

# FCC SAR Test Report

APPLICANT : NCXX Inc.  
EQUIPMENT : LTE USB Modem  
BRAND NAME : NCXX Inc.  
MODEL NAME : UX302NC-R  
FCC ID : SLKUX302NC-R  
STANDARD : FCC 47 CFR Part 2 (2.1093)  
ANSI/IEEE C95.1-1992  
IEEE 1528-2013

We, Sporton International (KunShan) INC, would like to declare that the tested sample has been evaluated in accordance with the procedures and had been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International (KunShan) INC., the test report shall not be reproduced except in full.



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## Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA6D1201	Rev. 01	Initial issue of report	May. 08, 2017



## 1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **NCXX Inc., LTE USB Modem, UX302NC-R**, are as follows.

Frequency Band		Highest 10g SAR Summary
		Body (Separation 5mm)
		1g SAR (W/kg)
GSM	GSM850	<b>1.12</b>
	GSM1900	1.12
WCDMA	Band V	1.04
Date of Testing:		2017/4/19 ~ 2017/4/20

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2013 and FCC KDB publications.

## 2. Administration Data

Testing Laboratory	
Test Site	Sporton International (KunShan) INC.
Test Site Location	No.3-2, Pingxiang Road, Kunshan Development Zone, Jiangsu, China TEL: +86-0512-5790-0158 FAX: +86-0512-5790-0958

Applicant	
Company Name	NCXX Inc.
Address	2-32-1, Kunuginome, Hanamaki, Iwate-Pref 025-0323, Japan

Manufacturer	
Company Name	NCXX Inc.
Address	2-32-1, Kunuginome, Hanamaki, Iwate-Pref 025-0323, Japan

## 3. Guidance Applied

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2013
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r04
- FCC KDB 865664 D02 SAR Reporting v01r02
- FCC KDB 447498 D01 General RF Exposure Guidance v06
- FCC KDB 447498 D02 SAR Procedures for Dongle Xmtr v02r01
- FCC KDB 941225 D01 3G SAR Procedures v03r01

## **4. Equipment Under Test (EUT) Information**

### **4.1 General Information**

Product Feature & Specification	
Equipment Name	LTE USB Modem
Brand Name	NCXX Inc.
Model Name	UX302NC-R
FCC ID	SLKUX302NC-R
IMEI Code	351692061334362
Wireless Technology and Frequency Range	GSM850: 824.2 MHz ~ 848.8 MHz GSM1900: 1850.2 MHz ~ 1909.8 MHz WCDMA Band V: 826.4 MHz ~ 846.6 MHz
Mode	GPRS/EGPRS RMC 12.2Kbps HSDPA HSUPA HSPA+ (16QAM uplink is not supported)
HW Version	LWDM130A2-1
SW Version	V2.0.0.j
EUT Stage	Identical Prototype
<b>Remark:</b> 1. This device has no voice function. 2. This device supports GRPS/EGPRS mode up to multi-slot class 33.	

## **5. RF Exposure Limits**

### **5.1 Uncontrolled Environment**

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

### **5.2 Controlled Environment**

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

**Limits for Occupational/Controlled Exposure (W/kg)**

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.4	8.0	20.0

**Limits for General Population/Uncontrolled Exposure (W/kg)**

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.08	1.6	4.0

Whole-Body SAR is averaged over the entire body, partial-body SAR is averaged over any 1gram of tissue defined as a tissue volume in the shape of a cube. SAR for hands, wrists, feet and ankles is averaged over any 10 grams of tissue defined as a tissue volume in the shape of a cube.

## **6. Specific Absorption Rate (SAR)**

### **6.1 Introduction**

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

### **6.2 SAR Definition**

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

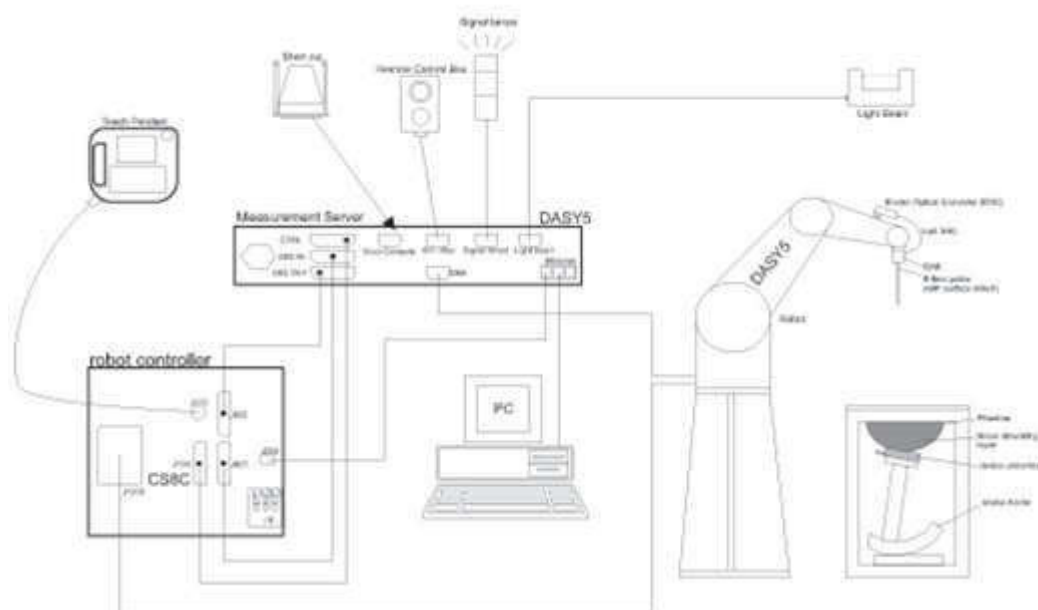
$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.



## **7. System Description and Setup**

The DASY system used for performing compliance tests consists of the following items:




- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

## 7.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

### <EX3DV4 Probe>

<b>Construction</b>	Symmetric design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
<b>Frequency</b>	10 MHz – >6 GHz Linearity: $\pm 0.2$ dB (30 MHz – 6 GHz)	
<b>Directivity</b>	$\pm 0.3$ dB in TSL (rotation around probe axis) $\pm 0.5$ dB in TSL (rotation normal to probe axis)	
<b>Dynamic Range</b>	10 $\mu$ W/g – >100 mW/g Linearity: $\pm 0.2$ dB (noise: typically <1 $\mu$ W/g)	
<b>Dimensions</b>	Overall length: 337 mm (tip: 20 mm) Tip diameter: 2.5 mm (body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

## 7.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.


The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



**Fig 5.1 Photo of DAE**


### 7.3 Phantom

#### <SAM Twin Phantom>

Shell Thickness	2 ± 0.2 mm; Center ear point: 6 ± 0.2 mm	
Filling Volume	Approx. 25 liters	
Dimensions	Length: 1000 mm; Width: 500 mm; Height: adjustable feet	
Measurement Areas	Left Hand, Right Hand, Flat Phantom	

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

#### <ELI Phantom>

Shell Thickness	2 ± 0.2 mm (sagging: <1%)	
Filling Volume	Approx. 30 liters	
Dimensions	Major ellipse axis: 600 mm Minor axis: 400 mm	

The ELI phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30 MHz to 6 GHz. ELI4 is fully compatible with standard and all known tissue simulating liquids.

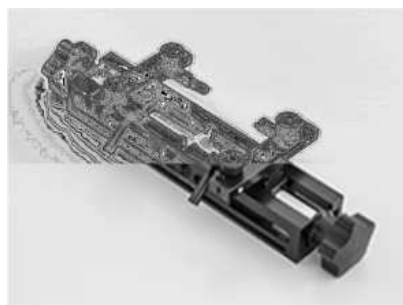
## 7.4 Device Holder

### <Mounting Device for Hand-Held Transmitter>

In combination with the Twin SAM V5.0/V5.0c or ELI phantoms, the Mounting Device for Hand-Held Transmitters enables rotation of the mounted transmitter device to specified spherical coordinates. At the heads, the rotation axis is at the ear opening. Transmitter devices can be easily and accurately positioned according to IEC 62209-1, IEEE 1528, FCC, or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat). And upgrade kit to Mounting Device to enable easy mounting of wider devices like big smart-phones, e-books, small tablets, etc. It holds devices with width up to 140 mm.



Mounting Device for Hand-Held Transmitters



Mounting Device Adaptor for Wide-Phones

### <Mounting Device for Laptops and other Body-Worn Transmitters>

The extension is lightweight and made of POM, acrylic glass and foam. It fits easily on the upper part of the mounting device in place of the phone positioned. The extension is fully compatible with the SAM Twin and ELI phantoms.



Mounting Device for Laptops

## **8. Measurement Procedures**

The measurement procedures are as follows:

### **<Conducted power measurement>**

- (a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
- (b) Read the WWAN RF power level from the base station simulator.

### **<SAR measurement>**

- (a) Use base station simulator to configure EUT WWAN transmission in radiated connection, at maximum RF power, in the highest power channel.
- (b) Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- (e) Find out the largest SAR result on these testing positions of each band
- (f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

### **8.1 Spatial Peak SAR Evaluation**

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values from the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

## **8.2 Power Reference Measurement**

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

## **8.3 Area Scan**

The area scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum found in the scanned area, within a range of the global maximum. The range (in dB) is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan), if only one zoom scan follows the area scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of zoom scans has to be increased accordingly.

Area scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

	$\leq 3 \text{ GHz}$	$> 3 \text{ GHz}$
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	$5 \pm 1 \text{ mm}$	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5 \text{ mm}$
Maximum probe angle from probe axis to phantom surface normal at the measurement location	$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
Maximum area scan spatial resolution: $\Delta x_{\text{Area}}, \Delta y_{\text{Area}}$	$\leq 2 \text{ GHz: } \leq 15 \text{ mm}$ $2 - 3 \text{ GHz: } \leq 12 \text{ mm}$	$3 - 4 \text{ GHz: } \leq 12 \text{ mm}$ $4 - 6 \text{ GHz: } \leq 10 \text{ mm}$
	When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device.	



### 8.4 Zoom Scan

Zoom scans are used to assess the peak spatial SAR values within a cubic averaging volume containing 1 gram and 10 gram of simulated tissue. The zoom scan measures points (refer to table below) within a cube whose base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the zoom scan evaluates the averaged SAR for 1 gram and 10 gram and displays these values next to the job's label.

Zoom scan parameters extracted from FCC KDB 865664 D01v01r04 SAR measurement 100 MHz to 6 GHz.

			$\leq 3\text{ GHz}$	$> 3\text{ GHz}$
Maximum zoom scan spatial resolution: $\Delta x_{\text{Zoom}}, \Delta y_{\text{Zoom}}$			$\leq 2\text{ GHz}: \leq 8\text{ mm}$ $2 - 3\text{ GHz}: \leq 5\text{ mm}^*$	$3 - 4\text{ GHz}: \leq 5\text{ mm}^*$ $4 - 6\text{ GHz}: \leq 4\text{ mm}^*$
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: $\Delta z_{\text{Zoom}}(n)$		$\leq 5\text{ mm}$	$3 - 4\text{ GHz}: \leq 4\text{ mm}$ $4 - 5\text{ GHz}: \leq 3\text{ mm}$ $5 - 6\text{ GHz}: \leq 2\text{ mm}$
	graded grid	$\Delta z_{\text{Zoom}}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface	$\leq 4\text{ mm}$	$3 - 4\text{ GHz}: \leq 3\text{ mm}$ $4 - 5\text{ GHz}: \leq 2.5\text{ mm}$ $5 - 6\text{ GHz}: \leq 2\text{ mm}$
		$\Delta z_{\text{Zoom}}(n>1)$ : between subsequent points	$\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$	
Minimum zoom scan volume	x, y, z		$\geq 30\text{ mm}$	$3 - 4\text{ GHz}: \geq 28\text{ mm}$ $4 - 5\text{ GHz}: \geq 25\text{ mm}$ $5 - 6\text{ GHz}: \geq 22\text{ mm}$
Note: $\delta$ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.				
* When zoom scan is required and the <i>reported</i> SAR from the <i>area scan based 1-g SAR estimation</i> procedures of KDB 447498 is $\leq 1.4\text{ W/kg}$ , $\leq 8\text{ mm}$ , $\leq 7\text{ mm}$ and $\leq 5\text{ mm}$ zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.				

### 8.5 Volume Scan Procedures

The volume scan is used to assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

### 8.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASy measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

## 9. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	835MHz System Validation Kit	D835V2	4d091	2016/11/22	2017/11/21
SPEAG	1900MHz System Validation Kit	D1900V2	5d118	2016/11/24	2017/11/23
SPEAG	Data Acquisition Electronics	DAE4	1437	2016/7/12	2017/7/11
SPEAG	Dosimetric E-Field Probe	EX3DV4	3954	2016/11/28	2017/11/27
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR
SPEAG	SAM Twin Phantom	QD 000 P40 CB	TP-1164	NCR	NCR
Agilent	Wireless Communication Test Set	E5515C	MY52102706	2017/4/18	2018/4/17
Agilent	ENA Series Network Analyzer	E5071C	MY46111157	2017/4/18	2018/4/17
SPEAG	DAK Kit	DAK3.5	1144	2016/11/23	2017/11/22
R&S	Signal Generator	SMR40	100455	2017/1/19	2018/1/18
Anritsu	Power Sensor	MA2411B	1644003	2016/12/23	2017/12/22
Anritsu	Power Meter	ML2495A	1531197	2016/12/23	2017/12/22
Anritsu	Power Sensor	MA2411B	1644004	2016/12/23	2017/12/22
Anritsu	Power Meter	ML2495A	1531198	2016/12/23	2017/12/22
R&S	Spectrum Analyzer	FSV7	101631	2016/8/8	2017/8/7
ARRA	Power Divider	A3200-2	NA	Note1	
Agilent	Dual Directional Coupler	778D	50422	Note1	
PASTERNAK	Dual Directional Coupler	PE2214-10	N/A	Note1	
AR	Amplifier	5S1G4	333096	Note1	
mini-circuits	Amplifier	ZVE-3W-83+	162601250	Note1	
MCL	Attenuation1	BW-S10W5+	N/A	Note1	
MCL	Attenuation2	BW-S10W5+	N/A	Note1	
MCL	Attenuation3	BW-S10W5+	N/A	Note1	

**Note:**

1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.



## **10. System Verification**

### **10.1 Tissue Simulating Liquids**

For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 10.1.



**Fig 10.1 Photo of Liquid Height for Body SAR**

## 10.2 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity ( $\sigma$ )	Permittivity ( $\epsilon_r$ )
For Body								
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3

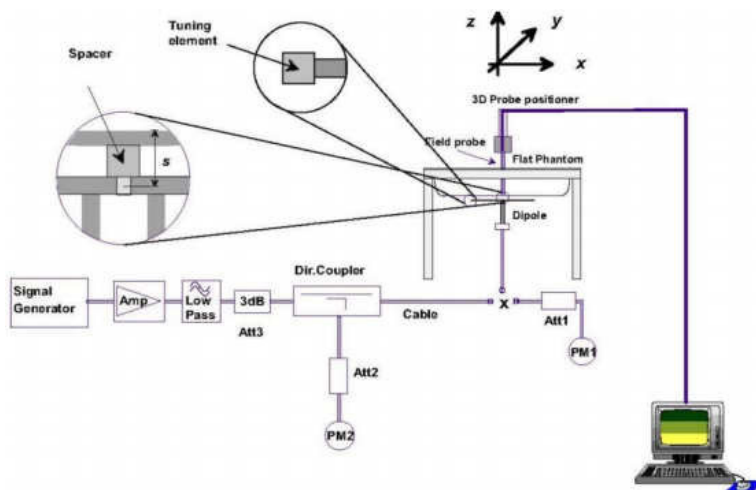
### <Tissue Dielectric Parameter Check Results>

Frequency (MHz)	Tissue Type	Liquid Temp. (°C)	Conductivity ( $\sigma$ )	Permittivity ( $\epsilon_r$ )	Conductivity Target ( $\sigma$ )	Permittivity Target ( $\epsilon_r$ )	Delta ( $\sigma$ ) (%)	Delta ( $\epsilon_r$ ) (%)	Limit (%)	Date
835	Body	22.8	0.983	54.635	0.97	55.20	1.34	-1.02	±5	2017/4/19
1900	Body	22.9	1.532	54.063	1.52	53.30	0.79	1.43	±5	2017/4/20

### 10.3 System Performance Check Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2017/4/19	835	Body	250	4d091	3954	1437	2.25	9.68	9	-7.02
2017/4/20	1900	Body	250	5d118	3954	1437	10.50	40.80	42	2.94







**Fig 8.3.1 System Performance Check Setup**



**Fig 8.3.2 Setup Photo**

## **11. RF Exposure Positions**

This EUT which USB connector port can rotate 360°. Test all USB orientations [see figure below: (A) Horizontal-Up, (B) Horizontal-Down, (C) Vertical-Front, and (D) Vertical-Back] and Tip Side (the tip of the EUT) with a device-to-phantom separation distance of 5 mm or less, according to KDB Publication 447498 D01 requirements. These test orientations are intended for the exposure conditions found in typical laptop/notebook/netbook or tablet computers with either horizontal or vertical USB connector configurations at various locations in the keyboard section of the computer. Current generation portable host computers should be used to establish the required SAR measurement separation distance. The same test separation distance must be used to test all frequency bands and modes in each USB orientation. The typical Horizontal-Up USB connection (A), found in the majority of host computers, must be tested using an appropriate host computer. A host computer with either Vertical-Front (C) or Vertical Back (D) USB connection should be used to test one of the vertical USB orientations. If a suitable host computer is not available for testing the Horizontal-Down (B) or the remaining Vertical USB orientation, a high quality USB cable, 12 inches or less, may be used for testing these other orientations. It must be documented that the USB cable does not influence the radiating characteristics and output power of the transmitter.

			
Configuration 1 (Horizontal Up)	Configuration 2 (Horizontal Down)	Configuration 3 (Vertical Front)	Configuration 4 (Vertical Back)

## 12. Conducted RF Output Power (Unit: dBm)

### <GSM Conducted Power>

1. Per KDB 447498 D01v06, the maximum output power channel is used for SAR testing and for further SAR test reduction.
2. Per KDB 941225 D01v03r01, for SAR test reduction for GPRS and EDGE modes is determined by the frame-average output power including tune-up tolerance, for modes with the same specified maximum output power and tolerance, the higher number time-slot configuration should be tested, therefore, the GPRS 2Tx slots modes was selected for GSM850/1900 according to the highest frame-average output power.

GSM850	Burst Average Power (dBm)			Tune-up Limit (dBm)	Frame-Average Power (dBm)			Tune-up Limit (dBm)
Tx Channel	128	189	251		128	189	251	
Frequency (MHz)	824.2	836.4	848.8		824.2	836.4	848.8	
GPRS 1 Tx slot	31.98	31.99	31.97	32.50	22.98	22.99	22.97	23.50
GPRS 2 Tx slots	29.75	29.79	29.78	30.00	23.75	23.79	23.78	24.00
GPRS 3 Tx slots	27.59	27.56	27.64	28.00	23.33	23.30	23.38	23.74
GPRS 4 Tx slots	25.51	25.49	25.53	26.00	22.51	22.49	22.53	23.00
EDGE 1 Tx slot	25.81	25.82	25.84	26.00	16.81	16.82	16.84	17.00
EDGE 2 Tx slots	24.71	24.69	24.65	25.00	18.71	18.69	18.65	19.00
EDGE 3 Tx slots	22.57	22.52	22.56	23.00	18.31	18.26	18.30	18.74
EDGE 4 Tx slots	20.76	20.46	20.43	21.00	17.76	17.46	17.43	18.00

**Remark:** The frame-averaged power is linearly scaled the maximum burst averaged power over 8 time slots.

The calculated method are shown as below:

Frame-averaged power = Maximum burst averaged power (1 Tx Slot) - 9 dB

Frame-averaged power = Maximum burst averaged power (2 Tx Slots) - 6 dB

Frame-averaged power = Maximum burst averaged power (3 Tx Slots) - 4.26 dB

Frame-averaged power = Maximum burst averaged power (4 Tx Slots) - 3 dB

GSM1900	Burst Average Power (dBm)			Tune-up Limit (dBm)	Frame-Average Power (dBm)			Tune-up Limit (dBm)
Tx Channel	512	661	810		512	661	810	
Frequency (MHz)	1850.2	1880	1909.8		1850.2	1880	1909.8	
GPRS 1 Tx slot	27.27	27.54	27.68	28.00	18.27	18.54	18.68	19.00
GPRS 2 Tx slots	24.99	25.29	25.46	26.00	18.99	19.29	19.46	20.00
GPRS 3 Tx slots	23.05	23.23	23.49	24.00	18.79	18.97	19.23	19.74
GPRS 4 Tx slots	21.01	21.26	21.34	22.00	18.01	18.26	18.34	19.00
EDGE 1 Tx slot	23.23	23.46	23.71	24.00	14.23	14.46	14.71	15.00
EDGE 2 Tx slots	22.51	22.58	22.87	23.00	16.51	16.58	16.87	17.00
EDGE 3 Tx slots	20.31	20.61	20.81	21.00	16.05	16.35	16.55	16.74
EDGE 4 Tx slots	18.24	18.51	18.64	19.00	15.24	15.51	15.64	16.00

**Remark:** The frame-averaged power is linearly scaled the maximum burst averaged power over 8 time slots.

The calculated method are shown as below:

Frame-averaged power = Maximum burst averaged power (1 Tx Slot) - 9 dB

Frame-averaged power = Maximum burst averaged power (2 Tx Slots) - 6 dB

Frame-averaged power = Maximum burst averaged power (3 Tx Slots) - 4.26 dB

Frame-averaged power = Maximum burst averaged power (4 Tx Slots) - 3 dB

**<WCDMA Conducted Power>**

1. The following tests were conducted according to the test requirements outlines in 3GPP TS 34.121 specification.
2. The procedures in KDB 941225 D01v03r01 are applied for 3GPP Rel. 6 HSPA to configure the device in the required sub-test mode(s) to determine SAR test exclusion.

A summary of these settings are illustrated below:

**HSDPA Setup Configuration:**

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
  - i. Set Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters were set according to each
  - ii. Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121
  - iii. Set RMC 12.2Kbps + HSDPA mode.
  - iv. Set Cell Power = -86 dBm
  - v. Set HS-DSCH Configuration Type to FRC (H-set 1, QPSK)
  - vi. Select HSDPA Uplink Parameters
  - vii. Set Delta ACK, Delta NACK and Delta CQI = 8
  - viii. Set Ack-Nack Repetition Factor to 3
  - ix. Set CQI Feedback Cycle (k) to 4 ms
  - x. Set CQI Repetition Factor to 2
  - xi. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

**Table C.10.1.4:  $\beta$  values for transmitter characteristics tests with HS-DPCCH**

Sub-test	$\beta_c$	$\beta_d$	$\beta_d$ (SF)	$\beta_c/\beta_d$	$\beta_{hs}$ (Note 1, Note 2)	CM (dB) (Note 3)	MPR (dB) (Note 3)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15 (Note 4)	15/15 (Note 4)	64	12/15 (Note 4)	24/15	1.0	0.0
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	15/15	4/15	64	15/4	30/15	1.5	0.5

Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 30/15$  with  $\beta_{hs} = 30/15 * \beta_c$ .

Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA,  $\Delta_{ACK}$  and  $\Delta_{NACK} = 30/15$  with  $\beta_{hs} = 30/15 * \beta_c$ , and  $\Delta_{CQI} = 24/15$  with  $\beta_{hs} = 24/15 * \beta_c$ .

Note 3: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{hs}/\beta_c = 24/15$ . For all other combinations of DPCCH, DPCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF0) to  $\beta_c = 11/15$  and  $\beta_d = 15/15$ .

**Setup Configuration**

**HSUPA Setup Configuration:**

- a. The EUT was connected to Base Station Agilent E5515C referred to the Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting \* :
  - i. Call Configs = 5.2B, 5.9B, 5.10B, and 5.13.2B with QPSK
  - ii. Set the Gain Factors ( $\beta_c$  and  $\beta_d$ ) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.3, quoted from the TS 34.121
  - iii. Set Cell Power = -86 dBm
  - iv. Set Channel Type = 12.2k + HSPA
  - v. Set UE Target Power
  - vi. Power Ctrl Mode= Alternating bits
  - vii. Set and observe the E-TFCI
  - viii. Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

**Table C.11.1.3:  $\beta$  values for transmitter characteristics tests with HS-DPCCH and E-DCH**

Sub-test	$\beta_c$	$\beta_d$	$\beta_d$ (SF)	$\beta_c/\beta_d$	$\beta_{HS}$ (Note 1)	$\beta_{ec}$	$\beta_{ed}$ (Note 5) (Note 6)	$\beta_{ed}$ (SF)	$\beta_{ed}$ (Codes)	CM (dB) (Note 2)	MPR (dB) (Note 2)	AG Index (Note 6)	E-TFCI
1	11/15 (Note 3)	15/15 (Note 3)	64	11/15 (Note 3)	22/15	209/25	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	$\beta_{ed1}$ : 47/15 $\beta_{ed2}$ : 47/15	4 4	2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15 (Note 4)	15/15 (Note 4)	64	15/15 (Note 4)	30/15	24/15	134/15	4	1	1.0	0.0	21	81

Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 30/15$  with  $\beta_{hs} = 30/15 * \beta_c$ .

Note 2: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{hs}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3: For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 10/15$  and  $\beta_d = 15/15$ .

Note 4: For subtest 5 the  $\beta_c/\beta_d$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 14/15$  and  $\beta_d = 15/15$ .

Note 5: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.

Note 6:  $\beta_{ed}$  can not be set directly, it is set by Absolute Grant Value.

**Setup Configuration**

**<WCDMA Conducted Power>**
**General Note:**

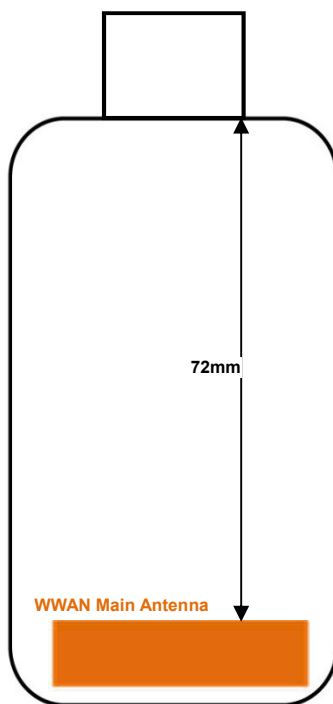
1. Per KDB 941225 D01v03r01, for SAR testing is measured using a 12.2 kbps RMC with TPC bits configured to all "1's".
2. Per KDB 941225 D01v03r01, RMC 12.2kbps setting is used to evaluate SAR. If the maximum output power and tune-up tolerance specified for production units in HSDPA / HSUPA is  $\leq \frac{1}{4}$  dB higher than RMC 12.2Kbps or when the highest reported SAR of the RMC12.2Kbps is scaled by the ratio of specified maximum output power and tune-up tolerance of HSDPA / HSUPA to RMC12.2Kbps and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for HSDPA / HSUPA.

Band		WCDMA Band V			Tune-up Limit (dBm)
Tx Channel		4132	4182	4233	
Rx Channel		4357	4407	4458	
Frequency (MHz)		826.4	836.4	846.6	
3GPP Rel 99	RMC 12.2Kbps	22.67	<b>22.75</b>	22.58	23.00
3GPP Rel 6	HSDPA Subtest-1	21.53	21.60	21.48	22.00
3GPP Rel 6	HSDPA Subtest-2	21.52	21.67	21.52	22.00
3GPP Rel 6	HSDPA Subtest-3	21.01	21.07	21.04	21.50
3GPP Rel 6	HSDPA Subtest-4	21.10	21.07	21.04	21.50
3GPP Rel 6	HSUPA Subtest-1	21.00	21.48	20.82	21.50
3GPP Rel 6	HSUPA Subtest-2	20.45	20.34	20.26	20.50
3GPP Rel 6	HSUPA Subtest-3	20.21	20.23	20.35	20.50
3GPP Rel 6	HSUPA Subtest-4	20.78	21.11	20.26	21.50
3GPP Rel 6	HSUPA Subtest-5	21.40	21.50	21.40	22.00



### 13. Antenna Location

Tip Side



Back View

## 14. SAR Test Results

### General Note:

1. Per KDB 447498 D01v06, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance.
  - a. Tune-up scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.
  - b. Reported SAR(W/kg)= Measured SAR(W/kg)\*Tune-up Scaling Factor
2. Per KDB 447498 D01v06, for each exposure position, testing of other required channels within the operating mode of a frequency band is not required when the *reported* 1-g or 10-g SAR for the mid-band or highest output power channel is:
  - $\leq 0.8$  W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\leq 100$  MHz
  - $\leq 0.6$  W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
  - $\leq 0.4$  W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\geq 200$  MHz
3. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is  $\geq 0.8$ W/kg.
4. This EUT which USB connector port can rotate 360°, for WWAN antenna located larger than 2.5 cm from the USB connector, so no need consider other position angle.

### GSM Note:

Per KDB 941225 D01v03r01, for SAR test reduction for GPRS and EDGE modes is determined by the source-based time-averaged output power including tune-up tolerance, for modes with the same specified maximum output power and tolerance, the higher number time-slot configuration should be tested, therefore, the GPRS 2Tx slots modes was selected for GSM850/1900 according to the highest source-based time-averaged output power.

### UMTS Note:

1. Per KDB 941225 D01v03r01, for SAR testing is measured using a 12.2 kbps RMC with TPC bits configured to all "1's".
2. Per KDB 941225 D01v03r01, RMC 12.2kbps setting is used to evaluate SAR. If the maximum output power and tune-up tolerance specified for production units in HSDPA / HSUPA is  $\leq \frac{1}{4}$  dB higher than RMC 12.2Kbps or when the highest reported SAR of the RMC12.2Kbps is scaled by the ratio of specified maximum output power and tune-up tolerance of HSDPA / HSUPA to RMC12.2Kbps and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for HSDPA / HSUPA.

**14.1 Body SAR****<GSM SAR>**

Plot No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
#01	GSM850	GPRS (2 Tx slots)	Horizontal Up	5	189	836.4	29.79	30	1.050	-0.07	1.010	1.060
	GSM850	GPRS (2 Tx slots)	Horizontal Up	5	128	824.2	29.75	30	1.059	-0.07	1.060	1.123
	GSM850	GPRS (2 Tx slots)	Horizontal Up	5	251	848.8	29.78	30	1.052	0.03	1.040	1.094
	GSM850	GPRS (2 Tx slots)	Horizontal Down	5	189	836.4	29.79	30	1.050	0.18	0.811	0.851
	GSM850	GPRS (2 Tx slots)	Horizontal Down	5	128	824.2	29.75	30	1.059	-0.01	0.806	0.854
	GSM850	GPRS (2 Tx slots)	Horizontal Down	5	251	848.8	29.78	30	1.052	0.03	0.997	1.049
	GSM850	GPRS (2 Tx slots)	Vertical Front	5	189	836.4	29.79	30	1.050	-0.06	0.616	0.647
	GSM850	GPRS (2 Tx slots)	Vertical Back	5	189	836.4	29.79	30	1.050	0.02	0.618	0.649
	GSM850	GPRS (2 Tx slots)	Tip Side	5	189	836.4	29.79	30	1.050	-0.1	0.131	0.137
#02	GSM1900	GPRS (2 Tx slots)	Horizontal Up	5	810	1909.8	25.46	26	1.132	0.13	0.918	1.040
	GSM1900	GPRS (2 Tx slots)	Horizontal Up	5	512	1850.2	24.99	26	1.262	0.08	0.887	1.119
	GSM1900	GPRS (2 Tx slots)	Horizontal Up	5	661	1880	25.29	26	1.178	0.14	0.919	1.082
	GSM1900	GPRS (2 Tx slots)	Horizontal Down	5	810	1909.8	25.46	26	1.132	0.15	0.859	0.973
	GSM1900	GPRS (2 Tx slots)	Horizontal Down	5	512	1850.2	24.99	26	1.262	0.01	0.859	1.084
	GSM1900	GPRS (2 Tx slots)	Horizontal Down	5	661	1880	25.29	26	1.178	0.16	0.888	1.046
	GSM1900	GPRS (2 Tx slots)	Vertical Front	5	810	1909.8	25.46	26	1.132	0.1	0.678	0.768
	GSM1900	GPRS (2 Tx slots)	Vertical Back	5	810	1909.8	25.46	26	1.132	-0.05	0.549	0.622
	GSM1900	GPRS (2 Tx slots)	Tip Side	5	810	1909.8	25.46	26	1.132	-0.17	0.091	0.103

**<WCDMA SAR>**

Plot No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
#03	WCDMA Band V	RMC 12.2Kbps	Horizontal Up	5	4182	836.4	22.75	23	1.059	-0.19	0.907	0.961
	WCDMA Band V	RMC 12.2Kbps	Horizontal Up	5	4132	826.4	22.67	23	1.079	-0.18	0.793	0.856
	WCDMA Band V	RMC 12.2Kbps	Horizontal Up	5	4233	846.6	22.58	23	1.102	0.03	0.948	1.044
	WCDMA Band V	RMC 12.2Kbps	Horizontal Down	5	4182	836.4	22.75	23	1.059	0.01	0.692	0.733
	WCDMA Band V	RMC 12.2Kbps	Vertical Front	5	4182	836.4	22.75	23	1.059	0.1	0.465	0.493
	WCDMA Band V	RMC 12.2Kbps	Vertical Back	5	4182	836.4	22.75	23	1.059	0.11	0.502	0.532
	WCDMA Band V	RMC 12.2Kbps	Tip Side	5	4182	836.4	22.75	23	1.059	-0.16	0.108	0.114

**14.2 Repeated SAR Measurement**

No.	Band	Mode	Test Position	Gap (mm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Ratio	Reported 1g SAR (W/kg)
1st	GSM850	GPRS (2 Tx slots)	Horizontal Up	5	128	824.2	29.75	30	1.059	-0.07	1.060	1	1.123
2nd	GSM850	GPRS (2 Tx slots)	Horizontal Up	5	128	824.2	29.75	30	1.059	-0.01	1.010	1.050	1.070
1st	GSM1900	GPRS (2 Tx slots)	Horizontal Up	5	661	1880	25.29	26	1.178	0.14	0.919	1	1.082
2nd	GSM1900	GPRS (2 Tx slots)	Horizontal Up	5	661	1880	25.29	26	1.178	0.04	0.916	1.003	1.079

**General Note:**

1. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required only when the measured SAR is  $\geq 0.8$ W/kg.
2. Per KDB 865664 D01v01r04, if the ratio among the repeated measurement is  $\leq 1.2$  and the measured SAR  $< 1.45$ W/kg, only one repeated measurement is required.
3. The ratio is the difference in percentage between original and repeated *measured SAR*.
4. All measurement SAR result is scaled-up to account for tune-up tolerance and is compliant.

## 15. Simultaneous Transmission Analysis

NO.	Simultaneous Transmission Configurations
1.	None

**General Note:**

EUT will choose either GSM or WCDMA according to the network signal condition; therefore, they will not operate simultaneously at any moment.

**Test Engineer :** Nick Hu

## 16. Uncertainty Assessment

The component of uncertainty may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainty by the statistical analysis of a series of observations is termed a Type A evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in table below.

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor <sup>(a)</sup>	1/ $\kappa$ <sup>(b)</sup>	1/ $\sqrt{3}$	1/ $\sqrt{6}$	1/ $\sqrt{2}$

(a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity

(b)  $\kappa$  is the coverage factor

**Table 16.1. Standard Uncertainty for Assumed Distribution**

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

Error Description	Uncertainty Value (±%)	Probability	Divisor	(Ci) 1g	(Ci) 10g	Standard Uncertainty (1g) (±%)	Standard Uncertainty (10g) (±%)
<b>Measurement System</b>							
Probe Calibration	6.0	N	1	1	1	6.0	6.0
Axial Isotropy	4.7	R	1.732	0.7	0.7	1.9	1.9
Hemispherical Isotropy	9.6	R	1.732	0.7	0.7	3.9	3.9
Boundary Effects	1.0	R	1.732	1	1	0.6	0.6
Linearity	4.7	R	1.732	1	1	2.7	2.7
System Detection Limits	1.0	R	1.732	1	1	0.6	0.6
Modulation Response	3.2	R	1.732	1	1	1.8	1.8
Readout Electronics	0.3	N	1	1	1	0.3	0.3
Response Time	0.0	R	1.732	1	1	0.0	0.0
Integration Time	2.6	R	1.732	1	1	1.5	1.5
RF Ambient Noise	3.0	R	1.732	1	1	1.7	1.7
RF Ambient Reflections	3.0	R	1.732	1	1	1.7	1.7
Probe Positioner	0.4	R	1.732	1	1	0.2	0.2
Probe Positioning	2.9	R	1.732	1	1	1.7	1.7
Max. SAR Eval.	2.0	R	1.732	1	1	1.2	1.2
<b>Test Sample Related</b>							
Device Positioning	3.0	N	1	1	1	3.0	3.0
Device Holder	3.6	N	1	1	1	3.6	3.6
Power Drift	5.0	R	1.732	1	1	2.9	2.9
Power Scaling	0.0	R	1.732	1	1	0.0	0.0
<b>Phantom and Setup</b>							
Phantom Uncertainty	6.1	R	1.732	1	1	3.5	3.5
SAR correction	0.0	R	1.732	1	0.84	0.0	0.0
Liquid Conductivity Repeatability	0.2	N	1	0.78	0.71	0.1	0.1
Liquid Conductivity (target)	5.0	R	1.732	0.78	0.71	2.3	2.0
Liquid Conductivity (mea.)	2.5	R	1.732	0.78	0.71	1.1	1.0
Temp. unc. - Conductivity	3.4	R	1.732	0.78	0.71	1.5	1.4
Liquid Permittivity Repeatability	0.15	N	1	0.23	0.26	0.0	0.0
Liquid Permittivity (target)	5.0	R	1.732	0.23	0.26	0.7	0.8
Liquid Permittivity (mea.)	2.5	R	1.732	0.23	0.26	0.3	0.4
Temp. unc. - Permittivity	0.83	R	1.732	0.23	0.26	0.1	0.1
<b>Combined Std. Uncertainty</b>						11.4%	11.4%
<b>Coverage Factor for 95 %</b>						K=2	K=2
<b>Expanded STD Uncertainty</b>						22.9%	22.7%

**Table 16.2. Uncertainty Budget for frequency range 300 MHz to 3 GHz**

## **17. References**

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] ANSI/IEEE Std. C95.1-1992, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", September 1992
- [3] IEEE Std. 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", Sep 2013
- [4] SPEAG DASY System Handbook
- [5] FCC KDB 447498 D01 v06, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", Oct 2015
- [6] FCC KDB 447498 D02 v02r01, "SAR Measurement Procedures for USB Dongle Transmitters", Oct 2015.
- [7] FCC KDB 941225 D01 v03r01, "3G SAR MEAUREMENT PROCEDURES", Oct 2015
- [8] FCC KDB 865664 D01 v01r04, "SAR Measurement Requirements for 100 MHz to 6 GHz", Aug 2015.
- [9] FCC KDB 865664 D02 v01r02, "RF Exposure Compliance Reporting and Documentation Considerations" Oct 2015.





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**Appendix A. Plots of System Performance Check**

The plots are shown as follows.

**System Check\_Body\_835MHz****DUT: D835V2 - SN:4d091**

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium: MSL\_850 Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.983$  S/m;  $\epsilon_r = 54.635$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.8 °C

**DASY5 Configuration:**

- Probe: EX3DV4 - SN3954; ConvF(10.32, 10.32, 10.32); Calibrated: 2016.11.28;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1437; Calibrated: 2016.7.12
- Phantom: SAM1; Type: SAM; Serial: TP-1164
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Pin=250mW/Area Scan (61x61x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 2.82 W/kg

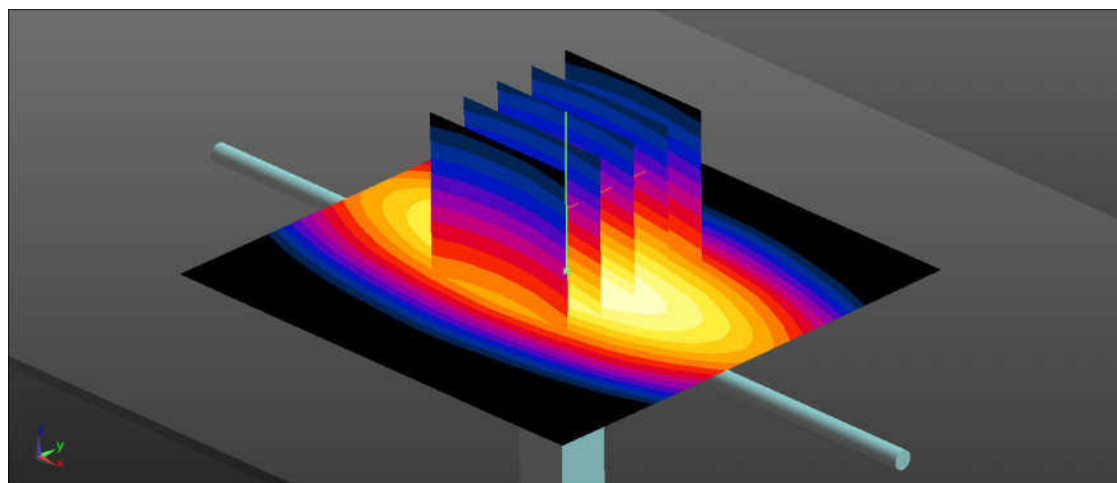
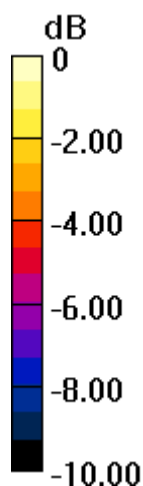
**Pin=250mW/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 49.77 V/m; Power Drift = -0.09 dB

Peak SAR (extrapolated) = 3.28 W/kg

**SAR(1 g) = 2.25 W/kg; SAR(10 g) = 1.49 W/kg**

Maximum value of SAR (measured) = 2.82 W/kg



0 dB = 2.82 W/kg = 4.50 dBW/kg

**System Check\_Body\_1900MHz****DUT: D1900V2 - SN:5d118**

Communication System: UID 0, CW (0); Frequency: 1900 MHz; Duty Cycle: 1:1

Medium: MSL\_1900 Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.532$  S/m;  $\epsilon_r = 54.063$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.9 °C

**DASY5 Configuration:**

- Probe: EX3DV4 - SN3954; ConvF(10.32, 10.32, 10.32); Calibrated: 2016.11.28;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1437; Calibrated: 2016.7.12
- Phantom: SAM1; Type: SAM; Serial: TP-1164
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Pin=250mW/Area Scan (61x61x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 14.2 W/kg

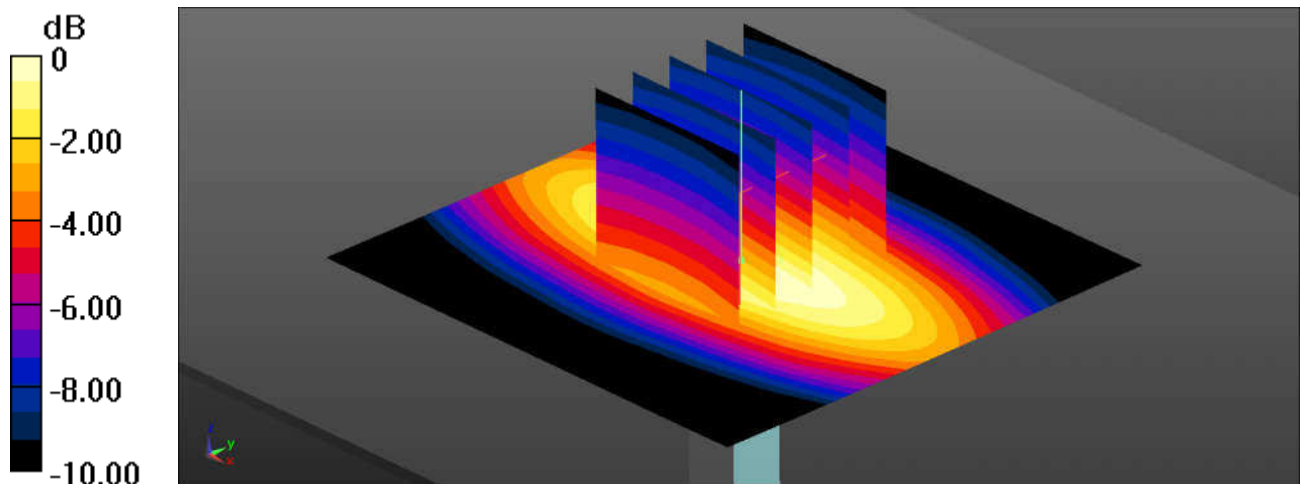
**Pin=250mW/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 86.47 V/m; Power Drift = -0.09 dB

Peak SAR (extrapolated) = 14.8 W/kg

**SAR(1 g) = 10.5 W/kg; SAR(10 g) = 5.79 W/kg**

Maximum value of SAR (measured) = 14.4 W/kg



0 dB = 14.4 W/kg = 11.58 dBW/kg



---

**Appendix B. Plots of High SAR Measurement**

The plots are shown as follows.

**#01\_GSM850\_GPRS 2 Tx slots\_Horizontal Up\_5mm\_Ch128**

Communication System: UID 0, GPRS/EDGE (2 Tx slots) (0); Frequency: 824.2 MHz; Duty Cycle: 1:4.15

Medium: MSL\_850 Medium parameters used:  $f = 824.2$  MHz;  $\sigma = 0.972$  S/m;  $\epsilon_r = 54.75$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.8 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3954; ConvF(10.32, 10.32, 10.32); Calibrated: 2016.11.28;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1437; Calibrated: 2016.7.12
- Phantom: SAM1; Type: SAM; Serial: TP-1164
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Ch128/Area Scan (81x41x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 1.33 W/kg

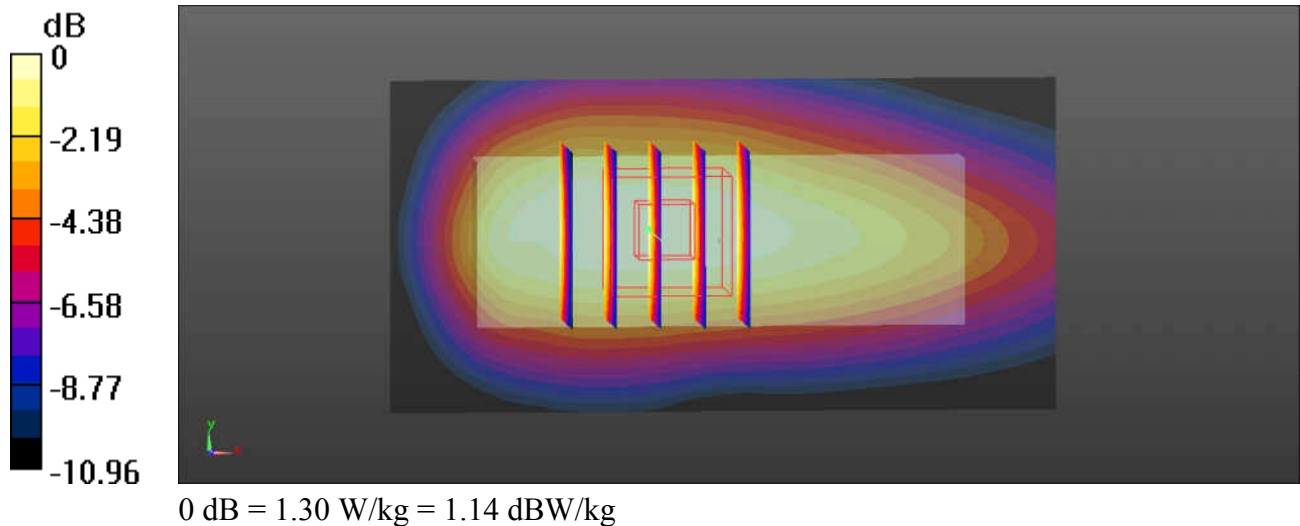
**Ch128/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 36.37 V/m; Power Drift = -0.07 dB

Peak SAR (extrapolated) = 1.50 W/kg

**SAR(1 g) = 1.06 W/kg; SAR(10 g) = 0.722 W/kg**

Maximum value of SAR (measured) = 1.30 W/kg



**#02\_GSM1900\_GPRS 2 Tx slots\_Horizontal Up\_5mm\_Ch512**

Communication System: UID 0, GPRS/EDGE (2 Tx slots) (0); Frequency: 1850.2 MHz; Duty Cycle: 1:4.15

Medium: MSL\_1900 Medium parameters used:  $f = 1850.2$  MHz;  $\sigma = 1.475$  S/m;  $\epsilon_r = 54.231$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.9 °C

DASY5 Configuration:

- Probe: EX3DV4 - SN3954; ConvF(8.01, 8.01, 8.01); Calibrated: 2016.11.28;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1437; Calibrated: 2016.7.12
- Phantom: SAM1; Type: SAM; Serial: TP-1164
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Ch512/Area Scan (81x41x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 1.29 W/kg

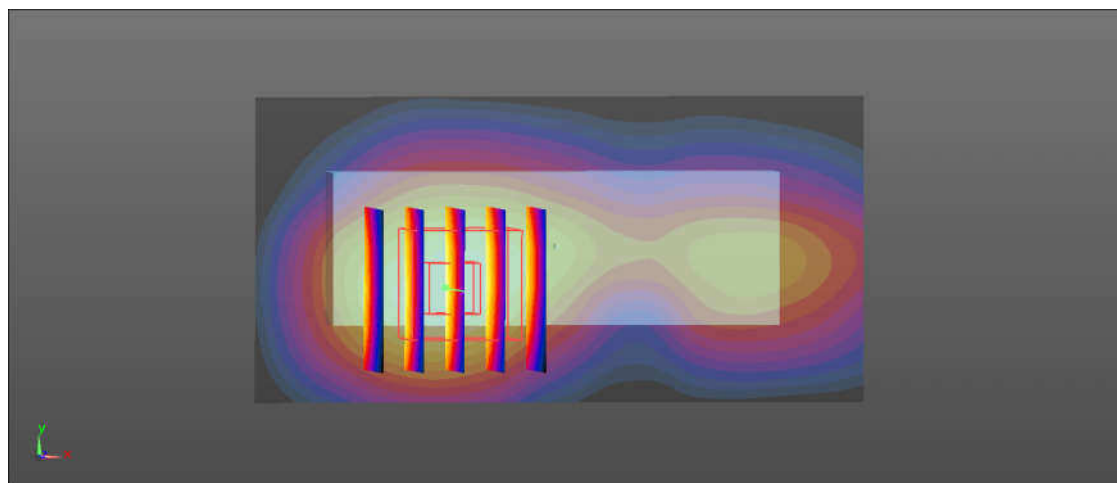
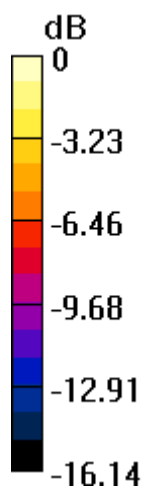
**Ch512/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 19.43 V/m; Power Drift = 0.08 dB

Peak SAR (extrapolated) = 1.48 W/kg

**SAR(1 g) = 0.887 W/kg; SAR(10 g) = 0.503 W/kg**

Maximum value of SAR (measured) = 1.21 W/kg



0 dB = 1.21 W/kg = 0.83 dBW/kg

**#03\_WCDMA Band V\_RMC 12.2Kbps\_Horizontal Up\_5mm\_Ch4233**

Communication System: UID 0, UMTS (0); Frequency: 846.6 MHz; Duty Cycle: 1:1  
Medium: MSL\_850 Medium parameters used:  $f = 846.6$  MHz;  $\sigma = 0.995$  S/m;  $\epsilon_r = 54.518$ ;  $\rho = 1000$  kg/m<sup>3</sup>  
Ambient Temperature : 23.6 °C ; Liquid Temperature : 22.8 °C

**DASY5 Configuration:**

- Probe: EX3DV4 - SN3954; ConvF(10.32, 10.32, 10.32); Calibrated: 2016.11.28;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1437; Calibrated: 2016.7.12
- Phantom: SAM1; Type: SAM; Serial: TP-1164
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**Ch4233/Area Scan (81x41x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 1.24 W/kg

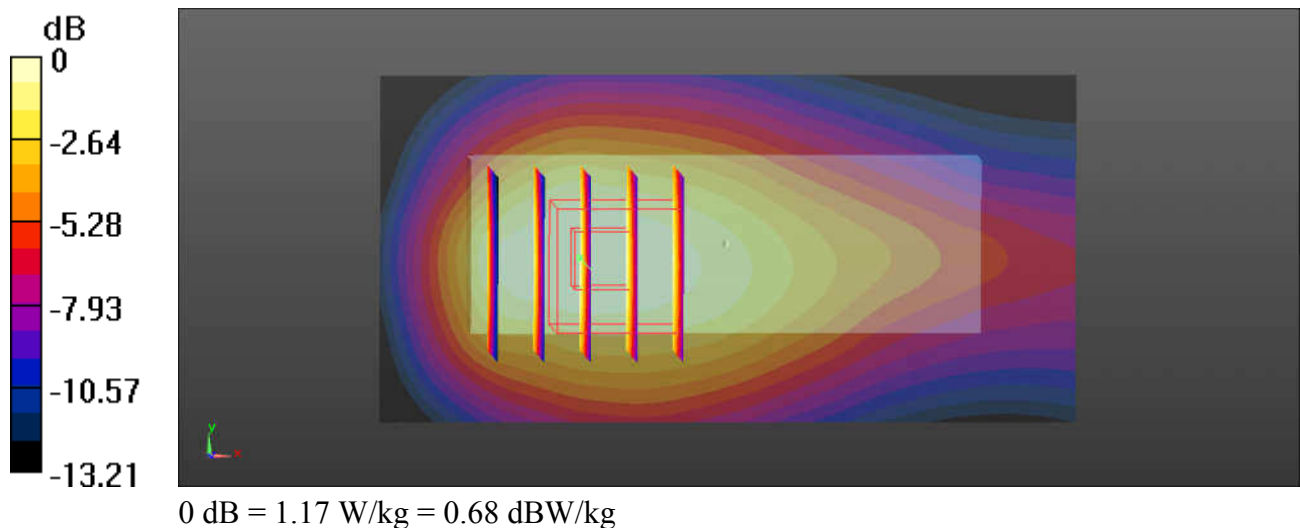
**Ch4233/Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 29.45 V/m; Power Drift = 0.03 dB

Peak SAR (extrapolated) = 1.37 W/kg

**SAR(1 g) = 0.948 W/kg; SAR(10 g) = 0.627 W/kg**

Maximum value of SAR (measured) = 1.17 W/kg





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**Appendix C.     DASY Calibration Certificate**

The DASY calibration certificates are shown as follows.





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Client

**Sporton-CN**

Certificate No: **Z16-97223**

## CALIBRATION CERTIFICATE

Object **D835V2 - SN: 4d091**

Calibration Procedure(s) **FD-Z11-003-01**  
**Calibration Procedures for dipole validation kits**

Calibration date: **November 22, 2016**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature( $22\pm 3$ ) $^{\circ}\text{C}$  and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Power sensor NRP-Z91	101547	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Reference Probe EX3DV4	SN 7433	26-Sep-16(SPEAG,No.EX3-7433_Sep16)	Sep-17
DAE4	SN 771	02-Feb-16(CTTL-SPEAG,No.Z16-97011)	Feb-17
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	01-Feb-16 (CTTL, No.J16X00893)	Jan-17
Network Analyzer E5071C	MY46110673	26-Jan-16 (CTTL, No.J16X00894)	Jan-17

	Name	Function	Signature
Calibrated by:	Zhao Jing	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: November 26, 2016

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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### Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
N/A	not applicable or not measured

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

### Additional Documentation:

- DASY4/5 System Handbook

### Methods Applied and Interpretation of Parameters:

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.





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**Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY52	52.8.8.1258
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz $\pm$ 1 MHz	

**Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m
Measured Head TSL parameters	(22.0 $\pm$ 0.2) °C	41.4 $\pm$ 6 %	0.92 mho/m $\pm$ 6 %
Head TSL temperature change during test	<1.0 °C	----	----

**SAR result with Head TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.36 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	9.31 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	1.54 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	6.09 mW / g $\pm$ 20.4 % (k=2)

**Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 $\pm$ 0.2) °C	54.2 $\pm$ 6 %	0.95 mho/m $\pm$ 6 %
Body TSL temperature change during test	<1.0 °C	----	----

**SAR result with Body TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.40 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	9.68 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	1.60 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	6.45 mW / g $\pm$ 20.4 % (k=2)



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## Appendix

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	50.0Ω- 3.20jΩ
Return Loss	- 29.9dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	46.8Ω- 1.59jΩ
Return Loss	- 28.7dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.282 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

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## DASY5 Validation Report for Head TSL

Date: 11.21.2016

Test Laboratory: CCTL, Beijing, China

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d091**

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.916$  S/m;  $\epsilon_r = 41.41$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(9.82, 9.82, 9.82); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

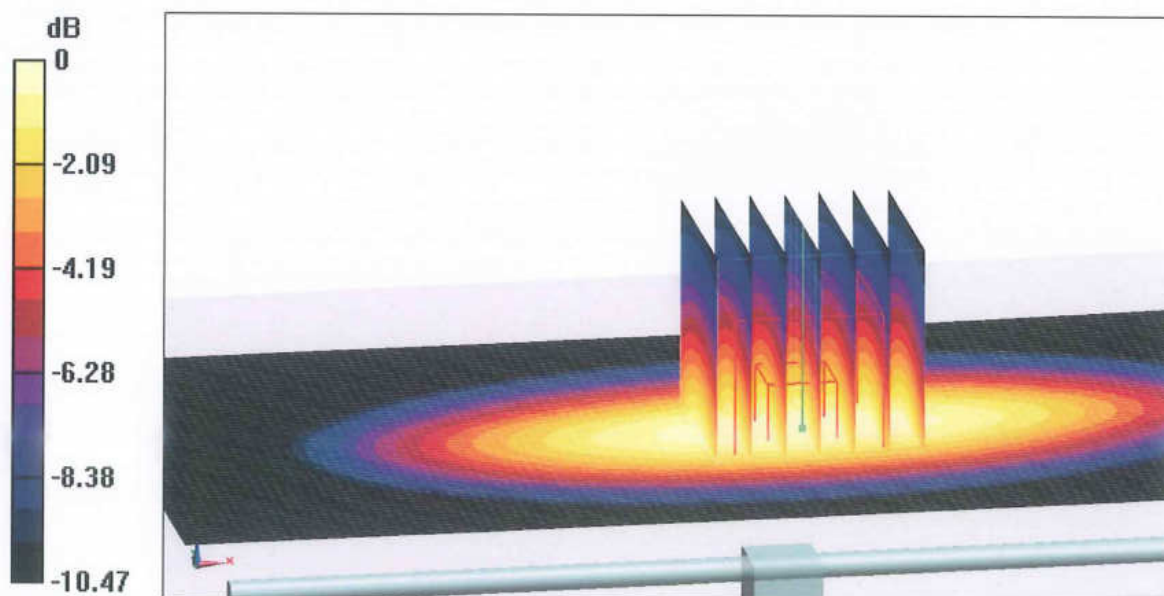
**Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:  $dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 58.29V/m; Power Drift = -0.02 dB

Peak SAR (extrapolated) = 3.54 W/kg

**SAR(1 g) = 2.36 W/kg; SAR(10 g) = 1.54 W/kg**

Maximum value of SAR (measured) = 3.01 W/kg



0 dB = 3.01 W/kg = 4.79 dBW/kg

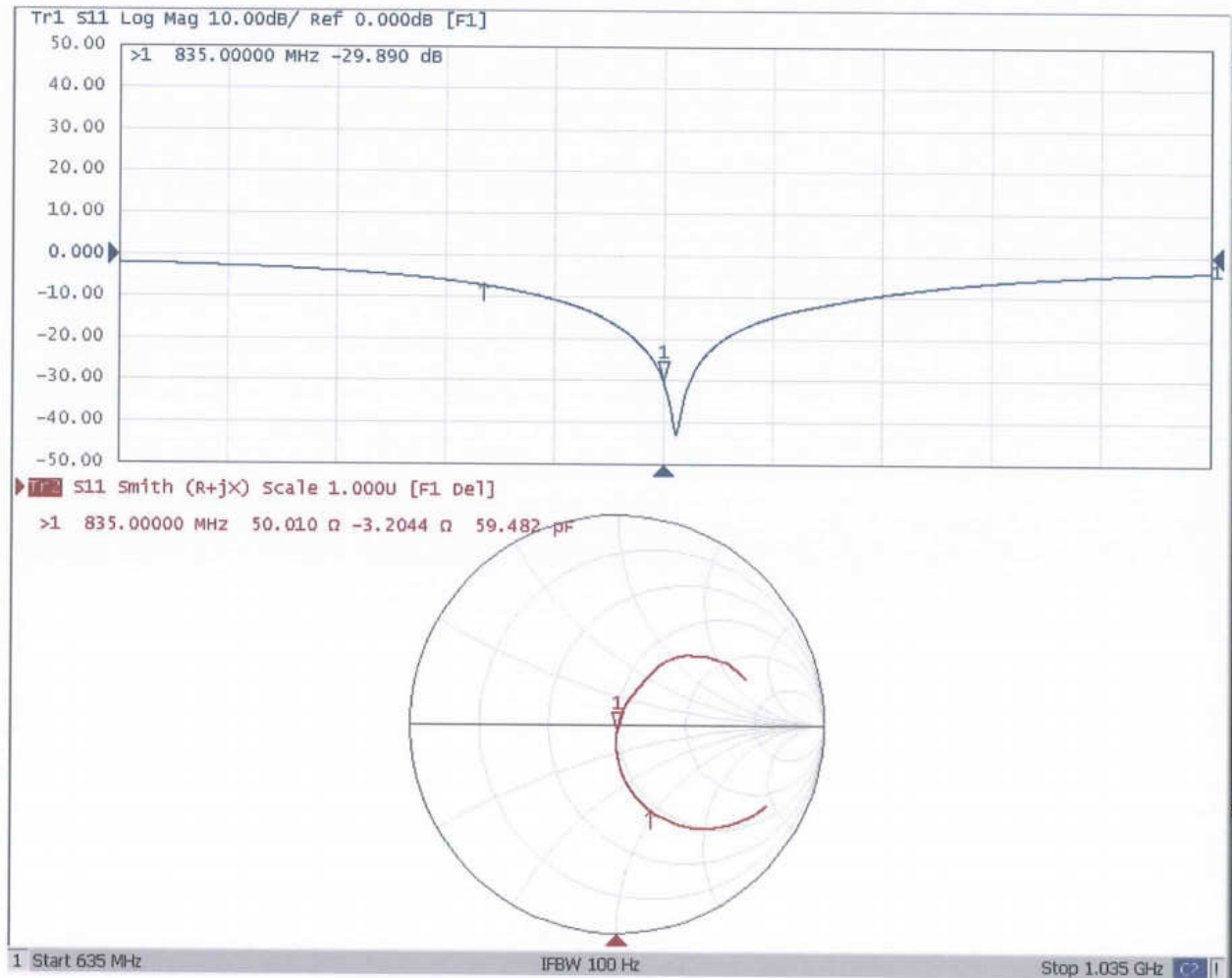


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## Impedance Measurement Plot for Head TSL





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## DASY5 Validation Report for Body TSL

Date: 11.22.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d091**

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 835$  MHz;  $\sigma = 0.954$  S/m;  $\epsilon_r = 54.22$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Left Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(9.5,9.5, 9.5); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

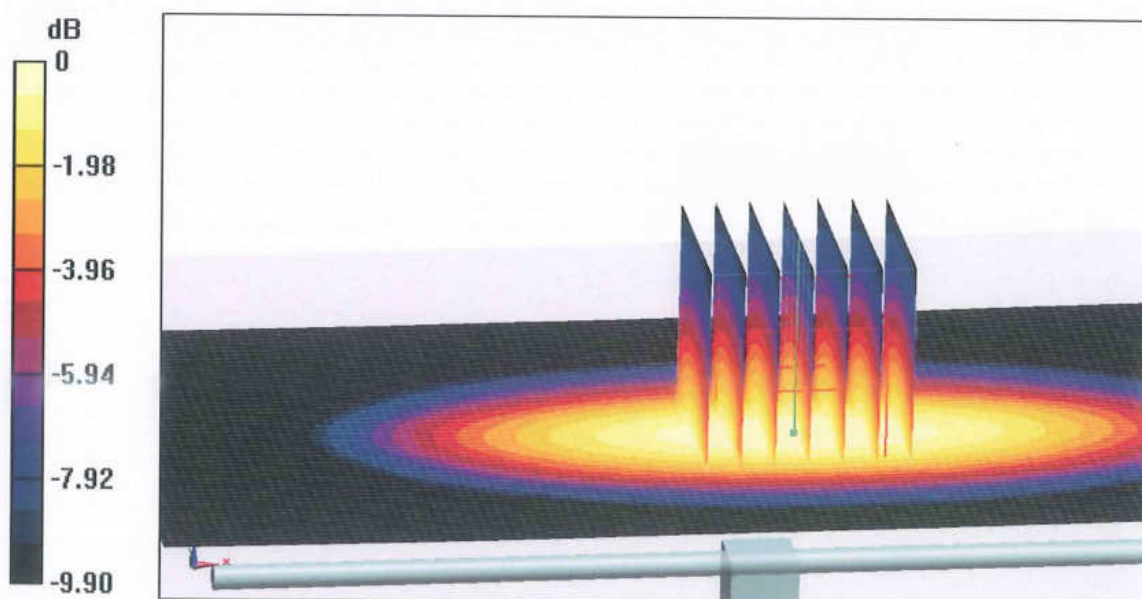
**Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:  $dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 55.98 V/m; Power Drift = -0.05 dB

Peak SAR (extrapolated) = 3.49 W/kg

**SAR(1 g) = 2.4 W/kg; SAR(10 g) = 1.6 W/kg**

Maximum value of SAR (measured) = 3.01 W/kg



**0 dB = 3.01 W/kg = 4.79 dBW/kg**



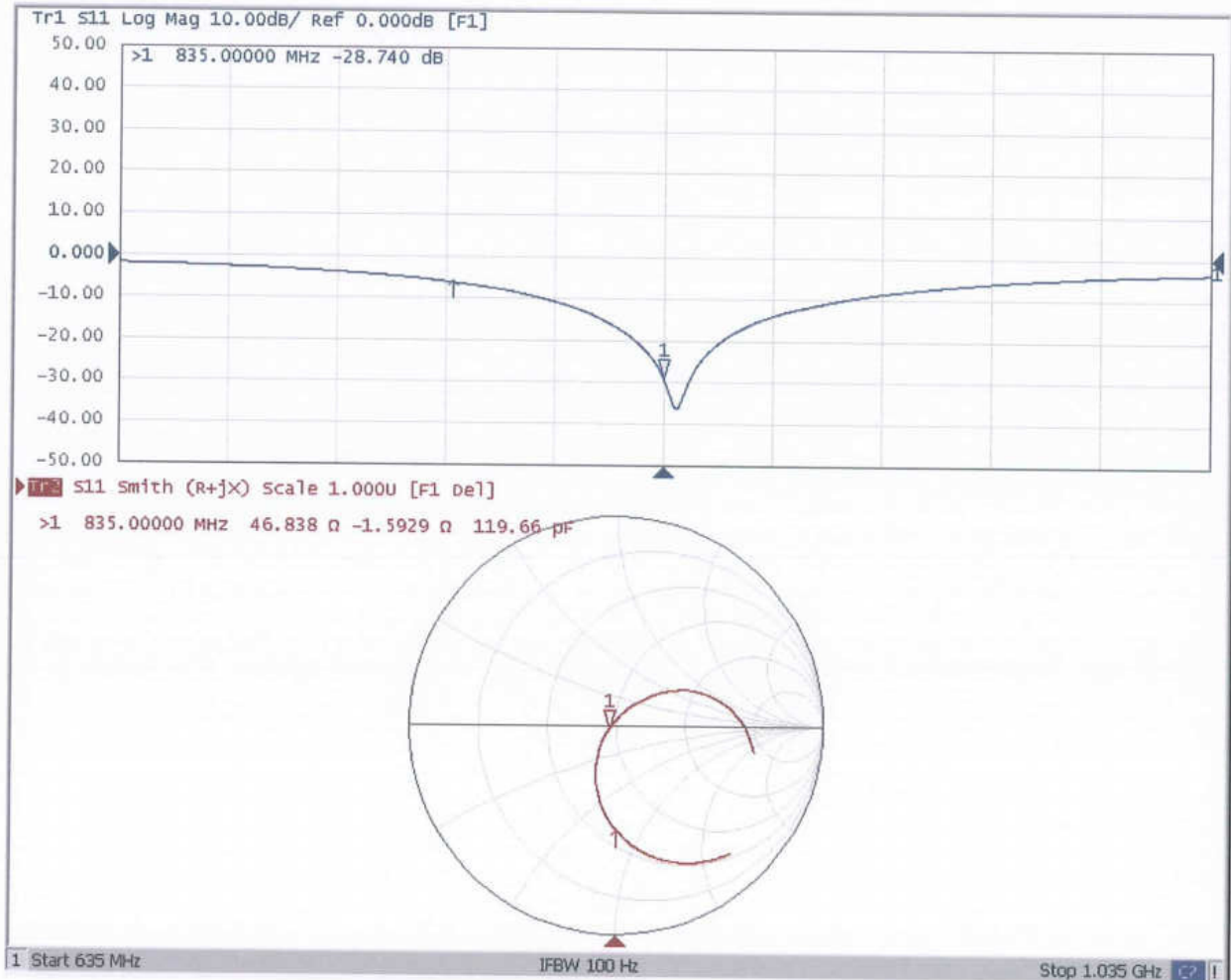


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## Impedance Measurement Plot for Body TSL







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Client

**Sporton-CN**

Certificate No: **Z16-97229**

## CALIBRATION CERTIFICATE

Object **D1900V2 - SN: 5d118**

Calibration Procedure(s) **FD-Z11-003-01**  
**Calibration Procedures for dipole validation kits**

Calibration date: **November 24, 2016**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Power sensor NRP-Z91	101547	27-Jun-16 (CTTL, No.J16X04777)	Jun-17
Reference Probe EX3DV4	SN 7433	26-Sep-16(SPEAG,No.EX3-7433_Sep16)	Sep-17
DAE4	SN 771	02-Feb-16(CTTL-SPEAG,No.Z16-97011)	Feb-17
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	01-Feb-16 (CTTL, No.J16X00893)	Jan-17
Network Analyzer E5071C	MY46110673	26-Jan-16 (CTTL, No.J16X00894)	Jan-17

	Name	Function	Signature
Calibrated by:	Zhao Jing	SAR Test Engineer	
Reviewed by:	Qi Dianyuan	SAR Project Leader	
Approved by:	Lu Bingsong	Deputy Director of the laboratory	

Issued: November 27, 2016

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### Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
N/A	not applicable or not measured

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

### Additional Documentation:

- DASY4/5 System Handbook

### Methods Applied and Interpretation of Parameters:

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.





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**Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY52	52.8.8.1258
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz $\pm$ 1 MHz	

**Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 $\pm$ 0.2) °C	40.4 $\pm$ 6 %	1.43 mho/m $\pm$ 6 %
Head TSL temperature change during test	<1.0 °C	---	---

**SAR result with Head TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	40.4 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	5.29 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	21.0 mW / g $\pm$ 20.4 % (k=2)

**Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 $\pm$ 0.2) °C	54.6 $\pm$ 6 %	1.53 mho/m $\pm$ 6 %
Body TSL temperature change during test	<1.0 °C	---	---

**SAR result with Body TSL**

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.2 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	40.8 mW / g $\pm$ 20.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	5.32 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	21.3 mW / g $\pm$ 20.4 % (k=2)



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## Appendix

### Antenna Parameters with Head TSL

Impedance, transformed to feed point	$50.4\Omega + 6.22j\Omega$
Return Loss	- 24.2dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	$47.5\Omega + 7.79j\Omega$
Return Loss	- 21.6dB

### General Antenna Parameters and Design

Electrical Delay (one direction)	1.086 ns
----------------------------------	----------

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

Manufactured by	SPEAG
-----------------	-------



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## DASY5 Validation Report for Head TSL

Date: 11.24.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.426$  S/m;  $\epsilon_r = 40.35$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(7.98, 7.98, 7.98); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:

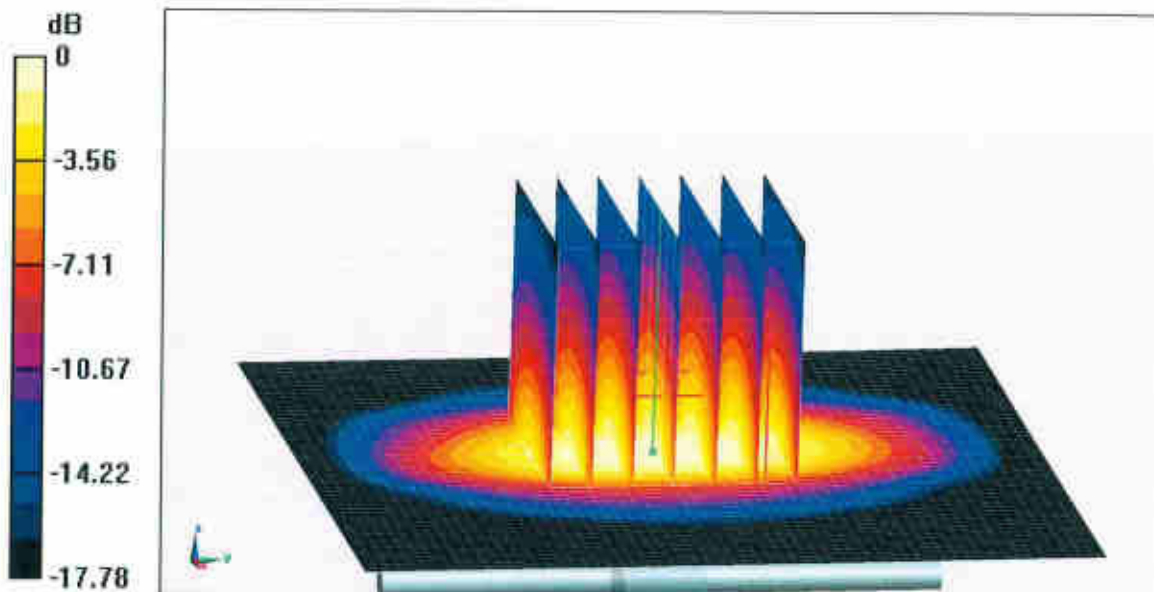
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 103.5 V/m; Power Drift = -0.01 dB

Peak SAR (extrapolated) = 18.9 W/kg

**SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.29 W/kg**

Maximum value of SAR (measured) = 14.7 W/kg



0 dB = 14.7 W/kg = 11.67 dBW/kg





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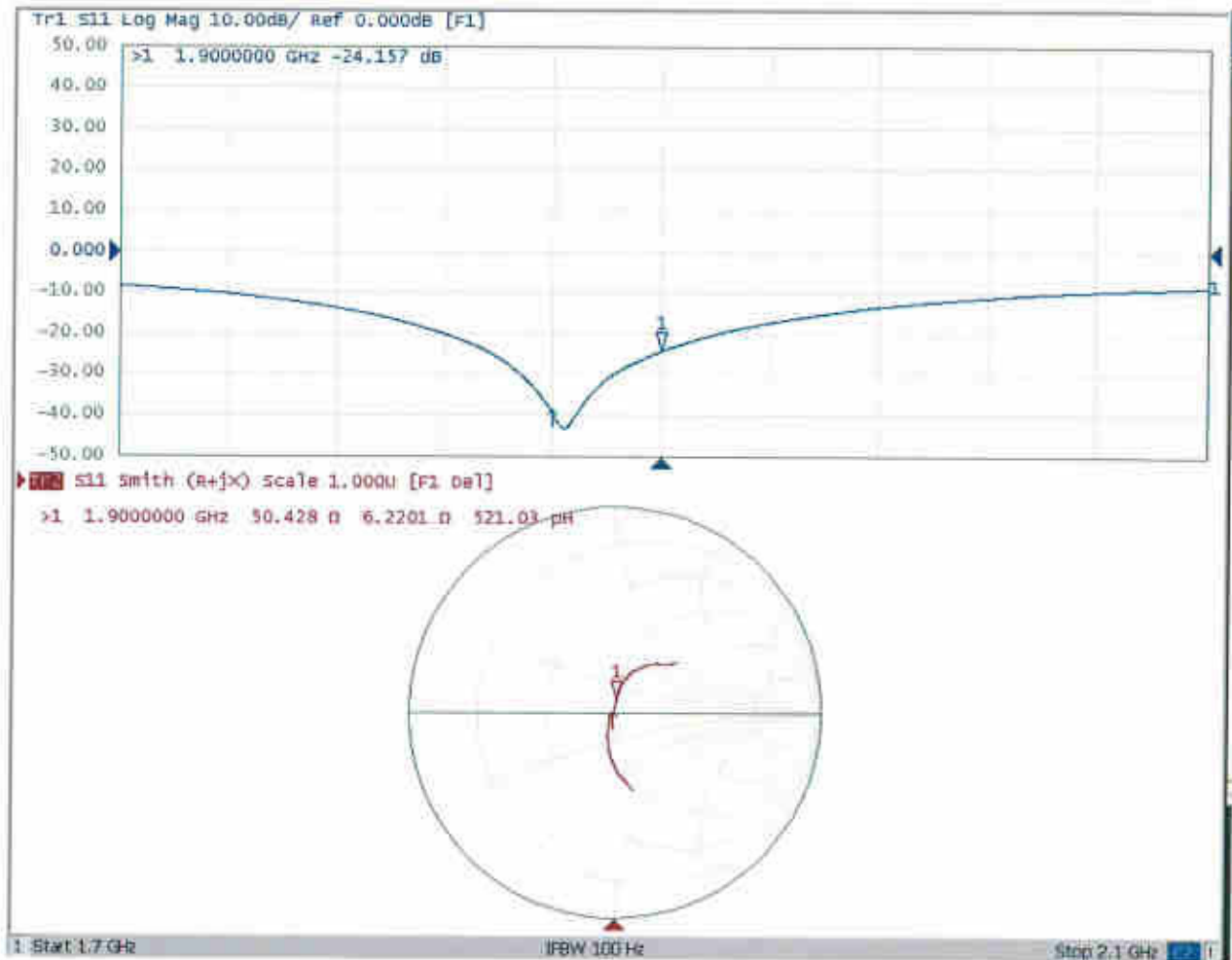
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## Impedance Measurement Plot for Head TSL





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## DASY5 Validation Report for Body TSL

Date: 11.23.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d118**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.531$  S/m;  $\epsilon_r = 54.57$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7433; ConvF(7.7, 7.7, 7.7); Calibrated: 9/26/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid:**

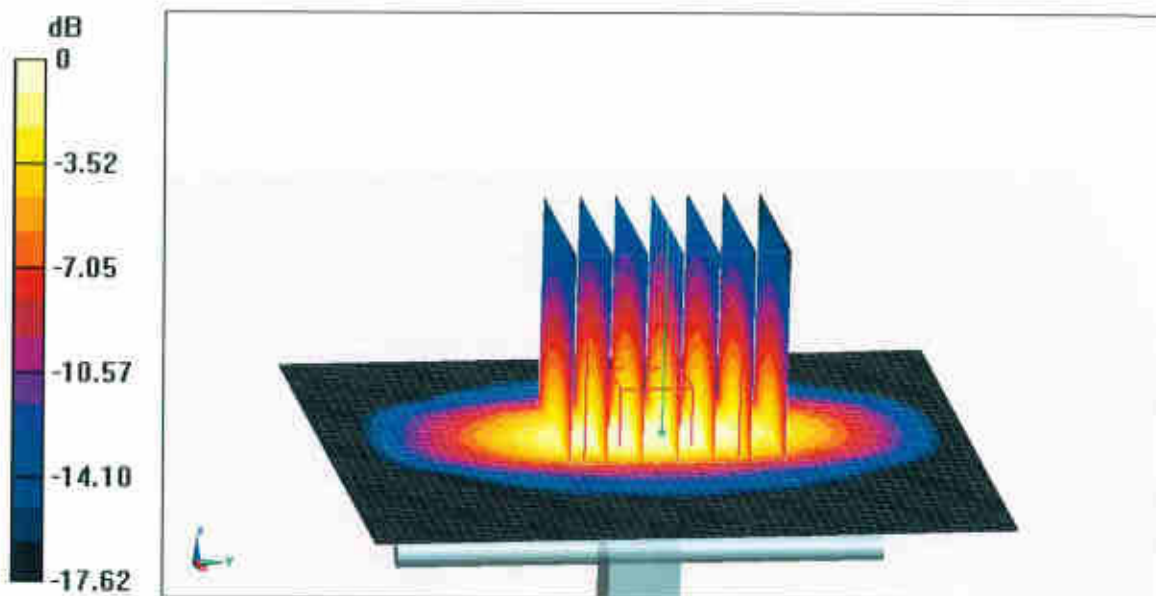
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 98.23 V/m; Power Drift = 0.05 dB

Peak SAR (extrapolated) = 18.5 W/kg

**SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.32 W/kg**

Maximum value of SAR (measured) = 14.6 W/kg



0 dB = 14.6 W/kg = 11.64 dBW/kg



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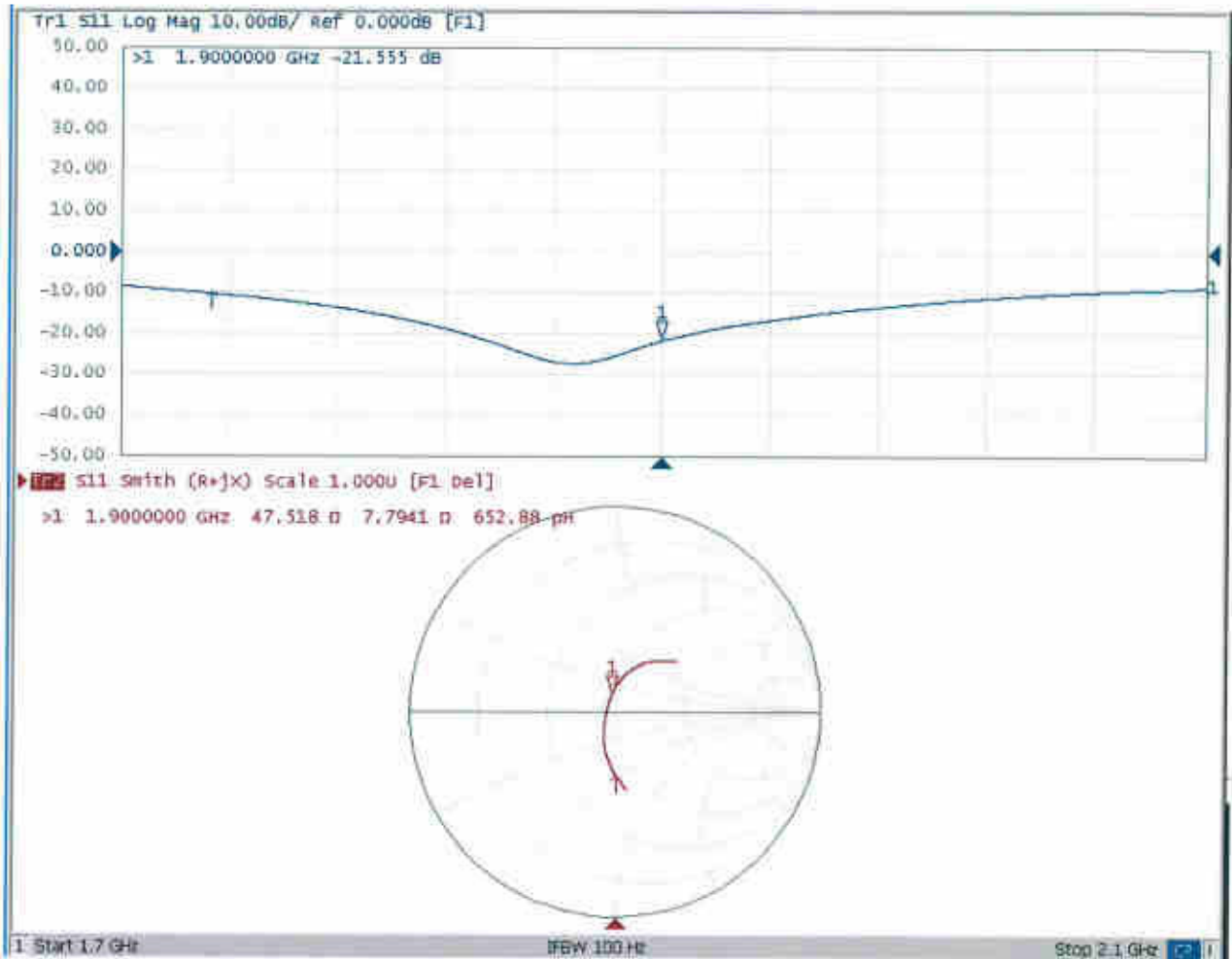
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## Impedance Measurement Plot for Body TSL





1437

## IMPORTANT NOTICE

### USAGE OF THE DAE 4

The DAE unit is a delicate, high precision instrument and requires careful treatment by the user. There are no serviceable parts inside the DAE. Special attention shall be given to the following points:

**Battery Exchange:** The battery cover of the DAE4 unit is closed using a screw, over tightening the screw may cause the threads inside the DAE to wear out.

**Shipping of the DAE:** Before shipping the DAE to SPEAG for calibration, remove the batteries and pack the DAE in an antistatic bag. This antistatic bag shall then be packed into a larger box or container which protects the DAE from impacts during transportation. The package shall be marked to indicate that a fragile instrument is inside.

**E-Stop Failures:** Touch detection may be malfunctioning due to broken magnets in the E-stop. Rough handling of the E-stop may lead to damage of these magnets. Touch and collision errors are often caused by dust and dirt accumulated in the E-stop. To prevent E-stop failure, the customer shall always mount the probe to the DAE carefully and keep the DAE unit in a non-dusty environment if not used for measurements.

**Repair:** Minor repairs are performed at no extra cost during the annual calibration. However, SPEAG reserves the right to charge for any repair especially if rough unprofessional handling caused the defect.

**DASY Configuration Files:** Since the exact values of the DAE input resistances, as measured during the calibration procedure of a DAE unit, are not used by the DASY software, a nominal value of 200 MOhm is given in the corresponding configuration file.

**Important Note:**

**Warranty and calibration is void if the DAE unit is disassembled partly or fully by the Customer.**

**Important Note:**

**Never attempt to grease or oil the E-stop assembly. Cleaning and readjusting of the E-stop assembly is allowed by certified SPEAG personnel only and is part of the annual calibration procedure.**

**Important Note:**

**To prevent damage of the DAE probe connector pins, use great care when installing the probe to the DAE. Carefully connect the probe with the connector notch oriented in the mating position. Avoid any rotational movement of the probe body versus the DAE while turning the locking nut of the connector. The same care shall be used when disconnecting the probe from the DAE.**



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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **Sony Mobile CN (Vitec)**

Certificate No: **DAE4-1437\_Jul16**

## CALIBRATION CERTIFICATE

Object **DAE4 - SD 000 D04 BM - SN: 1437**

Calibration procedure(s) **QA CAL-06.v29**  
**Calibration procedure for the data acquisition electronics (DAE)**

Calibration date: **July 12, 2016**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 0810278	09-Sep-15 (No:17153)	Sep-16
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Auto DAE Calibration Unit	SE UWS 053 AA 1001	05-Jan-16 (in house check)	In house check: Jan-17
Calibrator Box V2.1	SE UMS 006 AA 1002	05-Jan-16 (in house check)	In house check: Jan-17

Calibrated by:	Name	Function	Signature
	Dominique Steffen	Technician	
Approved by:	Name	Function	Signature
	Fin Bomholt	Deputy Technical Manager	

Issued: July 12, 2016

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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

## Glossary

DAE	data acquisition electronics
Connector angle	information used in DASY system to align probe sensor X to the robot coordinate system.

## Methods Applied and Interpretation of Parameters

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
  - *DC Voltage Measurement Linearity:* Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
  - *Common mode sensitivity:* Influence of a positive or negative common mode voltage on the differential measurement.
  - *Channel separation:* Influence of a voltage on the neighbor channels not subject to an input voltage.
  - *AD Converter Values with inputs shorted:* Values on the internal AD converter corresponding to zero input voltage
  - *Input Offset Measurement:* Output voltage and statistical results over a large number of zero voltage measurements.
  - *Input Offset Current:* Typical value for information; Maximum channel input offset current, not considering the input resistance.
  - *Input resistance:* Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
  - *Low Battery Alarm Voltage:* Typical value for information. Below this voltage, a battery alarm signal is generated.
  - *Power consumption:* Typical value for information. Supply currents in various operating modes.

## DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1  $\mu$ V , full range = -100...+300 mV

Low Range: 1LSB = 61 nV , full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	403.845 $\pm$ 0.02% (k=2)	403.377 $\pm$ 0.02% (k=2)	403.789 $\pm$ 0.02% (k=2)
Low Range	3.95013 $\pm$ 1.50% (k=2)	3.93811 $\pm$ 1.50% (k=2)	3.90441 $\pm$ 1.50% (k=2)

## Connector Angle

Connector Angle to be used in DASY system	64.0 ° $\pm$ 1 °
-------------------------------------------	------------------

## Appendix (Additional assessments outside the scope of SCS0108)

### 1. DC Voltage Linearity

High Range		Reading ( $\mu\text{V}$ )	Difference ( $\mu\text{V}$ )	Error (%)
Channel X	+ Input	199994.09	-2.50	-0.00
Channel X	+ Input	20005.91	4.62	0.02
Channel X	- Input	-20001.55	-0.46	0.00
Channel Y	+ Input	199996.67	0.10	0.00
Channel Y	+ Input	20004.93	3.69	0.02
Channel Y	- Input	-20002.77	-1.71	0.01
Channel Z	+ Input	199996.72	-0.44	-0.00
Channel Z	+ Input	20003.16	1.91	0.01
Channel Z	- Input	-20004.52	-3.47	0.02

Low Range		Reading ( $\mu\text{V}$ )	Difference ( $\mu\text{V}$ )	Error (%)
Channel X	+ Input	2001.13	0.13	0.01
Channel X	+ Input	201.55	0.06	0.03
Channel X	- Input	-198.43	0.12	-0.06
Channel Y	+ Input	2001.36	0.44	0.02
Channel Y	+ Input	201.04	-0.44	-0.22
Channel Y	- Input	-199.19	-0.72	0.36
Channel Z	+ Input	2001.25	0.37	0.02
Channel Z	+ Input	199.92	-1.40	-0.69
Channel Z	- Input	-199.48	-0.93	0.47

### 2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading ( $\mu\text{V}$ )	Low Range Average Reading ( $\mu\text{V}$ )
Channel X	200	-3.71	-5.33
	- 200	6.91	4.56
Channel Y	200	21.85	21.92
	- 200	-25.58	-24.66
Channel Z	200	-10.13	-9.77
	- 200	7.10	7.65

### 3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X ( $\mu\text{V}$ )	Channel Y ( $\mu\text{V}$ )	Channel Z ( $\mu\text{V}$ )
Channel X	200	-	-0.23	-1.73
Channel Y	200	4.79	-	1.47
Channel Z	200	6.01	2.75	-



#### 4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)
Channel X	16227	16144
Channel Y	16369	16590
Channel Z	16351	16972

#### 5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Input 10M $\Omega$

	Average ( $\mu$ V)	min. Offset ( $\mu$ V)	max. Offset ( $\mu$ V)	Std. Deviation ( $\mu$ V)
Channel X	-0.45	-1.47	1.29	0.40
Channel Y	0.47	-0.65	1.66	0.40
Channel Z	-2.20	-3.64	-1.19	0.41

#### 6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

#### 7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

#### 8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

#### 9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9



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Client **Sporton-KS (Auden)**

Certificate No: **EX3-3954\_Nov16**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3954**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6  
Calibration procedure for dosimetric E-field probes**

Calibration date: **November 28, 2016**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	06-Apr-16 (No. 217-02288/02289)	Apr-17
Power sensor NRP-Z91	SN: 103244	06-Apr-16 (No. 217-02288)	Apr-17
Power sensor NRP-Z91	SN: 103245	06-Apr-16 (No. 217-02289)	Apr-17
Reference 20 dB Attenuator	SN: S5277 (20x)	05-Apr-16 (No. 217-02293)	Apr-17
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-16)	In house check: Jun-18
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-16)	In house check: Jun-18
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-16)	In house check: Jun-18
RF generator HP 8648C	SN: US3642J01700	04-Aug-99 (in house check Jun-16)	In house check: Jun-18
Network Analyzer HP 8753E	SN: US37390585	18-Oct-01 (in house check Oct-16)	In house check: Oct-17

	Name	Function	Signature
Calibrated by:	Leif Klysner	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	
Issued: November 28, 2016			
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### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\phi$	$\phi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).



# Probe EX3DV4

## SN:3954

Manufactured:	August 6, 2013
Repaired:	November 21, 2016
Calibrated:	November 28, 2016

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3954

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.54	0.43	0.52	± 10.1 %
DCP (mV) <sup>B</sup>	99.5	101.4	97.0	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	148.0	±3.3 %
		Y	0.0	0.0	1.0		149.5	
		Z	0.0	0.0	1.0		139.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter; uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3954

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	10.98	10.98	10.98	0.37	0.82	± 12.0 %
835	41.5	0.90	10.52	10.52	10.52	0.16	1.42	± 12.0 %
900	41.5	0.97	10.35	10.35	10.35	0.36	0.83	± 12.0 %
1750	40.1	1.37	8.58	8.58	8.58	0.31	0.81	± 12.0 %
1900	40.0	1.40	8.32	8.32	8.32	0.17	1.27	± 12.0 %
2000	40.0	1.40	8.23	8.23	8.23	0.22	1.11	± 12.0 %
2300	39.5	1.67	7.88	7.88	7.88	0.21	1.15	± 12.0 %
2450	39.2	1.80	7.44	7.44	7.44	0.30	0.94	± 12.0 %
2600	39.0	1.96	7.27	7.27	7.27	0.27	1.13	± 12.0 %
3500	37.9	2.91	7.10	7.10	7.10	0.30	1.20	± 13.1 %
5250	35.9	4.71	5.08	5.08	5.08	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.70	4.70	4.70	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.69	4.69	4.69	0.45	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3954

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>G</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	10.54	10.54	10.54	0.41	0.80	± 12.0 %
835	55.2	0.97	10.32	10.32	10.32	0.24	1.09	± 12.0 %
1750	53.4	1.49	8.32	8.32	8.32	0.34	0.80	± 12.0 %
1900	53.3	1.52	8.01	8.01	8.01	0.40	0.80	± 12.0 %
2300	52.9	1.81	7.80	7.80	7.80	0.43	0.84	± 12.0 %
2450	52.7	1.95	7.55	7.55	7.55	0.47	0.80	± 12.0 %
2600	52.5	2.16	7.05	7.05	7.05	0.40	0.91	± 12.0 %
3500	51.3	3.31	6.75	6.75	6.75	0.30	1.20	± 13.1 %
5250	48.9	5.36	4.50	4.50	4.50	0.45	1.90	± 13.1 %
5600	48.5	5.77	3.92	3.92	3.92	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.05	4.05	4.05	0.55	1.90	± 13.1 %

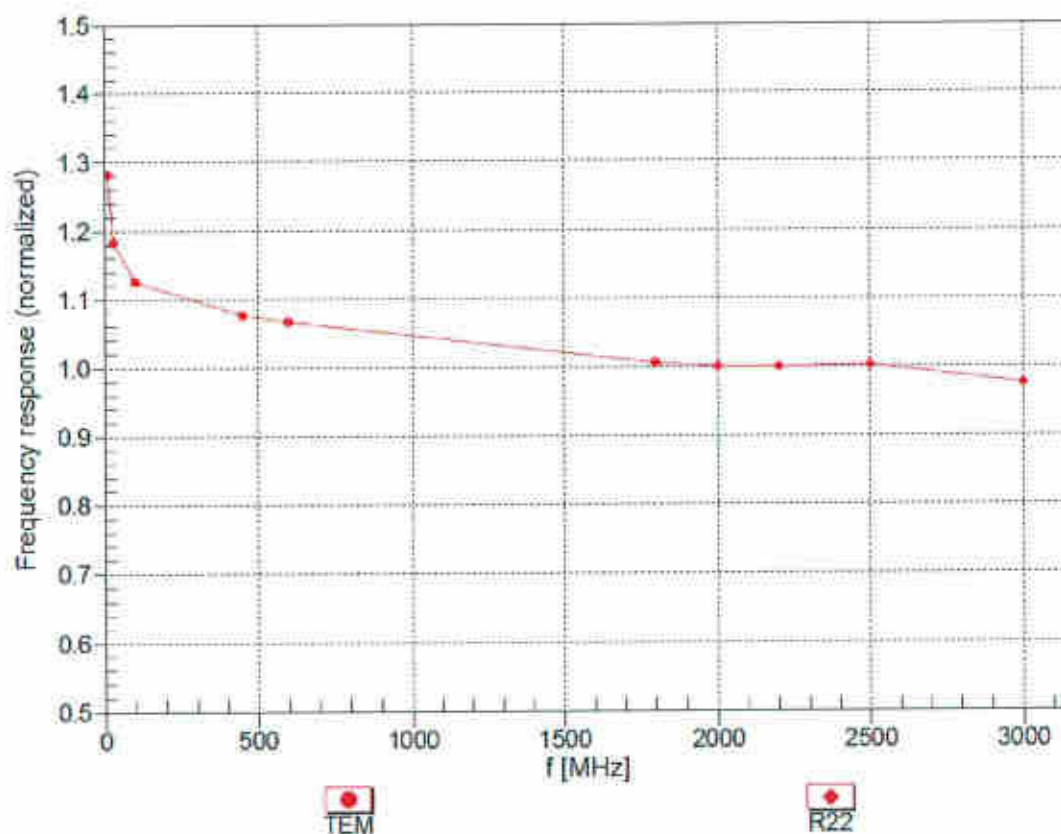
<sup>G</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## Frequency Response of E-Field

(TEM-Cell: ifi110 EXX, Waveguide: R22)

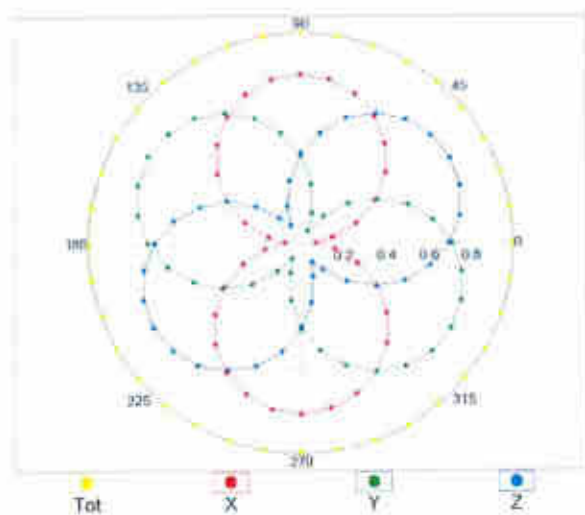


Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

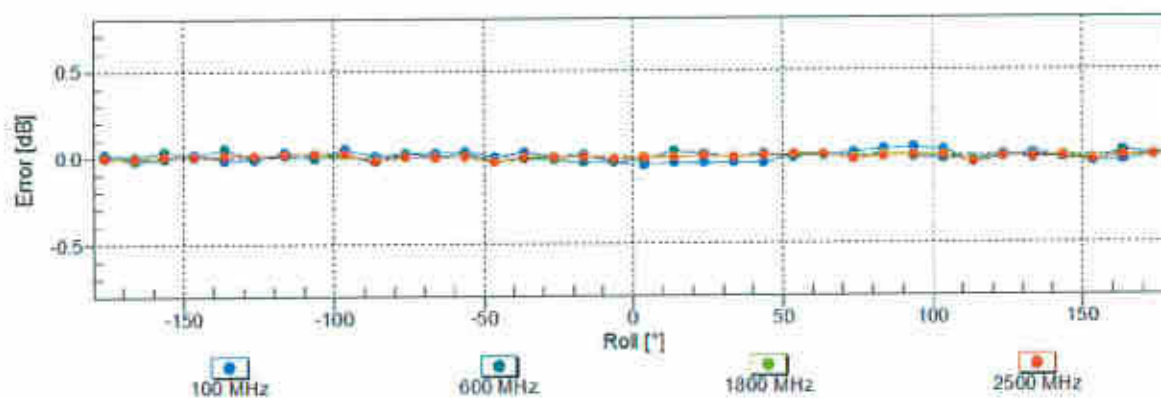
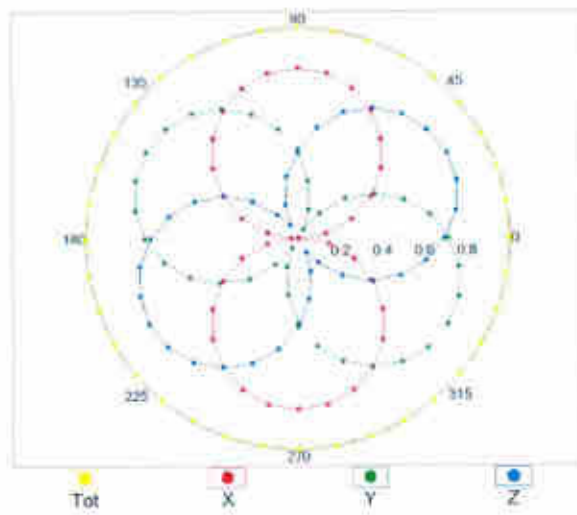


## Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

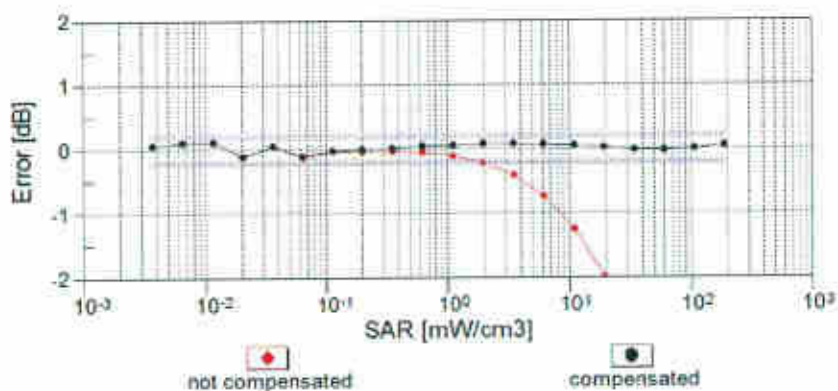
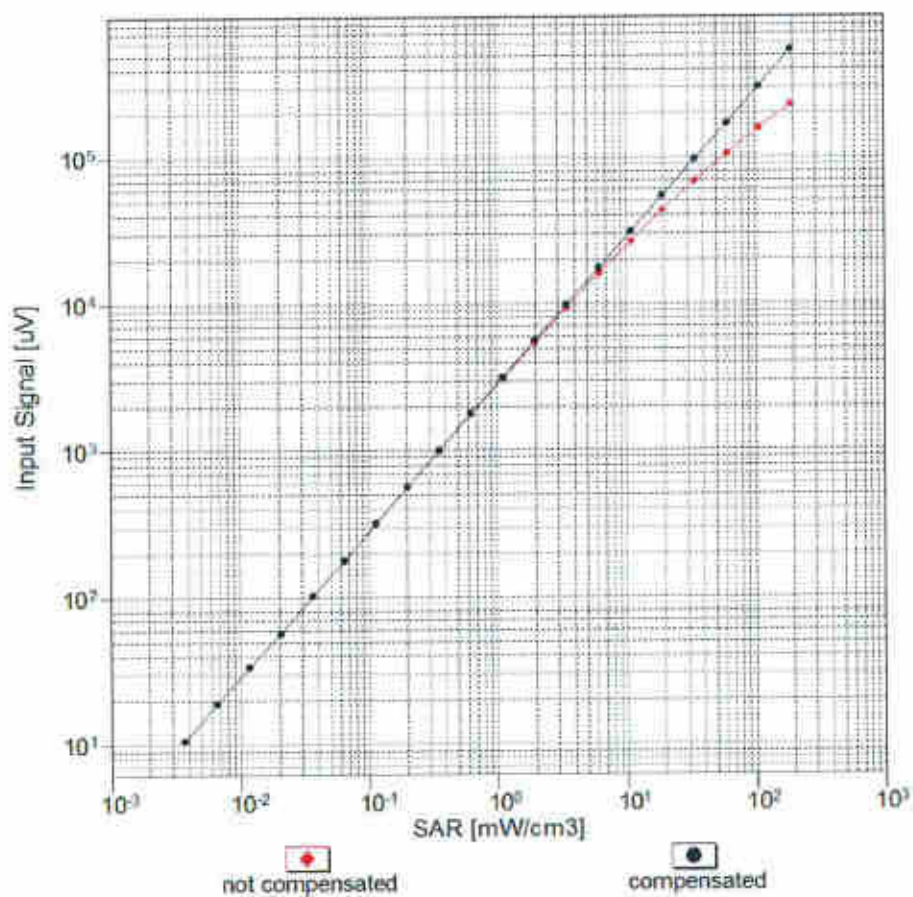


f=1800 MHz,R22



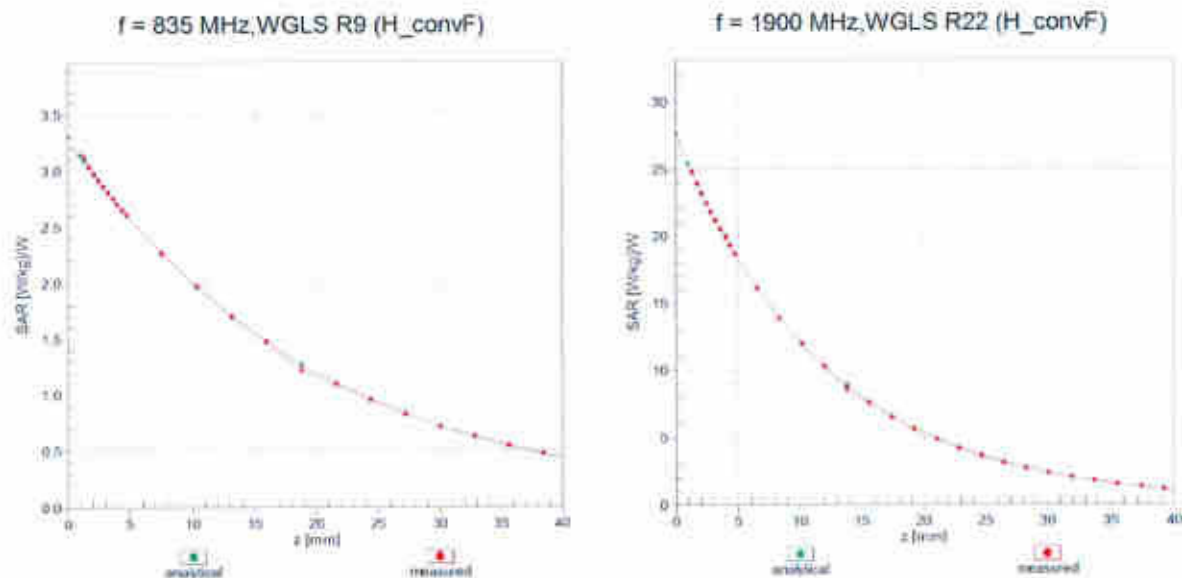
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )

## Dynamic Range $f(\text{SAR}_{\text{head}})$ (TEM cell, $f_{\text{eval}} = 1900 \text{ MHz}$ )



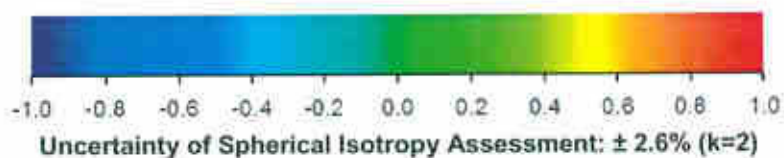
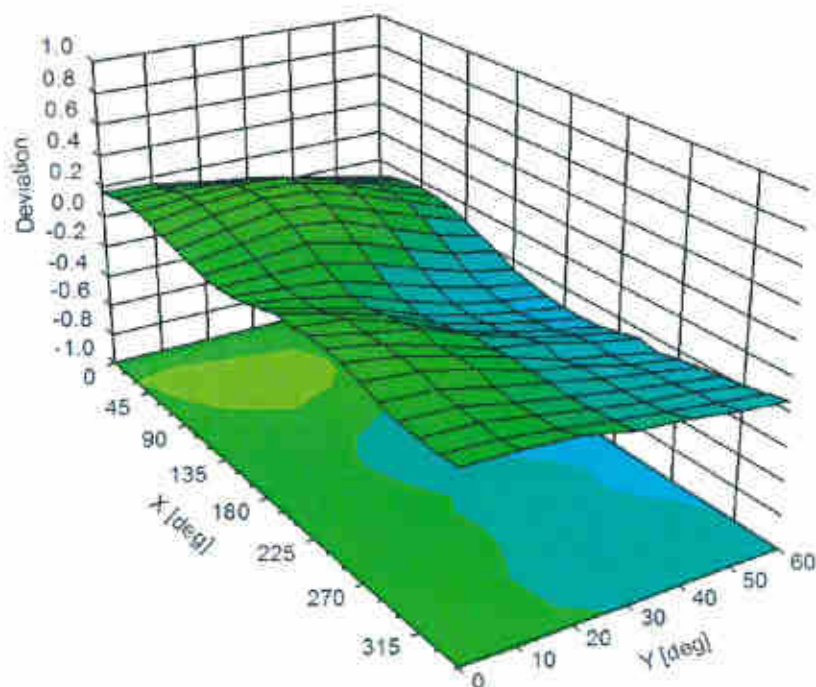
Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

## Conversion Factor Assessment



## Deviation from Isotropy in Liquid

Error ( $\phi$ ,  $\theta$ ),  $f = 900 \text{ MHz}$



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  ( $k=2$ )



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3954

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	73.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm