



## FCC SAR Compliance Test Report

**Product Name:** Mobile WiFi

**Model:** HW-02G

**Report No.:** SYBH(Z-SAR)026092014-2

**FCC ID:** QISHW-02G

	APPROVED (Lab Manager)	PREPARED (Test Engineer)
BY	<i>Wei Huanbin</i>	<i>Cheng Jianwen</i>
DATE	2014-12-05	2014-12-05

The test results of this test report relate exclusively to the item(s) tested , The HUAWEI does not assume responsibility for any conclusions and generalisations drawn from the test results with regard to other specimens or samples of the type of the equipment represented by the test item. The test report may only be reproduced or published in full. Reproduction or publication of extracts from the report requires the prior written approval of HUAWEI.

**Reliability Laboratory of Huawei Technologies Co., Ltd.**

## Table of Contents

1	General Information.....	4
1.1	Statement of Compliance .....	4
1.2	RF exposure limits.....	5
1.3	EUT Description .....	6
1.3.1	General Description.....	7
1.4	Test specification(s).....	8
1.5	Testing laboratory.....	8
1.6	Applicant and Manufacturer .....	8
1.7	Application details.....	8
1.8	Ambient Condition .....	8
2	SAR Measurement System .....	9
2.1	SAR Measurement Set-up .....	9
2.2	Test environment.....	10
2.3	Data Acquisition Electronics description .....	10
2.4	Probe description.....	11
2.5	Phantom description.....	12
2.6	Device holder description .....	13
2.7	Test Equipment List.....	14
3	SAR Measurement Procedure .....	15
3.1	Scanning procedure .....	15
3.2	Spatial Peak SAR Evaluations .....	16
3.3	Data Storage and Evaluation .....	17
4	System Verification Procedure .....	19
4.1	Tissue Verification .....	19
4.2	System Check .....	20
4.3	System check Procedure.....	21
5	SAR measurement variability and uncertainty .....	22
5.1	SAR measurement variability .....	22
5.2	SAR measurement uncertainty .....	22
6	SAR Test Configuration.....	23
6.1	UMTS Test Configuration .....	23
6.2	WiFi 2.4G Test Configuration .....	27
7	SAR Measurement Results .....	28
7.1	Conducted power measurements .....	28
7.1.1	Conducted power measurements of UMTS Band V.....	28
7.1.2	Conducted power measurements of WiFi 2.4G.....	29
7.1.3	Conducted power measurements of BT .....	30
7.2	SAR measurement Results .....	31
7.2.1	SAR measurement Result of UMTS Band V .....	32
7.3	Multiple Transmitter Evaluation .....	33
7.3.1	Stand-alone SAR Test Exclusion.....	34
7.3.2	Simultaneous Transmission Possibilities.....	35
7.3.3	SAR Summation Scenario .....	36
7.3.4	Simultaneous Transmission Conclusion.....	36
	Appendix A. System Check Plots .....	37
	Appendix B. SAR Measurement Plots.....	37
	Appendix C. Calibration Certificate .....	37
	Appendix D. Photo documentation .....	37

※ ※ **Modified History** ※ ※

REV.	DESCRIPTION	ISSUED DATE	REMARK
Rev.1.0	Initial Test Report Release	2014-11-03	Cheng Jiawen
Rev.1.1	1) Delete the WiFi MIMO SAR measurements from the initial test report and update the summation scenario for MIMO with the appropriate estimated SAR values. 2) Section 7.3.2: Add the simultaneous transmission Possibilities description for HW-02G UMTS+BT and HW01.	2014-12-05	Cheng Jiawen

## 1 General Information

### 1.1 Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for HW-02G is as below Table 1.

Band	Position	MAX Reported SAR <sub>1g</sub> (W/kg)
UMTS Band V	Body 10mm	<b>0.945</b>
WiFi 2.4G*	Body 10mm	/
BT*	Body 10mm	/

The highest simultaneous SAR is 0.945W/kg per KDB690783 D01\*\*

Table 1:Summary of test result

Note:

1)\* Per KDB447498D01, SAR test is not required for WiFi 2.4GHz &BT, because the stand-alone SAR Test Exclusion condition can be satisfied.

2) \*\*Per KDB690783 D01, when the sum of 1-g SAR applies for simultaneous transmission SAR test exclusion, the highest sum of 1-g SAR according to the highest reported stand-alone SAR values is used as the reported simultaneous transmission SAR.

The device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits according to the FCC rule §2.1093, the ANSI/IEEE C95.1:1992, the NCRP Report Number 86 for uncontrolled environment, according to the Industry Canada Radio Standards Specification RSS-102 for General Population/Uncontrolled exposure, and had been tested in accordance with the measurement methods and procedures specified in IEEE Std 1528-2003 & IEEE Std 1528a-2005.

## 1.2 RF exposure limits

Human Exposure	Uncontrolled Environment General Population	Controlled Environment Occupational
<b>Spatial Peak SAR*</b> (Brain/Body/Arms/Legs)	<b>1.60 mW/g</b>	8.00 mW/g
<b>Spatial Average SAR**</b> (Whole Body)	0.08 mW/g	0.40 mW/g
<b>Spatial Peak SAR***</b> (Hands/Feet/Ankle/Wrist)	4.00 mW/g	20.00 mW/g

Table 2: RF exposure limits

The limit applied in this test report is shown in **bold** letters

**Notes:**

- \* The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.
- \*\* The Spatial Average value of the SAR averaged over the whole body.
- \*\*\* The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

**Uncontrolled Environments** are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure.

**Controlled Environments** are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation.

### 1.3 EUT Description

Device Information:					
Product Name:	Mobile WiFi				
Models:	HW-02G				
FCC ID :	QISHW-02G				
SN No.:	U7U0114509020000199				
Device Type :	Portable device				
Device Phase:	Identical Prototype				
Exposure Category:	Uncontrolled environment / general population				
Hardware Version :	CL1E5383SM Ver A				
Software Version :	21.298.01.21.736				
Antenna Type :	Internal antenna				
Device Operating Configurations:					
Supporting Mode(s)	UMTS Band V, WiFi 2.4G, BT				
Test Modulation	UMTS(QPSK), WiFi(DSSS/OFDM)				
Operating Frequency Range(s)	Band	Tx (MHz)	Rx (MHz)		
	UMTS Band V	824-849	869-894		
	WiFi 2.4G	2402-2472			
	BT	2400-2483.5			
HSDPA UE Category	14				
HSUPA UE Category	6				
Power Class:	3, tested with power control "all 1"(UMTS Band V)				
Test Channels (low-mid-high):	4132-4182-4233 (UMTS Band V)				
	1-3-6-9-11 (WiFi 2.4G)				
	0-39-78(BT)				

Table 3:Device information and operating configuration

### 1.3.1 General Description

HW-02G is a LTE/UMTS dual mode and WiFi Wireless mobile Router; it can be used as a WiFi Access Point based on standard of IEEE802.11a/b/g/n/ac, max to 10 WiFi stations can be associating with HW-02G simultaneously. It supports 3G WCDMA and 4G LTE wireless internet accessing function. About 3G WCDMA wireless mode, it supports WCDMA and HSDPA/HSUPA/HSPA+, operating in Band1 ,Band 5, Band 6, Band 19 . and the 4G LTE, operating in Band 1, Band 3,Band 19, Band 21 . The WiFi frequency is 2.4GHz, The BT frequency is 2.4GHz.

HW-02G supports 1Tx2Rx for 3G WCDMA and 4G LTE, WiFi supports 2Tx2Rx.

#### Battery information:

Name	Serials number	Description
Li-ion Battery	1#:SN- OXCE818F00075398 2#:SN-YAIE820XXXX0090	Battery Model:HB624666RDW Rated capacity: 2400mAh Nominal Voltage: $\frac{---}{---}$ +3.7V Charging Voltage: $\frac{---}{---}$ +4.35V

#### 1.4 Test specification(s)

ANSI Std C95.1-1992	Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz – 300 GHz.( IEEE Std C95.1-1991)
IEEE Std 1528-2003	Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques
IEEE Std 1528a-2005	IEEE Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques Amendment 1: CAD File for Human Head Model (SAM Phantom)
RSS-102	Radio Frequency Exposure Compliance of Radiocommunication Apparatus (All Frequency Bands (Issue 4 of March 2010)
KDB941225 D01	3G SAR Procedures v03
KDB941225 D06	Hot Spot SAR v01r01
KDB447498 D01	General RF Exposure Guidance v05r02
KDB248227 D01	SAR meas for 802.11 a/b/g v01r02
KDB865664 D01	SAR measurement 100 MHz to 6 GHz v01r03
KDB865664 D02	SAR Reporting v01r01
KDB690783 D01	SAR Listings on Grants v01r03

#### 1.5 Testing laboratory

Test Site	The Reliability Laboratory of Huawei Technologies Co., Ltd.
Test Location	Zone K3, Huawei Industrial Base, Bantian Industry Area, Longgang District, Shenzhen, Guangdong, China
Telephone	+86 755 28780808
Fax	+86 755 89652518
State of accreditation	The Test laboratory (area of testing) is accredited according to ISO/IEC 17025. CNAS Registration number: L0310 A2LA TESTING CERT #2174.01

#### 1.6 Applicant and Manufacturer

Company Name	HUAWEI TECHNOLOGIES CO., LTD
Address	Administration Building, Headquarters of Huawei Technologies Co., Ltd., Bantian, Longgang District, Shenzhen, 518129, P.R.C

#### 1.7 Application details

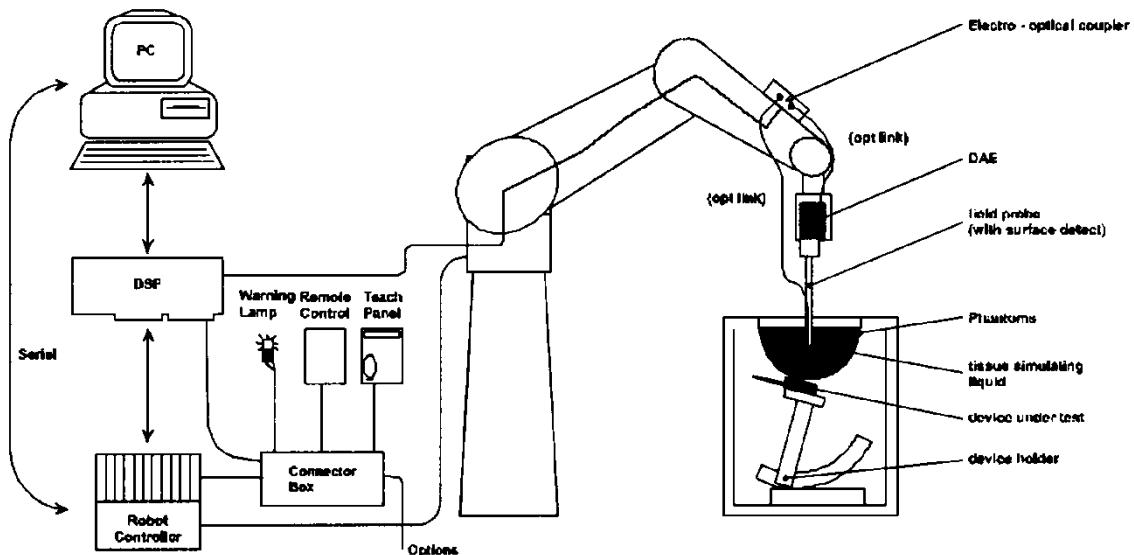
Start Date of test	2014-10-09
End Date of test	2014-10-09

#### 1.8 Ambient Condition

Ambient temperature	20°C – 24°C
Relative Humidity	30% – 70%

## 2 SAR Measurement System

### 2.1 SAR Measurement Set-up



The DASY5 system for performing compliance tests consists of the following items:

- A standard high precision 6-axis robot (Stäubli RX family) with controller and software. An arm extension for accommodating the data acquisition electronics (DAE).
- A dosimetric probe, i.e. an isotropic E-field probe optimized and calibrated for usage in tissue simulating liquid. The probe is equipped with an optical surface detector system.
- A data acquisition electronic (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- A unit to operate the optical surface detector which is connected to the EOC.
- The Electro-Optical Coupler (EOC) performs the conversion from the optical into a digital electric signal of the DAE. The EOC is connected to the DASY5 measurement server.
- The DASY5 measurement server, which performs all real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operation. A computer operating Windows 7.
- DASY5 software and SEMCAD data evaluation software.
- Remote control with teach panel and additional circuitry for robot safety such as warning lamps, etc.
- The generic twin phantom enabling the testing of left-hand and right-hand usage.
- The device holder for handheld mobile phones.
- Tissue simulating liquid mixed according to the given recipes.
- System check dipoles allowing to validate the proper functioning of the system.

## 2.2 Test environment

The DASY5 measurement system is placed at the head end of a room with dimensions: 5 x 2.5 x 3 m<sup>3</sup>, the SAM phantom is placed in a distance of 75 cm from the side walls and 1.1m from the rear wall. Above the test system a 1.5 x 1.5 m<sup>2</sup> array of pyramid absorbers is installed to reduce reflections from the ceiling.

Picture 1 of the photo documentation shows a complete view of the test environment.

The system allows the measurement of SAR values larger than 0.005 mW/g.

## 2.3 Data Acquisition Electronics description

The data acquisition electronics (DAE) consist of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converte and a command decoder with a control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information, as well as an optical uplink for commands and the clock.

The mechanical probe mounting device includes two different sensor systems for frontal and sideways probe contacts. They are used for mechanical surface detection and probe collision detection.

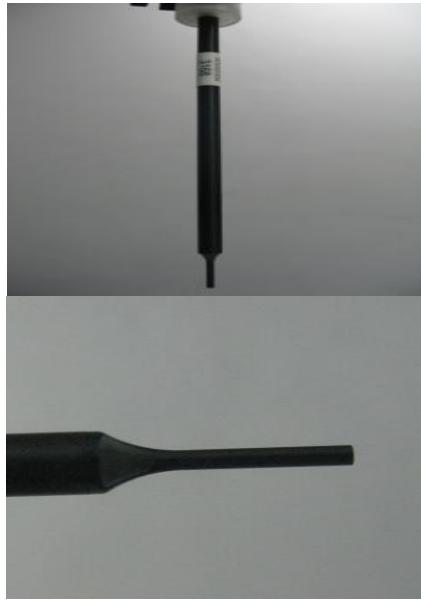
DAE4

Input Impedance	200MOhm	
The Inputs	symmetrical and floating	
Common mode rejection	above 80 dB	

## 2.4 Probe description

These probes are specially designed and calibrated for use in liquids with high permittivities. They should not be used in air, since the spherical isotropy in air is poor ( $\pm 2$  dB). The dosimetric probes have special calibrations in various liquids at different frequencies.

Isotropic E-Field Probe ES3DV3 for Dosimetric Measurements

Construction	Symmetrical design with triangular core Interleaved sensors Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
Calibration	ISO/IEC 17025 calibration service available.	
Frequency	10 MHz to 4 GHz; Linearity: $\pm 0.2$ dB (30 MHz to 4 GHz)	
Directivity	$\pm 0.2$ dB in HSL (rotation around probe axis) $\pm 0.3$ dB in tissue material (rotation normal to probe axis)	
Dynamic range	5 $\mu$ W/g to $> 100$ mW/g; Linearity: $\pm 0.2$ dB	
Dimensions	Overall length: 337 mm (Tip: 20 mm) Tip diameter: 3.9 mm (Body: 12 mm) Distance from probe tip to dipole centers: 2.0 mm	
Application	General dosimetry up to 4 GHz Dosimetry in strong gradient fields Compliance tests of mobile phones	

Isotropic E-Field Probe EX3DV4 for Dosimetric Measurements

Construction	Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
Calibration	ISO/IEC 17025 calibration service available.	
Frequency	10 MHz to $> 6$ GHz; Linearity: $\pm 0.2$ dB (30 MHz to 6 GHz)	
Directivity	$\pm 0.3$ dB in HSL (rotation around probe axis) $\pm 0.5$ dB in tissue material (rotation normal to probe axis)	
Dynamic range	10 $\mu$ W/g to $> 100$ mW/g; Linearity: $\pm 0.2$ dB(noise:typically<1 $\mu$ W/g)	
Dimensions	Overall length: 337 mm (Tip:20 mm) Tip diameter:2.5 mm (Body:12 mm) Typical distance from probe tip to dipole centers: 1mm	
Application	High precision dosimetric measurements in any exposure scenario(e.g.,very strong gradient fields). Only probe which enables compliance testing for frequencies up to 6 GHz with precision of better 30%	

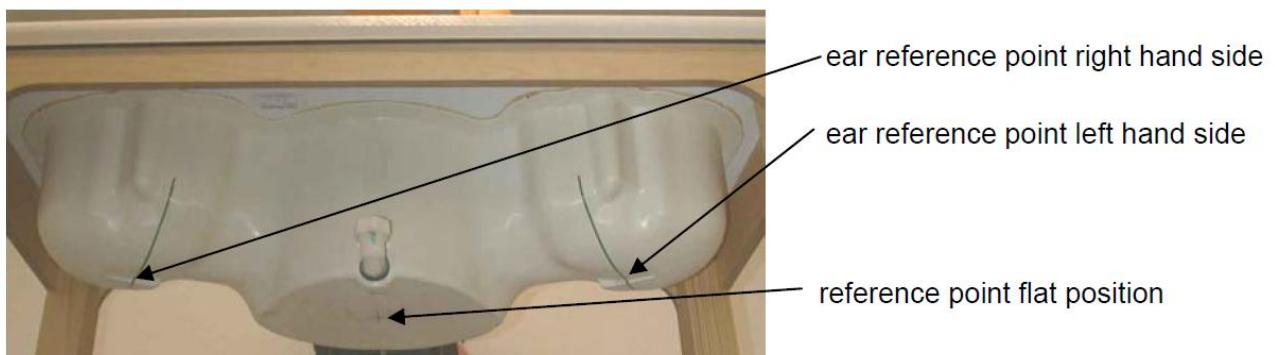
## 2.5 Phantom description

### SAM Twin Phantom

Shell Thickness	2mm +/- 0.2 mm; The ear region: 6mm	
Filling Volume	Approximately 25 liters	
Dimensions	Length:1000mm; Width:500mm; Height: adjustable feet	
Measurement Areas	Left hand Right hand Flat phantom	

The bottom plate contains three pairs of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to cover the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. Free space scans of devices on top of this phantom cover are possible. Three reference marks are provided on the phantom counter. These reference marks are used to teach the absolute phantom position relative to the robot.

The following figure shows the definition of reference point:



### ELI4 Phantom

Shell Thickness	2mm +/- 0.2 mm	
Filling Volume	Approximately 30 liters	
Dimensions	Major axis:600mm; Minor axis:400mm;	
Measurement Areas	Flat phantom	

The ELI4 phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30MHz to 6GHz. ELI4 is fully compatible with the latest draft of the standard IEC 62209-2 and all known tissue simulating liquids.

The phantom shell material is resistant to all ingredients used in the tissue-equivalent liquid recipes. The shell of the phantom including ear spacers is constructed from low permittivity and low loss material, with a relative permittivity  $2 \leq \epsilon_r \leq 5$  at  $\leq 3$  GHz,  $3 \leq \epsilon_r \leq 4$  at  $> 3$  GHz and a loss tangent  $\leq 0.05$ .

## 2.6 Device holder description

The DASY5 device holder has two scales for device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear openings). The plane between the ear openings and the mouth tip has a rotation angle of 65°. The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. This device holder is used for standard mobile phones or PDA's only. If necessary an additional support of polystyrene material is used.



The DASY device holder is constructed of low-loss POM material having the following dielectric parameters: relative permittivity  $\epsilon = 3$  and loss tangent  $\sigma = 0.02$ . The amount of dielectric material has been reduced in the closest vicinity of the device, since measurements have suggested that the influence of the clamp on the test results could thus be lowered. The device holder permits the device to be positioned with a tolerance of  $\pm 1^\circ$  in the tilt angle.

Larger DUT's (e.g. notebooks) cannot be tested using this device holder. Instead a support of bigger polystyrene cubes and thin polystyrene plates is used to position the DUT in all relevant positions to find and measure spots with maximum SAR values. Therefore those devices are normally only tested at the flat part of the SAM.

## 2.7 Test Equipment List

This table gives a complete overview of the SAR measurement equipment.

Devices used during the test described are marked

	Manufacturer	Device	Type	Serial number	Date of last calibration	Valid period
<input type="checkbox"/>	SPEAG	Dosimetric E-Field Probe	EX3DV4	3736	2014-04-24	One year
<input type="checkbox"/>	SPEAG	Dosimetric E-Field Probe	EX3DV4	3744	2014-07-24	One year
<input checked="" type="checkbox"/>	SPEAG	Dosimetric E-Field Probe	EX3DV4	3898	2014-03-10	One year
<input checked="" type="checkbox"/>	SPEAG	835 MHz Dipole	D835V2	4d059	2013-05-02	Three years
<input type="checkbox"/>	SPEAG	1750 MHz Dipole	D1750V2	1123	2014-07-08	Three years
<input type="checkbox"/>	SPEAG	1900 MHz Dipole	D1900V2	5d142	2014-06-18	Three years
<input type="checkbox"/>	SPEAG	2450 MHz Dipole	D2450V2	860	2014-01-23	Three years
<input type="checkbox"/>	SPEAG	2600 MHz Dipole	D2600V2	1021	2014-07-16	Three years
<input checked="" type="checkbox"/>	SPEAG	Data acquisition electronics	DAE4	852	2014-04-30	One year
<input checked="" type="checkbox"/>	SPEAG	Software	DASY 5	N/A	NCR	NCR
<input type="checkbox"/>	SPEAG	Twin Phantom	SAM1	TP-1475	NCR	NCR
<input type="checkbox"/>	SPEAG	Twin Phantom	SAM2	TP-1474	NCR	NCR
<input checked="" type="checkbox"/>	SPEAG	Twin Phantom	SAM3	TP-1597	NCR	NCR
<input type="checkbox"/>	SPEAG	Twin Phantom	SAM4	TP-1620	NCR	NCR
<input type="checkbox"/>	SPEAG	Flat Phantom	ELI 4.0	TP-1038	NCR	NCR
<input type="checkbox"/>	SPEAG	Flat Phantom	ELI 4.0	TP-1111	NCR	NCR
<input checked="" type="checkbox"/>	R & S	Universal Radio Communication Tester	CMU 200	111379	2014-07-11	One year
<input type="checkbox"/>	R & S	Universal Radio Communication Tester	CMW 500	126855	2014-07-11	One year
<input checked="" type="checkbox"/>	Agilent	Network Analyser	E5071C	MY46213349	2014-02-25	One year
<input checked="" type="checkbox"/>	Agilent	Dielectric Probe Kit	85070E	2484	NCR	NCR
<input checked="" type="checkbox"/>	Agilent	Signal Generator	N5181A	MY47420989	2014-01-18	One year
<input checked="" type="checkbox"/>	MINI-CIRCUITS	Amplifier	ZHL-42W	QA1402001	NCR	NCR
<input type="checkbox"/>	MINI-CIRCUITS	Amplifier	ZVE-8G+	129601322	NCR	NCR
<input checked="" type="checkbox"/>	AR	Directional Coupler	DC7144M1	0423264	2014-04-02	One year
<input checked="" type="checkbox"/>	R & S	Power Meter	NRP	100740	2014-07-11	One year
<input checked="" type="checkbox"/>	R & S	Power Meter Sensor	NRP-Z11	106288	2014-07-11	One year
<input checked="" type="checkbox"/>	Agilent	Power Meter	E4417A	MY45101339	2014-01-18	One year
<input checked="" type="checkbox"/>	Agilent	Power Meter Sensor	E9321A	MY44420359	2014-01-18	One year

Note:

- 1) Per KDB865664D01 requirements for dipole calibration, the test laboratory has adopted three-year extended calibration interval. Each measured dipole is expected to evaluate with the following criteria at least on annual interval in Appendix C.
  - a) There is no physical damage on the dipole;
  - b) System check with specific dipole is within 10% of calibrated value;
  - c) The most recent return-loss result, measured at least annually, deviates by no more than 20% from the previous measurement.
  - d) The most recent measurement of the real or imaginary parts of the impedance, measured at least annually is within  $5\Omega$  from the previous measurement.
- 2) Network analyzer probe calibration against air, distilled water and a shorting block performed before measuring liquid parameters.

### 3 SAR Measurement Procedure

#### 3.1 Scanning procedure

The DASY5 installation includes predefined files with recommended procedures for measurements and system check. They are read-only document files and destined as fully defined but unmeasured masks. All test positions (head or body-worn) are tested with the same configuration of test steps differing only in the grid definition for the different test positions.

- The “reference” and “drift” measurements are located at the beginning and end of the batch process. They measure the field drift at one single point in the liquid over the complete procedure. The indicated drift is mainly the variation of the DUT’s output power and should vary max.  $\pm 5\%$ .
- The “surface check” measurement tests the optical surface detection system of the DASY5 system by repeatedly detecting the surface with the optical and mechanical surface detector and comparing the results. The output gives the detecting heights of both systems, the difference between the two systems and the standard deviation of the detection repeatability. Air bubbles or refraction in the liquid due to separation of the sugar-water mixture gives poor repeatability (above  $\pm 0.1\text{mm}$ ). To prevent wrong results tests are only executed when the liquid is free of air bubbles. The difference between the optical surface detection and the actual surface depends on the probe and is specified with each probe. (It does not depend on the surface reflectivity or the probe angle to the surface within  $\pm 30^\circ$ .)
- The “area scan” measures the SAR above the DUT or verification dipole on a parallel plane to the surface. It is used to locate the approximate location of the peak SAR with 2D spline interpolation. The robot performs a stepped movement along one grid axis while the local electrical field strength is measured by the probe. The probe is touching the surface of the SAM during acquisition of measurement values. The standard scan uses large grid spacing for faster measurement. Standard grid spacing for head measurements is 15 mm in x- and y- dimension( $\leq 2\text{GHz}$ ), 12 mm in x- and y- dimension(2-4 GHz) and 10mm in x- and y- dimension(4-6GHz). If a finer resolution is needed, the grid spacing can be reduced. Grid spacing and orientation have no influence on the SAR result. For special applications where the standard scan method does not find the peak SAR within the grid, e.g. mobile phones with flip cover, the grid can be adapted in orientation.  
Results of this coarse scan are shown in Appendix B.
- A “zoom scan” measures the field in a volume around the 2D peak SAR value acquired in the previous “coarse” scan. This is a fine grid with maximum scan spatial resolution:  $\Delta x_{\text{zoom}}, \Delta y_{\text{zoom}} \leq 2\text{GHz} - \leq 8\text{mm}$ ,  $2\text{-}4\text{GHz} - \leq 5\text{ mm}$  and  $4\text{-}6\text{ GHz} - \leq 4\text{mm}$ ;  $\Delta z_{\text{zoom}} \leq 3\text{GHz} - \leq 5\text{ mm}$ ,  $3\text{-}4\text{ GHz} - \leq 4\text{mm}$  and  $4\text{-}6\text{GHz} - \leq 2\text{mm}$  where the robot additionally moves the probe along the z-axis away from the bottom of the Phantom. DASY is also able to perform repeated zoom scans if more than 1 peak is found during area scan. In this document, the evaluated peak 1g and 10g averaged SAR values are shown in the 2D-graphics in Appendix B. Test results relevant for the specified standard (see chapter 1.4.) are shown in table form in chapter 7.2.
- A Z-axis scan measures the total SAR value at the x-and y-position of the maximum SAR value found during the cube scan. The probe is moved away in z-direction from the bottom of the SAM phantom in 2 mm steps. This measurement shows the continuity of the liquid and can - depending in the field strength – also show the liquid depth. A z-axis scan of the measurement with maximum SAR value is shown in Appendix B.

The following table summarizes the area scan and zoom scan resolutions per FCC KDB 865664D01:

Frequency	Maximun Area Scan resolution ( $\Delta x_{area}, \Delta y_{area}$ )	Maximun Zoom Scan spatial resolution ( $\Delta x_{Zoom}, \Delta y_{Zoom}$ )	Maximun Zoom Scan spatial resolution			Minimum zoom scan volume (x,y,z)
			Uniform Grid		Graded Grad	
			$\Delta z_{Zoom}(n)$	$\Delta z_{Zoom}(1)^*$	$\Delta z_{Zoom}(n>1)^*$	
≤2GHz	≤15mm	≤8mm	≤5mm	≤4mm	≤1.5* $\Delta z_{Zoom}(n-1)$	≥30mm
2-3GHz	≤12mm	≤5mm	≤5mm	≤4mm	≤1.5* $\Delta z_{Zoom}(n-1)$	≥30mm
3-4GHz	≤12mm	≤5mm	≤4mm	≤3mm	≤1.5* $\Delta z_{Zoom}(n-1)$	≥28mm
4-5GHz	≤10mm	≤4mm	≤3mm	≤2.5mm	≤1.5* $\Delta z_{Zoom}(n-1)$	≥25mm
5-6GHz	≤10mm	≤4mm	≤2mm	≤2mm	≤1.5* $\Delta z_{Zoom}(n-1)$	≥22mm

### 3.2 Spatial Peak SAR Evaluations

The spatial peak SAR - value for 1 and 10 g is evaluated after the Cube measurements have been done. The basis of the evaluation are the SAR values measured at the points of the fine cube grid consisting of 5 x 5 x 7 points( with 8mm horizontal resolution) or 7 x 7 x 7 points( with 5mm horizontal resolution) or 8 x 8 x 7 points( with 4mm horizontal resolution). The algorithm that finds the maximal averaged volume is separated into three different stages.

- The data between the dipole center of the probe and the surface of the phantom are extrapolated. This data cannot be measured since the center of the dipole is 2.7 mm away from the tip of the probe and the distance between the surface and the lowest measuring point is about 1 mm (see probe calibration sheet). The extrapolated data from a cube measurement can be visualized by selecting 'Graph Evaluated'.
- The maximum interpolated value is searched with a straight-forward algorithm. Around this maximum the SAR - values averaged over the spatial volumes (1g or 10 g) are computed using the 3d-spline interpolation algorithm. If the volume cannot be evaluated (i.e., if a part of the grid was cut off by the boundary of the measurement area) the evaluation will be started on the corners of the bottom plane of the cube.
- All neighboring volumes are evaluated until no neighboring volume with a higher average value is found.

#### Extrapolation

The extrapolation is based on a least square algorithm [W. Gander, Computermathematik, p.168-180]. Through the points in the first 3 cm along the z-axis, polynomials of order four are calculated. These polynomials are then used to evaluate the points between the surface and the probe tip. The points, calculated from the surface, have a distance of 1 mm from each other.

#### Interpolation

The interpolation of the points is done with a 3d-Spline. The 3d-Spline is composed of three one-dimensional splines with the "Not a knot"-condition [W. Gander, Computermathematik, p.141-150] (x, y and z -direction) [Numerical Recipes in C, Second Edition, p.123ff ].

#### Volume Averaging

At First the size of the cube is calculated. Then the volume is integrated with the trapezoidal algorithm. 8000 points (20x20x20) are interpolated to calculate the average.

#### Advanced Extrapolation

DASY5 uses the advanced extrapolation option which is able to compensate boundary effects on E-field probes.

### 3.3 Data Storage and Evaluation

#### Data Storage

The DASY5 software stores the acquired data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files with the extension "DAE4". The software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verification of the complete software setup even after the measurement and allows correction of incorrect parameter settings. For example, if a measurement has been performed with a wrong crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be re-evaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type ([V/m], [A/m], [°C], [mW/g], [mW/cm<sup>2</sup>], [dBrel], etc.). Some of these units are not available in certain situations or show meaningless results, e.g., a SAR output in a lossless media will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

#### Data Evaluation by SEMCAD

The SEMCAD software automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

Probe parameters:	- Sensitivity	Norm <sub>i</sub> , a <sub>i0</sub> , a <sub>i1</sub> , a <sub>i2</sub>
	- Conversion factor	ConvF <sub>i</sub>
	- Diode compression point	Dcp <sub>i</sub>
Device parameters:	- Frequency	f
	- Crest factor	cf
Media parameters:	- Conductivity	$\sigma$
	- Density	$\rho$

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY5 components. In the direct measuring mode of the multimeter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics.

If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given as:

$$V_i = U_i + U_i^2 \cdot cf/dcp_i$$

with  $V_i$  = compensated signal of channel i ( $i = x, y, z$ )  
 $U_i$  = input signal of channel i ( $i = x, y, z$ )  
cf = crest factor of exciting field (DASY parameter)  
dcp<sub>i</sub> = diode compression point (DASY parameter)

From the compensated input signals the primary field data for each channel can be evaluated:

E-field probes:  $E_i = (V_i / Norm_i \cdot ConvF)^{1/2}$   
H-field probes:  $H_i = (V_i)^{1/2} \cdot (a_{i0} + a_{i1}f + a_{i2}f^2)/f$

with  $V_i$  = compensated signal of channel i  $(i = x, y, z)$   
 $Norm_i$  = sensor sensitivity of channel i  $(i = x, y, z)$   
[mV/(V/m)<sup>2</sup>] for E-field Probes  
 $ConvF$  = sensitivity enhancement in solution  
 $a_{ij}$  = sensor sensitivity factors for H-field probes  
 $f$  = carrier frequency [GHz]  
 $E_i$  = electric field strength of channel i in V/m  
 $H_i$  = magnetic field strength of channel i in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{tot} = (E_x^2 + E_y^2 + E_z^2)^{1/2}$$

The primary field data are used to calculate the derived field units.

$$SAR = (E_{tot}^2 \cdot \sigma) / (\rho \cdot 1000)$$

with  $SAR$  = local specific absorption rate in mW/g  
 $E_{tot}$  = total field strength in V/m  
 $\sigma$  = conductivity in [mho/m] or [Siemens/m]  
 $\rho$  = equivalent tissue density in g/cm<sup>3</sup>

Note that the density is normally set to 1 (or 1.06), to account for actual brain density rather than the density of the simulation liquid. The power flow density is calculated assuming the excitation field to be a free space field.

$$P_{pwe} = E_{tot}^2 / 3770 \quad \text{or} \quad P_{pwe} = H_{tot}^2 \cdot 37.7$$

with  $P_{pwe}$  = equivalent power density of a plane wave in mW/cm<sup>2</sup>  
 $E_{tot}$  = total electric field strength in V/m  
 $H_{tot}$  = total magnetic field strength in A/m

## 4 System Verification Procedure

### 4.1 Tissue Verification

The simulating liquids should be checked at the beginning of a series of SAR measurements to determine if the dielectric parameter are within the tolerances of the specified target values. The measured conductivity and relative permittivity should be within  $\pm 5\%$  of the target values.

The following materials are used for producing the tissue-equivalent materials.

Ingredients (% of weight)	Body Tissue					
Frequency Band (MHz)	450	835	1800	1900	2450	2600
Water	51.16	52.4	69.91	69.91	73.2	64.493
Salt (NaCl)	1.49	1.40	0.13	0.13	0.04	0.024
Sugar	46.78	45.0	0.0	0.0	0.0	0.0
HEC	0.52	1.0	0.0	0.0	0.0	0.0
Bactericide	0.05	0.1	0.0	0.0	0.0	0.0
Triton X-100	0.0	0.0	0.0	0.0	0.0	0.0
DGBE	0.0	0.0	29.96	29.96	26.7	32.252

Table 4: Tissue Dielectric Properties

Salt: 99+% Pure Sodium Chloride; Sugar: 98+% Pure Sucrose; Water: De-ionized,  $16\text{M}\Omega$ + resistivity  
HEC: Hydroxyethyl Cellulose; DGBE: 99+% Di(ethylene glycol) butyl ether, [2-(2-butoxyethoxy)ethanol]  
Triton X-100(ultra pure): Polyethylene glycol mono [4-(1,1,3,3-tetramethylbutyl)phenyl]ether

Tissue Type	Measured Frequency (MHz)	Target Tissue		Measured Tissue		Liquid Temp.	Test Date
		$\epsilon_r$ (+/-5%)	$\sigma$ (S/m) (+/-5%)	$\epsilon_r$	$\sigma$ (S/m)		
835B	825	55.20 (52.44~57.96)	0.97 (0.92~1.02)	54.26	0.967	21.4°C	2014-10-09
	835	55.20 (52.44~57.96)	0.97 (0.92~1.02)	54.16	0.977		
	850	55.20 (52.44~57.96)	0.99 (0.94~1.04)	54.01	0.994		

$\epsilon_r$ = Relative permittivity,  $\sigma$ = Conductivity

Table 5: Measured Tissue Parameter

Note: 1) The dielectric parameters of the tissue-equivalent liquid should be measured under similar ambient conditions and within 2 °C of the conditions expected during the SAR evaluation to satisfy protocol requirements.

2) KDB 865664 was ensured to be applied for probe calibration frequencies greater than or equal to 50MHz of the EUT frequencies.

3) The above measured tissue parameters were used in the DASY software to perform interpolation via the DASY software to determine actual dielectric parameters at the test frequencies. The SAR test plots may slightly differ from the table above since the DASY rounds to three significant digits.

## 4.2 System Check

The system check is performed for verifying the accuracy of the complete measurement system and performance of the software. The system check is performed with tissue equivalent material according to IEEE P1528 (described above). The following table shows system check results for all frequency bands and tissue liquids used during the tests(Graphic Plot(s) see Appendix A).

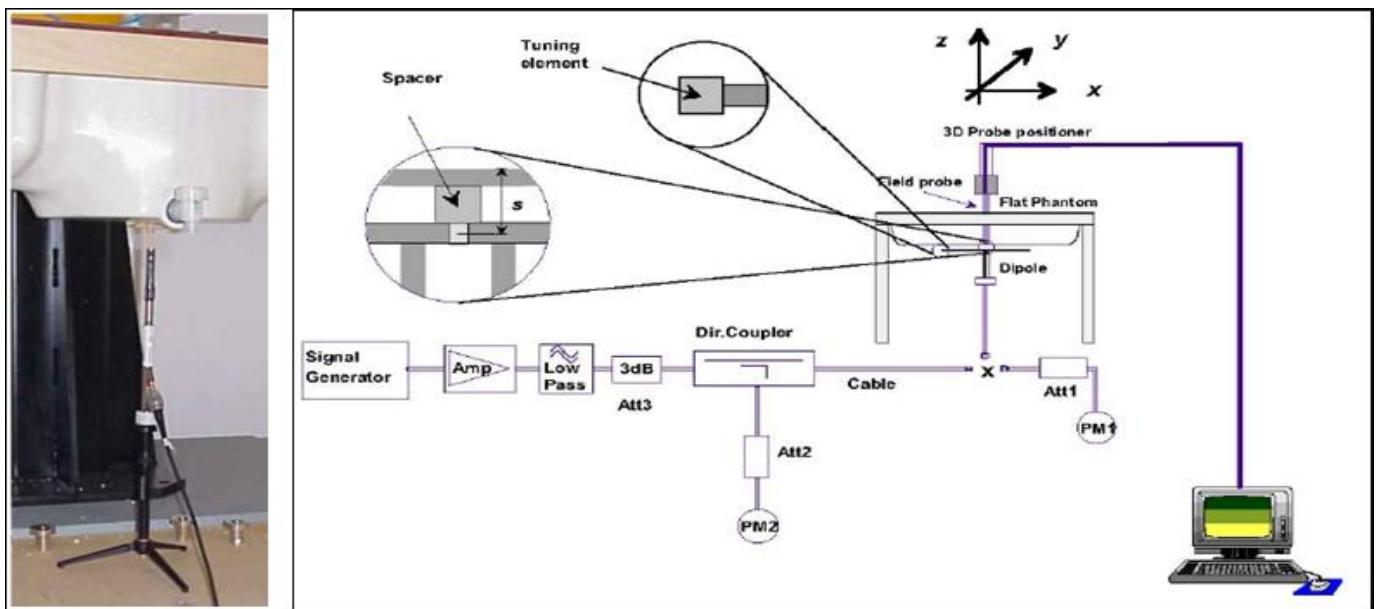
System Check	Target SAR (1W) (+/-10%)		Measured SAR (Normalized to 1W)		Liquid Temp.	Test Date
	1-g (mW/g)	10-g (mW/g)	1-g (mW/g)	10-g (mW/g)		
835MHz Body	9.42 (8.48~10.36)	6.19 (5.57~6.80)	10.16	6.68	21.4°C	2014-10-09

Table 6: System Check Results

### 4.3 System check Procedure

The system check is performed by using a system check dipole which is positioned parallel to the planar part of the SAM phantom at the reference point. The distance of the dipole to the SAM phantom is determined by a plexiglass spacer. The dipole is connected to the signal source consisting of signal generator and amplifier via a directional coupler, N-connector cable and adaption to SMA. It is fed with a power of 250 mW(below 5GHz) or 100mW(above 5GHz). To adjust this power a power meter is used. The power sensor is connected to the cable before the system check to measure the power at this point and do adjustments at the signal generator. At the outputs of the directional coupler both return loss as well as forward power are controlled during the system check to make sure that emitted power at the dipole is kept constant. This can also be checked by the power drift measurement after the test (result on plot).

System check results have to be equal or near the values determined during dipole calibration (target SAR in table above) with the relevant liquids and test system.



## 5 SAR measurement variability and uncertainty

### 5.1 SAR measurement variability

Per KDB865664 D01 SAR measurement 100 MHz to 6 GHz v01r03, SAR measurement variability must be assessed for each frequency band, which is determined by the SAR probe calibration point and tissue-equivalent medium used for the device measurements. The additional measurements are repeated after the completion of all measurements requiring the same head or body tissue-equivalent medium in a frequency band. The test device should be returned to ambient conditions (normal room temperature) with the battery fully charged before it is re-mounted on the device holder for the repeated measurement(s) to minimize any unexpected variations in the repeated results.

- 1) Repeated measurement is not required when the original highest measured SAR is  $< 0.80 \text{ W/kg}$ ; steps 2) through 4) do not apply.
- 2) When the original highest measured SAR is  $\geq 0.80 \text{ W/kg}$ , repeat that measurement once.
- 3) Perform a second repeated measurement only if the ratio of largest to smallest SAR for the original and first repeated measurements is  $> 1.20$  or when the original or repeated measurement is  $\geq 1.45 \text{ W/kg}$  ( $\sim 10\%$  from the 1-g SAR limit).
- 4) Perform a third repeated measurement only if the original, first or second repeated measurement is  $\geq 1.5 \text{ W/kg}$  and the ratio of largest to smallest SAR for the original, first and second repeated measurements is  $> 1.20$ .

The same procedures should be adapted for measurements according to extremity and occupational exposure limits by applying a factor of 2.5 for extremity exposure and a factor of 5 for occupational exposure to the corresponding SAR thresholds.

The detailed repeated measurement results are shown in Section 7.2.

### 5.2 SAR measurement uncertainty

Per KDB865664 D01 SAR Measurement 100 MHz to 6 GHz v01r03, when the highest measured 1-g SAR within a frequency band is  $< 1.5 \text{ W/kg}$ , the extensive SAR measurement uncertainty analysis described in IEEE Std 1528-2003 is not required in SAR reports submitted for equipment approval. The equivalent ratio (1.5/1.6) is applied to extremity and occupational exposure conditions.

## 6 SAR Test Configuration

### 6.1 UMTS Test Configuration

#### 1) Output Power Verification

Maximum output power is verified on the high, middle and low channels according to procedures described in section 5.2 of 3GPP TS 34.121, using the appropriate RMC or AMR with TPC (transmit power control) set to all “1’s” for WCDMA/HSDPA or by applying the required inner loop power control procedures to maintain maximum output power while HSUPA is active. Results for all applicable physical channel configurations (DPCCH, DPDCHn and spreading codes, HSDPA, HSPA) are required in the SAR report. All configurations that are not supported by the handset or cannot be measured due to technical or equipment limitations must be clearly identified.

#### 2) WCDMA

##### a. Body SAR Measurements

SAR for body-worn accessory configurations is measured using a 12.2 kbps RMC with TPC bits configured to all “1’s”. The 3G SAR test reduction procedure is applied to other spreading codes and multiple DPDCHn configurations supported by the handset with 12.2 kbps RMC as the primary mode

#### 3) HSDPA

SAR for body exposure configurations is measured according to the “Body SAR Measurements” procedures of 3G device. When the maximum output power and tune-up tolerance specified for production units in a secondary mode is  $\leq \frac{1}{4}$  dB higher than the primary mode or when the highest *reported* SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for the secondary mode. This is referred to as the 3G SAR test reduction procedure in the following SAR test guidance, where the primary mode is identified in the applicable wireless mode test procedures and the secondary mode is wireless mode being considered for SAR test reduction by that procedure. When the 3G SAR test reduction procedure is not satisfied, it is identified as “otherwise” in the applicable procedures; SAR measurement is required for the secondary mode.

Per KDB941225 D01v03, the 3G SAR test reduction procedure is applied to HSDPA body configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSDPA using the HSDPA body SAR procedures for the highest reported SAR body exposure configuration in 12.2 kbps RMC.

HSDPA should be configured according to UE category of a test device. The number of HS-DSCH/HS-PDSCHs, HAPRQ processes, minimum inter-TTI interval, transport block sizes and RV coding sequence are defined by the H-set. To maintain a consistent test configuration and stable transmission condition, QPSK is used in the H-set for SAR testing. HS-DPCCH should be configured with a CQI feedback cycle of 4ms with a CQI repetition factor of 2 to maintain a constant rate of active CQI slots. The  $\beta_c$  and  $\beta_d$  gain factors for DPCCH and DPDCH were set according to the values in the below table,  $\beta_{hs}$  for HS-DPCCH is set automatically to the correct value when  $\Delta ACK, \Delta NACK, \Delta CQI = 8$ . The variation of the  $\beta_c / \beta_d$  ratio causes a power reduction at sub-tests 2 - 4.

Sub-test <sup>42</sup>	$\beta_c$ <sup>43</sup>	$\beta_d$ <sup>43</sup>	$\beta_d$ (SF) <sup>43</sup>	$\beta_c / \beta_d$ <sup>43</sup>	$\beta_{hs}$ (1) <sup>43</sup>	CM(dB)(2) <sup>43</sup>	MPR (dB) <sup>43</sup>
1 <sup>43</sup>	2/15 <sup>43</sup>	15/15 <sup>43</sup>	64 <sup>43</sup>	2/15 <sup>43</sup>	4/15 <sup>43</sup>	0.0 <sup>43</sup>	0 <sup>43</sup>
2 <sup>43</sup>	12/15(3) <sup>43</sup>	15/15(3) <sup>43</sup>	64 <sup>43</sup>	12/15(3) <sup>43</sup>	24/15 <sup>43</sup>	1.0 <sup>43</sup>	0 <sup>43</sup>
3 <sup>43</sup>	15/15 <sup>43</sup>	8/15 <sup>43</sup>	64 <sup>43</sup>	15/8 <sup>43</sup>	30/15 <sup>43</sup>	1.5 <sup>43</sup>	0.5 <sup>43</sup>
4 <sup>43</sup>	15/15 <sup>43</sup>	4/15 <sup>43</sup>	64 <sup>43</sup>	15/4 <sup>43</sup>	30/15 <sup>43</sup>	1.5 <sup>43</sup>	0.5 <sup>43</sup>

Note 1:  $\Delta$  ACK,  $\Delta$  NACK and  $\Delta$  CQI = 8       $A_{hs} = \beta_{hs}/\beta_c = 30/15$        $\beta_{hs} = 30/15 * \beta_c$

Note 2 : CM=1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{hs}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.<sup>44</sup>

Note 3 : For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1,TF1) to  $\beta_c = 11/15$  and  $\beta_d = 15/15$ .

Table 7: Sub-tests for UMTS Release 5 HSDPA

The measurements were performed with a Fixed Reference Channel (FRC) and H-Set 1 QPSK.

Parameter	Value
Nominal average inf. bit rate	534 kbit/s
Inter-TTI Distance	3 TTI's
Number of HARQ Processes	2 Processes
Information Bit Payload	3202 Bits
MAC-d PDU size	336 Bits
Number Code Blocks	1 Block
Binary Channel Bits Per TTI	4800 Bits
Total Available SMLs in UE	19200 SMLs
Number of SMLs per HARQ Process	9600 SMLs
Coding Rate	0.67
Number of Physical Channel Codes	5

Table 8: settings of required H-Set 1 QPSK acc. to 3GPP 34.121

HS-DSCH Category	Maximum HS-DSCH Codes Received	Minimum Inter-TTI Interval	Maximum HS-DSCH Transport Block Bits/HS-DSCH TTI	Total Soft Channel Bits
1	5	3	7298	19200
2	5	3	7298	28800
3	5	2	7298	28800
4	5	2	7298	38400
5	5	1	7298	57600
6	5	1	7298	67200
7	10	1	14411	115200
8	10	1	14411	134400
9	15	1	25251	172800
10	15	1	27952	172800
11	5	2	3630	14400
12	5	1	3630	28800
13	15	1	34800	259200
14	15	1	42196	259200
15	15	1	23370	345600
16	15	1	27952	345600

Table 9:HSDPA UE category

#### 4) HSUPA

SAR for body exposure configurations is measured according to the “Body SAR Measurements” procedures of 3G device. When the maximum output power and tune-up tolerance specified for production units in a secondary mode is  $\leq 1/4$  dB higher than the primary mode or when the highest reported SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for the secondary mode.

Per KDB941225 D01v03, the 3G SAR test reduction procedure is applied to HSPA (HSUPA/HSDPA with RMC) body configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSPA using the HSPA body SAR procedures for the highest reported body exposure SAR configuration in 12.2 kbps RMC.

Due to inner loop power control requirements in HSDPA, a commercial communication test set should be used for the output power and SAR tests. The 12.2 kbps RMC, FRC H-set 1 and E-DCH configurations for HSDPA should be configured according to the values indicated below as well as other applicable procedures described in the ‘WCDMA Handset’ and ‘Release 5 HSDPA Data Device’ sections of 3G device.

Sub-test <sup>④</sup>	$\beta_c$ <sup>④</sup>	$\beta_d$ <sup>④</sup>	$\beta_d$ (SF) <sup>④</sup>	$\beta_c/\beta_d$ <sup>④</sup>	$\beta_{hs}$ <sup>(1)</sup> ④	$\beta_{ec}$ <sup>④</sup>	$\beta_{ed}$ <sup>④</sup>	$\beta_{c+}$ (SF) <sup>④</sup>	$\beta_{ed+}$ (code) <sup>④</sup>	CM <sup>(2)</sup> ④	MP R <sup>④</sup> (dB) <sup>④</sup>	AG <sup>(4)</sup> ④ Inde x <sup>④</sup>	E-TFC I <sup>④</sup>
1 <sup>④</sup>	11/15 <sup>(3)</sup> <sup>④</sup>	15/15 <sup>(3)</sup> <sup>④</sup>	64 <sup>④</sup>	11/15 <sup>(3)</sup> <sup>④</sup>	22/15 <sup>④</sup>	209/22 5 <sup>④</sup>	1039/225 <sup>④</sup>	4 <sup>④</sup>	1 <sup>④</sup>	1.0 <sup>④</sup>	0.0 <sup>④</sup>	20 <sup>④</sup>	75 <sup>④</sup>
2 <sup>④</sup>	6/15 <sup>④</sup>	15/15 <sup>④</sup>	64 <sup>④</sup>	6/15 <sup>④</sup>	12/15 <sup>④</sup>	12/15 <sup>④</sup>	94/75 <sup>④</sup>	4 <sup>④</sup>	1 <sup>④</sup>	3.0 <sup>④</sup>	2.0 <sup>④</sup>	12 <sup>④</sup>	67 <sup>④</sup>
3 <sup>④</sup>	15/15 <sup>④</sup>	9/15 <sup>④</sup>	64 <sup>④</sup>	15/9 <sup>④</sup>	30/15 <sup>④</sup>	30/15 <sup>④</sup>	$\beta_{ed1}:47/1$ 5 <sup>④</sup> $\beta_{ed2}:47/1$ 5 <sup>④</sup>	4 <sup>④</sup>	2 <sup>④</sup>	2.0 <sup>④</sup>	1.0 <sup>④</sup>	15 <sup>④</sup>	92 <sup>④</sup>
4 <sup>④</sup>	2/15 <sup>④</sup>	15/15 <sup>④</sup>	64 <sup>④</sup>	2/15 <sup>④</sup>	4/15 <sup>④</sup>	2/15 <sup>④</sup>	56/75 <sup>④</sup>	4 <sup>④</sup>	1 <sup>④</sup>	3.0 <sup>④</sup>	2.0 <sup>④</sup>	17 <sup>④</sup>	71 <sup>④</sup>
5 <sup>④</sup>	15/15 <sup>(4)</sup> <sup>④</sup>	15/15 <sup>(4)</sup> <sup>④</sup>	64 <sup>④</sup>	15/15 <sup>(4)</sup> <sup>④</sup>	30/15 <sup>④</sup>	24/15 <sup>④</sup>	134/15 <sup>④</sup>	4 <sup>④</sup>	1 <sup>④</sup>	1.0 <sup>④</sup>	0.0 <sup>④</sup>	21 <sup>④</sup>	81 <sup>④</sup>

Note 1:  $\Delta$  ACK,  $\Delta$  NACK and  $\Delta$  CQI = 8  $A_{hs} = \beta_{hs}/\beta_c = 30/15$   $\beta_{hs} = 30/15 * \beta_{c+}$

Note 2: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{hs}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3 : For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 10/15$  and  $\beta_d = 15/15$

Note 4 : For subtest 5 the  $\beta_c/\beta_d$  ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 14/15$  and  $\beta_d = 15/15$

Note 5 : Testing UE using E-DPDCH Physical Layer category 1 Sub-test 3 is not required according to TS 25.306 Table 5.1g<sup>④</sup>

Note 6:  $\beta_{ed}$  can not be set directly; it is set by Absolute Grant Value.

Table 10: Subtests for UMTS Release 6 HSUPA

UE E-DCH Category	Maximum E-DCH Codes Transmitted	Number of HARQ Processes	E-DCH TTI(ms)	Minimum Spreading Factor	Maximum E-DCH Transport Block Bits	Max Rate (Mbps)
1	1	4	10	4	7110	0.7296

2	2	8	2	4	2798	1.4592
	2	4	10	4	14484	
3	2	4	10	4	14484	1.4592
4	2	8	2	2	5772	2.9185
	2	4	10	2	20000	2.00
5	2	4	10	2	20000	2.00
6 (No DPDCH)	4	8	10	4	11484	5.76
	4	4	2		20000	2.00
7 (No DPDCH)	4	8	2	4	22996	?
	4	4	10		20000	?

NOTE: When 4 codes are transmitted in parallel, two codes shall be transmitted with SF2 and two with SF4.UE categories 1 to 6 support QPSK only. UE category 7 supports QPSK and 16QAM.(TS25.306-7.3.0).

Table 11:HSUPA UE category

## 6.2 WiFi 2.4G Test Configuration

For the 802.11b/g SAR tests, a communication link is set up with the test mode software for WiFi mode test. The Absolute Radio Frequency Channel Number(ARFCN) is allocated to 1 ,6 and 11 respectively in the case of 2450 MHz. During the test, at the each test frequency channel, the EUT is operated at the RF continuous emission mode. Each channel should be tested at the lowest data rate.

802.11b/g operating modes are tested independently according to the service requirements in each frequency band. 802.11b/g modes are tested on channel 1, 6, 11; however, if output power reduction is necessary for channels 1 and/or 11 to meet restricted band requirements the highest output channel closest to each of these channels must be tested instead.

SAR is not required for 802.11g/n channels when the maximum average output power is less than 0.25dB higher than that measured on the corresponding 802.11b channels.

Mode	Band	GHz	Channel	“Default Test Channels”	
				802.11b	802.11g
802.11b/g	2.4 GHz	2.412	1#	✓	△
		2.437	6	✓	△
		2.462	11#	✓	△

Notes:

✓ = “default test channels”

△ = possible 802.11g channels with maximum average output  $\frac{1}{4}$  dB the “default test channels”

# = when output power is reduced for channel 1 and /or 11 to meet restricted band requirements the highest output channels closest to each of these channels should be tested.

802.11 Test Channels per FCC KDB 248227

For WiFi 2.4GHz MIMO, highest average RF output power channel for the lowest data rate of 802.11n mode is selected for SAR evaluation. SAR tests at higher data rates are not required since the maximum average output power for each of these configurations is not more than 1/4dB higher than the tested channel for the lowest data rate.

## 7 SAR Measurement Results

### 7.1 Conducted power measurements

For the measurements a Rohde & Schwarz Radio Communication Tester CMU 200 was used.

SAR drift measured at the same position in liquid before and after each SAR test as below 7.2 chapter.

#### 7.1.1 Conducted power measurements of UMTS Band V

UMTS Band V		Tune-up	Conducted Power (dBm)		
			4132CH	4182CH	4233CH
WCDMA	12.2kbps RMC	23.00	<b>22.19</b>	<b>22.14</b>	<b>22.01</b>
	64kbps RMC	23.00	22.21	22.16	22.00
	144kbps RMC	23.00	22.21	22.15	22.03
	384kbps RMC	23.00	22.20	22.15	22.05
HSDPA	Subtest 1	23.00	22.06	22.06	21.96
	Subtest 2	23.00	21.79	21.79	21.73
	Subtest 3	22.50	21.11	21.13	21.05
	Subtest 4	22.50	21.10	21.12	21.03
HSUPA	Subtest 1	22.00	20.55	20.64	20.40
	Subtest 2	19.00	17.19	17.31	17.05
	Subtest 3	21.50	20.07	20.13	19.97
	Subtest 4	19.00	17.54	17.41	17.28
	Subtest 5	22.50	20.95	21.14	20.93

Table 12: Conducted power measurement results of UMTS Band V

Note:

- 1) The conducted power of UMTS Band V is measured with RMS detector.
- 2) The bolded 12.2kbps RMC mode was selected for SAR testing(the primary mode).
- 3) Per KDB941225 D01v03, When the maximum output power and tune-up tolerance specified for production units in a secondary mode is  $\leq \frac{1}{4}$  dB higher than the primary mode or when the highest reported SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for the secondary mode.

### 7.1.2 Conducted power measurements of WiFi 2.4G

The output power of WiFi antenna is as following:

Wi-Fi 2450MHz	Ant	Channel	Tune-up	Average Power (dBm) for Data Rates (Mbps)							
				1	2	5.5	11	/	/	/	/
802.11b	Ant1	1	9.00	7.58	7.62	7.45	7.33	/	/	/	/
		6	9.00	7.86	7.86	7.73	7.75	/	/	/	/
		11	9.00	8.03	8.02	7.90	7.89	/	/	/	/
	Ant2	1	9.00	7.83	7.83	7.62	7.60	/	/	/	/
		6	9.00	8.14	8.18	7.94	7.85	/	/	/	/
		11	9.00	8.25	8.17	7.93	7.89	/	/	/	/
Wi-Fi 2450MHz	Ant	Channel	Tune-up	6	9	12	18	24	36	48	54
802.11g	Ant1	1	10.00	8.56	8.59	8.60	8.56	8.60	8.40	8.30	8.37
		6	10.00	8.83	8.77	8.68	8.60	8.65	8.43	8.31	8.38
		11	10.00	9.03	8.96	8.83	8.79	8.85	8.72	8.65	8.63
	Ant2	1	10.00	8.96	8.93	8.85	8.75	8.82	8.64	8.66	8.66
		6	10.00	9.10	9.11	9.02	8.97	9.02	8.80	8.73	8.70
		11	10.00	9.21	9.15	9.11	9.02	9.07	8.93	8.92	8.88
Wi-Fi 2450MHz	Ant	Channel	Tune-up	MCS0	MCS1	MCS2	MCS3	MCS4	MCS5	MCS6	MCS7
802.11n 20M SISO	Ant1	1	10.00	8.64	8.66	8.53	8.49	8.46	8.22	8.13	8.12
		6	10.00	8.85	8.87	8.78	8.71	8.74	8.61	8.60	8.57
		11	10.00	8.90	8.84	8.73	8.75	8.71	8.51	8.53	8.56
	Ant2	1	10.00	8.92	8.97	8.97	8.95	8.92	8.74	8.63	8.67
		6	10.00	8.96	8.92	8.84	8.77	8.86	8.61	8.61	8.61
		11	10.00	9.08	9.07	8.98	8.94	8.99	8.77	8.75	8.72
Wi-Fi 2450MHz	Ant	Channel	Tune-up	MCS0	MCS1	MCS2	MCS3	MCS4	MCS5	MCS6	MCS7
802.11n 40M SISO	Ant1	3	10.00	9.15	9.17	9.13	9.16	9.22	9.01	8.89	8.87
		6	10.00	9.33	9.35	9.29	9.32	9.33	9.21	9.16	9.22
		9	10.00	9.26	9.27	9.26	9.21	9.29	9.14	9.04	8.98
	Ant2	3	10.00	9.19	9.16	9.03	8.93	9.02	8.78	8.74	8.81
		6	10.00	9.43	9.50	9.38	9.35	9.33	9.14	9.13	9.14
		9	10.00	9.50	9.56	9.52	9.52	9.58	9.36	9.36	9.36
Wi-Fi 2450MHz	Ant	Channel	Tune-up	MCS8	MCS9	MCS10	MCS11	MCS12	MCS13	MCS14	MCS15
802.11n 20M MIMO	Ant1	1	/	8.47	8.53	8.50	8.40	8.42	8.20	8.22	8.20
		6	/	8.76	8.77	8.75	8.65	8.69	8.57	8.46	8.42
		11	/	9.00	8.99	8.87	8.82	8.86	8.63	8.60	8.61
	Ant2	1	/	8.96	9.01	8.91	8.92	8.95	8.77	8.65	8.68
		6	/	8.92	8.97	8.93	8.96	8.93	8.76	8.72	8.79
		11	/	9.19	9.15	9.10	9.03	9.10	8.87	8.77	8.74
	SUM	1	13.00	11.73	11.79	11.72	11.68	11.70	11.50	11.45	11.46
		6	13.00	11.85	11.88	11.85	11.82	11.82	11.68	11.60	11.62
		11	13.00	12.11	12.08	12.00	11.94	11.99	11.76	11.70	11.69

Wi-Fi 2450MHz	Ant	Channel	Tune- up	MCS8	MCS9	MCS 10	MCS 11	MCS 12	MCS 13	MCS 14	MCS 15
802.11n 40M MIMO	Ant1	3	/	8.84	8.85	8.77	8.74	8.81	8.68	8.61	8.61
		6	/	8.89	8.92	8.85	8.86	8.81	8.59	8.61	8.67
		9	/	9.09	9.13	9.04	9.00	8.95	8.74	8.68	8.72
	Ant2	3	/	9.24	9.18	9.11	9.14	9.10	8.91	8.83	8.88
		6	/	9.25	9.32	9.28	9.31	9.36	9.13	9.04	9.07
		9	/	9.33	9.28	9.22	9.23	9.29	9.11	9.11	9.05
	SUM	3	13.00	12.05	12.03	11.95	11.95	11.97	11.81	11.73	11.76
		6	13.00	12.08	12.13	12.08	12.10	12.10	11.88	11.84	11.88
		9	13.00	12.22	12.22	12.14	12.13	12.13	11.94	11.91	11.90

Table 13: Conducted power measurement results of WiFi 2.4G.

Note:

- 1) The Average conducted power of WiFi is measured with RMS detector.
- 2) Per KDB447498D01, for WiFi 2.4GHz, the Stand-alone SAR Test Exclusion condition can be satisfied and stand-alone SAR test is not required. (See Section 7.3 for details).

### 7.1.3 Conducted power measurements of BT

The output power of BT antenna is as following:

BT 2450	Average Conducted Power (dBm)			
	Tune-up	0CH	39CH	78CH
DH5	6.00	3.08	4.12	3.72
2DH5	6.00	3.12	4.08	3.65
3DH5	6.00	3.02	4.18	3.75

Table 14: Conducted power measurement results of BT.

Note:

- 1) The conducted power of BT is measured with RMS detector.
- 2) Per KDB447498D01, for BT, the Stand-alone SAR Test Exclusion condition can be satisfied and stand-alone SAR test is not required. (See Section 7.3 for details).

## 7.2 SAR measurement Results

### General Notes:

- 1) The maximum reported SAR of each test band is shown in **bold** letters.
- 2) Per KDB447498 D01v05r02, all measurement SAR results are scaled to the maximum tune-up tolerance limit to demonstrate compliant.
- 3) Per KDB447498 D01v05r02, testing of other required channels within the operating mode of a frequency band is not required when the reported 1-g or 10-g SAR for the mid-band or highest output power channel is:  $\leq 0.8 \text{ W/kg}$  or  $2.0 \text{ W/kg}$ , for 1-g or 10-g respectively, when the transmission band is  $\leq 100 \text{ MHz}$ . When the maximum output power variation across the required test channels is  $> \frac{1}{2} \text{ dB}$ , instead of the middle channel, the highest output power channel must be used.
- 4) Per KDB941225 D06v01r01, the DUT Dimension is bigger than  $9 \text{ cm} \times 5 \text{ cm}$ , so 10mm is chosen as the test separation distance for Hotspot mode. When the antenna-to-edge distance is greater than 2.5cm, such position does not need to be tested.
- 5) Per KDB 865664 D01v01, for each frequency band, repeated SAR measurement is required only when the measured SAR is  $\geq 0.8 \text{ W/Kg}$ ; if the deviation among the repeated measurement is  $\leq 20\%$ , and the measured SAR  $< 1.45 \text{ W/Kg}$ , only one repeated measurement is required.
- 6) Per KDB865664 D02v01r01, SAR plot is only required for the highest measured SAR in each exposure configuration, wireless mode and frequency band combination; Plots are also required when the measured SAR is  $> 1.5 \text{ W/kg}$ , or  $> 7.0 \text{ W/kg}$  for occupational exposure. The published RF exposure KDB procedures may require additional plots; for example, to support SAR to peak location separation ratio test exclusion and/or volume scan post-processing (Refer to appendix B for details).

### UMTS Notes:

- 1) Per KDB941225 D01v03, the 12.2kbps RMC mode was selected as the primary mode. HSDPA/HSUPA was selected as the secondary mode. When the maximum output power and tune-up tolerance specified for production units in a secondary mode is  $\leq \frac{1}{4} \text{ dB}$  higher than the primary mode or when the highest reported SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is  $\leq 1.2 \text{ W/kg}$ , SAR measurement is not required for the secondary mode.

### 7.2.1 SAR measurement Result of UMTS Band V

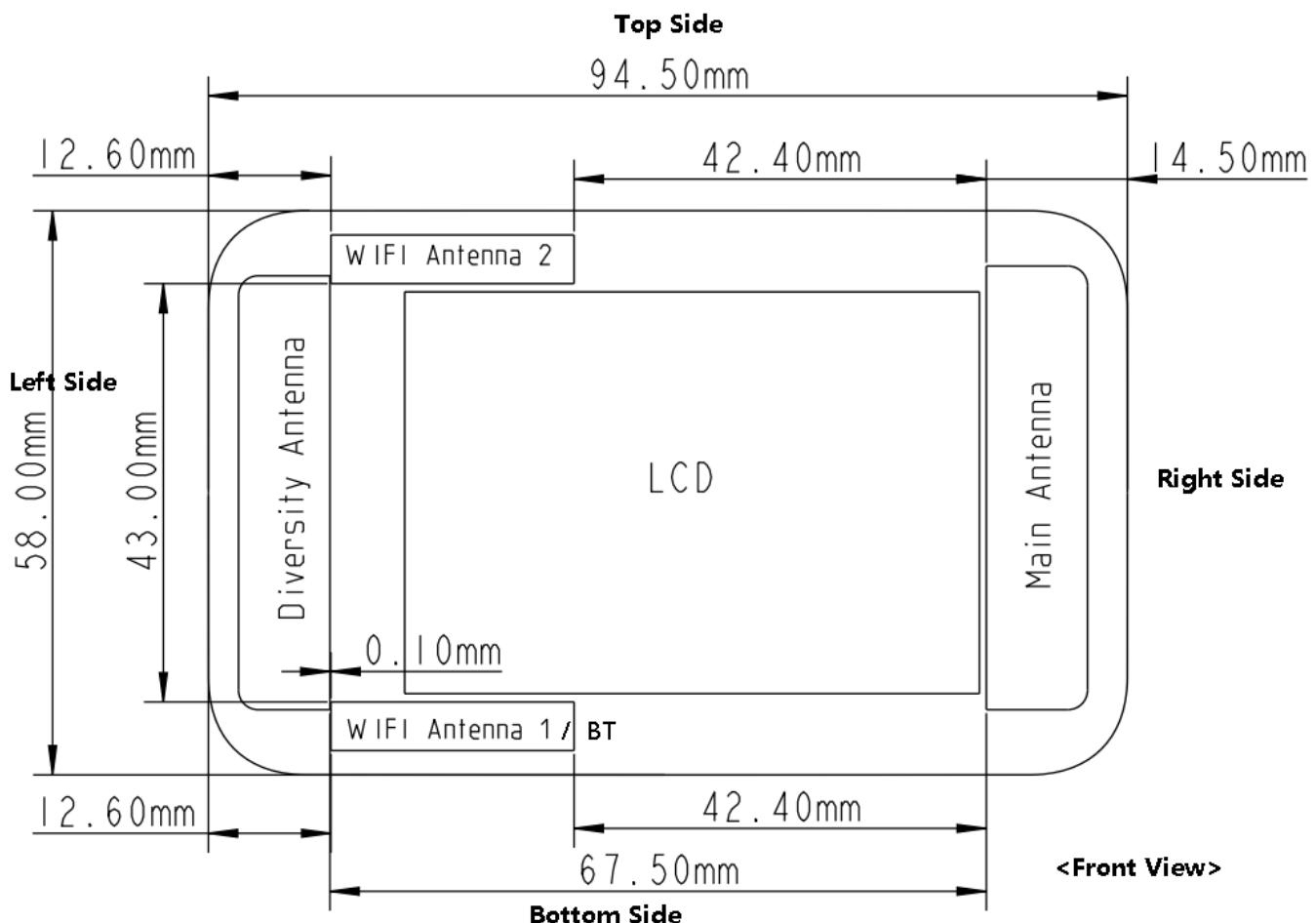
Test Position of Body with 10mm	Test channel /Frequency	Test Mode	SAR Value (W/kg)		Power Drift (dB)	Conducted Power (dBm)	Tune-up Power (dBm)	Scaled SAR <sub>1-g</sub> (W/kg)	Liquid Temp.
			1-g	10-g					
Test data with the battery 1#									
Front Side	4182/836.4	RMC	0.515	0.357	0.030	22.14	23.00	0.628	21.4°C
Back Side	4182/836.4	RMC	0.668	0.429	0.040	22.14	23.00	0.814	21.4°C
Back Side	4132/826.4	RMC	0.628	0.305	0.030	22.19	23.00	0.757	21.4°C
Back Side	4233/846.6	RMC	0.748	0.484	0.050	22.01	23.00	0.940	21.4°C
Right Side	4182/836.4	RMC	0.173	0.122	0.040	22.14	23.00	0.211	21.4°C
Top Side	4182/836.4	RMC	0.223	0.152	-0.010	22.14	23.00	0.272	21.4°C
Bottom Side	4182/836.4	RMC	0.123	0.073	0.019	22.14	23.00	0.150	21.4°C
Tested at worst position with the battery 2#									
Back Side	4233/846.6	RMC	0.752	0.487	0.060	22.01	23.00	<b>0.945</b>	21.4°C

Table 15: Body SAR test results of UMTS Band V

### 7.3 Multiple Transmitter Evaluation

The following tables list information which is relevant for the decision if a simultaneous transmit evaluation is necessary according to FCC KDB 447498D01 General RF Exposure Guidance v05r02.

The location of the antennas inside the device is shown as below picture:



Note:

- 1) Diversity antenna is used to improve the acceptance of performance of the main antenna. It does not have the transmitter function.

Antenna	Front Side	Back Side	Left Side	Right Side	Top Side	Bottom Side
Main Antenna	Yes	Yes	NO	Yes	Yes	Yes
WiFi 2.4G Ant 1 / BT	Yes	Yes	Yes	NO	NO	Yes
WiFi 2.4G Ant 2	Yes	Yes	Yes	NO	Yes	NO
WiFi 2.4G MIMO	Yes	Yes	Yes	NO	Yes	Yes

Table 16: Sides for SAR testing

Note: Per KDB 941225 D06, particular DUT edges were not required to be evaluated for Hotspot SAR if the antenna-to-edge distance is greater than 2.5cm.

### 7.3.1 Stand-alone SAR Test Exclusion

Per FCC KDB 447498D01v05, the 1-g SAR and 10-g SAR test exclusion thresholds for 100 MHz to 6 GHz at test separation distances  $\leq 50$  mm are determined by:

$[(\text{max. power of channel, including tune-up tolerance, mW}) / (\text{min. test separation distance, mm})] \cdot [\sqrt{f(\text{GHz})}] \leq 3.0$  for 1-g SAR and  $\leq 7.5$  for 10-g extremity SAR, where:

- $f(\text{GHz})$  is the RF channel transmit frequency in GHz
- Power and distance are rounded to the nearest mW and mm before calculation
- The result is rounded to one decimal place for comparison

When the minimum test separation distance is  $< 5$  mm, a distance of 5 mm is applied to determine SAR test exclusion.

Mode	Ant	Position	$P_{\max}$ (dBm)*	$P_{\max}$ (mW)	Distance (mm)	f (GHz)	Calculation Result	SAR Exclusion threshold	SAR test exclusion
WiFi 2.4G	Ant1	Body	10.00	10.00	10	2.450	1.57	3.00	Yes
WiFi 2.4G	Ant2	Body	10.00	10.00	10	2.450	1.57	3.00	Yes
BT	/	Body	6.00	3.98	10	2.450	0.62	3.00	Yes

Table 17: Standalone SAR test exclusion for WiFi&BT

Note:

1)\* - maximum possible output power(including tune-up tolerance) declared by manufacturer.

When standalone SAR test exclusion applies to an antenna that transmits simultaneously with other antennas, the standalone SAR must be estimated according to following to determine simultaneous transmission SAR test exclusion:

$(\text{max. power of channel, including tune-up tolerance, mW}) / (\text{min. test separation distance, mm})] \cdot [\sqrt{f(\text{GHz})/x}] \text{ W/kg}$  for test separation distances  $\leq 50$  mm, where  $x = 7.5$  for 1-g SAR and  $x = 18.75$  for 10-g SAR.

When the minimum test separation distance is  $< 5$  mm, a distance of 5 mm is applied to determine SAR test exclusion

Mode	Ant	Position	$P_{\max}$ (dBm)*	$P_{\max}$ (mW)	Distance (mm)	f (GHz)	x	Estimated SAR (W/Kg)*
WiFi 2.4G	Ant 1	Body	10.00	10.00	10	2.450	7.5	0.209
WiFi 2.4G	Ant 2	Body	10.00	10.00	10	2.450	7.5	0.209

Table 18: Estimated SAR calculation for WiFi

Note:

1) \* - maximum possible output power declared by manufacturer

2) BT can not transmit simultaneously with other antennas. So Estimated SAR for BT is not required.

### 7.3.2 Simultaneous Transmission Possibilities

Per FCC KDB 447498D01v05 r02, SAR compliance for simultaneous transmission must be considered when the maximum duration of overlapping transmissions. This device contains multiple transmitters that may operate simultaneously, and therefore requires a simultaneous transmission analysis.

The Simultaneous Transmission Possibilities of this device are as below:

No.	Configuration	Body
1	UMTS(HW-02G) + WiFi 2.4G(HW-02G)	Yes
2	UMTS(HW-02G) + BT(HW-02G)	No
3	WiFi 2.4G(HW-02G) + BT(HW-02G)	No
4	UMTS(HW-02G) + WiFi 2.4G(HW01)	Yes*
5	WiFi 2.4G(HW-02G) + WiFi 2.4G(HW01)	No
6	BT(HW-02G) + WiFi 2.4G(HW01)	No

Table 19: Simultaneous Transmission Possibilities

Note:

- 1) BT is turned on and can only work at the standby time of the device. BT can not transmit simultaneously with other antennas, including the HW-02G WiFi Antennas / Main Antenna and the HW01 WiFi Antennas.
- 2) \*Please refer to the HW01 MPE report (Report No:SYBH(Z-SAR)026092014-3) for detailed simultaneous transmission evaluation results between HW-02G and HW01.

### 7.3.3 SAR Summation Scenario

Test Position		Reported or Estimated SAR <sub>Max</sub>		1-g ΣSAR (W/kg)	SPLSR	Remark
		UMTS Band V	WiFi Ant 1			
Body SAR	Front Side	0.628	0.209	0.837	N/A	N/A
	Back Side	0.945	0.209	1.154	N/A	N/A
	Left Side	/	0.209	0.209	N/A	N/A
	Right Side	0.211	0.209	0.420	N/A	N/A
	Top Side	0.272	0.209	0.481	N/A	N/A
	Bottom Side	0.150	0.209	0.359	N/A	N/A

Table 20: Simultaneous Tx Combination of UMTS Band V and WiFi Ant 1.

Test Position		Reported or Estimated SAR <sub>Max</sub>		1-g ΣSAR (W/kg)	SPLSR	Remark
		UMTS Band V	WiFi Ant 2			
Body SAR	Front Side	0.628	0.209	0.837	N/A	N/A
	Back Side	0.945	0.209	1.154	N/A	N/A
	Left Side	/	0.209	0.209	N/A	N/A
	Right Side	0.211	0.209	0.420	N/A	N/A
	Top Side	0.272	0.209	0.481	N/A	N/A
	Bottom Side	0.150	0.209	0.359	N/A	N/A

Table 21: Simultaneous Tx Combination of UMTS Band V and WiFi Ant 2.

Test Position		Reported or Estimated SAR <sub>Max</sub>			1-g ΣSAR (W/kg)	SPLSR	Remark
		UMTS Band V	WiFi Ant 1	WiFi Ant 2			
Body SAR	Front Side	0.628	0.209	0.209	1.046	N/A	N/A
	Back Side	0.945	0.209	0.209	<b>1.363</b>	N/A	N/A
	Left Side	/	0.209	0.209	0.418	N/A	N/A
	Right Side	0.211	0.209	0.209	0.629	N/A	N/A
	Top Side	0.272	0.209	0.209	0.690	N/A	N/A
	Bottom Side	0.150	0.209	0.209	0.568	N/A	N/A

Table 22: Simultaneous Tx Combination of UMTS Band V and WiFi MIMO.

### 7.3.4 Simultaneous Transmission Conclusion

The above numeral summed SAR results and/or SPLSR analysis is sufficient to determine that simultaneous transmission cases will not exceed the SAR limit and therefore simultaneous transmission SAR with Volume Scans is not required per KDB 447498 D01v05r02.

**Appendix A. System Check Plots**  
**(Pls See Appendix A.)**

**Appendix B. SAR Measurement Plots**  
**(Pls See Appendix B.)**

**Appendix C. Calibration Certificate**  
**(Pls See Appendix C.)**

**Appendix D. Photo documentation**  
**(Pls See Appendix D.)**

---

**End**