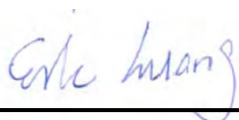


FCC SAR Test Report

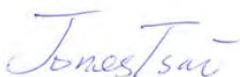
APPLICANT : ZTE CORPORATION
EQUIPMENT : DC-HSPA+ USB Modem
BRAND NAME : ZTE
MODEL NAME : K4607-Z
FCC ID : SRQ-K4607-Z
STANDARD : FCC 47 CFR Part 2 (2.1093)
ANSI/IEEE C95.1-1992
IEEE 1528-2003

The product was testing completed on Apr. 12, 2014. We, SPORTON INTERNATIONAL (XI'AN) INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and shown the compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL (XI'AN) INC., the test report shall not be reproduced except in full.



Reviewed by: Eric Huang / Deputy Manager



Approved by: Jones Tsai / Manager



SPORTON INTERNATIONAL (XI'AN) INC.

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Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA440105	Rev. 01	Initial issue of report	Apr. 23, 2014



1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **ZTE CORPORATION DC-HSPA+ USB Modem, K4607-Z** are as follows.

<Highest SAR Summary>

Exposure Position	Frequency Band	Reported 1g-SAR (W/kg)	Equipment Class	Highest Reported 1g-SAR (W/kg)
Body (0.5cm Gap)	GSM850	0.68	PCB	1.33
	GSM1900	0.73		
	WCDMA Band II	1.33		

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2003.

2. Administration Data

2.1 Testing Laboratory

Test Site	SPORTON INTERNATIONAL (XI'AN) INC.
Test Site Location	1F, Building A3, No. 39 Chuangye Rd., Xi'an Hi-tech Zone, Shanxi Province, P. R. C. TEL: +86-029-8860-8767 FAX: +86-029-8860-8791

2.2 Applicant

Company Name	ZTE CORPORATION
Address	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

2.3 Manufacturer

Company Name	ZTE CORPORATION
Address	ZTE Plaza, Keji Road South, Hi-Tech Industrial Park, Nanshan District, Shenzhen, Guangdong, 518057, P.R.China

2.4 Application Details

Date of Start during the Test	Apr. 12, 2014
Date of End during the Test	Apr. 12, 2014

3. General Information

3.1 Description of Equipment Under Test (EUT)

Product Feature & Specification	
EUT	DC-HSPA+ USB Modem
Brand Name	ZTE
Model Name	K4607-Z
FCC ID	SRQ-K4607-Z
IMEI Code	352801060000721
Wireless Technology and Frequency Range	GSM850: 824.2 MHz ~ 848.8 MHz GSM1900: 1850.2 MHz ~ 1909.8 MHz WCDMA Band II: 1852.4 MHz ~ 1907.6 MHz
Mode	<ul style="list-style-type: none"> • GSM/GPRS/EGPRS • RMC 12.2Kbps • HSDPA Rel 8, Cat 24 • HSUPA Rel 7, Cat 7 • HSPA+ Rel 7, Cat 14 • DC-HSDPA Rel 8, Cat24
Antenna Type	WWAN: PCB Antenna
HW Version	dcnA
SW Version	BD_K4607V0.2_0314
EUT Stage	Identical Prototype
Remark: <ol style="list-style-type: none"> 1. The above EUT's information was declared by manufacturer. Please refer to the specifications or user's manual for more detailed description. 2. Voice call is not supported. 3. This device supports GRPS mode up to multi-slot class10 and EDGE mode up to multi-slot class12. 	

3.2 Maximum RF output power among production units

Burst Average Power (dBm)		
Mode / Band	GSM850	GSM1900
GPRS (GMSK, 1 Tx slot)	33.5	30
GPRS (GMSK, 2 Tx slots)	31	28
EDGE (8PSK, 1 Tx slot)	27	27
EDGE (8PSK, 2 Tx slots)	26	25
EDGE (8PSK, 3 Tx slots)	24	23
EDGE (8PSK, 4 Tx slots)	23	22

Maximum Target Power for Production Unit	
Mode / Band	WCDMA Band II
RMC 12.2K	22.5
HSDPA Subtest-1	21
HSDPA Subtest-2	21
HSDPA Subtest-3	21
HSDPA Subtest-4	21
DC-HSDPA Subtest-1	21
DC-HSDPA Subtest-2	21
DC-HSDPA Subtest-3	21
DC-HSDPA Subtest-4	21
HSUPA Subtest-1	20
HSUPA Subtest-2	19
HSUPA Subtest-3	20
HSUPA Subtest-4	19
HSUPA Subtest-5	21
HSPA+ (16QAM) Subtest-1	20

3.3 Applied Standard

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2003
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r03
- FCC KDB 865664 D02 SAR Reporting v01r01
- FCC KDB 447498 D01 General RF Exposure Guidance v05r02
- FCC KDB 447498 D02 SAR Procedures for Dongle Xmtr v02
- FCC KDB 941225 D01 SAR test for 3G devices v02
- FCC KDB 941225 D02 HSPA and 1x Advanced v02r02
- FCC KDB 941225 D03 SAR Test Reduction GSM GPRS EDGE v01

3.4 Device Category and SAR Limits

This device belongs to portable device category because its radiating structure is allowed to be used within 20 centimeters of the body of the user. Limit for General Population/Uncontrolled exposure should be applied for this device, it is 1.6 W/kg as averaged over any 1 gram of tissue.

3.5 Test Conditions

3.5.1 Ambient Condition

Ambient Temperature	20 to 24 °C
Humidity	< 60 %

3.5.2 Test Configuration

For WWAN SAR testing, the device was controlled by using a base station emulator. Communication between the device and the emulator was established by air link. The distance between the EUT and the antenna of the emulator is larger than 50 cm and the output power radiated from the emulator antenna is at least 30 dB smaller than the output power of EUT.

4. Specific Absorption Rate (SAR)

4.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

4.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$SAR = \frac{d}{dt} \left(\frac{dW}{dm} \right) = \frac{d}{dt} \left(\frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = C \left(\frac{\delta T}{\delta t} \right)$$

Where: C is the specific heat capacity, δT is the temperature rise and δt is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.

However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

5. SAR Measurement System

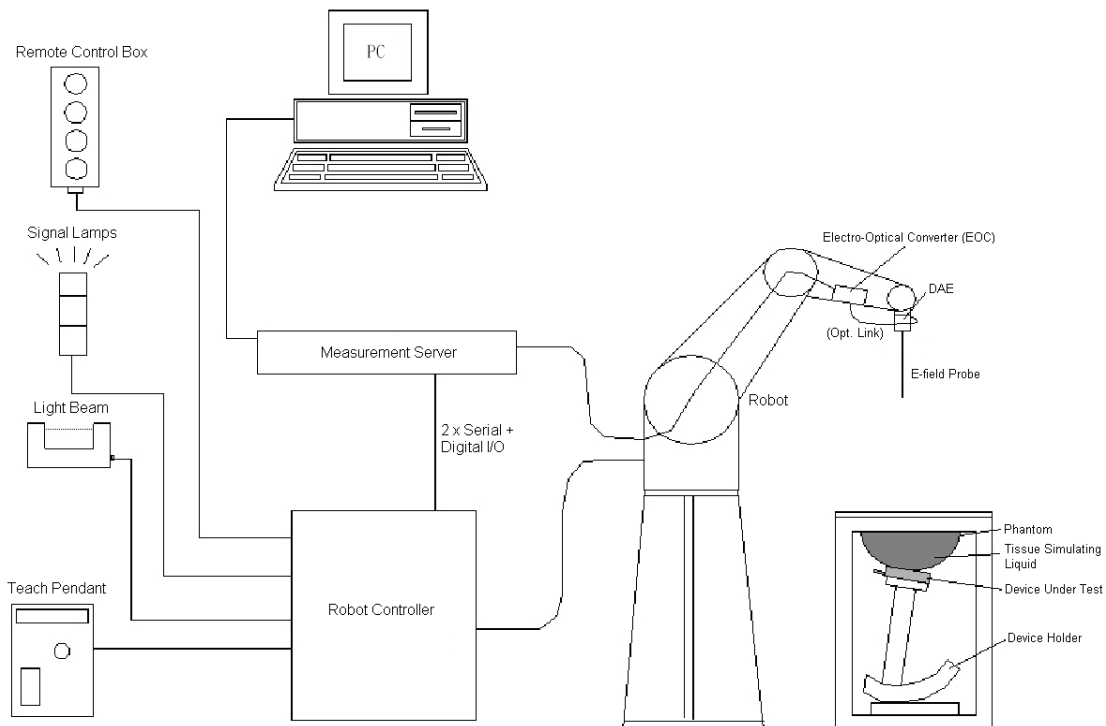


Fig 5.1 SPEAG DASY System Configurations

The DASY system for performance compliance tests is illustrated above graphically. This system consists of the following items:

- A standard high precision 6-axis robot with controller, a teach pendant and software
- A data acquisition electronic (DAE) attached to the robot arm extension
- A dosimetric probe equipped with an optical surface detector system
- The electro-optical converter (EOC) performs the conversion between optical and electrical signals
- A measurement server performs the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- A probe alignment unit which improves the accuracy of the probe positioning
- A computer operating Windows XP
- DASY software
- Remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.
- The SAM twin phantom
- A device holder
- Tissue simulating liquid
- Dipole for evaluating the proper functioning of the system

Component details are described in in the following sub-sections.

5.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

5.1.1 E-Field Probe Specification

<EX3DV4 Probe>

Construction	Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)
Frequency	10 MHz to 6 GHz; Linearity: ± 0.2 dB
Directivity	± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis)
Dynamic Range	10 μ W/g to 100 mW/g; Linearity: ± 0.2 dB (noise: typically < 1 μ W/g)
Dimensions	Overall length: 330 mm (Tip: 20 mm) Tip diameter: 2.5 mm (Body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm

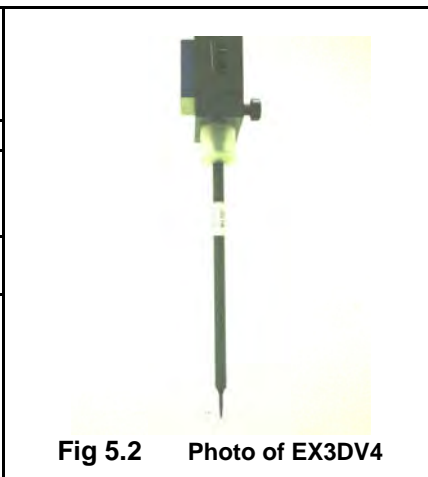


Fig 5.2 Photo of EX3DV4

5.1.2 E-Field Probe Calibration

Each probe needs to be calibrated according to a dosimetric assessment procedure with accuracy better than $\pm 10\%$. The spherical isotropy shall be evaluated and within ± 0.25 dB. The sensitivity parameters (NormX, NormY, and NormZ), the diode compression parameter (DCP) and the conversion factor (ConvF) of the probe are tested. The calibration data can be referred to appendix C of this report.

5.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock. The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Fig 5.3 Photo of DAE

5.3 Robot

The SPEAG DASY system uses the high precision robots (DASY5: TX90XL) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version (DASY5: CS8c) from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability ± 0.035 mm)
- High reliability (industrial design)
- Jerk-free straight movements
- Low ELF interference (the closed metallic construction shields against motor control fields)



Fig 5.4 Photo of DASY5

5.4 Measurement Server

The measurement server is based on a PC/104 CPU board with CPU (DASY5: 400 MHz, Intel Celeron), chipdisk (DASY5: 128 MB), RAM (DASY5: 128 MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O board, which is directly connected to the PC/104 bus of the CPU board.

The measurement server performs all the real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operations.



Fig 5.5 Photo of Server for DASY5

5.5 Phantom

<SAM Twin Phantom>


Shell Thickness	2 ± 0.2 mm; Center ear point: 6 ± 0.2 mm	
Filling Volume	Approx. 25 liters	
Dimensions	Length: 1000 mm; Width: 500 mm; Height: adjustable feet	
Measurement Areas	Left Hand, Right Hand, Flat Phantom	

Fig 5.6 Photo of SAM Phantom

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

5.6 Device Holder

<Device Holder for SAM Twin Phantom>

The SAR in the phantom is approximately inversely proportional to the square of the distance between the source and the liquid surface. For a source at 5 mm distance, a positioning uncertainty of ± 0.5 mm would produce a SAR uncertainty of ± 20 %. Accurate device positioning is therefore crucial for accurate and repeatable measurements. The positions in which the devices must be measured are defined by the standards.

The DASY device holder is designed to cope with different positions given in the standard. It has two scales for the device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear reference points). The rotation center for both scales is the ear reference point (ERP). Thus the device needs no repositioning when changing the angles.

The DASY device holder is constructed of low-loss POM material having the following dielectric parameters: relative permittivity $\epsilon = 3$ and loss tangent $\delta = 0.02$. The amount of dielectric material has been reduced in the closest vicinity of the device, since measurements have suggested that the influence of the clamp on the test results could thus be lowered.



Fig 5.7 Device Holder

5.7 Data Storage and Evaluation

5.7.1 Data Storage

The DASY software stores the assessed data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all the necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files. The post-processing software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of erroneous parameter settings. For example, if a measurement has been performed with an incorrect crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be reevaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type (e.g., [V/m], [A/m], [mW/g]). Some of these units are not available in certain situations or give meaningless results, e.g., a SAR-output in a non-lose media, will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

5.7.2 Data Evaluation

The DASY post-processing software (SEMCAD) automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software :

Probe parameters :	- Sensitivity	Norm _i , a _{i0} , a _{i1} , a _{i2}
	- Conversion factor	ConvF _i
	- Diode compression point	dcp _i
Device parameters :	- Frequency	f
	- Crest factor	cf
Media parameters :	- Conductivity	σ
	- Density	ρ

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY components. In the direct measuring mode of the multi-meter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power.

The formula for each channel can be given as :

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

with V_i = compensated signal of channel i, (i = x, y, z)
 U_i = input signal of channel i, (i = x, y, z)
 cf = crest factor of exciting field (DASY parameter)
 dcp_i = diode compression point (DASY parameter)

From the compensated input signals, the primary field data for each channel can be evaluated :

$$\text{E-field Probes : } E_i = \sqrt{\frac{V_i}{\text{Norm}_i \cdot \text{ConvF}}}$$

$$\text{H-field Probes : } H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1}f + a_{i2}f^2}{f}$$

with V_i = compensated signal of channel i, (i = x, y, z)
 Norm_i = sensor sensitivity of channel i, (i = x, y, z), $\mu\text{V}/(\text{V/m})^2$ for E-field Probes
 ConvF = sensitivity enhancement in solution
 a_{ij} = sensor sensitivity factors for H-field probes
 f = carrier frequency [GHz]
 E_i = electric field strength of channel i in V/m
 H_i = magnetic field strength of channel i in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude) :

$$E_{\text{tot}} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$\text{SAR} = E_{\text{tot}}^2 \cdot \frac{\sigma}{\rho \cdot 1000}$$

with SAR = local specific absorption rate in mW/g
 E_{tot} = total field strength in V/m
 σ = conductivity in [mho/m] or [Siemens/m]
 ρ = equivalent tissue density in g/cm^3

Note that the density is set to 1, to account for actual head tissue density rather than the density of the tissue simulating liquid.

5.8 Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	835MHz System Validation Kit	D835V2	4d151	Mar. 25, 2013	Mar. 23, 2015
SPEAG	1900MHz System Validation Kit	D1900V2	5d170	Mar. 27, 2013	Mar. 25, 2015
SPEAG	Data Acquisition Electronics	DAE4	1353	Jan. 30, 2014	Jan. 29, 2015
SPEAG	Dosimetric E-Field Probe	EX3DV4	3898	Mar.10, 2014	Mar.09, 2015
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR
Agilent	Wireless Communication Test Set	E5515C	MY52102600	Dec. 30, 2013	Dec. 29, 2014
Agilent	Wireless Communication Test Set	E5515C	MY50266977	May 06, 2013	May 05, 2014
Agilent	ENA Series Network Analyzer	E5071C	MY46111157	Apr. 22, 2013	Apr. 21, 2014
Agilent	Dielectric Probe Kit	85070E	MY44300751	NCR	NCR
Anritsu	Power Meter	ML2495A	1005002	Feb. 27, 2014	Feb. 26, 2015
Anritsu	Power Sensor	MA2411B	917070	Feb. 27, 2014	Feb. 26, 2015
SPEAG	SAM Twin Phantom	QD 000 P40 CD	TP-1753	NCR	NCR
SPEAG	SAM Twin Phantom	QD 000 P40 CD	TP-1754	NCR	NCR
Agilent	Dual Directional Coupler	778D	50422	Note 4	
Woken	Attenuator 1	WK0602-XX	N/A	Note 4	
PE	Attenuator 2	PE7005-10	N/A	Note 4	
PE	Attenuator 3	PE7005- 3	N/A	Note 4	
AR	Power Amplifier	5S1G4M2	328767	Note 5	
R&S	Spectrum Analyzer	FSP7	101045	Dec. 30, 2013	Dec. 29, 2014

Table 5.1 Test Equipment List

Note:

1. The calibration certificate of DASY can be referred to appendix C of this report.
2. Referring to KDB 865664 D01v01r03, the dipole calibration interval can be extended to 3 years with justification. The dipoles are also not physically damaged, or repaired during the interval.
3. The justification data of dipole D835V2, SN: 4d151, D1900V2, SN: 5d170, can be found in appendix C. The return loss is < -20dB, within 20% of prior calibration, the impedance is within 5 ohm of prior calibration.
4. The Insertion Loss calibration of Dual Directional Coupler and Attenuator were characterized via the network analyzer and compensated during system check.
5. In system check we need to monitor the level on the power meter, and adjust the power amplifier level to have precise power level to the dipole; the measured SAR will be normalized to 1W input power according to the ratio of 1W to the input power to the dipole. For system check, the calibration of the power amplifier is deemed not critically required for correct measurement; the power meter is critical and we do have calibration for it
6. Attenuator 1 insertion loss is calibrated by the network Analyzer, which the calibration is valid, before system check.

6. Tissue Simulating Liquids

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 6.1.



Fig 6.1 Photo of Liquid Height for Body SAR

The following table gives the recipes for tissue simulating liquid.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (ϵ_r)
For Body								
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3

Table 6.1 Recipes of Tissue Simulating Liquid

The dielectric parameters of the liquids were verified prior to the SAR evaluation using an Agilent 85070E Dielectric Probe Kit and an Agilent Network Analyzer.

The following table shows the measuring results for simulating liquid.

Frequency (MHz)	Tissue Type	Liquid Temp. (°C)	Conductivity (σ)	Permittivity (ϵ_r)	Conductivity Target (σ)	Permittivity Target (ϵ_r)	Delta (σ) (%)	Delta (ϵ_r) (%)	Limit (%)	Date
850	Body	22.5	0.974	54.111	0.97	55.2	0.41	-1.97	±5	2014.04.12
1900	Body	22.7	1.501	53.849	1.52	53.3	-1.25	1.03	±5	2014.04.12

Table 6.2 Measuring Results for Simulating Liquid

7. System Verification Procedures

Each DASY system is equipped with one or more system validation kits. These units, together with the predefined measurement procedures within the DASY software, enable the user to conduct the system performance check and system validation. System validation kit includes a dipole, tripod holder to fix it underneath the flat phantom and a corresponding distance holder.

7.1 Purpose of System Performance check

The system performance check verifies that the system operates within its specifications. System and operator errors can be detected and corrected. It is recommended that the system performance check be performed prior to any usage of the system in order to guarantee reproducible results. The system performance check uses normal SAR measurements in a simplified setup with a well characterized source. This setup was selected to give a high sensitivity to all parameters that might fail or vary over time. The system check does not intend to replace the calibration of the components, but indicates situations where the system uncertainty is exceeded due to drift or failure.

7.2 System Setup

In the simplified setup for system evaluation, the EUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:

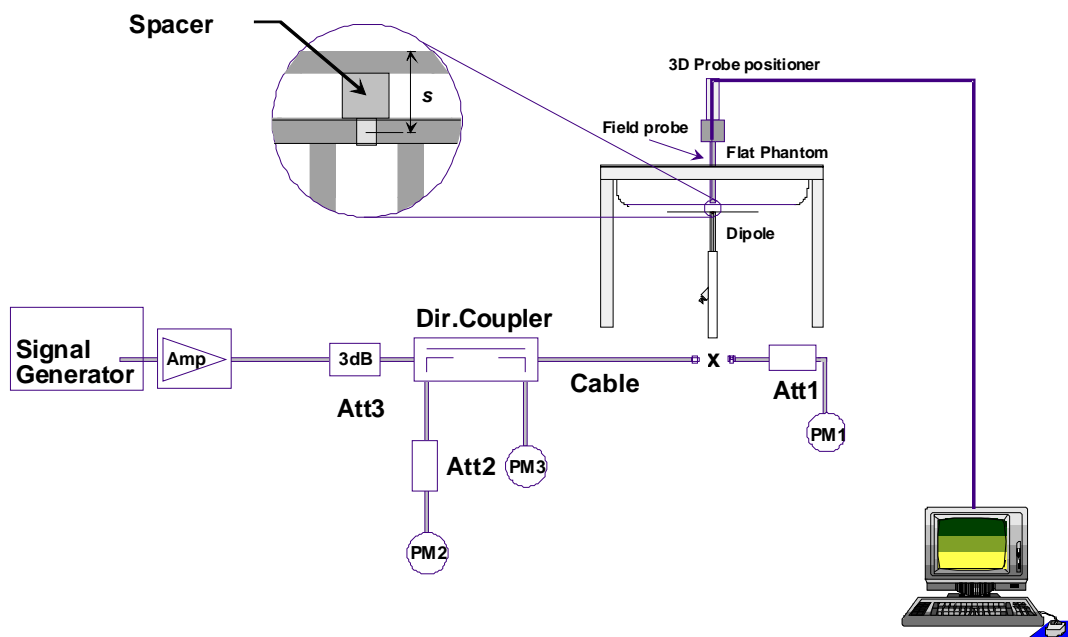


Fig 7.1 System Setup for System Evaluation

1. Signal Generator
2. Amplifier
3. Directional Coupler
4. Power Meter
5. Calibrated Dipole



Fig 7.2 Photo of Dipole Setup

7.3 SAR System Verification Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Table 7.1 shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured SAR (W/kg)	Targeted SAR (W/kg)	Normalized SAR (W/kg)	Deviation (%)
2014.04.12	850	Body	250	4d151	3898	1353	2.27	9.43	9.08	-3.71
2014.04.12	1900	Body	250	5d170	3898	1353	10.20	41.20	40.8	-0.97

Table 7.1 Target and Measurement SAR after Normalized

8. EUT Testing Position

This EUT was tested in five different USB configurations. They are “direct laptop plug-in for configuration 2 and 4”, “USB cable plug-in for configuration 1 and 3”, and “USB cable plug-in for Tip Mode (the tip of the EUT)” shown as below. Both direct laptop plug-in and USB cable plug-in test configurations are tested with 5 mm separation between the particular dongle orientation and the flat phantom. Please refer to Appendix D for the test setup photos.

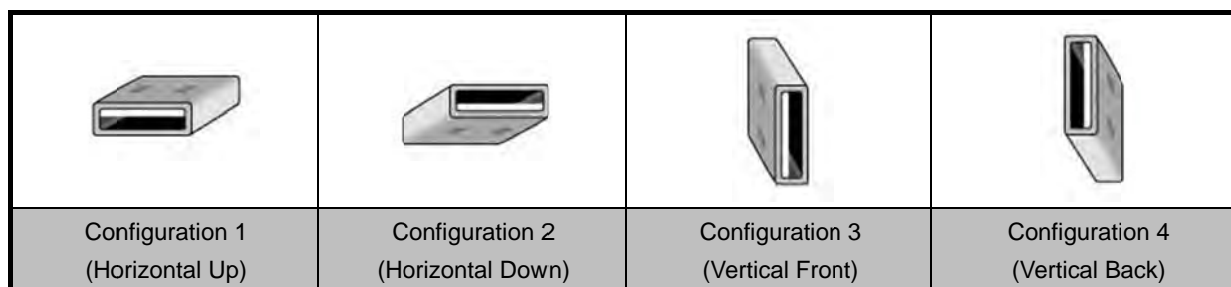


Fig 8.1 Illustration for USB Connector Orientations

9. Measurement Procedures

The measurement procedures are as follows:

<Conducted power measurement>

- (a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
- (b) Read the WWAN RF power level from the base station simulator.

<SAR measurement>

- (a) Use base station simulator to configure EUT WWAN transmission in radiated connection, at maximum RF power, in the highest power channel.
- (b) Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- (e) Find out the largest SAR result on these testing positions of each band
- (f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

9.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values from the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

9.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

9.3 Area & Zoom Scan Procedures

First Area Scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an Area Scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, Zoom Scan is required. The Zoom Scan is performed around the highest E-field value to determine the averaged SAR-distribution over 10 g. Area scan and zoom scan resolution setting follows KDB 865664 D01v01r03 quoted below.

When the 1-g SAR of the highest peak is within 2 dB of the SAR limit, additional zoom scans are required for other peaks within 2 dB of the highest peak that have not been included in any zoom scan to ensure there is no increase in SAR.

			≤ 3 GHz	> 3 GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface			5 ± 1 mm	$\frac{1}{2} \delta \ln(2) \pm 0.5$ mm
Maximum probe angle from probe axis to phantom surface normal at the measurement location			$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
Maximum area scan spatial resolution: $\Delta x_{\text{Area}}, \Delta y_{\text{Area}}$			≤ 2 GHz: ≤ 15 mm 2 – 3 GHz: ≤ 12 mm	3 – 4 GHz: ≤ 12 mm 4 – 6 GHz: ≤ 10 mm
			When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be \leq the corresponding x or y dimension of the test device with at least one measurement point on the test device.	
Maximum zoom scan spatial resolution: $\Delta x_{\text{Zoom}}, \Delta y_{\text{Zoom}}$			≤ 2 GHz: ≤ 8 mm 2 – 3 GHz: ≤ 5 mm*	3 – 4 GHz: ≤ 5 mm* 4 – 6 GHz: ≤ 4 mm*
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: $\Delta z_{\text{Zoom}}(n)$		≤ 5 mm	3 – 4 GHz: ≤ 4 mm 4 – 5 GHz: ≤ 3 mm 5 – 6 GHz: ≤ 2 mm
	graded grid	$\Delta z_{\text{Zoom}}(1)$: between 1 st two points closest to phantom surface	≤ 4 mm	3 – 4 GHz: ≤ 3 mm 4 – 5 GHz: ≤ 2.5 mm 5 – 6 GHz: ≤ 2 mm
		$\Delta z_{\text{Zoom}}(n>1)$: between subsequent points	$\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$	
Minimum zoom scan volume	x, y, z		≥ 30 mm	3 – 4 GHz: ≥ 28 mm 4 – 5 GHz: ≥ 25 mm 5 – 6 GHz: ≥ 22 mm
Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.				
* When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is ≤ 1.4 W/kg, ≤ 8 mm, ≤ 7 mm and ≤ 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.				

9.4 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

9.5 SAR Averaged Methods

In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5 mm.

9.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

10. Conducted RF Output Power (Unit: dBm)

<GSM Conducted Power>

Note:

1. Per KDB 447498 D01v05r02, the maximum output power channel is used for SAR testing and for further SAR test reduction.
2. For body SAR testing, the EUT was set in GPRS 2 Tx slots for GSM850 and GSM1900.

Band GSM850	Burst Average Power (dBm)			Tune-up Limit (dBm)	Frame-Average Power (dBm)			Tune-up Limit (dBm)
TX Channel	128	189	251		128	189	251	
Frequency (MHz)	824.2	836.4	848.8		824.2	836.4	848.8	
GPRS (GMSK, 1 Tx slot)	32.95	33.10	33.33	33.5	23.95	24.10	24.33	24.5
GPRS (GMSK, 2 Tx slots)	30.33	30.38	30.41	31	24.33	24.38	24.41	25
EDGE (8PSK, 1 Tx slot)	26.31	26.25	26.33	27	17.31	17.25	17.33	18
EDGE (8PSK, 2 Tx slots)	25.16	25.18	25.29	26	19.16	19.18	19.29	20
EDGE (8PSK, 3 Tx slots)	23.10	23.01	23.12	24	18.84	18.75	18.86	19.74
EDGE (8PSK, 4 Tx slots)	22.01	21.98	22.05	23	19.01	18.98	19.05	20
Band GSM1900	Burst Average Power (dBm)			Tune-up Limit (dBm)	Frame-Average Power (dBm)			Tune-up Limit (dBm)
TX Channel	512	661	810		512	661	810	
Frequency (MHz)	1850.2	1880	1909.8		1850.2	1880	1909.8	
GPRS (GMSK, 1 Tx slot)	29.46	29.53	29.58	30	20.46	20.53	20.58	21
GPRS (GMSK, 2 Tx slots)	27.10	27.12	27.71	28	21.10	21.12	21.71	22
EDGE (8PSK, 1 Tx slot)	25.81	26.07	26.21	27	16.81	17.07	17.21	18
EDGE (8PSK, 2 Tx slots)	24.73	24.75	24.84	25	18.73	18.75	18.84	19
EDGE (8PSK, 3 Tx slots)	22.20	22.21	22.77	23	17.94	17.95	18.51	18.74
EDGE (8PSK, 4 Tx slots)	21.16	21.18	21.24	22	18.16	18.18	18.24	19

Remark: The frame-averaged power is linearly scaled the maximum burst averaged power over 8 time slots.
The calculated method are shown as below:
Frame-averaged power = Maximum burst averaged power (1 Tx Slot) - 9 dB
Frame-averaged power = Maximum burst averaged power (2 Tx Slots) - 6 dB
Frame-averaged power = Maximum burst averaged power (3 Tx Slots) - 4.26 dB
Frame-averaged power = Maximum burst averaged power (4 Tx Slots) - 3 dB

<WCDMA Conducted Power>

The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification.

A summary of these settings are illustrated below:

HSDPA Setup Configuration:

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
 - i. Set Gain Factors (β_c and β_d) and parameters were set according to each
 - ii. Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121
 - iii. Set RMC 12.2Kbps + HSDPA mode.
 - iv. Set Cell Power = -86 dBm
 - v. Set HS-DSCH Configuration Type to FRC (H-set 1, QPSK)
 - vi. Select HSDPA Uplink Parameters
 - vii. Set Delta ACK, Delta NACK and Delta CQI = 8
 - viii. Set Ack-Nack Repetition Factor to 3
 - ix. Set CQI Feedback Cycle (k) to 4 ms
 - x. Set CQI Repetition Factor to 2
 - xi. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

Table C.10.1.4: β values for transmitter characteristics tests with HS-DPCCH

Sub-test	β_c	β_d	β_d (SF)	β_c/β_d	β_{hs} (Note 1, Note 2)	CM (dB) (Note 3)	MPR (dB) (Note 3)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15 (Note 4)	15/15 (Note 4)	64	12/15 (Note 4)	24/15	1.0	0.0
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	15/15	4/15	64	15/4	30/15	1.5	0.5

Note 1: Δ_{ACK} , Δ_{NACK} and $\Delta_{CQI} = 30/15$ with $\beta_{hs} = 30/15 * \beta_c$.

Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA, Δ_{ACK} and $\Delta_{NACK} = 30/15$ with $\beta_{hs} = 30/15 * \beta_c$, and $\Delta_{CQI} = 24/15$ with $\beta_{hs} = 24/15 * \beta_c$.

Note 3: CM = 1 for $\beta_c/\beta_d = 12/15$, $\beta_{hs}/\beta_c = 24/15$. For all other combinations of DPDCCH, DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the β_c/β_d ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 11/15$ and $\beta_d = 15/15$.

Setup Configuration

HSUPA Setup Configuration:

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting * :
 - i. Call Configs = 5.2B, 5.9B, 5.10B, and 5.13.2B with QPSK
 - ii. Set the Gain Factors (β_c and β_d) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.3, quoted from the TS 34.121
 - iii. Set Cell Power = -86 dBm
 - iv. Set Channel Type = 12.2k + HSPA
 - v. Set UE Target Power
 - vi. Power Ctrl Mode= Alternating bits
 - vii. Set and observe the E-TFCI
 - viii. Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

Table C.11.1.3: β values for transmitter characteristics tests with HS-DPCCH and E-DCH

Sub-test	β_c	β_d	β_d (SF)	β_c/β_d	β_{HS} (Note 1)	β_{ec}	β_{ed} (Note 5) (Note 6)	β_{ed} (SF)	β_{ed} (Codes)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 6)	E-TFCI
1	11/15 (Note 3)	15/15 (Note 3)	64	11/15 (Note 3)	22/15	209/225	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	β_{ed1} : 47/15 β_{ed2} : 47/15	4	2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15 (Note 4)	15/15 (Note 4)	64	15/15 (Note 4)	30/15	24/15	134/15	4	1	1.0	0.0	21	81

Note 1: Δ_{ACK} , Δ_{NACK} and $\Delta_{CQI} = 30/15$ with $\beta_{hs} = 30/15 * \beta_c$.

Note 2: CM = 1 for $\beta_c/\beta_d = 12/15$, $\beta_{hs}/\beta_c = 24/15$. For all other combinations of DPDCH, DPCCH, HS- DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3: For subtest 1 the β_c/β_d ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 10/15$ and $\beta_d = 15/15$.

Note 4: For subtest 5 the β_c/β_d ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 14/15$ and $\beta_d = 15/15$.

Note 5: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.

Note 6: β_{ed} can not be set directly, it is set by Absolute Grant Value.

Setup Configuration

HSPA+ 3GPP release 7 (uplink category 7) 16QAM, Setup Configuration:

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting * :
 - i. Call Configs = 5.2E:HSPA+:UL with 16QAM
 - ii. Set the Gain Factors (β_c and β_d) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.4, quoted from the TS 34.121-1 s5.2E
 - iii. Set Channel Params
 - iv. Set Cell Power = -86 dBm
 - v. Set Channel Type = HSPA
 - vi. Set UE Target Power = 21 dBm
 - vii. Power Ctrl Mode= All Up Bits
 - viii. Set Manual Uplink DPCH Bc/Bd = Manual
 - ix. Set Manual Uplink DPCH Bc and Bd=15,15(for 34.121-1 v8.10.0 table C11.1.4 sub-test 1)
 - x. Set HSPA Conn DL Channel Levels
 - xi. Set HS-SCCH Configs
 - xii. Set RB Test Mode Setup
 - xiii. Set Common HSUPA Parameters
 - xiv. Set Serving Grant
 - xv. Confirm that E-TFCI is equal to the target E-TFCI of 105 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

Table C.11.1.4: β values for transmitter characteristics tests with HS-DPCCH and E-DCH with 16QAM

Sub-test	β_c (Note 3)	β_d	β_{HS} (Note 1)	β_{ec}	β_{ed} (2xSF2) (Note 4)	β_{ed} (2xSF4) (Note 4)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 4)	E-TFCI (Note 5)	E-TFCI (boost)
1	1	0	30/15	30/15	β_{ed1} : 30/15 β_{ed2} : 30/15	β_{ed3} : 24/15 β_{ed4} : 24/15	3.5	2.5	14	105	105

Note 1: Δ_{ACK} , Δ_{NACK} and $\Delta_{CQI} = 30/15$ with $\beta_{ts} = 30/15 * \beta_c$.
 Note 2: CM = 3.5 and the MPR is based on the relative CM difference, MPR = MAX(CM-1,0).
 Note 3: DPDCH is not configured, therefore the β_c is set to 1 and $\beta_d = 0$ by default.
 Note 4: β_{ed} can not be set directly; it is set by Absolute Grant Value.
 Note 5: All the sub-tests require the UE to transmit 2SF2+2SF4 16QAM EDCH and they apply for UE using E-DPDCH category 7. E-DCH TTI is set to 2ms TTI and E-DCH table index = 2. To support these E-DCH configurations DPDCH is not allocated. The UE is signaled to use the extrapolation algorithm.

Setup Configuration

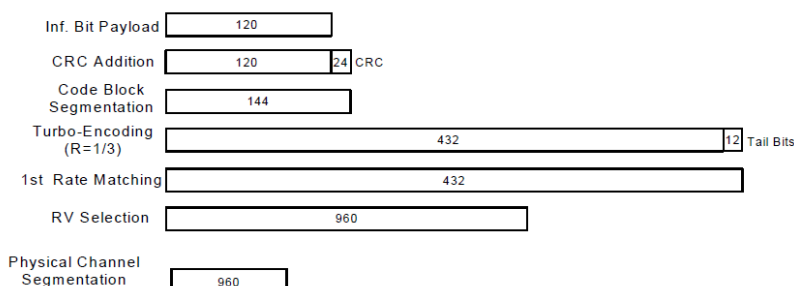
DC-HSDPA 3GPP release 8 Setup Configuration:

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration below
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
 - i. Set RMC 12.2Kbps + HSDPA mode.
 - ii. Set Cell Power = -25 dBm
 - iii. Set HS-DSCH Configuration Type to FRC (H-set 12, QPSK)
 - iv. Select HSDPA Uplink Parameters
 - v. Set Gain Factors (β_c and β_d) and parameters were set according to each Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121
 - a). Subtest 1: $\beta_c/\beta_d=2/15$
 - b). Subtest 2: $\beta_c/\beta_d=12/15$
 - c). Subtest 3: $\beta_c/\beta_d=15/8$
 - d). Subtest 4: $\beta_c/\beta_d=15/4$
 - vi. Set Delta ACK, Delta NACK and Delta CQI = 8
 - vii. Set Ack-Nack Repetition Factor to 3
 - viii. Set CQI Feedback Cycle (k) to 4 ms
 - ix. Set CQI Repetition Factor to 2
 - x. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification. A summary of these settings are illustrated below:

C.8.1.12 Fixed Reference Channel Definition H-Set 12
Table C.8.1.12: Fixed Reference Channel H-Set 12

Parameter	Unit	Value
Nominal Avg. Inf. Bit Rate	kbps	60
Inter-TTI Distance	TTI's	1
Number of HARQ Processes	Processes	6
Information Bit Payload (N_{INF})	Bits	120
Number Code Blocks	Blocks	1
Binary Channel Bits Per TTI	Bits	960
Total Available SML's in UE	SML's	19200
Number of SML's per HARQ Proc.	SML's	3200
Coding Rate		0.15
Number of Physical Channel Codes	Codes	1
Modulation		QPSK
Note 1: The RMC is intended to be used for DC-HSDPA mode and both cells shall transmit with identical parameters as listed in the table.		
Note 2: Maximum number of transmission is limited to 1, i.e., retransmission is not allowed. The redundancy and constellation version 0 shall be used.		


Figure C.8.19: Coding rate for Fixed reference Channel H-Set 12 (QPSK)
Setup Configuration

<WCDMA Conducted Power>
Note:

1. Applying the subtest setup in Table C.11.1.3 of 3GPP TS 34.121-1 V9.1.0 to Rel. 6 HSPA , in Table C11.1.4 to Rel. 7 HSPA+, and Table C.10.1.4 to DC-HSDPA.
2. By design, HSDPA/HSUPA/HSPA+/DC-HSDPA RF power will not be larger than RMC 12.2kbps, detailed information is included in Tune-up Procedure exhibit.
3. It is expected by the manufacturer that MPR for some HSDPA/HSUPA/HSPA+/ DC-HSDPA subtests may differ from the specification of 3GPP, according to the chipset implementation in this model. The implementation and expected deviation are detailed in tune-up procedure exhibit.
4. Per KDB 941225 D02v02r02, RMC 12.2kbps setting is used to evaluate SAR. If HSDPA/HSUPA/HSPA+/ DC-HSDPA output power is < 0.25dB higher than RMC, or reported SAR with RMC 12.2kbps setting is $\leq 1.2\text{W/kg}$, HSDPA/HSUPA/HSPA+/DC-HSDPA SAR evaluation can be excluded.

Band			WCDMA Band II			
Tx Channel			9262	9400	9538	Tune-up Limit (dBm)
Rx Channel			9662	9800	9938	
Frequency (MHz)			1852.4	1880	1907.6	
3GPP MPR (dB)	3GPP Rel 99	RMC 12.2Kbps	22.15	22.03	21.75	22.5
0	3GPP Rel 6	HSDPA Subtest-1	20.09	19.95	19.72	21
0	3GPP Rel 6	HSDPA Subtest-2	20.11	19.96	19.71	21
0.5	3GPP Rel 6	HSDPA Subtest-3	20.10	19.94	19.69	21
0.5	3GPP Rel 6	HSDPA Subtest-4	20.11	19.92	19.67	21
0	3GPP Rel 8	DC-HSDPA Subtest-1	20.07	19.93	19.70	21
0	3GPP Rel 8	DC-HSDPA Subtest-2	20.08	19.97	19.71	21
0.5	3GPP Rel 8	DC-HSDPA Subtest-3	20.10	19.92	19.70	21
0.5	3GPP Rel 8	DC-HSDPA Subtest-4	20.09	19.93	19.65	21
0	3GPP Rel 6	HSUPA Subtest-1	19.09	18.95	18.74	20
2	3GPP Rel 6	HSUPA Subtest-2	18.70	18.61	18.52	19
1	3GPP Rel 6	HSUPA Subtest-3	19.56	19.43	19.28	20
2	3GPP Rel 6	HSUPA Subtest-4	18.73	18.62	18.51	19
0	3GPP Rel 6	HSUPA Subtest-5	20.67	20.56	20.49	21
2.5	3GPP Rel 7	HSPA+ (16QAM) Subtest-1	19.75	19.49	19.44	20



11. SAR Test Results

Note:

1. Per KDB 447498 D01v05r02, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance.
 - a. Tune-up scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.
 - b. For WWAN: Reported SAR(W/kg)= Measured SAR(W/kg)*Tune-up Scaling Factor
2. Per KDB 447498 D01v05r02, for each exposure position, if the highest output channel reported SAR ≤ 0.8 W/kg, other channels SAR testing is not necessary.

11.1 Body SAR

<GSM SAR>

Plot No.	Band	Mode	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	GSM850	GPRS (GMSK 2 Tx slot)	Horizontal Up	0.5	251	848.8	30.41	31	1.146	0.01	0.498	0.570
01	GSM850	GPRS (GMSK 2 Tx slot)	Horizontal Down	0.5	251	848.8	30.41	31	1.146	0.09	0.596	0.683
	GSM850	GPRS (GMSK 2 Tx slot)	Vertical Front	0.5	251	848.8	30.41	31	1.146	0.03	0.107	0.123
	GSM850	GPRS (GMSK 2 Tx slot)	Vertical Back	0.5	251	848.8	30.41	31	1.146	0.09	0.452	0.518
	GSM850	GPRS (GMSK 2 Tx slot)	Tip mode	0.5	251	848.8	30.41	31	1.146	0.09	0.00409	0.005
02	GSM1900	GPRS (GMSK 2 Tx slot)	Horizontal Up	0.5	810	1909.8	27.71	28	1.069	0.09	0.687	0.734
	GSM1900	GPRS (GMSK 2 Tx slot)	Horizontal Down	0.5	810	1909.8	27.71	28	1.069	0.08	0.68	0.727
	GSM1900	GPRS (GMSK 2 Tx slot)	Vertical Front	0.5	810	1909.8	27.71	28	1.069	0.03	0.388	0.415
	GSM1900	GPRS (GMSK 2 Tx slot)	Vertical Back	0.5	810	1909.8	27.71	28	1.069	0.06	0.366	0.391
	GSM1900	GPRS (GMSK 2 Tx slot)	Tip mode	0.5	810	1909.8	27.71	28	1.069	0.16	0.035	0.037

<WCDMA SAR>

Plot No.	Band	Mode	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
03	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9262	1852.4	22.15	22.5	1.084	0.04	1.23	1.333
	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9262	1852.4	22.15	22.5	1.084	0.02	0.988	1.071
	WCDMA Band II	RMC12.2K	Vertical Front	0.5	9262	1852.4	22.15	22.5	1.084	0.01	0.819	0.888
	WCDMA Band II	RMC12.2K	Vertical Back	0.5	9262	1852.4	22.15	22.5	1.084	0.16	0.621	0.673
	WCDMA Band II	RMC12.2K	Tip mode	0.5	9262	1852.4	22.15	22.5	1.084	0.1	0.086	0.093
	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9400	1880	22.03	22.5	1.114	0.02	1.09	1.215
	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9538	1907.6	21.75	22.5	1.189	0.07	0.769	0.914
	WCDMA Band II	RMC12.2K	Vertical Front	0.5	9400	1880	22.03	22.5	1.114	0.16	0.735	0.819
	WCDMA Band II	RMC12.2K	Vertical Front	0.5	9538	1907.6	21.75	22.5	1.189	0.18	0.521	0.619
	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9400	1880	22.03	22.5	1.114	0.09	1.02	1.137
	WCDMA Band II	RMC12.2K	Horizontal Down	0.5	9538	1907.6	21.75	22.5	1.189	0.05	0.903	1.073

11.2 Repeated SAR Measurement

Plot No.	Band	Mode	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Ratio	Report ed 1g SAR (W/kg)
03	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9262	1852.4	22.15	22.5	1.084	0.04	1.23	1	1.333
04	WCDMA Band II	RMC12.2K	Horizontal Up	0.5	9262	1852.4	22.15	22.5	1.084	0.06	1.2	1.025	1.301

Note:

1. Per KDB 865664 D01v01r03, for each frequency band, repeated SAR measurement is required only when the measured SAR is $\geq 0.8\text{W/kg}$
2. Per KDB 865664 D01v01r03, if the ratio among the repeated measurement is ≤ 1.2 and the measured SAR $< 1.45\text{W/kg}$, only one repeated measurement is required.
3. The ratio is the largest SAR to the smallest SAR among original and repeated measurement.
4. All measurement SAR result is scaled-up to account for tune-up tolerance and is compliant.

12. Simultaneous Transmission Analysis

No.	Applicable Simultaneous Transmission Combination
1.	None

Test Engineer : Ken Li and Ken Li

13. Uncertainty Assessment

The component of uncertainty may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainty by the statistical analysis of a series of observations is termed a Type A evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in Table 14.1

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor ^(a)	1/ κ ^(b)	1/ $\sqrt{3}$	1/ $\sqrt{6}$	1/ $\sqrt{2}$

(a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity

(b) κ is the coverage factor

Table 13.1 Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

Error Description	Uncertainty Value (±%)	Probability Distribution	Divisor	Ci (1g)	Ci (10g)	Standard Uncertainty (1g)	Standard Uncertainty (10g)
Measurement System							
Probe Calibration	6.0	Normal	1	1	1	± 6.0 %	± 6.0 %
Axial Isotropy	4.7	Rectangular	√3	0.7	0.7	± 1.9 %	± 1.9 %
Hemispherical Isotropy	9.6	Rectangular	√3	0.7	0.7	± 3.9 %	± 3.9 %
Boundary Effects	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Linearity	4.7	Rectangular	√3	1	1	± 2.7 %	± 2.7 %
System Detection Limits	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Readout Electronics	0.3	Normal	1	1	1	± 0.3 %	± 0.3 %
Response Time	0.8	Rectangular	√3	1	1	± 0.5 %	± 0.5 %
Integration Time	2.6	Rectangular	√3	1	1	± 1.5 %	± 1.5 %
RF Ambient Noise	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
RF Ambient Reflections	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
Probe Positioner	0.4	Rectangular	√3	1	1	± 0.2 %	± 0.2 %
Probe Positioning	2.9	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
Max. SAR Eval.	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Test Sample Related							
Device Positioning	2.9	Normal	1	1	1	± 2.9 %	± 2.9 %
Device Holder	3.6	Normal	1	1	1	± 3.6 %	± 3.6 %
Power Drift	5.0	Rectangular	√3	1	1	± 2.9 %	± 2.9 %
Phantom and Setup							
Phantom Uncertainty	4.0	Rectangular	√3	1	1	± 2.3 %	± 2.3 %
Liquid Conductivity (Target)	5.0	Rectangular	√3	0.64	0.43	± 1.8 %	± 1.2 %
Liquid Conductivity (Meas.)	2.5	Normal	1	0.64	0.43	± 1.6 %	± 1.1 %
Liquid Permittivity (Target)	5.0	Rectangular	√3	0.6	0.49	± 1.7 %	± 1.4 %
Liquid Permittivity (Meas.)	2.5	Normal	1	0.6	0.49	± 1.5 %	± 1.2 %
Combined Standard Uncertainty						± 11.0 %	± 10.8 %
Coverage Factor for 95 %						K=2	
Expanded Uncertainty						± 22.0 %	± 21.5 %

Table 13.2 Uncertainty Budget for frequency range 300 MHz to 3 GHz

14. References

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] ANSI/IEEE Std. C95.1-1992, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", September 1992
- [3] IEEE Std. 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- [4] SPEAG DASY System Handbook
- [5] FCC KDB 447498 D01 v05r02, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", Feb 2014
- [6] FCC KDB 447498 D02 v02, "SAR Measurement Procedures for USB Dongle Transmitters", Nov 2009
- [7] FCC KDB 941225 D01 v02, "SAR Measurement Procedures for 3G Devices – CDMA 2000 / Ev-Do / WCDMA / HSDPA / HSPA", October 2007
- [8] FCC KDB 941225 D02 v02r02, "SAR Guidance for HSPA, HSPA+, DC-HSDPA and 1x-Advanced", May 2013.
- [9] FCC KDB 941225 D03 v01, "Recommended SAR Test Reduction Procedures for GSM / GPRS / EDGE", December 2008
- [10] FCC KDB 865664 D01 v01r03, "SAR Measurement Requirements for 100 MHz to 6 GHz", Feb 2014.
- [11] FCC KDB 865664 D02 v01r01, "RF Exposure Compliance Reporting and Documentation Considerations" May 2013.



Appendix A. Plots of System Performance Check

The plots are shown as follows.

System Check_Body_835MHz_140412

DUT: D835V2 - SN:4d151

Communication System: CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium: MSL_835_140412 Medium parameters used: $f = 835 \text{ MHz}$; $\sigma = 0.974 \text{ S/m}$; $\epsilon_r = 54.111$; $\rho = 1000 \text{ kg/m}^3$

Ambient Temperature : $23.5 \text{ }^\circ\text{C}$; Liquid Temperature : $22.5 \text{ }^\circ\text{C}$

DASY5 Configuration:

- Probe: EX3DV4 - SN3898; ConvF(9.63, 9.63, 9.63); Calibrated: 2014/3/10;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1353; Calibrated: 2014/1/30
- Phantom: SAM1; Type: QD000P40CD; Serial: TP:1753
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.6.8 (7028)

Pin=250mW/Area Scan (61x61x1): Interpolated grid: $dx=15\text{mm}$, $dy=15\text{mm}$

Maximum value of SAR (interpolated) = 2.45 W/kg

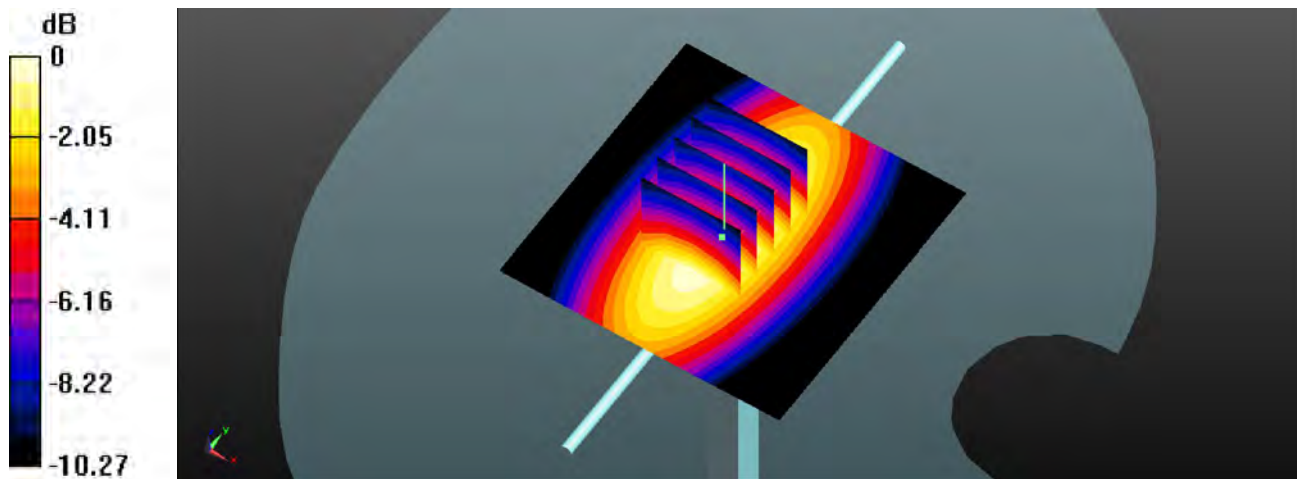
Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: $dx=8\text{mm}$, $dy=8\text{mm}$, $dz=5\text{mm}$

Reference Value = 50.121 V/m ; Power Drift = 0.02 dB

Peak SAR (extrapolated) = 3.31 W/kg

SAR(1 g) = 2.27 W/kg ; SAR(10 g) = 1.5 W/kg

Maximum value of SAR (measured) = 2.43 W/kg



0 dB = 2.43 W/kg

System Check_Body_1900MHz_140412

DUT: D1900V2 - SN: 5d170

Communication System: CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium: MSL_1900_140412 Medium parameters used: $f = 1900$ MHz; $\sigma = 1.501$ S/m; $\epsilon_r = 53.849$; $\rho = 1000$ kg/m³

Ambient Temperature : 23.6 °C; Liquid Temperature : 22.7 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3898; ConvF(7.83, 7.83, 7.83); Calibrated: 2014/3/10;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1353; Calibrated: 2014/1/30
- Phantom: SAM1; Type: QD000P40CD; Serial: TP:1753
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.6.8 (7028)

Pin=250mW/Area Scan (61x61x1): Interpolated grid: dx=15mm, dy=15mm

Maximum value of SAR (interpolated) = 14.6 W/kg

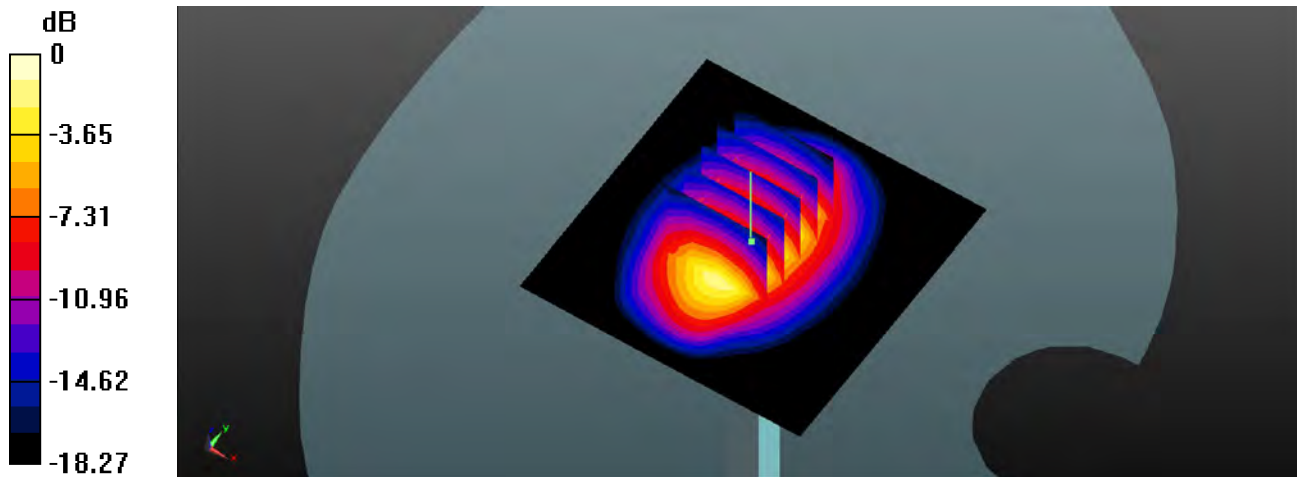
Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 86.965 V/m; Power Drift = 0.02 dB

Peak SAR (extrapolated) = 18.5 W/kg

SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.29 W/kg

Maximum value of SAR (measured) = 14.6 W/kg



0 dB = 14.6 W/kg



Appendix B. Plots of SAR Measurement

The plots are shown as follows.

23'GSM850_GPRS (GMSK 2 Tx slot)_Horizontal Down_0.5cm_Ch251

Communication System: GPRS (GMSK 2 Tx slot); Frequency: 848.8 MHz; Duty Cycle: 1:4

Medium: MSL_835_140412 Medium parameters used: $f = 848.8$ MHz; $\sigma = 0.987$ S/m; $\epsilon_r = 53.983$; $\rho = 1000$ kg/m³

Ambient Temperature : 23.5 °C ; Liquid Temperature : 22.5 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3898; ConvF(9.63, 9.63, 9.63); Calibrated: 2014/3/10;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1353; Calibrated: 2014/1/30
- Phantom: SAM1; Type: QD000P40CD; Serial: TP:1753
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.6.8 (7028)

Ch251/Area Scan (41x81x1): Interpolated grid: dx=15mm, dy=15mm

Maximum value of SAR (interpolated) = 0.774 W/kg

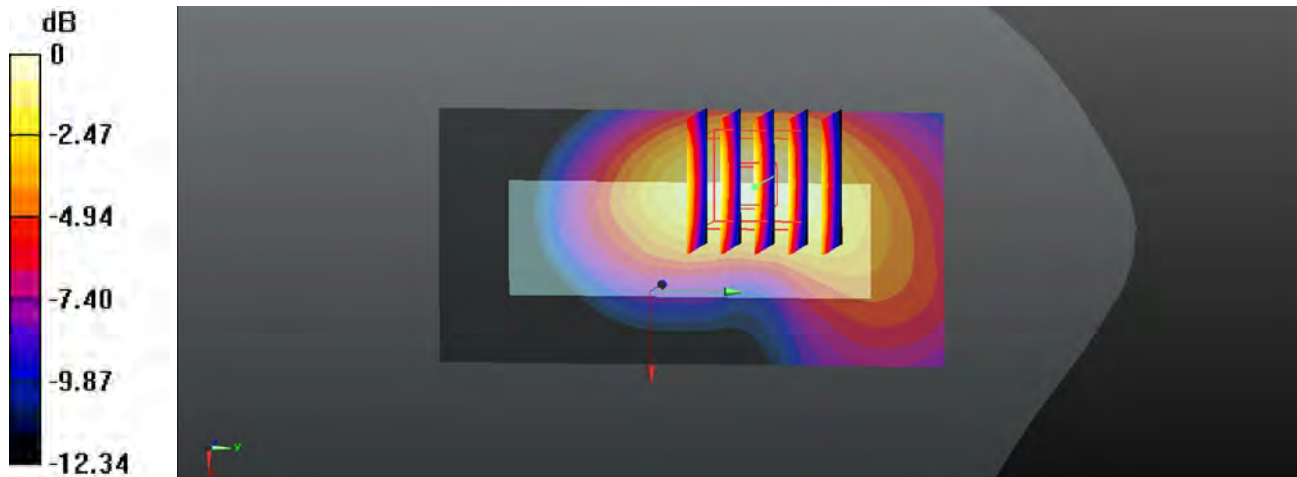
Ch251/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 3.122 V/m; Power Drift = 0.09 dB

Peak SAR (extrapolated) = 0.967 W/kg

SAR(1 g) = 0.596 W/kg; SAR(10 g) = 0.357 W/kg

Maximum value of SAR (measured) = 0.800 W/kg



0 dB = 0.800 W/kg

04 GSM1900_GPRS (GMSK 2 Tx slot)_Horizontal Up_0.5cm_Ch810

Communication System: GPRS (GMSK 2 Tx slot); Frequency: 1909.8 MHz; Duty Cycle: 1:4

Medium: MSL_1900_140412 Medium parameters used: $f = 1909.8$ MHz; $\sigma = 1.511$ S/m; $\epsilon_r = 53.819$; $\rho = 1000$ kg/m³

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.7 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3898; ConvF(7.83, 7.83, 7.83); Calibrated: 2014/3/10;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1353; Calibrated: 2014/1/30
- Phantom: SAM1; Type: QD000P40CD; Serial: TP:1753
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.6.8 (7028)

Ch810/Area Scan (41x81x1): Interpolated grid: dx=15mm, dy=15mm

Maximum value of SAR (interpolated) = 0.924 W/kg

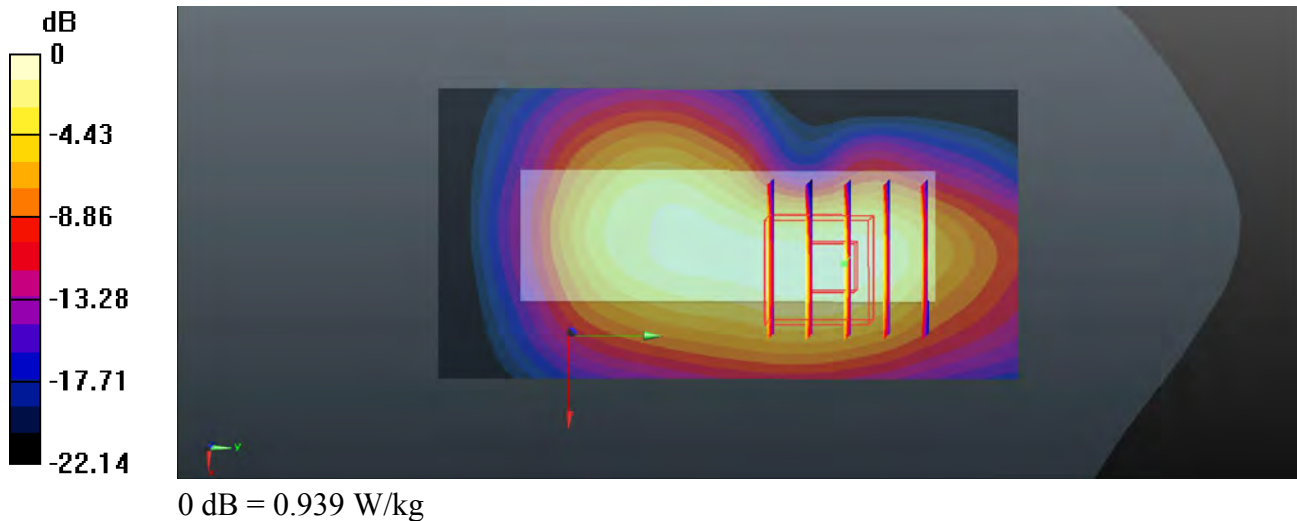
Ch810/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 4.276 V/m; Power Drift = 0.09 dB

Peak SAR (extrapolated) = 1.16 W/kg

SAR(1 g) = 0.687 W/kg; SAR(10 g) = 0.349 W/kg

Maximum value of SAR (measured) = 0.939 W/kg



05 WCDMA II_RMC 12.2K_Horizontal Up_0.5cm_Ch9262

Communication System: WCDMA ; Frequency: 1852.4 MHz; Duty Cycle: 1:1

Medium: MSL_1900_140412 Medium parameters used: $f = 1852.4$ MHz; $\sigma = 1.444$ S/m; $\epsilon_r = 53.844$;

$\rho = 1000$ kg/m³

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.7 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3898; ConvF(7.83, 7.83, 7.83); Calibrated: 2014/3/10;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1353; Calibrated: 2014/1/30
- Phantom: SAM1; Type: QD000P40CD; Serial: TP:1753
- Measurement SW: DASY52, Version 52.8 (5); SEMCAD X Version 14.6.8 (7028)

Ch9262/Area Scan (41x81x1): Interpolated grid: dx=15mm, dy=15mm

Maximum value of SAR (interpolated) = 1.56 W/kg

Ch9262/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 6.727 V/m; Power Drift = 0.04 dB

Peak SAR (extrapolated) = 1.70 W/kg

SAR(1 g) = 1.23 W/kg; SAR(10 g) = 0.754 W/kg

Maximum value of SAR (measured) = 1.40 W/kg

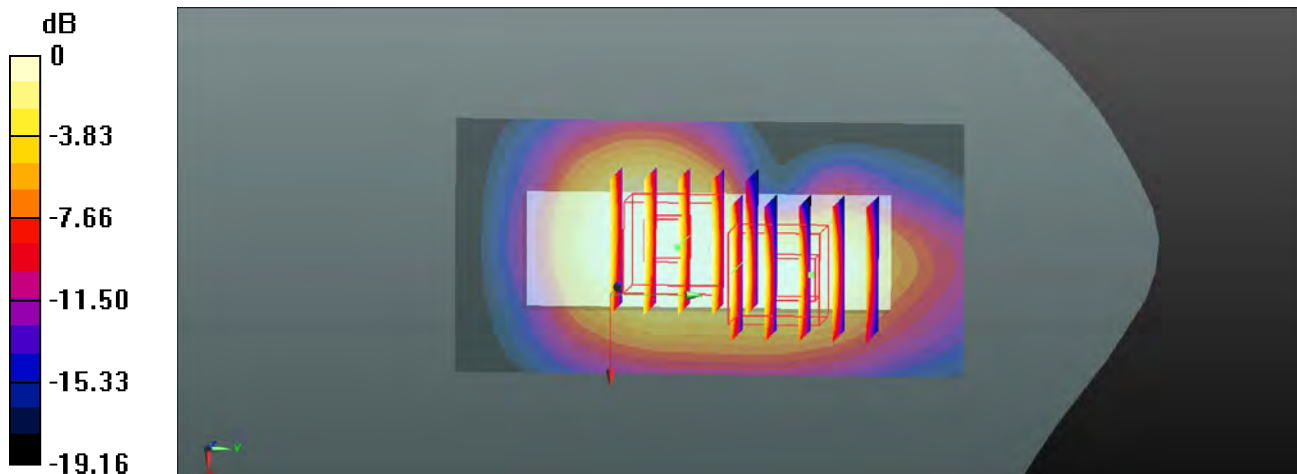
Ch9262/Zoom Scan (5x5x7)/Cube 1: Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 6.727 V/m; Power Drift = 0.04 dB

Peak SAR (extrapolated) = 1.65 W/kg

SAR(1 g) = 0.966 W/kg; SAR(10 g) = 0.522 W/kg

Maximum value of SAR (measured) = 1.32 W/kg



0 dB = 1.32 W/kg



Appendix C. DASY Calibration Certificate

The DASY calibration certificates are shown as follows.



Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Client **Sporton-KS (Auden)**

Certificate No: **D835V2-4d151_Mar13**

CALIBRATION CERTIFICATE

Object **D835V2 - SN: 4d151**

Calibration procedure(s) **QA CAL-05.v9**
Calibration procedure for dipole validation kits above 700 MHz

Calibration date: **March 25, 2013**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature $(22 \pm 3)^{\circ}\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	01-Nov-12 (No. 217-01640)	Oct-13
Power sensor HP 8481A	US37292783	01-Nov-12 (No. 217-01640)	Oct-13
Reference 20 dB Attenuator	SN: 5058 (20k)	27-Mar-12 (No. 217-01530)	Apr-13
Type-N mismatch combination	SN: 5047.3 / 06327	27-Mar-12 (No. 217-01533)	Apr-13
Reference Probe ES3DV3	SN: 3205	28-Dec-12 (No. ES3-3205_Dec12)	Dec-13
DAE4	SN: 601	27-Jun-12 (No. DAE4-601_Jun12)	Jun-13

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

Calibrated by: **Jeton Kastrati** **Laboratory Technician**

Approved by: **Katja Pokovic** **Technical Manager**

Signature

Issued: March 26, 2013

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

- d) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor $k=2$, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.5
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz \pm 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m
Measured Head TSL parameters	(22.0 \pm 0.2) °C	40.9 \pm 6 %	0.94 mho/m \pm 6 %
Head TSL temperature change during test	< 0.5 °C	---	---

SAR result with Head TSL

SAR averaged over 1 cm ³ (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.46 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	9.49 W/kg \pm 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Head TSL	condition	
SAR measured	250 mW input power	1.59 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	6.18 W/kg \pm 16.5 % (k=2)

Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 \pm 0.2) °C	54.1 \pm 6 %	1.02 mho/m \pm 6 %
Body TSL temperature change during test	< 0.5 °C	---	---

SAR result with Body TSL

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.46 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	9.43 W/kg \pm 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	250 mW input power	1.61 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	6.23 W/kg \pm 16.5 % (k=2)

Appendix

Antenna Parameters with Head TSL

Impedance, transformed to feed point	51.7 Ω - 2.2 j Ω
Return Loss	- 31.2 dB

Antenna Parameters with Body TSL

Impedance, transformed to feed point	47.1 Ω - 4.3 j Ω
Return Loss	- 25.4 dB

General Antenna Parameters and Design

Electrical Delay (one direction)	1.391 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

Additional EUT Data

Manufactured by	SPEAG
Manufactured on	March 27, 2012

DASY5 Validation Report for Head TSL

Date: 25.03.2013

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d151

Communication System: CW; Frequency: 835 MHz

Medium parameters used: $f = 835$ MHz; $\sigma = 0.94$ S/m; $\epsilon_r = 40.9$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(6.05, 6.05, 6.05); Calibrated: 28.12.2012;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.06.2012
- Phantom: Flat Phantom 4.9L; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.5(1059); SEMCAD X 14.6.8(7028)

Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

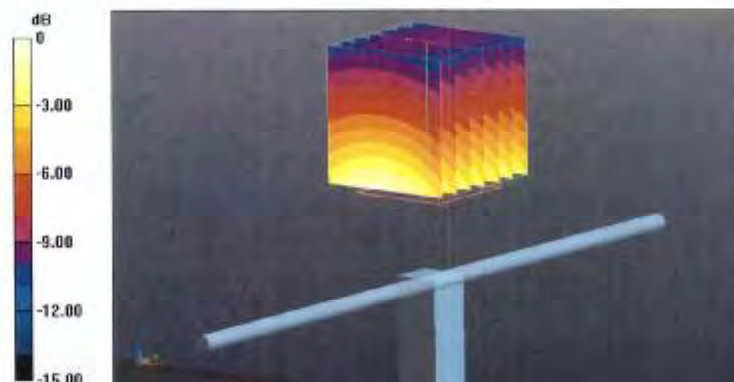
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 56.742 V/m; Power Drift = 0.01 dB

Peak SAR (extrapolated) = 3.74 W/kg

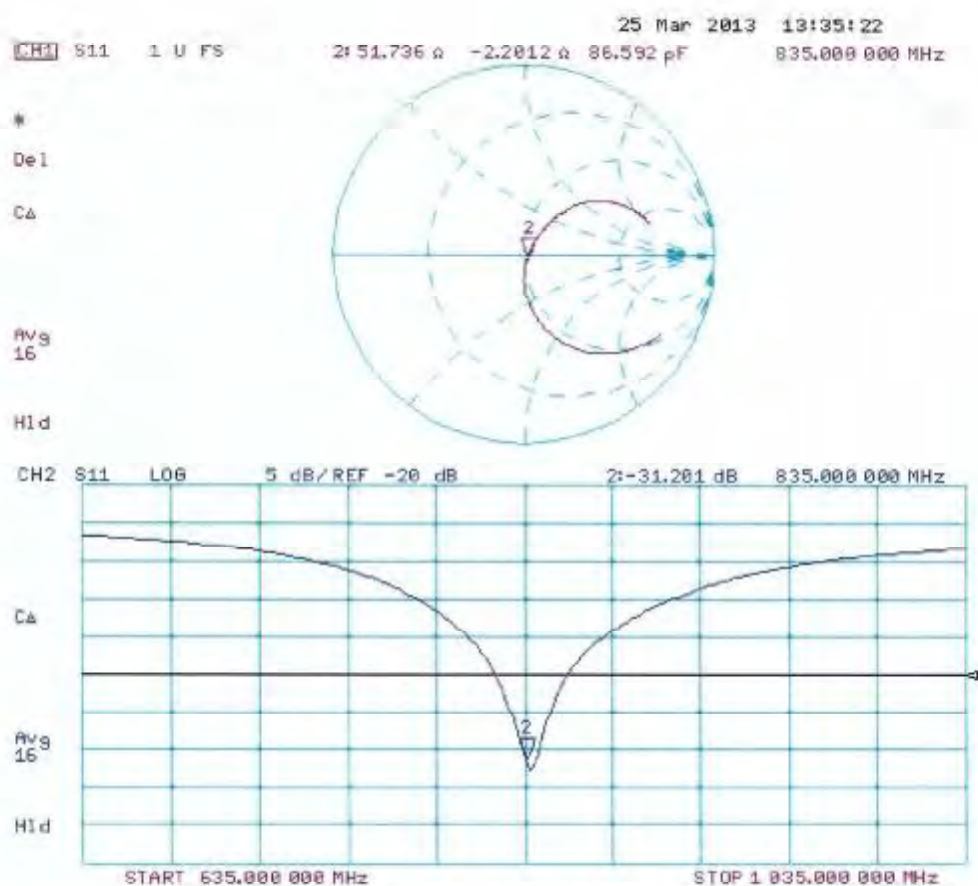
SAR(1 g) = 2.46 W/kg; SAR(10 g) = 1.59 W/kg

Maximum value of SAR (measured) = 2.88 W/kg



0 dB = 2.88 W/kg = 4.59 dBW/kg

Impedance Measurement Plot for Head TSL



DASY5 Validation Report for Body TSL

Date: 25.03.2013

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d151

Communication System: CW; Frequency: 835 MHz

Medium parameters used: $f = 835$ MHz; $\sigma = 1.02$ S/m; $\epsilon_r = 54.1$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(6.04, 6.04, 6.04); Calibrated: 28.12.2012;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.06.2012
- Phantom: Flat Phantom 4.9I.; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.5(1059); SEMCAD X 14.6.8(7028)

Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

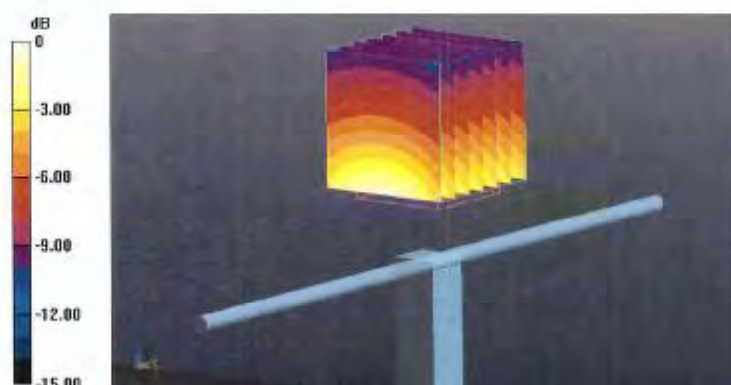
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 54.816 V/m; Power Drift = 0.01 dB

Peak SAR (extrapolated) = 3.63 W/kg

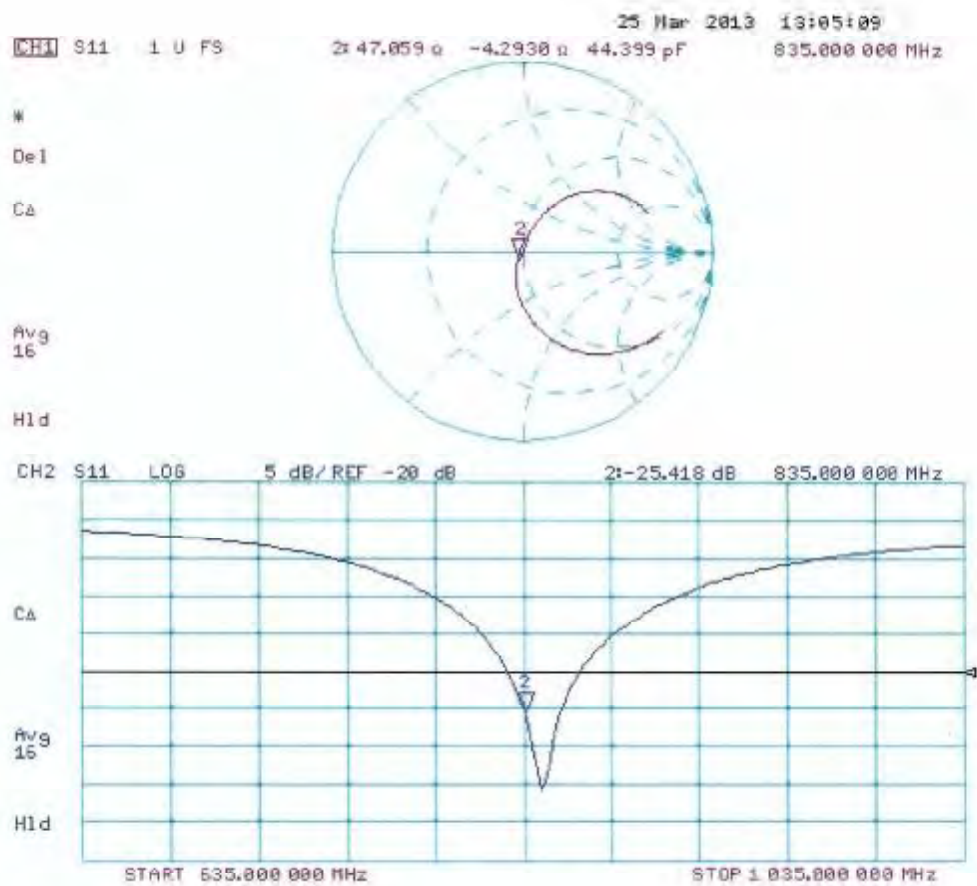
SAR(1 g) = 2.46 W/kg; SAR(10 g) = 1.61 W/kg

Maximum value of SAR (measured) = 2.87 W/kg



0 dB = 2.87 W/kg = 4.58 dBW/kg

Impedance Measurement Plot for Body TSL

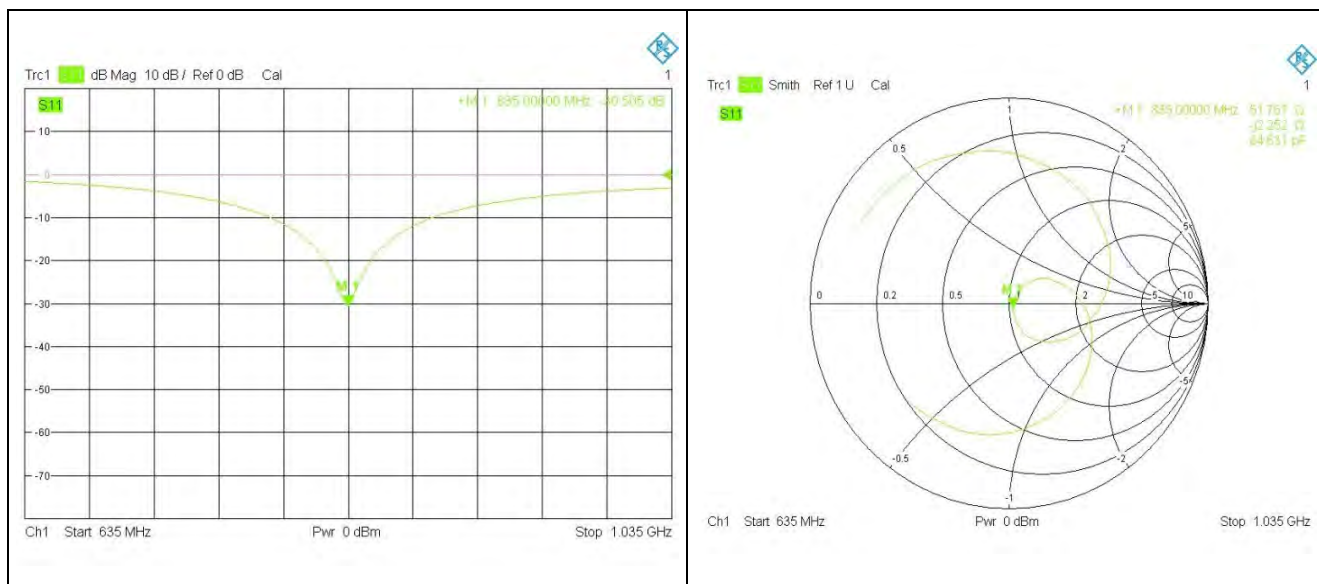


Extended Dipole Calibrations

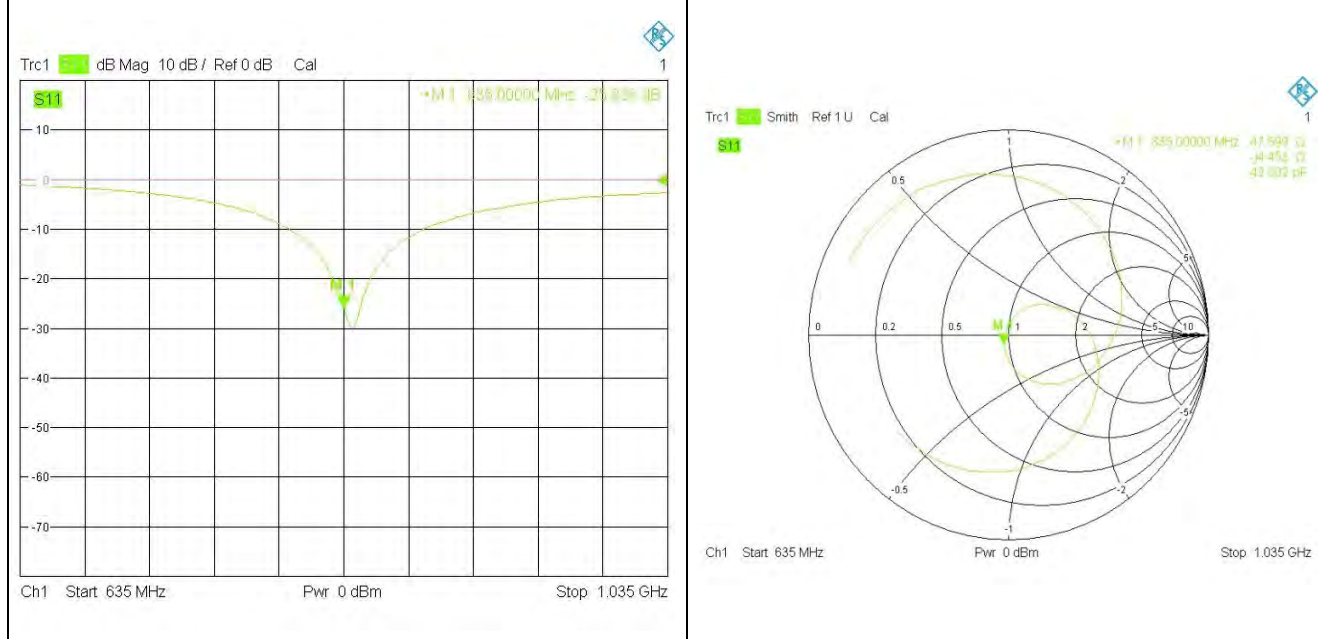
Referring to KDB 865664 D01 v01r03, if dipoles are verified in return loss (< -20 dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

<Dipole Verification Data> - D835V2, serial no. 4d151(Date of Measurement 03.24.2014)

835MHz - Head



835MHz – Body



<Justification of the extended calibration>

D835V2 – serial no. 4d151												
TSL	Head						Body					
Date of Measurement	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
03.25.2013	-31.201		51.736		-2.201		-25.418		47.059		-4.2930	
03.24.2014	-30.505	2.23	51.767	0.031	-2.252	-0.051	-25.828	-1.613	47.599	0.54	-4.453	-0.16

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration.

Therefore the verification result should support extended calibration.



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Accreditation No.: **SCS 108**

Client **Sporton KS (Auden)**

Certificate No: **D1900V2-5d170_Mar13**

CALIBRATION CERTIFICATE

Object **D1900V2 - SN: 5d170**

Calibration procedure(s) **QA CAL-05.v9
Calibration procedure for dipole validation kits above 700 MHz**

Calibration date: **March 27, 2013**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility; environment temperature $(22 \pm 3)^{\circ}\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	01-Nov-12 (No. 217-01640)	Oct-13
Power sensor HP 8481A	US37292783	01-Nov-12 (No. 217-01640)	Oct-13
Reference 20 dB Attenuator	SN: 5058 (20k)	27-Mar-12 (No. 217-01530)	Apr-13
Type-N mismatch combination	SN: 5047.3 / D6327	27-Mar-12 (No. 217-01533)	Apr-13
Reference Probe ES3DV3	SN: 3205	28-Dec-12 (No. ES3-3205_Dec12)	Dec-13
DAE4	SN: 601	27-Jun-12 (No. DAE4-601_Jun12)	Jun-13
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP B753E	US37390585 S4206	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

	Name	Function	Signature
Calibrated by:	Leif Klysner	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: March 27, 2013

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Accreditation No.: **SCS 108**

Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

- d) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor $k=2$, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.5
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz \pm 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 \pm 0.2) °C	39.3 \pm 6 %	1.38 mho/m \pm 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL

SAR averaged over 1 cm ³ (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	10.0 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	40.2 W/kg \pm 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Head TSL	condition	
SAR measured	250 mW input power	5.25 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	21.1 W/kg \pm 16.5 % (k=2)

Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 \pm 0.2) °C	51.8 \pm 6 %	1.53 mho/m \pm 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.4 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	41.2 W/kg \pm 17.0 % (k=2)

SAR averaged over 10 cm ³ (10 g) of Body TSL	condition	
SAR measured	250 mW input power	5.49 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	21.8 W/kg \pm 16.5 % (k=2)

Appendix

Antenna Parameters with Head TSL

Impedance, transformed to feed point	$53,8 \Omega + 4,7 j\Omega$
Return Loss	- 24,7 dB

Antenna Parameters with Body TSL

Impedance, transformed to feed point	$49,6 \Omega + 5,0 j\Omega$
Return Loss	- 26,0 dB

General Antenna Parameters and Design

Electrical Delay (one direction)	1,202 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

Additional EUT Data

Manufactured by	SPEAG
Manufactured on	June 08, 2012

DASY5 Validation Report for Head TSL

Date: 27.03.2013

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d170

Communication System: CW; Frequency: 1900 MHz

Medium parameters used: $f = 1900$ MHz; $\sigma = 1.38$ S/m; $\epsilon_r = 39.3$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(4.98, 4.98, 4.98); Calibrated: 28.12.2012;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAB4 Sn601; Calibrated: 27.06.2012
- Phantom: Flat Phantom 5.0 (front); Type: QD000P50AA; Serial: 1001
- DASY52 52.8.5(1059); SEMCAD X 14.6.8(7028)

Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

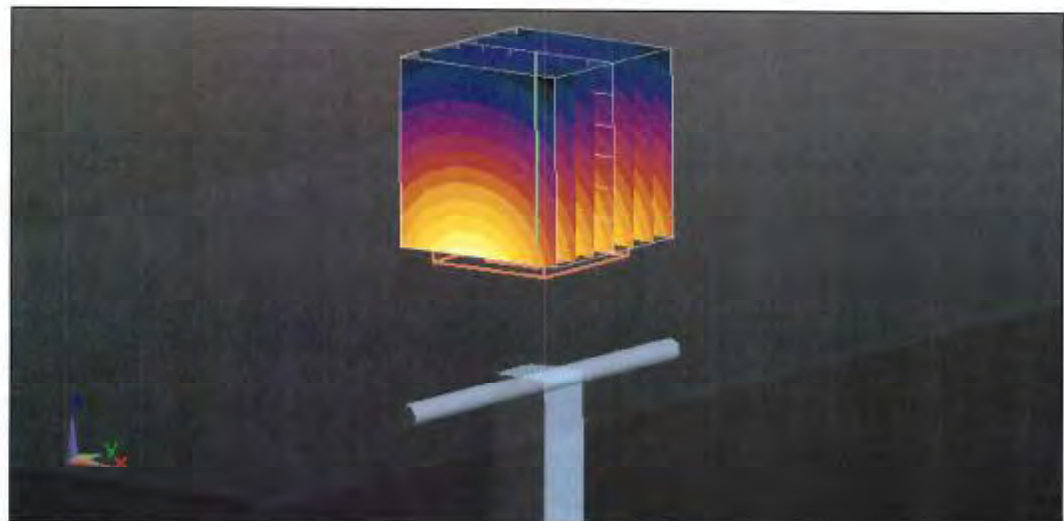
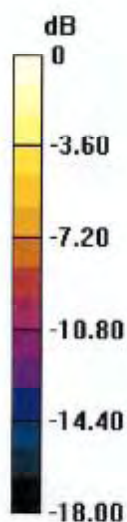
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 96.871 V/m; Power Drift = 0.05 dB

Peak SAR (extrapolated) = 18.2 W/kg

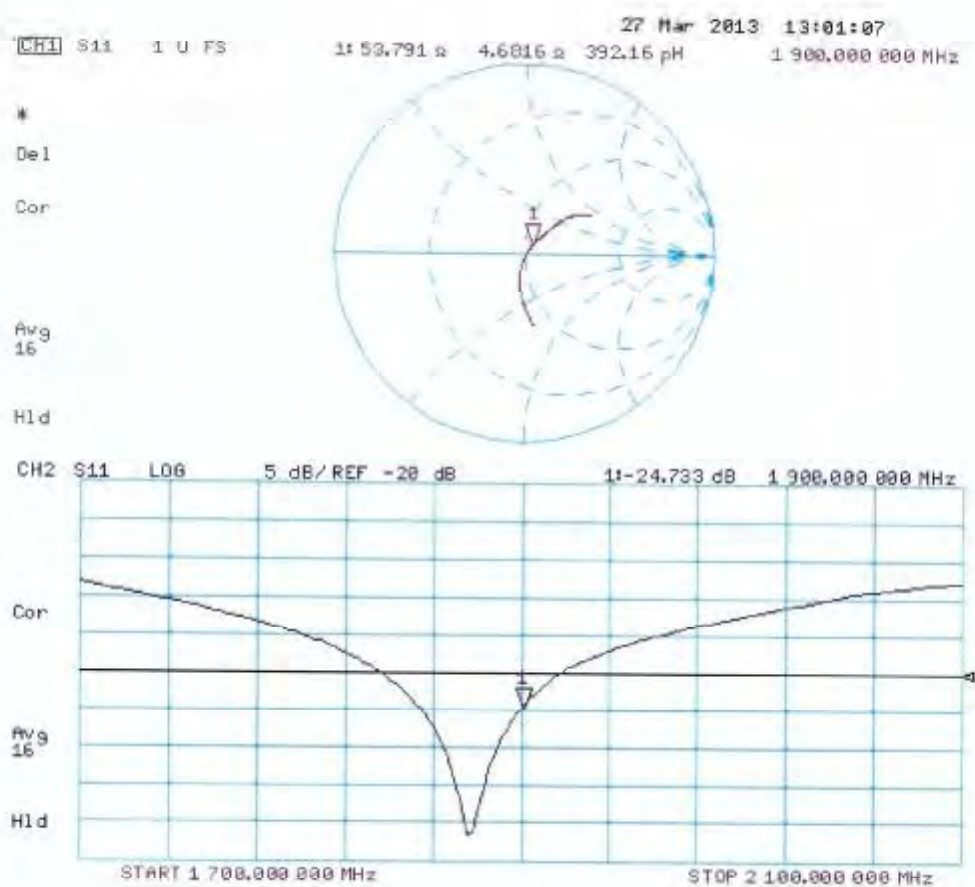
SAR(1 g) = 10 W/kg; SAR(10 g) = 5.25 W/kg

Maximum value of SAR (measured) = 12.4 W/kg



0 dB = 12.4 W/kg = 10.93 dBW/kg

Impedance Measurement Plot for Head TSL



DASY5 Validation Report for Body TSL

Date: 27.03.2013

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d170

Communication System: CW; Frequency: 1900 MHz

Medium parameters used: $f = 1900$ MHz; $\sigma = 1.53$ S/m; $\epsilon_r = 51.8$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(4.6, 4.6, 4.6); Calibrated: 28.12.2012;
- Sensor-Surface; 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.06.2012
- Phantom: Flat Phantom 5.0 (back); Type: QD000P50AA; Serial: 1002
- DASY52 52.8.5(1059); SEMCAD X 14.6.8(7028)

Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

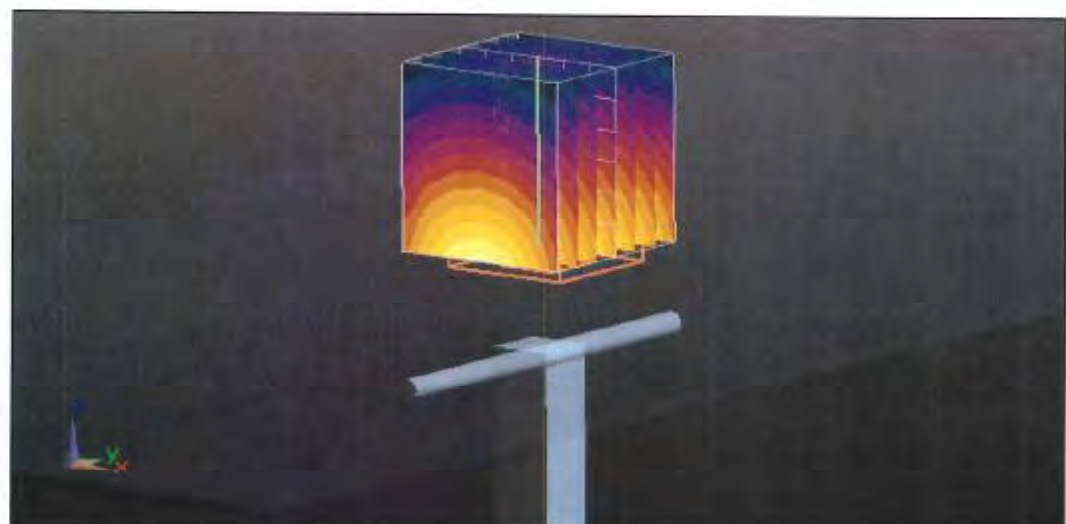
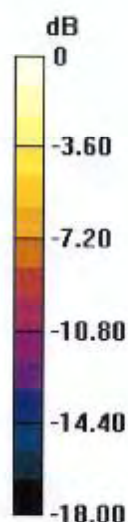
Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 96.871 V/m; Power Drift = 0.01 dB

Peak SAR (extrapolated) = 18.0 W/kg

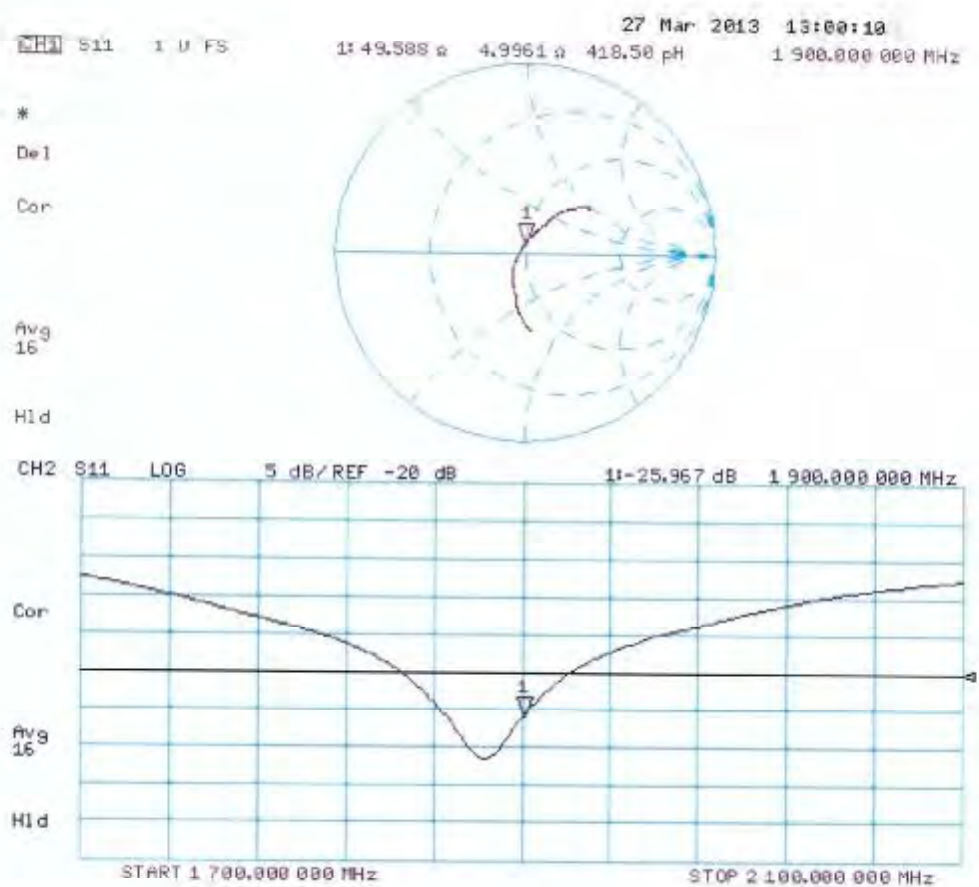
SAR(1 g) = 10.4 W/kg; SAR(10 g) = 5.49 W/kg

Maximum value of SAR (measured) = 13.2 W/kg



0 dB = 13.2 W/kg = 11.21 dBW/kg

Impedance Measurement Plot for Body TSL

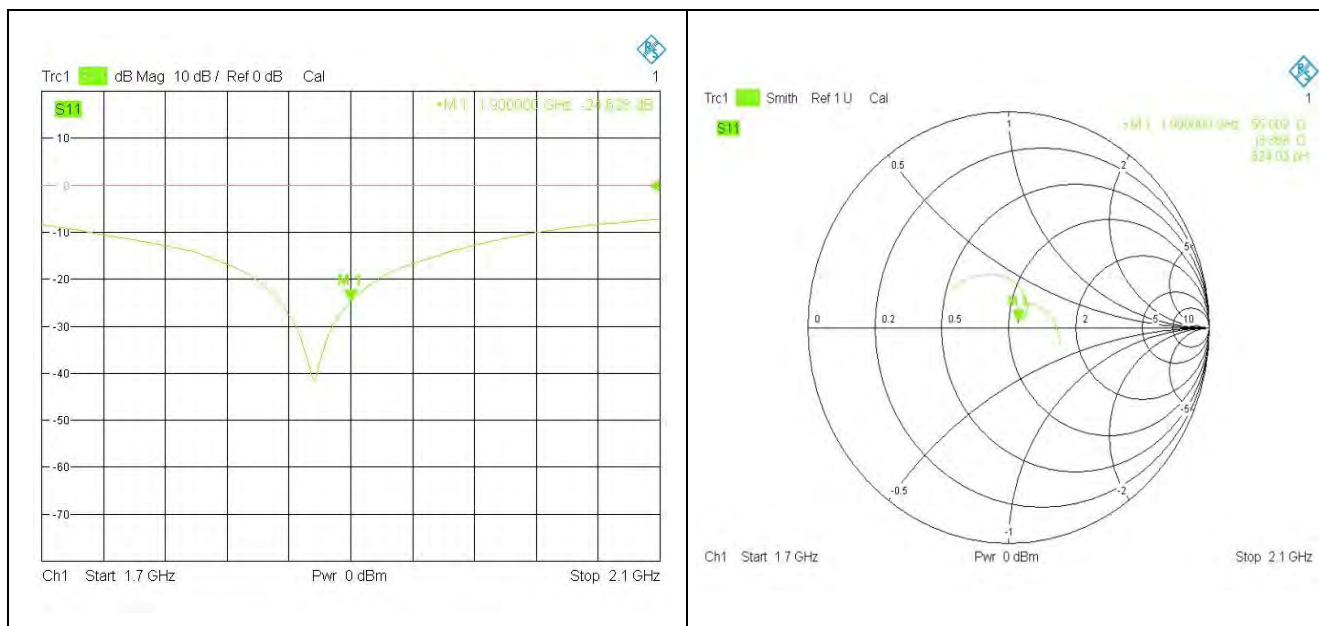


Extended Dipole Calibrations

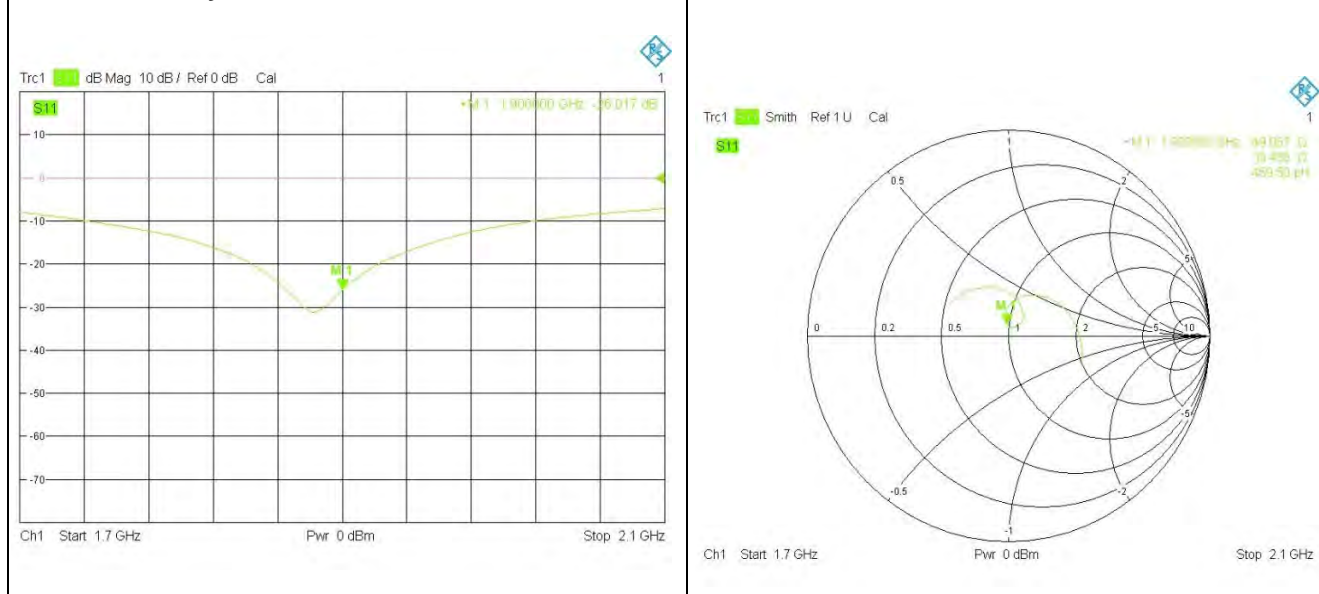
Referring to KDB 865664 D01 v01r03, if dipoles are verified in return loss (< -20 dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

<Dipole Verification Data> - D1900V2, serial no. 5d170(Date of Measurement 03.26.2014)

1900MHz - Head



1900MHz – Body



<Justification of the extended calibration>

D1900V2 – serial no. 5d170												
TSL	Head						Body					
Date of Measurement	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
03.27.2013	-24.733		53.791		4.682		-25.967		49.588		4.996	
03.26.2014	-24.628	0.425	55.002	1.211	3.868	-0.814	-26.017	-0.193	49.067	-0.521	5.486	0.490

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration.

Therefore the verification result should support extended calibration.

IMPORTANT NOTICE

USAGE OF THE DAE 4

The DAE unit is a delicate, high precision instrument and requires careful treatment by the user. There are no serviceable parts inside the DAE. Special attention shall be given to the following points:

Battery Exchange: The battery cover of the DAE4 unit is closed using a screw, over tightening the screw may cause the threads inside the DAE to wear out.

Shipping of the DAE: Before shipping the DAE to SPEAG for calibration, remove the batteries and pack the DAE in an antistatic bag. This antistatic bag shall then be packed into a larger box or container which protects the DAE from impacts during transportation. The package shall be marked to indicate that a fragile instrument is inside.

E-Stop Failures: Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

Repair: Minor repairs are performed at no extra cost during the annual calibration. However, SPEAG reserves the right to charge for any repair especially if rough unprofessional handling caused the defect.

DASY Configuration Files: Since the exact values of the DAE input resistances, as measured during the calibration procedure of a DAE unit, are not used by the DASY software, a nominal value of 200 MOhm is given in the corresponding configuration file.

Important Note:

Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.

Important Note:

Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the E-stop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.

Important Note:

To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.



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Accreditation No.: **SCS 108**

Client **SPEED Suzhou (Auden)**

Certificate No: **DAE4-1353_Jan14**

CALIBRATION CERTIFICATE

Object **DAE4 - SD 000 D04 BM - SN: 1353**

Calibration procedure(s) **QA CAL-06.v26**
Calibration procedure for the data acquisition electronics (DAE)

Calibration date: **January 30, 2014**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature $(22 \pm 3)^{\circ}\text{C}$ and humidity $< 70\%$.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 0810278	01-Oct-13 (No:13976)	Oct-14
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Auto DAE Calibration Unit	SE UWS 053 AA 1001	07-Jan-14 (in house check)	In house check: Jan-15
Calibrator Box V2.1	SE UMS 006 AA 1002	07-Jan-14 (in house check)	In house check: Jan-15

Calibrated by: **Name** **Function** **Signature**
Dominique Steffen **Technician**

Approved by: **Fin Bomholt** **Deputy Technical Manager**

Issued: January 30, 2014

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Accreditation No.: **SCS 108**

Glossary

DAE	data acquisition electronics
Connector angle	information used in DASY system to align probe sensor X to the robot coordinate system.

Methods Applied and Interpretation of Parameters

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
 - *DC Voltage Measurement Linearity:* Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
 - *Common mode sensitivity:* Influence of a positive or negative common mode voltage on the differential measurement.
 - *Channel separation:* Influence of a voltage on the neighbor channels not subject to an input voltage.
 - *AD Converter Values with inputs shorted:* Values on the internal AD converter corresponding to zero input voltage
 - *Input Offset Measurement:* Output voltage and statistical results over a large number of zero voltage measurements.
 - *Input Offset Current:* Typical value for information; Maximum channel input offset current, not considering the input resistance.
 - *Input resistance:* Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
 - *Low Battery Alarm Voltage:* Typical value for information. Below this voltage, a battery alarm signal is generated.
 - *Power consumption:* Typical value for information. Supply currents in various operating modes.

DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 μ V , full range = -100...+300 mV

Low Range: 1LSB = 61nV , full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	404.639 \pm 0.02% (k=2)	404.549 \pm 0.02% (k=2)	405.569 \pm 0.02% (k=2)
Low Range	3.99606 \pm 1.50% (k=2)	3.94990 \pm 1.50% (k=2)	4.00226 \pm 1.50% (k=2)

Connector Angle

Connector Angle to be used in DASY system	184.0 $^{\circ}$ \pm 1 $^{\circ}$
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Appendix

1. DC Voltage Linearity

High Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	200036.05	0.90	0.00
Channel X + Input	20005.29	1.58	0.01
Channel X - Input	-20003.79	1.74	-0.01
Channel Y + Input	200035.53	0.67	0.00
Channel Y + Input	20004.54	0.93	0.00
Channel Y - Input	-20004.89	0.73	-0.00
Channel Z + Input	200033.19	-1.74	-0.00
Channel Z + Input	20001.63	-1.94	-0.01
Channel Z - Input	-20006.65	-1.00	0.00

Low Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	2000.36	-0.02	-0.00
Channel X + Input	200.37	-0.02	-0.01
Channel X - Input	-198.85	0.56	-0.28
Channel Y + Input	2000.53	0.23	0.01
Channel Y + Input	199.83	-0.48	-0.24
Channel Y - Input	-200.35	-0.83	0.42
Channel Z + Input	2001.07	0.78	0.04
Channel Z + Input	199.39	-1.02	-0.51
Channel Z - Input	-201.83	-2.31	1.16

2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	-4.98	-6.54
	- 200	8.53	6.88
Channel Y	200	-25.19	-24.89
	- 200	24.90	24.37
Channel Z	200	1.39	0.99
	- 200	-3.48	-3.58

3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	2.74	-3.22
Channel Y	200	8.51	-	4.39
Channel Z	200	9.27	6.26	-

4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	15592	15640
Channel Y	16905	16193
Channel Z	16196	16291

5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Input 10M Ω

	Average (μ V)	min. Offset (μ V)	max. Offset (μ V)	Std. Deviation (μ V)
Channel X	0.23	-1.19	1.53	0.56
Channel Y	0.98	-0.35	2.43	0.59
Channel Z	-1.10	-2.42	0.32	0.67

6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9



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Client

Auden

Certificate No: **Z14-97001**

CALIBRATION CERTIFICATE

Object

EX3DV4 - SN:3898

Calibration Procedure(s)

TMC-OS-E-02-195

Calibration Procedures for Dosimetric E-field Probes

Calibration date:

March 10, 2014

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	01-Jul-13 (TMC, No.JW13-044)	Jun-14
Power sensor NRP-Z91	101547	01-Jul-13 (TMC, No.JW13-044)	Jun-14
Power sensor NRP-Z91	101548	01-Jul-13 (TMC, No.JW13-044)	Jun-14
Reference10dBAttenuator	BT0520	12-Dec-12(TMC,No.JZ12-867)	Dec-14
Reference20dBAttenuator	BT0267	12-Dec-12(TMC,No.JZ12-866)	Dec-14
Reference Probe EX3DV4	SN 3846	03-Sep-13(SPEAG,No.EX3-3846_Sep13)	Sep-14
DAE4	SN 777	22-Feb-13 (SPEAG, DAE4-777_Feb13)	Feb -14
DAE4	SN 905	11-Jun-13 (SPEAG, DAE4-905_Jun13)	Jun -14
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGeneratorMG3700A	6201052605	01-Jul-13 (TMC, No.JW13-045)	Jun-14
Network Analyzer E5071C	MY46110673	15-Feb-14 (TMC, No.JZ14-781)	Feb-15

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: March 12, 2014

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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Glossary:

TSL	tissue simulating liquid
NORM _{x,y,z}	sensitivity in free space
ConvF	sensitivity in TSL / NORM _{x,y,z}
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization Φ	Φ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORM_{x,y,z}:** Assessed for E-field polarization $\theta=0$ ($f \leq 900\text{MHz}$ in TEM-cell; $f > 1800\text{MHz}$: waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not effect the E^2 -field uncertainty inside TSL (see below ConvF).
- NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP_{x,y,z}:** DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR:** PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- A_{x,y,z}; B_{x,y,z}; C_{x,y,z}; VR_{x,y,z}; A,B,C** are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800\text{MHz}$) and inside waveguide using analytical field distributions based on power measurements for $f > 800\text{MHz}$. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from $\pm 50\text{MHz}$ to $\pm 100\text{MHz}$.
- Spherical isotropy (3D deviation from isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle:** The angle is assessed using the information gained by determining the NORM_x (no uncertainty required).



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Probe EX3DV4

SN: 3898

Calibrated: March 10, 2014

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)



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DASY – Parameters of Probe: EX3DV4 - SN: 3898

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm($\mu\text{V}/(\text{V}/\text{m})^2$) ^A	0.50	0.54	0.48	±10.8%
DCP(mV) ^B	106.5	104.9	101.2	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc ^E (k=2)
0	CW	X	0.0	0.0	1.0	0.00	203.2	±2.1%
		Y	0.0	0.0	1.0		211.8	
		Z	0.0	0.0	1.0		194.9	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

^A The uncertainties of Norm X, Y, Z do not affect the E²-field uncertainty inside TSL (see Page 5 and Page 6).

^B Numerical linearization parameter: uncertainty not required.

^E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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DASY – Parameters of Probe: EX3DV4 - SN: 3898

Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	41.9	0.89	10.32	10.32	10.32	2.94	0.48	± 12%
850	41.5	0.92	9.85	9.85	9.85	0.09	1.71	± 12%
900	41.5	0.97	9.83	9.83	9.83	0.29	0.92	± 12%
1750	40.1	1.37	8.38	8.38	8.38	0.19	1.35	± 12%
1900	40.0	1.40	8.20	8.20	8.20	0.19	1.43	± 12%
2000	40.0	1.40	8.19	8.19	8.19	0.18	1.54	± 12%
2450	39.2	1.80	7.55	7.55	7.55	0.50	0.76	± 12%
2600	39.0	1.96	7.34	7.34	7.34	0.80	0.59	± 12%
5200	36.0	4.66	5.52	5.52	5.52	0.39	1.24	± 13%
5300	35.9	4.76	5.23	5.23	5.23	0.39	1.01	± 13%
5500	35.6	4.96	4.95	4.95	4.95	0.41	1.10	± 13%
5600	35.5	5.07	4.74	4.74	4.74	0.42	1.16	± 13%
5800	35.3	5.27	4.84	4.84	4.84	0.44	1.07	± 13%

^C Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequency below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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DASY – Parameters of Probe: EX3DV4 - SN: 3898

Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz] ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	55.5	0.96	10.18	10.18	10.18	0.95	0.64	± 12%
850	55.2	0.99	9.63	9.63	9.63	0.19	1.33	± 12%
900	55.0	1.05	9.63	9.63	9.63	0.23	1.14	± 12%
1750	53.4	1.49	8.16	8.16	8.16	0.19	1.57	± 12%
1900	53.3	1.52	7.83	7.83	7.83	0.19	1.63	± 12%
2000	53.3	1.52	8.10	8.10	8.10	0.15	3.04	± 12%
2450	52.7	1.95	7.49	7.49	7.49	0.61	0.75	± 12%
2600	52.5	2.16	7.06	7.06	7.06	0.58	0.77	± 12%
5200	49.0	5.30	4.80	4.80	4.80	0.47	1.05	± 13%
5300	48.9	5.42	4.60	4.60	4.60	0.42	1.43	± 13%
5500	48.6	5.65	4.25	4.25	4.25	0.45	1.56	± 13%
5600	48.5	5.77	4.22	4.22	4.22	0.46	1.41	± 13%
5800	48.2	6.00	4.34	4.34	4.34	0.50	1.27	± 13%

^C Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequency below 3 GHz, the validity of tissue parameters (ϵ and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ϵ and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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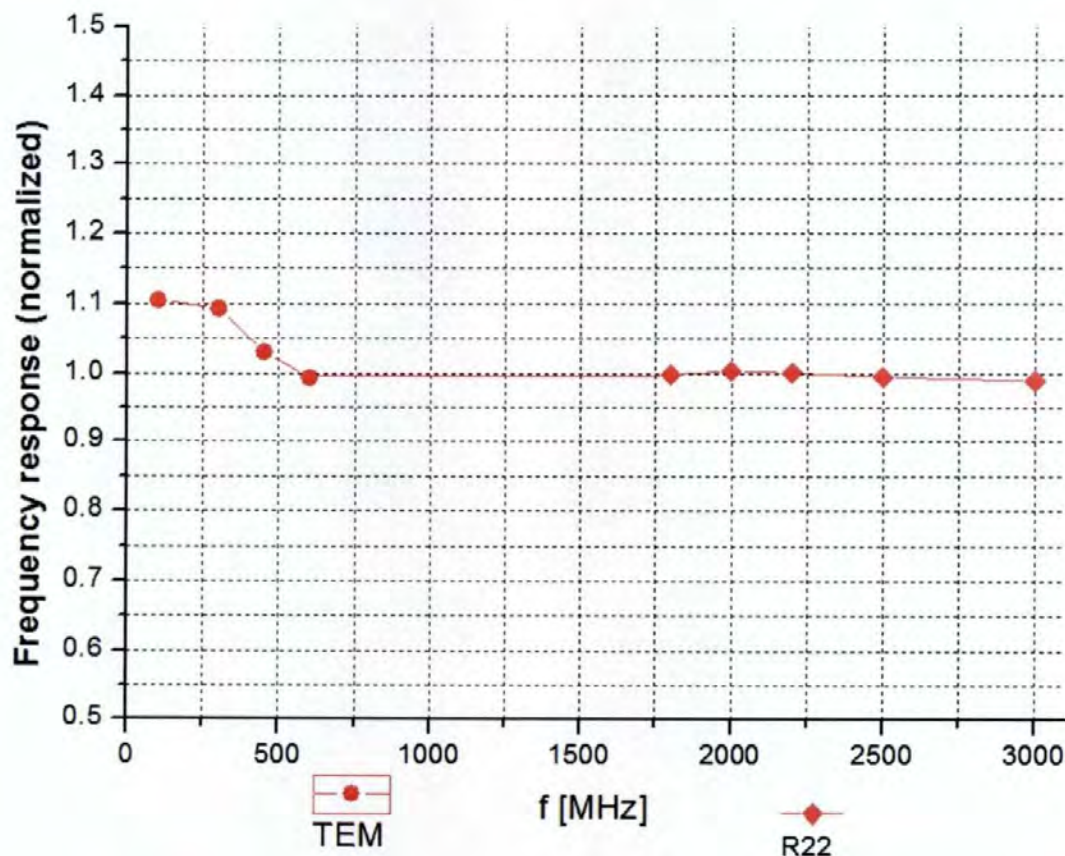
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Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: $\pm 7.5\%$ ($k=2$)

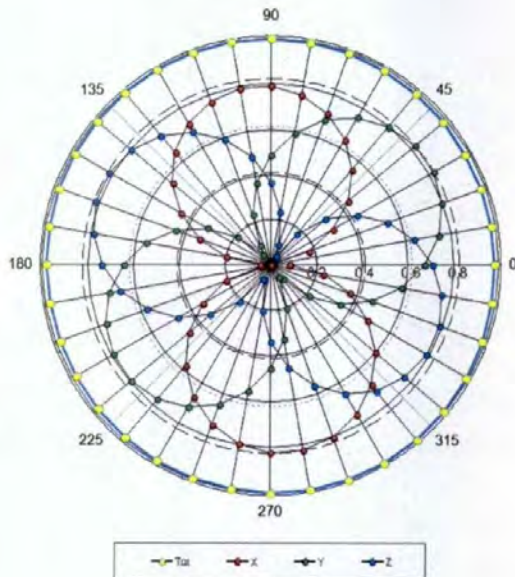


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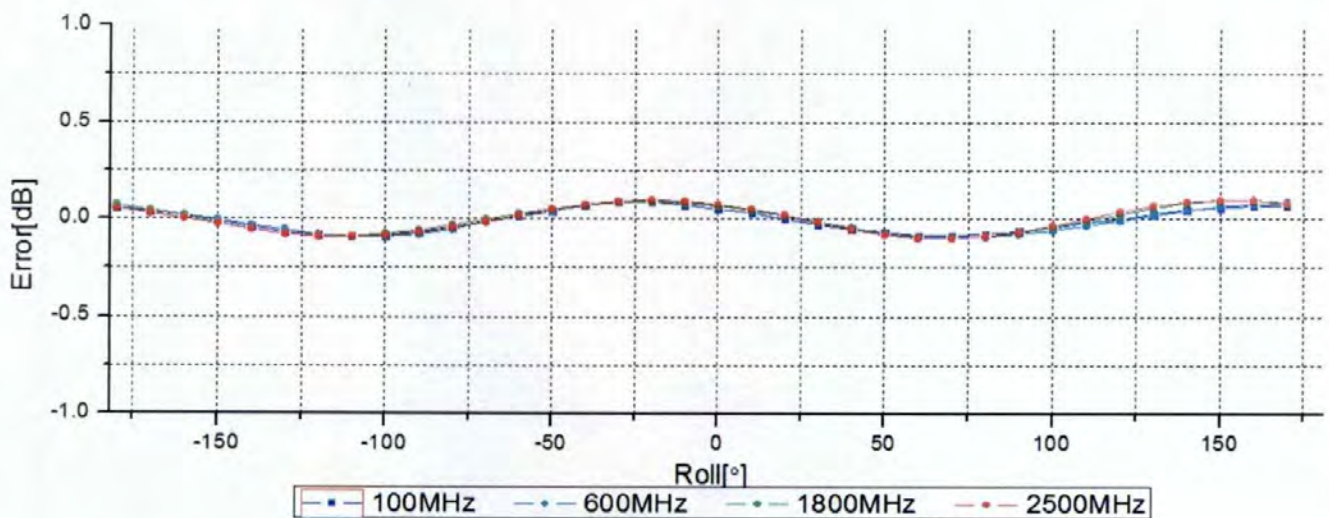
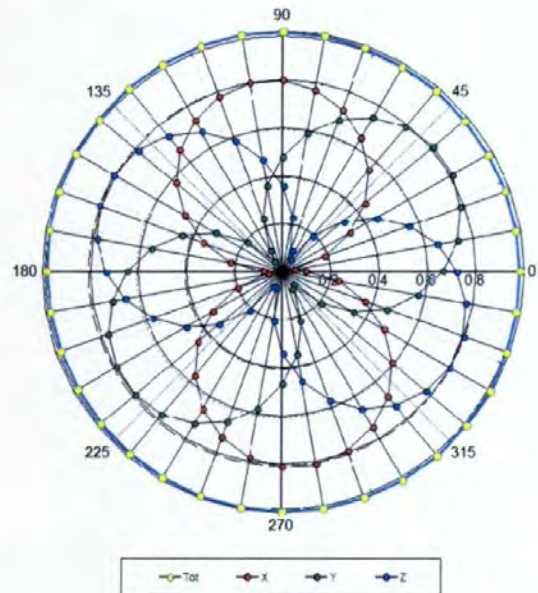
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Receiving Pattern (Φ), $\theta=0^\circ$

f=600 MHz, TEM

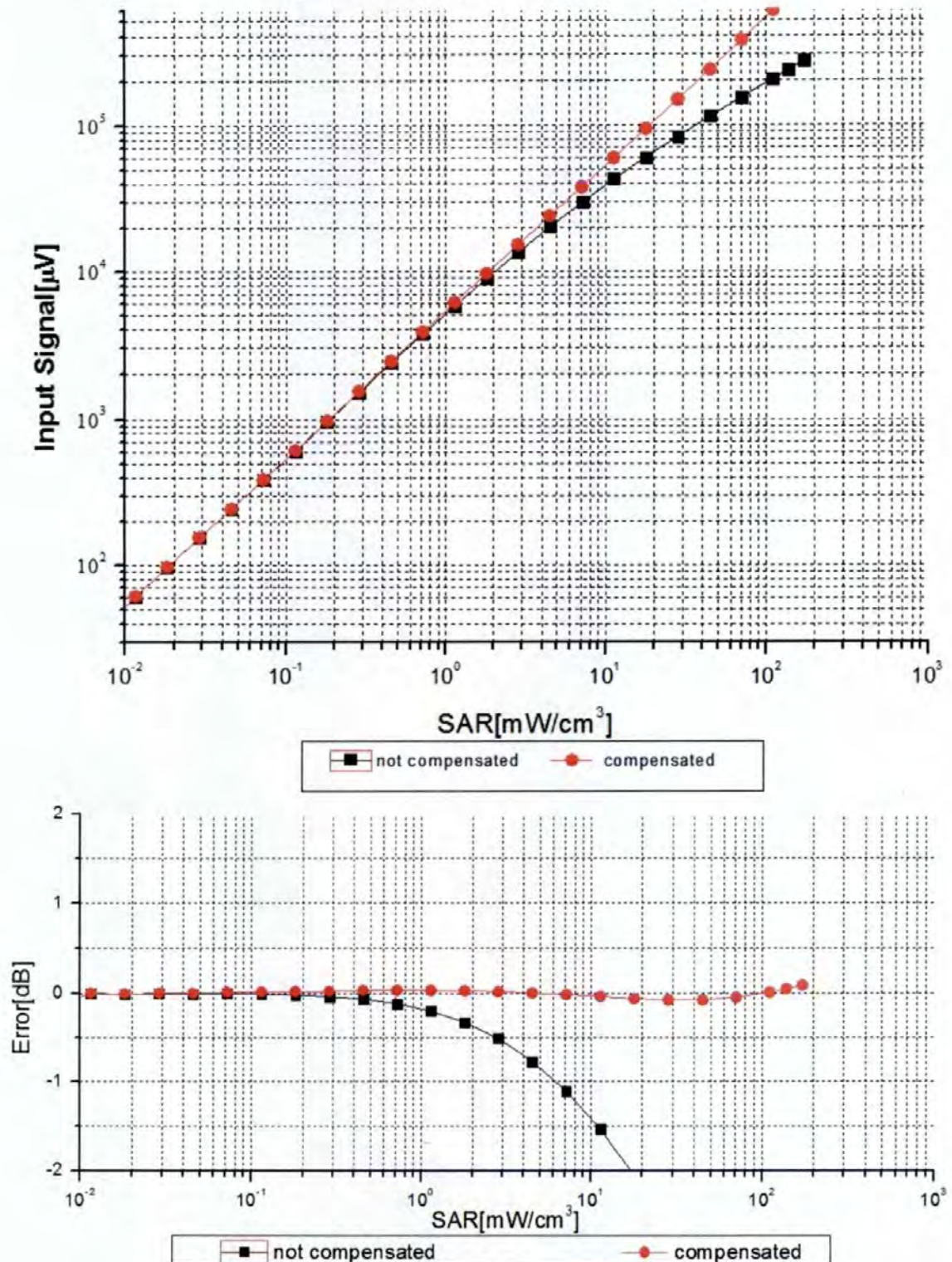


f=1800 MHz, R22



Uncertainty of Axial Isotropy Assessment: $\pm 0.9\%$ ($k=2$)

Dynamic Range f(SAR_{head}) (TEM cell, f = 900 MHz)

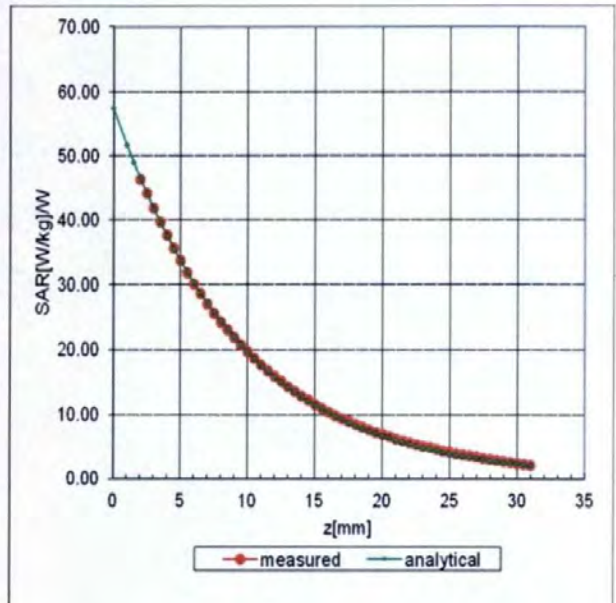
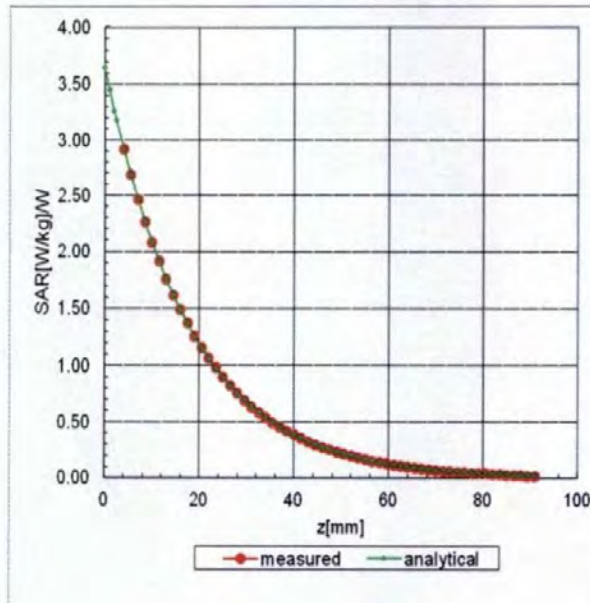


Uncertainty of Linearity Assessment: ±0.9% (k=2)

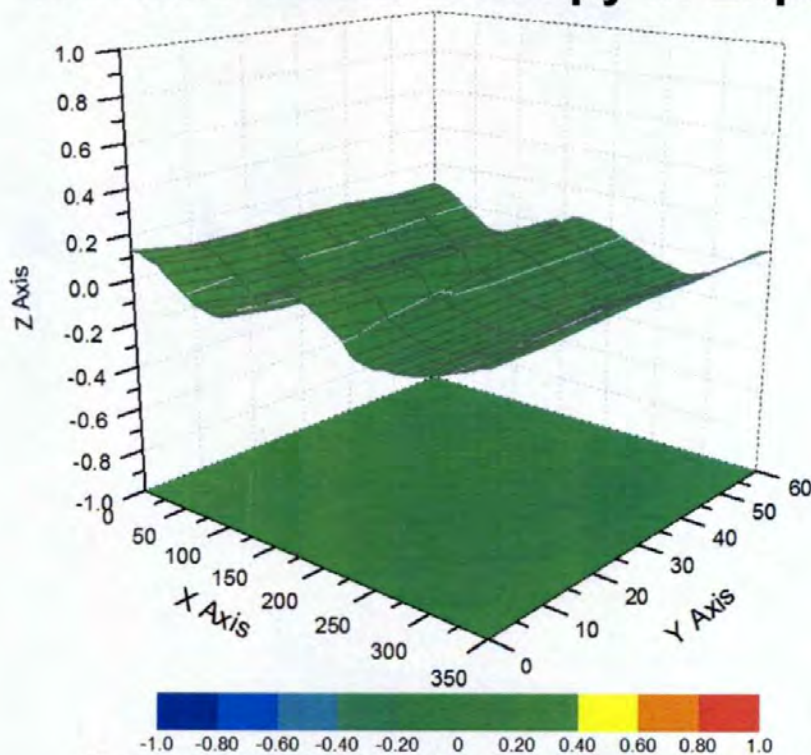
Conversion Factor Assessment

f=900 MHz, WGLS R9(H_convF)

f=2450 MHz, WGLS R26(H_convF)



Deviation from Isotropy in Liquid



Uncertainty of Spherical Isotropy Assessment: $\pm 2.8\%$ (K=2)



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DASY - Parameters of Probe: EX3DV4 - SN: 3898

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	71
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	9mm
Tip Diameter	2.5mm
Probe Tip to Sensor X Calibration Point	1mm
Probe Tip to Sensor Y Calibration Point	1mm
Probe Tip to Sensor Z Calibration Point	1mm
Recommended Measurement Distance from Surface	2mm

**Acceptable Conditions for SAR Measurements Using Probes and Dipoles
Calibrated under the SPEAG-TMC Dual-Logo Calibration Program to
Support FCC Equipment Certification**

The acceptable conditions for SAR measurements using probes, dipoles and DAEs calibrated by TMC (*Telecommunication Metrology Center of MITT in Beijing, China*), under the Dual-Logo Calibration Certificate program and quality assurance (QA) protocols established between SPEAG (*Schmid & Partner Engineering AG, Switzerland*) and TMC, to support FCC (*U.S. Federal Communications Commission*) equipment certification are defined and described in the following.

- 1) The agreement established between SPEAG and TMC is only applicable to calibration services performed by TMC where its clients (companies and divisions of such companies) are headquartered in the Greater China Region, including Taiwan and Hong Kong. This agreement is subject to renewal at the end of each calendar year between SPEAG and TMC. TMC shall inform the FCC of any changes or early termination to the agreement.
- 2) Only a subset of the calibration services specified in the SPEAG-TMC agreement, while it remains valid, are applicable to SAR measurements performed using such equipment for supporting FCC equipment certification. These are identified in the following.
 - a) Calibration of dosimetric (SAR) probes EX3DVx, ET3DVx and ES3DVx.
 - i) Free-space E-field and H-field probes, including those used for HAC (hearing aid compatibility) evaluation, temperature probes, other probes or equipment not identified in this document, when calibrated by TMC, are excluded and cannot be used for measurements to support FCC equipment certification.
 - ii) Signal specific and bundled probe calibrations based on PMR (probe modulation response) characteristics or other test signal based probe linearization methods not fully described in SAR standards are handled according to the requirements of KDB 865664; that is, "Until standardized procedures are available to make such determination, the applicability of a signal specific probe calibration for testing specific wireless modes and technologies is determined on a case-by-case basis through KDB inquiries, including SAR system verification requirements."
 - b) Calibration of SAR system validation dipoles, excluding HAC dipoles.
 - c) Calibration of data acquisition electronics DAE3Vx, DAE4Vx and DAEasyVx.
 - d) For FCC equipment certification purposes, the frequency range of SAR probe and dipole calibrations is limited to 700 MHz - 6 GHz and provided it is supported by the equipment identified in the TMC QA protocol (a separate attachment to this document).
 - e) The identical system and equipment setup, measurement configurations, hardware, evaluation algorithms, calibration and QA protocols, including the format of calibration certificates and reports used by SPEAG shall be applied by TMC. Equivalent test equipment and measurement configurations may be considered only when agreed by both SPEAG and the FCC.

- f) The calibrated items are only applicable to SPEAG DASY 4 and DASY 5 systems or higher version systems that satisfy the requirements of this KDB.
- 3) The SPEAG-TMC agreement includes specific protocols identified in the following to ensure the quality of calibration services provided by TMC under this SPEAG-TMC Dual-Logo calibration agreement are equivalent to the calibration services provided by SPEAG. TMC shall apply the required protocols without modification and, upon request, provide copies of documentation to the FCC to substantiate program implementation.
 - a) The Inter-laboratory Calibration Evaluation (ILCE) stated in the TMC QA protocol shall be performed between SPEAG and TMC at least once every 12 months. The ILCE acceptance criteria defined in the TMC QA protocol shall be satisfied for the TMC, SPEAG and FCC agreements to remain valid.
 - b) Check of Calibration Certificate (CCC) shall be performed by SPEAG for all calibrations performed by TMC. Written confirmation from SPEAG is required for TMC to issue calibration certificates under the SPEAG-TMC Dual-Logo calibration program. Quarterly reports for all calibrations performed by TMC under the program are also issued by SPEAG.
 - c) The calibration equipment and measurement system used by TMC shall be verified before each calibration service according to the specific reference SAR probes, dipoles, and DAE calibrated by SPEAG. The results shall be reproducible and within the defined acceptance criteria specified in the TMC QA protocol before each actual calibration can commence. TMC shall maintain records of the measurement and calibration system verification results for all calibrations.
 - d) Quality Check of Calibration (QCC) certificates shall be performed by SPEAG at least once every 12 months. SPEAG shall visit TMC facilities to verify the laboratory, equipment, applied procedures and plausibility of randomly selected certificates.
- 4) A copy of this document, to be updated annually, shall be provided to TMC clients that accept calibration services according to the SPEAG-TMC Dual-Logo calibration program, which should be presented to a TCB (*Telecommunication Certification Body*), to facilitate FCC equipment approval.
- 5) TMC shall address any questions raised by its clients or TCBs relating to the SPEAG-TMC Dual-Logo calibration program and inform the FCC and SPEAG of any critical issues.

Note: It is expected that TMC (*Telecommunication Metrology Center*) may change its name in 2014. For this KDB to remain valid, it must be updated by TMC before the name change occurs. The SPEAG-TMC Dual-Logo calibration certificate shall also be updated accordingly to reflect the change.