

Compliance report of Aspen™ AEVK-1

Customer:

General Atomics Advanced Wireless Group
10240 Flanders Court
San Diego, CA 92121

Date:

April 15, 2005

TDK Report:

070401GArev1

Test performed by:

TDK R&D Corporation
1101 Cypress Creek Rd
Cedar Park, TX 78613

	Technician	Checked by
Radiated 30 MHz to 40 GHz	A. Medina	K. Yata
Conducted 150 KHz to 30 MHz	G. Kudva	K. Yata
Report	P. Carson	R. Sutton

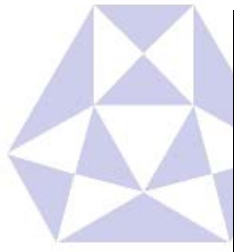
1. Executive Summary

An EMC evaluation to determine compliance of the Aspen™ AEVK-1 with requirements of FCC 47 CFR Part 15, Subpart F Section 15.517 was conducted. All references are to the most current version of the Code of Federal Regulations 47 that are currently in effect. In accordance with §2.1033, the following data is presented in support of the Certification of the Aspen™ AEVK-1. The client should retain a copy of this document on file for at least 5 years after the manufacturing of the product has been discontinued.

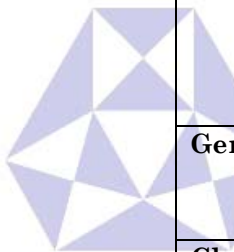
Test Description	FCC 47 CFR Section	Compliance
Operational Limitations	15.517(a)	The client has been notified of these limitations. In normal operating mode the transmitter will only send data when associated with a receiver. See section 5 for detail
UWB Bandwidth	15.517(b)	Yes
Radiated Emissions	15.517(c), 15.209	Yes
Radiated Emissions in GPS Bands	15.517(d)	Yes
Peak Emissions within a 50 MHz Bandwidth	15.517(e)	Yes
Labelling Requirements	15.517(f)	The client has been notified of these requirements. See appendix for detailed photograph
AC Conducted Emissions	15.207	Yes

2. Task Description

2.1. Scope



Reference	FCC ET Docket No. 98-153, FCC 02-48 First R&O; FCC 47 CFR, Part 15, Subpart A; FCC 47 CFR, Part 15, Subpart B; FCC 47 CFR, Part 15, Subpart C; FCC 47 CFR, Part 15, Subpart F FCC ET Docket No. 04-352, Petition for Waiver of the Part 15 UWB Regulations Filed by MBOA-SIG (Adopted: March 10, 2005)
Title	Revision of Part 15 of the Commission's Rules Regarding Ultra-Wideband Transmissions Systems; Code of Federal Regulations, Part 15 Subpart A: General Code of Federal Regulations, Part 15 Subpart B: Unintentional Radiators Code of Federal Regulations, Part 15 Subpart C: Intentional Radiators Code of Federal Regulations, Part 15 Subpart F: Ultra-Wideband Operation
Purpose of Test	To gain FCC Certification Authorization for technical requirements for Indoor UWB Systems operating between 3.1 GHz and 10.6 GHz.
Test Procedures	The tests were conducted in accordance with the following documents: FCC ET Docket 98-153, FCC 02-48 First R&O ANSI C63.4: 2001: Methods of Measurement of Radio-Noise Emissions from Low-Voltage Electrical and Electronic Equipment in the Range of 9 kHz to 40 GHz FCC Code of Federal Regulations 47, Part 15 Subpart A: General FCC ET Docket No. 04-352, Petition for Waiver of the Part 15 UWB Regulations Filed by MBOA-SIG (Adopted: March 10, 2005)
General Procedures	FCC Code of Federal Regulations 47, Part 2 Frequency Allocations and Radio Treaty Matter: General Rules and Regulations
Classification of EUT	Indoor Ultra-Wideband System



2.2. Related Submittal(s)/Grant(s)

None

2.3. Test Plan Reference

Publication	Year	Title
FCC 47 CFR, Part 15, Subpart A	10/2004	Code of Federal Regulations, Part 15 Subpart A: General
FCC 47 CFR, Part 15, Subpart B	10/2004	Code of Federal Regulations, Part 15 Subpart B: Unintentional Radiators
FCC 47 CFR, Part 15, Subpart C	10/2004	Code of Federal Regulations, Part 15 Subpart C: Intentional Radiators
FCC 47 CFR, Part 15, Subpart F	10/2004	Code of Federal Regulations, Part 15 Subpart F: Ultra-Wideband Operation
FCC ET Docket 98-153, FCC 02-48 First R&O	04/2002	Revision of Part 15 of the Commission's Rules Regarding Ultra-Wideband Transmissions Systems: First Report & Order
ANSI C63.4	01/2001	Methods of Measurement of Radio-Noise Emissions from Low-Voltage Electrical and Electronic Equipment in the Range of 9 kHz to 40 GHz
FCC ET Docket No. 04-352	3/2005	Petition for Waiver of the Part 15 UWB Regulations Filed by MBOA-SIG

2.4. Client Information

APPLICANT	
Name:	General Atomics Advanced Wireless Group
Address:	10240 Flanders Court, San Diego, CA 92121
Contact Person:	Jeff Harris

MANUFACTURER	
Name:	General Atomics Advanced Wireless Group
Address:	10240 Flanders Court, San Diego, CA 92121
Contact Person:	Jeff Harris

2.5. Equipment Under Test (EUT)

The following information (with the exception of the date information) has been supplied by the applicant.

The test results in this report pertain only to the item tested.

General	
Brand Name	Ultra-Wideband Evaluation Kit
Product Name	Aspen™
Model Name or Number	AEVK-1
Serial Number	0091
Type of Equipment	UWB Radio Transmitter
Input Power Supply Type	External power adapter
Classification	Indoor Ultra-Wideband System

Technical	
Power Supply Requirements	AC mains, 100-240 Vac, 110 VA, 50/60 Hz
RF Output Rating	-42.3 dB/MHz
Operating Frequency Range	3.1 GHz to 7.3 GHz, 5 sub-bands Fc ₁ = 3.48 GHz (Band 1) Fc ₂ = 4.02 GHz (Band 2) Fc ₃ = 4.56 GHz (Band 3) Fc ₄ = 6.12 GHz (Band 4) Fc ₅ = 6.96 GHz (Band 5)
RF Output Impedance	50 Ω
Channel Spacing	N/A
Pulse Width	4 nS
Pulse Repetition Frequency	6 MHz
10 dB Bandwidth	>500 MHz
Modulation/Constellation	Spectral Keying®
Oscillators' Frequencies	120 MHz, 3.48 GHz, 3.56 GHz, 4.02 GHz, 6.12 GHz, 6.96 GHz
EUT Ports	12 Vdc External battery Supply
Antenna Connector Type	Integral, permanently attached and enclosed inside the device
Antenna Description	See Appendix A

Logistics	
EUT Receive Date	June 14, 2004 / March 23, 2005
EUT Receive Condition	Good
Test Start Date	June 15, 2004 / April 11, 2005
Test Completion Date	June 30, 2004 / April 15, 2005

2.5.1. Support Equipment

Description	Manufacturer	Model No.	Serial No.	FCC ID
AC Power Adapter	XP	PMP110-46-5		None

2.5.2. I/O Cables

Description	Length	Shielding	Ferrites	Connection	
				From	To
AC Power Cable	1.6 m	None	None	Power Supply	AC Mains
DC Power Cable	1.5 m	Full	None	Power Supply	EUT

2.5.3. Justification

The system was configured for testing in a typical fashion (as a customer would normally use it). During testing, all cables were manipulated to produce worst-case emissions.

The EUT was put into test mode and configured to transmit continuously.

The EUT was configured to run preliminary scans in two separate configurations; Video mode with pseudo-random and same sequence symbols. In all modes the symbols can be sent in a pseudo-random sequence or a same-symbol sequence. The effective data rate is the same for both cases, 40 Mbps. The pseudo-random sequence smoothes out the spectrum (more white noise-like) as opposed to the same-symbol sequence (which produced a choppy spectrum).

Both communications types were investigated for their spectral characteristics (UWB bandwidth) and their emissions properties. The mix that produced the most radiated noise was determined through the use of numerous pre-scans. Once determined, all compliance measurements were done in that mode. The mode utilized for these tests was the video mode with the same-sequence symbol. This represents the worst case real world usage scenario based on the FCC waiver (Adopted March 10, 2005) that allows radios under test to be operated as they are intended to be used in the field. The data presented in section 6 is the worst case data from each mode tested

The arrangement of the cables dangling from the rear of the table was varied to the extent possible to produce the maximum emissions.

To insure maximum emissions were detected, the system was rotated 360°, the antenna height was varied from 1 to 4 meters above the ground plane in both horizontal and vertical polarizations. These maximum emissions are represented in the collected data enclosed.

Above 960 MHz, the measurements were made at 1 m or less due to extremely low emission limits outside the UWB bandwidth margins. At 3 meters, the instrument noise floor is at or above the limits specified in 15.517 (c).

The highest frequency employed in 47 CFR Section 15.33 to determine the frequency range over which radiated emissions are made were based on the center frequency, f_c , unless a higher frequency was generated within the UWB device. For measuring emission levels, the spectrum was investigated from the lowest frequency generated in the UWB, without going below 9 kHz, up to the frequency range shown in Section 15.33(a) of 47 CFR or up to $f_c + 3/(\text{pulse width in seconds})$, whichever was higher. There is no requirement to measure emissions beyond 40 GHz provided f_c was less than 10 GHz; beyond 100 GHz if f_c was at or above 10 GHz and below 30 GHz; or beyond 200 GHz if f_c was at or above 30 GHz.

The center frequency f_c was found to be 6959.5 MHz Therefore, the highest frequency to be measured was 40 GHz.

2.5.4. Mode(s) of Operation

The EUT has an “evaluation mode” and sample data is transmitted at a rate of 40Mbps in a video type signal. To permit testing of the device, the transmission was continuously sent. This mode is only supported for regulatory testing. The device complies with §15.517 (a)(5)

2.6. Modifications Required for Compliance

No modifications have been made to the equipment in order to achieve compliance with the appropriate sections of FCC 47 CFR Part 15.

3. Facilities and Accreditation:

3.1. Facilities and Equipment

The entire EMC test facility (comprising of the open area test site, semi-anechoic chamber, fully anechoic antenna/high frequency chamber, and support test instrumentation) is located at 1101 Cypress Creek Rd, Cedar Park, TX USA 78613.



All measurement facilities are constructed in conformance with the requirements of ANSI C63.7, ANSI C63.4 and CISPR Publication 22.

The test receiver instrumentation (e.g. receiver, analyzer, QP adapter, pre-selector) and LISN's conform to the CISPR Publication 16-2 (Specifications for Radio Interference Measuring Apparatus and Measurement Methods) Publication 16-1 where required.

3.2. Laboratory Accreditations and Listings

The test facilities used to perform radiated and conducted emissions tests are accredited by National Voluntary Laboratory Accreditation Program for the specific scope of accreditation under Lab Code: 200430-0 to perform Electromagnetic Compatibility tests according to FCC 47 CFR, Part 15 and CISPR 22 requirements. No part of this report may be used to claim or imply product endorsement by NVLAP or any agency of the US Government.

3.3. Table of Accreditations and Listings

Country	Agency	Accreditation	Logo
USA	NVLAP	200430-0	
USA	FCC	94066	

4. Test Equipments and Procedure

4.1. Test and Measurement Equipment

Test Equipment Matrix				
Description	Make	Model No.	Serial No.	Cal Due Date
EMI Receiver RF Section	HP	8546A	3520A00237	08/30/05
EMI Receiver RF Filter Section	HP	85460A	3448A00238	08/30/05
Spectrum Analyzer	Agilent	E7405A	US39150113	03/25/06
Preamplifier	JCA	JCA218-504	106B	08/19/05
Preamplifier	Miteq	AFS3-00100800-14-10P-4	504262	02/16/06
Preamplifier	Quinstar	QLN-2230J0	7164001	08/10/05
Preamplifier	Quinstar	QLN-3330J0	7164002	08/11/05
Preamplifier	TDK	PA-02	0900002	02/18/06
Hybrid Log Antenna	TDK	HLP-3003C	061101	05/17/05
Horn Antenna	TDK	HORN0118	130091	04/30/05
Horn Antenna	Antenna Research Association	SWH-28	1008	03/09/06
Horn Antenna	Antenna Research Association	SWH-29	1003	03/09/06
RF Cable	MicroCoax	UFB205A-0-0591-300504	206217-001	12/11/05
RF Cable	MicroCoax	UFB142A-0-2364-200200	207949-001	06/17/05
RF Cable	MicroCoax	UFB142A-0-2364-200200	207950-001	06/17/05
RF Cable	Huber+Suhner	Sucoflex 106P	181543-003	12/12/05
RF Cable	Huber+Suhner	Sucoflex 106P	181543-009	12/12/05
LISN	EMCO	3810/2NM	9702-1823	05/06/05

4.2. Measuring Instrument Calibration

The measuring equipment utilized to perform the tests documented in this report have been calibrated in accordance with the manufacturer's recommendations, and are traceable to recognized national standards.

4.3. Measurement Uncertainty

Compliance of the product is based on the reported measured values. However, the measurement uncertainty is included for informational purposes in the table below.

Radiated Emissions			
Frequency Range	1 m	3 m	10 m
30 MHz to 200 MHz	N/A	± 3.8 dB	± 3.5 dB
200 MHz to 1 GHz	N/A	± 3.8 dB	± 3.5 dB
1 GHz to 18 GHz	± 4.5 dB	± 4.6 dB	N/A
18 GHz to 40 GHz	± 4.2 dB	N/A	N/A

Conducted Emissions	
Frequency Range	
150 kHz to 30 MHz	± 2.6 dB

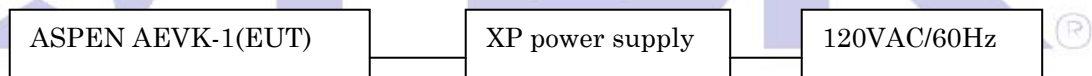
Note: The combined level of uncertainty in each case above was expanded to provide a confidence level of approximately 95% (k=2).

4.4. Test Setup for UWB Device Tests

4.4.1. EUT Setup

The EUT was connected with a power supply and operated at "evaluation mode". The power supply was connected with 120VAC-60Hz power source. A continuous loop of data in video mode was transmitted during test.

SETUP diagram



4.4.2. Radiated Emission Test Setup

In order to test compliance of EUT, facilities described section 3, and test & measurement equipment listed section 4.1, were used. For all measurement, the EUT was located on a table whose top was 80 cm above the ground plane. The table was constructed of non-conductive materials and the dimensions were 1 m by 1.5 m. The table was located in the center of the turntable.

For the test other than for AC mains line-conducted disturbance, 4 types of receive antennas were used depending on frequency range. The antenna was held on an antenna mast which has the ability to switch the polarization of the receive antenna by 90 degree by means of mechanical rotation. The distance between the EUT and the receive antenna was either 1 meter or 3 meters, depending on the test. Shorter measurement distances may be used to improve the measurement system's noise floor. As Subpart F description is based on the measurement in distance of 3 meters, the data obtained at 1 meter distance was compared to the calculated limit for 1 m distance:

Limit at 1m distance(dBm)

= limit at 3m distance(dBm) -20log(1/3)(dB)

= limit at 3m distance(dBm)-9.54(dB).

The maximization of the radiated signal was achieved by rotating the EUT over 360 degrees in the azimuth, because the EUT is designed for table top usage so that orienting the EUT in three orthogonal axes was difficult. Additionally, the receive antenna was scanned in height from 1 m to 4 m with both horizontal and vertical polarizations being recorded. The maximization was performed by use of automated software with CPU controlled maximum-hold function for both single and multiple sweeps.

The spectrum analyzer and EMI receiver was set up as described in each test procedure in section 6. The data used to determine compliance of EUT was calculated from the data following the method described in section 4.6. The equipment set up for the radiated emissions tests followed the guidelines in ANSI C63.4: latest edition.

4.4.3. Conducted Emission Test Setup

In the AC mains line-conducted disturbance test, measurements were carried out using quasi-peak and average detector receivers in accordance with ANSI C63.4: latest edition. A LISN was required to provide defined impedance at high frequencies across the power feed at the point of measurement of terminal voltage and also to provide isolation of the circuit under test from the ambient noise on the power lines. A LISN as defined in ANSI C63.4: latest edition was used.

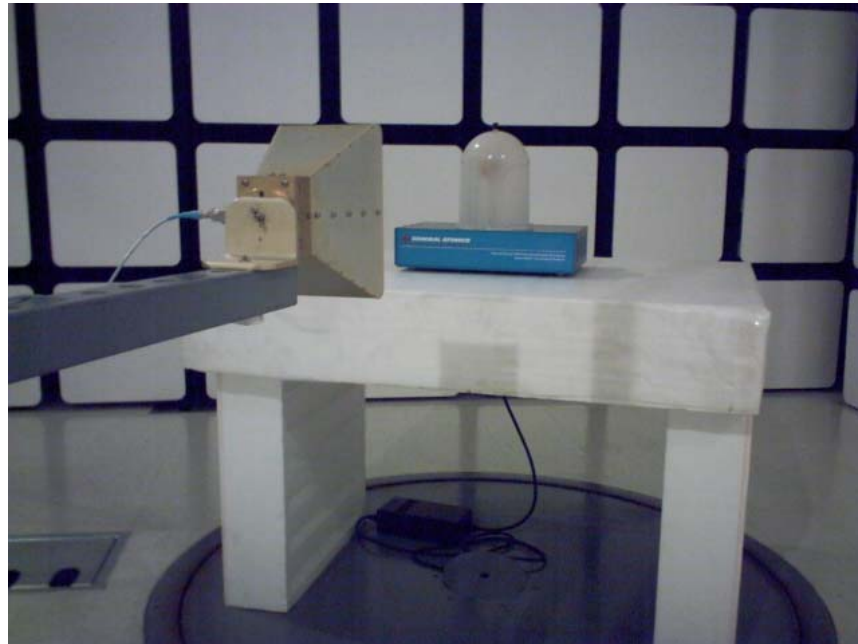
The EUT was placed on a table whose top is 80cm above the ground plane. A vertical, metal reference plane and the metal shield wall of the chamber was placed 40cm from the EUT. The vertical metal reference plane was at least 2m x 2m. The EUT was kept at least 80cm from any other metal surface or other ground plane not being part of the EUT. The table was constructed of non-conductive materials. Its dimensions were 1m by 1.5m. The EUT was located so that the distance between the boundary of the EUT and the closest surface of the LISN is 0.8 m.

Where flexible mains cord were provided by the manufacturer, it was 1.5m long or if in excess of 1m, the excess cable was folded back and forth as far as possible so as to form a bundle not exceeding 40cm in length.

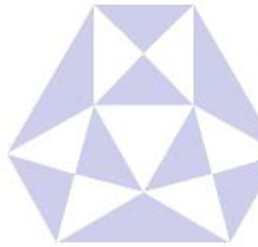
The EUT was arranged and connected with cables terminated in accordance with the product specification.

Conducted disturbance was measured between the phase lead and the reference ground, and between the neutral lead and the reference ground.

4.4.4. Test Configuration Photographs



960 MHz to 40 GHz measurement.



30 MHz to 960 MHz measurement.



AC mains line-conducted measurement.

4.5. EUT Setup for Digital Circuitry Radiated Emission Tests

In order to test compliance of EUT, facilities described section 3, and test & measurement equipment listed section 4.1, were used. For all measurement, the EUT was located on a table whose top was 80 cm above the ground plane. The table was constructed of non-conductive materials and the dimensions were 1 m by 1.5 m. The table was located in the center

of the turntable. To test for digital circuit radiation from the EUT, the antenna of EUT was disconnected and a 50 ohm terminator was connected.

4.6. Measurement Calculations

4.6.1. Field Strength Calculations

The field strength is calculated by taking the received spectrum analyzer (or receiver) signal and adjusting it by the system parameters. These system parameters are the antenna factor (AF); any cable, coupler, filter or switching losses (CL); and the preamplifier gain (PG). The basic formula is displayed below.

$$E \text{ (dB}\mu\text{V/m)} = SA \text{ (dB}\mu\text{V)} + AF \text{ (dB/m)} + CL \text{ (dB)} - PG \text{ (dB)}$$

Where:

E is the electric field represented in dB μ V/m

SA is the spectrum analyser (or receiver) reading in dB μ V

AF is the receive antenna's factor in dB/m

CL is the cable, etc. system losses in dB

PG is the external pre-amplifier gain in dB

Assume a spectrum analyzer reading of 50 dB μ V at 80 MHz on a 3 m site. With an antenna factor of 10 dB/m, system losses of about 1.5 dB, and a pre-amplifier gain of 25 dB, the resulting electric field strength would be calculated as follows.

$$E \text{ (dB}\mu\text{V/m)} = 50 \text{ (dB}\mu\text{V)} + 10 \text{ (dB/m)} + 1.5 \text{ (dB)} - 25 \text{ (dB)} = 36.5 \text{ dB}\mu\text{V/m}$$

4.6.2. EIRP Calculations

As defined in FCC 47 CFR Part 15, Subpart F (15.503 k) , EIRP is the equivalent isotropic radiated power, i.e. the product of the power supplied to the antenna and the antenna gain in a given direction relative to an isotropic antenna. The EIRP, in terms of dBm, can be converted to a field strength, in dB μ V/m at 3 meters, by adding 95.2 dB. Conversely, the field strength in dB μ V/m at 3 meters can be converted to the EIRP in dBm by subtracting 95.2 dB. As used in Subpart F, EIRP refers to the highest signal strength measured in any direction and at any frequency from the UWB device, as tested in accordance with the procedures specified in 15.31(a) and 15.523 of FCC 47 CFR.

As in the example above, assume a spectrum analyzer reading of 50 dB μ V at 80 MHz on a 3 m site. With an antenna factor of 10 dB/m, system losses

of about 1.5 dB, and a pre-amplifier gain of 25 dB, the resulting electric field strength would be calculated as follows.

$$E \text{ (dB}\mu\text{V/m)} = 50 \text{ (dB}\mu\text{V)} + 10 \text{ (dB/m)} + 1.5 \text{ (dB)} - 25 \text{ (dB)} = 36.5 \text{ dB}\mu\text{V/m}$$

Now to convert to an EIRP reading at 3 meters use $\text{EIRP (dBm)} = E \text{ (dB}\mu\text{V/m)} - 95.2 \text{ (dB)}$

$$\text{EIRP (dBm)} = 36.5 \text{ (dB}\mu\text{V/m)} - 95.2 \text{ (dB)} = -58.7 \text{ dBm}$$

4.6.3. UWB Maximum Permissible Exposure

Transmitter Category: Mobile Device. A mobile device is defined as a transmitting device designed to be used in other than fixed location and the be generally used in such as way that a separation distance of at least 20 cm is normally maintained between the transmitter's radiating structures and the body of the user or nearby persons. The FCC rules for evaluating mobile devices for RF compliance are found in 47 CFR §2.1091.

Device Usage: General Population/Uncontrolled Exposure. The general population/uncontrolled exposure limits are applicable to situation in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure.

Exposure Calculation: According to §1.1310 of the FCC rules, the power density limit for the General Population/Uncontrolled Exposure is 1 mW/cm². According to the mobile product device category, this value is to be calculated at a distance of 20 cm. The following formula is used to calculate the power density.

$$S = \frac{PG}{4\pi r^2} = \frac{\text{EIRP}}{4\pi r^2}$$

Where:

S = Power Density

P = Power at the Antenna Terminal

G = Gain of the Transmit Antenna

EIRP = Effective Isotropic Radiated Power

r = Measurement Distance

From the measurement data we can see that the peak detected EIRP at 1 m distance and 3 MHz RBW yields a result of -26.58 dBm. Translated to 20 cm this would yield a result of -12.6 dBm. Correlating this to a worst case scenario with a 50 MHz RBW would yield 11.8 dBm EIRP. 11.8 dBm is equal to 15.26 mW EIRP. Plugging this into the above equation yields:

$$S = \frac{(15.26)}{4\pi(20)^2} = 0.003mW/cm^2$$

Based on these worse case calculations the device is well below the maximum permissible exposure limit of 1mW/cm² by a large margin.®

5. Operational Limitations

FCC 47 CFR Section 15.517 (a)(1)

Indoor UWB devices, by the nature of their design, must be capable of operation only indoors. The necessity to operate with a fixed indoor infrastructure, *e.g.*, a transmitter that must be connected to the AC power lines, may be considered sufficient to demonstrate this.

The device under test operates solely through the AC mains. It is not intended to operate from any other power source.

FCC 47 CFR Section 15.517 (a)(2)

The emissions from equipment operated under this section shall not be intentionally directed outside of the building in which the equipment is located, such as through a window or a doorway, to perform an outside function, such as the detection of persons about to enter a building.

The client has been informed of this requirement.

FCC 47 CFR Section 15.517 (a)(3)

The use of outdoor mounted antennas, *e.g.*, antennas mounted on the outside of a building or on a telephone pole, or any other outdoors infrastructure is prohibited.

The client has been informed of this requirement.

FCC 47 CFR Section 15.517 (a)(4)

Field disturbance sensors installed inside of metal or underground storage tanks are considered to operate indoors provided the emissions are directed towards the ground.

Not applicable for this client.

FCC 47 CFR Section 15.517 (a)(5)

A communications system shall transmit only when the intentional radiator is sending information to an associated receiver.®

The client has been informed of this requirement and is clearly stated on page 11 of the users manual.

6. Test Limits, Procedures, Results and Setups

6.1. UWB Bandwidth

6.1.1. Test Limits

Ultra-wideband (UWB) transmitter. An intentional radiator that, at any point in time, has a fractional bandwidth equal to or greater than 0.20 or has a UWB bandwidth equal to or greater than 500 MHz, regardless of the fractional bandwidth

The UWB bandwidth is the frequency band bounded by the points that are 10 dB below the highest radiated emission, as based on the complete transmission system including the antenna. The upper boundary is designated f_H and the lower boundary is designated f_L . The frequency at which the highest radiated emission occurs is designated f_M .

Center frequency. The center frequency, f_C , equals $(f_H + f_L)/2$.

Fractional bandwidth. The fractional bandwidth equals $2(f_H - f_L)/(f_H + f_L)$.

As per section 15.517(b), the UWB bandwidth of a UWB system operating under the provisions of this section must be contained between 3100 MHz and 10600 MHz

6.1.2. Test Procedure

Facilities and equipment was set up as described in section 4; resolution bandwidth (RBW) of 3 MHz, video bandwidth (VBW) of 3 MHz, peak detector, and the sweep time was set to auto. The EUT was located less than 1 meter distance from the receive antenna.

Maximum emission amplitude was determined from the measured data for both horizontal and vertical polarization and the higher amplitude of emission of these two polarizations was used to determine the frequency at which the highest radiated emission occurs, f_M . Next, the points that are 10dB or more below the highest radiated emission were observed in a search from f_M in both the lower and higher frequency direction in the measured frequency EIRP graph, they are denoted as f_L and f_H , respectively. The UWB bandwidth is the difference between f_L and f_H .

At the request of the FCC the individual UWB bandwidths were measured for each sub-band of the UWB spectrum. Both horizontal and vertical polarizations were taken into account to determine the full UWB BW on the maximized (in azimuth and elevation) signals.

Sub-Bands 1, 2 and 3 actually form one large UWB band because their composite signal never goes below 10 dB from any of the individual sub band's peak. As a result, each sub-band averages over 1.5 GHz in UWB bandwidth regardless of the particular sub-band F_M selected.

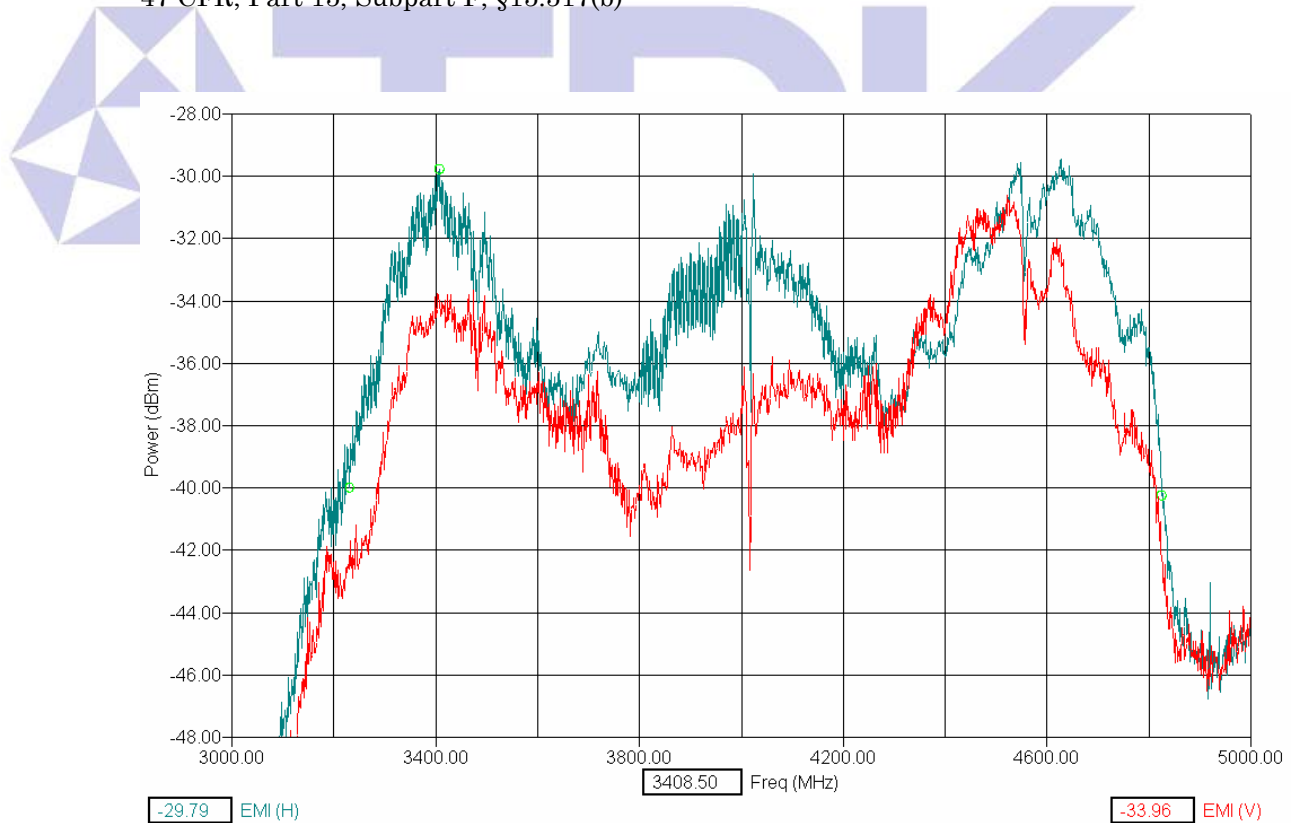
Sub-bands 4 and 5 spectra are more separated. They display UWB bandwidths of 612 MHz and 510 MHz respectively. All sub-bands pass the UWB BW criteria.



6.1.3. Test Results

UWB Bandwidth Requirements: Band 1

47 CFR, Part 15, Subpart F, §15.517(b)



Frequency: 3000 MHz to 5000 MHz

Both horizontal and vertical polarizations taken into account to determine UWB BW

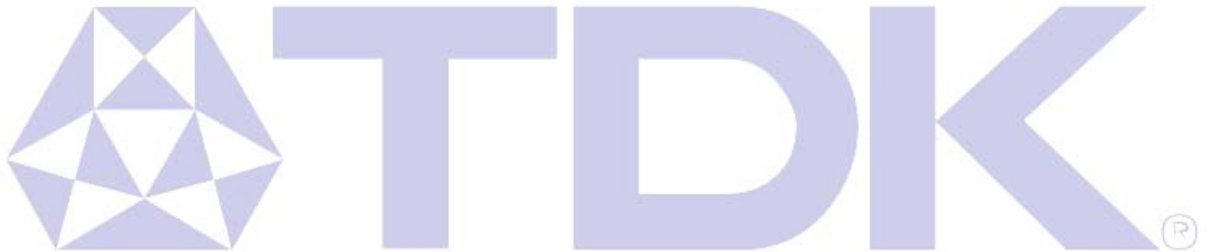
UWB BW = 1595 MHz; $F_L = 3230.0$ MHz; $F_H = 4825.0$ MHz;

$F_C = 4027.5$ MHz; $F_M = 3408.5$ MHz

Measurements made with 3 MHz RBW/ 3 MHz VBW, peak detector

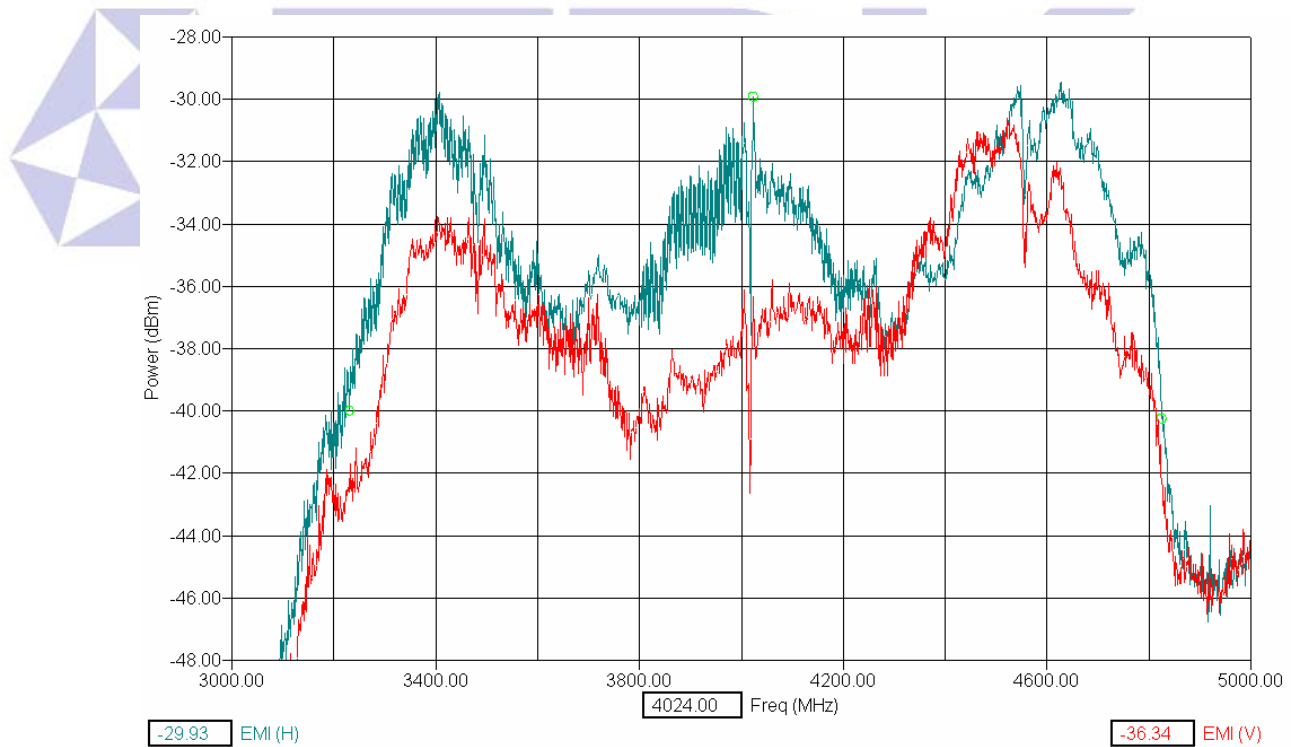
Measurement Time auto for sweep

Measurement Distance: less than 1 meter



UWB Bandwidth Requirements: Band 2

47 CFR, Part 15, Subpart F, §15.517(b)



Frequency: 3000 MHz to 5000 MHz

Both horizontal and vertical polarizations taken into account to determine UWB BW

UWB BW = 1595 MHz; $F_L = 3230.0$ MHz; $F_H = 4825.0$ MHz;
 $F_C = 4027.5$ MHz; $F_M = 4024$ MHz

Measurements made with 3 MHz RBW/ 3 MHz VBW, peak detector

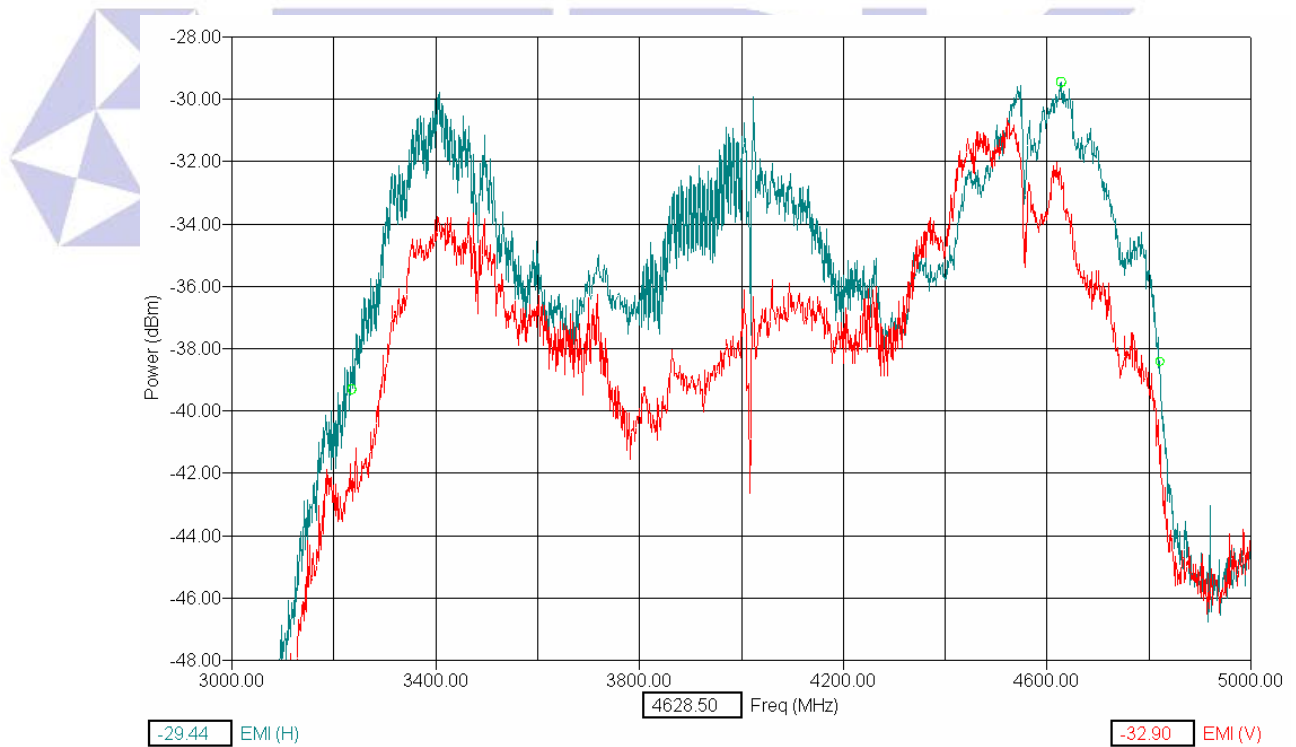
Measurement Time auto for sweep

Measurement Distance: less than 1 meter



UWB Bandwidth Requirements: Band 3

47 CFR, Part 15, Subpart F, §15.517(b)



Frequency: 3000 MHz to 5000 MHz

Both horizontal and vertical polarizations taken into account to determine UWB BW

UWB BW = 1584.5 MHz; $F_L = 3236.0$ MHz; $F_H = 4820.5$ MHz;
 $F_C = 4028.25$ MHz; $F_M = 4628.5$ MHz

Measurements made with 3 MHz RBW/ 3 MHz VBW, peak detector

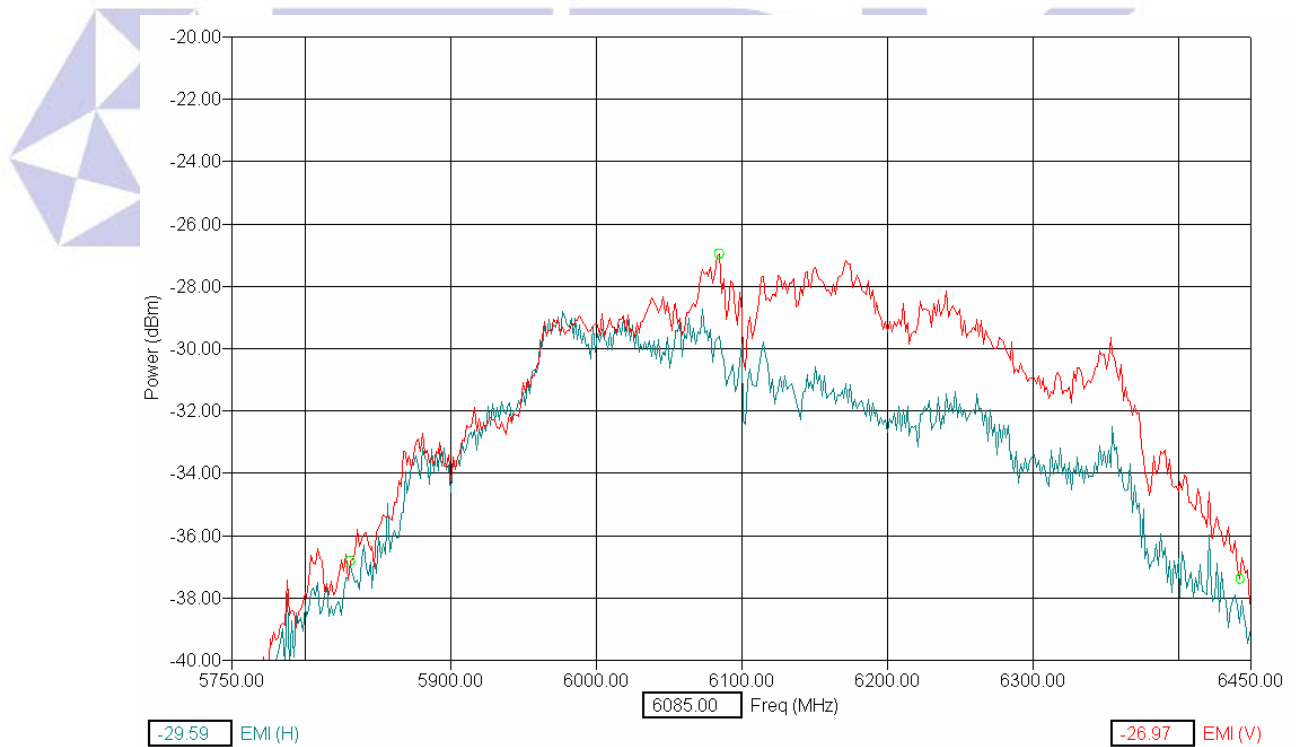
Measurement Time auto for sweep

Measurement Distance: less than 1 meter



UWB Bandwidth Requirements: Band 4

47 CFR, Part 15, Subpart F, §15.517(b)



Frequency: 5750 MHz to 6450 MHz

Both horizontal and vertical polarizations taken into account to determine UWB BW

UWB BW = 612 MHz; $F_L = 5831.5$ MHz; $F_H = 6443.5$ MHz;
 $F_C = 6137.5$ MHz; $F_M = 6085.0$ MHz

Measurements made with 3 MHz RBW/ 3 MHz VBW, peak detector

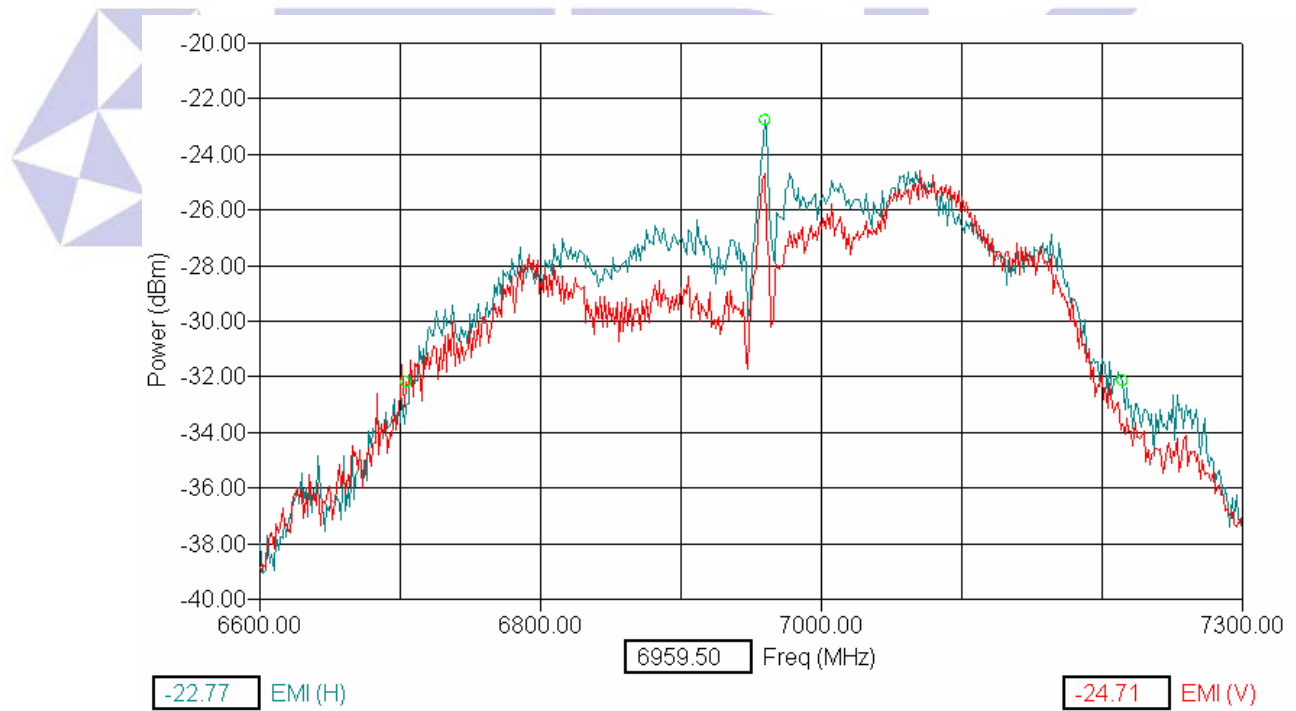
Measurement Time auto for sweep

Measurement Distance: less than 1 meter



UWB Bandwidth Requirements: Band 5

47 CFR, Part 15, Subpart F, §15.517(b)



Frequency: 6600 MHz to 7300 MHz

Both horizontal and vertical polarizations taken into account to determine UWB BW

UWB BW = 510 MHz; $F_L = 6704.5$ MHz; $F_H = 7214.5$ MHz;
 $F_C = 6959.5$ MHz; $F_M = 6959.5$ MHz

Measurements made with 3 MHz RBW/ 3 MHz VBW, peak detector

Measurement Time auto for sweep

Measurement Distance: less than 1 meter



6.2. Radiated Emissions, UWB Specific Requirements

6.2.1. Test Limits

The radiated emissions at or below 960 MHz shall not exceed the emission levels in Section 15.209 Table below.

Frequency (MHz)	E-Field ($\mu\text{V/m}$)	E- Field ($\text{dB}\mu\text{V/m}$)	Distance (m)
0.009 to 0.490	$2400/\text{F}(\text{kHz})$	$67.6 - 20\text{Log}[\text{F}(\text{kHz})]$	300 (R)
0.490 to 1.705	$24000/\text{F}(\text{kHz})$	$87.6 - 20\text{Log}[\text{F}(\text{kHz})]$	30
1.705 to 30.0	30	29.5	30
30 to 88	100	40.0	3
88 to 216	150	43.5	3
216 to 960	200	46.0	3

The radiated emissions above 960 MHz shall not exceed the RMS detected limits in Section 15.517c table below when measured using a resolution bandwidth of 1 MHz.

Frequency (MHz)	EIRP (dBm)	E- Field ($\text{dB}\mu\text{V/m}$)	Distance (m)
960 to 1610	-75.3	19.9	3
1610 to 1990	-53.3	41.9	3
1990 to 3100	-51.3	43.9	3
3100 to 10600	-41.3	53.9	3
Above 10600	-51.3	43.9	3

From 47 CFR Section 15.521(c): As noted in Section 15.3(k), digital circuitry that is used only to enable the operation of a transmitter and that does not control additional functions or capabilities is not classified as a digital device. Instead, the emissions from that digital circuitry are subject to the same limits as those applicable to the transmitter. If it can be clearly demonstrated that an emission from a UWB transmitter is due solely to emissions from digital circuitry contained within the transmitter and that the emission is not intended to be radiated from the (R)

transmitter's antenna, the limits shown in Section 15.209 shall apply to that emission rather than the limits specified in this section.

6.2.2. Test Procedure

The measurements made over the frequency range from 30 MHz to 960 MHz were maximized using an EMI receiver with peak detector capabilities. Measurements of the radiated field from 30 MHz to 960 MHz were made with the measurement antenna located a distance of 3 meters from the EUT and the final measurements utilizing a quasi-peak detector at the frequencies with the largest amplitudes. The relative CISPR [®] resolutions bandwidth of 120 kHz was used for these measurements. In the case where there was sufficient margin between the peak detected maximized spectrum and the quasi-peak limit lines, no additional measurements were undertaken.

Measurements above 960 MHz were maximized using a spectrum analyzer with RMS detector capabilities. A spectrum analyzer was used for the final measurements utilizing an RMS detector at the frequencies with the largest amplitudes. The prescribed RBW of 1 MHz and VBW of 3 MHz, and a 1 msec averaging time were used for these measurements. Measurements of the radiated field at frequencies above 960 MHz were made with the measurement antenna located a distance of 1 meter from the EUT.

The equipment set up for the radiated emissions tests followed the guidelines in ANSI C63.4: 1992.

6.2.3. Test Results

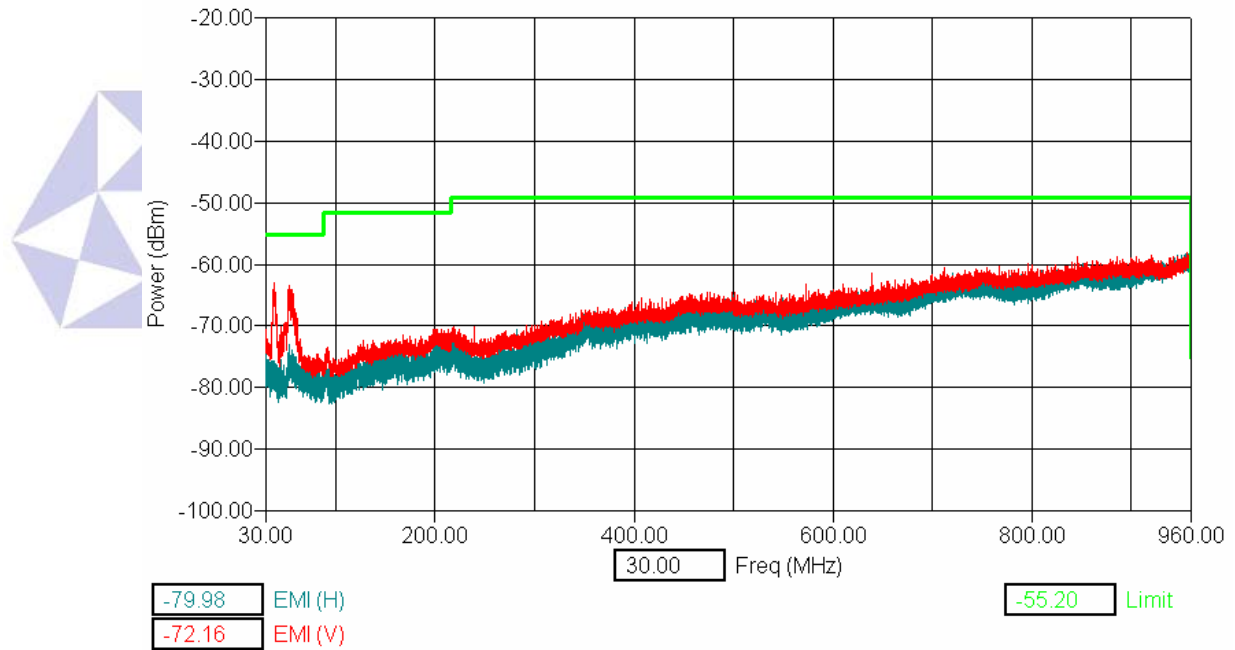
The spectrum between 30 MHz and 960 MHz contained no intentional radiation and lies below the limits. The spectrum from 960MHz to 18GHz contained intentional UWB signals between 3100 MHz and 10600 MHz and lie below the limits. No other emissions above 10600 MHz were detected. The maximum frequency tested was 40 GHz.

Per 47 CFR, Part 15, Subpart F, §15.521(c) (§15.209) all digital emissions from the transmitter whether radiating from the antenna port or not intended to be radiated from the antenna port meet the more stringent limits of §15.517(c) and the limits of §15.209.

Per 47 CFR, Part 15, Subpart F, §15.505(a) (§15.109) all emissions from the digital devices not directly associated with the operation of the transmitter meets the Class B limits of §15.109

Refer to the UWB Radiated Emissions 960 MHz to 40 GHz section for specific data presentation.

30 MHz to 960 MHz



Frequency: 30 MHz to 960 MHz

In both polarizations the peak detected measurements were below the quasi-peak limit

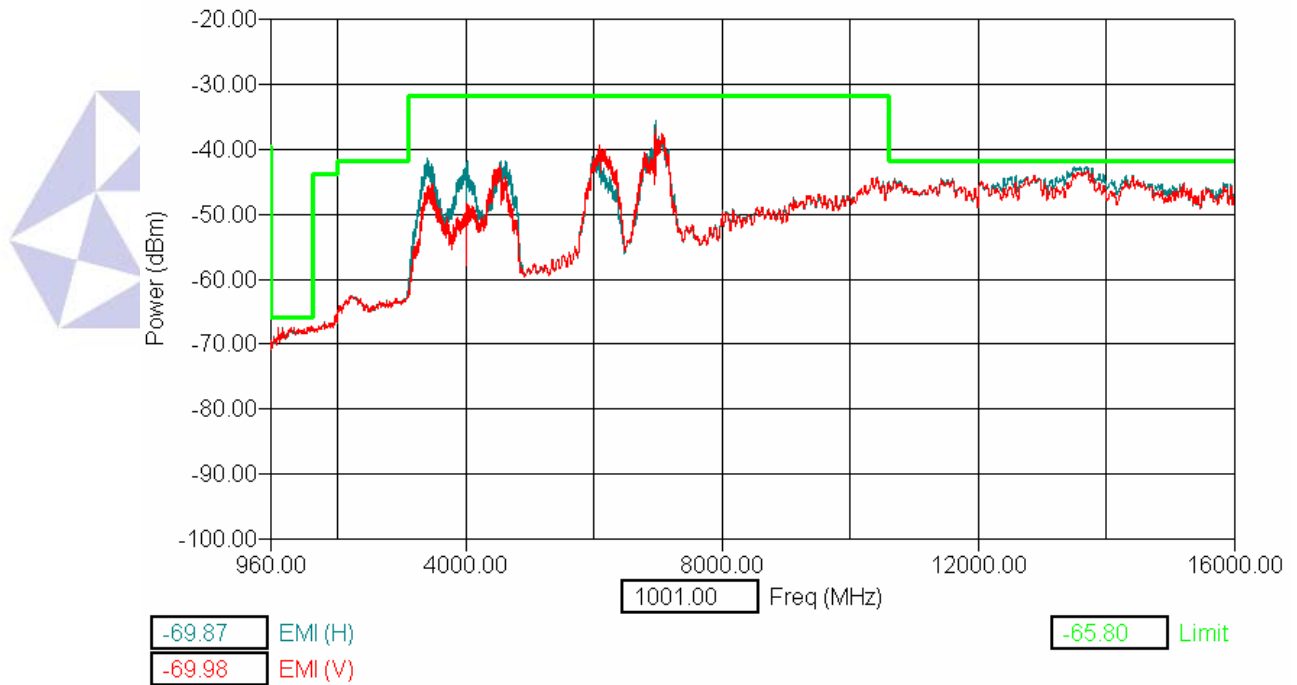
Measurements made with 120 kHz RBW, VBW auto, at 3m distance

Measurement Time auto for sweep

Limit line converted to dBm



960 MHz to 18000 MHz



Frequency: 960 MHz to 16 GHz

In both polarizations the measurements were below the RMS limit line

Measurements made with 1 MHz RBW and 3MHz VBW at 1m distance

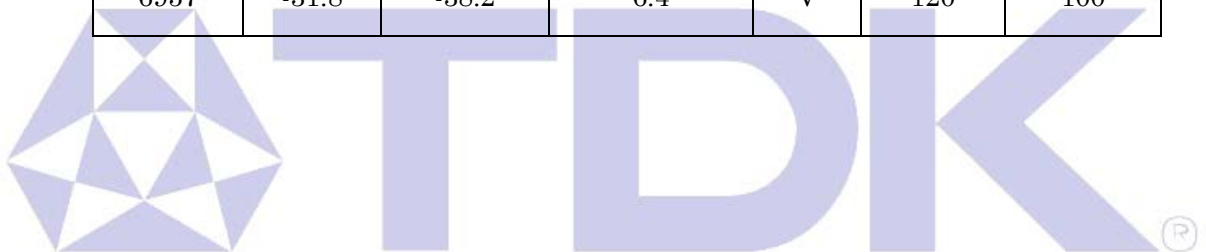
Measurement Time 1 msec per frequency

Limit line converted to account for 1 m measurement distance

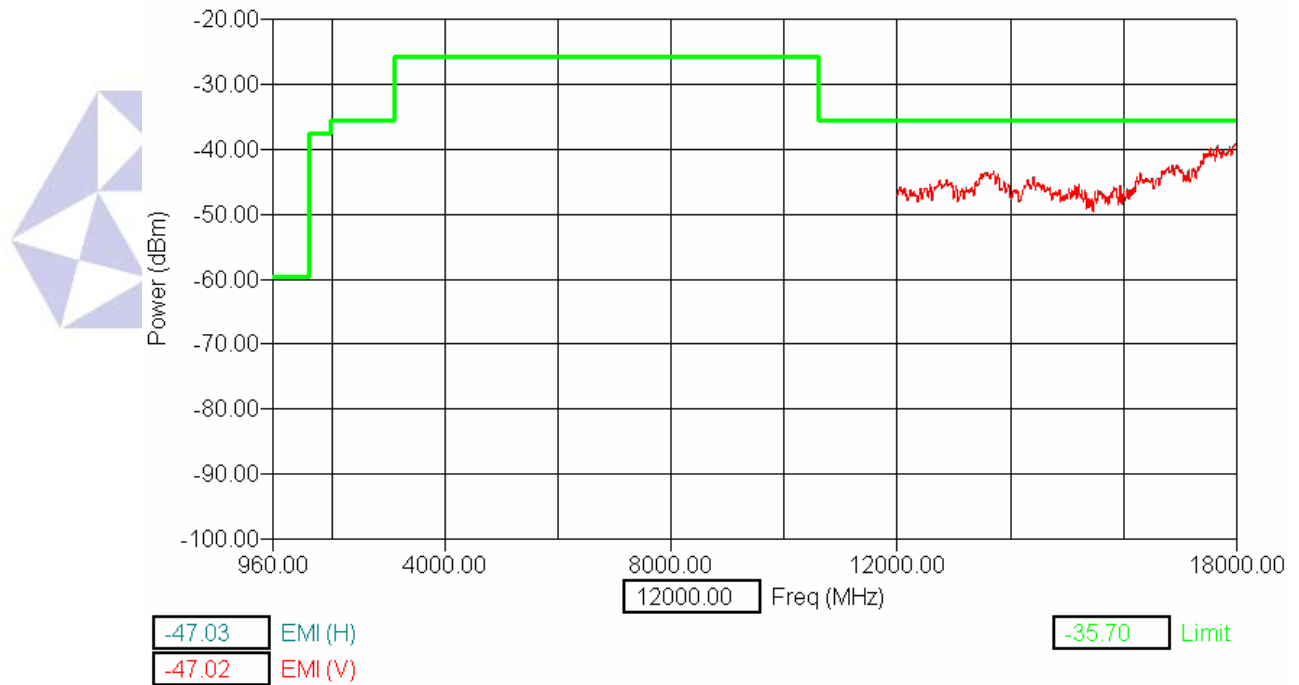
Total Range Maximized. Additional final measurement done at peak

> 10 GHz noise floor starts to approach the measurement limit.

Freq (MHz)	Limit (dBm)	RMS EMI (dBm)	RMS Margin (dB)	Pol (H/V)	Ttbl Agl (deg)	Twr Ht (cm)
6959.5	-31.8	-35.7	3.9	H	180	100
6957	-31.8	-38.2	6.4	V	120	100



16000 MHz to 18000 MHz



Frequency: 16 GHz to 18 GHz

In both polarizations the measurements were below the RMS limit line

Measurements made with 1 MHz RBW and 3MHz VBW at 0.5 m distance

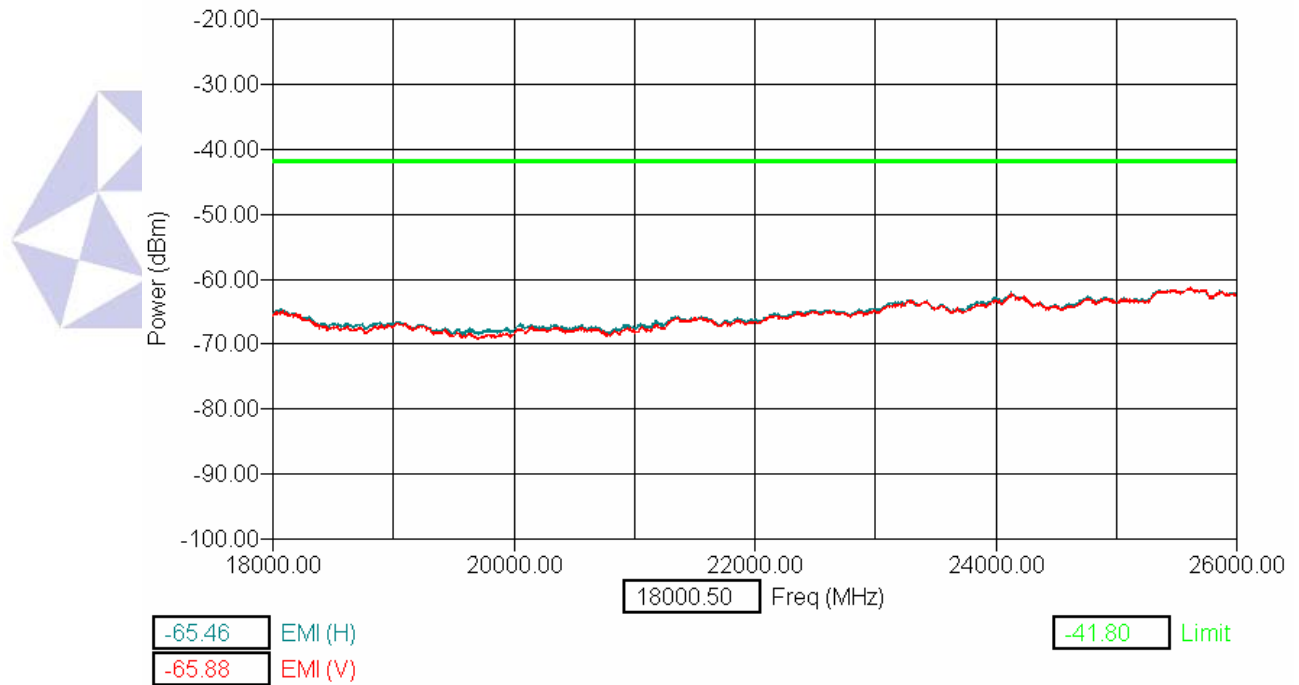
Measurement Time 1 msec per frequency

Limit line converted to account for 0.5 m measurement distance

> 10 GHz noise floor starts to approach the measurement limit



18000 MHz to 26000 MHz



Frequency: 18 GHz to 26 GHz

In both polarizations the measurements were below the RMS limit line

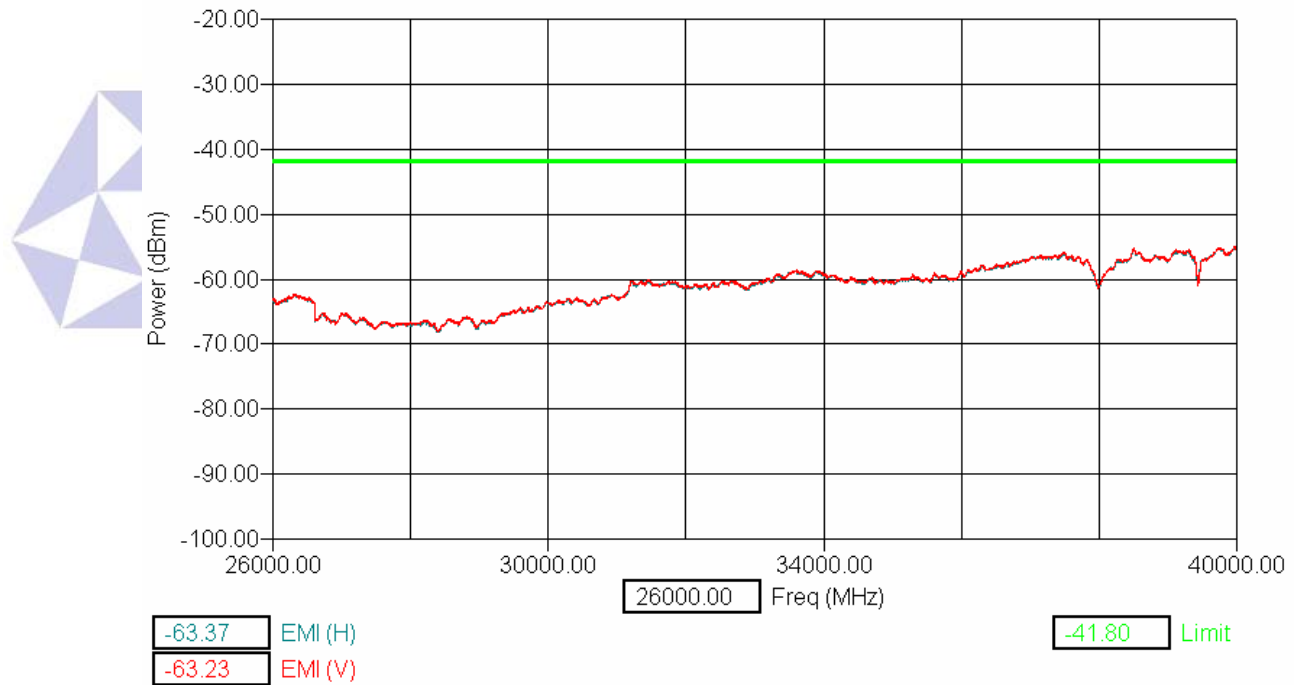
Measurements made with 1 MHz RBW and 3MHz VBW at 1 m distance

Measurement Time 1 msec per frequency

Limit line converted to account for 1 m measurement distance



26000 MHz to 40000 MHz



Frequency: 26 GHz to 40 GHz

In both polarizations the measurements were below the RMS limit line

Measurements made with 1 MHz RBW and 3MHz VBW at 1 m distance

Measurement Time 1 msec per frequency

Limit line converted to account for 1 m measurement distance



Radiated Emissions 5030 MHz to 5650 MHz

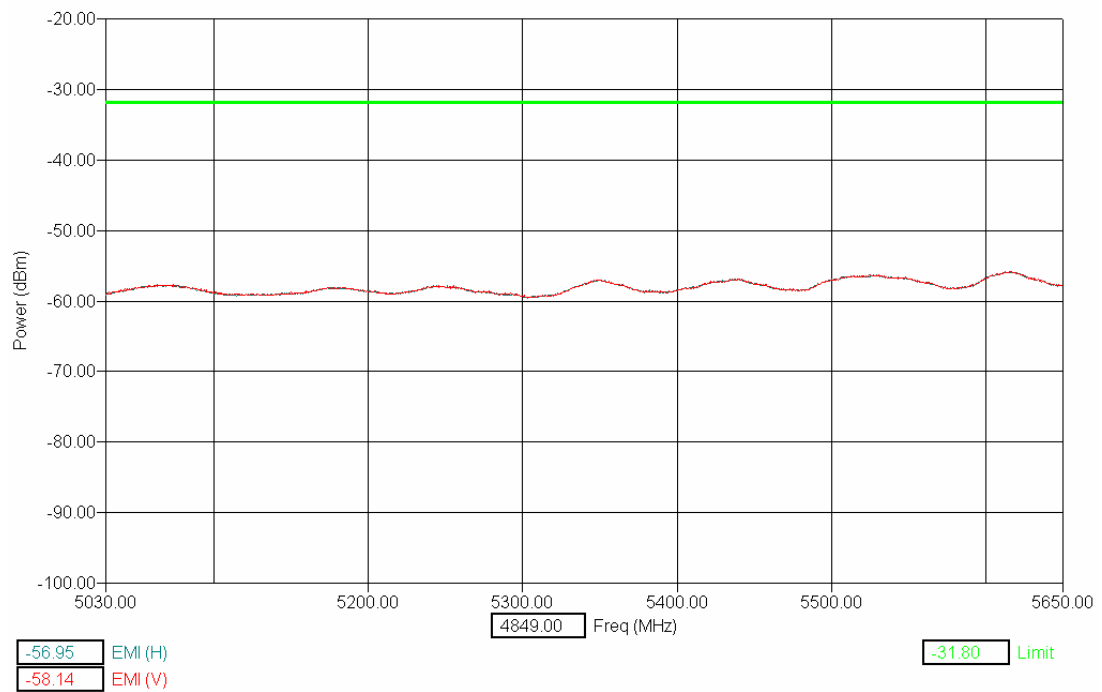
Because of federal operations in the following frequency bands:

Microwave Landing Systems (MLS): 5030 MHz to 5091 MHz

Terminal Doppler Weather Radar (TWDR): 5600 MHz to 5650 MHz

UWB devices will not be permitted to operate under this waiver in the contiguous 5030 MHz to 585650 MHz band.

In both polarizations the measurements were below the RMS limit line and did not contain the fundamental emissions of the UWB signal



Measurements made with 1 MHz RBW and 3MHz VBW at 1m distance

Measurement Time 1 msec per frequency

Limit line converted to account for 1 m measurement distance

6.3. Radiated Emissions in GPS Bands

6.3.1. Test Limits

In addition to the radiated emission limits specified in the table in paragraph 6.2.1 of this report, UWB transmitters operating under the provisions of this section shall not exceed the following average limits when measured using a resolution bandwidth of no less than 1 kHz.

Frequency (MHz)	EIRP (dBm)	E- Field (dB μ V/m)	Distance (m)
1164 to 1240	-85.3	9.9	3
1559 to 1610	-85.3	9.9	3

6.3.2. Test Procedure

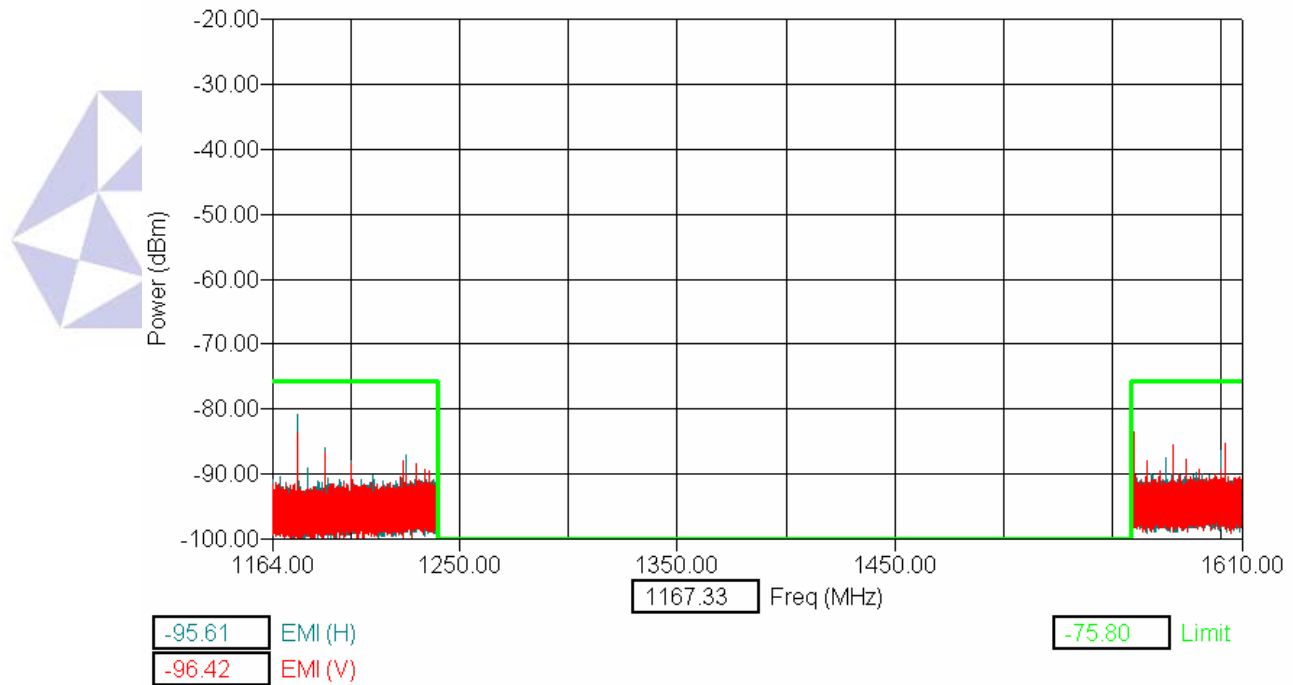
The measurements made over the frequency range from 1164 MHz to 1240 MHz and from 1559 MHz to 1610 MHz were maximized using a spectrum analyzer with RMS detector capabilities. A spectrum analyzer was used for the final measurements utilizing an RMS detector at the frequencies with the largest amplitudes. The prescribed RBW of 1 kHz and VBW of 1 kHz with a suitable averaging time were used for these measurements.

Measurements of the radiated field at these frequencies were made with the measurement antenna located a distance of 1 meter from the EUT to improve the measurement system's noise floor. In the case where there was sufficient margin between the RMS detected maximized spectrum and the RMS limit lines, no additional measurements were undertaken.

The equipment set up for the radiated emissions tests followed the guidelines in ANSI C63.4: 1992.



6.3.3. Test Results



Frequency: 1164 MHz to 1240 MHz and 1559 MHz to 1610 MHz

In both polarizations the measurements were below the RMS limit line

Measurements made with 1 kHz RBW and 1 kHz VBW at 1 m distance

Measurement Time 1 msec per frequency

Limit line converted to account for 1 m measurement distance



6.4. Peak Emissions within a 50 MHz Bandwidth

6.4.1. Test Limits

There is a limit on the peak level of the emissions contained within a 50 MHz bandwidth centered on the frequency at which the highest radiated emission occurs, f_M . That limit is 0 dBm EIRP. It is acceptable to employ a different resolution bandwidth, and a correspondingly different peak emission limit, following the procedures described in Section 15.521.

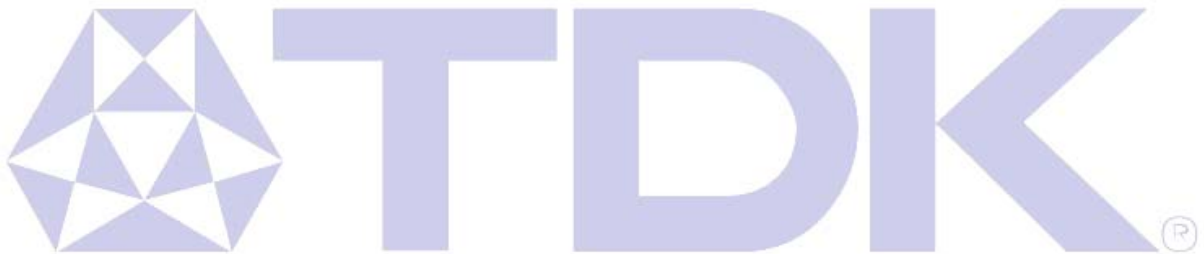
6.4.2. Test Procedure

The measurements made over the intentionally radiating frequency range of the EUT, from 3100 MHz to 10600 MHz, were maximized using a spectrum analyzer with peak detector capabilities. A spectrum analyzer was used for the final measurement utilizing a peak detector at the frequency with the largest amplitude.

The prescribed resolution bandwidth of 50 MHz was not supported by the spectrum analyzer. However, when a peak measurement is required, it is acceptable to use a resolution bandwidth other than the 50 MHz specified in 47 CFR Part 15, Subpart F. The resolution bandwidth for this measurement was set to 3 MHz, and the measurement was centered on the frequency at which the highest radiated emission occurred, f_M . The video bandwidth was 3 MHz.

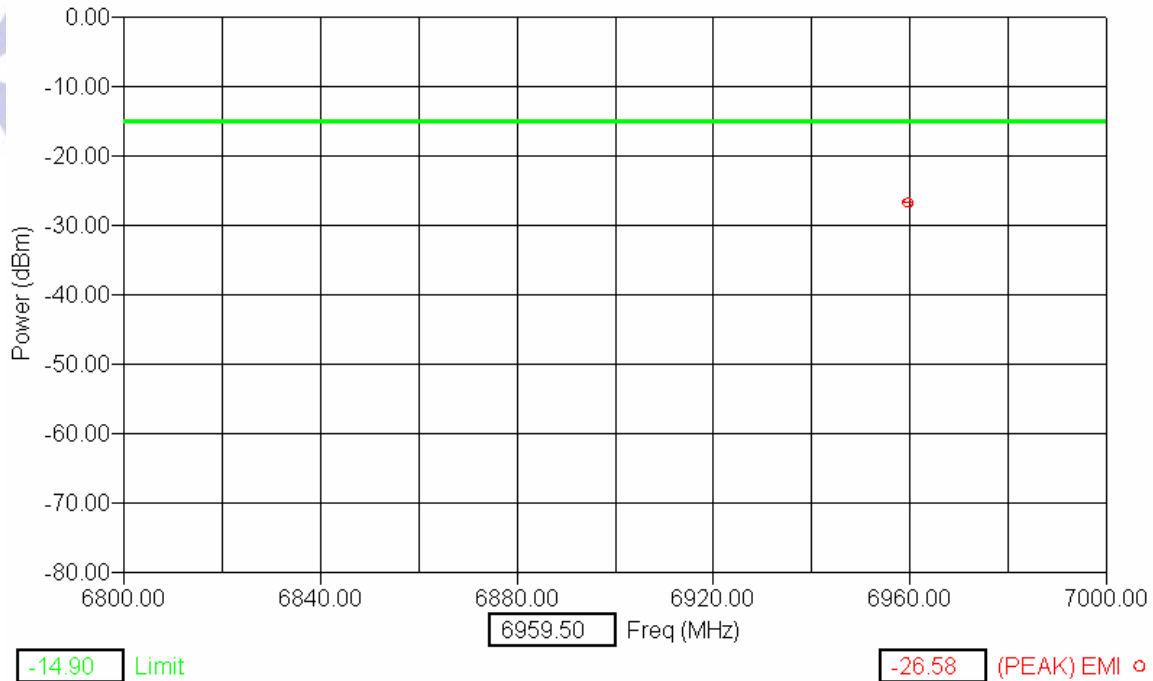
Since a resolution bandwidth other than 50 MHz was employed, the peak EIRP limit has to be adjusted by the resolution bandwidth ratio of $20\log(\text{RBW}/50)$ dB, where RBW is the resolution bandwidth used for the measurement expressed in MHz. In addition, the distance between the EUT and receive antenna was 1m so that 9.5 dB was added to the limit for 3m distance.

The equipment set up for the radiated emissions tests followed the guidelines in ANSI C63.4: 1992.



6.4.3. Test Results

	Frequency (MHz)	polarization	EIRP (dBm)	Limit (dBm)	Margin (dBm)
	6959.5	Horizontal	-26.58	-14.9	11.68



Frequency: 6959.5 MHz

Horizontal polarization was the maximum

Measurements made with 3 MHz RBW and 3 MHz VBW at 1 m distance

Measurement Time auto for sweep

Limit line converted to account for 1 m measurement distance and 3 MHz RBW

Total Range Maximized. Final measurement done at peak

3 MHz RBW compensation as per FCC requirements: $20\text{LOG}(3/50)$



6.5. AC Mains Line-Conducted Disturbance

6.5.1. Test Limits

For an intentional radiator that is designed to be connected to the public utility (AC) power line, the radio frequency voltage that is conducted back onto the AC power line on any frequency or frequencies within the band 150 kHz to 30 MHz shall not exceed the limits in the following table, as measured using a 50 μ H/50 ohms line impedance stabilization network (LISN). Compliance with the provisions of this paragraph shall be based on the measurement of the radio frequency voltage between each power line and ground at the power terminal.

Frequency Range (MHz)	Conducted Limit (dB μ V)	
	Quasi-Peak	Average
0.15 to 0.5	66 to 56*	56 to 46*
0.5 to 5	56	46
5 to 30	60	50

* Decreases with logarithm of the frequency

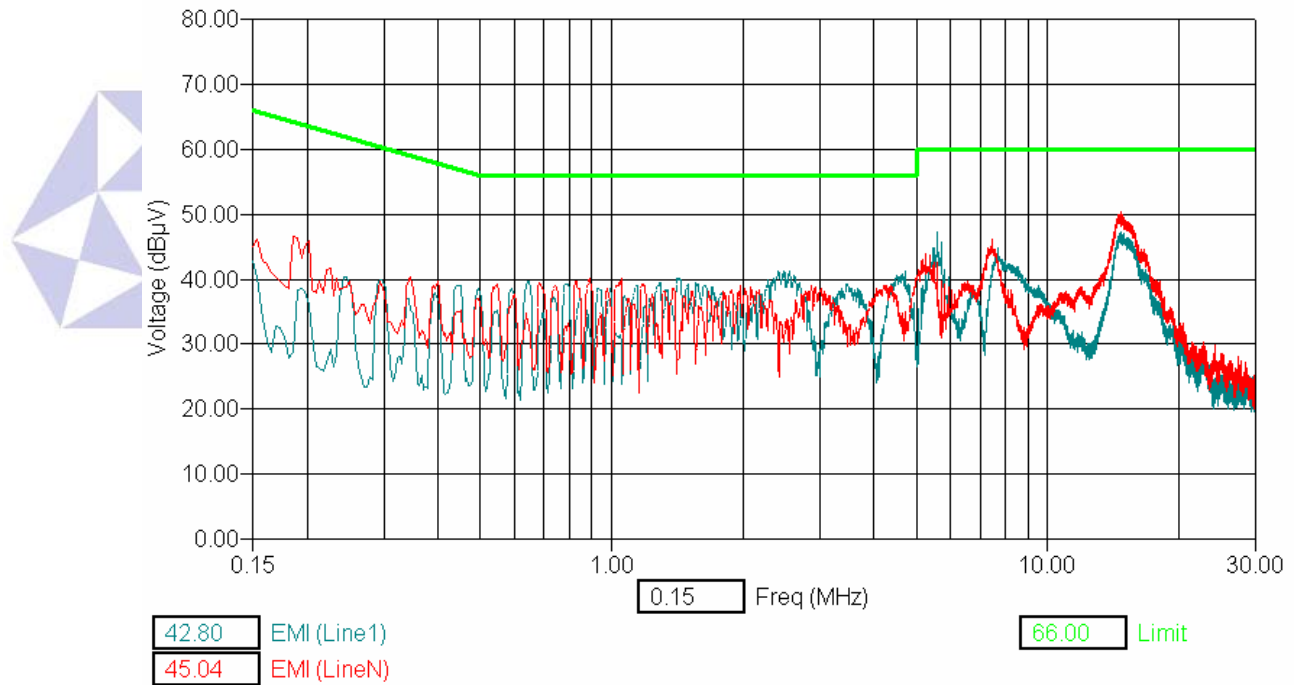
6.5.2. Test Procedure

Measurements were carried out using quasi-peak and average detector receivers in accordance with ANSI C63.4: 1992. A LISN was required to provide defined impedance at high frequencies across the power feed at the point of measurement of terminal voltage and also to provide isolation of the circuit under test from the ambient noise on the power lines. A LISN as defined in ANSI C63.4: 1992 was used.

Conducted disturbance was measured between the phase lead and the reference ground, and between the neutral lead and the reference ground. Both measured values were reported.



6.5.3. Test Results

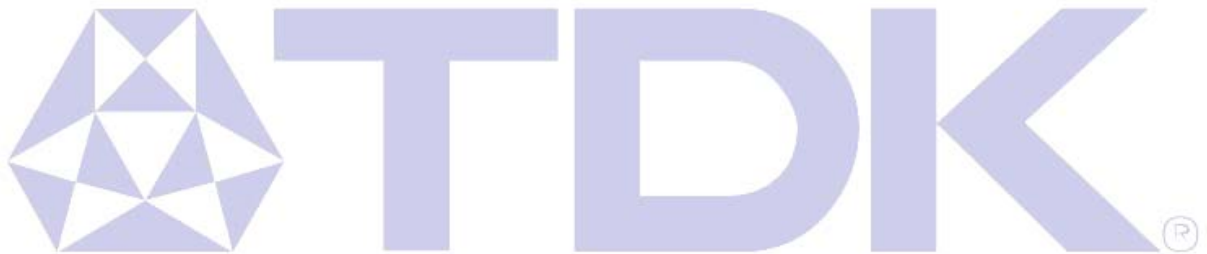


Frequency: 150 kHz to 30 MHz

Both the phase and neutral lines of the peak detected measurements were below the quasi-peak limit

Measurements made with 9 kHz RBW, VBW auto, conducted LISN as per ANSI C63.4

Measurement Time auto for sweep



Labeling and Instruction Manual Requirements

UWB systems operating under the provisions of this section shall bear the following or similar statement in a conspicuous location on the device or in the instruction manual supplied with the device.

“This equipment may only be operated indoors. Operation outdoors is in violation of 47 U.S.C. 301 and could subject the operator to serious legal penalties.”

In addition to the above requirements, a UWB device subject to certification shall be labeled as followed in a conspicuous location on the device:

“This device complied with Part 15 of the FCC Rules. Operation is subject to the following two conditions: (1) This device may not cause harmful interference, and (2) this device must accept any interference received, including interference that may cause undesired operation.”

- (1) Where a device is constructed in two or more sections connected by wires and marketed together, the statement specified directly above this section is required to be affixed only to the main control unit.
- (2) When the device is so small or for such use that it is not practicable to place the statement specified under paragraph (a) of this section on it, the information required by this paragraph shall be placed in a prominent location in the instruction manual or pamphlet supplied to the user or, alternatively, shall be placed on the container in which the device is marketed. However, the FCC identifier or the unique identifier, as appropriate, must be displayed on the device.

The users’ manual or instruction manual for an intentional or unintentional radiator shall caution the user that changes or modifications not expressly approved by the party responsible for compliance could void the user’s authority to operate the equipment.

7. Certification Information

The following is extracted from Title 47 of the Code of Federal regulations, Part 2, Subpart I – Marketing of Radio Frequency Devices.

§ 2.801 Radio Frequency Device Defined

As used in this part, a radio frequency device is any device which in its operation is capable of emitting radio frequency by radiation, conduction or other means. Radio frequency devices include, but are not limited to:

- (a) The various types of radio communication transmitting devices described throughout this chapter.
- (b) The incidental, unintentional and intentional radiators defined in Part 15 of this chapter.
- (c) The industrial, scientific and medical equipment described in part 18 of this chapter.
- (d) Any part or component thereof which in use emits radio frequency energy by radiation, conduction or other means.

§ 2.803 Marketing of Radio Frequency Devices Prior to Equipment Authorization

- (a) Except as provided elsewhere in this chapter no person shall sell or lease, or offer for sale or lease (including advertising for sale or lease), or import, ship or distribute for the purpose of selling or leasing or offering for sale or lease, any radio frequency device unless:
 - (1) In the case of a device subject to certification, such device has been authorized by the Commission in accordance with the rules in this chapter and is properly identified and labeled as required by §2.925 and other relevant sections in this chapter; or
 - (2) In the case of a device that is not required to have grant of equipment authorization issued by the Commission, but which must comply with the specified technical standards prior to use, such device also complies with all applicable administrative (including verification of the equipment or authorization under a Declaration of Conformity, where required), technical, labeling and identification requirements specified in this chapter.
- (e) Notwithstanding the provisions of paragraph (a) of this section, the offer for sale solely to business, commercial, industrial,



scientific or medical users (but not an offer for sale to other parties or to end users located in a residential environment) of a radio frequency device that is in the conceptual, developmental, design or pre-production stage is permitted prior to equipment authorization or, for devices not subject to the equipment authorization requirements, prior to a determination of compliance with the applicable technical requirements provided that the prospective buyer is advised in writing at the time of the offer for sale that the equipment is subject to FCC rules and that the equipment will comply with the appropriate rules before delivery to the buyer or to centers of distribution. ®

(e)(1) Notwithstanding the provisions of paragraph (a) of this section, prior to equipment authorization or determination of compliance with the applicable technical requirements any radio frequency device may be operated, but not marketed, for the following purposes and under the following conditions:

(i) Compliance testing;

(ii) Demonstrations at a trade show provide the notice contained in paragraph (c) of this section is displayed in a conspicuous location on, or immediately adjacent to, the device;

(iii) Demonstrations at an exhibition conducted at a business, commercial, industrial, scientific or medical location, but excluding locations in a residential environment, provided the notice contained in paragraphs (c) or (d) of this section, as appropriate, is displayed in a conspicuous location on, or immediately adjacent to, the device;

(iv) Evaluation of the product performance and determination of customer acceptability, provided such operation takes place at the manufacturer's facilities during developmental, design or pre-production states; or

(v) Evaluation of product performance and determination of customer acceptability where customer acceptability of a radio frequency device cannot be determined at the manufacturer's facilities because of size, unique capability of the device, provided the device is operated at a business, commercial, industrial, scientific or medical user's site, but not at a residential site, during the development, design or pre-production stages.

(e)(2) For the purpose of paragraphs (e)(1)(iv) and (e)(1)(v) of this section, the term manufacturer's facilities includes the facilities of the party responsible for compliance with the regulations and the manufacturer's premises, as well as the facilities of other entities working under the authorization of the responsible party in ®

connection with the development and manufacture, but not the marketing, of the equipment.


- (f) For radio frequency devices subject to verification and sold solely to business, commercial, industrial, scientific and medical users (excluding products sold to other parties or for operation in residential environment), parties responsible for verification of the devices shall have the option of ensuring compliance with the applicable technical specifications of this chapter at each end user's location after installation, provided that the purchase or lease agreement includes a proviso that such a determination of compliance be made and is the responsibility of the party responsible for verification of the equipment.

The following is extracted from Title 47 of the Code of Federal Regulations, Part 2, Subpart J – Equipment Authorization Procedures:

§ 2.901 Basis and Purpose

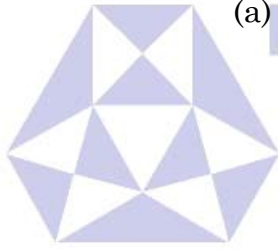
- (a) In order to carry out its responsibilities under the Communications Act and the various treaties and international regulations and in order to promote efficient use of the radio spectrum, the Commission has developed technical standards for radio frequency equipment and parts or components thereof. The technical standards applicable to individual types are found in that part of the rules governing the service wherein the equipment is to be operated. In addition to the technical standards provided, the rules governing in the service may require that such equipment be verified by the manufacturer or importer be authorized under the Declaration of Conformity, or receive an equipment authorization from the Commission by one of the following procedures: certification or registration.
- (b) The following sections describe the verification procedure, the procedure for a Declaration of Conformity, or the procedures to be followed in obtaining certification from the Commission and the conditions attendant to such a grant, which ever is applicable.

§ 2.907 Certification

- (a) Certification is an equipment authorization issued by the Commission, based on representation and test data submitted by the applicant.
- (b) Certification attaches to all units subsequently marketed by the grantee which are identical (see Section 2.908) to the sample 

tested except for permissive changes or other variations authorized by the Commission pursuant to Section 2.1043.

§ 2.948 Description of Measurement Facilities



(a) Each party making measurements of equipment that is subject to an equipment authorization under Part 15 or Part 18 of this chapter, regardless of whether the measurements are filed with the Commission or kept on file by the party responsible for compliance of equipment marketed within the US or its possessions, shall compile a description of the measurement facilities employed.

(1) If the measured equipment is subject to the verification procedure, the description of the measurement facilities shall be retained by the party responsible for verification of the equipment.

(i) If the equipment is verified through measurements performed by an independent laboratory, it is acceptable for the party responsible for verification of the equipment to rely upon the description of the measurement facilities retained by or placed on file with the Commission by that laboratory. In this situation, the party responsible for the verification of the equipment is not required to retain a duplicate copy of the description of the measurement facilities.

(ii) If the equipment is verified based on measurements performed at the installation site of the equipment, no specific site calibration data is required. It is acceptable to retain the description of the measurement facilities at the site which the measurements were performed.

(2) If the equipment is to be authorized by the Commission under the certification procedure, the description of the measurement facilities shall be filed with the Commission's Laboratory in Columbia, Maryland. The data describing the measurement facilities need only be filed once but must be updated as changes are made to the measurement facilities or as otherwise described in this section. At least every three years, the organization responsible for filing the data with the Commission shall certify that the data on file is current.



Appendix A

