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ELECTROMAGNETIC EMISSIONS COMPLIANCE REPORT

INTENTIONAL RADIATOR CERTIFICATION TO **FCC PART 15 SUBPART E REQUIREMENT** AND INDUSTRY CANADA RSS 247 DFS TEST REPORT

OF

Product Name: Smart Module

Brand Name: N/A

SIMT 1502 Model No.:

Model Difference: N/A

FCC ID: UDV-20170406

IC: 8460A-20170406

Report No.: ER/2017/50039

Jun. 13, 2017 **Issue Date:**

FCC Rule Part: §15.407

IC Rule Part RSS-247 issue 2 Feb. 2017

Prepared for: SHANGHAI SIMCOM LIMITED

> Building A, SIM Technology Building, No.633, Jinzhong Road, Changning Dis-

trict, Shanghai

Prepared by: SGS Taiwan Ltd.

> **Electronics & Communication Laboratory** No.134, Wu Kung Road, New Taipei Industrial Park, Wuku District, New Taipei City,

Taiwan 24803





Testing Laboratory 0513

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VERIFICATION OF COMPLIANCE

Applicant: SHANGHAI SIMCOM LIMITED

Building A, SIM Technology Building, No.633, Jinzhong Road,

Changning District, Shanghai

Smart Module **Product Name:**

N/A **Brand Name:**

Model No.: SIMT 1502

Model Difference: N/A

FCC ID: UDV-20170406

IC: 8460A-20170406

File Number: ER/2017/50039

Date of test: May 08, 2017 ~ Jun. 12, 2017

Date of EUT Received: May 08, 2017

We hereby certify that:

The above equipment was tested by SGS Taiwan Ltd. Electronics & Communication Laboratory The test data, data evaluation, test procedures, and equipment configurations shown in this report were made in accordance with the procedures given in ANSI C63.10: 2013 and the energy emitted by the sample EUT tested as described in this report is in compliance with conducted and radiated emission limits.

The test results of this report relate only to the tested sample identified in this report.

Test By:	(on Li	Date:	Jun. 13, 2017	
Prepared By:	Tin Lin/Engineer Karen Huang	Date:	Jun. 13, 2017	
Approved By:	Karen Huang / Clerk Jim Chang / Asst. Manager	Date:	Jun. 13, 2017	

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Revision History

Report Number	Revision	Description	Issue Date
ER/2017/50039	Rev.00	Initial creation of document	Jun. 13, 2017

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GENERAL INFORMATION

1.1 Product Description

General:

Jeneral.	
Product Name:	Smart Module
Brand Name:	N/A
Model No.:	SIMT 1502
Model Difference:	N/A
Product SW/HW version:	CB03_8909_V4.4_20160901/ V1.2
Radio SW/HW version:	N/A / N/A
Test SW Version:	N/A
RF power setting in TEST SW:	N/A
Power Supply:	3.8Vdc from DC power Supply

Antenna Designation	External Antenna, 5GHz Gain: 3.37 dBi (5150MHz-5250MHz) 5GHz Gain: 3.23 dBi (5250MHz-5350MHz) 5GHz Gain: 1.51 dBi (5470MHz-5725MHz) 5GHz Gain: 0.42 dBi (5725MHz-5850MHz)
Modulation type	64QAM, 16QAM, QPSK, BPSK for OFDM
Transition Rate:	802.11 a: 6/9/12/18/24/36/48/54 Mbps 802.11 n_20MHz: 6.5 – 72.2Mbps 802.11 n_40MHz: 13.5 - 150.0Mbps

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FCC WLAN 5GHz:

Wi-Fi	Frequency Range	Channels	Rated Power (Avg.)	Modulation Technology
	5150~5250	4	15.98 dBm	
11a	5250~5350	4	16.36 dBm	OFDM
Ha	5470~5725	12	15.54 dBm	OFDIVI
	5725-5850	5	14.26 dBm	
	HT20 5150~5250	4	16.00 dBm	
11 n	HT20 5250~5350	4	16.31 dBm	OFDM
11n HT20 5470	HT20 5470~5725	12	15.58 dBm	OFDIVI
	HT20 5725-5850	5	14.33 dBm	
	HT40 5150~5250	2	16.54 dBm	
11n	HT40 5250~5350	2	16.50 dBm	OFDM
1111	HT40 5470~5725	6	14.07 dBm	OFDIVI
	HT40 5725-5850	2	14.29 dBm	

IC WLAN 5GHz:

Wi-Fi	Frequency Range	Channels	Rated Power (Avg.) / (EIRP)	Modulation Technology	
	5150~5250	4	15.98 dBm (EIRP)		
	5250~5350	4	16.36 dBm		
11a	5500~5580	5	14.66 dBm	OFDM	
	5700~5700	1	15.54 dBm		
	5745~5825	5	14.26 dBm		
	HT20 5150~5250	4	16.00 dBm (EIRP)		
11n	HT20 5250~5350	4	16.31 dBm		
	HT20 5500~5580	5	14.53 dBm	OFDM	
	HT20 5660~5720	4	15.58 dBm]	
	HT20 5745~5825	5	14.33 dBm		
	HT40 5150~5250	2	16.54 dBm (EIRP)		
	HT40 5250~5350	2	16.50 dBm		
11n	HT40 5510~5550	2	14.07 dBm	OFDM	
	HT40 5670~5670	1	13.01 dBm		
	HT40 5755~5795	2	14.29 dBm		

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1.2 Test Methodology of Applied Standards

FCC Part 15, Subpart E §15.407

FCC KDB 905462

RSS-247 issue 2 Feb. 2017

All test items have been performed and record as per the above standards.

1.3 Test Facility

SGS Taiwan Ltd. Electronics & Communication Laboratory No.134, Wu Kung Road, New Taipei Industrial Park, Wuku District, New Taipei City, Taiwan 24803 (TAF code 0513)

FCC Registration Numbers are: 509634

Canada Registration Number: 4620A-5

1.4 Special Accessories

There are no special accessories used while test was conducted.

1.5 Equipment Modifications

There was no modification incorporated into the EUT.

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SUMMARY OF TEST RESULT

FCC / IC Rules	Description Of Test	Result
§15.407(h) IC RSS-247 issue 1 §6.3	TPC and DFS Measurement	Compliant

MEASUREMENT UNCERTAINTY

Test Items	Uncertainty
TPC and DFS Measurement	+/- 123.36 Hz
Temperature	+/- 0.65 °C
Humidity	+/- 4.6 %
DC / AC Power Source	DC= +/- 0.13%, AC=+/- 0.2%

This uncertainty represents an expanded uncertainty expressed at approximately the 95% confidence level using a coverage factor of k=2.

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4 TPC AND DFS MEASUREMENT

4.1 TPC: Standard Applicable

According to §15.407(h)(1), Transmit power control (TPC). U-NII devices operating in the 5.25-5.35 GHz band and the 5.47-5.725 GHz band shall employ a TPC mechanism. The U-NII device is required to have the capability to operate at least 6 dB below the mean EIRP value of 30 dBm. A TPC mechanism is not required for systems with an e.i.r.p. of less than 500 mW.

4.1.1 Result: N/A, The output power is less than 500mW.

4.2 DFS: Standard Applicable

According to §15.407(h)(2) and FCC KDB 905462 D02, Radar Detection Function of Dynamic Frequency Selection (DFS).

Radar Detection Function of Dynamic Frequency Selection (DFS). U-NII devices operating with any part of its 26 dB emission bandwidth in the 5.25-5.35 GHz and 5.47-5.725 GHz bands shall employ a DFS radar detection mechanism to detect the presence of radar systems and to avoid co-channel operation with radar systems. Operators shall only use equipment with a DFS mechanism that is turned on when operating in these bands. The device must sense for radar signals at 100 percent of its emission bandwidth. The minimum DFS detection threshold for devices with a maximum e.i.r.p. of 200 mW to 1 W is –64 dBm. For devices that operate with less than 200 mW e.i.r.p. and a power spectral density of less than 10 dBm in a 1 MHz band, the minimum detection threshold is –62 dBm. The detection threshold is the received power averaged over 1 microsecond referenced to a 0 dBi antenna. For the initial channel setting, the manufacturers shall be permitted to provide for either random channel selection or manual channel selection.

- (i) Operational Modes. The DFS requirement applies to the following operational modes:
- (A) The requirement for channel availability check time applies in the master operational mode.
- (B) The requirement for channel move time applies in both the master and slave operational modes.

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(ii) Channel Availability Check Time. A U-NII device shall check if there is a radar system already operating on the channel before it can initiate a transmission on a channel and when it has to move to a new channel. The U-NII device may start using the channel if no radar signal with a power level greater than the interference threshold values listed in paragraph (h)(2) of this section, is detected within 60 seconds.

- (iii) Channel Move Time. After a radar's presence is detected, all transmissions shall cease on the operating channel within 10 seconds. Transmissions during this period shall consist of normal traffic for a maximum of 200 ms after detection of the radar signal. In addition, intermittent management and control signals can be sent during the remaining time to facilitate vacating the operating channel.
- (iv) Non-occupancy Period. A channel that has been flagged as containing a radar system, either by a channel availability check or in-service monitoring, is subject to a non-occupancy period of at least 30 minutes. The non-occupancy period starts at the time when the radar system is detected.

According to RSS 247 §6.3), Note: For the band 5600-5650 MHz, no operation is permitted. Until further notice, devices subject to this annex shall not be capable of transmitting in the band 5600-5650 MHz. This restriction is for the protection of Environment Canada weather radars operating in this band. Devices operating in the bands 5250-5350 MHz, 5470-5600 MHz and 5650-5725 MHz band shall comply with the following:

Devices shall employ a DFS radar detection mechanism to detect the presence of radar systems and to avoid co-channel operation with radar systems (see Note below). The minimum DFS radar signal detection threshold is -62 dBm for devices with a maximum e.i.r.p. less than 200 mW, and -64 dBm for devices with a maximum e.i.r.p. of 200 mW to 1 W. The detection threshold power is the received power, averaged over a 1-microsecond reference to a 0 dBi antenna. The DFS process shall provide a uniform spreading of the loading over all the available channels.

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Note: Test procedures for demonstrating compliance with the DFS radar detection requirements set out in this section are being evaluated by Industry Canada. As an interim measure, the Department will, until further notice, accept utilization of the DFS test procedures published by the U.S. Federal Communications Commission (FCC)3 to demonstrate compliance with the requirements of this section.

- Operational requirements: the requirement for channel availability check time applies in (b) the master operational mode. The requirement for channel move time applies in both the master and slave operational modes.
- (i) In-service monitoring: an LE-LAN device should be able to monitor the operating channel to check that a co-channel radar has not moved or started operation within range of the LE-LAN device. During in-service monitoring, the LE-LAN radar detection function continuously searches for radar signals between normal LE-LAN transmissions.
- (ii) Channel availability check time: the device shall check if there is a radar system already operating on the channel before it initiates a transmission on a channel and when it moves to a channel. The device may start using the channel if no radar signal with a power level greater than the interference threshold value specified in A9.3 (a) above is detected within 60 seconds.
- (iii) Channel move time: after a radar's signal is detected, the device shall cease all transmissions on the operating channel within 10 seconds. Transmission during this period shall consist of normal traffic for a maximum of 200 ms after detection of the radar signal. Intermittent management and control signals may also be sent during the remaining time to facilitate vacating the operating channel.
- (iv)Channel closing time: the maximum channel closing time is 260 ms. (v) Non-occupancy period: a channel that has been flagged as containing a radar signal, either by a channel availability check or in-service monitoring, is subject to a 30-minute non-occupancy period where the channel cannot be used by the LE-LAN device. The non-occupancy period starts from the time that the radar signal is detected.

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4.2.1 Limit

Table 1: Applicability of DFS requirements prior to use of a channel

_	Operational Mode			
Requirement	Master	Client(without radar detection)	Client(with radar detection)	
Non-occupancy Period	Yes	Yes	Yes	
DFS Detection Threshold	Yes	Not required	Yes	
Channel Availability Check Time	Yes	Not required	Not required	
U-NII Detection Bandwidth	Yes	Not required	Yes	

Table 2: Applicability of DFS requirements during normal operation

	Operational Mode		
Requirement	Master Device or Client with Radar Detection	Client Without Radar Detection	
DFS Detection Threshold	Yes	Not required	
Cannel Closing Transmission time	Yes	Yes	
Channel Move time	Yes	Yes	
U-NII Detection Bandwidth	Yes	Not required	

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Additional requirements for devices with multiple bandwidth mode	Master Device or Client with Radar Detection	Client Without Radar Detection
U-NII Detection Band- width and Statistical Performance Check	All BW modes must be tested	Not required
Channel Move Time and Channel Closing Transmission Time	Test using widest BW mode available	Test using the widest BW mode available for the link
All other tests	Any single BW mode	Not required

Note: Frequencies selected for statistical performance check (Section 7.8.4) should include several frequencies within the radar detection bandwidth and frequencies near the edge of the radar detection bandwidth. For 802.11 devices it is suggested to select frequencies in each of the bonded 20 MHz channels and the channel center frequency.

Table 3: Interference Threshold values, Master or Client incorporating In-Service Monitoring

Maximum Transmit Power	Value (See Notes 1, 2, and 3)
EIRP ≥ 200 milliwatt	-64 dBm
EIRP < 200 milliwatt and power spectral density < 10 dBm/MHz	-62 dBm
EIRP < 200 milliwatt that do not meet the power spectral density requirement	-64 dBm

Note 1: This is the level at the input of the receiver assuming a 0 dBi receive antenna.

Note 2: Throughout these test procedures an additional 1 dB has been added to the amplitude of the test transmission waveforms to account for variations in measurement equipment. This will ensure that the test signal is at or above the detection threshold level to trigger a DFS response.

Note3: EIRP is based on the highest antenna gain. For MIMO devices refer to KDB Publication 662911 D01

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Table 4: DFS Response requirement values

Parameter	Value		
Non-occupancy period	Minimum 30 minutes		
Channel Availability Check Time	60 seconds		
Channel Move Time	10 seconds See Note 1.		
Channel Closing Transmission Time	200 milliseconds + an aggregate of 60 milliseconds over remaining 10 second period. See Notes 1 and 2.		
U-NII Detection Bandwidth	Minimum 100% of the U- NII 99% transmission power bandwidth. See Note 3.		

Note 1: Channel Move Time and the Channel Closing Transmission Time should be performed with Radar Type 0. The measurement timing begins at the end of the Radar Type 0 burst.

Note 2: The Channel Closing Transmission Time is comprised of 200 milliseconds starting at the beginning of the Channel Move Time plus any additional intermittent control signals required to facilitate a Channel move (an aggregate of 60 milliseconds) during the remainder of the 10 second period. The aggregate duration of control signals will not count quiet periods in between transmissions.

Note 3: During the U-NII Detection Bandwidth detection test, radar type 0 should be used. For each frequency step the minimum percentage of detection is 90 percent. Measurements are performed with no data traffic.

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Table 5: Radar Test Waveforms Short Pulse Radar

Radar Type	Pulse Width (µsec)	PRI (μsec)	Number of Pulses	Minimum Percentage of Successful Detection	Minimum Number of Trials
0	1	1428	18	See Note 1	See Note
1	1	Test A: 15 unique PRI values randomly selected from the list of 23 PRI values in Table 5a Test B: 15 unique PRI values randomly selected within the range of 518-3066 µsec, with a minimum increment of 1 µsec, excluding PRI values selected in Test A	Roundup $ \frac{\left(\frac{1}{360}\right)}{\left(\frac{19 \cdot 10^6}{\text{PRI}_{\mu\text{sec}}}\right)} $.60%	30
2	1-5	150-230	23-29	60%	30
3	6-10	200-500	16-18	60%	30
4	11-20	200-500	12-16	60%	30
ggregate (Radar Type			80%	120

Note 1: Short Pulse Radar Type 0 should be used for the detection bandwidth test, channel move time, and channel closing time tests.

Long Pulse Radar

Radar Type	Pulse Width (µsec)	Chirp Width (MHz)	PRI (µsec)	Number of Pulses per Burst	Number of Bursts	Minimum Percentage of Successful Detection	Minimum Number of Trials
5	50-100	5-20	1000- 2000	1-3	8-20	80%	30

Frequency Hopping Radar

Radar Type	Pulse Width (µsec)	PRI (µsec)	Pulses per Hop	Hopping Rate (kHz)	Hopping Sequence Length	Minimum Percentage of Successful	Minimum Number of Trials
					(msec)	Detection	
6	1	333	9	0.333	300	70%	30

The applicant of this given application confirms that information regarding the parameters of the detected Radar Waveforms is not available to the end user.

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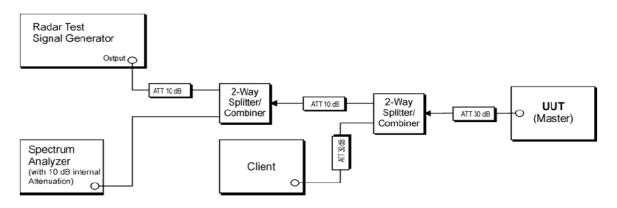
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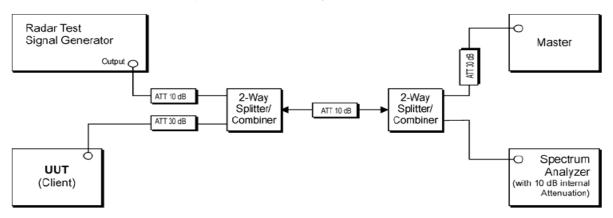
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4.2.2 **Test Setup**

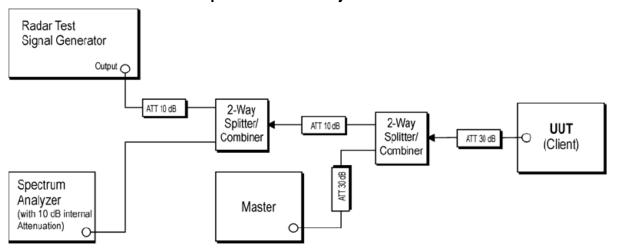
Setup for Master with injection at the Master



Setup for Client with injection at the Master



Setup for Client with injection at the Client



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4.2.3 Test Equipment Used:

Conducted Emission Test Site								
EQUIPMENT	MFR	MODEL	SERIAL	LAST	CAL DUE.			
TYPE		NUMBER	NUMBER	CAL.				
Signal Generator	Agilent	E4438C	MY45093613	07/07/2016	07/06/2017			
Spectrum Analyzer	∧ ailont	E4446A	MY5110000	01/29/2016	01/28/2017			
Spectrum Analyzer	Agilent	E4440A	3	01/29/2010	01/20/2017			
DC Block	Mini-Circuits	BLK-18-S+	1	01/05/2017	01/04/2018			
Attenuator	Mini-Circuit	BW-S10W2+	2	01/05/2017	01/04/2018			
Power Splitter	Mini-Circuits	ZN2PD-9G-S+	N/A	01/05/2017	01/04/2018			
Power Splitter	Mini-Circuits	ZN2PD-9G-S+	N/A	01/05/2017	01/04/2018			
Attenuator	Agilent	8495B	3308A22470	01/05/2017	01/04/2018			
Attenuator	HP	8494B	2812A170605	01/05/2017	01/04/2018			

4.2.4 Description of EUT:

EUT operates over the 5250-5350MHz and 5470-5725MHz ranges and EUT is a slave device (client equipment) w/o radar detection and DFS capability.

EUT has no TPC mechanism implemented with no adjustment of lowest, and highest power, but the level of power emission stays at fixed level.

The EUT utilizes the 802.11a architecture, with a nominal channel bandwidth of 40MHz WLAN traffic is generated by streaming the mpeg file from the master to slave in full monitor video mode using the media player.

The rated output power of the master unit is >23dBm(EIRP).therefore the required interference threshold level is -62dBm.after correction for antenna gain and procedural adjustments, the required conducted threshold at the antenna port is -62dBm, and the master device as employed for the applicable DFS test is CISCO router whose FCC ID=LDK102061

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While calibrate the path on antenna port of DFS test equipment (master), measurements equipments (spectrum) is ensured to be 50 Ohms, and therefore verification on antenna gain measurement can be ignored.

Conducted test was performed with appropriate adjustment, and calibration to ensure power from DFS simulator injects to antenna port of DFS test equipment (DFS) is -62dBm

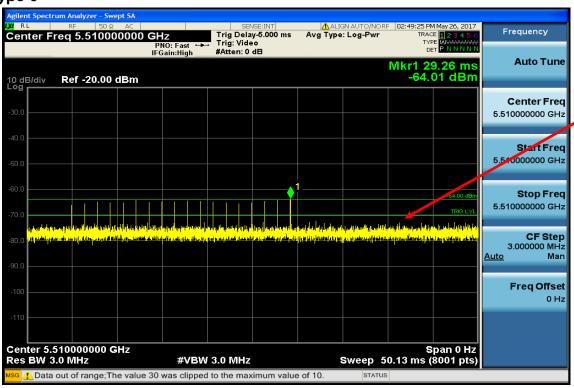
Message or files that is used for communication between Master and Client:

IP based system:

For the required channel loading, the full motion, 30 frames per second MPEG video file from http://ntiacsd.ntia.doc.gov/dfs/ was streamed from a network on a test bench (server of the storage to download the mandatory format of Video file), via the DFS Master device, to the UE (mobile phone).

4.2.5 Test results

Calibration plots for each of the required radar waveforms Radar type 0



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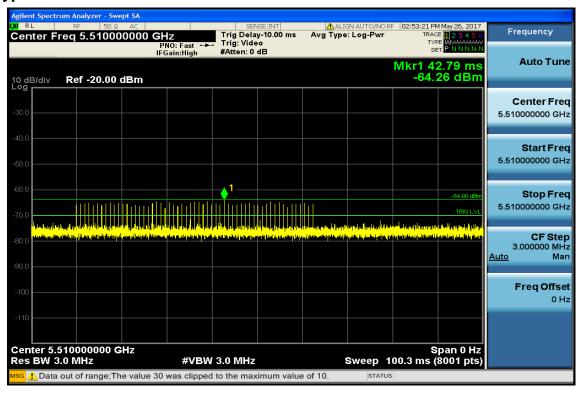
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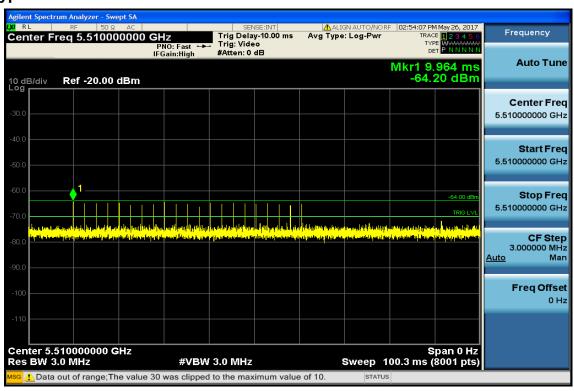


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Radar type 1-A



Radar type 1-B



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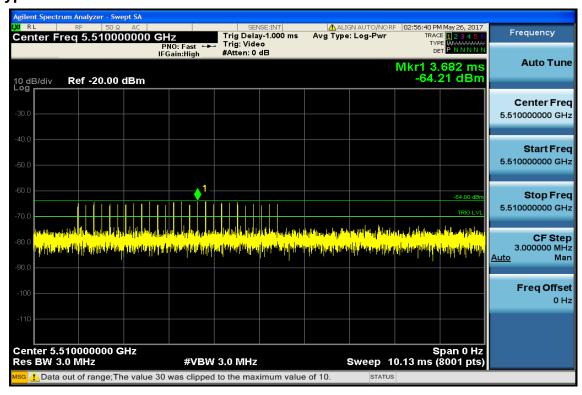
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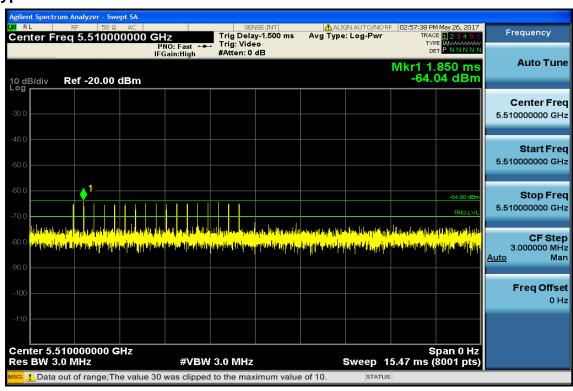


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Radar type 2



Radar type 3



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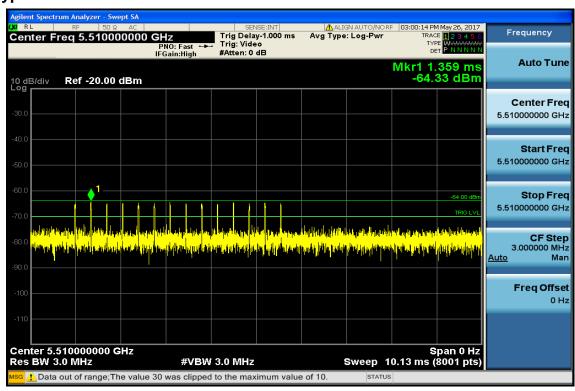
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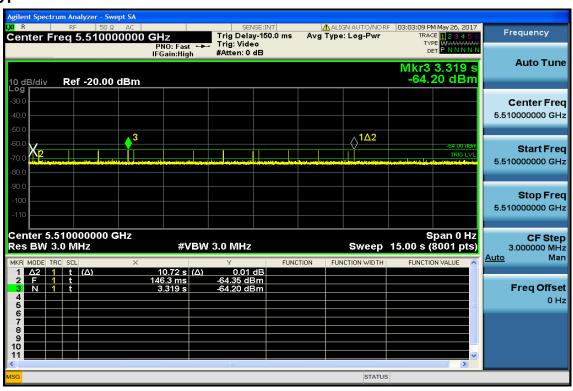


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Radar type 4



Radar type 5



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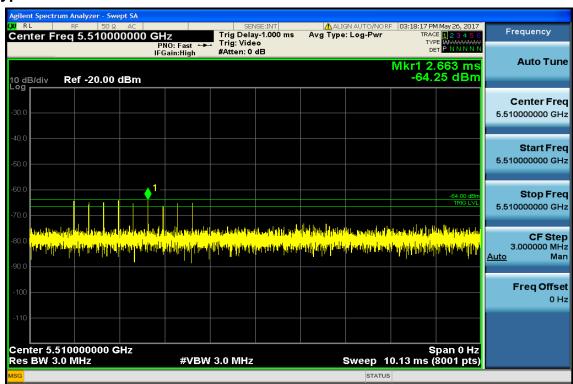
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Radar type 6



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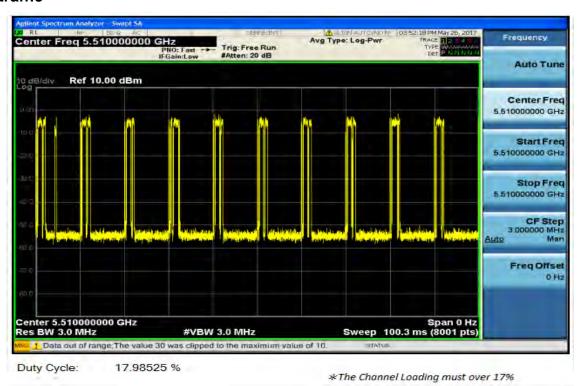
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WLAN traffic



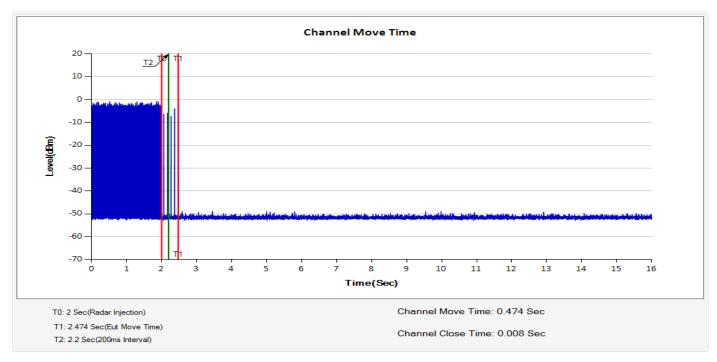
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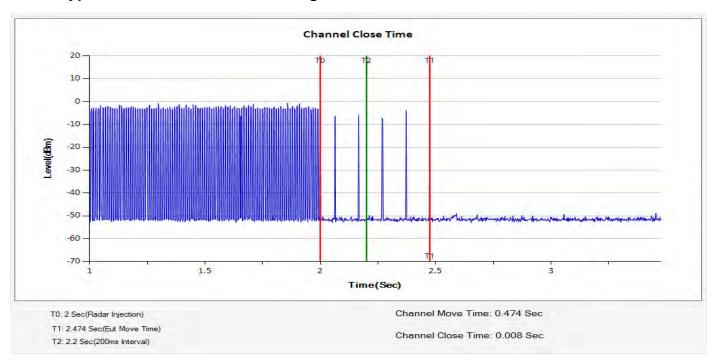


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Radar Type 1 Channel Move and Closing Transmission Time - 1



Radar Type 1 Channel Move and Closing Transmission Time - 2



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Verdict: Note: narrowing the sweep time as the good engineering process for the verification of transmission closing in 200ms

Non-occupancy Period (without radar detection)



Verdict: To verify whether channel is unavailable to be operated in 30 minutes.

1.8ks = 1800s = 1800 s/min /60 = 30minute

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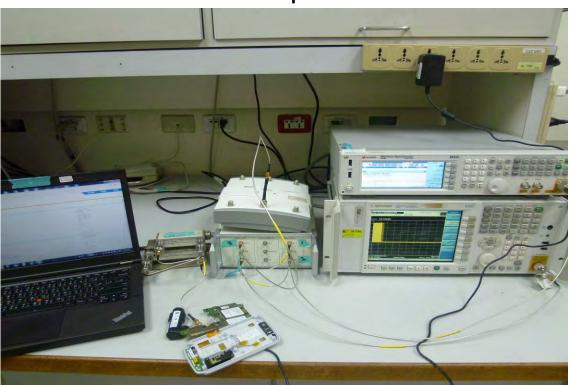
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PHOTOGRAPHS OF SET UP

DFS Setup Photo



PHOTOGRAPHS OF EUT

Please refer to the attached file (EUT Photo)

~ End of Report ~

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