

MET Laboratories, Inc. Safety Certification - EMI - Telecom Environmental Simulation

Dosimetric Assessment Test Report

for the

JDSU

Tested and Evaluated In Accordance With FCC OET 65 Supplement C: 01-01

Prepared for

JDSU Uniphase Corporation Jaryk Kuzel 1 Milestone Center Court Germantown, MD 20876

Engineering Statement: The measurements shown in this report were made in accordance with the procedures specified in Supplement C to OET Bulletin 65 of the Federal Communications Commission (FCC) Guidelines [FCC 2001] and Industry Canada RSS-102 for uncontrolled exposure. I assume full responsibility for the accuracy and completeness of these measurements, and for the qualifications of all persons taking them. It is further stated that upon the basis of the measurements made, the equipment evaluated is capable of compliance for localized specific absorption rate (SAR) for uncontrolled environment/general population exposure limits specified in ANSI/IEEE Std. C95.1-1999.



SAR Evaluation Certificate of Compliance

APPLICANT: JDSU

Applicant Name and Address: JDSU – Jaryk Kuzel

1 Milestone Center Court Germantown, MD 20876

Test Location: MET Laboratories, Inc.

3162 Belick Street Santa Clara, CA 95054

USA

EUT:	Tablet PC			
Test Dates:	November 12-13, 2013			
RF exposure environment:	Uncontrolled Exposure/General Population			
RF exposure category:	Portable			
Power supply:	Internal battery			
Antenna:	Internal			
Production/prototype:	Production			
Modulations Tested:	DSSS and OFDM			
Duty Cycle:	100%			
TX Range: 2412-2462 MHz WiFi 2402-2480MHz BTLE (Exempt from SAR Evaluation)				
Max SAR Measured:	0.180 mW/g			







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INTRODUCTION

This measurement report demonstrates that JDSU Uniphase Corporation tablet PC as described within this report complies with the Specific Absorption Rate (SAR) RF exposure requirements specified in ANSI/IEEE Std. C95.1-1999 and FCC 47 CFR §2.1093 for the Uncontrolled Exposure/General population environment. The test procedures described in FCC OET Bulletin 65, Supplement C, Edition 01-01 and IEEE 1528-2013 were employed.

A description of the device under test, device operating configuration and test conditions, measurement and site description, methodology and procedures used in the evaluation, equipment used, detailed summary of the test results and the various provisions of the rules are included in this dosimetric assessment test report.

SAR DEFINITION

Specific Absorption Rate (SAR) is defined as the time derivative (rate) of the incremental energy (dU) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density (ρ) . It is also defined as the rate of RF energy absorption per unit mass at a point in an absorbing body (see Fig. 1.1).

$$SAR = \frac{d}{dt}(\frac{dU}{dm}) = \frac{d}{dt}(\frac{dU}{\rho dv})$$

Figure 1.1 SAR Mathematical Equation

SAR is expressed in units of Watts per Kilogram (W/kg).

$$SAR = \sigma E^2 / \rho$$

where:

 σ - conductivity of the tissue - simulant material (S/m)

ρ - mass density of the tissue - simulant material (kg/m3)

E - Total RMS electric field strength (V/m)

NOTE: The primary factors that control rate of energy absorption were found to be the wavelength of the incident field in relations to the dimensions and geometry of the irradiated organism, the orientation of the organism in relation to the polarity of field vectors, the presence of reflecting surfaces, and whether conductive contact is made by the organism with a ground plane.



DESCRIPTION OF DEVICE UNDER TEST (EUT)

Applicant:	JDSU Uniphase Corporation
Description of Test Item:	Tablet PC
Supply Voltage:	Internal Battery
Antenna Type(s) Tested:	Integral
Accessories:	none
Modes of Operation:	DSSS and OFDM
Duty Cycle Tested:	100%
Application Type:	Certification
Exposure Category:	Uncontrolled Exposure/General population
FCC and IC Rule Part(s):	FCC 47 CFR §2.1093 RSS-102
Standards:	IEEE Std. 1528-2013, FCC OET Bulletin 65, Supplement C, Edition 01-01

Table 1. Description of EUT



SAR MEASUREMENT SYSTEM

MET Laboratories, Inc SAR measurement facility utilizes the DASY4 Professional Dosimetric Assessment System (DASYTM) manufactured by Schmid & Partner Engineering AG (SPEAGTM) of Zurich, Switzerland for performing SAR compliance tests. The DASY4 measurement system is comprised of the measurement server, robot controller, computer, near-field probe, probe alignment sensor, specific anthropomorphic mannequin (SAM) phantom, and various planar phantoms for brain and/or body SAR evaluations. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF). The Cell controller system contain the power supply, robot controller, teach pendant (Joystick), and remote control, is used to drive the robot motors. The Staubli robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit performs the signal amplification, signal multiplexing, AD-conversion, offset



measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the DASY4 measurement server. The DAE4 utilizes a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16-bit AD-converter and a command decoder and control logic unit.

Transmission to the DASY4 measurement server is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe-mounting device includes two different sensor systems for frontal and sidewise probe contacts. The sensor systems are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer.



MEASUREMENT SUMMARY

	BODY SAR MEASUREMENT RESULTS								
Freq (MHz)	Ch	Test Mode	Cond. Pwr (dBm)	Battery Type	Phantom Section	Accessory	EUT Position and separation distance	Measured SAR 1g (mW/g)	
2437	Mid	g-mode	12.87	Li-ion	Planar	none	left Edge (0cm)	0.159	
2437	Mid	n-mode	12.66	Li-ion	Planar	none	left Edge (0cm)	0.151	
2437	Mid	b-mode	19.67	Li-ion	Planar	none	left Edge (0cm)	0.180	
2437	Mid	b-mode	19.67	Li-ion	Planar	none	Back Side (0cm)	0.074	
2437	Mid	b-mode	19.67	Li-ion	Planar	none	Front Side (0cm)	0.032	
				1.6 W/kg	95.1 1992 – SA (averaged over eneral Populat	r 1 gram)			
Measu	red Mixtı	ure Type		2450 MHz B	ody	Test Dates		11/12/2013 11/13/2013	
Diel	ectric Co	nstant	IEEE Ta	arget	Measured	Duty Cycle		100%	
	εr		52.7		51.25	Ambient Temperature (C)		23	
(Conductiv	•	IEEE Ta	arget	Measured	Fluid Temperature (C)		22	
	σ (mho/n	1)	1.95	i	2.009		Fluid Depth	≥15cm	

Table 2. Measurement Summary

Multiple Transmitter Evaluation

Test Distance: 9mm per cad drawing							
Mode	SAR Test Exclusion Threshold(mW) Per KDB 447498 D01v05r01	Highest Power(mW)					
WiFi(2.4GHz)	10	92.7					
BT	10	6.3					

Note: Radio does not support simultaneous transmission.

Per table above the WiFi Stand-alone SAR evaluation is required but the BT is not.



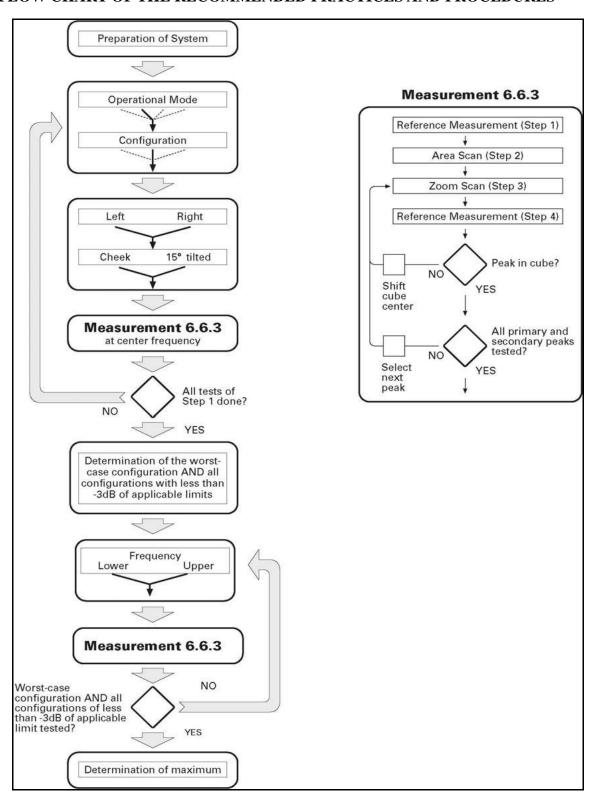
DETAILS OF SAR EVALUATION

The JDSU Uniphase Corporation Tablet PC was determined to be compliant for localized Specific Absorption Rate based on the test provisions and conditions described below.

- 1. Due to the curved edge of the tablet a PBA was generated for any additional testing requirements. Please see attached PBA exhibit for FCC's response.
- 2. The JDSU Uniphase Corporation Tablet PC contains a pre-approved module FCC ID: TFB-TiWi1-01.
- 3. The EUT was tested for SAR against the planar section of the phantom in three different orientations. The front and back sides as well as the lower bottom edge were placed at 0.0cm separation from the phantom surface.
- 4. The EUT was placed into Test Mode at maximum duty cycle transmissions using software commands provided by JDSU. Mid channel was tested in b/g and n modes.
- 5. All SAR evaluations were performed with a fully charged battery.
- 6. There was no external method of measuring the RF output power before and after the SAR tests. The power drift measurement routine of the SAR system was used to determine if the power of the EUT stayed within the allowable limits.
- 7. The dielectric parameters of the simulated head and body fluid were measured prior to the evaluation using an 85070D Dielectric Probe Kit and an 8722D Network Analyzer.
- 8. The fluid and air temperature was measured prior to and after each SAR evaluation to ensure the temperature remained within ±2 deg C of the temperature of the fluid when the dielectric properties were measured.
- 9. During the SAR evaluations if a distribution produced several hotspots over the course of the area scan, each hotspot was evaluated separately.



FLOW CHART OF THE RECOMMENDED PRACTICES AND PROCEDURES





EAR Reference Point

Figure 12.1 shows the front, back and side views of the SAM Twin Phantom. The point M is the reference point for the center of the mouth, LE is the left ear reference point (ERP), and RE is the right ERP. The ERPs are 15mm posterior to the entrance to the ear canal (EEC) along the B-M line (Back-Mouth), as shown in Figure 12.2. The plane passing through the two ear canals and M is defined as the Reference Plane. The line N-F (Neck-Front) is perpendicular to the reference plane and passing through the RE (or LE) is called the Reference Pivoting. Line B-M is perpendicular to the N-F line. Both N-F and B-M lines are marked on the external phantom shell to facilitate handset positioning.

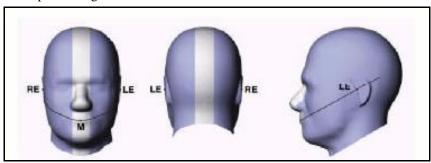


Figure 12.1 Front, back and side view of SAM Twin Phantom

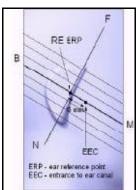


Figure 12.2 Side view of ERPs

HANDSET REFERENCE POINTS

Two imaginary lines on the handset were established: the vertical centerline and the horizontal line. The test device was placed in a normal operating position with the test device reference point located along the vertical centerline on the front of the device aligned to the ear reference point (See Fig. 12.3). The test device reference point was than located at the same level as the center of the ear reference point. The test device was positioned so that the vertical centerline was bisecting the front surface of the handset at it s top and bottom edges, positioning the ear reference point on the outer surface of the both the left and right head phantoms on the ear reference point.

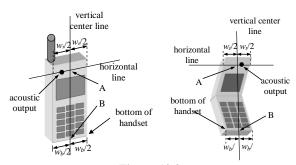


Figure 12.3
Handset Vertical Center & Horizontal Line Reference Points

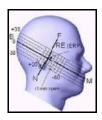


POSITIONING FOR CHEEK/TOUCH

- 1. The test device was positioned with the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom, such that the plane defined by the vertical center line and the horizontal line of the phone is approximately parallel to the sagittal plane of the phantom.
- 2. The handset was translated towards the phantom along the line passing through RE & LE until the handset touches the ear.
- 3. While maintaining the handset in this plane, the handset was rotated around the LE-RE line until the vertical centerline was in the plane normal to MB-NF including the line MB (reference plane).
- 4. The phone was hen rotated around the vertical centerline until the phone (horizontal line) was symmetrical was respect to the line NF.
- 5. While maintaining the vertical centerline in the reference plane, keeping point A on the line passing through RE and LE, and maintaining the phone contact with the ear, the handset was rotated about the line NF until any point on the handset made contact with a phantom point below the ear (cheek). See Figure 12.5)



Front, Side and Top View of Cheek/Touch Position



Side view with relevant markings

POSITIONING FOR EAR/15 DEGREE TILE

With the test device aligned in the Cheek/Touch Position:

- 1. While maintaining the orientation of the phone, the phone was retracted parallel to the reference plane far enough to enable a rotation of the phone by 15 degree.
- 2. The phone was then rotated around the horizontal line by 15 degree.
- 3. While maintaining the orientation of the phone, the phone was moved parallel to the reference plane until any part of the phone touches the head. (In this position, point A was located on the line RE-LE). The tilted position is obtained when the contact is on the pinna. If the contact was at any location other than the pinna, the angle of the phone would then be reduced. The tilted position was obtained when any part of the phone was in contact of the ear as well as a second part of the phone was in contact with the head.





Front, Side and Top View of Ear/15 Tilt Position

Body Worn Configurations

The body-worn configurations shall be tested with the supplied accessories (belt-clips, holsters, etc.) attached to the device in normal use configuration.

For body-worn and other configurations a flat phantom shall be used which is comprised of material with electrical properties similar to the corresponding tissues.

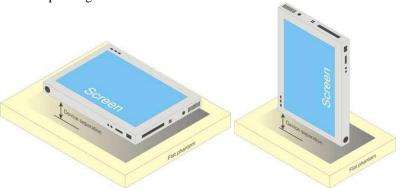


Illustration for Body Worn Positions

EVALUATION PROCEDURES

The evaluation was performed in the applicable area of the phantom depending on the type of device being tested.

- (i) For devices held to the ear during normal operation, both the left and right ear positions were evaluated using the SAM phantom.
- (ii) For body-worn and face-held devices a planar phantom was used.

The SAR was determined by a pre-defined procedure within the DASY4 software. Upon completion of a reference check, the exposed region of the phantom was scanned near the inner surface with a grid spacing of 15mm x 15mm.

An area scan was determined as follows:

Based on the defined area scan grid, a more detailed grid is created to increase the points by a factor of 10. The interpolation function then evaluates all field values between corresponding measurement points.

A linear search is applied to find all the candidate maxima. Subsequently, all maxima are removed that are >2 dB from the global maximum. The remaining maxima are then used to position the cube scans.



A 1g and 10g spatial peak SAR was determined as follows:

For frequencies \leq 4.5GHz a 32mm x 34mm (7x7x7 data points) zoom scan was assessed at the position where the greatest V/m was detected. For frequencies \geq 4.5GHz a 28mm x 28mm x 24mm (7x7x9 data points) zoom scan was assessed at the position where the greatest V/m was detected. The data at the surface was extrapolated since the distance from the probes sensors to the surface is 3.9cm. A least squares fourth-order polynomial was used to generate points between the probe detector and the inner surface of the phantom.

Interpolated data is used to calculate the average SAR over 1g and 10g cubes by spatially discretizing the entire measured cube. The volume used to determine the averaged SAR is a 1mm grid (42875 interpolated points).

Z-Scan was determined as follows:

The Z-scan measures points along a vertical straight line. The line runs along a line normal to the inner surface of the phantom surface.



DATA EVALUATION PROCEDURES

The DASY4 post processing software (SEMCAD) automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

Probe Parameters: - Sensitivity $Norm_i$, a_{i0} , a_{i1} , a_{i2}

- Conversion Factor $ConvF_i$ - Dipole Compression Point dcp_i

Device parameters: - Frequency f

- Crest factor

Media parameters: - Conductivity σ

- Density

These parameters must be set correctly in the software. They can be found in the component documents or can be imported into the software from the configuration files issued for the DASY components. In the direct measuring mode of the multimeter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used. The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC - transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given as:

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

With V_i = Compensated signal of channel i (i = x, y, z)

 U_i = Input signal of channel i (i = x, y, z)

cf = Crest factor of exciting field (DASY parameter)

 dcp_i = Diode compression point (DASY parameter)

From the compensated input signals the primary field data for each channel can be evaluated:

$$\mbox{E} - \mbox{fieldprobes}: \qquad E_i = \sqrt{\frac{V_1}{Norm_i \cdot ConvF}} \label{eq:energy}$$

$$\mbox{H} - \mbox{fieldprobes}: \qquad H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1} f + a_{i2} f^2}{f}$$

with V_i = Compensated signal of channel i (i = x, y, z)

 $Norm_i$ = Sensor sensitivity of channel i (i = x, y, z)

 $\mu V/(V/m)^2$ for E-field probes

ConvF = Sensitivity enhancement in solution

 a_{ii} = Sensor sensitivity factors for H-field probes

f = Carrier frequency (GHz)

 E_i = Electric field strength of channel i in V/m

 H_i = Magnetic field strength of channel i in A/m



The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{tot} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$SAR = E_{tot}^2 \cdot \frac{\sigma}{\rho \cdot 1'000}$$

with SAR = local specific absorption rate in mW/g

 E_{tot} = total field strength in V/m

 σ = conductivity in [mho/m] or [Siemens/m]

 ρ = equivalent tissue density in g/cm³

Note that the density is normally set to 1 (or 1.06), to account for actual brain density rather than the density of the simulation liquid.

The power flow density is calculated assuming the excitation field as a free space field.

$$P_{pwe} = \frac{E_{tot}^2}{3770}$$
 or $P_{pwe} = H_{tot}^2 \cdot 37.7$

with P_{pwe} = Equivalent power density of a plane wave in mW/cm2

 E_{tot} = total electric field strength in V/m

 H_{tot} = total magnetic field strength in A/m

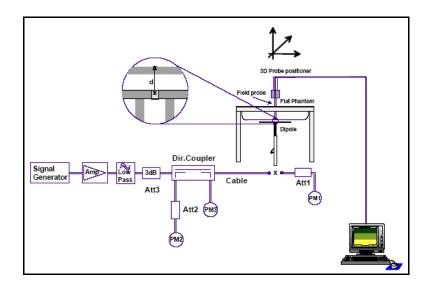


SYSTEM PERFORMANCE CHECK

Prior to the SAR evaluation a system check was performed in the planar section of the SAM phantom with an 2450 MHz dipole. The dielectric parameters of the simulated brain fluid were measured prior to the system performance check using an 85070 D Dielectric Probe Kit and an 8722 D Network Analyzer. A forward power of 250 mW was applied to the dipole and the system was verified to a tolerance of +10%. All results were normalized to 1 W.

Test Date	Fluid Type	SAR (W/k	0	Permittivity C	Constant Er	Conductivity of	5 (mho/m)	Ambient Temp.	Fluid Temp.	Fluid Depth
Test Date	(MHz)	Calibrated Target	Measure d	IEEE Target	Measure d	IEEE Target	Measured	(C)	(C)	(cm)
11/12/2013	2450 body	13.0 ±5%	13.1	52.7 ±5%	51.25	1.95 ±10%	2.009	23.0	22.0	≥15

Note: The ambient and fluid temperatures were measured prior to the fluid parameter check and the system performance check. The temperatures listed in the table above were consistent for all measurement periods.





SIMULATED EQUIVALENT TISSUES

Simulated Tissue Mixture							
Ingredient	2450MHz Body (EUT testing & system validation)						
Water	68.64%						
DGBE	31.37%						
Salt	0.00%						



SAR SAFETY LIMITS

	SAR (W/kg)					
EXPOSURE LIMITS	(General Population / Uncontrolled Exposure Environment)	(Occupational / Controlled Exposure Environment)				
Spatial Average (averaged over the whole body)	0.08	0.4				
Spatial Peak (averaged over any 1g of tissue)	1.60	8.0				
Spatial Peak (hands/wrists/feet/ankles averaged over 10g)	4.0	20.0				

Notes:

- 1. Uncontrolled exposure environments are locations where there is potential exposure of individuals who have no knowledge or control of their potential exposure.
- 2. Controlled exposure environments are locations where there is potential exposure of individuals who have knowledge of their potential exposure and can exercise control over their exposure.



ROBOT SYSTEM SPECIFICATIONS

1.1. SPECIFICATIONS

Positioner:

Robot: Staubli Unimation Corp. Robot Model: RX90

Repeatability: 0.02 mm

No. of axis: 6

1.2. <u>DATA ACQUISITION ELECTRONIC (DAE) SYSTEM:</u>

Cell Controller

Processor: Compaq Evo

Clock Speed: 2.4 GHz

Operating System: Windows XP Professional

Data Converter

Features: Signal Amplifier, multiplexer, A/D converter, and control logic

Software: DASY4 software

Connecting Lines: Optical downlink for data and status info.

Optical uplink for commands and clock

Dasy4 Measurement Server

Function: Real-time data evaluation for field measurements and surface detection

Hardware: PC/104 166MHz Pentium CPU; 32 MB chipdisk; 64 MB RAM

Connections: COM1, COM2, DAE, Robot, Ethernet, Service Interface

E-Field Probe

Model: ET3DV6 Serial No.: 1793

Construction: Triangular core fiber optic detection system

Frequency: 10 MHz to 6 GHz

Linearity: $\pm 0.2 \text{ dB } (30 \text{ MHz to } 3 \text{ GHz})$

EX-Probe

Model: EX3DV4 Serial No. 3511

Construction: Triangular core Frequency: 10 MHz to > 6 GHz

Linearity: $\pm 0.2 \text{ dB } (30 \text{ MHz to } 3 \text{ GHz})$

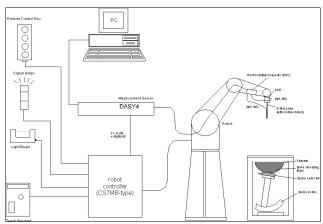
1.3. $\underline{PHANTOM(S)}$:

Validation & Evaluation Phantom

Type: SAM V4.0C Shell Material: Fiberglass Thickness: 2.0 ±0.1 mm Volume: Approx. 20 liters



SAR Measurement System



Measurement System Diagram

1.4. RX90BL ROBOT

The Stäubli RX90BL Robot is a standard high precision 6-axis robot with an arm extension for accommodating the data acquisition electronics (DAE).

1.5. ROBOT CONTROLLER

The CS7MB Robot Controller system drives the robot motors. The system consists of a power supply, robot controller, and remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.

1.6. LIGHT BEAM SWITCH

The Light Beam Switch (Probe alignment tool) allows automatic "tooling" of the probe. During the process, the actual position of the probe tip with respect to the robot arm is measured as well as the probe length and the horizontal probe offset. The software then corrects all movements, so that the robot coordinates are valid for the probe tip. The repeatability of this process is better than 0.1 mm. If a position has been taught with an aligned probe, the same position will be reached with another aligned probe within 0.1 mm, even if the other probe has different dimensions. During probe rotations, the probe tip will keep its actual position.



1.7. <u>DATA ACQUISITION ELECTRONICS</u>

The Data Acquisition Electronics consists of a highly sensitive electrometer grade preamplifier with auto-zeroing, a channel and gain switching multiplexer, a fast 16-bit A/D converter and a command decoder and control logic unit. Some of the task the DAE performs is signal amplification, signal multiplexing, A/D conversion, and offset measurements. The DAE also contains the mechanical probe-mounting device, which contains two different sensor systems for frontal and sideways probe contacts used for probe collision detection and mechanical surface detection for controlling the distance between the probe and the inner surface of the phantom shell. Transmission from the DAE to the measurement server, via the EOC, is through



an optical downlink for data and status information as well as an optical uplink for commands and the clock.



1.8. ELECTO-OPTICAL CONVERTER (EOC)

The Electro-Optical Converter performs the conversion between the optical and electrical of the signals for the digital communication to the DAE and for the analog signal from the optical surface detection. The EOC connects to, and transfers data to, the DASY4 measurement server. The EOC also contains the fiber optical surface detection system for controlling the distance between the probe and the inner surface of the phantom shell.



1.9. MEASUREMENT SERVER

The Measurement Server performs time critical tasks such as signal filtering, all real-time data evaluation for field measurements and surface detection, controls robot movements, and handles safety operation. The PC-operating system cannot interfere with these time critical processes. A watchdog supervises all connections, and disconnection of any of the cables to the measurement server will automatically disarm the robot and disable all program-controlled robot movements.



1.10. DOSIMETRIC PROBE

Dosimetric Probe is a symmetrical design with triangular core that incorporates three 3 mm long dipoles arranged so that the overall response is close to isotropic. The probe sensors are covered by an outer protective shell, which is resistant to organic solvents i.e. glycol. The probe is equipped with an optical multi-fiber line, ending at the front of the probe tip, for optical surface detection. This line connects to the EOC box on the robot arm and provides automatic detection of the phantom surface. The optical surface detection works in transparent liquids and on diffuse reflecting surfaces with a repeatability of better than ± 0.1 mm.



1.11. SAM PHANTOM

The SAM (Specific Anthropomorphic Mannequin) twin phantom is a fiberglass shell phantom with 2mm shell thickness (except the ear region where shell thickness increases to 6mm) integrated into a wooden table. The shape

of the shell corresponds to the phantom defined by SCC34-SC2. It enables the dosimetric evaluation of left hand, right hand phone usage as well as body mounted usage at the flat phantom region. The flat section is also used for system validation and the length and width of the flat section are at least 0.75 λ O and 0.6 λ O respectively at frequencies of 824 MHz and above (λ O = wavelength in air).



Reference markings on the phantom top allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. A white cover is provided to cover the phantom during off-periods preventing water evaporation and changes in the liquid parameters. Free space scans of devices on the cover are possible. The phantom is filled with a tissue simulating liquid to a depth of at least 15 cm at each ear reference point. The bottom plate of the wooden table contains three pair of bolts for locking the device holder.



1.12. PLANAR PHANTOM

The planar phantom is constructed of Plexiglas material with a 2.0 mm shell thickness for face-held and body-worn SAR evaluations of handheld radio transceivers. The planar phantom is mounted on the wooden table of the DASY4 system.



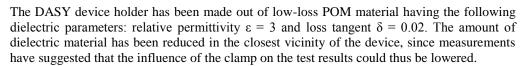
1.13. VALIDATION PLANAR PHANTOM

The validation planar phantom is constructed of Plexiglas material with a 6.0 mm shell thickness for system validations at 450MHz and below. The validation planar phantom is mounted on the wooden table of the DASY4 system.



1.14. DEVICE HOLDER

The device holder is designed to cope with the different measurement positions in the three sections of the SAM phantom given in the standard. It has two scales, one for device rotation (with respect to the body axis) and one for device inclination (with respect to the line between the ear openings). The rotation center for both scales is the ear opening, thus the device needs no repositioning when changing the angles. The plane between the ear openings and the mouth tip has a rotation angle of 65° .





The dielectric properties of the liquid conform to all the tabulated values [2-5]. Liquids are prepared according to Annex A and dielectric properties are measured according to Annex B.

1.15. SYSTEM VALIDATION KITS

Power Capability: > 100 W (f < 1GHz); > 40 W (f > 1GHz)

Construction: Symmetrical dipole with 1/4 balun Enables measurement of feed point impedance with NWA Matched for use near flat phantoms filled with brain simulating solutions Includes distance holder and tripod adaptor.

Frequency: 300, 450, 835, 1900, 2450 MHz, 5-6GHz

Return loss: >20 dB at specified validation position

Dimensions: 300 MHz Dipole: Length: 396mm; Overall Height: 430 mm; Diameter: 6 mm

450 MHz Dipole: Length: 270 mm; Overall Height: 347 mm; Diameter: 6 mm 835 MHz Dipole: Length: 161 mm; Overall Height: 270 mm; Diameter: 3.6 mm 1900 MHz Dipole: Length: 68 mm; Overall Height: 219 mm; Diameter: 3.6 mm 2450 MHz Dipole: Length: 51.5 mm; Overall Height: 300 mm; Diameter: 3.6 mm 5-6GHz Dipole: Length: 26.0 mm; Overall Height: 170 mm; Diameter: 3.6 mm





TEST EQUIPMENT LIST

Test Equipment	Serial Number	Calibration Date
DASY4 System Robot RX90	FO3/SX19A1/A/01	N/A
EX3DV4	3722	July 29. 2013
DAE	584	July 18, 2013
2450MHz Dipole	857	July 22, 2013
SAM Phantom V4.0C	N/A	N/A
SMB100A Signal Generator	RENTAL	12/4/13
EMCO Horn Antenna	1S2198	10/18/2012
Agilent E4407B Spectrum Analyzer	1S2607	8/29/2013
Agilent 8722D Network Analyzer	1S2272	7/12/2012
Extech Power Supply (30 VDC)	4S3771	N/A
Mini-Circuits power amplifier	1S2447	N/A

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MEASUREMENT UNCERTANTIES

UNCERTAINTY ASSESSMENT 300MHz-3GHz

Error Description	Tol.	Prob. Dist.	Div.	$rac{c_i}{1 ext{g}}$	c _i 10g	Std Unc ±% (1g)	Std Unc ±% (10g)	v_i or v_{eff}	
Measurement System	Measurement System								
Probe calibration	4.8	N	1	1	1	4.8	4.8	N/A	
Axial isotropy of the probe	4.7	R	$\sqrt{3}$	0.7	0.7	1.9	1.9	N/A	
Spherical isotropy of the probe	9.6	R	$\sqrt{3}$	0.7	0.7	3.9	3.9	N/A	
Boundary effects	1.0	R	√3	1	1	4.8	4.8	N/A	
Probe linearity	4.7	R	√3	1	1	2.7	2.7	N/A	
Detection limit	1.0	R	√3	1	1	0.6	0.6	N/A	
Readout electronics	1.0	N	1	1	1	1.0	1.0	N/A	
Response time	0.8	R	√3	1	1	0.5	0.5	N/A	
Integration time	2.6	R	√3	1	1	0.8	0.8	N/A	
RF ambient conditions	3.0	R	√3	1	1	0.43	0.43	N/A	
Mech. constraints of robot	0.4	R	√3	1	1	0.2	0.2	N/A	
Probe positioning	2.9	R	√3	1	1	1.7	1.7	N/A	
Extrapolation & integration	1.0	R	√3	1	1	2.3	2.3	N/A	
Test Sample Related		11			1				
Device positioning	2.9	N	1	1	1	2.23	2.23	145	
Device holder uncertainty	3.6	N	1	1	1	5.0	5.0	5	
Power drift	5.0	R	√3			2.9	2.9	N/A	
Phantom and Setup									
Phantom uncertainty	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	N/A	
Liquid conductivity (target)	5.0	R	√3	0.64	0.43	1.8	1.2	N/A	
Liquid conductivity (measured)	2.5	N	1	0.64	0.43	1.6	1.1	N/A	
Liquid permittivity (target)	5.0	R	√3	0.6	0.5	1.7	1.4	N/A	
Liquid permittivity (measured)	2.5	N	1	0.6	0.5	1.5	1.2	N/A	
Combined Standard Uncertainty		RSS				10.3	10.0		
	anded Unce 5% Confide	rtainty (k=2) nce Level				20.6	20.1		

Table 3. Worst-case uncertainty for DASY4 assessed according to IEEE P1528.

The budget is valid for the frequency range 300MHz to 3GHz and represents a worst-case analysis.



UNCERTAINTY FOR SYSTEM PERFORMANCE CHECK

Error Description	Tol. ±%	Prob. Dist.	Div.	$rac{c_i}{1 ext{g}}$	$egin{array}{c} c_i \ 10 \mathrm{g} \end{array}$	Std Unc ±% (1g)	Std Unc ±% (10g)	v_i or $v_{e\!f\!f}$
Measurement System	Measurement System							
Probe calibration	5.9	N	1	1	1	5.9	5.9	∞
Axial Isotropy	4.7	R	$\sqrt{3}$	1	1	2.7	2.7	∞
Hemispherical Isotropy	9.6	R	$\sqrt{3}$	0	0	0	0	∞
Boundary effects	1.0	R	√3	1	1	0.6	0.6	∞
Linearity	4.7	R	$\sqrt{3}$	1	1	2.7	2.7	∞
System Detection limit	1.0	R	√3	1	1	0.6	0.6	∞
Readout electronics	0.3	N	1	1	1	0.3	0.3	∞
Response time	0	R	$\sqrt{3}$	1	1	0	0	∞
Integration time	0	R	√3	1	1	0	0	∞
RF Ambient Noise	3.0	R	√3	1	1	1.7	1.7	∞
RF Ambient Reflections	3.0	R	√3	1	1	1.7	1.7	∞
Probe Positioner	0.4	R	√3	1	1	0.2	0.2	∞
Probe positioning	2.9	R	√3	1	1	1.7	1.7	∞
Algorithms for Max. SAR Eval.	1.0	R	√3	1	1	0.6	0.6	∞
Dipole			1	l				
Dipole Axis to Liquid Distance	2.0	R	$\sqrt{3}$	1	1	1.2	1.2	∞
Input power and SAR drift meas.	4.7	R	√3	1	1	2.7	2.7	∞
Phantom and Tissue Parameters			1	l				
Phantom uncertainty	4.0	R	$\sqrt{3}$	1	1	2.3	2.3	∞
Liquid conductivity (target)	5.0	R	$\sqrt{3}$	0.64	0.43	1.8	1.2	∞
Liquid conductivity (measured)	2.5	N	1	0.64	0.43	1.6	1.1	∞
Liquid permittivity (target)	5.0	R	√3	0.6	0.5	1.7	1.4	∞
Liquid permittivity (measured) 2.5		N	1	0.6	0.5	1.5	1.2	∞
Combined Standard Uncertainty					9.2	8.9		
Coverage Factor for 95% kp=2								
Expanded Uncertainty						18.4	17.8	

Table 4. Uncertainty of a System Performance Check with DASY4 System

The budget is valid for the frequency range 300MHz to 3GHz and represents a worst-case analysis.



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EUT TEST SETUP PHOTOS



Photograph 1. EUT Left Edge/Antenna, Side against Phantom



Photograph 2. EUT with Face of EUT against Phantom





Photograph 3. EUT with Face of EUT against Phantom



Photograph 4. Back side of the EUT against Phantom





Photograph 5. Fluid Depth





APPENDIX A - SAR MEASUREMENT DATA

g-mode left edge/antenna side against phantom

Date/Time: 11/13/2013 9:44:19 AM

DUT: JDSU; Type: Tablet

Communication System: DTS;; Frequency: 2437 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used (interpolated): f = 2437 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

Area Scan (81x161x1): Measurement grid: dx=10mm, dy=10mm

Maximum value of SAR (interpolated) = 0.178 mW/g

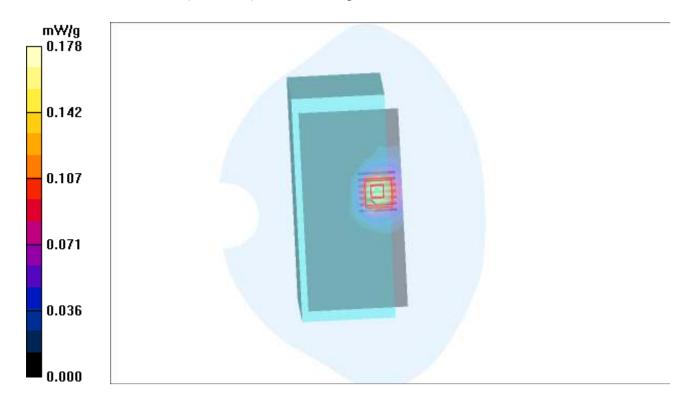
Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 3.01 V/m; Power Drift = 0.950 dB

Peak SAR (extrapolated) = 0.298 W/kg

SAR(1 g) = 0.159 mW/g; SAR(10 g) = 0.081 mW/g

Maximum value of SAR (measured) = 0.178 mW/g



n-mode left edge/antenna side against phantom

Date/Time: 11/13/2013 1:15:54 PM

DUT: JDSU; Type: Tablet

Communication System: DTS;; Frequency: 2437 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used (interpolated): f = 2437 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

Area Scan (81x161x1): Measurement grid: dx=10mm, dy=10mm

Maximum value of SAR (interpolated) = 0.169 mW/g

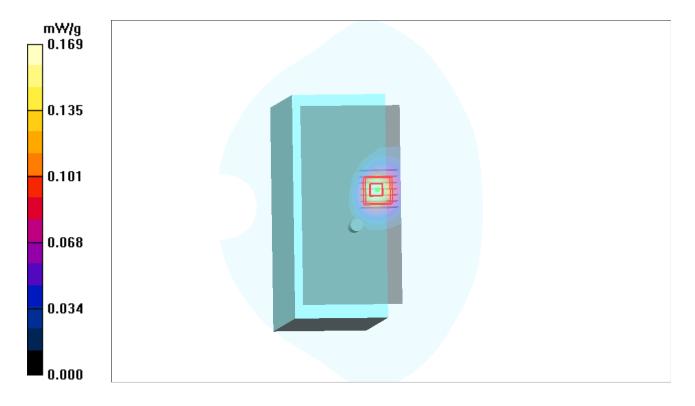
Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 2.94 V/m; Power Drift = 0.435 dB

Peak SAR (extrapolated) = 0.278 W/kg

SAR(1 g) = 0.151 mW/g; SAR(10 g) = 0.076 mW/g

Maximum value of SAR (measured) = 0.169 mW/g



b-mode left edge/antenna side against phantom

Date/Time: 11/13/2013 8:56:50 AM

DUT: JDSU; Type: Tablet

Communication System: DTS;; Frequency: 2437 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used (interpolated): f = 2437 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

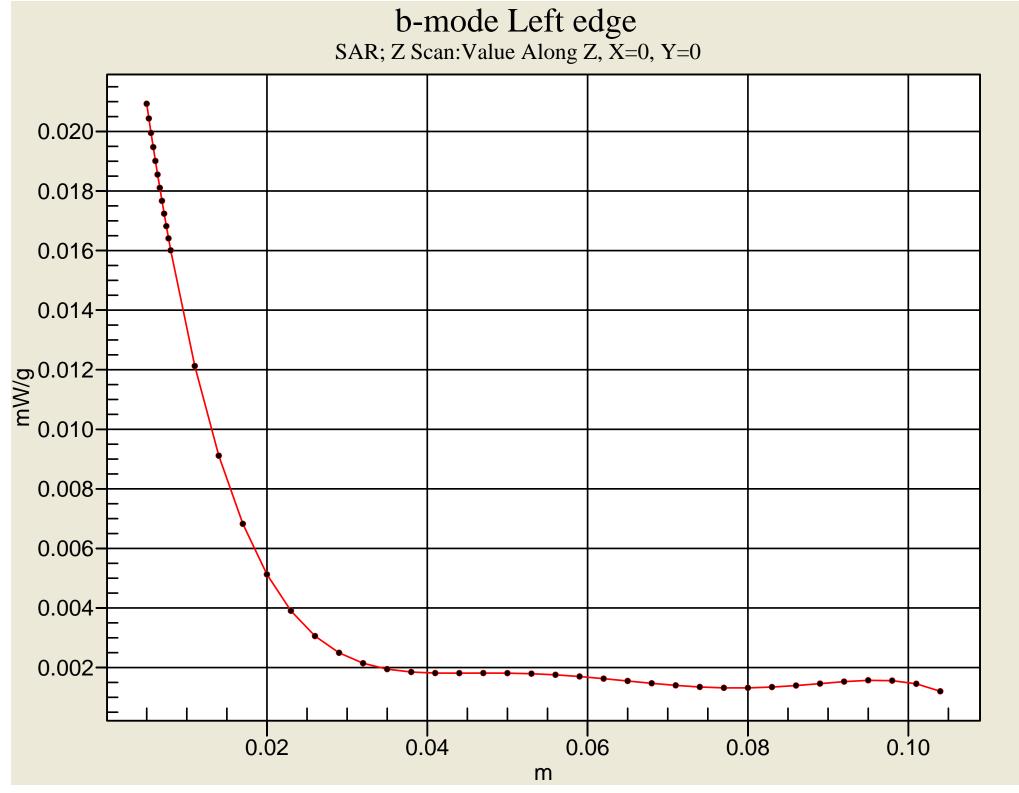
Area Scan (81x161x1): Measurement grid: dx=10mm, dy=10mm Maximum value of SAR (interpolated) = 0.206 mW/g

Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm Reference Value = 3.94 V/m; Power Drift = -0.391 dB

Peak SAR (extrapolated) = 0.339 W/kg

SAR(1 g) = 0.180 mW/g; SAR(10 g) = 0.090 mW/g Maximum value of SAR (measured) = 0.203 mW/g





b-mode with back of EUT against phantom

Date/Time: 11/12/2013 4:24:10 PM

DUT: JDSU; Type: Tablet

Communication System: DTS;; Frequency: 2437 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used (interpolated): f = 2437 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

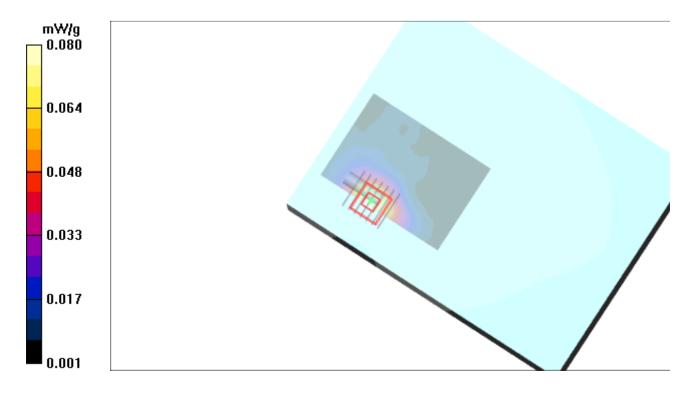
Area Scan (71x101x1): Measurement grid: dx=10mm, dy=10mm Maximum value of SAR (interpolated) = 0.079 mW/g

Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 1.90 V/m; Power Drift = -0.214 dB

Peak SAR (extrapolated) = 0.130 W/kg

SAR(1 g) = 0.074 mW/g; SAR(10 g) = 0.041 mW/g Maximum value of SAR (measured) = 0.080 mW/g



b-mode with face of tablet against phantom

Date/Time: 11/12/2013 3:50:01 PM

DUT: JDSU; Type: Tablet

Communication System: DTS;; Frequency: 2437 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used (interpolated): f = 2437 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

Area Scan (71x101x1): Measurement grid: dx=10mm, dy=10mm Maximum value of SAR (interpolated) = 0.024 mW/g

Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 3.17 V/m; Power Drift = 0.362 dB

Peak SAR (extrapolated) = 0.036 W/kg

SAR(1 g) = 0.032 mW/g; SAR(10 g) = 0.029 mW/g Maximum value of SAR (measured) = 0.036 mW/g





APPENDIX B - SYSTEM PERFORMANCE CHECK

2450MHz validation

Date/Time: 11/12/2013 10:56:46 AM

DUT: Dipole 2450 MHz

Communication System: CW; ; Frequency: 2450 MHz; Duty Cycle: 1:1

Medium: M2450 Medium parameters used: f = 2450 MHz; $\sigma = 2.009$ mho/m; $\varepsilon_r = 51.25$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

- Probe: EX3DV4 SN3722; ConvF(6.68, 6.68, 6.68); Calibrated: 7/29/2013
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE3 Sn584; Calibrated: 7/18/2013
- Phantom: SAM with CRP; Type: SAM; Serial: TP 1310
- Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 184

Area Scan (51x81x1): Measurement grid: dx=10mm, dy=10mm Maximum value of SAR (interpolated) = 15.9 mW/g

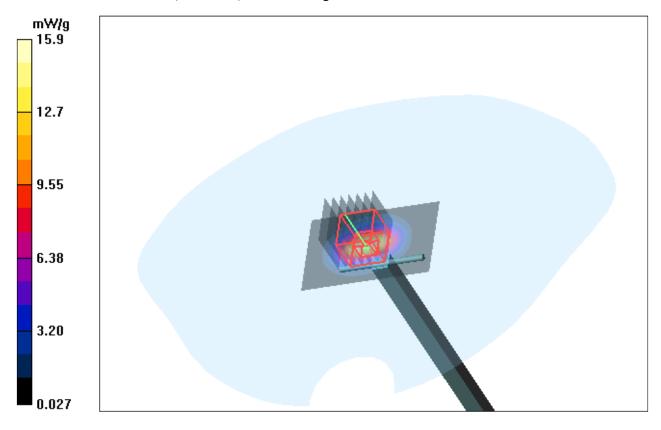
Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 86.1 V/m; Power Drift = 0.001 dB

Peak SAR (extrapolated) = 27.4 W/kg

SAR(1 g) = 13.1 mW/g; SAR(10 g) = 5.98 mW/g

Maximum value of SAR (measured) = 15.0 mW/g





APPENDIX C – PROBE CALIBRATION CERTIFICATE

Calibration Laboratory of

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
C Service suisse d'étalonnage
Servizio svizzero di taratura
Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client

MET Laboratories

Accreditation No.: SCS 108

Certificate No: EX3-3722_Jul13

CALIBRATION CERTIFICATE

Object

EX3DV4 - SN:3722

Calibration procedure(s)

QA CAL-01.v8, QA CAL-14.v3, QA CAL-23.v4, QA CAL-25.v4

Calibration procedure for dosimetric E-field probes

Calibration date:

July 29, 2013

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	04-Apr-13 (No. 217-01733)	Apr-14
Power sensor E4412A	MY41498087	04-Apr-13 (No. 217-01733)	Apr-14
Reference 3 dB Attenuator	SN: S5054 (3c)	04-Apr-13 (No. 217-01737)	Apr-14
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-13 (No. 217-01735)	Apr-14
Reference 30 dB Attenuator	SN: S5129 (30b)	04-Apr-13 (No. 217-01738)	Apr-14
Reference Probe ES3DV2	SN: 3013	28-Dec-12 (No. ES3-3013_Dec12)	Dec-13
DAE4	SN: 660	31-Jan-13 (No. DAE4-660_Jan13)	Jan-14
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-15
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

Name Function Signature

Dimce Iliev Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: July 29, 2013

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Calibration Laboratory of

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
C Service suisse d'étalonnage
Servizio svizzero di taratura
Swiss Calibration Service

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF DCP

sensitivity in TSL / NORMx,y,z diode compression point

CF A, B, C, D

crest factor (1/duty_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., $\theta = 0$ is normal to probe axis

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)". February 2005

Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide).
 NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z * frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- *DCPx,y,z:* DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Certificate No: EX3-3722_Jul13

Page 2 of 11