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## CERTIFICATE OF COMPLIANCE SAR EVALUATION

Trilliant, Inc. 1100 Island Drive Redwood City, CA 94065 Dates of Test: Test Report Number: August 8, 2016 SAR.20160801 Revision A

FCC ID: IC Certificate: Host Model(s): Model: HVIN: Test Sample: Serial Number: Equipment Type: Classification: TX Frequency Range: Frequency Tolerance: Maximum RF Output: Signal Modulation: Antenna Type: Application Type: FCC Rule Parts: KDB Test Methodology: Industry Canada:	TBM-OSDI4W1 6028A-OSDI4W1 USB-4000-1 OSDI-4000-1x CL-R0353A-6.1 Engineering Unit Same as Production NEFC0000192 USB Dongle Portable Transmitter Next to Body 2400 – 2483 MHz $\pm 2.5$ ppm 2450 MHz – 30.00 dB Conducted DSSS-OQPSK Whip Antenna Certification Part 2, 15C KDB 447498 D01 v06 RSS-102 Issue 5, Safety Code 6
Industry Canada: Maximum SAR Value:	RSS-102 Issue 5, Safety Code 6 0.57 W/kg Reported 0 mm
Separation Distance:	U IIIII

This wireless mobile and/or portable device has been shown to be compliant for localized specific absorption rate (SAR) for uncontrolled environment/general exposure limits specified in ANSI/IEEE Std. C95.1-1992 and had been tested in accordance with the measurement procedures specified in IEEE 1528-2013 and IEC 62209-2:2010 (See test report).

I attest to the accuracy of the data. All measurements were performed by myself or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

RF Exposure Lab, LLC certifies that no party to this application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 853(a).

Jay M. Moulton Vice President





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## 1. Introduction

This measurement report shows compliance of the Trilliant, Inc. Host Model USB-4000-1, Model OSDI-4000-1x, HVIN CL-R0353A-6.1 FCC ID: TBM-OSDI4W1 with FCC Part 2, 1093, ET Docket 93-62 Rules for mobile and portable devices and IC Certificate: 6028A-OSDI4W1 with RSS102 Issue 5 & Safety Code 6. The FCC have adopted the guidelines for evaluating the environmental effects of radio frequency radiation in ET Docket 93-62 on August 6, 1996 to protect the public and workers from the potential hazards of RF emissions due to FCC regulated portable devices. [1], [6]

The test results recorded herein are based on a single type test of Trilliant, Inc. Host Model USB-4000-1, Model OSDI-4000-1x, HVIN CL-R0353A-6.1 and therefore apply only to the tested sample.

The test procedures, as described in ANSI C95.1 – 1999 Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz [2], ANSI C95.3 – 2002 Recommended Practice for the Measurement of Potentially Hazardous Electromagnetic Fields [3], IEEE Std.1528 – 2013 Recommended Practice [4], and Industry Canada Safety Code 6 Limits of Human Exposure to Radiofrequency Electromagnetic Fields in the Frequency Range from 3kHz to 300 GHz were employed.

The following table indicates all the wireless technologies operating in the Host Model USB-4000-1, Model OSDI-4000-1x, HVIN CL-R0353A-6.1 wireless USB Dongle. The table also shows the tolerance for the power level for each mode.

Band	Modulation DSS-OQPSK		Lower Tolerance dBm	Upper Tolerance dBm
802.15.4 – 2.4 GHz	DSS-OQPSK	N/A	N/A	30.00



#### SAR Definition [5]

Specific Absorption Rate is defined as the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density ( $\rho$ ).

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dV} \right)$$

SAR is expressed in units of watts per kilogram (W/kg). SAR can be related to the electric field at a point by

$$SAR = \frac{\sigma \mid E \mid^2}{\rho}$$

where:

 $\sigma$  = conductivity of the tissue (S/m)

 $\rho$  = mass density of the tissue (kg/m<sup>3</sup>)

E = rms electric field strength (V/m)



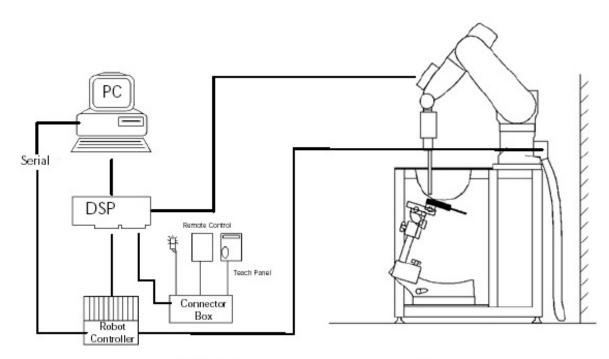
## 2. SAR Measurement Setup

#### **Robotic System**

These measurements are performed using the DASY52 automated dosimetric assessment system. The DASY52 is made by Schmid & Partner Engineering AG (SPEAG) in Zurich, Switzerland and consists of high precision robotics system (Staubli), robot controller, Intel Core2 computer, near-field probe, probe alignment sensor, and the generic twin phantom containing the brain equivalent material. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF) (see Fig. 2.1).

#### **System Hardware**

A cell controller system contains the power supply, robot controller teach pendant (Joystick), and a remote control used to drive the robot motors. The PC consists of the HP Intel Core2 computer with Windows XP system and SAR Measurement Software DASY52, A/D interface card, monitor, mouse, and keyboard. The Staubli Robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit that performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card.







#### **System Electronics**

The DAE4 consists of a highly sensitive electrometer-grade preamplifier with autozeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer. The system is described in detail in.

#### **Probe Measurement System**

The SAR measurements were conducted with the dosimetric probe EX3DV4, designed in the classical triangular configuration (see Fig. 2.2) and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multi fiber line ending at the front of the probe tip. (see Fig. 2.3) It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY52 software reads the reflection during a software approach and looks for the maximum using a 2nd order fitting. The approach is stopped at reaching the maximum.



DAE System



#### **Probe Specifications**

- Calibration: In air from 10 MHz to 6.0 GHz In brain and muscle simulating tissue at Frequencies of 450 MHz, 835 MHz, 1750 MHz, 1900 MHz, 2450 MHz, 2600 MHz, 3500 MHz, 5200 MHz, 5300 MHz, 5600 MHz, 5800 MHz
- Frequency: 10 MHz to 6 GHz
- Linearity: ±0.2dB (30 MHz to 6 GHz)



- **Range:** Linearity: ±0.2dB
- Dimensions: Overall length: 330 mm
- Tip length: 20 mm
- Body diameter: 12 mm
- Tip diameter: 2.5 mm
- Distance from probe tip to sensor center: 1 mm
- Application: SAR Dosimetry Testing Compliance tests of wireless device



Figure 2.3 Probe Thick-Film Technique

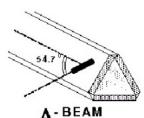


Figure 2.2 Triangular Probe Configurations



#### **Probe Calibration Process**

#### **Dosimetric Assessment Procedure**

Each probe is calibrated according to a dosimetric assessment procedure described in with accuracy better than +/- 10%. The spherical isotropy was evaluated with the procedure described in and found to be better than +/-0.25dB. The sensitivity parameters (Norm X, Norm Y, Norm Z), the diode compression parameter (DCP) and the conversion factor (Conv F) of the probe is tested.

#### Free Space Assessment

The free space E-field from amplified probe outputs is determined in a test chamber. This is performed in a TEM cell for frequencies below 1 GHz, and in a waveguide above 1GHz for free space. For the free space calibration, the probe is placed in the volumetric center of the cavity at the proper orientation with the field. The probe is then rotated 360 degrees until the three channels show the maximum reading. The power density readings equates to 1 mW/cm<sup>2</sup>.

#### Temperature Assessment \*

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The measured free space E-field in the medium, correlates to temperature rise in a dielectric medium. For temperature correlation calibration a RF transparent thermistor based temperature probe is used in conjunction with the E-field probe

SAR = 
$$C \frac{\Delta T}{\Delta t}$$

$$\mathsf{SAR} = \frac{\left|\mathsf{E}\right|^2 \cdot \sigma}{\rho}$$

simulated tissue conductivity,

Tissue density (1.25 g/cm<sup>3</sup> for brain tissue)

where:

where:

σ

ρ

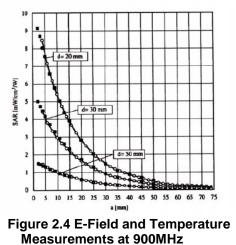
 $\Delta t$  = exposure time (30 seconds),

C = heat capacity of tissue (brain or muscle),

 $\Delta T$  = temperature increase due to RF exposure.

SAR is proportional to  $\Delta T / \Delta t$ , the initial rate of tissue heating, before thermal diffusion takes place.

Now it's possible to quantify the electric field in the simulated tissue by equating the thermally derived SAR to the E- field;



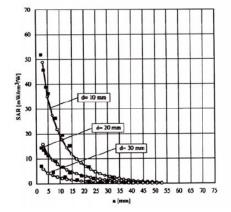


Figure 2.5 E-Field and Temperature Measurements at 1800MHz



#### **Data Extrapolation**

The DASY52 software automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given like below:

$$W_{i} = U_{i} + U_{i}^{2} \cdot \frac{cf}{dcp_{i}}$$
with  $V_{i}$  = compensated signal of channel i (i=x,y,z)  
 $U_{i}$  = input signal of channel i (i=x,y,z)  
 $Cf$  = crest factor of exciting field (DASY parameter)  
 $dcp_{i}$  = diode compression point (DASY parameter)

From the compensated input signals the primary field data for each channel can be evaluated:

E-field probes:	with	V <sub>i</sub> Norm <sub>i</sub>	<ul> <li>= compensated signal of channel i (i = x,y,z)</li> <li>= sensor sensitivity of channel i (i = x,y,z)</li> </ul>
$E_i = \sqrt{\frac{V_i}{Norm_i \cdot ConvF}}$		ConvF E <sub>i</sub>	μV/(V/m) <sup>2</sup> for E-field probes = sensitivity of enhancement in solution = electric field strength of channel i in V/m

The RSS value of the field components gives the total field strength (Hermetian magnitude):

$$E_{tot} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$SAR = E_{tot}^{2} \cdot \frac{\sigma}{\rho \cdot 1000}$$
 with SAR = local specific absorption rate in W/g  
 $E_{tot}$  = total field strength in V/m  
 $\sigma$  = conductivity in [mho/m] or [Siemens/m]  
 $\rho$  = equivalent tissue density in g/cm<sup>3</sup>

The power flow density is calculated assuming the excitation field to be a free space field.

$$P_{pure} = \frac{E_{tot}^2}{3770}$$
 with 
$$P_{pwe} = \text{equivalent power density of a plane wave in W/cm^2}_{E_{tot}} = \text{total electric field strength in V/m}$$



#### Scanning procedure

- The DASY installation includes predefined files with recommended procedures for measurements and system check. They are read-only document files and destined as fully defined but unmeasured masks. All test positions (head or body-worn) are tested with the same configuration of test steps differing only in the grid definition for the different test positions.
- The "reference" and "drift" measurements are located at the beginning and end of the batch process. They measure the field drift at one single point in the liquid over the complete procedure. The indicated drift is mainly the variation of the DUT's output power and should vary max. +/- 5 %.
- The highest integrated SAR value is the main concern in compliance test applications. These values can mostly be found at the inner surface of the phantom and cannot be measured directly due to the sensor offset in the probe. To extrapolate the surface values, the measurement distances to the surface must be known accurately. A distance error of 0.5mm could produce SAR errors of 6% at 1800 MHz. Using predefined locations for measurements is not accurate enough. Any shift of the phantom (e.g., slight deformations after filling it with liquid) would produce high uncertainties. For an automatic and accurate detection of the phantom surface, the DASY5 system uses the mechanical surface detection. The detection is always at touch, but the probe will move backward from the surface the indicated distance before starting the measurement.
- The "area scan" measures the SAR above the DUT or verification dipole on a parallel plane to the surface. It is used to locate the approximate location of the peak SAR with 2D spline interpolation. The robot performs a stepped movement along one grid axis while the local electrical field strength is measured by the probe. The probe is touching the surface of the SAM during acquisition of measurement values. The scan uses different grid spacings for different frequency measurements. Standard grid spacing for head measurements in frequency ranges 2GHz is 15 mm in x and y- dimension. For higher frequencies a finer resolution is needed, thus for the grid spacing is reduced according the following table:

Area scan grid spacing for different frequency ranges				
Frequency range	Grid spacing			
≤ 2 GHz	≤ 15 mm			
2 – 4 GHz	≤ 12 mm			
4 – 6 GHz	≤ 10 mm			

Grid spacing and orientation have no influence on the SAR result. For special applications where the standard scan method does not find the peak SAR within the grid, e.g. mobile phones with flip cover, the grid can be adapted in orientation. Results of this coarse scan are shown in annex B.

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• A "zoom scan" measures the field in a volume around the 2D peak SAR value acquired in the previous "coarse" scan. It uses a fine meshed grid where the robot moves the probe in steps along all the 3 axis (x,y and z-axis) starting at the bottom of the Phantom. The grid spacing for the cube measurement is varied according to the measured frequency range, the dimensions are given in the following table:

Zoom scan grid spacing and volume for different frequency ranges						
Frequency range	Grid spacing	Grid spacing	Minimum zoom			
r requency range	for x, y axis	for z axis	scan volume			
≤ 2 GHz	≤ 8 mm	≤ 5 mm	≥ 30 mm			
2 – 3 GHz	≤ 5 mm	≤ 5 mm	≥ 28 mm			
3 – 4 GHz	≤ 5 mm	≤ 4 mm	≥ 28 mm			
4 – 5 GHz	≤ 4 mm	≤ 3 mm	≥ 25 mm			
5 – 6 GHz	≤ 4 mm	≤ 2 mm	≥ 22 mm			

DASY is also able to perform repeated zoom scans if more than 1 peak is found during area scan. In this document, the evaluated peak 1g and 10g averaged SAR values are shown in the 2D-graphics in annex B. Test results relevant for the specified standard (see section 3) are shown in table form in section 7.



#### Spatial Peak SAR Evaluation

The spatial peak SAR - value for 1 and 10 g is evaluated after the Cube measurements have been done. The basis of the evaluation are the SAR values measured at the points of the fine cube grid consisting of all points in the three directions x, y and z. The algorithm that finds the maximal averaged volume is separated into three different stages.

- The data between the dipole center of the probe and the surface of the phantom are extrapolated. This data cannot be measured since the center of the dipole is 1 to 2.7 mm away from the tip of the probe and the distance between the surface and the lowest measuring point is about 1 mm (see probe calibration sheet). The extrapolated data from a cube measurement can be visualized by selecting 'Graph Evaluated'.
- The maximum interpolated value is searched with a straight-forward algorithm. Around this maximum the SAR values averaged over the spatial volumes (1g or 10 g) are computed using the 3d-spline interpolation algorithm. If the volume cannot be evaluated (i.e., if a part of the grid was cut off by the boundary of the measurement area) the evaluation will be started on the corners of the bottom plane of the cube.
- All neighboring volumes are evaluated until no neighboring volume with a higher average value is found.

#### Extrapolation

The extrapolation is based on a least square algorithm [W. Gander, Computermathematik, p.168-180]. Through the points in the first 3 cm along the z-axis, polynomials of order four are calculated. These polynomials are then used to evaluate the points between the surface and the probe tip. The points, calculated from the surface, have a distance of 1 mm from each other.

#### Interpolation

The interpolation of the points is done with a 3d-Spline. The 3d-Spline is composed of three onedimensional splines with the "Not a knot"-condition [W. Gander, Computermathematik, p.141-150] (x, y and z -direction) [Numerical Recipes in C, Second Edition, p.123ff ].

#### Volume Averaging

At First the size of the cube is calculated. Then the volume is integrated with the trapezoidal algorithm. 8000 points (20x20x20) are interpolated to calculate the average.

#### Advanced Extrapolation

DASY uses the advanced extrapolation option which is able to compensate boundary effects on E-field probes.



#### SAM PHANTOM

The SAM Twin Phantom V4.0 is constructed of a fiberglass shell integrated in a wooden table. The shape of the shell is based on data from an anatomical study designed to determine the maximum exposure in at least 90% of all users. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents the evaporation of the liquid. Reference markings on the Phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. (see Fig. 2.6)

#### Phantom Specification

Phantom:	S
Shell Material:	
Thickness:	2

SAM Twin Phantom (V4.0) Vivac Composite 2.0 ± 0.2 mm



Figure 2.6 SAM Twin Phantom

#### **Device Holder for Transmitters**

In combination with the SAM Twin Phantom V4.0 the Mounting Device (see Fig. 2.7), enables the rotation of the mounted transmitter in spherical coordinates whereby the rotation point is the ear opening. The devices can be easily, accurately, and repeat ably be positioned according to the FCC, CENELEC, IEC and IEEE specifications. The device holder can be locked at different phantom locations (left head, right head, flat phantom).



Figure 2.7 Mounting Device

Note: A simulating human hand is not used due to the complex anatomical and geometrical structure of the hand that may produce infinite number of configurations. To produce the worstcase condition (the hand absorbs antenna output power), the hand is omitted during the tests.



## 3. **Probe and Dipole Calibration**

See Appendix D and E.



## 4. Phantom & Simulating Tissue Specifications

#### Head & Body Simulating Mixture Characterization

The head and body mixtures consist of the material based on the table listed below. The mixture is calibrated to obtain proper dielectric constant (permittivity) and conductivity of the desired tissue. Body tissue parameters that have not been specified in IEEE1528-2013 are derived from the issue dielectric parameters computed from the 4-Cole-Cole equations.

ha anna all a sta	Simulating Tissue		
Ingredients	2450 MHz Body		
Mixing Percentage			
Water	73.20		
Sugar	0.00		
Salt	0.04		
HEC	0.00		
Bactericide	0.00		
DGBE	26.70		
Dielectric Constant Target	52.70		
Conductivity (S/m) Target	1.95		

#### Table 4.1 Typical Composition of Ingredients for Tissue

## 5. ANSI/IEEE C95.1 – 1992 RF Exposure Limits [2]

#### **Uncontrolled Environment**

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

#### **Controlled Environment**

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. This exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

	UNCONTROLLED ENVIRONMENT General Population (W/kg) or (mW/g)	CONTROLLED ENVIROMENT Professional Population (W/kg) or (mW/g)
SPATIAL PEAK SAR <sup>1</sup> Head	1.60	8.00
SPATIAL AVERAGE SAR <sup>2</sup> Whole Body	0.08	0.40
SPATIAL PEAK SAR <sup>3</sup> Hands, Feet, Ankles, Wrists	4.00	20.00

#### Table 5.1 Human Exposure Limits

<sup>&</sup>lt;sup>1</sup> The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

<sup>&</sup>lt;sup>2</sup> The Spatial Average value of the SAR averaged over the whole body.

<sup>&</sup>lt;sup>3</sup> The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.



## 6. Measurement Uncertainty

Measurement uncertainty table is not required per KDB 865664 D01 v01r04 section 2.8.2 page 12. SAR measurement uncertainty analysis is required in the SAR report only when the highest measured SAR in a frequency band is  $\geq$  1.5 W/kg for 1-g SAR. The equivalent ratio (1.5/1.6) should be applied to extremity and occupational exposure conditions. The highest reported value is less than 1.5 W/kg. Therefore, the measurement uncertainty table is not required.

## 7. System Validation

#### **Tissue Verification**

Table 7.1 Measured Tissue Parameters							
2450 MHz Body							
Date(s)		Aug.	Aug. 8, 2016				
Liquid Temperature (°C)	20.0	Target Measured					
Dielectric Constant: ε	52.70	52.64					
Conductivity: σ		1.95	1.96				
• • • • •							

See Appendix A for data printout.

### **Test System Verification**

Prior to assessment, the system is verified to the  $\pm 10\%$  of the specifications at the test frequency by using the system kit. Power is normalized to 1 watt. (Graphic Plots Attached)

#### Table 7.2 System Dipole Validation Target & Measured

	Test Frequency	Targeted SAR <sub>1g</sub> (W/kg)	Measure SAR <sub>1g</sub> (W/kg)	Tissue Used for Verification	Deviation Target and Fast SAR to SAR (%)	Plot Number
08-Aug-2016	2450 MHz	52.10	52.00	Body	- 0.19	1

See Appendix A for data plots.

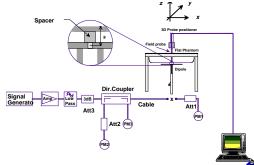


Figure 7.1 Dipole Validation Test Setup



## 8. SAR Test Data Summary

#### See Measurement Result Data Pages

See Appendix B for SAR Test Data Plots. See Appendix C for SAR Test Setup Photos.

#### **Procedures Used To Establish Test Signal**

The device was either placed into simulated transmit mode using the manufacturer's test codes or the actual transmission is activated through a base station simulator or similar equipment. See data pages for actual procedure used in measurement.

#### **Device Test Condition**

In order to verify that the device was tested at full power, conducted output power measurements were performed before and after each SAR measurement to confirm the output power unless otherwise noted. If a conducted power deviation of more than 5% occurred, the test was repeated. The power drift of each test is measured at the start of the test and again at the end of the test. The drift percentage is calculated by the formula ((end/start)-1)\*100 and rounded to three decimal places. The drift percentage is calculated into the resultant SAR value on the data sheet for each test.

The EUT was tested in on all sides of the device. All measurements for the device were conducted with the side of the device in direct contact with the phantom. The device operates at a maximum of 10% duty cycle due to the limitation of the USB current feed to the dongle. All measurements were tested with the device operating at a 10% duty cycle.

The device was on a minimum of 10 cm of Styrofoam during each test.

Band	Mode	Modulation	Channel	Frequency (MHz)	Peak Power (dBm)
		DSSS-OQPSK	11	2405	29.85
2450 MHz	802.15.4		18	2440	29.91
			24	2470	29.87



Mode	Side	Required Channel	Tested/Reduced	
		Required Channel           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           21 - 2405 MHz           18 - 2440 MHz           18 - 2440 MHz           18 - 2440 MHz	Reduced <sup>1</sup>	
	Side A	18 – 2440 MHz	Tested	
		Required Channel           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           11 - 2405 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           24 - 2470 MHz           18 - 2440 MHz           21 - 2405 MHz           11 - 2405 MHz           18 - 2440 MHz           24 - 2470 MHz           11 - 2405 MHz           11 - 2405 MHz           18 - 2440 MHz	Reduced <sup>1</sup>	
	Side B	11 – 2405 MHz	Reduced <sup>1</sup>	
		18 – 2440 MHz	Tested	
802.15.4		24 – 2470 MHz	Tested Reduced <sup>1</sup>	
002.15.4		11 – 2405 MHz	Reduced <sup>1</sup>	
	Side C	18 – 2440 MHz	Tested	
		11 – 2405 MHz         Redu           Side B         18 – 2440 MHz         Tes           24 – 2470 MHz         Redu           Side C         11 – 2405 MHz         Redu           Side C         18 – 2440 MHz         Tes           24 – 2470 MHz         Redu         11 – 2405 MHz           11 – 2405 MHz         Tes         24 – 2470 MHz           11 – 2405 MHz         Redu         11 – 2405 MHz	Reduced <sup>1</sup>	
	Side D	11 – 2405 MHz	Reduced <sup>1</sup>	
		18 – 2440 MHz	Tested	
		24 – 2470 MHz	Reduced <sup>1</sup>	

## Figure 8.1 Test Reduction Table

Reduced<sup>1</sup> – When the reported SAR is ≤ 0.8 W/kg, SAR is not required for the remaining test configuration per KDB 447498 D01 v06 section 4.4.1 a) page 16.

#### SAR Data Summary – 2450 MHz Body

#### MEASUREMENT RESULTS Reported Measured Frequency **End Power** Plot Gap Position Modulation SAR SAR MHz Ch. (dBm) (W/kg) (W/kg) 2440 18 29.91 1 Side A DSSS-OQPSK 0.561 0.57 Side B 2440 18 DSSS-OQPSK 29.91 0.497 0.51 -----0 mm Side C 2440 18 DSSS-OQPSK 29.91 0.108 0.11 -----Side D 2440 18 DSSS-OQPSK 29.91 0.0977 0.10 -----Body 1.6 W/kg (mW/g) averaged over 1 gram 1. Battery is fully charged for all tests. Power Measured Conducted ERP EIRP 2. SAR Measurement Phantom Configuration $\boxtimes$ Eli4 Right Head Left Head SAR Configuration Head $\boxtimes$ Body 3. Test Signal Call Mode Test Code Base Station Simulator 4. Test Configuration With Belt Clip Without Belt Clip $\square N/A$ 5. Tissue Depth is at least 15.0 cm

Jay M. Moulton Vice President



## 9. Test Equipment List

Table 9.1 Equipment Specifications					
Туре	Calibration Due Date	Calibration Done Date	Serial Number		
Staubli Robot TX60L	N/A	N/A	F07/55M6A1/A/01		
Measurement Controller CS8c	N/A	N/A	1012		
ELI4 Flat Phantom	N/A	N/A	1251		
Device Holder	N/A	N/A	N/A		
Data Acquisition Electronics 4	01/14/2017	01/14/2016	1321		
SPEAG E-Field Probe EX3DV4	01/27/2017	01/27/2016	3833		
Speag Validation Dipole D2450V2	08/10/2016	08/10/2015	881		
Agilent N1911A Power Meter	05/20/2017	05/20/2015	GB45100254		
Agilent N1922A Power Sensor	06/25/2017	06/25/2015	MY45240464		
Advantest R3261A Spectrum Analyzer	03/26/2017	03/26/2015	31720068		
Agilent (HP) 8350B Signal Generator	03/26/2017	03/26/2015	2749A10226		
Agilent (HP) 83525A RF Plug-In	03/26/2017	03/26/2015	2647A01172		
Agilent (HP) 8753C Vector Network Analyzer	03/26/2017	03/26/2015	3135A01724		
Agilent (HP) 85047A S-Parameter Test Set	03/26/2017	03/26/2015	2904A00595		
Agilent (HP) 8960 Base Station Sim.	03/31/2017	03/31/2015	MY48360364		
Anritsu MT8820C	07/28/2017	07/28/2015	6201176199		
Agilent 778D Dual Directional Coupler	N/A	N/A	MY48220184		
MiniCircuits BW-N20W5+ Fixed 20 dB	N/A	N/A	N/A		
Attenuator					
MiniCircuits SPL-10.7+ Low Pass Filter	N/A	N/A	R8979513746		
Aprel Dielectric Probe Assembly	N/A	N/A	0011		
Body Equivalent Matter (2450 MHz)	N/A	N/A	N/A		



## 10. Conclusion

The SAR measurement indicates that the EUT complies with the RF radiation exposure limits of the FCC/IC. These measurements are taken to simulate the RF effects exposure under worst-case conditions. Precise laboratory measures were taken to assure repeatability of the tests. The tested device complies with the requirements in respect to all parameters subject to the test. The test results and statements relate only to the item(s) tested.

Please note that the absorption and distribution of electromagnetic energy in the body is a very complex phenomena that depends on the mass, shape, and size of the body; the orientation of the body with respect to the field vectors; and, the electrical properties of both the body and the environment. Other variables that may play a substantial role in possible biological effects are those that characterize the environment (e.g. ambient temperature, air velocity, relative humidity, and body insulation) and those that characterize the individual (e.g. age, gender, activity level, debilitation, or disease). Because innumerable factors may interact to determine the specific biological outcome of an exposure to electromagnetic fields, any protection guide shall consider maximal amplification of biological effects as a result of field-body interactions, environmental conditions, and physiological variables.



## 11. References

[1] Federal Communications Commission, ET Docket 93-62, Guidelines for Evaluating the Environmental Effects of Radio Frequency Radiation, August 1996

[2] ANSI/IEEE C95.1 – 1992, American National Standard Safety Levels with respect to Human Exposure to Radio Frequency Electromagnetic Fields, 300kHz to 100GHz, New York: IEEE, 1992.

[3] ANSI/IEEE C95.3 – 2002, IEEE Recommended Practice for the Measurement of Potentially Hazardous Electromagnetic Fields – RF and Microwave, New York: IEEE, 2002.

[4] International Electrotechnical Commission, IEC 62209-2 (Edition 1.0), Human Exposure to radio frequency fields from hand-held and body mounted wireless communication devices – Human models, instrumentation, and procedures – Part 2: Procedure to determine the specific absorption rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz), March 2010.

[5] IEEE Standard 1528 – 2013, IEEE Recommended Practice for Determining the Peak-Spatial Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communication Devices: Measurement Techniques, June 2013.

[6] Industry Canada, RSS – 102 Issue 5, Radio Frequency Exposure Compliance of Radiocommunication Apparatus (All Frequency Bands), March 2015.

[7] Health Canada, Safety Code 6, Limits of Human Exposure to Radiofrequency Electromagnetic Fields in the Frequency Range from 3kHz to 300 GHz, 2009.



## Appendix A – System Validation Plots and Data

\* value interpolated



### Plot 1

#### DUT: Dipole 2450 MHz D2450V2; Type: D2450V2; Serial: D2450V2 - SN:881

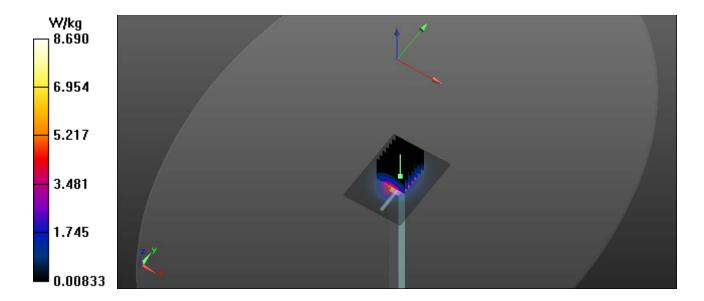
Communication System: CW; Frequency: 2450 MHz; Duty Cycle: 1:1 Medium: MSL2450; Medium parameters used: f = 2450 MHz;  $\sigma$  = 1.96 S/m;  $\epsilon_r$  = 52.64;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section

Test Date: Date: 8/8/2016; Ambient Temp: 23 °C; Tissue Temp: 21 °C Probe: EX3DV4 - SN3833; ConvF(6.87, 6.87, 6.87); Calibrated: 1/27/2016; Sensor-Surface: 2mm (Mechanical Surface Detection) Electronics: DAE4 Sn1321; Calibrated: 1/14/2016 Phantom: ELI v4.0; Type: QDOVA001BB; Serial: 1251 Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

#### **Procedure Notes:**

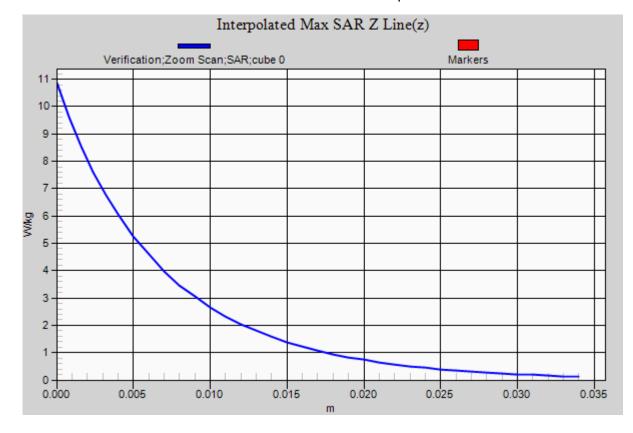
**2450 MHz Body/Verification/Area Scan (61x81x1):** Interpolated grid: dx=1.000 mm, dy=1.000 mm Maximum value of SAR (interpolated) = 8.68 W/kg

2450 MHz Body/Verification/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm Reference Value = 55.751 V/m; Power Drift = -0.01 dB Peak SAR (extrapolated) = 10.7 W/kg SAR(1 g) = 5.2 W/kg; SAR(10 g) = 2.4 W/kg Maximum value of SAR (measured) = 5.91 W/kg





Report Number: SAR.20160801





## Appendix B – SAR Test Data Plots



#### Plot 1

#### DUT: USB-4000-1; Type: USB Dongle; Serial: NEFC0000192

Communication System: 802.15.4; Frequency: 2440 MHz; Duty Cycle: 1:10 Medium: MSL2450; Medium parameters used: f = 2440 MHz;  $\sigma$  = 1.95 S/m;  $\epsilon_r$  = 52.66;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section

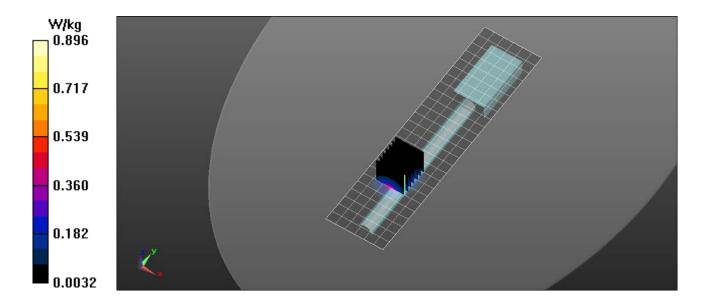
Test Date: Date: 8/8/2016; Ambient Temp: 23 °C; Tissue Temp: 21 °C

Probe: EX3DV4 - SN3833; ConvF(6.87, 6.87, 6.87); Calibrated: 1/27/2016; Sensor-Surface: 2mm (Mechanical Surface Detection) Electronics: DAE4 Sn1321; Calibrated: 1/14/2016 Phantom: ELI v4.0; Type: QDOVA001BB; Serial: 1251 Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

#### **Procedure Notes:**

**2450 MHz/Side A Mid/Area Scan (7x26x1):** Measurement grid: dx=10mm, dy=10mm Maximum value of SAR (measured) = 0.810 W/kg

2450 MHz/Side A Mid/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm Reference Value = 1.360 V/m; Power Drift = 0.02 dB Peak SAR (extrapolated) = 1.25 W/kg SAR(1 g) = 0.561 W/kg; SAR(10 g) = 0.240 W/kg Maximum value of SAR (measured) = 0.896 W/kg





## Appendix C – SAR Test Setup Photos

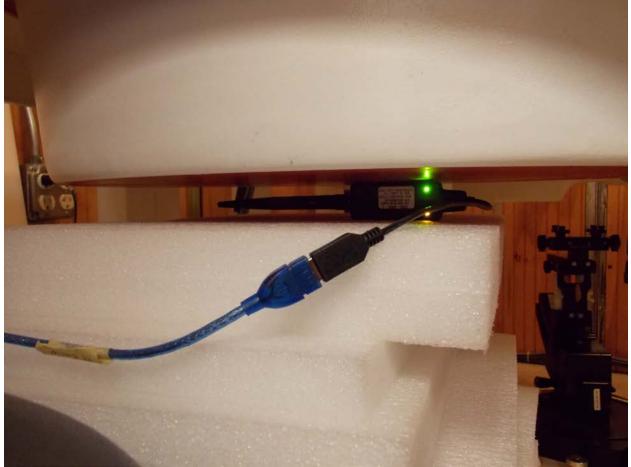


**Test Position Side A 0 mm Gap** 





**Test Position Side B 0 mm Gap** 



**Test Position Side C 0 mm Gap** 



**Test Position Side D 0 mm Gap** 



**Front of Device** 



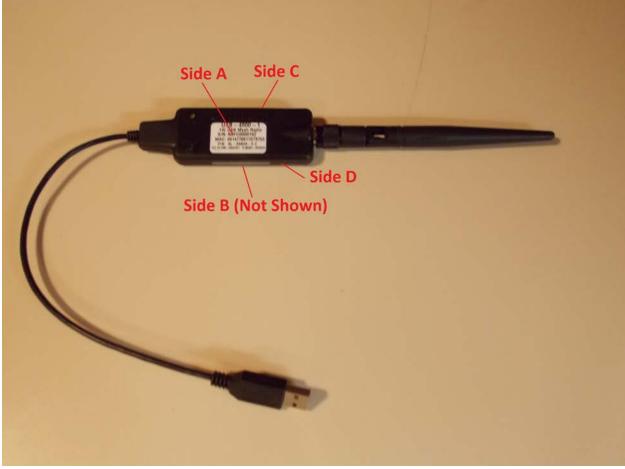
#### Report Number: SAR.20160801



## **Back of Device**



Report Number: SAR.20160801



**Test Positions** 



## **Appendix D – Probe Calibration Data Sheets**

#### **Calibration Laboratory of** Schmid & Partner **Engineering AG**

Zeughausstrasse 43, 8004 Zurich, Switzerland

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

RF Exposure Lab Client



S

Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

Jan16

Accreditation No.: SCS 0108

Client	RF Exposure Lab	Certificate No: EX3-3833_
CAL	IBRATION CERTIFICATE	

Object	EX3DV4 - SN:3833
Calibration procedure(s)	QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6 Calibration procedure for dosimetric E-field probes
Calibration date:	January 27, 2016
	nts the traceability to national standards, which realize the physical units of measurements (SI). tainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	1D	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	ofe la
		an a	anna composition and a second a second a secon
Approved by:	Katja Pokovic	Technical Manager	Lett-
		9,99,999,999,99,999,999,99,99,99,99,99,	ana sa berananan seria seria ang seria ana ana ang seria ang seria ang seria ang seria ang seria seria seria s Seria seria seri
			•
This calibration certificate	e shall not be reproduced except in	full without written approval of the labo	Issued: January 28, 2016 ratory.

Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst

- C Service suisse d'étalonnage
  - Servizio svizzero di taratura

Accreditation No.: SCS 0108

Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

#### Glossary:

tissue simulating liquid
sensitivity in free space
sensitivity in TSL / NORMx,y,z
diode compression point
crest factor (1/duty_cycle) of the RF signal
modulation dependent linearization parameters
$\phi$ rotation around probe axis
$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center),
i.e., $\vartheta = 0$ is normal to probe axis
information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)x, y, z = NORMx, y, z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCPx,y,z:* DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## **SN:3833**

Calibrated:

Manufactured: November 7, 2011 January 27, 2016

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.47	0.49	0.35	± 10.1 %
DCP (mV) <sup>B</sup>	100.8	100.2	102.7	

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>⊏</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	131.4	±2.5 %
		Y	0.0	0.0	1.0		134.5	
		Z	0.0	0.0	1.0		128.6	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>&</sup>lt;sup>B</sup> Numerical linearization parameter: uncertainty not required.

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
150	52.3	0.76	11.38	11.38	11.38	0.00	1.00	± 13.3 %
220	49.0	0.81	10.71	10.71	10.71	0.00	1.00	± 13.3 %
300	45.3	0.87	10.68	10.68	10.68	0.08	1.15	± 13.3 %
450	43.5	0.87	9.47	9.47	9.47	0.15	1.15	± 13.3 %
600	42.7	0.88	9.41	9.41	9.41	0.09	1.15	± 13.3 %
750	41.9	0.89	9.23	9.23	9.23	0.37	1.00	± 12.0 %
900	41.5	0.97	8.72	8.72	8.72	0.29	1.17	± 12.0 %
1640	40.3	1.29	7.85	7.85	7.85	0.41	0.88	± 12.0 %
1750	40.1	1.37	7.62	7.62	7.62	0.46	0.80	± 12.0 %
1900	40.0	1.40	7.27	7.27	7.27	0.45	0.80	± 12.0 %
2450	39.2	1.80	6.86	6.86	6.86	0.39	0.91	± 12.0 %
5200	36.0	4.66	4.64	4.64	4.64	0.35	1.80	± 13.1 %
5300	35.9	4.76	4.47	4.47	4.47	0.35	1.80	± 13.1 %
5500	35.6	4.96	4.23	4.23	4.23	0.40	1.80	± 13.1 %
5600	35.5	5.07	3.94	3.94	3.94	0.45	1.80	± 13.1 %
5800	35.3	5.27	4.11	4.11	4.11	0.45	1.80	± 13.1 %

#### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

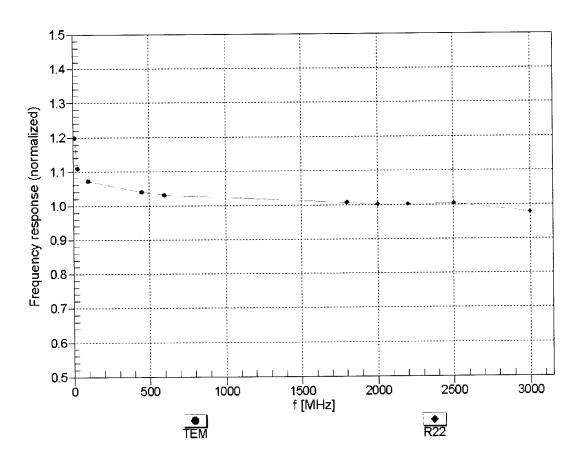
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
150	61.9	0.80	11.03	11.03	11.03	0.00	1.00	± 13.3 %
220	60.2	0.86	10.39	10.39	10.39	0.00	1. <u>00</u>	± 13.3 %
300	58.2	0.92	10.08	10.08	10.08	0.07	1.15	± 13.3 %
450	56.7	0.94	10.23	10.23	10.23	0.09	1.15	± 13.3 %
600	56.1	0.95	9.68	9.68	9.68	0.08	1.15	± 13.3 %
750	55.5	0.96	9.06	9.06	9.06	0.44	0.87	± 12.0 %
900	55.0	1.05	8.73	8.73	8.73	0.32	1.06	± 12.0 %
1640	53.8	1.40	7.77	7.77	7.77	0.38	0.82	± 12.0 %
1750	53.4	1.49	7.32	7.32	7.32	0.42	0.84	± 12.0 %
1900	53.3	1.52	7.13	7.13	7.13	0.38	0.80	± 12.0 %
2450	52.7	1.95	6.87	6.87	6.87	0.40	0.85	± 12.0 %
5200	49.0	5.30	4.03	4.03	4.03	0.45	1.90	± 13.1 %
5300	48.9	5.42	3.85	3.85	3.85	0.45	1.90	± 13.1 %
5500	48.6	5.65	3.56	3.56	3.56	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.25	3.25	3.25	0.60	1.90	± 13.1 %
5800	48.2	6.00	3.49	3.49	3.49	0.60	1.90	± 13.1 %

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz. <sup>f</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

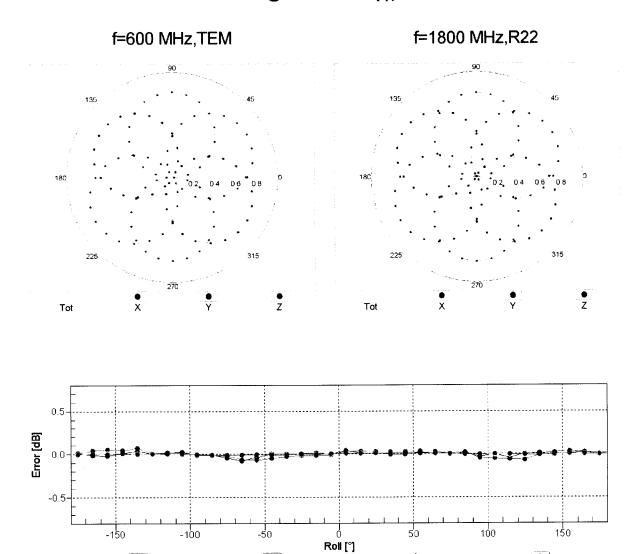
<sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

2500 MHz



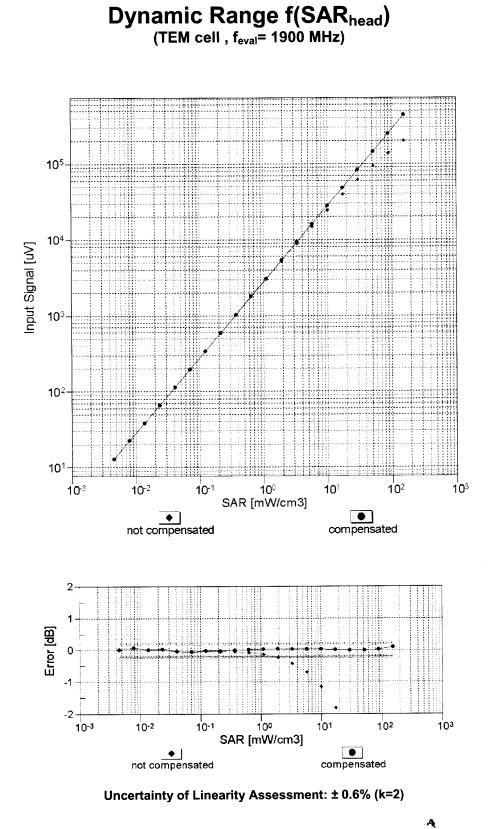
## **Receiving Pattern (\phi), \vartheta = 0^{\circ}**

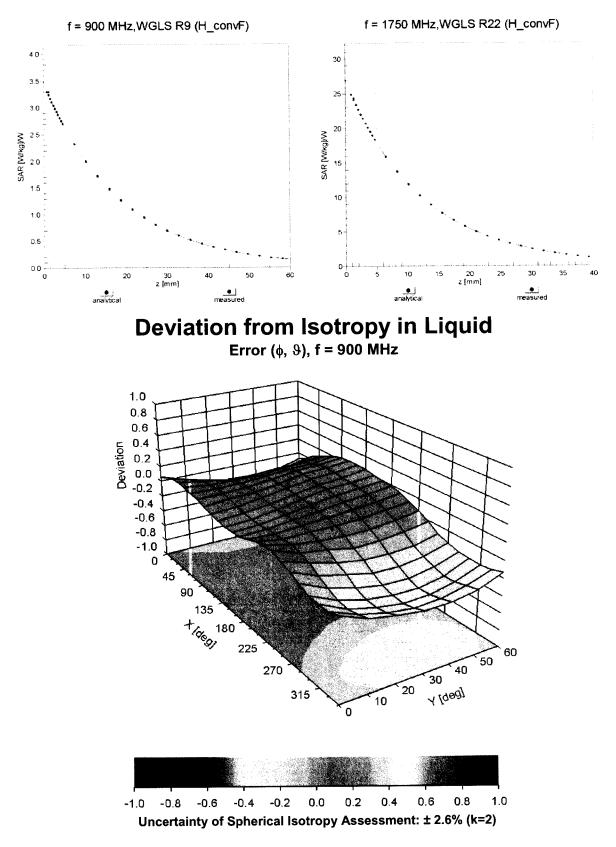
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

1800 MHz

600 MHz

100 MHz





## **Conversion Factor Assessment**

#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	14.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm



## Appendix E – Dipole Calibration Data Sheets

Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates



S Schweizerischer Kalibrierdienst
 Service suisse d'étalonnage
 Servizio svizzero di taratura
 S Swiss Calibration Service

Accreditation No.: SCS 0108

Client **RF Exposure Lab** 

Certificate No: D2450V2-881\_Aug15

CALIBRATION CERTIFICATE						
Object	D2450V2 - SN: 8	D2450V2 - SN: 881				
Calibration procedure(s)	QA CAL-05.v9 Calibration procedure for dipole validation kits above 700 MHz					
Calibration date:	August 10, 2015					
The measurements and the uncer	tainties with confidence p	onal standards, which realize the physical uni robability are given on the following pages and	d are part of the certificate.			
All calibrations have been conduct	ed in the closed laborator	ry facility: environment temperature (22 $\pm$ 3)°C	c and humidity < 70%.			
Calibration Equipment used (M&T	E critical for calibration)					
Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration			
Power meter EPM-442A	GB37480704	07-Oct-14 (No. 217-02020)	Oct-15			
Power sensor HP 8481A	US37292783	07-Oct-14 (No. 217-02020)	Oct-15			
Power sensor HP 8481A	MY41092317	07-Oct-14 (No. 217-02021)	Oct-15			
Reference 20 dB Attenuator	SN: 5058 (20k)	01-Apr-15 (No. 217-02131)	Mar-16			
Type-N mismatch combination	SN: 5047.2 / 06327	01-Apr-15 (No. 217-02134)	Mar-16			
Reference Probe ES3DV3	SN: 3205	30-Dec-14 (No. ES3-3205_Dec14)	Dec-15			
DAE4	SN: 601	18-Aug-14 (No. DAE4-601_Aug14)	Aug-15			
Secondary Standards	ID #	Check Date (in house)	Scheduled Check			
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-13)	In house check: Oct-16			
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-14)	In house check: Oct-15			
	Name	Function	Signature			
Calibrated by:	Michael Weber	Laboratory Technician	MULLES			
Approved by:	Katja Pokovic	Technical Manager	Lelly-			
			Issued: August 12, 2015			

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: D2450V2-881\_Aug15

#### Calibration Laboratory of

Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland





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S **Swiss Calibration Service** 

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

#### **Glossary**:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Additional Documentation:

e) DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna • connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

#### **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.8
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	- · · · · · · · · · · · · · · · · · · ·
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	2450 MHz ± 1 MHz	

#### **Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	39.2	1.80 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	38.1 ± 6 %	1.87 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

#### SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	13.7 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	53.5 W/kg ± 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	condition	
SAR measured	250 mW input power	6.43 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	25.4 W/kg ± 16.5 % (k=2)

#### **Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	52.7	1.95 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	50.6 ± 6 %	2.03 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C		

#### SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	13.4 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	52.1 W/kg ± 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	condition	
SAR measured	250 mW input power	6.27 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	24.7 W/kg ± 16.5 % (k=2)

## Appendix (Additional assessments outside the scope of SCS 0108)

#### Antenna Parameters with Head TSL

Impedance, transformed to feed point	54.5 Ω + 2.4 jΩ
Return Loss	- 26.2 dB

#### Antenna Parameters with Body TSL

Impedance, transformed to feed point	50.9 Ω + 4.4 jΩ
Return Loss	- 27.0 dB

#### **General Antenna Parameters and Design**

	Electrical Delay (one direction)	1.154 ns
--	----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### Additional EUT Data

Manufactured by	SPEAG
Manufactured on	August 18, 2010

#### **DASY5 Validation Report for Head TSL**

Date: 10.08.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 2450 MHz; Type: D2450V2; Serial: D2450V2 - SN: 881

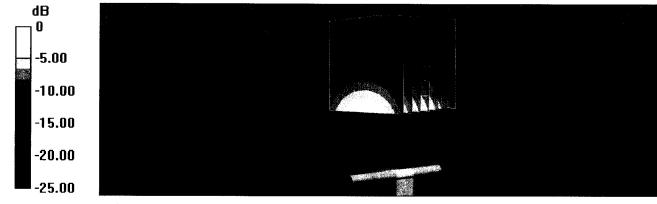
Communication System: UID 0 - CW; Frequency: 2450 MHz Medium parameters used: f = 2450 MHz;  $\sigma$  = 1.87 S/m;  $\epsilon_r$  = 38.1;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

#### DASY52 Configuration:

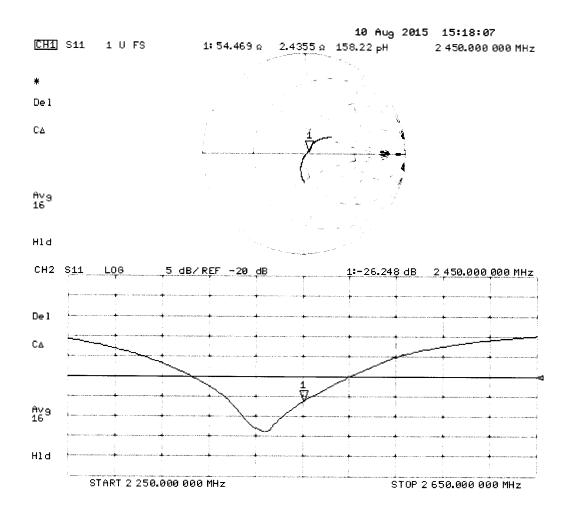
- Probe: ES3DV3 SN3205; ConvF(4.54, 4.54, 4.54); Calibrated: 30.12.2014;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 18.08.2014
- Phantom: Flat Phantom 5.0 (front); Type: QD000P50AA; Serial: 1001
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

#### Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 101.8 V/m; Power Drift = 0.02 dB Peak SAR (extrapolated) = 28.0 W/kg SAR(1 g) = 13.7 W/kg; SAR(10 g) = 6.43 W/kg Maximum value of SAR (measured) = 18.1 W/kg



0 dB = 18.1 W/kg = 12.58 dBW/kg



#### **DASY5 Validation Report for Body TSL**

Date: 10.08.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 2450 MHz; Type: D2450V2; Serial: D2450V2 - SN: 881

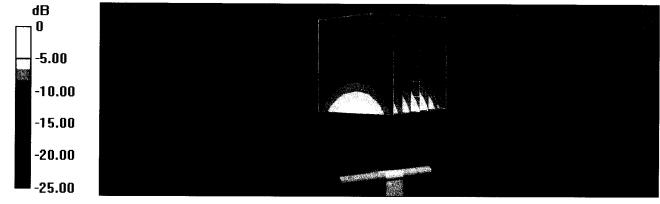
Communication System: UID 0 - CW; Frequency: 2450 MHz Medium parameters used: f = 2450 MHz;  $\sigma$  = 2.03 S/m;  $\epsilon_r$  = 50.6;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

#### **DASY52** Configuration:

- Probe: ES3DV3 SN3205; ConvF(4.32, 4.32, 4.32); Calibrated: 30.12.2014;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 18.08.2014
- Phantom: Flat Phantom 5.0 (back); Type: QD000P50AA; Serial: 1002
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

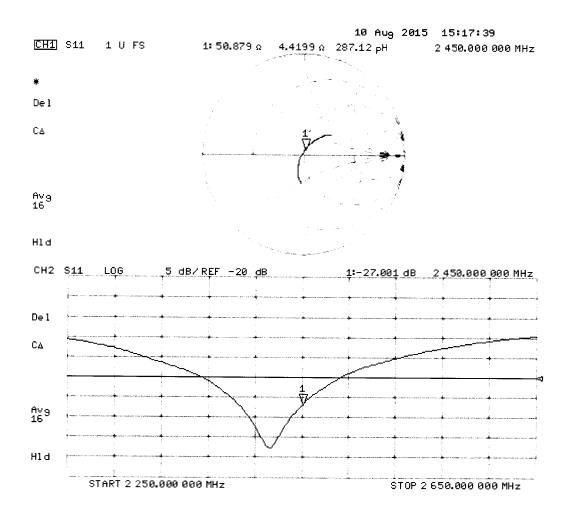
#### Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 96.26 V/m; Power Drift = -0.05 dB Peak SAR (extrapolated) = 27.7 W/kg SAR(1 g) = 13.4 W/kg; SAR(10 g) = 6.27 W/kg Maximum value of SAR (measured) = 17.7 W/kg



0 dB = 17.7 W/kg = 12.48 dBW/kg

## Impedance Measurement Plot for Body TSL





## **Appendix F – Phantom Calibration Data Sheets**

S

Zeughausstrasse 43, 8004 Zurich, Switzerland Phone +41 44 245 9700, Fax +41 44 245 9779 info@speag.com, http://www.speag.com

#### Certificate of Conformity / First Article Inspection

ltem	Oval Flat Phantom ELI 4.0
Type No	QD OVA 001 B
Series No	1003 and higher
Manufacturer	Untersee Composites
	Knebelstrasse 8
	CH-8268 Mannenbach, Switzerland

#### Tests

Complete tests were made on the prototype units QD OVA 001 AA 1001, QD OVA 001 AB 1002, pre-series units QD OVA 001 BA 1003-1005 as well as on the series units QD OVA 001 BB, 1006 ff.

Test	Requirement	Details	Units tested
Material	Compliant with the standard	Bottom plate:	all
thickness	requirements	2.0mm +/- 0.2mm	
Material	Dielectric parameters for required	< 6 GHz: Rel. permittivity = 4	Material
parameters	frequencies	+/-1, Loss tangent $\leq 0.05$	sample
Material	The material has been tested to be	DGBE based simulating	Equivalent
resistivity	compatible with the liquids defined in	liquids.	phantoms,
-	the standards if handled and cleaned	Observe Technical Note for	Material
	according to the instructions.	material compatibility.	sample
Shape	Thickness of bottom material,	Bottom elliptical 600 x 400 mm	Prototypes,
	Internal dimensions,	Depth 190 mm,	Sample
	Sagging	Shape is within tolerance for	testing
	compatible with standards from	filling height up to 155 mm,	_
	minimum frequency	Eventual sagging is reduced or	[
		eliminated by support via DUT	

#### Standards

- CENELEC EN 50361-2001, « Basic standard for the measurement of the Specific Absorption Rate related to human exposure to electromagnetic fields from mobile phones (300 MHz – 3 GHz) », July 2001
- [2] IEEE 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques, December 2003
- [3] IEC 62209 1, "Specific Absorption Rate (SAR) in the frequency range of 300 MHz to 3 GHz Measurement Procedure, Part 1: Hand-held mobile wireless communication devices", February 2005
- [4] IEC 62209 2, Draft, "Human Exposure to Radio Frequency Fields from Handheld and Body-Mounted Wireless Communication Devices – Human models, Instrumentation and Procedures – Part 2: Procedure to determine the Specific Absorption Rate (SAR) in the head and body for 30 MHz to 6 GHz Handheld and Body-Mounted Devices used in close proximity to the Body.", February 2005
- [5] OET Bulletin 65, Supplement C, "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields", Edition January 2001

Based on the tests above, we certify that this item is in compliance with the standards [1] to [5] if operated according to the specific requirements and considering the thickness. The dimensions are fully compliant with [4] from 30 MHz to 6 GHz. For the other standards, the minimum lower frequency limit is limited due to the dimensional requirements ([1]: 450 MHz, [2]: 300 MHz, [3]: 800 MHz, [5]: 375 MHz) and possibly further by the dimensions of the DUT. **S P G a G** 

Date	28.4.2008	Signature / Stamp	Schmid_& Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland Phone +41 44 245 9700, Fax +41,44,245 9779 info@speag.com; http://www.speag.com
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Doc No 881 - QD OVA 001 B - D

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### **Appendix G – Validation Summary**

Per FCC KDB 865664 D02 v01r02, SAR system validation status should be documented to confirm measurement accuracy. The SAR systems (including SAR probes, system components and software versions) used for this device were validated against its performance specifications prior to the SAR measurements. Reference dipoles were used with the required tissue equivalent media for system validation according to the procedures outlined in FCC KDB 865664 D01 v01r04 and IEEE 1528-2013. Since SAR probe calibrations are frequency dependent, each probe calibration point was validated at a frequency within the valid frequency range of the probe calibration point using the system that normally operates with the probe for routine SAR measurements and according to the required tissue equivalent media.

A tabulated summary of the system validation status including the validation date(s), measurement frequencies, SAR probes and tissue dielectric parameters has been included.

				_	<u></u>									
SAR	<b>F</b>		Durk	Duaha	Duala a Cal	Cond. (σ)	Perm. (ε <sub>r</sub> )	CW Validation		Modulation Valildation				
System #	Freq. (MHz)	Date	Probe S/N	Probe Type	Probe Cal. Point			Sens- itivity	Probe Linearity	Probe Isotropy	Modulation Type	Duty Factor	PAR	
1	2450	2/08/2015	3833	EX3DV4	2450	Body	1.96	52.69	Pass	Pass	Pass	DSSS	Pass	Pass

Table G-1 SAR System Validation Summary