

Applicant	Kyocera
	V65S2151
Report #:	CT-S2151-9C-0113-R0

## EXHIBIT 9 APPENDIX C: SAR PROBE CALIBRATION CERTIFICATE

Total pages including cover page = 34

# 1618

# 3035

# 3036

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Client Kyoc

Kyocera USA

Certificate No: ET3-1618 Sep12

Accreditation No.: SCS 108

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### **CALIBRATION CERTIFICATE**

Object E

ET3DV6 - SN:1618

Calibration procedure(s)

QA CAL-01.v8, QA CAL-23.v4, QA CAL-25.v4 Calibration procedure for dosimetric E-field probes

Calibration date:

September 13, 2012

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).

The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	29-Mar-12 (No. 217-01508)	Apr-13
Power sensor E4412A	MY41498087	29-Mar-12 (No. 217-01508)	Apr-13
Reference 3 dB Attenuator	SN: S5054 (3c)	27-Mar-12 (No. 217-01531)	Apr-13
Reference 20 dB Attenuator	SN: S5086 (20b)	27-Mar-12 (No. 217-01529)	Apr-13
Reference 30 dB Attenuator	SN: S5129 (30b)	27-Mar-12 (No. 217-01532)	Apr-13
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 660	20-Jun-12 (No. DAE4-660_Jun12)	Jun-13
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-11)	In house check: Oct-12

Name Function Signature
Calibrated by: Claudio Leubler Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: September 17, 2012

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: ET3-1618\_Sep12 Page 1 of 11

### Calibration Laboratory of

Schmid & Partner
Engineering AG
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Swiss Calibration Service

Accreditation No.: SCS 108

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Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF DCP sensitivity in TSL / NORMx,y,z diode compression point

CF A, B, C crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

#### Calibration is Performed According to the Following Standards:

 a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z, VRx,y,z: A, B, C are numerical linearization parameters assessed based on the data of
  power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the
  maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Certificate No: ET3-1618\_Sep12

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# Probe ET3DV6

SN:1618

Manufactured: January 25, 2002 Calibrated: September 13, 2012

Calibrated for DASY/EASY Systems
(Note: non-compatible with DASY2 system!)

Certificate No: ET3-1618\_Sep12 Page 3 of 11

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm (µV/(V/m) <sup>2</sup> ) <sup>A</sup>	2.06	2.08	2.22	± 10.1 %
DCP (mV) <sup>B</sup>	97.2	96.1	99.1	

#### **Modulation Calibration Parameters**

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc <sup>±</sup> (k=2)
0	CW	0.00	Х	0.00	0.00	1.00	156.0	±4.6 %
			Υ	0.00	0.00	1.00	119.8	
			Z	0.00	0.00	1.00	159.5	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of NormX,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the

ET3DV6-SN:1618

## DASY/EASY - Parameters of Probe: ET3DV6 - SN:1618

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	41.5	0.90	6.29	6.29	6.29	0.31	3.00	± 12.0 %
1750	40.1	1.37	5.42	5.42	5.42	0.56	2.59	± 12.0 %
1900	40.0	1.40	5.17	5.17	5.17	0.73	2.22	± 12.0 %

<sup>&</sup>lt;sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to

At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

ET3DV6-SN:1618

### DASY/EASY - Parameters of Probe: ET3DV6 - SN:1618

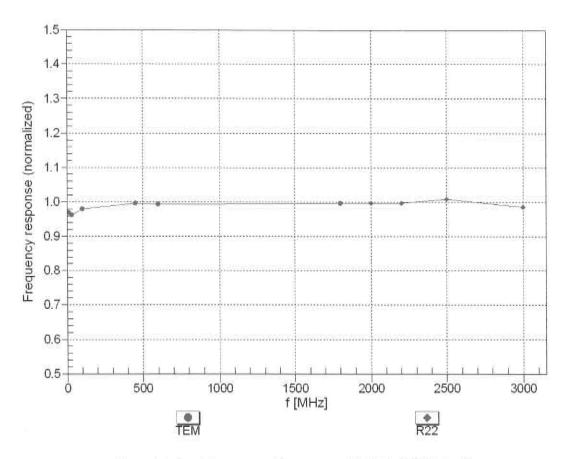
#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	55.2	0.97	6.16	6.16	6.16	0.30	3.00	± 12.0 %
1750	53.4	1.49	4.74	4.74	4.74	0.80	2.58	± 12.0 %
1900	53.3	1.52	4.42	4.42	4.42	0.80	2.61	± 12.0 %

<sup>&</sup>lt;sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

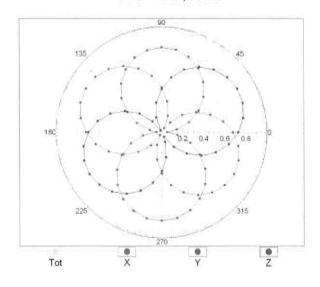


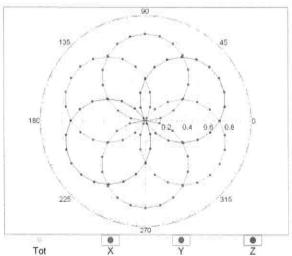
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

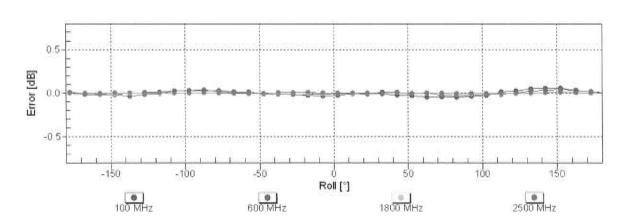
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

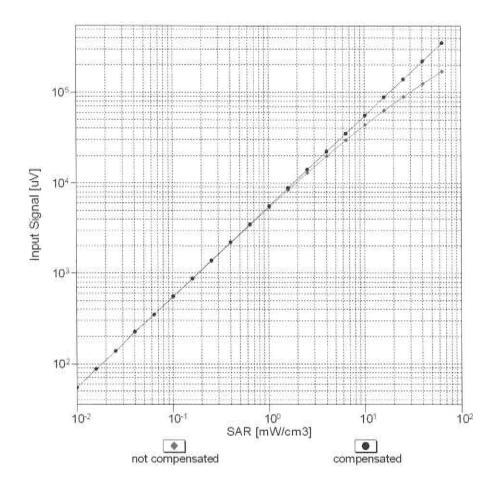


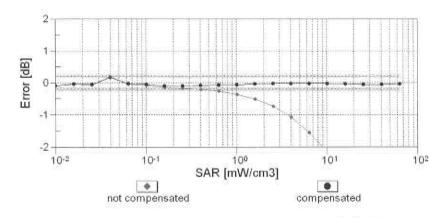




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

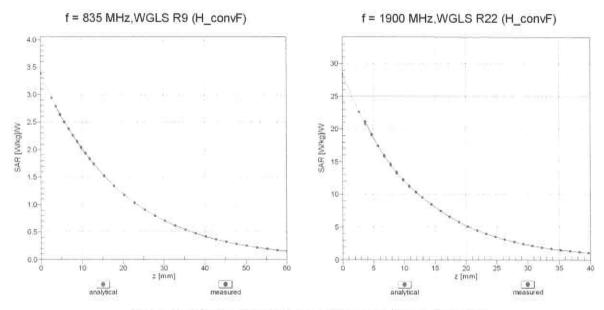
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f = 900 MHz)





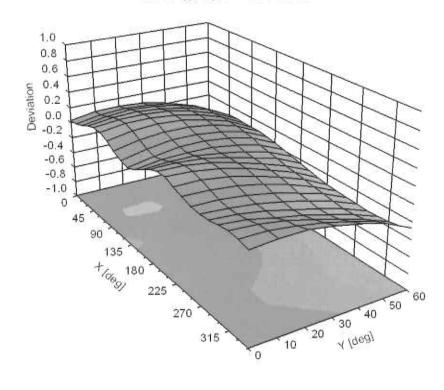
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



# Deviation from Isotropy in Liquid

Error  $(\phi, \vartheta)$ , f = 900 MHz



ET3DV6- SN:1618

## DASY/EASY - Parameters of Probe: ET3DV6 - SN:1618

#### Other Probe Parameters

Certificate No: ET3-1618\_Sep12

Sensor Arrangement	Triangular
Connector Angle (°)	92.6
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	10 mm
Tip Diameter	6.8 mm
Probe Tip to Sensor X Calibration Point	2.7 mm
Probe Tip to Sensor Y Calibration Point	2.7 mm
Probe Tip to Sensor Z Calibration Point	2.7 mm
Recommended Measurement Distance from Surface	4 mm

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Client

Kyocera USA

Certificate No: ES3-3035\_Feb12

### CALIBRATION CERTIFICATE

Object

ES3DV3 - SN:3035

Calibration procedure(s)

QA CAL-01.v8, QA CAL-23.v4, QA CAL-25.v4 Calibration procedure for dosimetric E-field probes

Calibration date:

February 22, 2012

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%,

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	31-Mar-11 (No. 217-01372)	Apr-12
Power sensor E4412A	MY41498087	31-Mar-11 (No. 217-01372)	Apr-12
Reference 3 dB Attenuator	SN: S5054 (3c)	29-Mar-11 (No. 217-01369)	Apr-12
Reference 20 dB Attenuator	SN: S5086 (20b)	29-Mar-11 (No. 217-01367)	Apr-12
Reference 30 dB Attenuator	SN: S5129 (30b)	29-Mar-11 (No. 217-01370)	Apr-12
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 654	3-May-11 (No. DAE4-654_May11)	May-12
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11)	In house check: Apr-13
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-11)	In house check: Oct-12

Calibrated by:

Name
Function
Signature
Laboratory Technician

Approved by:

Katja Pokovic
Technical Manager

Issued: February 23, 2012

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Zeughausstrasse 43, 8004 Zurich, Switzerland





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Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF DCP sensitivity in TSL / NORMx,y,z diode compression point

CF A, B, C crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

#### Calibration is Performed According to the Following Standards:

 a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

 IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide).
   NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z, VRx,y,z: A, B, C are numerical linearization parameters assessed based on the data of
  power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the
  maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Certificate No: ES3-3035 Feb12 Page 2 of 11

# Probe ES3DV3

SN:3035

Manufactured: August 21, 2003

Calibrated:

February 22, 2012

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	1.10	0.96	1.13	± 10.1 %
DCP (mV) <sup>B</sup>	103.2	108.8	105.0	

#### **Modulation Calibration Parameters**

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc <sup>E</sup> (k=2)
10000	CW	0.00	X	0.00	0.00	1.00	106.2	±2.2 %
			Y	0.00	0,00	1.00	94.7	
			Z	0.00	0.00	1.00	111.3	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	41.5	0.90	6.04	6.04	6.04	0.47	1.40	± 12.0 %
1750	40.1	1.37	5.31	5.31	5.31	0.60	1.35	± 12.0 %
1900	40.0	1.40	5.14	5.14	5.14	0.79	1.17	± 12.0 %
2450	39.2	1.80	4.56	4.56	4.56	0.60	1.46	± 12.0 %

<sup>&</sup>lt;sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

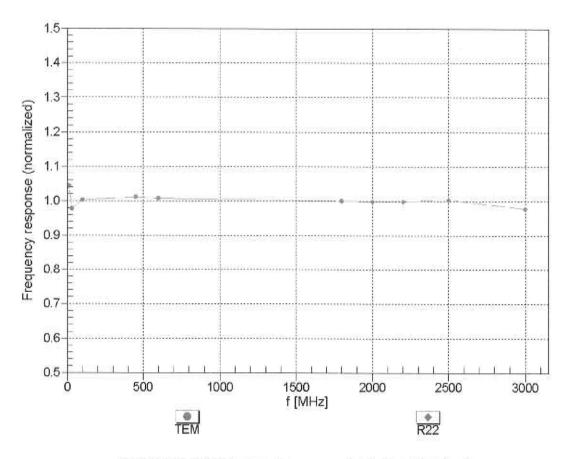
#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	55.2	0.97	6.09	6.09	6.09	0.57	1.35	± 12.0 %
1750	53.4	1.49	5.02	5.02	5.02	0.79	1.29	± 12.0 %
1900	53.3	1.52	4.63	4.63	4.63	0.58	1.64	± 12.0 %
2450	52.7	1.95	4.23	4.23	4.23	0.80	1.11	± 12.0 %

<sup>&</sup>lt;sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

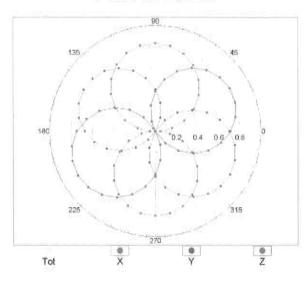


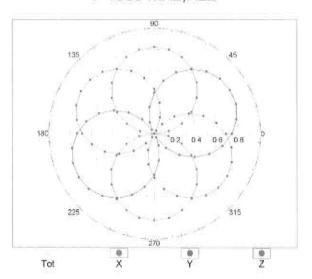
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

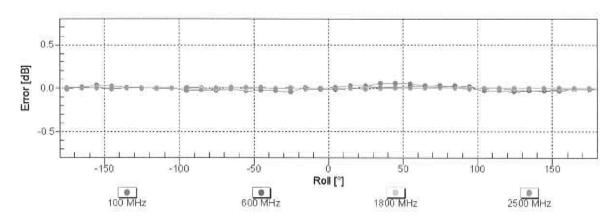
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

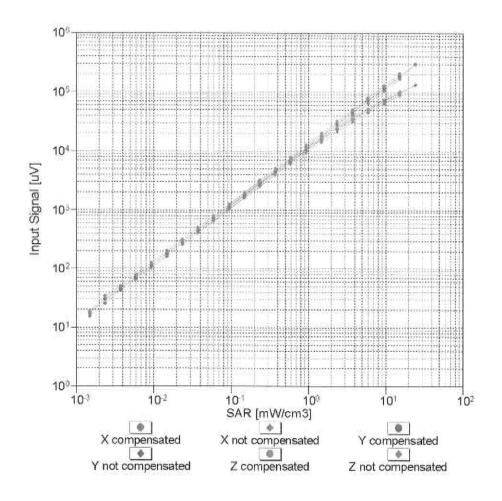


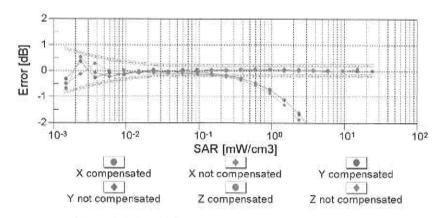




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

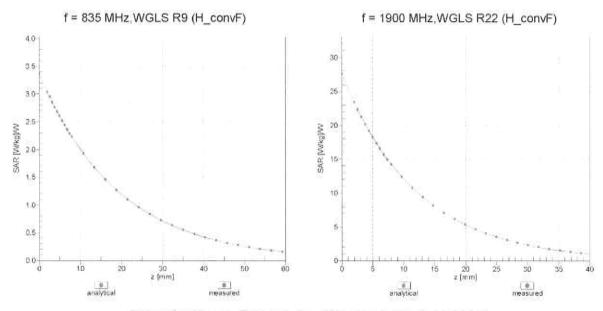
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f = 900 MHz)



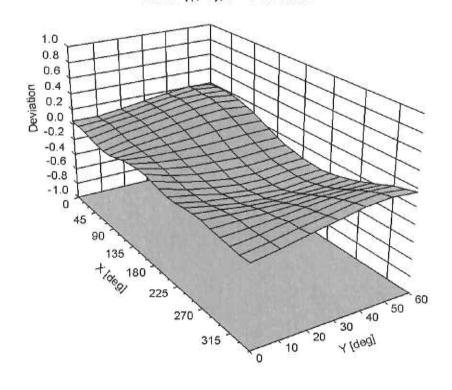


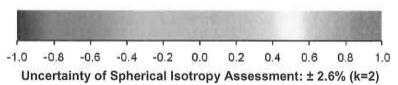
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



## Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz





ES3DV3-SN:3035

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3035

#### Other Probe Parameters

Sensor Arrangement	Triangular			
Connector Angle (°)	Not applicable			
Mechanical Surface Detection Mode	enabled			
Optical Surface Detection Mode	disabled			
Probe Overall Length	337 mm			
Probe Body Diameter	10 mn			
Tip Length	10 mm			
Tip Diameter	4 mm			
Probe Tip to Sensor X Calibration Point	2 mm			
Probe Tip to Sensor Y Calibration Point	2 mm			
Probe Tip to Sensor Z Calibration Point	2 mm			
Recommended Measurement Distance from Surface	3 mm			

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Accreditation No.: SCS 108

Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

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Client

Kyocera USA

Certificate No: ES3-3036 May12

## **CALIBRATION CERTIFICATE**

Object

ES3DV3 - SN:3036

Calibration procedure(s)

QA CAL-01.v8, QA CAL-23.v4, QA CAL-25.v4 Calibration procedure for dosimetric E-field probes

Calibration date:

May 29, 2012

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

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Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	29-Mar-12 (No. 217-01508)	Apr-13
Power sensor E4412A	MY41498087	29-Mar-12 (No. 217-01508)	Apr-13
Reference 3 dB Attenuator	SN: S5054 (3c)	27-Mar-12 (No. 217-01531)	Apr-13
Reference 20 dB Attenuator	SN: S5086 (20b)	27-Mar-12 (No. 217-01529)	Apr-13
Reference 30 dB Attenuator	SN: S5129 (30b)	27-Mar-12 (No. 217-01532)	Apr-13
Reference Probe ES3DV2	SN: 3013	29-Dec-11 (No. ES3-3013_Dec11)	Dec-12
DAE4	SN: 660	10-Jan-12 (No. DAE4-660_Jan12)	Jan-13
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-11) In house check:	
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-11) In house check: Oc	

Calibrated by:

Name
Function
Signature
Laboratory Technician

Approved by:

Katja Pokovic
Technical Manager

Issued: May 29, 2012

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: ES3-3036\_May12

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#### Calibration Laboratory of

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
C Service suisse d'étalonnage
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Swiss Calibration Service

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS)

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Glossary:

TSL

tissue simulating liquid sensitivity in free space

NORMx,y,z ConvF

sensitivity in TSL / NORMx,y,z

DCP CF diode compression point

A, B, C

crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

#### Calibration is Performed According to the Following Standards:

 a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003

 IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z, VRx,y,z: A, B, C are numerical linearization parameters assessed based on the data of
  power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the
  maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

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# Probe ES3DV3

SN:3036

Calibrated:

Manufactured: August 21, 2003 May 29, 2012

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

	Sensor X	Sensor X Sensor Y		Unc (k=2)	
Norm (μV/(V/m) <sup>2</sup> ) <sup>A</sup>	1.27	1.41	1.42	± 10.1 %	
DCP (mV) <sup>B</sup>	101.5	100.7	101.2		

#### **Modulation Calibration Parameters**

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc <sup>t</sup> (k=2)
0	CW	0.00 X 0.0	0.00	0.00	1.00	162.6	±3.3 %	
			Y	0.00	0.00	1.00	179.0	
			Z	0.00	0.00	1.00	168.7	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>&</sup>lt;sup>B</sup> Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max, deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>c</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	41.5	0.90	5.80	5.80	5.80	0.37	1.73	± 12.0 %
1750	40.1	1.37	5.02	5.02	5.02	0.80	1.18	± 12.0 %
1900	40.0	1.40	4.83	4.83	4.83	0.55	1.45	± 12.0 %
2450	39.2	1.80	4.22	4.22	4.22	0.80	1.26	± 12.0 %

<sup>&</sup>lt;sup>C</sup> Frequency validity of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

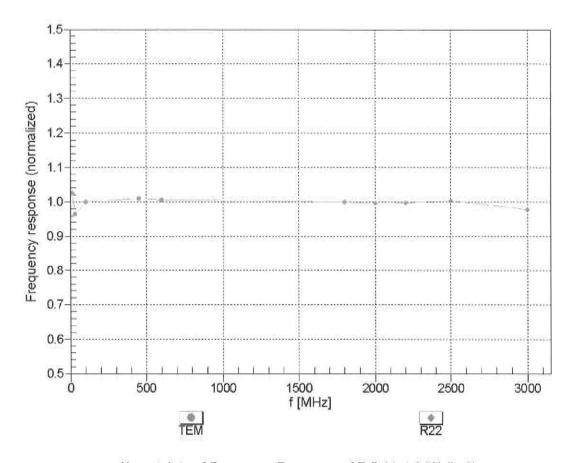
#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	55.2	0.97	5.83	5.83	5.83	0.47	1.50	± 12.0 %
1750	53.4	1.49	4.70	4.70	4.70	0.60	1,46	± 12.0 %
1900	53.3	1.52	4.47	4.47	4.47	0.61	1.45	± 12.0 %
2450	52.7	1.95	4.09	4.09	4.09	0.80	1.13	± 12.0 %

<sup>&</sup>lt;sup>c</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

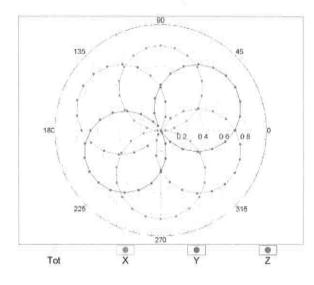


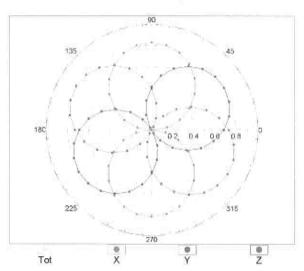
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

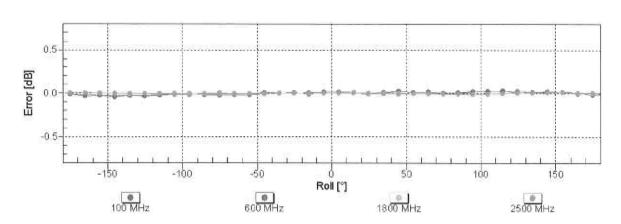
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

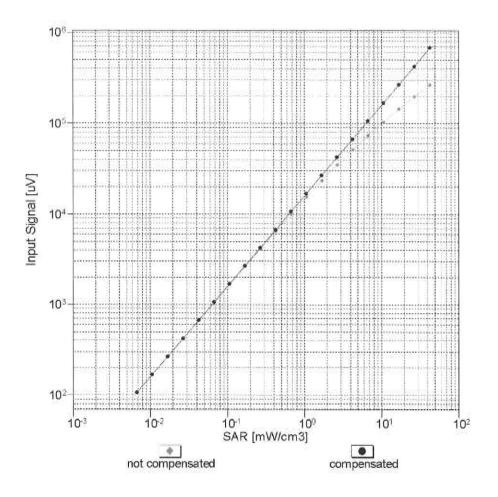


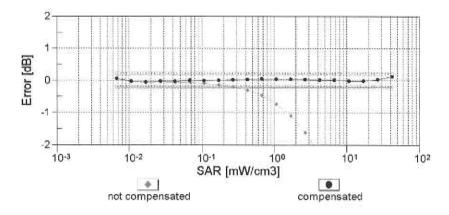




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

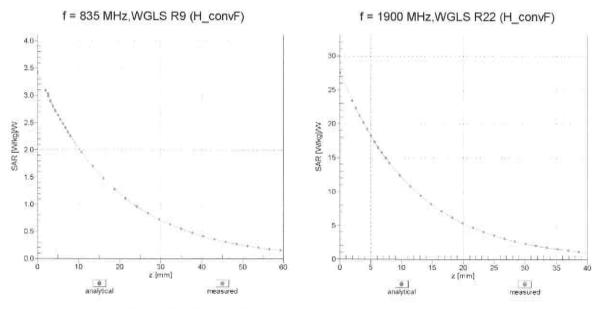
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f = 900 MHz)



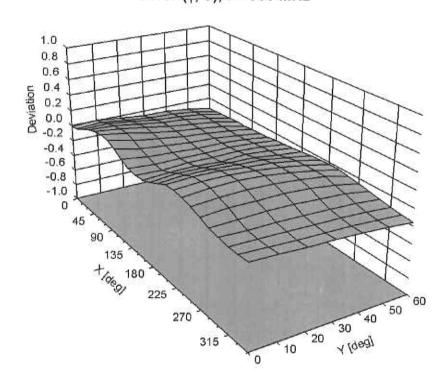


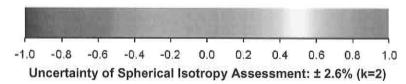
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



## Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz





#### Other Probe Parameters

Sensor Arrangement	Triangular			
Connector Angle (°)	-165,3			
Mechanical Surface Detection Mode	enabled			
Optical Surface Detection Mode	disable			
Probe Overall Length	337 mm			
Probe Body Diameter	10 mm			
Tip Length	10 mm			
Tip Diameter	4 mm			
Probe Tip to Sensor X Calibration Point	2 mm			
Probe Tip to Sensor Y Calibration Point	2 mm			
Probe Tip to Sensor Z Calibration Point	2 mm			
Recommended Measurement Distance from Surface	3 mm			