

## Appendix 5. System Check

Prior to the assessment, the system was verified in the flat region of the phantom, 900 MHz, 1900 MHz and 2450 MHz dipoles were used. A forward power of 250 mW was applied to the 900 MHz, 1900 MHz and 2450 MHz dipoles and the system was verified to a tolerance of  $\pm 5\%$  for the 900MHz, 1900MHz and 2450 MHz dipoles.

The applicable verification normalised to 1 Watt.

### System Check 900 Body

Date: 26/03/2013

Validation Dipole and Serial Number: D900V2; SN: 035

Simulant	Frequency (MHz)	Room Temp	Liquid Temp	Parameters	Target Value	Measured Value	Deviation (%)	Limit (%)
Body	900	24.0 °C	23.4 °C	$\epsilon_r$	55.00	52.99	-3.65	5.00
				$\sigma$	1.05	1.06	0.76	5.00
				1g SAR	10.80	10.36	-4.07	5.00
				10g SAR	6.96	6.80	-2.30	5.00

### System Check 1900 Body

Date: 28/03/2013

Validation Dipole and Serial Number: D1900V2; SN: 537

Simulant	Frequency (MHz)	Room Temp	Liquid Temp	Parameters	Target Value	Measured Value	Deviation (%)	Limit (%)
Body	1900	24.0 °C	23.5 °C	$\epsilon_r$	53.30	51.37	-3.62	5.00
				$\sigma$	1.52	1.54	1.18	5.00
				1g SAR	40.50	38.88	-4.00	5.00
				10g SAR	21.40	20.96	-2.06	5.00

Date: 12/04/2013

Validation Dipole and Serial Number: D1900V2; SN: 537

Simulant	Frequency (MHz)	Room Temp	Liquid Temp	Parameters	Target Value	Measured Value	Deviation (%)	Limit (%)
Body	1900	23.0 °C	21.5 °C	$\epsilon_r$	53.30	51.42	-3.53	5.00
				$\sigma$	1.52	1.54	1.53	5.00
				1g SAR	40.50	40.40	-0.25	5.00
				10g SAR	21.40	21.60	0.93	5.00

### System Check 2450 Body

Date: 25/03/2013

Validation Dipole and Serial Number: D2440V2; SN: 701

Simulant	Frequency (MHz)	Room Temp	Liquid Temp	Parameters	Target Value	Measured Value	Deviation (%)	Limit (%)
Body	2450	24.0 °C	22.0 °C	$\epsilon_r$	52.70	51.88	-1.56	5.00
				$\sigma$	1.95	2.03	3.93	5.00
				1g SAR	52.00	54.40	4.62	5.00
				10g SAR	24.10	23.88	-0.91	5.00

**Appendix 6. Simulated Tissues**

The body mixture consists of water, Polysorbate (Tween 20) and salt. Visual inspection is made to ensure air bubbles are not trapped during the mixing process. The mixture is calibrated to obtain proper dielectric constant (permittivity) and conductivity of the tissue.

Ingredient (% by weight)	Frequency
	750/835/850/900 MHz Body
De-Ionized Water	71.30
Polysorbate 20	28.00
Salt	0.70

Ingredient (% by weight)	Frequency
	1800/1900 MHz Body
De-Ionized Water	71.50
Polysorbate 20	28.00
Salt	0.50

Ingredient (% by weight)	Frequency
	2450 MHz Body
De-Ionized Water	71.70
Polysorbate 20	28.00
Salt	0.30

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## Appendix 7. DASY4 System Details

### A.7.1. DASY4 SAR Measurement System

UL, SAR measurement facility utilises the Dosimetric Assessment System (DASY™) manufactured by Schmid & Partner Engineering AG (SPEAG™) of Zurich, Switzerland. The DASY4 system is comprised of the robot controller, computer, near-field probe, probe alignment sensor, and the SAM phantom containing brain or muscle equivalent material. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF). A cell controller system contains the power supply, robot controller; teach pendant (Joystick), and remote control. This is used to drive the robot motors. The Staubli robot is connected to the cell controller to allow software manipulation of the robot. The data acquisition electronics (DAE) performs signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection etc. The DAE is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card. The DAE3 utilises a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16-bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe-mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer.

#### A.7.2. DASY4 SAR System Specifications

##### Robot System

Positioner:	Stäubli Unimation Corp. Robot Model: RX90L
Repeatability:	0.025 mm
No. of Axis:	6
Serial Number:	F01/5J86A1/A/01
Reach:	1185 mm
Payload:	3.5 kg
Control Unit:	CS7
Programming Language:	V+

##### Data Acquisition Electronic (DAE) System

Serial Number:	DAE3 SN:432
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##### PC Controller

PC:	Dell Precision 340
Operating System:	Windows 2000
Data Card:	DASY4 Measurement Server
Serial Number:	1080

##### Data Converter

Features:	Signal Amplifier, multiplexer, A/D converted and control logic.
Software:	DASY4 Software
Connecting Lines:	Optical downlink for data and status info. Optical uplink for commands and clock.

##### PC Interface Card

Function:	24 bit (64 MHz) DSP for real time processing Link to DAE3 16 nit A/D converter for surface detection system serial link to robot direct emergency stop output for robot.
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**DASY4 SAR System Specifications (Continued)****E-Field Probe**

<b>Model:</b>	ET3DV6
<b>Serial No:</b>	1528
<b>Construction:</b>	Triangular core
<b>Frequency:</b>	10 MHz to 2.55GHz
<b>Linearity:</b>	±0.2 dB (30 MHz to 2.55GHz)
<b>Probe Length (mm):</b>	337
<b>Probe Diameter (mm):</b>	10
<b>Tip Length (mm):</b>	10
<b>Tip Diameter (mm):</b>	6.8
<b>Sensor X Offset (mm):</b>	2.7
<b>Sensor Y Offset (mm):</b>	2.7
<b>Sensor Z Offset (mm):</b>	2.7

**Phantom**

<b>Phantom:</b>	Eli Phantom
<b>Shell Material:</b>	Fibreglass
<b>Thickness:</b>	2.0 ±0.1 mm

## Appendix 8. 3G Test set-up

### 3G (12.K RMC / HSDPA / HSUPA) setup

To switch from 2G to 3G, on the system config screen choose Format Switch and select WCDMA. The Call Setup Screen as shown in figure 1 pops up.

Call Setup Screen									
Call Control	Active Cell Operating Mode							Call Parms	
Operating Mode	<div>UE Information</div> <div> <div>IMSI:</div> <div>IMEI(SU):</div> <div>Power Class:</div> </div> <div>(--)</div>							Cell Power	
Active Cell								-35.00	
								dBm/3.84 MHz	
								Channel Type	
								12.2k RMC	
Originate Call	<div>UE Expected Open Loop Transmit Power</div> <div> <div>Initial PRACH TX Power:</div> <div>Initial DPCCH TX Power:</div> </div> <div>-60.00 dBm</div> <div>-11.55 dBm</div>							Paging Service	
								RB Test Mode	
Paging Parameters	<div>Call Processing Status</div> <div> <div>Current Service Type:</div> <div>IM Status:</div> <div>GM State:</div> <div>Current DPCH Offset:</div> </div> <div>None</div> <div>None</div> <div>None</div> <div>0 chips</div>							HSPA Parameters	
Handovers	<div>HSUPA Information</div> <div> <div>Rep EDCH Cat/Ext:</div> <div>Last received E-TFCI:</div> <div>Throughput:</div> <div>Acks Transmitted:</div> </div> <div>Unrep/Unrep</div> <div>----</div> <div>kbps</div> <div>----</div>				<div>HSDPA Information</div> <div> <div>Cur UE HS-DSCH Cat:</div> <div>Block Error Ratio:</div> <div>Throughput:</div> <div>Blocks Transmitted:</div> </div> <div>----</div> <div>----</div> <div>kbps</div> <div>----</div>			34.121 Preset Call Configs	
Clear UE Info								Channel (UARFCN) Parms	
			Active Cell			Sys Type: UTRA FDD			
			Idle						
1 of 5				IntRef					1 of 3

Figure 1: 3G Call Setup Screen

For a 12.2k RMC call follow the steps below.

#### 8.1. Steps for 12.2k RMC

1. Ensure that the Operating Mode of the cell is off before setting up the instrument.
2. On the Call Setup Screen, under Call Parameters, press the button against Cell Power. The Cell Power value is set to about -35dBm to account for all the losses and ensure sufficient signal strength to the EUT.
3. The Channel Type is selected to 12.2k RMC. Press button against Channel (VARFCN) Parms select the correct Downlink Channel for the required UMTS FDD Band.
4. On the Call Setup Screen, under Call Parameters, press the button against HSPA Parameters. Under HSDPA Parameters on page 1, press HSDPA Uplink parameters and set the Delta ACK, Delta NACK, Delta CQI values to 8. Under HSDPA Parms itself, press HSDPA RB Test Mode Setup button and then the HSDPA RB Test Mode Settings and change HS-DSCH Data Pattern to All Ones.

Call Setup Screen									
Call Control	Active Cell Operating Mode						HSDPA Parms		
	<b>UE Information</b> IMSI: IMEI(SV): (---) Power Class:						HSDPA RB Test Node Setup		
	<b>UE Expected Open Loop Transmit Power</b> Initial PRACH TX Power: -60.00 dBm Initial DPCH TX Power: -11.55 dBm								
	<b>HSDPA Uplink Parameters</b>						<b>Value</b>		
	DeltaACK						8		
	DeltaNACK						8		
	DeltaCQI						8		
	Ack-Nack Repetition Factor						1		
	CQI Feedback Cycle (k)						2 ms		
	CQI Repetition Factor						1		
	Close Menu							Return	
		Active Cell		Sys Type: UTRA FDD					
		Idle							
		IntRef							
						1 of 2			

Figure 2: HSDPA Parameters

5. On the Call Setup Screen, under Call Parameters, on page 2, check if the DL DTCH Data is set to All Ones. On page 3, ensure that the Receiver is set to Manual. On page 3 itself, under UL CL Power Ctrl Parameters, UL CL Power Ctrl Mode is set to All Up Bits.

Call Setup Screen									
Call Control	Active Cell Operating Mode						Call Parms		
Operating Mode	<b>UE Information</b> IMSI: IMEI(SV): (---) Power Class:						DL DTCH Data		
Active Cell							All Ones		
Originate Call	<b>UE Expected Open Loop Transmit Power</b> Initial PRACH TX Power: -60.00 dBm Initial DPCH TX Power: -11.55 dBm						RLC Reestablish		
							Auto		
Paging Parameters ▾	<b>Call Processing Status</b> Current Service Type: None MM Status: None GMM State: None Current DPCH Offset: 0 chips						Call Limit State		
Handovers							Off		
Clear UE Info	<b>HSUPA Information</b> Rep EDCH Cat/Ext: Unrep/Unrep Last received E-TFCI: ---- Throughput: ---- kbps Acks Transmitted: ----						<b>HSDPA Information</b> Cur UE HS-DSCH Cat: ---- Block Error Ratio: ---- % Throughput: ---- kbps Blocks Transmitted: ----		
		Active Cell		Sys Type: UTRA FDD					
		Idle							
		IntRef							
1 of 5						2 of 3			

Figure 3: DL DTCH Data Parms

Call Setup Screen									
Call Control	Active Cell Operating Mode							Call Params	
	<div>UE Information</div> <div>           IMSI:            INEI(SV): (--)            Power Class:         </div>							UE Target Power -5 dBm	
	<div>UE Expected Open Loop Transmit Power</div> <div>           Initial PRACH TX Power: -60.00 dBm            Initial DPCCH TX Power: -11.55 dBm         </div>							UL CL Power Ctrl Parameters	
	<div>UL CL Power Ctrl Parameters</div> <div>Value</div>							Send Step Up TPC Bit Pattern	
	UL CL Power Ctrl Mode All Up bits							Send Step Down TPC Bit Pattern	
	UL CL Power Ctrl Algorithm Two							Receiver Control	
	UL CL Power Ctrl Stepsize 1 dB								
Close Menu	<div>Active Cell</div> <div>Idle</div>							Sys Type: UTRA FDD	
	<div>IntRef</div>							3 of 3	

Figure 4: UL CL Power Ctrl Parameters

6. On the Call Setup Screen, under Call Control, page 2, Cell Parameters, it is ensured that PS Domain information is kept as Absent for RMC.

Call Setup Screen									
Call Control	Active Cell Operating Mode							Call Params	
	<div>UE Information</div> <div>           IMSI:            INEI(SV): (--)            Power Class:         </div>							Cell Power -35.00 dBm/3.84 MHz	
	<div>UE Expected Open Loop Transmit Power</div> <div>           Initial PRACH TX Power: -60.00 dBm            Initial DPCCH TX Power: -11.55 dBm         </div>							Channel Type 12.2k RMC	
	<div>Cell Parameters</div> <div>Value</div>							Paging Service RB Test Mode	
	BCCH Update Page Inhibit							HSPA Parameters	
	PS Domain Information Absent							34,121 Preset Call Configs	
	MCC (Mobile Country Code) 1							Channel (UARFCN) Params	
	MNC (Mobile Network Code) 1								
	MNC (Mobile Network Code) Length Auto								
	LAC (Local Area Code) 1								
Uplink Parameters	RAC (Routing Area Code) 1								
	Cell Identity 1								
Close Menu	<div>Active Cell</div> <div>Idle</div>							Sys Type: UTRA FDD	
	<div>IntRef</div>							1 of 3	
2 of 5									

Figure 5: Cell Parameters

7. On the same page under Uplink Parameters the maximum Uplink Transmit Power is made 24dBm. Uplink DPCH Bc/Bd Control Settings are kept at Auto for RMC. These vary according for HSDPA and HSUPA as per the values given in KDB 941225 D01 SAR test for 3G devices v02.

Call Setup Screen									
Call Control	Active Cell Operating Mode						Call Parm		
Additional Screens	UE Information						Cell Power		
	IMSI: IMEI(SV): (---) Power Class:						-35.00		
Cell Parameters							dBm/3.84 MHz		
							Channel Type		
							12.2k RNC		
Generator Info	UE Expected Open Loop Transmit Power						Paging Service		
	Initial PRACH TX Power: -60.00 dBm Initial DPCCH TX Power: -11.55 dBm						RB Test Mode		
	Uplink Parameters					Value			
Uplink Parameters	PRACH Preambles					64	HSPA Parameters		
	PRACH Ramping Cycles(MMAX)					2			
	Available Subchannels (Bit Mask)					000000000001			
UE Rep Neas	Uplink DPCCH Scrambling Code					0	34.121 Preset Call Configs		
	Uplink DPCCH Bc/Bd Control					Auto			
	Manual Uplink DPCCH Bc					0			
Close Menu	Manual Uplink DPCCH Bd					15	Channel (UARFCN) Parm		
	Maximum Uplink Transmit Power Level					24 dBm			
					Active Cell	Sys Type: UTRA FDD			
					Idle				
2 of 5					IntRef				1 of 3

Figure 6: Uplink Parameters

8. On page 3 under Call Control, for the RB Test Mode setup, Asymmetric RMC CN Domain is ensured to be in CS Domain for RMC call.

Call Setup Screen									
Call Control	Active Cell Operating Mode						Call Parm		
	UE Information						Cell Power		
	IMSI: IMEI(SV): (---) Power Class:						-35.00		
							dBm/3.84 MHz		
							Channel Type		
							12.2k RNC		
	UE Expected Open Loop Transmit Power						Paging Service		
	Initial PRACH TX Power: -60.00 dBm Initial DPCCH TX Power: -11.55 dBm						RB Test Mode		
	RB Test Mode Settings					Value			
Voice Call	Uplink DTCH RNC CRC Presence					Present	HSPA Parameters		
	Uplink Dummy DCCH Data					Off			
	UE Loopback Type					Type 1			
	Asymmetric RNC Loopback Messaging					Close/Open	34.121 Preset Call Configs		
	Asymmetric RNC CN Domain					CS Domain			
Close Menu						Channel (UARFCN) Parm			
					Active Cell	Sys Type: UTRA FDD			
					Idle				
3 of 5					IntRef				1 of 3

Figure 7: RB Test Mode Settings

9. After the test set has been set up, change the cell Operating Mode to Active Cell and originate a call.

**8.2. Steps for 12.2k RMC + HSDPA/HSUPA**

1. Most of the steps to be followed are as in the case of 12.2k RMC however, some of the settings need to be changed. The Channel Type is changed to 12.2k RMC+HSDPA or 12.2k RMC+HSUPA as required.
2. For HSDPA and HSUPA, the settings remain same as the case for RMC but the PS Domain is made Present for Cell Parameters (Figure 5) and RB Test Mode Setup (Figure 7).
3. The following tables taken from FCC 3G SAR procedures (KDB 941225 D01 SAR test for 3G devices v02) below were applied to the Agilent 8960 series 10 wireless communications test set which supports 3G / HSDPA release 5 / HSUPA release 6.

**Sub-test 1 Setup for Release 5 HSDPA**

Sub-test	$\beta_c$	$\beta_d$	$B_d$ (SF)	$\beta_c \beta_d$	$\beta_{hs}^{(1)}$	SM (dB) <sup>(2)</sup>
1	2/15	15/15	64	2/15	4/15	0.0
2	12/15 <sup>(3)</sup>	15/15 <sup>(3)</sup>	64	12/15 <sup>(3)</sup>	24/15	1.0
3	15/15	8/15	64	15/8	30/15	1.5
4	15/15	4/15	64	15/4	30/15	1.5

Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 8 \Leftrightarrow A_{hs} = \beta_{hs}/\beta_c = 30/15 \Leftrightarrow \beta_{hs} = 30/15 * \beta_c$

Note 2: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $B_{hs}/\beta_c = 24/15$

Note 3: For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 11/15$  and  $\beta_d = 15/15$

**Sub-test 5 Setup for Release 6 HSUPA**

Sub-test	$\beta_c$	$\beta_d$	$B_d$ (SF)	$\beta_c \beta_d$	$\beta_{hs}^{(1)}$	$B_{oc}$	$B_{od}$	$B_{od}$ (SF)	$B_{od}$ (codes)	CM <sup>(2)</sup> (dB)	MPR (dB)	AG <sup>(4)</sup> Index	E-TFCI
1	11/15 <sup>(3)</sup>	15/15 <sup>(3)</sup>	64	11/15 <sup>(3)</sup>	22/15	209/225	1039/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	31/15	$B_{al1}$ : 47/15 $B_{al2}$ : 47/15	4	1	2.0	1.0	15	92
4	2/15	15/15	64	2/15	2/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15 <sup>(4)</sup>	15/15 <sup>(4)</sup>	64	15/15 <sup>(4)</sup>	24/15	24/15	134/15	4	1	1.0	0.0	21	81

Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 8 \Leftrightarrow A_{hs} = \beta_{hs}/\beta_c = 30/15 \Leftrightarrow \beta_{hs} = 30/15 * \beta_c$

Note 2: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $B_{hs}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH AND E-DPCCH for the MPR is based on the relative CM difference.

Note 3: For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 10/15$  and  $\beta_d = 15/15$ .

Note 4: For subtest 5 the  $\beta_c/\beta_d$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 14/15$  and  $\beta_d = 15/15$ .

Note 5: Testing UE using E-DPDCH Physical Layer category 1 Sub-test 3 is not required according to TS 25.306 Table 5.1g.

Note 6:  $B_{od}$  cannot be set directly; it is set by Absolute Grant Value.

Call Setup Screen									
<b>Call Control</b>	<b>Active Cell Operating Mode</b>							<b>Serving Grant</b>	
<b>Operating Mode</b>	<b>UE Information</b> IMSI: IMEI(SV): (---) Power Class:							<b>AG Mode</b>	
<b>Active Cell</b>								<b>Single Shot</b>	
								<b>Single Shot AG</b>	
								<b>21: (134/15)^2</b>	
	<b>UE Expected Open Loop Transmit Power</b> Initial PRACH TX Power: -60.00 dBm Initial DPCCH TX Power: -11.55 dBm								
<b>Originate Call</b>								<b>Send Single Shot Absolute Grant</b>	
	<b>Call Processing Status</b> Current Service Type: None MM Status: <b>Abs Single Shot AG</b> GMM State: Index 18: (95/15)^2 Current DPCCH: Index 19: (106/15)^2							<b>RB Setup AG</b>	
<b>Paging Parameters</b>								<b>33: 4(134/15)^2</b>	
	<b>HSUPA Information</b> Rep EDCH Cat: Index 20: (119/15)^2 Last received: Index 21: (134/15)^2 Throughput: Index 22: (150/15)^2 Acks Transmitted: Index 23: (168/15)^2							<b>AG Pattern Parameters</b>	
<b>Handovers</b>									
	<b>Additional Information</b> DSCH Cat: ---- Ratio: ---- % : ---- kbps nsmitted: ----								
<b>Clear UE Info</b>								<b>Return</b>	
			<b>Active Cell</b>			<b>Sys Type: UTRA FDD</b>			
			<b>Idle</b>						
<b>1 of 5</b>				<b>IntRef</b>					<b>1 of 2</b>

Call Setup Screen									
<b>Call Control</b>	<b>Active Cell Operating Mode</b>							<b>Call Params</b>	
<b>Additional Screens</b>	<b>UE Information</b> IMSI: IMEI(SV): (---) Power Class:							<b>Cell Power</b>	
								<b>-35.00</b>	
								<b>dBm/3.84 MHz</b>	
<b>Cell Parameters</b>								<b>Channel Type</b>	
								<b>12.2k + HSDPA</b>	
<b>Generator Info</b>	<b>UE Expected Open Loop Transmit Power</b> Initial PRACH TX Power: -60.00 dBm Initial DPCCH TX Power: -22.58 dBm							<b>Paging Service</b>	
								<b>RB Test Mode</b>	
	<b>Uplink Parameters</b>					<b>Value</b>			
<b>Uplink Parameters</b>	PRACH Preambles					64		<b>HSPA Parameters</b>	
	PRACH Ramping Cycles(MAX)					2			
	Available Subchannels (Bit Mask)					000000000001			
<b>UE Rep Meas</b>	Uplink DPCCH Scrambling Code					0		<b>34.121 Preset Call Configs</b>	
	Uplink DPCCH Bc/Bd Control					Manual			
	Manual Uplink DPCCH Bc					2			
<b>Close Menu</b>	Manual Uplink DPCCH Bd					15		<b>Channel (UARFCN) Params</b>	
	Maximum Uplink Transmit Power Level					24 dBm			
			<b>Cell Off</b>			<b>Sys Type: UTRA FDD</b>			
<b>2 of 5</b>				<b>IntRef</b>					<b>1 of 3</b>

