an accurate certification. Level 3 LLC therefore respectfully asks that Commission treat these notifications—which were due on February 2, 2007—as timely filed.

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<sup>1</sup> See *International Authorizations Granted, Public Notice*, DA 06-2312 (rel. Nov. 17, 2006, effective Nov. 10, 2006) (“*Broadwing IB Transfer PN*”); FCC File No. ITC-T/C-20061017-00475. See also *Notice of Streamlined Domestic 214 Applications Granted, Public Notice*, WC Docket No. 06-193, DA 06-2357 (rel. Nov. 24, 2006, effective Nov. 23, 2006).

**Response to Question 12(b)**

Broadwing Canada owns no facilities in Canada.

**Response to Question 12(c)(1)**

Level 3 LLC is entitled to retain non-dominant classification on its newly-affiliated route pursuant to Section 63.10(a)(3) of the Commission's rules.<sup>2</sup> Broadwing Canada holds significantly less than a 50-percent market share in Canada's international transport and local access markets. Moreover, Broadwing Canada has no ability to discriminate against unaffiliated U.S. international carriers through the control of bottleneck services or facilities in Canada. Broadwing Canada does not appear on the Commission's list of foreign telecommunications carriers presumed to possess market power any in foreign telecommunications market.<sup>3</sup> Broadwing Canada therefore continues to qualify for a presumption of non-dominance pursuant to Section 63.10(a)(3) of the Commission's rules and exemption from the dominant carrier safeguards in Sections 63.10(c) through (e) of the Commission's rules.<sup>4</sup>

**Response to Question 16**

Level 3 LLC requests continued regulation as a non-dominant international carrier pursuant to Section 63.10 of the Commission's rules, consistent with its Response to Question 12(c)(1) above.

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<sup>2</sup> See 47 C.F.R. §§ 63.10(a)(3), 63.11(f).

<sup>3</sup> See *International Bureau Revises and Reissues the Commission's List of Foreign Telecommunications Carriers that Are Presumed to Possess Market Power in Foreign Telecommunications Markets*, Public Notice, 19 FCC Rcd. 20,358 (Int'l Bur. 2004) ("Presumed Market Power List").

<sup>4</sup> See 47 C.F.R. §§ 63.10(a)(3), (c)-(e).

**Response to Question 17:**

Broadwing Canada is authorized to provide telecommunications services to the public in Canada, a WTO Member:<sup>5</sup>

**Response to Question 18:**

Pursuant to FCC File No. File No. ITC-214-19971229-00821 (previously FCC File No. ITC-98-016), Level 3 LLC is authorized to provide global facilities-based and global resale services to the country named in response to Question 17, *i.e.*, Canada.

**Response to Question 19:**

With respect to the country listed in response to Question 17, Level 3 LLC serves Canada solely through the resale of international switched services of unaffiliated U.S. facilities-based carriers.<sup>6</sup>

**Response to Question 20:**

Level 3 LLC is ultimately a wholly-owned, indirect subsidiary of Level 3 Parent. The names, addresses, citizenships, and principal businesses of Level 3 LLC's 10-percent-or-greater direct or indirect owners are as follows.<sup>7</sup>

Level 3 LLC is a wholly-owned, direct subsidiary of Level 3 Financing, Inc. ("Level 3 Financing"), a Delaware corporation engaged in the business of providing managed information

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<sup>5</sup> See *id.* §§ 63.11(e)(1), (2).

<sup>6</sup> See *id.* § 63.11(e)(4).

<sup>7</sup> See *id.* § 63.11(e)(5).

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technology infrastructure services across a variety of platforms. Level 3 Financing's address is 1025 Eldorado Boulevard, Broomfield, Colorado 80021.

Level 3 Financing, in turn, is a wholly-owned, direct subsidiary of Level 3 Parent, a Delaware corporation engaged in the business of providing, through its operating subsidiaries, a wide range of communications services over its extensive broadband fiber-optic network in North America, Europe, and Asia, including Internet-protocol-based services, broadband transport, collocation services, and patented Softswitch-based managed modem and voice services. Level 3 Parent's address is 1025 Eldorado Boulevard, Broomfield, Colorado 80021. Level 3 Parent has no controlling shareholder or group of shareholders exercising common control.

Only one party holds a ten-percent-or-greater direct or indirect interest in Level 3 Parent: Southeastern Asset Management, Inc. ("SAM"). SAM is a Tennessee corporation engaged in the business of providing investment advisory services and located at 6410 Poplar Avenue, Suite 900, Memphis, Tennessee 38119. SAM holds sole or shared voting rights for 19.8 percent of outstanding shares of Level 3 Parent that are otherwise owned by other entities for whom SAM acts as an investment advisor.

**Response to Question 21:**

Level 3 LLC has the following five interlocking directorates with foreign carriers:



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- Richard Haywood, who is Vice President of Level 3 LLC, is also a Director of Level 3 Communications (Austria) Limited, Director of Level 3 Communications S.A. (Belgium), Director of Level 3 Communications (Denmark) Limited, Director of Level 3 Communications S.A.S. (France), Managing Director of Level 3 Communications GmbH (Germany), Director of Level 3 Communications B.V. (Netherlands), Director of Level 3 Communications SLU (Spain), Director of Level 3 Communications A.B. (Sweden), and a Director of Level 3 Communications Limited (United Kingdom).
- Andrew E. Ottinger, Jr., who is Vice President of Level 3 LLC, is also a Secretary and Director-Tax of Level 3 Communications Ltd. (Ireland), Secretary of Level 3 Communications AG (Switzerland), and a Secretary and Director-Tax of Level 3 Communications Limited (United Kingdom);
- Brady Rafuse, who is President of Content Markets for Level 3 LLC, is also Director of Level 3 Communications (Austria) Limited, Director of Level 3 Communications S.A. (Belgium), Director of Level 3 Communications (Denmark) Limited, Director of Level 3 Communications S.A.S. (France), a Director of Level 3 Communications Ltd. (Ireland), Chairman of Level 3 Communications S.R.L. (Italy), Director of Level 3 Communications B.V. (Netherlands), Director of Level 3 Communications SLU (Spain), Director of Level 3 Communications A.B. (Sweden), and a Director of Level 3 Communications Limited (United Kingdom).
- Thomas C. Stortz, who is Executive Vice President and Chief Legal Officer of Level 3 LLC, is also Senior Vice President and General Counsel of Level 3 Communications GmbH (Germany), Senior Vice President and General Counsel of Level 3 Communications Ltd. (Ireland), a Director of Level 3 Communications S.R.L. (Italy), Senior Vice President and General Counsel of Level 3 Communications B.V. (Netherlands), a Director, Senior Vice President, and General Counsel of Level 3 Communications AG (Switzerland), and Senior Vice President and General Counsel Level 3 Communications Limited (United Kingdom); and
- Robert M. Yates, who is a Senior Vice President, Assistant General Counsel, and Assistant Secretary of Level 3 LLC, is also is a Director of Level 3 Communications (Austria) Limited, a Director of Level 3 Communications S.A. (Belgium), a Director of Level 3 Communications (Denmark) Limited, a Director of Level 3 Communications S.A.S. (France), a Director of Level 3 Communications GmbH (Germany), a Director of Level 3 Communications Ltd. (Ireland), a Director of Level 3 Communications S.R.L. (Italy), a Director of Level 3 Communications B.V. (the Netherlands), a Director of Level 3 Communications SLU (Spain), a Director of Level 3 Communications A.B. (Sweden), and a Director of Level 3 Communications Limited (United Kingdom).



# PUBLIC NOTICE

FEDERAL COMMUNICATIONS COMMISSION  
445 12th STREET S.W.  
WASHINGTON D.C. 20554

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DA No. 07-2148

Report No. FCN-00102

Wednesday May 23, 2007

## FOREIGN CARRIER AFFILIATION NOTIFICATION

Pursuant to the Commission's rules, the U.S. international carriers and submarine cable landing licensees listed below filed with the Commission a notification of the affiliation they have or propose to have with foreign carriers. These notifications are filed pursuant to Sections 63.11 and 1.768 of the Commission's rules, 47 C.F.R. Sections 63.11 and 1.768.

The Commission amended Section 63.11 in IB Docket No. 97-142, Rules and Policies on Foreign Participation in the U.S. Telecommunications Market, 15 FCC Rcd. 18158 (2000). The Commission recently adopted Section 1.768 in IB Docket No. 00-106, Review of Commission Consideration of Applications under the Cable Landing License Act, 16 FCC Rcd. 22167 (2001).

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FCN-NEW-20061221-00031 E T-Systems North America, Inc.

### Notification

Pursuant to Section 63.11 of the Commission's Rules, T-Systems North America, Inc. (T-Systems) hereby notifies the Commission of its affiliation with the following foreign carriers: tele.ring Telekom Services GmbH and T-Systems Austria GesmbH (Austria); Hrvatske telekomunikacije d.o.o. and T-Systems d.o.o. (Bosnia-Herzegovina); T-Systems Telecomunicacoes e Servicos Ltda. (Brazil); T-Systems Danmark A/S (Denmark); T-Systems Greece E.P.E. (Greece); T-Systems Luxemborg (Luxembourg); Monet d.o.o. and Telekom Crne Gore A.D. (Montenegro); T-Systems Nederland b.v. (Netherlands); T-Systems Polska Sp.z.o.o. (Poland); T-Systems CIS (Russia); T-Systems d.o.o. (Serbia); T-Systems informacijski sistemi, d.o.o. (Slovenia); Infovan (Pty) Ltd. (South Africa); T-Systems Telekomunikasyon Ltd Sirketi (Turkey); and TOB T-Systems Ukraine (Ukraine).

By letter filed December 21, 2006, T-Systems North America, Inc. notified the Commission of their surrender of its authority to provide international telecommunications service on the U.S.-Montenegro route, effective immediately. T-Systems North America, Inc. also notified the Commission that it has acquired a new foreign carrier affiliation with Telekom Crne Gore A.D., the monopoly fixed line telecommunications carrier in Montenegro.

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FCN-NEW-20070214-00032 E Level 3 Communications, LLC

### Notification

Pursuant to Section 63.11 of the Commission's rules, Level 3 Communications, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

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FCN-NEW-20070214-00033 E WiTel Communications, LLC

### Notification

Pursuant to Section 63.11 of the Commission's rules, WiTel Communications, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

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FCN-NEW-20070214-00034 E Eldorado Acquisition Three, LLC

### Notification

Pursuant to Section 63.11 of the Commission's rules, Eldorado Acquisition Three, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

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FCN-NEW-20070214-00035 E Vyvx, LLC

### Notification

Pursuant to Section 63.11 of the Commission's rules, Vyvx, LLC hereby notifies the Commission of its foreign affiliation with Broadwing Communications Canada, LLC in Canada.

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