

## FCC PART 15, SUBPART E

## **TEST REPORT**

For

## Roku, Inc.

1155 Coleman Avenue, San Jose, CA 95110, USA

FCC ID: TC2-R1032

Report Type:

Model:

Class II Permissive Change

100002420

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<sup>\*</sup> This report may contain data that are not covered by the A2LA accreditation and are marked with an asterisk "\*" ....

## **TABLE OF CONTENTS**

1	GEN	ERAL DESCRIPTION	5
	1.1 1.2 1.3 1.4 1.5 1.6 1.7	PRODUCT DESCRIPTION FOR EQUIPMENT UNDER TEST (EUT)  MECHANICAL DESCRIPTION OF EUT  OBJECTIVE  RELATED SUBMITTAL(S)/GRANT(S)  TEST METHODOLOGY  MEASUREMENT UNCERTAINTY  TEST FACILITY REGISTRATIONS  TEST FACILITY ACCREDITATIONS	5 5 5 6
2	EUT	TEST CONFIGURATION	9
	2.1 2.2 2.3 2.4 2.5 2.6 2.7	JUSTIFICATION EUT EXERCISE SOFTWARE DUTY CYCLE CORRECTION FACTOR EQUIPMENT MODIFICATIONS LOCAL SUPPORT EQUIPMENT SUPPORT EQUIPMENT INTERFACE PORTS AND CABLING	9 . 10 . 12 . 12 . 12
3	SUM	IMARY OF TEST RESULTS	. 13
4	FCC	§2.1091 & §15.407(F) - RF EXPOSURE	. 14
5	4.1 4.2 4.3	APPLICABLE STANDARDS FCC RF EXPOSURE EXEMPTION EVALUATION PROCEDURES RF EXPOSURE EVALUATION EXEMPTION FOR FCC  §15.203 - ANTENNA REQUIREMENTS	. 15 . 18
3	5.1	APPLICABLE STANDARDS	
	5.2	ANTENNA LIST	
6	FCC	§15.207 - AC POWER LINE CONDUCTED EMISSIONS	. 20
	6.1 6.2 6.3 6.4 6.5 6.6 6.7 6.8 6.9	APPLICABLE STANDARDS TEST SETUP TEST PROCEDURE TEST SETUP BLOCK DIAGRAM CORRECTED AMPLITUDE AND MARGIN CALCULATION TEST EQUIPMENT LIST AND DETAILS TEST ENVIRONMENTAL CONDITIONS. SUMMARY OF TEST RESULTS CONDUCTED EMISSIONS TEST PLOTS AND DATA	. 20 . 20 . 21 . 22 . 22 . 23 . 23
7	FCC	§15.209 & §15.407(B) - SPURIOUS RADIATED EMISSIONS	. 26
	7.1 7.2 7.3 7.4 7.5 7.6 7.7 7.8 7.9	APPLICABLE STANDARD  TEST SETUP  TEST PROCEDURE  CORRECTED AMPLITUDE AND MARGIN CALCULATION  TEST SETUP BLOCK DIAGRAM  TEST EQUIPMENT LIST AND DETAILS  TEST ENVIRONMENTAL CONDITIONS  SUMMARY OF TEST RESULTS  RADIATED EMISSIONS TEST RESULT DATA	. 27 . 27 . 28 . 28 . 30 . 31

8 F(	CC §15.407(E) - 26 DB & 99% - OCCUPIED BANDWIDTH	41
8.1	APPLICABLE STANDARDS	41
8.2	MEASUREMENT PROCEDURE	41
8.3	TEST SETUP BLOCK DIAGRAM	
8.4	TEST EQUIPMENT LIST AND DETAILS	
8.5	TEST ENVIRONMENTAL CONDITIONS	
8.6	TEST RESULTS	
9 F(	CC §407(A) - OUTPUT POWER	
9.1	APPLICABLE STANDARDS	
9.2	MEASUREMENT PROCEDURE	
9.3	TEST SETUP BLOCK DIAGRAM	
9.4	TEST EQUIPMENT LIST AND DETAILS	
9.5	TEST ENVIRONMENTAL CONDITIONS	
9.6	TEST RESULTS	
10 FC	CC §15.407(A) - POWER SPECTRAL DENSITY	
10.1	APPLICABLE STANDARDS	
10.2	MEASUREMENT PROCEDURE	
10.3	TEST SETUP BLOCK DIAGRAM	
10.4	TEST EQUIPMENT LIST AND DETAILS	
10.5 10.6	TEST ENVIRONMENTAL CONDITIONSTEST RESULTS	
	CC §15.407(B) - OUT OF BAND EMISSIONS	
11.1	APPLICABLE STANDARDS	
11.2	MEASUREMENT PROCEDURE	
11.3	TEST SETUP BLOCK DIAGRAM	
11.4 11.5	TEST EQUIPMENT LIST AND DETAILS	
11.5	TEST RESULTS	
	CC §15.407(H) - DYNAMIC FREQUENCY SELECTION	
12.1	APPLICABLE STANDARDS	
12.2	DFS MEASUREMENT SYSTEM	
12.3	SYSTEM BLOCK DIAGRAM	
12.4	RADIATED METHOD	
12.5 12.6	TEST PROCEDURE TEST EQUIPMENT LIST AND DETAILS	
12.0	TEST ENVIRONMENTAL CONDITIONS	
12.7	TEST RESULTS	
13 A	NNEX A (NORMATIVE) - EUT TEST SETUP PHOTOGRAPHS	69
	NNEX F (NORMATIVE) - EUT EXTERNAL PHOTOGRAPHS	
	NNEX G (NORMATIVE) - EUT INTERNAL PHOTOGRAPHS	
	NNEV II (NODMATIVE) A 21 A ELECTRICAL TESTING CERTIFICATE	72

## **DOCUMENT REVISION HISTORY**

Revision Number	Report Number	Description of Revision	Date of Revision	
0	R230215p3-407	Class II Permissive Change	2023-03-24	

Report Number: R2302153-407 Page 4 of 72 FCC Part 15.407 Report

## 1 General Description

#### 1.1 Product Description for Equipment Under Test (EUT)

This test report was prepared on behalf of *Roku*, *Inc.*, and their product model: 100002420, FCC ID: TC2-R1032 or the "EUT" as referred to in this report. It is a Wireless Speaker.

### 1.2 Mechanical Description of EUT

The EUT measures approximately: 280 (H), \*300 (W), \*300 (L) mm weighs approximately 7.15 kg

#### 1.3 Objective

This report was prepared on behalf of Roku, Inc in accordance with FCC CFR47 §15.407

The objective was to determine compliance with FCC Part 15.407 rules for Output Power, Antenna Requirements, AC Line Conducted Emissions, Emission Bandwidth, Power spectral density, Conducted and Radiated Spurious Emissions.

This project is a Permissive Change II submission for the purpose of enabling DFS bands (5.3 GHz and 5.6GHz).

### 1.4 Related Submittal(s)/Grant(s)

N/A

#### 1.5 Test Methodology

All measurements contained in this report were conducted in accordance with ANSI C63.10-2013, American National Standard for Methods of Measurement of Radio-Noise Emissions from Low-Voltage Electrical and Electronic Equipment in the range of 9 kHz to 40 GHz, and FCC KDB 789033 D02 General UNII Test Procedure New Rules v02r01.

Report Number: R2302153-407 Page 5 of 72 FCC Part 15.407 Report

#### 1.6 Measurement Uncertainty

All measurements involve certain levels of uncertainties, especially in the field of EMC. The factors contributing to uncertainties are spectrum analyzer, cable loss, antenna factor calibration, antenna directivity, antenna factor variation with height, antenna phase center variation, antenna factor frequency interpolation, measurement distance variation, site imperfections, mismatch (average), and system repeatability.

Parameter	Measurement uncertainty
Occupied Channel Bandwidth	±5 %
RF output power, conducted	±0.57 dB
Power Spectral Density, conducted	±1.48dB
Unwanted Emissions, conducted	±1.57dB
All emissions, radiated	±4.0 dB
AC power line Conducted Emission	±2.0 dB
Temperature	±2 ° C
Humidity	±5 %
DC and low frequency voltages	±1.0 %
Time	±2 %
Duty Cycle	±3 %

#### 1.7 Test Facility Registrations

BACLs test facilities that are used to perform Radiated and Conducted Emissions tests are currently recognized by the Federal Communications Commission as Accredited with NIST Designation Number US1129.

BACL's test facilities that are used to perform Radiated and Conducted Emissions tests are currently registered with Industry Canada under Registration Numbers: 3062A-1, 3062A-2, and 3062A-3.

BACL is a Chinese Taipei Bureau of Standards Metrology and Inspection (BSMI) validated Conformity Assessment Body (CAB), under Appendix B, Phase I Procedures of the APEC Mutual Recognition Arrangement (MRA). BACL's BSMI Lab Code Number is: SL2-IN-E-1002R

BACL's test facilities that are used to perform AC Line Conducted Emissions, Telecommunications Line Conducted Emissions, Radiated Emissions from 30 MHz to 1 GHz, and Radiated Emissions from 1 GHz to 6 GHz are currently recognized as Accredited in accordance with the Voluntary Control Council for Interference [VCCI] Article 15 procedures under Registration Number A-0027.

#### 1.8 Test Facility Accreditations

Bay Area Compliance Laboratories Corp. (BACL) is:

A- An independent, 3<sup>rd</sup>-Party, Commercial Test Laboratory accredited to ISO/IEC 17025:2017 by A2LA (Test Laboratory Accreditation Certificate Number 3297.02), in the fields of: Electromagnetic Compatibility and Telecommunications. Unless noted by an Asterisk (\*) in the Compliance Matrix (See Section 3 of this Test Report), BACL's ISO/IEC 17025:2017 Scope of Accreditation includes all of the Test Method Standards and/or the Product Family Standards detailed in this Test Report.

BACL's ISO/IEC 17025:2017 Scope of Accreditation includes a comprehensive suite of EMC Emissions, EMC Immunity, Radio, RF Exposure, Safety and wireline Telecommunications test methods applicable to a wide range of product categories. These product categories include Central Office Telecommunications Equipment [including NEBS - Network Equipment Building Systems], Unlicensed and Licensed Wireless and RF devices,

Report Number: R2302153-407 Page 6 of 72 FCC Part 15.407 Report

Information Technology Equipment (ITE); Telecommunications Terminal Equipment (TTE); Medical Electrical Equipment; Industrial, Scientific and Medical Test Equipment; Professional Audio and Video Equipment; Industrial and Scientific Instruments and Laboratory Apparatus; Cable Distribution Systems, and Energy Efficient Lighting.

## B- A Product Certification Body accredited to ISO/IEC 17065:2012 by A2LA (Product Certification Body Accreditation Certificate Number 3297.03) to certify

- For the USA (Federal Communications Commission):
  - 1- All Unlicensed radio frequency devices within FCC Scopes A1, A2, A3, and A4;
  - 2- All Licensed radio frequency devices within FCC Scopes B1, B2, B3, and B4;
  - 3- All Telephone Terminal Equipment within FCC Scope C.
- For the Canada (Industry Canada):
  - 1 All Scope 1-Licence-Exempt Radio Frequency Devices;
  - 2 All Scope 2-Licensed Personal Mobile Radio Services;
  - 3 All Scope 3-Licensed General Mobile & Fixed Radio Services;
  - 4 All Scope 4-Licensed Maritime & Aviation Radio Services;
  - 5 All Scope 5-Licensed Fixed Microwave Radio Services
  - 6 All Broadcasting Technical Standards (BETS) in the Category I Equipment Standards List.
- For Singapore (Info-Communications Development Authority (IDA)):
  - 1 All Line Terminal Equipment: All Technical Specifications for Line Terminal Equipment Table 1 of IDA MRA Recognition Scheme: 2011, Annex 2
  - 2. All Radio-Communication Equipment: All Technical Specifications for Radio-Communication Equipment Table 2 of IDA MRA Recognition Scheme: 2011, Annex 2
- For the Hong Kong Special Administrative Region:
  - 1 All Radio Equipment, per KHCA 10XX-series Specifications;
  - 2 All GMDSS Marine Radio Equipment, per HKCA 12XX-series Specifications;
  - 3 All Fixed Network Equipment, per HKCA 20XX-series Specifications.
- For Japan:
  - 1 MIC Telecommunication Business Law (Terminal Equipment):
    - All Scope A1 Terminal Equipment for the Purpose of Calls;
    - All Scope A2 Other Terminal Equipment
  - 2 Radio Law (Radio Equipment):
    - All Scope B1 Specified Radio Equipment specified in Article 38-2-2, paragraph 1, item 1 of the Radio Law
    - All Scope B2 Specified Radio Equipment specified in Article 38-2-2, paragraph 1, item 2 of the Radio Law
    - All Scope B3 Specified Radio Equipment specified in Article 38-2-2, paragraph 1, item 3 of the Radio Law

# C- A Product Certification Body accredited to ISO/IEC 17065:2012 by A2LA (Product Certification Body Accreditation Certificate Number 3297.01) to certify Products to USA's Environmental Protection Agency (EPA) ENERGY STAR Product Specifications for:

- 1 Electronics and Office Equipment:
  - for Telephony (ver. 3.0)
  - for Audio/Video (ver. 3.0)
  - for Battery Charging Systems (ver. 1.1)
  - for Set-top Boxes & Cable Boxes (ver. 4.1)
  - for Televisions (ver. 6.1)
  - for Computers (ver. 6.0)
  - for Displays (ver. 6.0)
  - for Imaging Equipment (ver. 2.0)
  - for Computer Servers (ver. 2.0)

- 2 Commercial Food Service Equipment
  - for Commercial Dishwashers (ver. 2.0)
  - for Commercial Ice Machines (ver. 2.0)
  - for Commercial Ovens (ver. 2.1)
  - for Commercial Refrigerators and Freezers
- 3 Lighting Products
  - For Decorative Light Strings (ver. 1.5)
  - For Luminaires (including sub-components) and Lamps (ver. 1.2)
  - For Compact Fluorescent Lamps (CFLs) (ver. 4.3)
  - For Integral LED Lamps (ver. 1.4)
- 4 Heating, Ventilation, and AC Products
  - for Residential Ceiling Fans (ver. 3.0)
  - for Residential Ventilating Fans (ver. 3.2)
- 5 Other
- For Water Coolers (ver. 3.0)

## D- A NIST Designated Phase-I and Phase-II Conformity Assessment Body (CAB) for the following economies and regulatory authorities under the terms of the stated MRAs/Treaties:

- Australia: ACMA (Australian Communication and Media Authority) APEC Tel MRA -Phase I;
- Canada: (Innovation, Science and Economic development Canada ISEDC) Foreign Certification Body FCB APEC Tel MRA -Phase I & Phase II;
- Chinese Taipei (Republic of China Taiwan):
  - o BSMI (Bureau of Standards, Metrology and Inspection) APEC Tel MRA -Phase I;
  - o NCC (National Communications Commission) APEC Tel MRA -Phase I;
- European Union:
  - o EMC Directive 2014/30/EU US-EU EMC & Telecom MRA CAB (NB)
  - o Radio Equipment (RE) Directive 2014/53/EU US-EU EMC & Telecom MRA CAB (NB)
  - o Low Voltage Directive (LVD) 2014/35/EU
- Hong Kong Special Administrative Region: (Office of the Telecommunications Authority OFTA) APEC Tel MRA -Phase I & Phase II
- Israel US-Israel MRA Phase I
- Republic of Korea (Ministry of Communications Radio Research Laboratory) APEC Tel MRA -Phase I
- Singapore: (Infocomm Media Development Authority IMDA) APEC Tel MRA -Phase I & Phase II;
- Japan: VCCI Voluntary Control Council for Interference US-Japan Telecom Treaty VCCI Side Letter-
- USA:
  - o ENERGY STAR Recognized Test Laboratory US EPA
  - o Telecommunications Certification Body (TCB) US FCC;
  - o Nationally Recognized Test Laboratory (NRTL) US OSHA
- Vietnam: APEC Tel MRA -Phase I;

Report Number: R2302153-407 Page 8 of 72 FCC Part 15.407 Report

## 2 EUT Test Configuration

#### 2.1 Justification

The EUT was configured for testing according to ANSI C63.10-2013 and FCC KDB 789033 D02 General UNII Test Procedures New Rules v02r01.

The EUT was tested in a testing mode to represent worst-case results during the final qualification test.

The worst-case data rates are determined by measuring the average power, peak power and PPSD across all data rates bandwidths, and modulations.

#### 2.2 EUT Exercise Software

The test software used was TeraTerm. The software is compliant with the standard requirements being tested against.

Please refer to the following power setting table.

5250-5350 MHz

Modulation	Frequency (MHz)	Power Setting
	5260	43
802.11a	5280	41
	5320	41
	5260	44
802.11n/ac20	5280	41
	5320	41

Report Number: R2302153-407 Page 9 of 72 FCC Part 15.407 Report

FCC ID: TC2-R1032 Roku, Inc.

#### 5470-5725 MHz

Modulation	Frequency (MHz)	Power Setting
	5500	40
902.11a	5580	54
802.11a	5700	43
	5720	51
	5500	43
902 11/20	5580	54
802.11n/ac20	5700	45
	5720	51

<sup>\*</sup>Data rates tested:

802.11a mode: 6 Mbps 802.11n/ac20 HT20/VHT20: MCS0

#### 2.3 **Duty Cycle Correction Factor**

According to KDB 789033 D02 General UNII Test Procedures New Rules v02r01 section B:

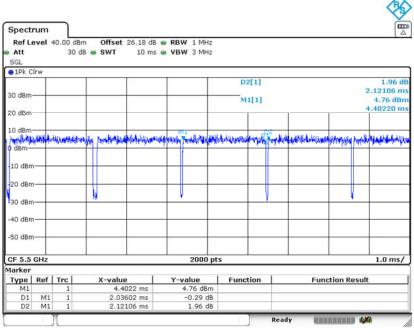
All measurements are to be performed with the EUT transmitting at 100% duty cycle at its maximum power control level; however, if 100% duty cycle cannot be achieved, measurements of duty cycle, x, and maximumpower transmission duration, T, are required for each tested mode of operation.

Radio Mode	On Time (ms)	Period (ms)	Duty Cycle (%)	Duty Cycle Correction Factor (dB)
802.11a	2.03602	2.12106	95.9	0.18
802.11n/ac20	1.87594	2.01101	93.2	0.31

Note: Duty Cycle Correction Factor = 10\*log(1/duty cycle)

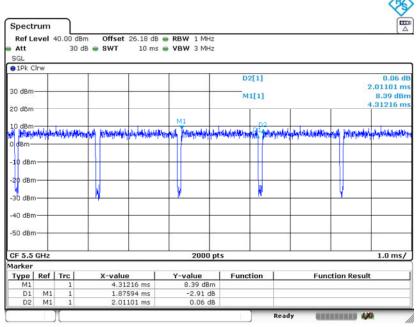
Please refer to the following plots.

802.11a mode



Date: 28.FEB.2023 08:58:34

802.11n20/ac20 mode



Date: 28.FEB.2023 09:01:20

## 2.4 **Equipment Modifications**

N/A

## 2.5 Local Support Equipment

Manufacturer	Description	Model	
Dell	Laptop	Latitude E6410	
Dell	TV Monitor	S3221QS	

## 2.6 Support Equipment

Manufacturer	Description	Model	
Roku, Inc.	Debug Board	-	

## 2.7 Interface Ports and Cabling

Cable Description	Length (m)	То	From
USB Cable	< 1 m	Laptop	EUT
HDMI Cable	0.5	EUT	Monitor
RF Cable	< 1 m	EUT	PSA

Report Number: R2302153-407 Page 12 of 72 FCC Part 15.407 Report

## 3 Summary of Test Results

FCC Rules	Description of Test	Result
FCC §2.1091, §15.407(f),	RF Exposure	Compliant
FCC §15.203	Antenna Requirement	Compliant
FCC §15.407(h)	Dynamic Frequency Selection	Compliant
FCC §15.207	FCC §15.207 AC Power Line Conducted Emissions	
FCC §2.1053, §15.205, §15.209, 15.407(b)	Spurious Radiated Emissions	Compliant
FCC §15.407(e)	Emission Bandwidth	Compliant
FCC §407(a)	Output Power	Compliant
FCC §2.1051, §15.407(b)	Band Edges	Compliant
FCC §15.407(a)	Power Spectral Density	Compliant

BACL is responsible for all the information provided in this report, except when information is provided by the customer as identified in this report. Information provided by the customer, e.g., antenna gain, can affect the validity of results.

Report Number: R2302153-407 Page 13 of 72 FCC Part 15.407 Report

## 4 FCC §2.1091 & §15.407(f) - RF Exposure

#### 4.1 Applicable Standards

According to FCC KDB 447498 D04 Interim General RF Exposure Guidance v01, Section 2.1 RF Exposure Test Exemptions for Single Source,

#### 2.1.1 General RF Exposure Test Exemption Considerations

RF exposure test exemptions provide means to obtain certification without the need of showing data (measurements, or analytical/numerical modeling) to demonstrate compliance. Hereafter, in this context, an RF source is referred to as "exempt RF device" in the sense that it is not required to show data demonstrating compliance to RF exposure limits.

Test exemptions apply for devices used in general population/uncontrolled exposure environments, according to the SAR-based, or MPE-based exemption thresholds. However, it is always possible, especially when the potential for exposure cannot be easily determined, that an RF exposure evaluation may become required according §§ 1.1307(c) and (d).

As detailed in Section 2.1.2, the 1 mW and SAR-based test exemption conditions are in terms of source-based available maximum time-averaged (matched conducted) output power for all operating configurations, adjusted for tune-up tolerance, and at the minimum *test separation distance* required for the particular RF exposure scenario under consideration. This minimum *test separation distance* is determined by the smallest distance from the antenna and radiating structures or outer surface of the device, according to the host form factor, exposure conditions and platform requirements, to any part of the body or extremity of a user or bystander. To qualify for SAR test exemption, the *test separation distances* applied must be fully explained and justified (typically in the SAR measurement, or SAR analysis report, according to KDB Pub. 865664) by showing the actual operating configurations and exposure conditions of the transmitter, and applicable host platform requirements (e.g., KDB Pubs. 648474, 616217, 941225)

When no other RF exposure testing or reporting is required, a statement of justification and compliance must be included in the equipment approval, in lieu of the SAR report, to qualify for SAR test exemption.

If RF exposure testing requirements for a specific device are covered in a KDB Publication, those requirements must be satisfied before applying any SAR test exemption provisions. For example, this is the case for handheld PTT two-way radios, handsets, laptops, and tablets, etc.<sup>9</sup>

Finally, when 10-g extremity SAR applies, SAR test exemption may be considered by applying a factor of 2.5 to the SAR-based exemption thresholds.

#### 2.1.2 1-mW Test Exemption

Per §1.1307(b)(3)(i)(A), a single RF source is *exempt RF device* (from the requirement to show data demonstrating compliance to RF exposure limits, as previously mentioned) if the available maximum time-averaged power is no more than 1 mW, regardless of separation distance.

This exemption applies to all operating configurations and exposure conditions, for the frequency range 100 kHz to 100 GHz, regardless of fixed, mobile, or portable device exposure conditions. This is a standalone exemption, and it cannot be applied in conjunction with any other test exemption.

Report Number: R2302153-407 Page 14 of 72 FCC Part 15.407 Report

#### 2.1.3 SAR-Based Exemption

A more comprehensive exemption, considering a variable power threshold that depends on both the *separation distance* and power, is provided in §1.1307(b)(3)(ii)(B). This exemption is applicable to the frequency range between 300 MHz and 6 GHz, with *test separation distances* between 0.5 cm and 40 cm, and for all RF sources in fixed, mobile, and portable device exposure conditions.

Accordingly, a RF source is considered an *RF exempt device* if its available maximum time-averaged (matched conducted) power or its effective radiated power (ERP), whichever is greater, are below a specified threshold. This exemption threshold was derived based on general population 1-g SAR requirements and is detailed in Appendix C.

#### 2.1.4 MPE-Based Exemption

An alternative to the SAR-based exemption is provided in  $\S1.1307(b)(3)(ii)(C)$ , for a much wider frequency range, from 300 kHz to 100 GHz, applicable for separation distances greater or equal to  $\lambda/2\pi$ , where  $\lambda$  is the free-space operating wavelength in meters. The MPE-based test exemption condition is in terms of ERP, defined as the

<sup>8</sup> Specific test exemption thresholds for operations under occupational/controlled limits are not established.

#### **4.2** FCC RF Exposure Exemption Evaluation Procedures

According to FCC KDB 447498 D04 Interim General RF Exposure Guidance v01, Annex B Exemptions for Single Source,

#### **B.1** General

This appendix provides the exemption criteria and summarizes relevant parameters and usage considerations based on descriptions in FCC 19-126.

#### **B.2** Blanket 1 mW Blanket Exemption

The 1 mW Blanket Exemption of § 1.1307(b)(3)(i)(A) applies for single fixed, mobile, and portable RF sources with available maximum time-averaged power of no more than 1 mW, regardless of separation distance. The 1 mW blanket exemption applies at separation distances less than 0.5 cm, including where there is no separation. This exemption shall not be used in conjunction with other exemption criteria other than those for multiple RF sources in paragraph § 1.1307(b)(3)(ii)(A). The 1 mW exemption is independent of service type and covers the full range of 100 kHz to 100 GHz, but it shall not be used in conjunction with other exemption criteria or in devices with higher-power transmitters operating in the same time-averaging period. Exposure from such higher-power transmitters would invalidate the underlying assumption that exposure from the lower-power transmitter is the only contributor to SAR in the relevant volume of tissue.

#### **B.3 MPE-based Exemption**

Report Number: R2302153-407 Page 15 of 72 FCC Part 15.407 Report

When SAR evaluation is required by the hotspot mode or UMPC mini-tablet procedures, that is, where an antenna is  $\leq 2.5$  cm from a surface or edge, the *test separation distance* from the phantom to the antenna or device enclosure, as appropriate, should be applied to determine SAR test exemption for such configurations, according to the criteria in this document. For that case, the *test separation distance* cannot be determined from the distance of the antenna to the device surface or edge.

General frequency and separation-distance dependent MPE-based effective radiated power (ERP) thresholds are in Table B.1 [Table 1 of § 1.1307(b)(1)(i)(C)] to support an exemption from further evaluation from 300 kHz through 100 GHz.

Table B.1 – THRESHOLD FOR SINGLE RF SOURCE SUBJECT TO ROUTINE ENVIRONMENTAL EVALUATION

RF Source			Minimum Distance			Threshold ERP
$f_{\rm L}{ m MHz}$		$f_{ m H}$ MHz	$\lambda_L/2\pi$		$\lambda_H/2\pi$	W
0.3	-	1.34	159 m	-	35.6 m	1,920 R <sup>2</sup>
1.34	-	30	35.6 m	-	1.6 m	$3,450 \text{ R}^2/f^2$
30	-	300	1.6 m	-	159 mm	$3.83 R^2$
300	-	1,500	159 mm	-	31.8 mm	$0.0128 \ R^2 f$
1,500	-	100,000	31.8 mm	-	0.5 mm	19.2 R <sup>2</sup>

Subscripts L and H are low and high;  $\lambda$  is wavelength.

From § 1.1307(b)(3)(i)(C), modified by adding Minimum Distance columns.

The table applies to any RF source (i.e., single fixed, mobile, and portable transmitters) and specifies power and distance criteria for each of the five frequency ranges used for the MPE limits. These criteria apply at separation distances from any part of the radiating structure of at least  $\lambda/2\pi$ . The thresholds are based on the general population MPE limits with a single perfect reflection, outside of the reactive near-field, and in the main beam of the radiator.

For mobile devices that are not exempt per Table B.1 [Table 1 of § 1.1307(b)(1)(i)(C)] at distances from 20 cm to 40 cm and in 0.3 GHz to 6 GHz, evaluation of compliance with the exposure limits in § 1.1310 is necessary if the ERP of the device is greater than ERP20cm in Formula (B.1) [repeated from § 2.1091(c)(1) and § 1.1307(b)(1)(i)(B)].

$$P_{\text{th}} (\text{mW}) = ERP_{20 \text{ cm}} (\text{mW}) = 2040 f$$
 0.3 GHz  $\leq f < 1.5 \text{ GHz}$   
 $P_{\text{th}} (\text{mW}) = ERP_{20 \text{ cm}} (\text{mW}) = 3060$  1.5 GHz  $\leq f \leq 6 \text{ GHz}$  (B.1)

If the ERP is not easily obtained, then the available maximum time-averaged power may be used (i.e., without consideration of ERP only if the physical dimensions of the radiating structure(s) do not exceed the electrical length of  $\lambda/4$  or if the antenna gain is less than that of a half-wave dipole.

SAR-based exemptions are constant at separation distances between 20 cm and 40 cm to avoid discontinuities in the threshold when transitioning between SAR-based and MPE-based exemption criteria at 40 cm, considering the importance of reflections.

#### **B.4 SAR-based Exemption**

SAR-based thresholds are derived based on frequency, power, and separation distance of the RF source. The formula defines the thresholds in general for either available maximum time-averaged power or maximum time-averaged ERP, whichever is greater.

Report Number: R2302153-407 Page 16 of 72 FCC Part 15.407 Report

If the ERP of a device is not easily determined, such as for a portable device with a small form factor, the applicant may use the available maximum time-averaged power exclusively if the device antenna or radiating structure does not exceed an electrical length of  $\lambda/4$ .

As for devices with antennas of length greater than  $\lambda/4$  where the gain is not well defined, but always less than that of a half-wave dipole (length  $\lambda/2$ ), the available maximum time-averaged power generated by the device may be used in place of the maximum time-averaged ERP, where that value is not known.

The separation distance is the smallest distance from any part of the antenna or radiating structure for all persons, during operation at the applicable ERP. In the case of mobile or portable devices, the separation distance is from the outer housing of the device where it is closest to the antenna.

The SAR-based exemption formula of § 1.1307(b)(3)(i)(B), repeated here as Formula (B.2), applies for single fixed, mobile, and portable RF sources with available maximum time-averaged power or effective radiated power (ERP), whichever is greater, of less than or equal to the threshold  $P_{th}$  (mW).

This method shall only be used at separation distances from 0.5 cm to 40 cm and at frequencies from 0.3 GHz to 6 GHz (inclusive).  $P_{th}$  is given by Formula (B.2).

$$P_{\text{th}} (\text{mW}) = ERP_{20 \text{ cm}} (d/20 \text{ cm})^x \quad d \le 20 \text{ cm}$$

$$P_{\text{th}} (\text{mW}) = ERP_{20 \text{ cm}} \qquad 20 \text{ cm} < d \le 40 \text{ cm}$$
(B.2)

Where

$$x = -\log_{10} (60/(ERP_{20 \text{ cm}} \sqrt{f}))$$

and f is in GHz, d is the separation distance (cm), and EPR20cm is per Formula (B.1).

The example values shown in Table B.2 are for illustration only.

Table B.2 – Example Power Thresholds (mW)

	Distance (mm)										
		5	10	15	20	25	30	35	40	45	50
	300	39	65	88	110	129	148	166	184	201	217
_	450	22	44	67	89	112	135	158	180	203	226
Frequency (MHz)	835	9	25	44	66	90	116	145	175	207	240
(141112)	1900	3	12	26	44	66	92	122	157	195	236
	2450	3	10	22	38	59	83	111	143	179	219
	3600	2	8	18	32	49	71	96	125	158	195
	5800	1	6	14	25	40	58	80	106	136	169

Report Number: R2302153-407 Page 17 of 72 FCC Part 15.407 Report

## 4.3 RF exposure evaluation exemption for FCC

Prediction frequency (GHz)	5.580		
Maximum output power (dBm)	20.83		
Maximum ERP (dBm)	24.47		
Maximum ERP (mW)	279.9		
Prediction distance (cm)	20		
Maximum antenna gain (dBi)	5.79		
	Option C (MPE based) Exemption Threshold		
1500 MII- < f < 10000 MII-	$P_{ m th}\left({ m W} ight)$		
$1500 \text{ MHz} \le f < 10000 \text{ MHz}$	$19.2R^2 = 0.768$		

As shown in the table above, the EUT's Max ERP is lower than the MPE-based Exemption Threshold. SAR testing for this device is exempted.

Report Number: R2302153-407 Page 18 of 72 FCC Part 15.407 Report

## 5 FCC §15.203 - Antenna Requirements

#### 5.1 Applicable Standards

According to FCC §15.203:

An intentional radiator shall be designed to ensure that no antenna other than that furnished by the responsible party shall be used with the device. The use of a permanently attached antenna or of an antenna that uses a unique coupling to the intentional radiator shall be considered sufficient to comply with the provisions of this Section. The manufacturer may design the unit so that a broken antenna can be replaced by the user, but the use of a standard antenna jack or electrical connector is prohibited.

And according to FCC §15.247 (b) (4), if transmitting antennas of directional gain greater than 6 dBi are used the power shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi.

#### 5.2 Antenna List

Radio	Frequency Range (MHz)	Maximum Antenna Gain (dBi)	
5.3/5.6 GHz Wi-Fi	5250-5725	5.79	

Note: The antennas used by the EUT are permanent attached antennas.

Note: Antenna gain info provided by client.

Report Number: R2302153-407 Page 19 of 72 FCC Part 15.407 Report

## 6 FCC §15.207 - AC Power Line Conducted Emissions

#### 6.1 Applicable Standards

As per FCC §15.207

For an intentional radiator that is designed to be connected to the public utility (AC) power line, the radio frequency voltage that is conducted back onto the AC power line on any frequency or frequencies within the band 150 kHz to 30 MHz shall not exceed the limits in the following table, as measured using a 50  $\mu$ H/50 ohms line impedance stabilization network (LISN). Compliance with the provisions of this paragraph shall be based on the measurement of the radio frequency voltage between each power line and ground at the power terminal. The lower limit applies at the boundary between the frequencies ranges.

Frequency of Emission	Conducted Limit (dBuV)			
(MHz)	Quasi-Peak	Average		
0.15-0.5	66 to 56 Note1	56 to 46 Note2		
0.5-5	56	46		
5-30	60	50		

Note1: Decreases with the logarithm of the frequency.

Note2: A linear average detector is required

## 6.2 Test Setup

The measurement was performed at shield room, using the setup per ANSI C63.10-2013 measurement procedure. The specification used was FCC §15.207 limits

External I/O cables were draped along the edge of the test table and bundle when necessary. The AC/DC power adapter of the EUT was connected with LISN-1 which provided 120 V / 60 Hz AC power.

#### 6.3 Test Procedure

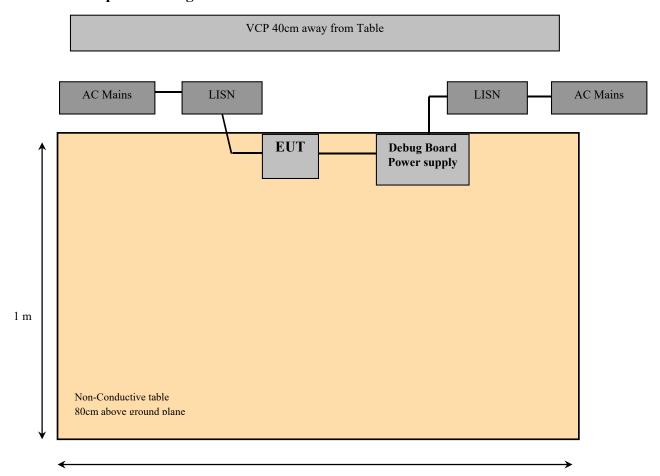
During the conducted emissions test, the power cord of the EUT host system was connected to the mains outlet of the LISN-1 and the power cords of support equipment were connected to LISN-2.

Maximizing procedure was performed on the six (6) highest emissions of the EUT.

All data was recorded in the peak, quasi-peak, and average detection mode. Quasi-Peak readings are distinguished with a "QP." Average readings are distinguished with an "Ave".

Report Number: R2302153-407 Page 20 of 72 FCC Part 15.407 Report

## 6.4 Test Setup Block Diagram



Report Number: R2302153-407 Page 21 of 72 FCC Part 15.407 Report

#### 6.5 Corrected Amplitude and Margin Calculation

The Corrected Amplitude (CA) is calculated by adding the Correction Factor (CF) to indicated Amplitude (Ai) reading. The basic equation is as follows:

$$CA = Ai + CF$$

For example, a corrected amplitude of 46.2 dBuV = Indicated Reading (32.5 dBuV) + Correction Factor (13.7 dB)

The Correction Factor is calculated by adding Cable loss (CL), LISN calibration factor, and attenuation of the impulse limiter and the high pass filter. The basic equation is as follows:

CF= CL + LISN calibration factor + Attenuation

For example, a corrected amplitude of 46.2 dBuV = Indicated Reading (32.5 dBuV) + Cable Loss (3.5 dB) + LISN calibration factor (0.2 dB) + Attenuator (10 dB)

The "Margin" column of the following data tables indicates the degree of compliance within the applicable limit. For example, a margin of -7 dB means the emission is 7 dB below the maximum limit. The equation for margin calculation is as follows:

Margin = Corrected Amplitude - Limit

#### 6.6 Test Equipment List and Details

BACL No.	Manufacturer	Description	Model	Serial Number	Calibration Date	Calibration Interval
124	Rohde & Schwarz	Receiver, EMI Test	ESCI 1166.5950K03	100044	2021-05-14	2 years
681	Rohde & Schwarz	Impulse Limiter	ESH3-Z2	101962	2022-09-12	1 year
725	Solar Electronics Company	High Pass Filter	Type 7930-100	79301502 03	2022-03-18	1 year
732	FCC	LISN	FCC-LISN-50-25-2- 10-CISPR16	160129	2022-09-01	1 year
1226	Fairview Microwave	Coaxial Cable	PE3C2220-1250CM	2109241	2022-09-12	1 year
-	Vasona	Test software	V6.0 build 11	10400213	N/R	N/R

**Statement of Traceability: BACL Corp.** attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

Report Number: R2302153-407 Page 22 of 72 FCC Part 15.407 Report

#### 6.7 Test Environmental Conditions

Temperature:	20° C
Relative Humidity:	55 %
ATM Pressure:	102.1 kPa

The testing was performed by Kevin Nguyen on 2023-03-15 in the Ground Plane test site.

## 6.8 Summary of Test Results

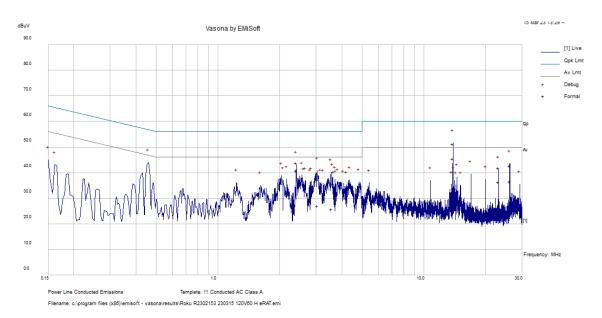
According to the recorded data in following table, the EUT <u>complied with the FCC Part 15 standards'</u> conducted emissions limits, with the margin reading of:

Connection: AC/DC adapter connected to 120 V/60 Hz, AC					
Margin (dB)	Frequency (MHz)	Conductor Mode (Line/Neutral)	Range (MHz)		
-3.92	13.823446	Neutral	0.15-30		

Report Number: R2302153-407 Page 23 of 72 FCC Part 15.407 Report

## 6.9 Conducted Emissions Test Plots and Data

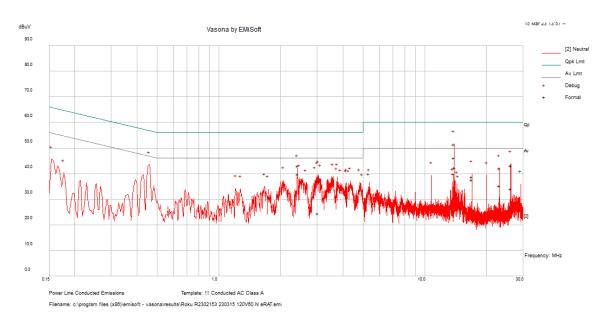
120 V, 60 Hz – Line



Frequency (MHz)	Ai. Reading (dBuV)	Correction Factor (dB)	Corrected Amplitude (dBµV)	Limit (dBµV)	Margin (dB)	Detector
13.824071	40.94	10.33	51.27	60	-8.73	QP
2.402422	33.62	10.16	43.78	56	-12.22	QP
26.111506	31.94	10.86	42.8	60	-17.2	QP
23.040011	31.11	10.69	41.8	60	-18.2	QP
3.031415	27.01	10.16	37.17	56	-18.83	QP
3.555794	25.54	10.18	35.72	56	-20.28	QP
13.824071	35.13	10.33	45.46	50	-4.54	Ave
26.111506	25.76	10.85	36.61	50	-13.39	Ave
2.402422	30.59	10.16	40.75	46	-5.25	Ave
23.040011	25.76	10.69	36.45	50	-13.55	Ave
3.031415	16.76	10.17	26.93	46	-19.07	Ave
3.555794	15.49	10.18	25.67	46	-20.33	Ave

Report Number: R2302153-407 Page 24 of 72 FCC Part 15.407 Report

## 120 V, 60 Hz – Neutral



Frequency (MHz)	Ai. Reading (dBuV)	Correction Factor (dB)	Corrected Amplitude (dBµV)	Limit (dBµV)	Margin (dB)	Detector
13.823446	41.11	10.32	51.43	60	-8.57	QP
26.111452	32.22	10.86	43.08	60	-16.92	QP
23.040245	31.32	10.69	42.01	60	-17.99	QP
2.40694	32.76	10.15	42.91	56	-13.09	QP
16.89536	28.32	10.48	38.8	60	-21.2	QP
3.024087	26.77	10.17	36.94	56	-19.06	QP
13.823446	35.75	10.33	46.08	50	-3.92	Ave
26.111452	23.27	10.86	34.13	50	-15.87	Ave
23.040245	24.58	10.69	35.27	50	-14.73	Ave
2.40694	29.71	10.16	39.87	46	-6.13	Ave
16.89536	27.07	10.48	37.55	50	-12.45	Ave
3.024087	14.4	10.17	24.57	46	-21.43	Ave

Report Number: R2302153-407 Page 25 of 72 FCC Part 15.407 Report

## **7** FCC §15.209 & §15.407(b) - Spurious Radiated Emissions

#### 7.1 Applicable Standard

As Per FCC §15.205(a) except as show in paragraph (d) of this section, only spurious emissions are permitted in any of the frequency bands listed below:

MHz	MHz	MHz	GHz
0.090 - 0.110	16.42 - 16.423	960 - 1240	4.5 - 5.15
0.495 - 0.505	16.69475 – 16.69525	1300 - 1427	5.35 - 5.46
2.1735 - 2.1905	25.5 - 25.67	1435 - 1626.5	7.25 - 7.75
4.125 - 4.128	37.5 - 38.25	1645.5 - 1646.5	8.025 - 8.5
4.17725 - 4.17775	73 - 74.6	1660 - 1710	9.0 - 9.2
4.20725 - 4.20775	74.8 - 75.2	1718.8 - 1722.2	9.3 - 9.5
6.215 - 6.218	108 - 121.94	2200 - 2300	10.6 - 12.7
6.26775 - 6.26825	123 - 138	2310 - 2390	13.25 - 13.4
6.31175 - 6.31225	149.9 - 150.05	2483.5 - 2500	14.47 - 14.5
8.291 - 8.294	156.52475 – 156.52525	2690 - 2900	15.35 - 16.2
8.362 - 8.366	156.7 - 156.9	3260 - 3267	17.7 - 21.4
8.37625 - 8.38675	162.0125 –167.17	3.332 - 3.339	22.01 - 23.12
8.41425 - 8.41475	167.72 - 173.2	33458 - 3358	23.6 - 24.0
12.29 - 12.293	240 - 285	3.600 - 4.400	31.2 - 31.8
12.51975 - 12.52025	322 - 335.4		36.43 - 36.5
12.57675 - 12.57725	399.9 - 410		Above 38.6
13.36 – 13.41	608 - 614		

As per FCC §15.209: The emissions from an intentional radiator shall not exceed the field strength levels specified in the following table

Frequency (MHz)	Field Strength (micro volts/meter)	Measurement Distance (meters)
0.009 - 0.490	2400/F(kHz)	300
0.490 - 1.705	24000/F(kHz)	30
1.705 - 30.0	30	30
30 - 88	100 Note 1	3
88 - 216	150 Note 1	3
216 - 960	200 Note 1	3
Above 960	500	3

Note 1: Except as provided in paragraph (g), fundamental emissions from intentional radiators operating under this Section shall not be located in the frequency bands 54-72 MHz, 76-88 MHz, 174-216 MHz or 470-806 MHz. However, operation within these frequency bands is permitted under other sections of this Part, e.g., Sections 15.231 and 15.241.

As per FCC Part 15.407 (b)

(1) For transmitters operating in the 5.15-5.25 GHz band: All emissions outside of the 5.15-5.35 GHz band shall not exceed an e.i.r.p. of -27 dBm/MHz.

Report Number: R2302153-407 Page 26 of 72 FCC Part 15.407 Report

(2) For transmitters operating in the 5.25-5.35 GHz band: All emissions outside of the 5.15-5.35 GHz band shall not exceed an e.i.r.p. of -27 dBm/MHz.

- (3) For transmitters operating in the 5.47 -5.725 GHz band: All emissions outside of the 5.47-5725 GHz band shall not exceed an ei.r.p. of -27 dBm/MHz.
- (4) For transmitters operating in the 5.725-5.85 GHz band: All emissions within the frequency range from the band edge to 10 MHz above or below the band edge shall not exceed an e.i.r.p. of -17 dBm/MHz; for frequencies 10 MHz or greater above or below the band edge, emissions shall noet exceed an e.i.r.p. of -27 dBm/MHz.
- (5) The emission measurements shall be performed using a minimum resolution bandwidth of 1 MHz. A lower resolution bandwidth may be employed near the band edge, when necessary, provided the measured energy is integrated to show the total power over 1 MHz.
- (6) Unwanted emissions below 1 GHz must comply with the general field strength limits set forth in §15.209. Further, any U-NII devices using an AC power line are required to comply also with the conducted limits set forth in §15.207.
- (7) The provisions of §15.205 apply to intentional radiators operating under this section.

#### 7.2 Test Setup

The radiated emissions tests were performed in the 5-meter Chamber, using the setup in accordance with ANSI C63.10-2013. The specification used was the FCC 15.407 limits.

The spacing between the peripherals was 10 centimeters.

External I/O cables were draped along the edge of the test table and bundle when necessary.

#### 7.3 Test Procedure

For the radiated emissions test, the EUT host, and all support equipment power cords were connected to the AC floor outlet.

Maximizing procedure was performed on the highest emissions to ensure that the EUT complied with all installation combinations.

The EUT is set 3 meter away from the testing antenna, which is varied from 1-4 meter, and the EUT is placed on a turntable, which is 0.8 meter or 1.5 meter above ground plane, the table shall be rotated for 360 degrees to find out the highest emission. The receiving antenna should be changed the polarization both of horizontal and vertical.

The spectrum analyzer or receiver is set as:

Below 1000 MHz:

RBW = 100 kHz / VBW = 300 kHz / Sweep = Auto

Report Number: R2302153-407 Page 27 of 72 FCC Part 15.407 Report

Above 1000 MHz:

(1) Peak: RBW = 1MHz / VBW = 3MHz / Sweep = 100ms

(2) Average: RBW = 1MHz / VBW = 10Hz / Sweep = Auto

#### 7.4 Corrected Amplitude and Margin Calculation

The Corrected Amplitude (CA) is calculated by adding the Antenna Factor (AF), the Cable Loss (CL), the Attenuator Factor (Atten) and subtracting the Amplifier Gain (Ga) to indicated Amplitude (Ai) reading. The basic equation is as follows:

$$CA = Ai + AF + CL + Atten - Ga$$

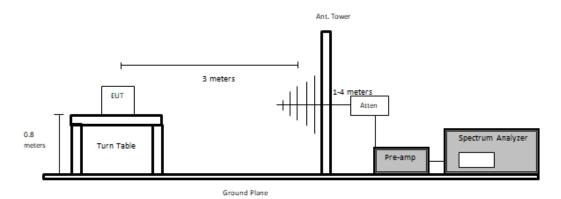
For example, a corrected amplitude of 40.3 dBuV/m = Indicated Reading (32.5 dBuV) + Antenna Factor (+23.5dB) + Cable Loss (3.7 dB) + Attenuator (10 dB) - Amplifier Gain (29.4 dB)

The "Margin" column of the following data tables indicates the degree of compliance within the applicable limit. For example, a margin of -7 dB means the emission is 7 dB below the maximum limit for Class A. The equation for margin calculation is as follows:

Margin = Corrected Amplitude – Limit

#### 7.5 Test Setup Block Diagram

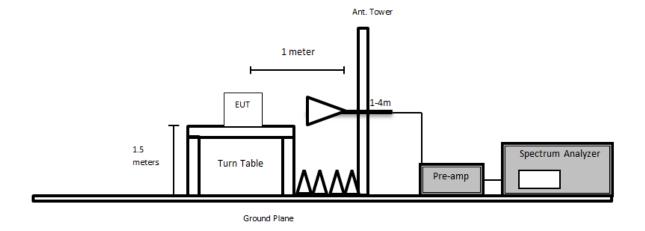
Below 1 GHz:



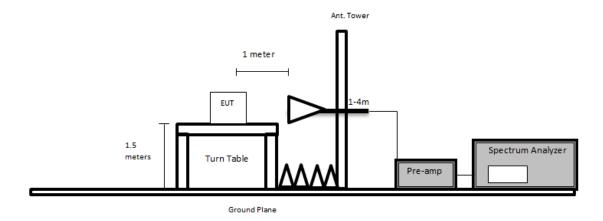
Report Number: R2302153-407 Page 28 of 72 FCC Part 15.407 Report

#### Above 1 GHz:

## Using Asset #1192



## Using Asset #91&#230



## 7.6 Test Equipment List and Details

BACL No.	Manufacturer	Description	Model No.	Serial No.	Calibration Date	Calibration Interval
124	Rhode & Schwarz	EMI Test Receiver	ESCI 1166.5950 K03	100044	2021-05-14	2 years
287	HP/Agilent	PSA spectrum analyzer 3HZ to 44 GHZ	E4446A	US4430038 6	2022-05-05	1 year
424	Agilent	Spectrum Analyzer	E4440A	US4530315 6	2022-12-19	1 year
655	Rohde & Schwarz	Signal Analyzer	FSQ26	200749	2022-02-07	2 years
327	Sunol Science Corp	System Controller	SC110V	122303-1	N/R	N/A
316	Sonoma Instruments	Preamplifier 10 kHz - 2.5 GHz	317	260406	2022-05-12	1 year
658	HP/Agilent	Pre-Amplifier	8449B OPT HO2	3008A0110 3	2022-07-22	1 year
827	AH Systems	Preamplifier	PAM 1840 VH	170	2022-06-21	1 year
91	Wisewave	Antenna, Horn	ARH- 4223-02	10555-02	2022-03-08	2 years
230	Wisewave	Antenna, Horn	ARH- 2823-02	10555-02	2022-03-08	2 years
321	Sunol Sciences	Biconilog Antenna	ЈВ3	A020106-2; 1504	2021-11-22	2 years
1192	ETS Lindgren	Horn Antenna	3117	00218973	2022-09-29	2 years
1186	Pasternack	Coaxial Cable, RG214	PE3062- 1050CM	1	2022-09-26	1 year
1247	Uti flex	Micro - Coax	-	-	2022-07-22	1 year
1248	Pasternack	RG214 COAX Cable	PE3062	-	2022-04-12	1 year
1249	Time Microwave	LMR-400 Cable Dc- 3 Ghz	AE13684	2k80612-5 6fts	2022-04-12	1 year
1295	Carlisle	10m Ultra Low Loss Coaxial Cable	UFB142A- 1-3937- 200200	6463989091 2-001	2022-10-28	6 months
1328	Centric RF	2.92mm short coaxial cable	C547-107- 12B	CW10S341 23	2022-12-14	6 months
387	Micro-Tronics	5150-5350 MHz Notch Filter	BRC50703	006	2022-03-31	1 year
389	Micro-Tronics	5.6 GHz Notch Filter	BRC 50704	003	2022-06-13	1 year
1245	-	6dB Atennuator	PE7390-6	01182018A	2022-11-22	1 year
1246	HP	RF Limiter	11867A	01734	2022-04-12	1 year
	Vasona	Test software	V6.0 build 11	10400213	N/R	N/R

Note<sup>1</sup>: equipment included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

Report Number: R2302153-407 Page 30 of 72 FCC Part 15.407 Report

#### 7.7 Test Environmental Conditions

Temperature:	20-22 °C
Relative Humidity:	42-50 %
ATM Pressure:	102.7 kPa

The testing was performed by Deepak Mishra and Arturo Reyes from 2023-02-24 to 2023-03-17 in 5m chamber 3.

## 7.8 Summary of Test Results

According to the data hereinafter, the EUT <u>complied with the FCC Part 15.407</u> standards' radiated emissions limits, and had the worst margin of:

<b>Mode: Transmitting</b>			
Margin (dB)	Frequency (MHz)	Polarization (Horizontal/Vertical)	Mode, Channel
-0.828	5725	Horizontal	802.11n/ac20 mode, 5700 MHz

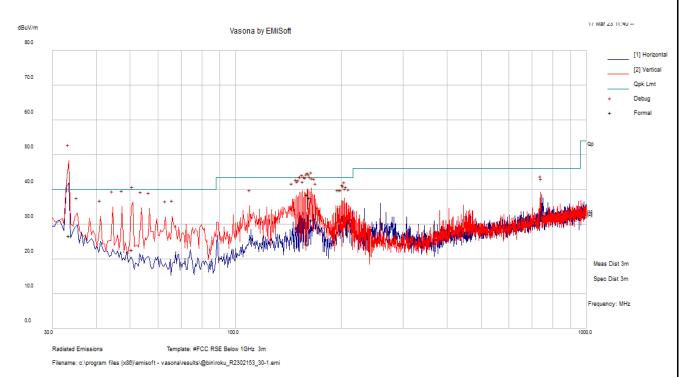
Please see the following plots and data tables.

Report Number: R2302153-407 Page 31 of 72 FCC Part 15.407 Report

## 7.9 Radiated Emissions Test Result Data

## 1) 30 MHz – 1 GHz at 3 meters

#### Worst Case:



Frequency (MHz)	S.A. Reading (dBuV)		Corrected Amplitude (dBµV/m)	Height	Antenna Polarity (H/V)	Turntable Azimuth (degrees)	Limit (dBµV/m)	Margin (dB)	Comment
33.454	29.92	-3.27	26.65	247	V	26	40	-13.35	QP
164.31275	39.91	-8.8	31.11	130	V	14	43.5	-12.39	QP
160.70975	32.84	-8.61	24.23	165	V	85	43.5	-19.27	QP
162.1075	46.29	-8.7	37.59	126	V	352	43.5	-5.91	QP
50.677	35.61	-12.96	22.65	127	V	7	40	-17.35	QP
159.743	47.06	-8.57	38.49	113	V	54	43.5	-5.01	QP

Report Number: R2302153-407 Page 32 of 72 FCC Part 15.407 Report

FCC ID: TC2-R1032 Roku, Inc.

FCC Limits for 1 GHz to 40 GHz										
Applicablity	(dBm)	(dBm) (uV/m at 3meters)		(dBuV/m at 1meter) <sup>2</sup>						
Restricted Band Average Limit	-	500	54	64						
Restricted Band Peak Limit <sup>1</sup>	-	-	74	84						
FCC §15.407(b) §6.2 Defined Unwanted Emissions Limit	-27	-	68	78						

Note<sup>1</sup>: Restricted Band Peak Limit is defined to be 20dB higher than Average Limit.

Note<sup>2</sup>: Limits at 1 meter are determined by applying a Distance correction factor accounts for extrapolation from 1 meters to 3 meters. Formula used is as follows: 20\*log(3meters/1meter) = 9.54 (According to ANSI C63.10-2013 Section 9.4)
Note<sup>3</sup>: Where Restricted Band Peak Limit is replaced with stricter 78 dBµV/m at 1 meter, compliance is being

shown for unwmated emissions per FCC §15.407(b)

Report Number: R2302153-407 Page 33 of 72 FCC Part 15.407 Report

## 2) 1 – 18 GHz, Band Edges, Harmonics & Emission Masks measured at 1 meter

### 5250 - 5350 MHz

802.11a mode

Frequency	S.A.	Turntable	Test Antenna			Cable Pre-	Cord.	FCC			
(MHz)	Reading (dBµV)	Azimuth (degrees)	Height (cm)	Polarity (H/V)	Factor (dB/m)	Loss (dB)	Amp. (dB)	Reading (dBμV/m)	Limit (dBµV/m)	Margin (dB)	Comments
					Low Cha	nnel: 520	60 MHz				
5150	53.520	349	151	Н	38.30	11.16	36.31	66.660	78	-11.340	Peak
5150	43.719	349	151	Н	38.30	11.16	36.31	56.859	64	-7.141	Ave
10520	48.820	46	196	Н	38.30	11.16	36.31	61.960	78	-16.040	Peak
10520	37.958	46	196	Н	38.30	11.16	36.31	51.098	64	-12.902	Ave
15780	49.500	360	257	Н	41.92	15.94	36.74	70.621	84	-13.379	Peak
15780	39.656	360	257	Н	41.92	15.94	36.74	60.777	64	-3.223	Ave
				1	Middle Cl	nannel: 52	280 MHz				
10560	48.100	82	188	Н	38.37	11.11	36.23	61.361	78	-16.639	Peak
10560	37.918	82	188	Н	38.37	11.11	36.23	51.179	64	-12.821	Ave
15840	49.740	246	246	Н	41.68	15.41	36.76	70.073	84	-13.927	Peak
15840	39.583	246	246	Н	41.68	15.41	36.76	59.916	64	-4.084	Ave
					High Cha	annel: 53	20 MHz				
5350	63.880	349	148	Н	36.09	7.58	36.65	70.898	78	-7.102	Peak
5350	47.866	349	148	Н	36.09	7.58	36.65	54.884	64	-9.116	Ave
10640	47.580	319	215	Н	38.47	11.07	36.21	60.915	84	-23.085	Peak
10640	37.762	319	215	Н	38.47	11.07	36.21	51.097	64	-12.903	Ave
15960	49.320	359	284	Н	41.76	14.91	36.53	69.460	84	-14.540	Peak
15960	39.368	359	284	Н	41.76	14.91	36.53	59.508	64	-4.492	Ave

Report Number: R2302153-407 Page 34 of 72 FCC Part 15.407 Report

## 802.11ac20/n20 mode

Engguenev	oguonos:		Т	est Anten	est Antenna Ca		Pre-	Cord.	FC	CC	
Frequency (MHz)	Reading (dBµV)	Azimuth (degrees)	Height (cm)	Polarity (H/V)	Factor (dB/m)	Loss (dB)	Amp. (dB)	Reading (dBμV/m)	Limit (dBµV/m)	Margin (dB)	Comments
					Low Cha	nnel: 526	60 MHz				
5150	54.570	1	150	Н	38.30	11.16	36.31	67.710	78	-10.290	Peak
5150	43.692	1	150	Н	38.30	11.16	36.31	56.832	64	-7.168	Ave
10520	48.370	165	112	Н	38.30	11.16	36.31	61.510	78	-16.490	Peak
10520	38.261	165	112	Н	38.30	11.16	36.31	51.401	64	-12.599	Ave
15780	49.990	85	147	Н	41.92	15.94	36.74	71.111	84	-12.889	Peak
15780	39.250	85	147	Н	41.92	15.94	36.74	60.371	64	-3.629	Ave
				ľ	Middle Cl	nannel: 52	280 MHz				
10560	47.100	82	228	Н	38.37	11.11	36.23	60.361	78	-17.639	Peak
10560	37.538	82	228	Н	38.37	11.11	36.23	50.799	64	-13.201	Ave
15840	49.750	212	214	Н	41.68	15.41	36.76	70.083	84	-13.917	Peak
15840	39.271	212	214	Н	41.68	15.41	36.76	59.604	64	-4.396	Ave
					High Cha	annel: 532	20 MHz				
5350	68.100	348	144	Н	36.09	7.58	36.65	75.118	78	-2.882	Peak
5350	49.376	348	144	Н	36.09	7.58	36.65	56.394	64	-7.606	Ave
10640	47.980	74	135	Н	38.47	11.07	36.21	61.315	84	-22.685	Peak
10640	37.536	74	135	Н	38.47	11.07	36.21	50.871	64	-13.129	Ave
15960	50.170	346	130	Н	41.76	14.91	36.53	70.310	84	-13.690	Peak
15960	38.828	346	130	Н	41.76	14.91	36.53	58.968	64	-5.032	Ave

Report Number: R2302153-407 Page 35 of 72 FCC Part 15.407 Report

## 5470 - 5725 MHz

## 802.11a mode

Fraguency	Frequency S.A. Turntable		T	est Anten	na	Cable	Pre-	Cord.	F	CC	
(MHz)	Reading (dBµV)	Azimuth (degrees)	Height (cm)	Polarity (H/V)	Factor (dB/m)	Loss (dB)	Amp. (dB)	Reading (dBμV/m)	Limit (dBµV/m)	Margin (dB)	Comments
					Low Cha	nnel: 55	00 MHz				
5470	68.790	351	152	Н	36.22	7.66	36.63	76.043	78	-1.957	Peak
5470	48.408	351	152	Н	36.22	7.66	36.63	55.661	64	-8.339	Ave
11000	48.440	359	294	Н	38.47	11.28	35.98	62.213	84	-21.787	Peak
11000	37.523	359	294	Н	38.47	11.28	35.98	51.296	64	-12.704	Ave
16500	48.940	187	114	Н	42.34	13.97	35.87	69.384	78	-8.616	Peak
16500	39.235	187	114	Н	42.34	13.97	35.87	59.679	64	-4.321	Ave
				N	Middle Cl	nannel: 5	580 MHz				
11160	50.410	265	149	Н	38.38	11.39	35.87	64.315	84	-19.685	Peak
11160	40.187	265	149	Н	38.38	11.39	35.87	54.092	64	-9.908	Ave
16740	48.640	225	193	Н	42.51	14.65	35.61	70.191	78	-7.809	Peak
16740	38.743	225	193	Н	42.51	14.65	35.61	60.294	64	-3.706	Ave
					High Cha	annel: 57	00 MHz				
5725	68.760	335	162	Н	35.61	7.84	36.69	75.522	78	-2.478	Peak
5725	48.384	335	162	Н	35.61	7.84	36.69	55.146	64	-8.854	Ave
11400	46.800	23	147	Н	38.57	11.43	35.89	60.913	84	-23.087	Peak
11400	37.318	23	147	Н	38.57	11.43	35.89	51.431	64	-12.569	Ave
17100	49.500	72	246	Н	42.15	15.92	35.98	71.578	78	-6.422	Peak
17100	35.937	72	246	Н	42.15	15.92	35.98	58.015	64	-5.985	Ave
High Channel: 5720 MHz											
11440	47.610	23	147	Н	38.57	11.43	35.89	61.723	84	-22.277	Peak
11440	38.204	23	147	Н	38.57	11.43	35.89	52.317	64	-11.683	Ave
17160	49.284	72	246	Н	42.15	15.92	35.98	71.362	78	-6.638	Peak
17160	36.583	72	246	Н	42.15	15.92	35.98	58.661	64	-5.339	Ave

Report Number: R2302153-407 Page 36 of 72 FCC Part 15.407 Report

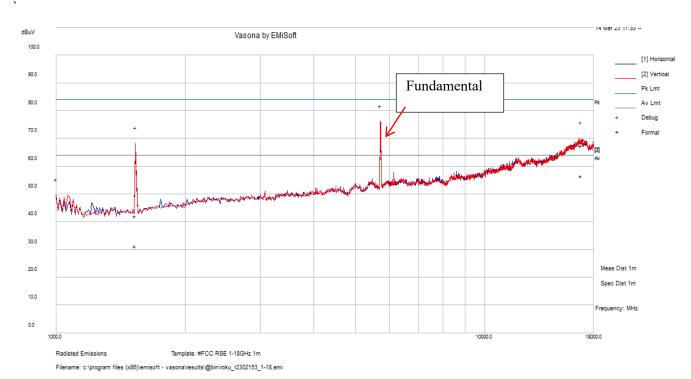
# 802.11ac20/n20 mode

Frequency	S.A.	Turntable	Т	est Anten	na	Cable	Pre-	Cord.	FC	CC	
(MHz)	Reading (dBµV)	Azimuth (degrees)	Height (cm)	Polarity (H/V)	Factor (dB/m)	Loss (dB)	Amp. (dB)	Reading (dBμV/m)	Limit (dBµV/m)	Margin (dB)	Comments
					Low Cha	nnel: 550	0 MHz				
5470	68.630	353	142	Н	36.22	7.66	36.63	75.883	78	-2.117	Peak
5470	47.851	353	142	Н	36.22	7.66	36.63	55.104	64	-8.896	Ave
11000	47.720	108	107	Н	38.47	11.28	35.98	61.493	84	-22.507	Peak
11000	37.683	108	107	Н	38.47	11.28	35.98	51.456	64	-12.544	Ave
16500	49.590	327	174	Н	42.34	13.97	35.87	70.034	78	-7.966	Peak
16500	38.858	327	174	Н	42.34	13.97	35.87	59.302	64	-4.698	Ave
				N	Iiddle Ch	annel: 55	80 MHz				
11160	49.387	153	159	Н	38.38	11.39	35.87	63.292	84	-20.708	Peak
11160	39.961	153	159	Н	38.38	11.39	35.87	53.866	64	-10.134	Ave
16740	48.510	181	173	Н	42.51	14.65	35.61	70.061	78	-7.939	Peak
16740	38.365	181	173	Н	42.51	14.65	35.61	59.916	64	-4.084	Ave
					High Cha	nnel: 570	0 MHz				
5725	70.410	24	159	Н	35.61	7.84	36.69	77.172	78	-0.828	Peak
5725	48.936	24	159	Н	38.57	11.43	35.89	63.049	64	-0.951	Ave
11400	47.980	74	102	Н	38.57	11.43	35.89	62.093	84	-21.907	Peak
11400	36.856	74	102	Н	38.57	11.43	35.89	50.969	64	-13.031	Ave
17100	49.530	279	300	Н	42.15	15.92	35.98	71.608	78	-6.392	Peak
17100	39.148	279	300	Н	38.57	11.43	35.89	53.261	64	-10.739	Ave
					High Cha	nnel: 572	0 MHz				
11440	48.485	74	102	Н	38.57	11.43	35.89	62.598	84	-21.402	Peak
11440	37.027	74	102	Н	38.57	11.43	35.89	51.140	64	-12.860	Ave
17160	50.284	279	300	Н	42.15	15.92	35.98	72.362	78	-5.638	Peak
17160	39.929	279	300	Н	38.57	11.43	35.89	54.042	64	-9.958	Ave

Report Number: R2302153-407 Page 37 of 72 FCC Part 15.407 Report

# 3) 1 to 18 GHz Vasona scan at 1 meter

## Worst Case:

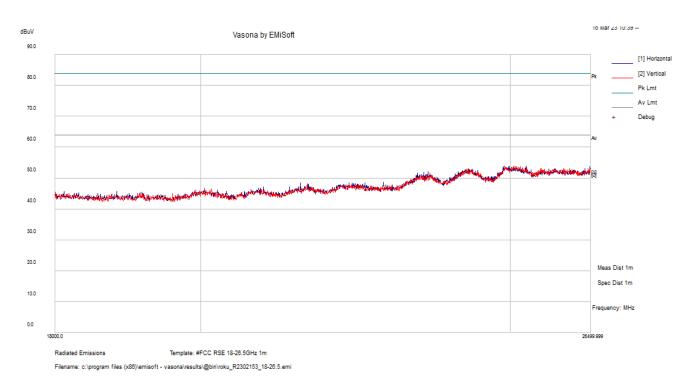


Frequency (MHz)	S.A. Reading (dBuV)	Correction Factor (dB/m)	Corrected Amplitude (dBµV/m)	Antenna Height (cm)	Antenna Polarity (H/V)	Turntable Azimuth (degrees)	Limit (dBµV/m)	Margin (dB)	Comment
16810.61	46.52	20.79	67.31	189	V	51	84	-16.69	Peak
1530.44	48.69	-6.74	41.95	232	V	207	84	-42.05	Peak
16810.61	35.75	20.79	56.54	189	V	51	64	-7.46	Avg
1530.44	38.02	-6.74	31.28	232	V	207	64	-32.72	Avg

Report Number: R2302153-407 Page 38 of 72 FCC Part 15.407 Report

# 4) 18 – 26.5 GHz Worst Case Scan at 1 Meter

### Worst Case:



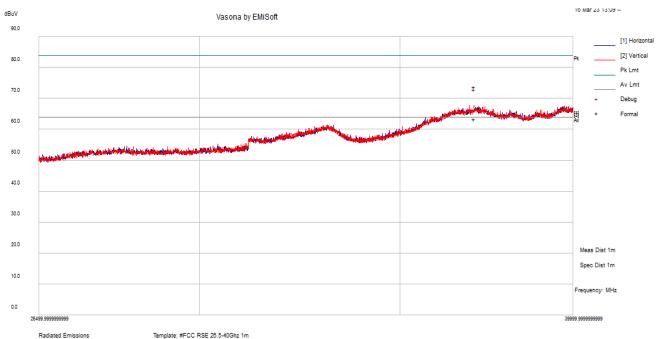
Frequency (MHz)	S.A. Reading (dBuV)	Correction Factor (dB/m)	Corrected Amplitude (dBµV/m)		Antenna Polarity (H/V)	Turntable Azimuth (degrees)	Limit (dBµV/m)	Margin (dB)	Comment
24924.249	38.66	14.8	53.46	100	V	7	64	-10.54	Peak

Note: Worst case peak emission was compared to average limit to show compliance.

Report Number: R2302153-407 Page 39 of 72 FCC Part 15.407 Report

# 5) 26.5 – 40 GHz Worst Case Scan at 1 Meter

# Worst Case:



Filename: c:\program files (x88)\emisoft - vasona\results\@bin\roku\_r2302152\_28.5-40.emi

Frequency (MHz)	S.A. Reading (dBuV)	Correction Factor (dB/m)	Corrected Amplitude (dBµV/m)		Antenna Polarity (H/V)	Turntable Azimuth (degrees)	Limit (dBµV/m)	Margin (dB)	Comment
37056.823	54.78	18.95	73.73	238	249	Н	249	84	Peak
37056.823	44.52	18.95	63.47	238	249	Н	249	64	Avg

Report Number: R2302153-407 Page 40 of 72 FCC Part 15.407 Report

# 8 FCC §15.407(e) - 26 dB & 99% - Occupied Bandwidth

# 8.1 Applicable Standards

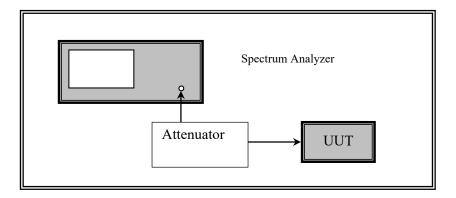
For reference purposes.

#### **8.2** Measurement Procedure

1. Check the calibration of the measuring instrument using either an internal calibrator or a known signal from an external generator.

- 2. Position the EUT without connection to measurement instrument. Turn on the EUT and connect it to measurement instrument. Then set it to any one convenient frequency within its operating range. Set a reference level on the measuring instrument equal to the highest peak value.
- 3. Measure the frequency difference of two frequencies that were attenuated 6 or 26 dB from the reference level. Record the frequency difference as the minimum emission or emission bandwidth.
- 4. Repeat above procedures until all frequencies measured were complete.

## 8.3 Test Setup Block Diagram



Report Number: R2302153-407 Page 41 of 72 FCC Part 15.407 Report

# 8.4 Test Equipment List and Details

BACL Number	Manufacturer	Description	Model	Serial Number	Calibration Date	Calibration Interval
912	Rhode & Schwarz	Signal Analyzer	FSV40	1321.3008k39 -101203-UW	2022-05-05	1 year
-	-	20dB attenuator	-	-	Each time <sup>1</sup>	N/A
-	-	RF cable	-	-	Each time <sup>1</sup>	N/A

Note<sup>1</sup>: cable and attenuator included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability"

## 8.5 Test Environmental Conditions

Temperature:	22-24 °C
Relative Humidity:	40-41 %
ATM Pressure:	103.1-104.1 kPa

Testing was performed by Christian Schwartz on 2023-02-28 at the RF site.

Report Number: R2302153-407 Page 42 of 72 FCC Part 15.407 Report

# 8.6 Test Results

Please refer to the following tables and plots.

5250 - 5350 MHz

Channel	Frequency (MHz)	99% OBW (MHz)	26 dB OBW (MHz)
	802.11:	a mode	
52	5260	19.6	24.95
56	5280	20.52	22.3
64	5320	18.56	21.25
	802.11n/a	c20 mode	
52	5260	19.76	23
56	5280	20.92	21.8
64	5320	19.04	21.45

Note: See Annex A for 99% Bandwidth results Note: See Annex B for 26 dB Bandwidth results

Report Number: R2302153-407 Page 43 of 72 FCC Part 15.407 Report

5470 - 5725 MHz

Channel	Frequency (MHz)	99% OBW (MHz)	26 dB OBW (MHz)
	802.11:	a mode	
100	5500	22.92	21
116	5580	27.04	41.6
140	5700	17.72	21.4
144	5720	19.2	30.55
	802.11n/a	c20 mode	
100	5500	19.84	22.1
116	5580	27.8	42.4
140	5700	19.04	21.2
144	5720	19.88	30.65

Note: See Annex A for 99% Bandwidth results Note: See Annex B for 26 dB Bandwidth results

# 9 FCC §407(a) - Output Power

#### 9.1 Applicable Standards

According to FCC §15.407(a):

For client devices in the 5.15-5.25 GHz band, the maximum conducted output power over the frequency band of operation shall not exceed 250 mW provided the maximum antenna gain does not exceed 6 dBi. In addition, the maximum power spectral density shall not exceed 11 dBm in any 1 megahertz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi.

For the 5.25-5.35 GHz and 5.47-5.725 GHz bands, the maximum conducted output power over the frequency bands of operation shall not exceed the lesser of 250 mW or 11 dBm + 10 log B, where B is the 26 dB emission bandwidth in megahertz. In addition, the maximum power spectral density shall not exceed 11 dBm in any 1 megahertz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi.

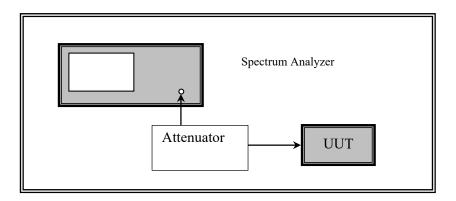
For the band 5.725-5.85 GHz, the maximum conducted output power over the frequency band of operation shall not exceed 1 W. In addition, the maximum power spectral density shall not exceed 30 dBm in any 500-kHz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi. However, fixed point-to-point U-NII devices operating in this band may employ transmitting antennas with directional gain greater than 6 dBi without any corresponding reduction in transmitter conducted power. Fixed, point-to-point operations exclude the use of point-to-multipoint systems, omnidirectional applications, and multiple collocated transmitters transmitting the same information. The operator of the U-NII device, or if the equipment is professionally installed, the installer, is responsible for ensuring that systems employing high gain directional antennas are used exclusively for fixed, point-to-point operations.

Report Number: R2302153-407 Page 45 of 72 FCC Part 15.407 Report

#### 9.2 Measurement Procedure

- (i) Set span to encompass the entire emission bandwidth (EBW) (or, alternatively, the entire 99% occupied bandwidth) of the signal.
- (ii) Set RBW = 1 MHz.
- (iii) Set VBW  $\geq$  3 MHz.
- (iv) Number of points in sweep  $\ge 2 \times \text{span} / \text{RBW}$ . (This ensures that bin-to-bin spacing is  $\le \text{RBW}/2$ , so that narrowband signals are not lost between frequency bins.)
- (v) Sweep time = auto.
- (vi) Detector = power averaging (rms), if available. Otherwise, use sample detector mode.
- (vii) If transmit duty cycle < 98%, use a video trigger with the trigger level set to enable triggering only on full power pulses. Transmitter must operate at maximum power control level for the entire duration of every sweep. If the EUT transmits continuously (i.e., with no off intervals) or at duty cycle  $\ge$  98%, and if each transmission is entirely at the maximum power control level, then the trigger shall be set to "free run."
- (viii) Trace average at least 100 traces in power averaging (rms) mode.
- (ix) Compute power by integrating the spectrum across the EBW (or, alternatively, the entire 99% occupied bandwidth) of the signal using the instrument's band power measurement function with band limits set equal to the EBW (or occupied bandwidth) band edges. If the instrument does not have a band power function, sum the spectrum levels (in power units) at 1 MHz intervals extending across the EBW (or, alternatively, the entire 99% occupied bandwidth) of the spectrum.

## 9.3 Test Setup Block Diagram



Report Number: R2302153-407 Page 46 of 72 FCC Part 15.407 Report

# 9.4 Test Equipment List and Details

BACL Number	Manufacturer	Description	Model	Serial Number	Calibration Date	Calibration Interval
912	Rhode & Schwarz	Signal Analyzer	FSV40	1321.3008k39- 101203-UW	2022-05-05	1 year
655	Rohde & Schwarz	Signal Analyzer	FSQ26	200749	2022-02-07	2 years
-	-	20dB attenuator	-	-	Each time <sup>1</sup>	N/A
-	-	RF cable	-	-	Each time <sup>1</sup>	N/A

Note<sup>1</sup>: cable and attenuator included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

### 9.5 Test Environmental Conditions

Temperature:	23° C
Relative Humidity:	55 %
ATM Pressure:	102.2 KPa

Testing was performed by Christian Schwartz on 2023-02-28 at the RF site.

Report Number: R2302153-407 Page 47 of 72 FCC Part 15.407 Report

# 9.6 Test Results

5250 - 5350 MHz

Channel	Frequency (MHz)	Conducted Output Power (dBm)  Corrected Output Power (dBm)		FCC Limit (dBm)
		802.11a mo	ode	
52	5260	15.42	15.6	24
56	5280	15.38	15.56	24
64	5320	16.14	16.32	24
		802.11n/ac20	mode	
52	5260	15.6	15.91	24
56	5280	15.15	15.46	24
64	5320	15.98	16.29	24

Note: See Annex C for Conducted Output Power results

Note: Corrected Output Power(dBm)=Conducted Output Power(dBm) + Duty Cycle Correction Factor(dB)

Note: For 5250 MHz-5350 MHz for FCC: the maximum conducted output power shall not exceed 250 mW or  $11 + 10 \log 10B$ , dBm, whichever is less. Here B is the 26dB bandwidth in megahertz. In this instance B was chosen to be the lowest measured BW rounded down to represent the worst case limit.

Report Number: R2302153-407 Page 48 of 72 FCC Part 15.407 Report

5470 - 5725 MHz

Channel	Frequency (MHz)	Conducted Output Power (dBm)	Corrected Output Power (dBm)	FCC Limit (dBm)
		802.11a mo	ode	
100	5500	14.06	14.24	24
116	5580	20.65	20.83	24
140	5700	17.48	17.66	24
144	5710-5725	11.77	11.95	24
144	5725-5730	5.82	6	30
		802.11n/ac20	mode	
100	5500	15.19	15.5	24
116	5580	20.48	20.79	24
140	5700	18.18	18.49	24
144	5710-5725	11.82	12.13	24
144	5725-5730	5.48	5.79	30

Note: See Annex C for Conducted Output Power results

Note: Corrected Output Power(dBm)= Conducted Output Power(dBm) + Duty Cycle Correction Factor(dB)

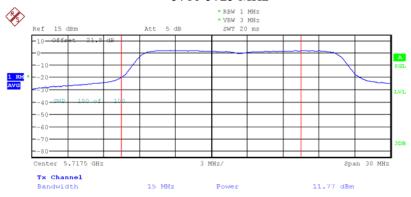
Note: For 5470 MHz-5725 MHz for FCC: the maximum conducted output power shall not exceed 250 mW or  $11 + 10 \log 10B$ , dBm, whichever is less. Here B is the 26 dB bandwidth in megahertz. In this instance B was chosen to be the lowest measured BW rounded down to represent the worst case limit.

Note: For straddle channels (i.e. Ch. 144), see below screenshots for test results.

Report Number: R2302153-407 Page 49 of 72 FCC Part 15.407 Report

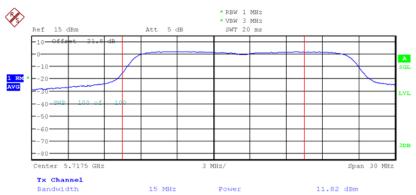
### 802.11a mode

### 5710-5725 MHz



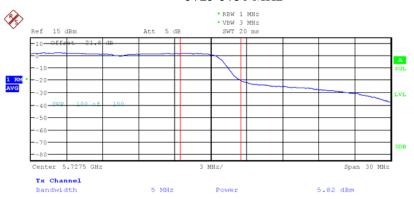
### 802.11ac/n20 mode

### 5710-5725 MHz



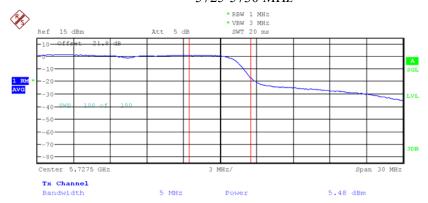
### 802.11a mode

### 5725-5730 MHz



### 802.11ac/n20 mode

## 5725-5730 MHz



# 10 FCC §15.407(a) - Power Spectral Density

## 10.1 Applicable Standards

According to FCC §15.407(a):

For client devices in the 5.15-5.25 GHz band, the maximum conducted output power over the frequency band of operation shall not exceed 250 mW provided the maximum antenna gain does not exceed 6 dBi. In addition, the maximum power spectral density shall not exceed 11 dBm in any 1 megahertz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi.

For the 5.25-5.35 GHz and 5.47-5.725 GHz bands, the maximum conducted output power over the frequency bands of operation shall not exceed the lesser of 250 mW or 11 dBm + 10 log B, where B is the 26 dB emission bandwidth in megahertz. In addition, the maximum power spectral density shall not exceed 11 dBm in any 1 megahertz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi.

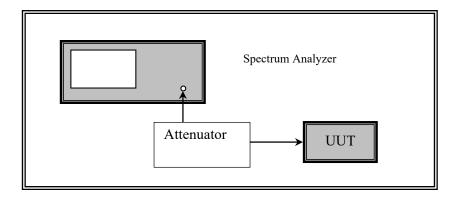
For the band 5.725-5.85 GHz, the maximum conducted output power over the frequency band of operation shall not exceed 1 W. In addition, the maximum power spectral density shall not exceed 30 dBm in any 500-kHz band. If transmitting antennas of directional gain greater than 6 dBi are used, both the maximum conducted output power and the maximum power spectral density shall be reduced by the amount in dB that the directional gain of the antenna exceeds 6 dBi. However, fixed point-to-point U-NII devices operating in this band may employ transmitting antennas with directional gain greater than 6 dBi without any corresponding reduction in transmitter conducted power. Fixed, point-to-point operations exclude the use of point-to-multipoint systems, omnidirectional applications, and multiple collocated transmitters transmitting the same information. The operator of the U-NII device, or if the equipment is professionally installed, the installer, is responsible for ensuring that systems employing high gain directional antennas are used exclusively for fixed, point-to-point operations.

Report Number: R2302153-407 Page 52 of 72 FCC Part 15.407 Report

#### **10.2** Measurement Procedure

- (i) Set span to encompass the entire emission bandwidth (EBW) of the signal.
- (ii) Set RBW = 1 MHz.
- (iii) Set VBW  $\geq$  3 MHz.
- (iv) Number of points in sweep  $\geq 2$  Span / RBW. (This ensures that bin-to-bin spacing is  $\leq$  RBW/2, so that narrowband signals are not lost between frequency bins.)
- (v) Sweep time = auto.
- (vi) Detector = RMS (i.e., power averaging), if available. Otherwise, use sample detector mode.
- (vii) If transmit duty cycle < 98 percent, use a video trigger with the trigger level set to enable triggering only on full power pulses. Transmitter must operate at maximum power control level for the entire duration of every sweep. If the EUT transmits continuously (i.e., with no off intervals) or at duty cycle ≥ 98 percent, and if each transmission is entirely at the maximum power control level, then the trigger shall be set to "free run". (viii) Trace average at least 100 traces in power averaging (i.e., RMS) mode.
- (ix) Compute power by integrating the spectrum across the 26 dB EBW of the signal using the spectrum analyzer's band power measurement function with band limits set equal to the EBW band edges. If the spectrum analyzer does not have a band power function, sum the spectrum levels (in power units) at 1 MHz intervals extending across the 26 dB EBW of the spectrum.

#### 10.3 Test Setup Block Diagram



Report Number: R2302153-407 Page 53 of 72 FCC Part 15.407 Report

# 10.4 Test Equipment List and Details

BACL Number	Manufacturer	Description	Model	Serial Number	Calibration Date	Calibration Interval
912	Rhode &	Signal	FSV40	1321.3008k39	2022-05-05	1 year
	Schwarz	Analyzer		-101203-UW	2022 03 03	
655	Rohde &	Signal	FSQ26	200749		2 years
033	Schwarz	Analyzer	15Q20	200747		
-	-	20dB attenuator	-	-	Each time <sup>1</sup>	N/A
-	-	RF cable	-	-	Each time <sup>1</sup>	N/A

Note<sup>1</sup>: cable and attenuator included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

### 10.5 Test Environmental Conditions

Temperature:	22-24 °C	
Relative Humidity:	50-55 %	
ATM Pressure:	102.1-102.7 kPa	

Testing was performed by Christian Schwartz on 2023-02-28 at the RF site.

Report Number: R2302153-407 Page 54 of 72 FCC Part 15.407 Report

### 10.6 Test Results

5250 - 5350 MHz

Channel	Frequency (MHz)	PSD (dBm/MHz)	Corrected PSD (dBm/MHz)	FCC Limit (dBm/MHz)
		802.11a mo	ode	
52	5260	4.17	4.35	11
56	5280	4.6	4.78	11
64	5320	5.37	5.55	11
		802.11n/ac20	mode	
52	5260	4.11	4.42	11
56	5280	4.19	4.5	11
64	5320	4.95	5.26	11

Note: See Annex D for test results

Note: Corrected PSD(dBm/MHz)= PSD(dBm/MHz) + Duty Cycle Correction Factor(dB)

5470 - 5725 MHz

Channel	Frequency (MHz)	PSD (dBm/MHz)	Corrected PSD (dBm/MHz)	FCC Limit (dBm/MHz)
		802.11a mo	ode	
100	5500	3.33	3.51	11
116	5580	9.48	9.66	11
140	5700	6.32	6.5	11
144	5710-5725	3.49	3.67	11
144*	5725-5730	0.00 dBm/500 kHz	0.18 dBm/500 kHz	30 dBm/500 kHz
		802.11n/ac20	mode	
100	5500	4.15	4.46	11
116	5580	9.08	9.39	11
140	5700	6.74	7.05	11
144	5710-5725	3.41	3.51	11
144*	5725-5730	0.01 dBm/500 kHz	0.19 dBm/500 kHz	30 dBm/500 kHz

Note: See Annex D for test results

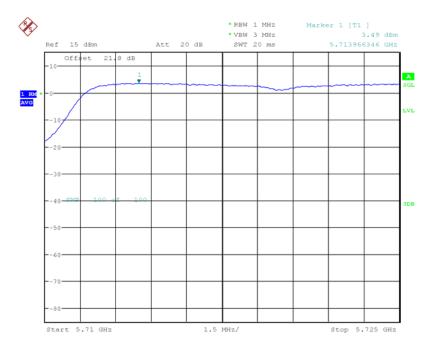
Note: Corrected PSD(dBm/MHz)= PSD(dBm/MHz) + Duty Cycle Correction Factor(dB)

Note\*: Due to channel being in U-NII-3 band, PSD and Limit is dBm/500kHz.

Note: For straddle channels (i.e. Ch. 144), see below screenshots for test results.

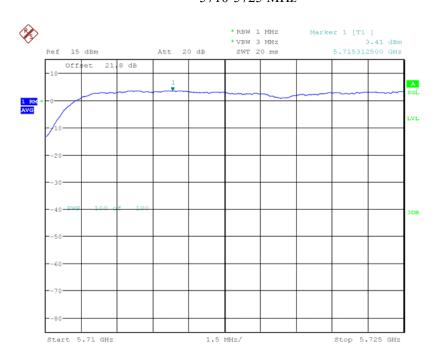
### 802.11a mode

# 5710-5725 MHz



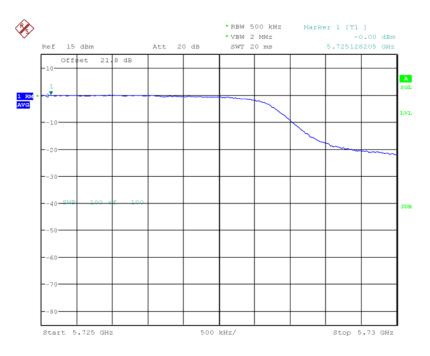
802.11ac/n20 mode

# 5710-5725 MHz



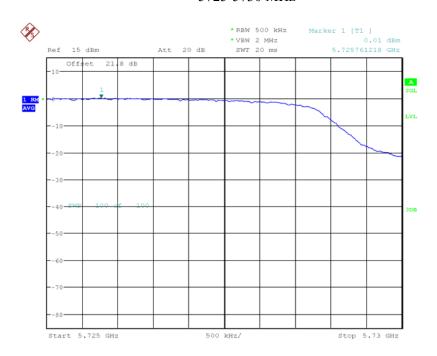
### 802.11a mode

### 5725-5730 MHz



# 802.11ac/n20 mode

## 5725-5730 MHz



# 11 FCC §15.407(b) - Out of Band Emissions

### 11.1 Applicable Standards

According to FCC §15.407(b):

For transmitters operating in the 5.15-5.25 GHz band: All emissions outside of the 5.15-5.35 GHz band shall not exceed an e.i.r.p. of -27 dBm/MHz.

For transmitters operating in the 5.25-5.35 GHz band: All emissions outside of the 5.15-5.35 GHz band shall not exceed an e.i.r.p. of -27 dBm/MHz.

For transmitters operating in the 5.47-5.725 GHz band: All emissions outside of the 5.47-5.725 GHz band shall not exceed an e.i.r.p. of -27 dBm/MHz.

For transmitters operating in the 5.725-5.85 GHz band: All emissions shall be limited to a level of -27 dBm/MHz at 75 MHz or more above or below the band edge increasing linearly to 10 dBm/MHz at 25 MHz above or below the band edge, and from 25 MHz above or below the band edge increasing linearly to a level of 15.6 dBm/MHz at 5 MHz above or below the band edge, and from 5 MHz above or below the band edge increasing linearly to a level of 27 dBm/MHz at the band edge.

Unwanted emissions below 1 GHz must comply with the general field strength limits set forth in §15.209. Further, any U-NII devices using an AC power line are required to comply also with the conducted limits set forth in §15.207.

The provisions of §15.205 apply to intentional radiators operating under this section.

Report Number: R2302153-407 Page 58 of 72 FCC Part 15.407 Report

### 11.2 Measurement Procedure

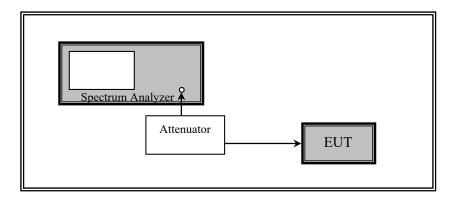
Add a correction factor (antenna gain+ Attenuator loss+cable loss) to the offset of the spectrum analyzer.

**Unwanted Emission Measurement:** 

Maximum emission levels are measured by setting the analyzer as follows:

- i. RBW = 1 MHz
- ii.  $VBW \ge 3 MHz$
- iii. Detector = Peak
- iv. Sweep time = auto
- v. Trace mode = max hold

# 11.3 Test Setup Block Diagram



Report Number: R2302153-407 Page 59 of 72 FCC Part 15.407 Report

## 11.4 Test Equipment List and Details

BACL Number	Manufacturer	Description	Model	Serial Number	Calibration Date	Calibration Interval
912	Rhode & Schwarz	Signal Analyzer	FSV40	1321.3008k39 -101203-UW	2022-05-05	1 year
-	-	20dB attenuator	-	-	Each time <sup>1</sup>	N/A
-	-	RF cable	-	-	Each time <sup>1</sup>	N/A

Note<sup>1</sup>: cable and attenuator included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

#### 11.5 Test Environmental Conditions

Temperature:	22-24° C	
Relative Humidity:	40-41 %	
ATM Pressure:	103.1-104.1 kPa	

Testing was performed by Christian Schwartz from 2023-02-28 to 2023-03-09 at the RF site.

#### 11.6 Test Results

Note: See Annex E for test results

Note: Antenna Gain is considered into offset.

Note: Bandedges are covered in Radiated Spurious Emisisons (Section 7)

Report Number: R2302153-407 Page 60 of 72 FCC Part 15.407 Report

# 12 FCC §15.407(h) - Dynamic Frequency Selection

# 12.1 Applicable Standards

FCC CFR47 §15.407 (h) and KDB: 905462 D02 UNII DFS Compliance Procedures New Rules v02.

Table 1: Applicability of DFS requirements prior to use of a channel

		Operational Mode			
Requirement	Master	Client (Without radar detection)	Client (With radar detection)		
Non-Occupancy Period	Yes	Not Required	Yes		
DFS Detection Threshold	Yes	Not Required	Yes		
Channel Availability Check Time	Yes	Not Required	Not Required		
U-NII Detection Bandwidth	Yes	Not Required	Yes		

Table 2: Applicability of DFS requirements during normal operation

	Operational Mode		
Requirement	Master Device or Client with Radar Detection	Client Without Radar Detection	
DFS Detection Threshold	Yes	Not Required	
Channel Closing Transmission Time	Yes	Yes	
Channel Move Time	Yes	Yes	
U-NII Detection Bandwidth	Yes	Not Required	

Additional requirements for devices with multiple bandwidth modes	Master Device or Client with Radar Detection	Client Without Radar Detection
U-NII Detection Bandwidth and Statistical Performance Check	All BW modes must be tested	Not required
Channel Move Time and Channel Closing Transmission Time	Test using widest BW mode available	Test using the widest BW mode available for the link
All other tests	Any single BW mode	Not required

**Note:** Frequencies selected for statistical performance check (Section 7.8.4) should include several frequencies within the radar detection bandwidth and frequencies near the edge of the radar detection bandwidth. For 802.11 devices it is suggested to select frequencies in each of the bonded 20 MHz channels and the channel center frequency.

Report Number: R2302153-407 Page 61 of 72 FCC Part 15.407 Report

Table 3: Interference Threshold for Master and Client with Radar Detection

Maximum Transmit Power	Value (See Notes 1, 2 and 3)
EIRP≥ 200 milliwatt	-64 dBm
EIRP< 200 milliwatt and power spectral density < 10dBm/MHz	-62 dBm
EIRP< 200 milliwatt that do not meet the power spectral density requirement	-64 dBm

**Note 1:** This is the level at the input of the receiver assuming a 0 dBi receive antenna.

**Note 2:** Throughout these test procedures an additional 1 dB has been added to the amplitude of the test transmission waveforms to account for variations in measurement equipment. This will ensure that the test signal is at or above the detection threshold level to trigger a DFS response.

**Note3**: EIRP is based on the highest antenna gain. For MIMO devices refer to KDB Publication 662911 D01.

**Table 4: DFS Response Requirement Values** 

Parameter	Value
Non-occupancy period	Minimum 30 minutes
Channel Availability Check Time	60 seconds
Channel Move Time	10 seconds See Note 1.
Channel Closing Transmission Time	200 milliseconds + an aggregate of 60 milliseconds over remaining 10 second period. <i>See Notes 1 and 2</i> .
U-NII Detection Bandwidth	Minimum 100% of the UNII 99% transmission power bandwidth. <i>See Note 3</i> .

**Note 1**: Channel Move Time and the Channel Closing Transmission Time should be performed with Radar Type 0. The measurement timing begins at the end of the Radar Type 0 burst.

**Note 2**: The Channel Closing Transmission Time is comprised of 200 milliseconds starting at the beginning of the Channel Move Time plus any additional intermittent control signals required to facilitate a Channel move (an aggregate of 60 milliseconds) during the remainder of the 10 second period. The aggregate duration of control signals will not count quiet periods in between transmissions.

**Note 3**: During the U-NII Detection Bandwidth detection test, radar type 0 should be used. For each frequency step the minimum percentage of detection is 90 percent. Measurements are performed with no data traffic.

Report Number: R2302153-407 Page 62 of 72 FCC Part 15.407 Report

**Table 5: Short Pulse Radar Test Waveforms** 

Radar Type	Pulse Width (Microseconds)	PRI (Microseconds)	Pulses	Minimum Percentage of Successful Detection	Minimum Number of Trials
0	1	1428	18	See Note 1	See Note 1
1	1	Test A: 15 unique PRI values randomly selected from the list of 23 PRI values in Table 5a  Test B: 15 unique PRI values randomly selected within the range of 518-3066 µsec, with a minimum increment of 1 µsec, excluding PRI values selected in Test A	Roundup $ \begin{cases} \left(\frac{1}{360}\right) \\ \left(\frac{19 \cdot 10^6}{PRI_{usee}}\right) \end{cases} $	60%	30
2	1-5	150-230	23-29	60%	30
3	6-10	200-500	16-18	60%	30
4	11-20	200-500	12-16	60%	30
N	Aggregate (Ra	80%	120		

**Note 1**: Short Pulse Radar Type 0 should be used for the detection bandwidth test, channel move time, and channel closing time tests.

**Table 6: Long Pulse Radar Test Signal** 

Radar Type	Bursts	Chirp Width (MHz)	PRI (usec)	Number of Pulses per Burst	Number of Bursts	Minimum Percentage of Successful Detection	Minimum Number of Trials
5	50-100	5-20	1000-2000	1-3	8-20	80%	30

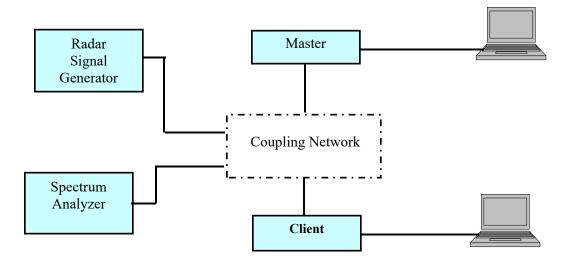
**Table 7: Frequency Hopping Radar Test Signal** 

Radar Type	Pulse Width (usec)	PRI (usec)	Pulses per Hop	Hopping Rate (kHz)	Hopping Sequence Length (msec)	Minimum Percentage of Successful Detection	Minimum Number of Trials
6	1	333	9	0.333	300	70%	30

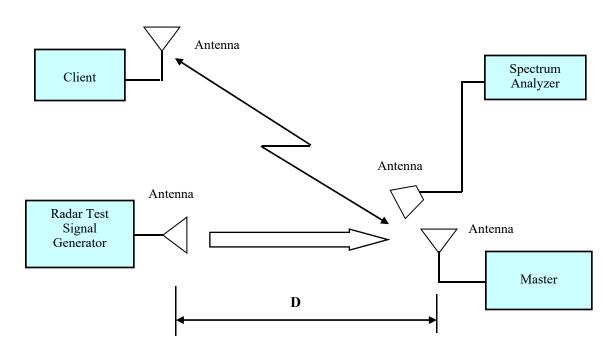
# 12.2 DFS Measurement System

BACL DFS measurement system consists of two subsystems: (1) The radar signal generating subsystem and (2) the traffic monitoring subsystem.

# 12.3 System Block Diagram



### 12.4 Radiated Method



Report Number: R2302153-407 Page 64 of 72 FCC Part 15.407 Report

#### 12.5 Test Procedure

The EUT was connected to a certified master device (FCC ID: S9GH350, IC: 5912A-H350). A spectrum analyzer was used as a monitor that verifies the EUT's status, which includes the Channel Closing Transmission Time and the Channel Move Time.

BACL use type 0 radar signal to test the channel move time and channel closing transmission time.

The aggregate channel closing transmission time is calculated as follows:

Aggregate Transmission Time = N \* Dwell Time

N is the number of spectrum analyzer bins showing a device transmission Dwell Time is the dwell time per bin (i.e. Dwell Time = S/B, S is the sweep time and B is the number of bin, i.e. 8192)

### 12.6 Test Equipment List and Details

Bacl No.	Manufacturer	Equipment Description	Model	S/N	Calibration Date	Calibration Interval
547	National Instruments	NI PXI-1042 8-Slot chassis	PXI-1042	V08X01EE1	N/A	N/A
-	National Instruments	Arbitrary Waveform Generator	PXI-5421	N/A	N/A	N/A
-	National Instruments	RF Upconverter	PXI-5610	N/A	N/A	N/A
-	ASCOR	Upconverter	AS-7206	N/A	N/A	N/A
424	Agilent	Analyzer, Spectrum	E4440A	US45303156	2022-12-19	1 year
188	Sunol Sciences	Antenna, Horn	DRH-118	A052704	2021-10-07	2 years
110	A. H. Systems	Antenna, Horn	SAS-200/571	261	Each Time	Each Time
-	-	RF Cable	-	-	Each Time	Each Time

Note<sup>1</sup>: cable and attenuator included in the test set-up will be checked each time before testing.

Statement of Traceability: BACL Corp. attests that all of the calibrations on the equipment items listed above were traceable to NIST or to another internationally recognized National Metrology Institute (NMI), and were compliant with the latest version of A2LA policy P102 "A2LA Policy on Metrological Traceability".

## 12.7 Test Environmental Conditions

Temperature:	20° C		
<b>Relative Humidity:</b>	30 %		
ATM Pressure:	102.9 kPa		

Testing was performed by Simon Ma on 2023-02-16 at the DFS testing site.

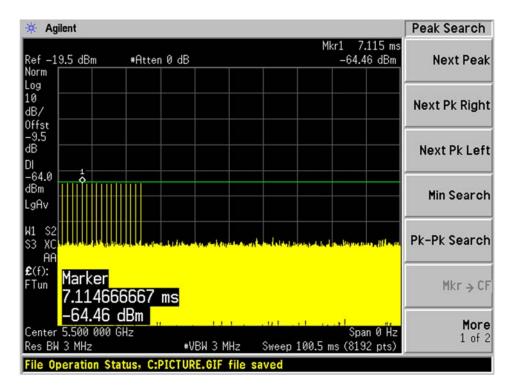
Report Number: R2302153-407 Page 65 of 72 FCC Part 15.407 Report

#### 12.8 Test Results

# **Plots of Radar Waveforms**

# Radar Type 0

#### 5500 MHz

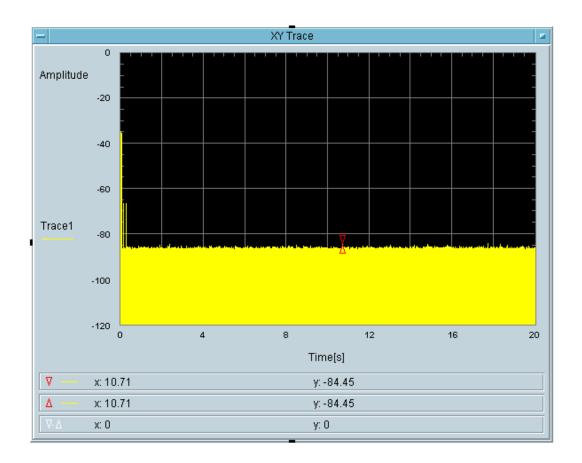


Frequency (MHz)	Bandwidth (MHz)	Radar Type	Results
5500	20	Type 0	Compliant

Report Number: R2302153-407 Page 66 of 72 FCC Part 15.407 Report

### 5500 MHz 20 MHz Bandwidth

# Type 0 radar channel move time less than 10s result:



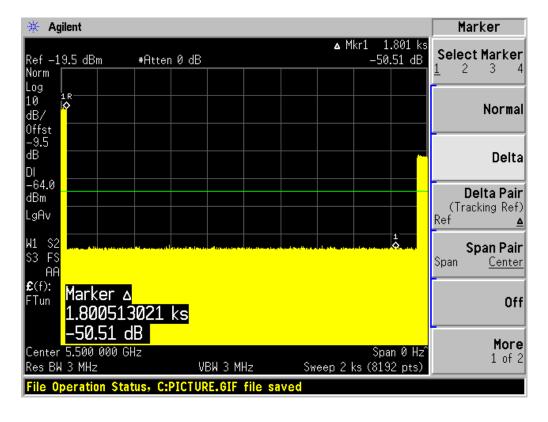
Type 0 radar channel closing transmission time result:

Channel closing transmitting time (ms)	Limit (ms)	Result	
29.3+2.441	200	Pass	



# Non-occupancy Time

# 5500 MHz for 20 MHz channel bandwidth



oku, Inc.		FCC ID: TC2-R1032
3 Annex A (Normative) - EU	JT Test Setup Photographs	
<u> </u>		
lease refer to the attachment.		

Report Number: R2302153-407 Page 69 of 72 FCC Part 15.407 Report

oku, Inc.	FCC ID: TC2-R1032
4 Annex F (Normative) - EUT External Phot	ogranhs
lease refer to the attachment.	

Report Number: R2302153-407 Page 70 of 72 FCC Part 15.407 Report

koku, Inc.		FCC ID: TC2-R1032
5 Annex G (Normative) - E	UT Internal Photographs	
o rimer o (riormative) E	The internal integraphs	
lease refer to the attachment.		

Report Number: R2302153-407 Page 71 of 72 FCC Part 15.407 Report

# 16 Annex H (Normative) - A2LA Electrical Testing Certificate



# **Accredited Laboratory**

A2LA has accredited

# BAY AREA COMPLIANCE LABORATORIES CORP.

Sunnyvale, CA

for technical competence in the field of

# **Electrical Testing**

This laboratory is accredited in accordance with the recognized International Standard ISO/IEC 17025:2017

General requirements for the competence of testing and calibration laboratories. This laboratory also meets A2LA R222

- Specific Requirements EPA ENERGY STAR Accreditation Program. This accreditation demonstrates technical competence for a defined scope and the operation of a laboratory quality management system (refer to joint ISO-ILAC-IAF Communiqué dated April 2017).



Presented this 21st day of December 2022.

Mr. Trace McInturff, Vice President, Accreditation Services For the Accreditation Council Certificate Number 3297.02 Valid to September 30, 2024

For the tests to which this accreditation applies, please refer to the laboratory's Electrical Scope of Accreditation.

Please follow the web link below for a full ISO 17025 scope

https://www.a2la.org/scopepdf/3297-02.pdf

--- END OF REPORT ---