# TEST REPORT

## For SAR

Report No. ....:: CHTEW22030039 Report verification:

Project No....:: SHT2202013112EW

FCC ID.....:: YY3-NX41P-UHF

Applicant's name.....: **Handheld Group AB** 

Address....: Strandgatan 40 531 30 Lidköping Sweden

Test item description .....: **UHF** module

Trade Mark .....: handheld

Model/Type reference..... NX41-P-PG-UHF

Listed Model(s) .....:

Standard ....:: FCC 47 CFR Part2.1093

IEEE Std C95.1: 1999 Edition

IEEE Std 1528: 2013

Date of receipt of test sample...... Feb.23, 2022

Date of testing.....: Feb.23, 2022- Mar.07, 2022

Date of issue....: Mar.08, 2022

**PASS** Result.....:

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The test report merely correspond to the test sample.

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# 1. Statement of Compliance

| Maximum Reported SAR (W/kg @10g) |              |       |  |  |
|----------------------------------|--------------|-------|--|--|
| Туре                             | Test setting | RF ID |  |  |
| Limbs                            | Dist.= 0mm   | 0.801 |  |  |

#### Note:

- 1. This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits specified in FCC 47 CFR part 2 (2.1093) and IEEE Std C95.1,
- 2. This device had been tested in accordance with the measurement methods and procedures specified in IEEE 1528 and FCC KDB publications.

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## 2. Test Standards and Report version

### 2.1. Test Standards

The tests were performed according to following standards:

FCC 47 Part 2.1093: Radiofrequency radiation exposure evaluation: portable devices.

<u>IEEE Std C95.1, 1999 Edition:</u> IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz

<u>IEEE Std 1528™-2013:</u> IEEE Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques.

FCC published RF exposure KDB procedures:

865664 D01 SAR Measurement 100 MHz to 6 GHz v01r04: SAR Measurement Requirements for 100 MHz to 6 GHz

<u>865664 D02 RF Exposure Reporting v01r02:</u> RF Exposure Compliance Reporting and Documentation Considerations

447498 D01 General RF Exposure Guidance v06: Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies

TCB workshop April, 2019; Page 19, Tissue Simulating Liquids (TSL)

## 2.2. Report version

| Revision No. | Date of issue | Description |
|--------------|---------------|-------------|
| N/A          | 2022-03-08    | Original    |
|              |               |             |
|              |               |             |
|              |               |             |
|              |               |             |

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# 3. Summary

## 3.1. Client Information

| Applicant:                                      | Handheld Group AB                      |  |
|---|--|--|
| Address: Strandgatan 40 531 30 Lidköping Sweden |  |  |
| Manufacturer:                                   | Handheld Group AB                      |  |
| Address:  | Strandgatan 40 531 30 Lidköping Sweden |  |

## 3.2. Product Description

| Main unit                |  |
|--------------------------|--|
| Name of EUT:             | UHF module                                     |
| Trade Mark:              | handheld                                       |
| Model No.:               | NX41-P-PG-UHF                                  |
| Listed Model(s):         | -  |
| Power supply:            | DC 3.6V from battery                           |
| Hardware version:        | 4.0.4  |
| Software version:        | 1.5.3  |
| Device Dimension:        | Length x Width x Thickness (mm): 160X100X100mm |
| Device Category:         | Portable                                       |
| Product stage:           | Production unit                                |
| RF Exposure Environment: | General Population/Uncontrolled                |
| HTW test sample No.:     | YPHT22020131023                                |

Note

The EUT battery must be fully charged and checked periodically during the test to ascertain uniform power.

# 3.3. RF Specification Description

| RF ID   |               |       |             |             |  |  |
|---|---------------|-------|-------------|-------------|--|--|
| Support Frequency:                              | 902MHz~928MHz |       |             |             |  |  |
| Modulation:                                     | FSK           | FSK   |             |             |  |  |
| Bluetooth1 FCC ID: YY3-142441 Model: NAUTIZ X41 |               |       |             |             |  |  |
| Support type:                                   | ⊠BR           | ⊠ EDR | ⊠ BLE-1Mbps | ☐ BLE-2Mbps |  |  |
| Bluetooth2 FCC ID: YY3-NX41P Model: NX41-P-PG   |               |       |             |             |  |  |
| Support type:                                   | ⊠BR           | ⊠ EDR | ☐ BLE-1Mbps | ☐ BLE-2Mbps |  |  |

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# 3.4. Testing Laboratory Information

| Laboratory Name   | Shenzhen Huatongwei International Inspection Co., Ltd.                                       |                      |  |  |
|---|--|----------------------|--|--|
| Laboratory Location   | 1/F, Bldg 3, Hongfa Hi-tech Industrial Park, Genyu Road, Tianliao, Gongming, Shenzhen, China |                      |  |  |
| Tel: 86-755-26715499  Connect information: E-mail: cs@szhtw.com.cn  http://www.szhtw.com.cn |  |                      |  |  |
| Qualifications  | Туре   | Accreditation Number |  |  |
| Qualifications  | FCC  | 762235               |  |  |

## 3.5. Environmental conditions

During the measurement the environmental conditions were within the listed ranges:

| Ambient temperature | 18 °C to 25 °C |
|---------------------|----------------|
| Ambient humidity    | 30%RH to 70%RH |
| Air Pressure        | 950-1050mbar   |

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# 4. Equipments Used during the Test

| Used  | Test Equipment                          | Manufacturer  | Model No.     | Serial No. | Cal. date<br>(YY-MM-DD) | Due date<br>(YY-MM-DD) |
|-------|---|---------------|---------------|------------|-------------------------|------------------------|
| •     | Data Acquisition Electronics DAEx       | SPEAG         | DAE4          | 1549       | 2021/03/23              | 2022/03/22             |
| •     | E-field Probe                           | SPEAG         | EX3DV4        | 7494       | 2021/04/09              | 2022/04/08             |
| •     | Universal Radio<br>Communication Tester | R&S           | CMW500        | 137681     | 2021/05/27              | 2022/05/26             |
| • Tis | ssue-equivalent liquids Val             | idation       |               |            |                         |                        |
| •     | Dielectric Assessment Kit               | SPEAG         | DAK-3.5       | 1267       | N/A                     | N/A                    |
| 0     | Dielectric Assessment Kit               | SPEAG         | DAK-12        | 1130       | N/A                     | N/A                    |
| •     | Network analyzer                        | Keysight      | E5071C        | MY46733048 | 2021/09/17              | 2022/09/16             |
| • Sy  | stem Validation                         |               |               |            |                         |                        |
| 0     | System Validation Antenna               | SPEAG         | CLA-150       | 4024       | 2021/01/25              | 2024/01/24             |
| 0     | System Validation Dipole                | SPEAG         | D450V3        | 1102       | 2021/01/20              | 2024/01/19             |
| 0     | System Validation Dipole                | SPEAG         | D750V3        | 1180       | 2021/01/22              | 2024/01/21             |
| •     | System Validation Dipole                | SPEAG         | D835V2        | 4d238      | 2021/01/22              | 2024/01/21             |
| 0     | System Validation Dipole                | SPEAG         | D1750V2       | 1164       | 2021/01/22              | 2024/01/21             |
| 0     | System Validation Dipole                | SPEAG         | D1900V2       | 5d226      | 2021/01/22              | 2024/01/21             |
| 0     | System Validation Dipole                | SPEAG         | D2450V2       | 1009       | 2021/01/25              | 2024/01/24             |
| 0     | System Validation Dipole                | SPEAG         | D2600V2       | 1150       | 2021/01/25              | 2024/01/24             |
| 0     | System Validation Dipole                | SPEAG         | D5GHzV2       | 1273       | 2021/01/26              | 2024/01/25             |
| •     | Signal Generator                        | R&S           | SMB100A       | 114360     | 2021/08/05              | 2022/08/04             |
| •     | Power Viewer for Windows                | R&S           | N/A           | N/A        | N/A                     | N/A                    |
| •     | Power sensor                            | R&S           | NRP18A        | 101010     | 2021/08/05              | 2022/08/04             |
| •     | Power sensor                            | R&S           | NRP18A        | 101386     | 2021/05/27              | 2022/05/26             |
| •     | Power Amplifier                         | BONN          | BLWA 0160-2M  | 1811887    | 2021/11/11              | 2022/11/10             |
| •     | Dual Directional Coupler                | Mini-Circuits | ZHDC-10-62-S+ | F975001814 | 2021/11/11              | 2022/11/10             |
| •     | Attenuator                              | Mini-Circuits | VAT-3W2+      | 1819       | 2021/11/11              | 2022/11/10             |
| •     | Attenuator                              | Mini-Circuits | VAT-10W2+     | 1741       | 2021/11/11              | 2022/11/10             |

#### Note:

- 1. The Probe, Dipole and DAE calibration reference to the Appendix E and F.
- 2. Referring to KDB865664 D01, the dipole calibration interval can be extended to 3 years with justifcatio. The dipole are also not physically damaged or repaired during the interval.

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# 5. Measurement Uncertainty

Per KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz, when the highest measured 1-g SAR within a frequency band is < 1.5 W/kg and the measured 10-g SAR within a frequency band is < 3.75 W/kg. The expanded SAR measurement uncertainty must be  $\leq$  30%, for a confidence interval of k = 2. If these conditions are met, extensive SAR measurement uncertainty analysis described in IEEE Std 1528 is not required in SAR reports submitted for equipment approval.

Therefore, the measurement uncertainty is not required.

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## 6. SAR Measurement System Configuration

## 6.1. SAR Measurement Set-up

The DASY5 system for performing compliance tests consists of the following items:

A standard high precision 6-axis robot (Stäubli RX family) with controller and software. An arm extension for accommodating the data acquisition electronics (DAE).

A dosimetric probe, i.e. an isotropic E-field probe optimized and calibrated for usage in tissue simulating liquid. The probe is equipped with an optical surface detector system.

A data acquisition electronic (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.

A unit to operate the optical surface detector which is connected to the EOC.

The Electro-Optical Coupler (EOC) performs the conversion from the optical into a digital electric signal of the DAE. The EOC is connected to the DASY5 measurement server.

The DASY5 measurement server, which performs all real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operation. A computer operating Windows 2003.

DASY5 software and SEMCAD data evaluation software.

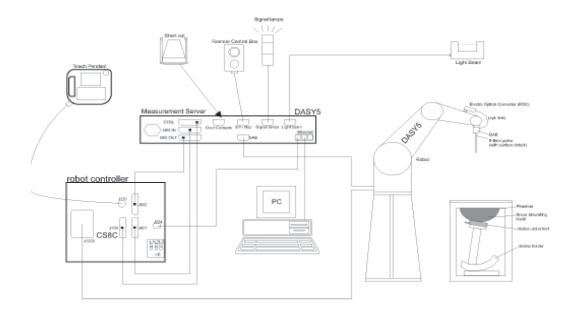
Remote control with teach panel and additional circuitry for robot safety such as warning lamps, etc.

The generic twin phantom enabling the testing of left-hand and right-hand usage.

The device holder for handheld Mobile Phones.

Tissue simulating liquid mixed according to the given recipes.

System validation dipoles allowing to validate the proper functioning of the system.



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## 6.2. DASY5 E-field Probe System

The SAR measurements were conducted with the dosimetric probe EX3DV4 (manufactured by SPEAG), designed in the classical triangular configuration and optimized for dosimetric evaluation.

### Probe Specification

Construction Symmetrical design with triangular core

Interleaved sensors

Built-in shielding against static charges

PEEK enclosure material (resistant to organic solvents, e.g., DGBE)

Calibration ISO/IEC 17025 calibration service available.

Frequency 4 MHz to 10 GHz;

Linearity: ± 0.2 dB (30 MHz to 6 GHz)

Directivity  $\pm 0.3$  dB in HSL (rotation around probe axis)

± 0.5 dB in tissue material (rotation normal to probe axis)

Dynamic Range 10  $\mu$ W/g to > 100 W/kg;

Linearity: ± 0.2 dB

Dimensions Overall length: 337 mm (Tip: 20 mm)

Tip diameter: 2.5 mm (Body: 12 mm)

Distance from probe tip to dipole centers: 1.0 mm

Application General dosimetry up to 6 GHz

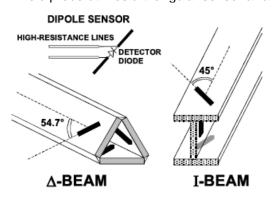
Dosimetry in strong gradient fields Compliance tests of Mobile Phones

Compatibility DASY3, DASY4, DASY52 SAR and higher, EASY4/MRI

#### Isotropic E-Field Probe

The isotropic E-Field probe has been fully calibrated and assessed for isotropicity, and boundary effect within a controlled environment. Depending on the frequency for which the probe is calibrated the method utilized for calibration will change.

The E-Field probe utilizes a triangular sensor arrangement as detailed in the diagram below:





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#### 6.3. Phantoms

The phantom used for all tests i.e. for both system checks and device testing, was the twin-headed "SAM Phantom", manufactured by SPEAG. The SAM twin phantom is a fiberglass shell phantom with 2mm shell thickness (except the ear region, where shell thickness increases to 6mm).

System checking was performed using the flat section, whilst Head SAR tests used the left and right head profile sections. Body SAR testing also used the flat section between the head profiles.



SAM-Twin Phantom

#### 6.4. Device Holder

The device was placed in the device holder (illustrated below) that is supplied by SPEAG as an integral part of the DASY system.

The DASY device holder is designed to cope with the different positions given in the standard. It has two scales for device rotation (with respect to the body axis) and device inclination (with respect to the line between the ear reference points). The rotation centers for both scales is the ear reference point (ERP). Thus the device needs no repositioning when changing the angles.



Device holder supplied by SPEAG

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## 7. SAR Test Procedure

## 7.1. Scanning Procedure

#### **Step 1: Power Reference Measurement**

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. Measure the local SAR at a test point within 8 mm of the phantom inner surface that is closest to the DUT. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

#### Step 2: Area Scan

The Area Scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum locations even in relatively coarse grids. When an Area Scan has measured all reachable points, it computes the field maximal found in the scanned area, within a range of the global maximum. The range (in dB) is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE Standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan). If only one Zoom Scan follows the Area Scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of Zoom Scans has to be increased accordingly.

#### Area Scan Resolutions per FCC KDB Publication 865664 D01v04

|  | ≤3 GHz   | > 3 GHz  |  |
|--|--|--|--|
| Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface | 5 mm ± 1 mm  | $\frac{1}{2} \cdot \hat{\delta} \cdot \ln(2) \text{ mm} \pm 0.5 \text{ mm}$  |  |
| Maximum probe angle from probe axis to phantom surface normal at the measurement location              | 30° ± 1°   | 20° ± 1°   |  |
|  | ≤ 2 GHz: ≤ 15 mm<br>2 – 3 GHz: ≤ 12 mm   | 3 – 4 GHz: ≤ 12 mm<br>4 – 6 GHz: ≤ 10 mm   |  |
| Maximum area scan spatial resolution: $\Delta x_{Area}$ , $\Delta y_{Area}$                            | measurement plane orienta<br>above, the measurement re<br>corresponding x or y dimen | t or y dimension of the test device, in the ent plane orientation, is smaller than the measurement resolution must be ≤ the ing x or y dimension of the test device with measurement point on the test device. |  |

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#### Step 3: Zoom Scan

Zoom Scans are used to assess the peak spatial SAR values within a cubic averaging volume containing 1g and 10g of simulated tissue. The Zoom Scan measures points (refer to table below) within a cube whose base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the Zoom Scan evaluates the averaged SAR for 1 g and 10 g and displays these values next to the job's label.

## Zoom Scan Resolutions per FCC KDB Publication 865664 D01v04

| Maximum zoom scan spatial resolution: Δx <sub>Zoom</sub> , Δy <sub>Zoom</sub> |   |                                 | $\leq$ 2 GHz: $\leq$ 8 mm<br>2 – 3 GHz: $\leq$ 5 mm* | $3 - 4 \text{ GHz: } \le 5 \text{ mm}^*$<br>$4 - 6 \text{ GHz: } \le 4 \text{ mm}^*$                                       |  |
|---|---|---------------------------------|--|--|--|
|   | uniform grid: $\Delta z_{Zoom}(n)$  |                                 | ≤ 5 mm   | $3 - 4 \text{ GHz} : \le 4 \text{ mm}$<br>$4 - 5 \text{ GHz} : \le 3 \text{ mm}$<br>$5 - 6 \text{ GHz} : \le 2 \text{ mm}$ |  |
| Maximum zoom<br>scan spatial<br>resolution, normal to<br>phantom surface      | $\begin{array}{c c} 1 & \Delta z_{Zoom}(1): \text{ between} \\ \text{normal to} & 1^{\text{st}} \text{ two points closest} \end{array}$ | 1st two points closest          | ≤ 4 mm   | $3 - 4 \text{ GHz:} \le 3 \text{ mm}$<br>$4 - 5 \text{ GHz:} \le 2.5 \text{ mm}$<br>$5 - 6 \text{ GHz:} \le 2 \text{ mm}$  |  |
|   |   | $\leq 1.5 \cdot \Delta z_{Zoc}$ | om(n-1) mm   |  |  |
| Minimum zoom<br>scan volume   | x, y, z   |                                 | ≥ 30 mm  | 3 – 4 GHz: ≥ 28 mm<br>4 – 5 GHz: ≥ 25 mm<br>5 – 6 GHz: ≥ 22 mm   |  |

Note:  $\hat{o}$  is the penetration depth of a plane-wave at normal incidence to the tissue medium; see IEEE Std 1528-2013 for details.

#### Step 4: Power drift measurement

The Power Drift Measurement measures the field at the same location as the most recent power reference measurement within the same procedure, and with the same settings. The Power Drift Measurement gives the field difference in dB from the reading conducted within the last Power Reference Measurement. This allows a user to monitor the power drift of the device under test within a batch process. The measurement procedure is the same as Step 1. The SAR drift shall be kept within ± 5 %.

<sup>\*</sup> When zoom scan is required and the <u>reported</u> SAR from the <u>area scan based 1-g SAR estimation</u> procedures of KDB Publication 447498 is ≤ 1.4 W/kg, ≤ 8 mm, ≤ 7 mm and ≤ 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.

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## 7.2. Data Storage and Evaluation

### **Data Storage**

The DASY5 software stores the acquired data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors),s together with all necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files with the extension ".DA4". The software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of incorrect parameter settings. For example, if a measurement has been performed with a wrong crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be re-evaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type ([V/m], [A/m], [°C], [W/kg], [mW/cm²], [dBrel], etc.). Some of these units are not available in certain situations or show meaningless results, e.g., a SAR output in a lossless media will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

#### **Data Evaluation**

Media parameters:

The SEMCAD software automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

Probe parameters: Sensitivity: Normi, ai0, ai1, ai2

Conversion factor: ConvFi
Diode compression point: Dcpi

Device parameters: Frequency: f

Crest factor: cf
Conductivity: σ
Density: ρ

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY5 components. In the direct measuring mode of the multimeter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given as:

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

Vi: compensated signal of channel (i = x, y, z)

Ui: input signal of channel (i = x, y, z)

cf: crest factor of exciting field (DASY parameter) dcpi: diode compression point (DASY parameter)

From the compensated input signals the primary field data for each channel can be evaluated:

E – fieldprobes : 
$$E_i = \sqrt{\frac{V_i}{Norm_i \cdot ConvF}}$$

H – fieldprobes : 
$$H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1}f + a_{i2}f^2}{f}$$

Vi: compensated signal of channel (i = x, y, z) Normi: sensor sensitivity of channel (i = x, y, z),

[mV/(V/m)2] for E-field Probes

ConvF: sensitivity enhancement in solution

aij: sensor sensitivity factors for H-field probes

f: carrier frequency [GHz]

Ei: electric field strength of channel i in V/m
Hi: magnetic field strength of channel i in A/m

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The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{tot} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$SAR = E_{tot}^2 \cdot \frac{\sigma}{\rho \cdot 1'000}$$

SAR: local specific absorption rate in W/kg

Etot: total field strength in V/m

σ: conductivity in [mho/m] or [Siemens/m]ρ: equivalent tissue density in g/cm3

Note that the density is normally set to 1 (or 1.06), to account for actual brain density rather than the density of the simulation liquid.

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# 8. Dielectric Property Measurements & System Check

### 8.1. Tissue Dielectric Parameters

The temperature of the tissue-equivalent medium used during measurement must also be within  $18^{\circ}$  to  $25^{\circ}$  and within  $\pm 2^{\circ}$  of the temperature when the tissue parameters are characterized.

The dielectric parameters must be measured before the tissue-equivalent medium is used in a series of SAR measurements. The parameters should be re-measured after each 3-4 days of use; or earlier if the dielectric parameters can become out of tolerance; for example, when the parameters are marginal at the beginning of the measurement series.

The dielectric constant  $(\epsilon_r)$  and conductivity  $(\sigma)$  of typical tissue-equivalent media recipes are expected to be within  $\pm$  5% of the required target values; but for SAR measurement systems that have implemented the SAR error compensation algorithms documented in IEEE Std 1528, to automatically compensate the measured SAR results for deviations between the measured and required tissue dielectric parameters, the tolerance for  $\epsilon_r$  and  $\sigma$  may be relaxed to  $\pm$  10%. This is limited to frequencies  $\leq$  3 GHz.

#### **Tissue Dielectric Parameters**

FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz

| Tissue dielectric parameters for Head and Body |                            |        |                |        |  |  |
|--|----------------------------|--------|----------------|--------|--|--|
| Target Frequency                               | Target Frequency Head Body |        |                |        |  |  |
| (MHz)  | ε <sub>r</sub>             | σ(S/m) | ε <sub>r</sub> | σ(S/m) |  |  |
| 835  | 41.5                       | 0.90   | 55.2           | 0.97   |  |  |

#### Measurement Results:

|           | Dielectric performance of Head tissue simulating liquid |                |        |          |                |       |        |      |          |
|-----------|---|----------------|--------|----------|----------------|-------|--------|------|----------|
| Frequency |   | $\epsilon_{r}$ | σ(     | S/m)     | Delta          | Delta | Limit  | Temp | Date     |
| (MHz)     | Target  | Measured       | Target | Measured | $(\epsilon_r)$ | (σ)   | LIIIII | (℃)  | Dale     |
| 835       | 41.50   | 43.11          | 0.900  | 0.922    | 3.88%          | 2.44% | ±5%    | 22.0 | 2022/3/3 |

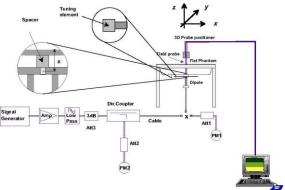
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## 8.2. System Check

SAR system verification is required to confirm measurement accuracy, according to the tissue dielectric media, probe calibration points and other system operating parameters required for measuring the SAR of a test device. The system verification must be performed for each frequency band and within the valid range of each probe calibration point required for testing the device. The same SAR probe(s) and tissue-equivalent media combinations used with each specific SAR system for system verification must be used for device testing. When multiple probe calibration points are required to cover substantially large transmission bands, independent system verifications are required for each probe calibration point. A system verification must be performed before each series of SAR measurements using the same probe calibration point and tissue-equivalent medium. Additional system verification should be considered according to the conditions of the tissue-equivalent medium and measured tissue dielectric parameters, typically every three to four days when the liquid parameters are re-measured or sooner when marginal liquid parameters are used at the beginning of a series of measurements.

#### **System Performance Check Measurement Conditions:**

- The measurements were performed in the flat section of the TWIN SAM or ELI phantom, shell thickness: 2.0±0.2 mm (bottom plate) filled with Body or Head simulating liquid of the following parameters.
- The depth of tissue-equivalent liquid in a phantom must be ≥ 15.0 cm for SAR measurements ≤ 3 GHz and ≥10.0 cm for measurements > 3 GHz.
- The DASY system with an E-Field Probe was used for the measurements.
- The dipole was mounted on the small tripod so that the dipole feed point was positioned below the center marking of the flat phantom section and the dipole was oriented parallel to the body axis (the long side of the phantom). The standard measuring distance was 10 mm (above 1 GHz) and 15 mm (below 1 GHz) from dipole center to the simulating liquid surface.
- The coarse grid with a grid spacing of 15 mm was aligned with the dipole.
   For 5 GHz band The coarse grid with a grid spacing of 10 mm was aligned with the dipole.
- Special 7x7x7 (below 3 GHz) and/or 8x8x7 (above 3 GHz) fine cube was chosen for the cube.
- The results are normalized to 1 W input power.



System Performance Check Setup

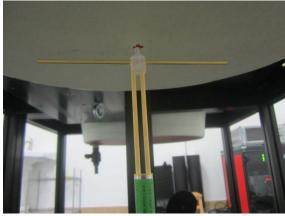


Photo of Dipole Setup

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## **Measurement Results:**

|           | System Check   |                    |                   |              |                    |                   |          |       |       |      |          |
|-----------|----------------|--------------------|-------------------|--------------|--------------------|-------------------|----------|-------|-------|------|----------|
| Frequency | 1g SAR 10g SAR |                    | Delta Delta       | Delta        | 1                  | Temp              |          |       |       |      |          |
| (MHz)     | Target<br>1W   | Normalize<br>to 1W | Measured<br>250mW | Target<br>1W | Normalize<br>to 1W | Measured<br>250mW | (1g) (10 | (10g) | Limit | (°C) | Date     |
| 835       | 9.39           | 9.64               | 2.41              | 6.14         | 6.40               | 1.60              | 2.66%    | 4.23% | ±10%  | 22.4 | 2022/3/3 |

Note:

The 1-g and 10-g SAR measured with a reference dipole, using the required tissue-equivalent medium at the test frequency, must be within  $\pm 10\%$  of the manufacturer calibrated dipole SAR target.

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### **Plots of System Performance Check**

### SystemPerformanceCheck-835MHz

Communication System: UID 0, CW (0); Frequency: 835 MHz; Duty Cycle: 1:1 Medium parameters used: f = 835 MHz;  $\sigma = 0.922$  S/m;  $\varepsilon_r = 43.11$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Ambient Temperature:22.4°C;Liquid Temperature:22.2°C;

#### DASY Configuration:

- Probe: EX3DV4 SN7494; ConvF(10.41, 10.41, 10.41) @ 835 MHz; Calibrated: 4/9/2021
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1549; Calibrated: 3/23/2021
- Phantom: Twin-SAM V8.0; Type: QD 000 P41 AA; Serial: 1974
- DASY52 52.10.2(1495); SEMCAD X 14.6.12(7450)

Head/d=15mm, Pin=250mW/Area Scan (41x101x1): Interpolated grid: dx=1.500 mm, dv=1.500 mm

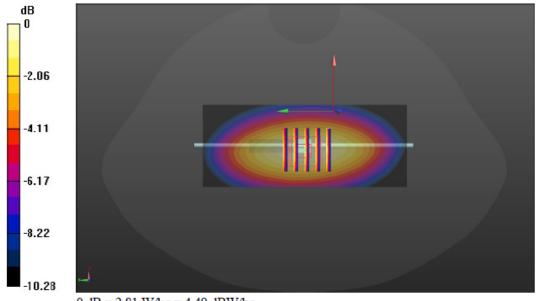
Maximum value of SAR (interpolated) = 2.81 W/kg

Head/d=15mm, Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 55.32 V/m; Power Drift = -0.10 dB

Peak SAR (extrapolated) = 3.57 W/kg

SAR(1 g) = 2.41 W/kg; SAR(10 g) = 1.6 W/kgMaximum value of SAR (measured) = 2.81 W/kg



0 dB = 2.81 W/kg = 4.49 dBW/kg

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# 9. SAR Exposure Limits

SAR assessments have been made in line with the requirements of FCC 47 CFR § 2.1093.

|  | Limit (W/kg)  |   |  |  |
|--|---|---|--|--|
| Type Exposure  | General Population/ Uncontrolled Exposure Environment | Occupational/ Controlled Exposure Environment |  |  |
| Spatial Average SAR (whole body)                     | 0.08  | 0.4   |  |  |
| Spatial Peak SAR (1g cube tissue for head and trunk) | 1.6   | 8.0   |  |  |
| Spatial Peak SAR<br>(10g for limb)                   | 4.0   | 20.0  |  |  |

#### Note:

- 1. Population/Uncontrolled Environments: are defined as locations where there is the exposure of individual who have no knowledge or control of their exposure.
- 2. Occupational/Controlled Environments: are defined as locations where there is exposure that may be incurred by people who are aware of the potential for exposure (i.e. as a result of employment or occupation).

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# 10. Conducted Power Measurement Results and Tune-up

|           | Bluetooth1 for NAUTIZ X41 |                            |   |  |  |  |  |  |
|-----------|---------------------------|----------------------------|---|--|--|--|--|--|
| Mode      | Channel                   | Conducted Average<br>Power | Maximum Tune-up (dBm) Conducted Average Power |  |  |  |  |  |
|           | 0                         | 8.46                       | 8.50  |  |  |  |  |  |
| GFSK      | 39                        | 8.24                       | 8.50  |  |  |  |  |  |
|           | 78                        | 8.34                       | 8.50  |  |  |  |  |  |
|           | 0                         | 7.18                       | 7.50  |  |  |  |  |  |
| π/4QPSK   | 39                        | 6.85                       | 7.00  |  |  |  |  |  |
|           | 78                        | 7.02                       | 7.50  |  |  |  |  |  |
|           | 0                         | 6.87                       | 7.00  |  |  |  |  |  |
| 8DPSK     | 39                        | 6.63                       | 7.00  |  |  |  |  |  |
|           | 78                        | 6.65                       | 7.00  |  |  |  |  |  |
|           | 0                         | -4.06                      | -4.00   |  |  |  |  |  |
| GFSK(BLE) | 19                        | -3.24                      | -3.00   |  |  |  |  |  |
|           | 39                        | -3.61                      | -3.50   |  |  |  |  |  |

| Band/Mode | F(GHz) | Position | Separation Distance (mm) | Exclusion<br>Thresholds | SAR test exclusion | Estimated SAR<br>(W/kg) |
|-----------|--------|----------|--------------------------|-------------------------|--------------------|-------------------------|
| Bluetooth | 2.45   | Limbs    | 0                        | 2.2                     | Yes                | 0.118                   |

#### Note:

- Per KDB 447498 D01, the 1-g and 10-g SAR test exclusion thresholds for 100MHz to 6GHz at test separation distances ≤50mm are determined by:
   [(max. Power of channel, including tune-up tolerance, mW) / (min. test separation distance, mm)] \*
   [√f(GHz)] ≤3.0 for 1-g SAR
- 2. Per KDB 447498 D01, when the minimum test separation distance is <5mm, a distance of 5mm is applied to determine SAR test exclusion.
- 3. KDB 447498 D01 based on the formula below
  - a) [(max. Power of channel, including tune-up tolerance, mW) / (min. test separation distance, mm)] \*  $[\sqrt{f(GHz)/x}]W/kg$  for test separation distances  $\leq 50$ mm; wheth x=7.5 for 1-g SAR, and x=18.75 for 10-g SAR.
  - b) When the minimum separation distance is <5mm, the distance is used 5mm to determine SAR test exclusion
  - c) 0.4 W/kg for 1-g SAR and 1.0W/kg for 10-g SAR, when the test separation distances is >50mm.

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| Bluetooth2 for NX41-P-PG |         |                            |   |  |  |  |  |
|--------------------------|---------|----------------------------|---|--|--|--|--|
| Mode                     | Channel | Conducted Average<br>Power | Maximum Tune-up (dBm) Conducted Average Power |  |  |  |  |
|                          | 0       | 3.01                       | 3.50  |  |  |  |  |
| GFSK                     | 39      | 2.40                       | 2.50  |  |  |  |  |
|                          | 78      | 3.52                       | 4.00  |  |  |  |  |
|                          | 0       | 3.24                       | 3.50  |  |  |  |  |
| π/4QPSK                  | 39      | 2.62                       | 3.00  |  |  |  |  |
|                          | 78      | 3.77                       | 4.00  |  |  |  |  |
|                          | 0       | 2.82                       | 3.00  |  |  |  |  |
| 8DPSK                    | 39      | 2.11                       | 2.50  |  |  |  |  |
|                          | 78      | 3.22                       | 3.50  |  |  |  |  |

| Band/Mode | F(GHz) | Position | Separation Distance (mm) | Exclusion<br>Thresholds | SAR test exclusion | Estimated SAR (W/kg) |
|-----------|--------|----------|--------------------------|-------------------------|--------------------|----------------------|
| Bluetooth | 2.45   | Limbs    | 0                        | 0.8                     | Yes                | 0.042                |

#### Note:

- Per KDB 447498 D01, the 1-g and 10-g SAR test exclusion thresholds for 100MHz to 6GHz at test separation distances ≤50mm are determined by:
   [(max. Power of channel, including tune-up tolerance, mW) / (min. test separation distance, mm)] \*
   [√f(GHz)] ≤3.0 for 1-g SAR
- 2. Per KDB 447498 D01, when the minimum test separation distance is <5mm, a distance of 5mm is applied to determine SAR test exclusion.
- 3. KDB 447498 D01 based on the formula below
  - a) [(max. Power of channel, including tune-up tolerance, mW) / (min. test separation distance, mm)] \*  $[\sqrt{f(GHz)/x}]W/kg$  for test separation distances  $\leq 50$ mm; wheth x=7.5 for 1-g SAR, and x=18.75 for 10-g SAR.
  - b) When the minimum separation distance is <5mm, the distance is used 5mm to determine SAR test exclusion
  - c) 0.4 W/kg for 1-g SAR and 1.0W/kg for 10-g SAR, when the test separation distances is >50mm.

RFID test data please refer to appendix report

# 11. Measured and Reported SAR Results

#### **Measurement Results:**

Please refer to appendix report

#### Measurement data plots:

Please refer to appendix D

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# 12. Simultaneous Transmission analysis

| No. | Simultaneous Transmission Configurations          | Limbs | Note |
|-----|---|-------|------|
| 1   | RFID (data)+ Bluetooth1 (data)+ Bluetooth2 (data) | Yes   |      |

### General note:

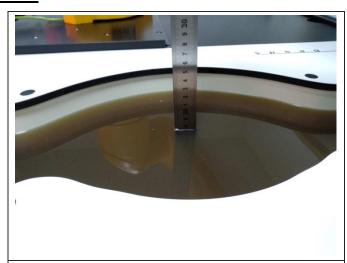
1. The reported SAR summation is calculated based on the same configuration and test position

## Simultaneous Transmission data:

| PCE + WLAN DTS    |       |               |       |        |  |  |  |
|-------------------|-------|---------------|-------|--------|--|--|--|
| Exposure Position | Ma    | Summed<br>SAR |       |        |  |  |  |
|                   | RFID  | BT1           | BT2   | (W/kg) |  |  |  |
| Front             | 0.550 | 0.118         | 0.042 | 0.710  |  |  |  |
| Left side         | 0.763 | 0.118         | 0.042 | 0.923  |  |  |  |
| Right side        | 0.801 | 0.118         | 0.042 | 0.961  |  |  |  |
| Bottom side       | 0.399 | 0.118         | 0.042 | 0.559  |  |  |  |

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# 13. TestSetup Photos



Liquid depth in the phantom



Front 0mm



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Left 0mm



# 14. External and Internal Photos of the EUT

Please reference to the report No.: CHTEW22030037

-----End of Report-----



# **Appendix Report**

| Project No.     | SHT2202013112EW |             |               |
|-----------------|-----------------|-------------|---------------|
| Test sample No. | YPHT22020131023 | Model No.   | NX41-P-PG-UHF |
| Start test date | 2022/3/3        | Finish date | 2022/3/3      |
| Temperature     | <b>22.5</b> ℃   | Humidity    | 48%           |
| Test Engineer   | Bo Wang         | Auditor     | Xiaodong Zheo |

| Appendix clause | Test Item                           | Result |
|-----------------|-------------------------------------|--------|
| А               | Conducted Power Measurement Results | PASS   |
| В               | SAR Measurement Results             | PASS   |



## **Appendix A:Conducted Power Measurement Results**

| RFID |         |                 |                  |                     |                     |  |  |  |
|------|---------|-----------------|------------------|---------------------|---------------------|--|--|--|
| Mode | Channel | Frequency (MHz) | Peak Power (dBm) | Average Power (dBm) | Tune-up limit (dBm) |  |  |  |
|      | CH1     | 902.75          | 20.53            | 20.36               | 20.50               |  |  |  |
| RFID | CH2     | 914.75          | 20.06            | 19.88               | 20.00               |  |  |  |
|      | CH3     | 927.75          | 19.72            | 19.63               | 20.00               |  |  |  |



### Appendix B:SAR Measurement Results

|      |                  |           |     |               |             | RFID            |         |                   |           |                      |                    |            |
|------|------------------|-----------|-----|---------------|-------------|-----------------|---------|-------------------|-----------|----------------------|--------------------|------------|
| Mode | Test<br>Position | Frequency |     | Conducted Tur | Tune-up     | Tune-up scaling | Duty    | Duty<br>Cycle     | Power     | Measured<br>SAR(10g) | Report<br>SAR(10g) |            |
|      |                  | СН        | MHz | (dBm)         | limit (dBm) | factor          | Cycle   | Scaling<br>Factor | Drift(dB) | (W/kg)               | (W/kg)             | i lot ivo. |
|      | Front            | CH1       | 903 | 20.36         | 20.50       | 1.033           | 100.00% | 1.000             | -0.07     | 0.533                | 0.550              | -          |
|      |                  | CH2       | 915 | 19.88         | 20.00       | 1.028           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      |                  | СНЗ       | 928 | 19.63         | 20.00       | 1.089           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      | Left             | CH1       | 903 | 20.36         | 20.50       | 1.033           | 100.00% | 1.000             | -0.17     | 0.739                | 0.763              | -          |
|      |                  | CH2       | 915 | 19.88         | 20.00       | 1.028           | 100.00% | 1.000             | -         | -                    | -                  | -          |
| RFID |                  | СНЗ       | 928 | 19.63         | 20.00       | 1.089           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      | Right            | CH1       | 903 | 20.36         | 20.50       | 1.033           | 100.00% | 1.000             | 0.13      | 0.776                | 0.801              | 1          |
|      |                  | CH2       | 915 | 19.88         | 20.00       | 1.028           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      |                  | СНЗ       | 928 | 19.63         | 20.00       | 1.089           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      |                  | CH1       | 903 | 20.36         | 20.50       | 1.033           | 100.00% | 1.000             | -0.05     | 0.386                | 0.399              | -          |
|      | Bottom           | CH2       | 915 | 19.88         | 20.00       | 1.028           | 100.00% | 1.000             | -         | -                    | -                  | -          |
|      |                  | СНЗ       | 928 | 19.63         | 20.00       | 1.089           | 100.00% | 1.000             | -         | -                    | -                  | -          |

Test Laboratory: Huatongwei International Inspection Co., Ltd., SAR Lab

Date: 3/3/2022

## **RFID-L-Body**

Communication System: UID 0, UHF (0); Frequency: 902.75 MHz;Duty Cycle: 1:1

Medium parameters used (interpolated): f = 902.75 MHz;  $\sigma = 0.956$  S/m;  $\varepsilon_r = 42.946$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

Ambient Temperature:22.3°C;Liquid Temperature:22.0°C;

## DASY Configuration:

- Probe: EX3DV4 SN7494; ConvF(10.41, 10.41, 10.41) @ 902.75 MHz; Calibrated: 4/9/2021
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1549; Calibrated: 3/23/2021
- Phantom: Twin-SAM V8.0; Type: QD 000 P41 AA; Serial: 1974
- DASY52 52.10.2(1495); SEMCAD X 14.6.12(7450)

**Right/CH 1/Area Scan (81x51x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm Maximum value of SAR (interpolated) = 1.95 W/kg

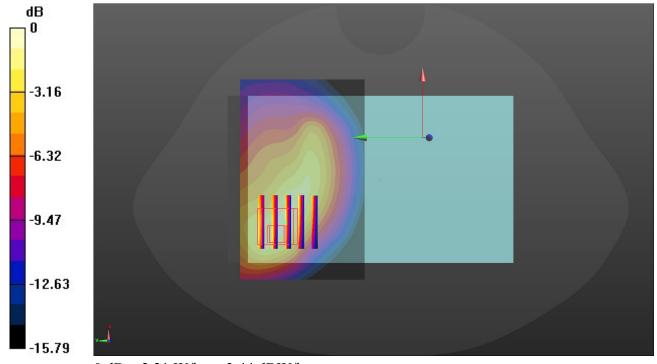
Right/CH 1/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 5.628 V/m; Power Drift = -0.13 dB

Peak SAR (extrapolated) = 3.12 W/kg

SAR(1 g) = 1.34 W/kg; SAR(10 g) = 0.776 W/kg

Maximum value of SAR (measured) = 2.21 W/kg



0 dB = 2.21 W/kg = 3.44 dBW/kg

## 1.1.1. DAE4 Calibration Certificate



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China Tel: +86-10-62304633-2512 Fax: +86-10-62304633-2504

E-mail: cttl@chinattl.com Http://www.chinattl.cn

HTW Client :

Certificate No: Z21-60063

## CALIBRATION CERTIFICATE

Object DAE4 - SN: 1549

Calibration Procedure(s) FF-Z11-002-01

Calibration Procedure for the Data Acquisition Electronics

(DAEx)

Calibration date: March 23, 2021

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards ID# Cal Date(Calibrated by, Certificate No.) Scheduled Calibration

Process Calibrator 753 1971018 16-Jun-20 (CTTL, No.J20X04342) Jun-21

Name Function

> Yu Zongying SAR Test Engineer

Reviewed by: Lin Hao SAR Test Engineer

Approved by: Qi Dianyuan SAR Project Leader

Issued: March 25, 2021

Signature

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z21-60063

Calibrated by:

Page 1 of 3



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Glossary:

DAE data acquisition electronics

Connector angle information used in DASY system to align probe sensor X

to the robot coordinate system.

## Methods Applied and Interpretation of Parameters:

- DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.

Certificate No: Z21-60063



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## DC Voltage Measurement

A/D - Converter Resolution nominal

| Calibration Factors | x                     | Y                     | z                     |
|---------------------|-----------------------|-----------------------|-----------------------|
| High Range          | 406.327 ± 0.15% (k=2) | 406.003 ± 0.15% (k=2) | 406.159 ± 0.15% (k=2) |
| Low Range           | 3.98410 ± 0.7% (k=2)  | 3.99112 ± 0.7% (k=2)  | 3.99200 ± 0.7% (k=2)  |

## **Connector Angle**

| Connector Angle to be used in DASY system | 19° ± 1 ° |
|---|-----------|
|   |           |

Certificate No: Z21-60063

Page 3 of 3

### 1.2. Probe Calibration Certificate



Client

E-mail: cttl@chinattl.com HTW

Certificate No: Z21-60064

## CALIBRATION CERTIFICATE

Object

EX3DV4 - SN: 7494

Http://www.chinattl.cn

Calibration Procedure(s)

FF-Z11-004-02

Calibration Procedures for Dosimetric E-field Probes

Calibration date:

April 09, 2021

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards     |      | ID#         | Cal Date(Calibrated by, Certificate No.) S | cheduled Calibration |  |
|-----------------------|------|-------------|--|----------------------|--|
| Power Meter NRP2      |      | 101919      | 16-Jun-20(CTTL, No.J20X04344)              | Jun-21               |  |
| Power sensor NRP-Z91  |      | 101547      | 16-Jun-20(CTTL, No.J20X04344)              | Jun-21               |  |
| Power sensor NRP-Z9   | 1    | 101548      | 16-Jun-20(CTTL, No.J20X04344)              | Jun-21<br>Feb-22     |  |
| Reference 10dBAttenu  | ator | 18N50W-10dB | 10-Feb-20(CTTL, No.J20X00525)              |                      |  |
| Reference 20dBAttenu  | ator | 18N50W-20dB | 10-Feb-20(CTTL, No.J20X00526)              | Feb-22               |  |
| Reference Probe EX30  | DV4  | SN 7307     | 29-May-20(SPEAG, No.EX3-7307_May20)        | May-21               |  |
| DAE4                  |      | SN 1555     | 25-Aug-20(SPEAG, No.DAE4-1555_Aug20        | ) Aug-21             |  |
| Secondary Standards   |      | ID#         | Cal Date(Calibrated by, Certificate No.)   | heduled Calibration  |  |
| SignalGenerator MG37  | 700A | 6201052605  | 23-Jun-20(CTTL, No.J20X04343)              | Jun-21               |  |
| Network Analyzer E507 | 71C  | MY46110673  | 21-Jan-21(CTTL, No.J20X00515)              | Jan-22               |  |
| Paviawad but          |      | me          | Function                                   | Signature            |  |
|                       |      | Zongying    | SAR Test Engineer                          | 12-mo                |  |
|                       |      | 1 Hao       | SAR Test Engineer                          | # 35                 |  |

Issued: April 11, 2021

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Qi Dianyuan

Certificate No: Z21-60064

Approved by:

Page 1 of 9

SAR Project Leader



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Glossary:

TSL tissue simulating liquid NORMx,y,z sensitivity in free space

ConvF sensitivity in TSL / NORMx,y,z DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal A,B,C,D modulation dependent linearization parameters

Polarization θ θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i

θ=0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

 b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

 NORMx,y,z: Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not effect the E²-field uncertainty inside TSL (see below ConvF).

NORM(f)x,y,z = NORMx,y,z\* frequency\_response (see Frequency Response Chart). This
linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the
frequency response is included in the stated uncertainty of ConvF.

 DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.

 PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.

Ax,y,z; Bx,y,z; Cx,y,z;VRx,y,z:A,B,C are numerical linearization parameters assessed based on the
data of power sweep for specific modulation signal. The parameters do not depend on frequency nor
media. VR is the maximum calibration range expressed in RMS voltage across the diode.

- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z\* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the
  probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7494

## **Basic Calibration Parameters**

|                         | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|-------------------------|----------|----------|----------|-----------|
| $Norm(\mu V/(V/m)^2)^A$ | 0.41     | 0.47     | 0.41     | ±10.0%    |
| DCP(mV) <sup>B</sup>    | 98.9     | 100.2    | 99.0     |           |

## **Modulation Calibration Parameters**

| UID | Communication<br>System Name |   | A<br>dB | B<br>dBõV | С   | D<br>dB | VR<br>mV | Unc <sup>E</sup><br>(k=2) |
|-----|------------------------------|---|---------|-----------|-----|---------|----------|---------------------------|
| 0   | CW                           | Х | 0.0     | 0.0       | 1.0 | 0.00    | 151.2    | ±2.0%                     |
|     | 1/4                          | Y | 0.0     | 0.0       | 1.0 |         | 164.8    |                           |
|     |                              | Z | 0.0     | 0.0       | 1.0 |         | 151.0    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

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A The uncertainties of Norm X, Y, Z do not affect the E2-field uncertainty inside TSL (see Page 4).

<sup>&</sup>lt;sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>&</sup>lt;sup>E</sup> Uncertainly is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7494

## Calibration Parameter Determined in Head Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative<br>Permittivity F | Conductivity<br>(S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|----------------------------|-------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                       | 0.89                    | 10.70   | 10.70   | 10.70   | 0.40               | 0.75                       | ±12.1%         |
| 835                  | 41.5                       | 0.90                    | 10.41   | 10.41   | 10.41   | 0.13               | 1.39                       | ±12.1%         |
| 1750                 | 40.1                       | 1.37                    | 8.88    | 8.88    | 8.88    | 0.20               | 1.14                       | ±12.1%         |
| 1900                 | 40.0                       | 1.40                    | 8.55    | 8.55    | 8.55    | 0.22               | 1.08                       | ±12.1%         |
| 2000                 | 40.0                       | 1.40                    | 8.60    | 8.60    | 8.60    | 0.17               | 1.28                       | ±12.1%         |
| 2300                 | 39.5                       | 1.67                    | 8.30    | 8.30    | 8.30    | 0.62               | 0.62                       | ±12.1%         |
| 2450                 | 39.2                       | 1.80                    | 7.97    | 7.97    | 7.97    | 0.48               | 0.74                       | ±12.1%         |
| 2600                 | 39.0                       | 1.96                    | 7.68    | 7.68    | 7.68    | 0.40               | 0.85                       | ±12.1%         |
| 5250                 | 35.9                       | 4.71                    | 5.65    | 5.65    | 5.65    | 0.45               | 1.35                       | ±13.3%         |
| 5600                 | 35.5                       | 5.07                    | 4.95    | 4.95    | 4.95    | 0.55               | 1.35                       | ±13.3%         |
| 5750                 | 35.4                       | 5.22                    | 4.86    | 4.86    | 4.86    | 0.50               | 1.50                       | ±13.3%         |

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

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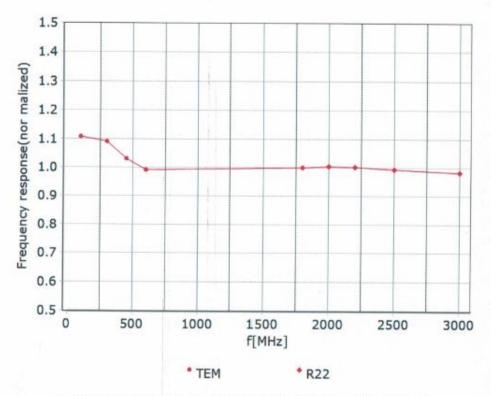
F At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>&</sup>lt;sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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# Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ±7.4% (k=2)

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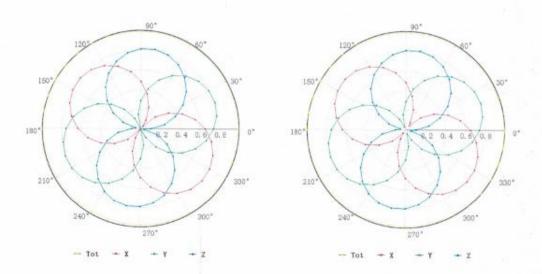


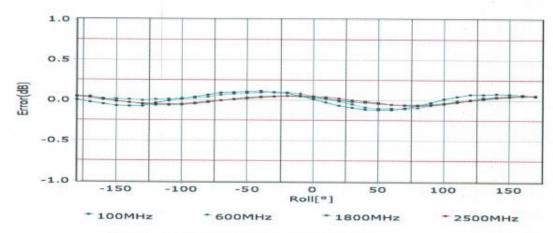
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## Receiving Pattern (Φ), θ=0°

## f=600 MHz, TEM

## f=1800 MHz, R22





Uncertainty of Axial Isotropy Assessment: ±1.2% (k=2)

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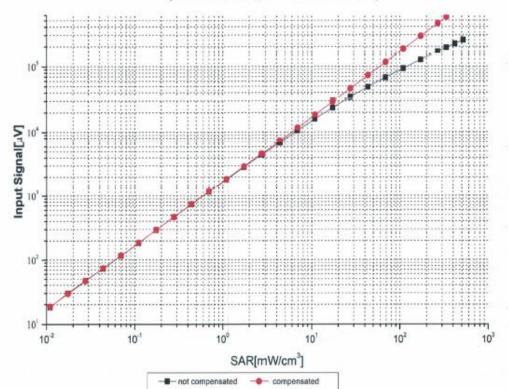
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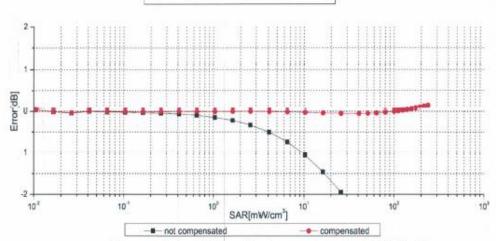


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## Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)





Uncertainty of Linearity Assessment: ±0.9% (k=2)

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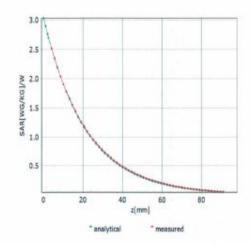


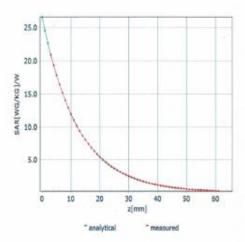
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## **Conversion Factor Assessment**

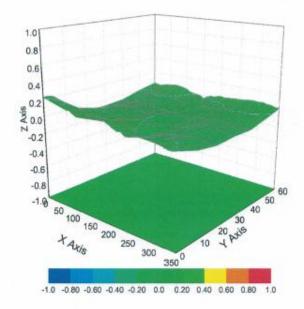
#### f=750 MHz,WGLS R9(H\_convF)

### f=1750 MHz,WGLS R22(H\_convF)





## **Deviation from Isotropy in Liquid**



Uncertainty of Spherical Isotropy Assessment: ±3.2% (k=2)

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### DASY/EASY - Parameters of Probe: EX3DV4 - SN:7494

#### Other Probe Parameters

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | 22.2       |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disable    |
| Probe Overall Length                          | 337mm      |
| Probe Body Diameter                           | 10mm       |
| Tip Length                                    | 9mm        |
| Tip Diameter                                  | 2.5mm      |
| Probe Tip to Sensor X Calibration Point       | 1mm        |
| Probe Tip to Sensor Y Calibration Point       | 1mm        |
| Probe Tip to Sensor Z Calibration Point       | 1mm        |
| Recommended Measurement Distance from Surface | 1.4mm      |

#### 1.1. D835V2 Dipole Calibration Certificate



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Client

HTW

Certificate No:

Z21-60017

#### CALIBRATION CERTIFICATE

Object D835V2 - SN: 4d238

Calibration Procedure(s)

FF-Z11-003-01

Calibration Procedures for dipole validation kits

Calibration date: January 22, 2021

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards       | ID#        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|------------|--|-----------------------|
| Power Meter NRP2        | 106276     | 12-May-20 (CTTL, No.J20X02965)           | May-21                |
| Power sensor NRP6A      | 101369     | 12-May-20 (CTTL, No.J20X02965)           | May-21                |
| ReferenceProbe EX3DV4   | SN 7600    | 30-Nov-20(CTTL-SPEAG,No.Z20-60421)       | Nov-21                |
| DAE4                    | SN 771     | 10-Feb-20(CTTL-SPEAG,No.Z20-60017)       | Feb-21                |
| Secondary Standards     | ID#        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
| Signal Generator E4438C | MY49071430 | 25-Feb-20 (CTTL, No.J20X00516)           | Feb-21                |
| NetworkAnalyzer E5071C  | MY46110673 | 10-Feb-20 (CTTL, No.J20X00515)           | Feb-21                |
| 28.7                    | 500        |  | 1 1 1                 |

Name Function Calibrated by: Zhao Jing SAR Test Engineer

Reviewed by: Lin Hao SAR Test Engineer

Approved by: Qi Dianyuan SAR Project Leader

Issued: January 29, 2021

This calibration certificate shall not be reproduced except in full without written approval of the laboratory

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In Collaboration with

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CALIBRATION LABORATORY

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Glossary:

TSL tissue simulating liquid
ConvF sensitivity in TSL / NORMx,y,z
N/A not applicable or not measured

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016
- c) IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- d) KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

#### Additional Documentation:

e) DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end
  of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed
  point exactly below the center marking of the flat phantom section, with the arms oriented
  parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole
  positioned under the liquid filled phantom. The impedance stated is transformed from the
  measurement at the SMA connector to the feed point. The Return Loss ensures low
  reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point.
   No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

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#### Measurement Conditions

DASY system configuration, as far as not given on page 1.

| DASY Version                 | DASY52                   | V52.10.4    |
|------------------------------|--------------------------|-------------|
| Extrapolation                | Advanced Extrapolation   |             |
| Phantom                      | Triple Flat Phantom 5.1C |             |
| Distance Dipole Center - TSL | 15 mm                    | with Spacer |
| Zoom Scan Resolution         | dx, dy, dz = 5 mm        |             |
| Frequency                    | 835 MHz ± 1 MHz          |             |

Head TSL parameters
The following parameters and calculations were applied.

| 15                                      | Temperature     | Permittivity | Conductivity      |
|---|-----------------|--------------|-------------------|
| Nominal Head TSL parameters             | 22.0 °C         | 41.5         | 0.90 mho/m        |
| Measured Head TSL parameters            | (22.0 ± 0.2) °C | 41.3 ± 6 %   | 0.89 mlho/m ± 6 % |
| Head TSL temperature change during test | <1.0 °C         |              |                   |

#### SAR result with Head TSL

| SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL   | Condition          |                          |
|---|--------------------|--------------------------|
| SAR measured  | 250 mW input power | 2.32 W/kg                |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 9.39 W/kg ± 18.8 % (k=2) |
| SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL | Condition          |                          |
| SAR measured  | 250 mW input power | 1.52 W/kg                |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 6.14 W/kg ± 18.7 % (k=2) |

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#### Appendix (Additional assessments outside the scope of CNAS L0570)

#### Antenna Parameters with Head TSL

| Impedance, transformed to feed point | 51.5Ω- 3.95jΩ |  |
|--------------------------------------|---------------|--|
| Return Loss                          | - 27.6dB      |  |

#### General Antenna Parameters and Design

| Electrical Delay (one direction) | 1.298 ns |
|----------------------------------|----------|
|----------------------------------|----------|

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### **Additional EUT Data**

| Manufactured by | SPEAG |  |
|-----------------|-------|--|
|                 |       |  |

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#### DASY5 Validation Report for Head TSL

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d238

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1 Medium parameters used: f = 835 MHz;  $\sigma = 0.885$  S/m;  $\epsilon_r = 41.32$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

DASY5 Configuration:

 Probe: EX3DV4 - SN7600; ConvF(10.88, 10.88, 10.88) @ 835 MHz; Calibrated: 2020-11-30

Date: 01.22.2021

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2020-02-10
- Phantom: MFP\_V5.1C (20deg probe tilt); Type: QD 000 P51 Cx; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (4); SEMCAD X Version 14.6.14 (7483)

#### Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm,

dy=5mm, dz=5mm

Reference Value = 58.12 V/m; Power Drift = -0.01 dB

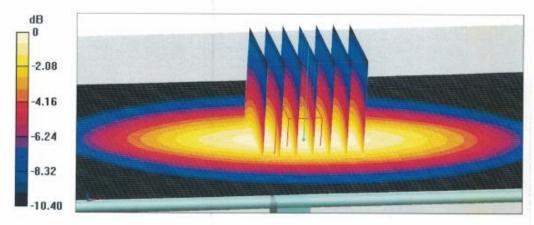
Peak SAR (extrapolated) = 3.58 W/kg

SAR(1 g) = 2.32 W/kg; SAR(10 g) = 1.52 W/kg

Smallest distance from peaks to all points 3 dB below = 19.8 mm

Ratio of SAR at M2 to SAR at M1 = 64.9%

Maximum value of SAR (measured) = 3.14 W/kg



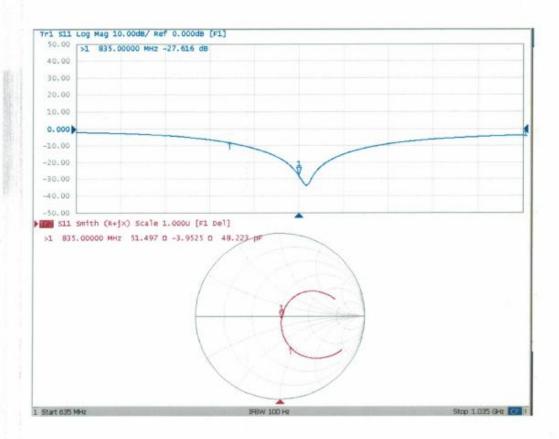
0 dB = 3.14 W/kg = 4.97 dBW/kg

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#### Impedance Measurement Plot for Head TSL



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| Appendix F: Dipole Calibration Certificate |  |
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