FCC SAR Test Report

APPLICANT	**:**	**Huawei Technologies Co., Ltd.**
EQUIPMENT	**:**	**Mobile WIFI**
BRAND NAME	**:**	**Huawei**
MODEL NAME	**:**	**E5776s-420**
FCC ID	**:**	**QISE5776S-420**
STANDARD	**:**	**FCC 47 CFR Part 2 (2.1093) ANSI/IEEE C95.1-1992 IEEE 1528-2003**

We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and had been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC., the test report shall not be reproduced except in full.

Reviewed by: Eric Huang / Deputy Manager

Approved by: Jones Tsai / Manager

Testing Laboratory
1190

SPORTON INTERNATIONAL INC.
No. 52, Hwa Ya 1st Rd., Hwa Ya Technology Park, Kwei-Shan Hsiang, Tao Yuan Hsien, Taiwan, R.O.C.

Table of Contents

Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA482613	Rev. 01	Initial issue of report	Sep. 25, 2014

1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **Huawei Technologies Co., Ltd., Mobile WIFI, E5776s-420**, are as follows.

Equipment Class	Frequency Band	Highest SAR Summary
		Body 1g SAR (W/kg)
TNB	LTE Band 43	**0.20**
Date of Testing:		09/10/2014

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2003.

2. Administration Data

Testing Laboratory	
Test Site	SPORTON INTERNATIONAL INC.
Test Site Location	No. 52, Hwa Ya 1st Rd., Hwa Ya Technology Park, Kwei-Shan Hsiang, Tao Yuan Hsien, Taiwan, R.O.C. TEL: +886-3-327-3456 FAX: +886-3-328-4978

Applicant	
Company Name	Huawei Technologies Co., Ltd.
Address	Administration Building, Headquarters of Huawei Technologies Co., Ltd., Bantian, Longgang District, Shenzhen, 518129, P.R.C

Manufacturer	
Company Name	Huawei Technologies Co., Ltd.
Address	Administration Building, Headquarters of Huawei Technologies Co., Ltd., Bantian, Longgang District, Shenzhen, 518129, P.R.C

3. Guidance Standard

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2003
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r03
- FCC KDB 865664 D02 SAR Reporting v01r01
- FCC KDB 447498 D01 General RF Exposure Guidance v05r02
- FCC KDB 941225 D05 SAR for LTE Devices v02r03

4. Equipment Under Test (EUT)

4.1 General Information

Product Feature & Specification	
Equipment Name	Mobile WIFI
Brand Name	Huawei
Model Name	E5776s-420
FCC ID	QISE5776S-420
S/N	A4XDWA93B2700006
Wireless Technology and Frequency Range	LTE Band 43: 3650 MHz ~ 3675 MHz
Mode	• LTE: QPSK, 16QAM
HW Version	CL6E5776SM
SW Version	11.221.05.00.1142
EUT Stage	Production Unit

4.2 Maximum Tune-up Limit

LTE Band 43				
Average power(dBm)				
Modulation	BW (MHz)	RB size	MPR	Power
QPSK	20	≤ 18	0	23.0
QPSK	20	> 18	1	22.0
16QAM	20	≤ 18	1	22.0
16QAM	20	> 18	2	21.0
QPSK	15	≤ 16	0	23.0
QPSK	15	> 16	1	22.0
16QAM	15	≤ 16	1	22.0
16QAM	15	> 16	2	21.0
QPSK	10	≤ 12	0	23.0
QPSK	10	> 12	1	22.0
16QAM	10	≤ 12	1	22.0
16QAM	10	> 12	2	21.0
QPSK	5	≤ 8	0	23.0
QPSK	5	> 8	1	22.0
16QAM	5	≤ 8	1	22.0
16QAM	5	> 8	2	21.0

4.3 General LTE SAR Test and Reporting Considerations

Summarized necessary items addressed in KDB 941225 D05 v02r03	
FCC ID	QISE5776S-420
Equipment Name	Mobile WIFI
Operating Frequency Range of each LTE transmission band	LTE Band 43: 3650 MHz ~ 3675 MHz
Channel Bandwidth	LTE Band 43: 5MHz, 10MHz, 15MHz, 20MHz
uplink modulations used	QPSK, and 16QAM
LTE Voice / Data requirements	Data only
LTE MPR permanently built-in by design	See Table 6.2.3-1 below
LTE A-MPR	In the base station simulator configuration, Network Setting value is set to NS_01 to disable A-MPR during SAR testing and the LTE SAR tests was transmitting on all TTI frames (Maximum TTI)
Spectrum plots for RB configuration	A properly configured base station simulator was used for the SAR and power measurement; therefore, spectrum plots for each RB allocation and offset configuration are not included in the SAR report.

Table 6.2.3-1: Maximum Power Reduction (MPR) for Power Class 3

Modulation	Channel bandwidth / Transmission bandwidth (RB)						MPR (dB)
	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz	
QPSK	> 5	> 4	> 8	> 12	> 16	> 18	≤ 1
16 QAM	≤ 5	≤ 4	≤ 8	≤ 12	≤ 16	≤ 18	≤ 1
16 QAM	> 5	> 4	> 8	> 12	> 16	> 18	≤ 2

Transmission (H, M, L) channel numbers and frequencies in each LTE band

LTE Band 43

	Bandwidth 5 MHz		Bandwidth 10 MHz		Bandwidth 15 MHz		Bandwidth 20 MHz	
	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)	Ch. #	Freq. (MHz)
L	44115	3652.5	44140	3655	44165	3657.5	44190	3660
M	44215	3662.5	44215	3662.5	44215	3662.5	44215	3662.5
H	44315	3672.5	44290	3670	44265	3667.5	44240	2665

5. RF Exposure Limits

5.1 Uncontrolled Environment

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

5.2 Controlled Environment

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

Limits for Occupational/Controlled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.4	8.0	20.0

Limits for General Population/Uncontrolled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.08	1.6	4.0

1. Whole-Body SAR is averaged over the entire body, partial-body SAR is averaged over any 1gram of tissue defined as a tissue volume in the shape of a cube. SAR for hands, wrists, feet and ankles is averaged over any 10 grams of tissue defined as a tissue volume in the shape of a cube.

6. Specific Absorption Rate (SAR)

6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$\mathbf{SAR} = \frac{\mathbf{d}}{\mathbf{dt}}\left(\frac{\mathbf{dW}}{\mathbf{dm}}\right) = \frac{\mathbf{d}}{\mathbf{dt}}\left(\frac{\mathbf{dW}}{\boldsymbol{\rho}\mathbf{dv}}\right)$$

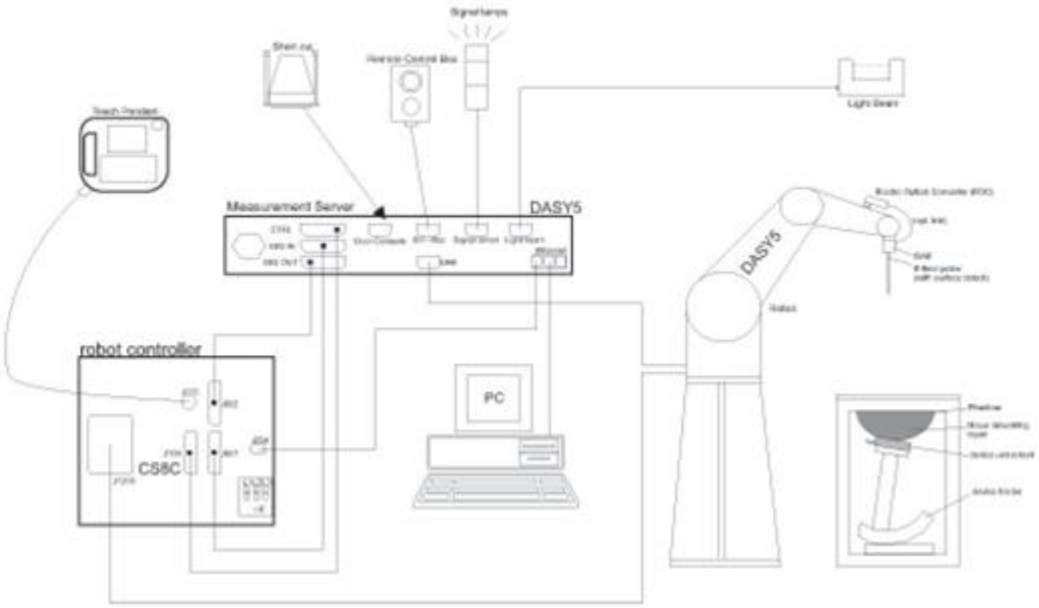
SAR is expressed in units of Watts per kilogram (W/kg)

$$\mathbf{SAR} = \frac{\boldsymbol{\sigma}|\mathbf{E}|^2}{\boldsymbol{\rho}}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.

7. System Description and Setup

The DASY system used for performing compliance tests consists of the following items:



- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

8. Measurement Procedures

The measurement procedures are as follows:

<Conducted power measurement>

(a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
(b) Read the WWAN RF power level from the base station simulator.
(c) For WLAN/BT power measurement, use engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power in each supported wireless interface and frequency band
(d) Connect EUT RF port through RF cable to the power meter, and measure WLAN/BT output power

<SAR measurement>

(a) Use base station simulator to configure EUT WWAN transmission in radiated connection, and engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power, in the highest power channel.
(b) Place the EUT in the positions as Appendix D demonstrates.
(c) Set scan area, grid size and other setting on the DASY software.
(d) Measure SAR results for the highest power channel on each testing position.
(e) Find out the largest SAR result on these testing positions of each band
(f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

(a) Power reference measurement
(b) Area scan
(c) Zoom scan
(d) Power drift measurement

8.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

(a) Extraction of the measured data (grid and values) from the Zoom Scan
(b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
(c) Generation of a high-resolution mesh within the measured volume
(d) Interpolation of all measured values form the measurement grid to the high-resolution grid
(e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
(f) Calculation of the averaged SAR within masses of 1g and 10g

8.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

8.3 Area Scan

The area scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum found in the scanned area, within a range of the global maximum. The range (in dB0 is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan), if only one zoom scan follows the area scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of zoom scans has to be increased accordingly.

Area scan parameters extracted from FCC KDB 865664 D01v01r03 SAR measurement 100 MHz to 6 GHz.

	≤ 3 GHz	> 3 GHz
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	5 ± 1 mm	½·δ·ln(2) ± 0.5 mm
Maximum probe angle from probe axis to phantom surface normal at the measurement location	30° ± 1°	20° ± 1°
Maximum area scan spatial resolution: Δx_{Area}, Δy_{Area}	≤ 2 GHz: ≤ 15 mm 2 – 3 GHz: ≤ 12 mm	3 – 4 GHz: ≤ 12 mm 4 – 6 GHz: ≤ 10 mm
	When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be ≤ the corresponding x or y dimension of the test device with at least one measurement point on the test device.	

8.4 Zoom Scan

Zoom scans are used assess the peak spatial SAR values within a cubic averaging volume containing 1 gram and 10 gram of simulated tissue. The zoom scan measures points (refer to table below) within a cube shoes base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the zoom scan evaluates the averaged SAR for 1 gram and 10 gram and displays these values next to the job's label.

Zoom scan parameters extracted from FCC KDB 865664 D01v01r03 SAR measurement 100 MHz to 6 GHz.

<table>
<tr><th colspan="3"></th><th>≤ 3 GHz</th><th>> 3 GHz</th></tr>
<tr><td colspan="3">Maximum zoom scan spatial resolution: Δx_{Zoom}, Δy_{Zoom}</td><td>≤ 2 GHz: ≤ 8 mm
2 – 3 GHz: ≤ 5 mm*</td><td>3 – 4 GHz: ≤ 5 mm*
4 – 6 GHz: ≤ 4 mm*</td></tr>
<tr><td rowspan="3">Maximum zoom scan spatial resolution, normal to phantom surface</td><td colspan="2">uniform grid: $\Delta z_{Zoom}(n)$</td><td>≤ 5 mm</td><td>3 – 4 GHz: ≤ 4 mm
4 – 5 GHz: ≤ 3 mm
5 – 6 GHz: ≤ 2 mm</td></tr>
<tr><td rowspan="2">graded grid</td><td>$\Delta z_{Zoom}(1)$: between 1st two points closest to phantom surface</td><td>≤ 4 mm</td><td>3 – 4 GHz: ≤ 3 mm
4 – 5 GHz: ≤ 2.5 mm
5 – 6 GHz: ≤ 2 mm</td></tr>
<tr><td>$\Delta z_{Zoom}(n>1)$: between subsequent points</td><td colspan="2">$\leq 1.5 \cdot \Delta z_{Zoom}(n-1)$</td></tr>
<tr><td>Minimum zoom scan volume</td><td colspan="2">x, y, z</td><td>≥ 30 mm</td><td>3 – 4 GHz: ≥ 28 mm
4 – 5 GHz: ≥ 25 mm
5 – 6 GHz: ≥ 22 mm</td></tr>
<tr><td colspan="5">Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.
* When zoom scan is required and the reported SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is ≤ 1.4 W/kg, ≤ 8 mm, ≤ 7 mm and ≤ 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.</td></tr>
</table>

8.5 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

8.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

9. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	3700MHz System Validation Kit	D3700V2	1006	Feb. 13, 2014	Feb. 12, 2015
SPEAG	Data Acquisition Electronics	DAE4	1425	Mar. 03, 2014	Mar. 02, 2015
SPEAG	Dosimetric E-Field Probe	EX3DV4	3617	Aug. 28, 2014	Aug. 27, 2015
WonDer	Thermometer	WD-5015	TM225	Dec. 02, 2013	Dec. 01, 2014
Anritsu	Radio Communication Analyzer	MT8820C	6201074414	Feb. 11, 2014	Feb. 10, 2015
SPEAG	Device Holder	N/A	N/A	NCR	NCR
Agilent	Signal Generator	N5181A	MY50145381	Jan. 04, 2014	Jan. 03, 2015
SPEAG	Dielectric Probe Kit	DAKS-3.5	0004	Mar. 04, 2014	Mar. 03, 2015
Agilent	ENA Network Analyzer	E5071C	MY46101588	May. 31, 2014	May. 30, 2015
Anritsu	Power Meter	ML2495A	1036004	Aug. 09, 2014	Aug. 08, 2015
Anritsu	Power Sensor	MA2411B	1027253	Aug. 11, 2014	Aug. 10, 2015
R&S	Spectrum Analyzer	FSP 7	101131	Jul. 10, 2014	Jul. 09, 2015
Agilent	Dual Directional Coupler	778D	50422	Note1	
Woken	Attenuator 1	WK0602-XX	N/A	Note1	
PE	Attenuator 2	PE7005-10	N/A	Note1	
PE	Attenuator 3	PE7005- 3	N/A	Note1	
AR	Power Amplifier	5S1G4M2	0328767	Note1	
Mini-Circuits	Power Amplifier	ZVE-3W	162601250	Note1	
Mini-Circuits	Power Amplifier	ZHL-42W+	13440021344	Note1	

General Note:

1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.

10. System Verification

10.1 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (εr)
For Head								
750	41.1	57.0	0.2	1.4	0.2	0	0.89	41.9
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
900	40.3	57.9	0.2	1.4	0.2	0	0.97	41.5
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
2450	55.0	0	0	0	0	45.0	1.80	39.2
2600	54.8	0	0	0.1	0	45.1	1.96	39.0
For Body								
750	51.7	47.2	0	0.9	0.1	0	0.96	55.5
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2
900	50.8	48.2	0	0.9	0.1	0	1.05	55.0
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3
2450	68.6	0	0	0	0	31.4	1.95	52.7
2600	68.1	0	0	0.1	0	31.8	2.16	52.5

Simulating Liquid for 3.5GHz ~ 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

<Tissue Dielectric Parameter Check Results>

Frequency (MHz)	Tissue Type	Liquid Temp. (℃)	Conductivity (σ)	Permittivity (ε_r)	Conductivity Target (σ)	Permittivity Target (ε_r)	Delta (σ) (%)	Delta (ε_r) (%)	Limit (%)	Date
3700	Body	22.6	3.664	49.939	3.55	51.00	3.21	-2.08	±5	2014/9/10

10.2 System Performance Check Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2014/9/10	3700	Body	250	D3700V2-1006	EX3DV4 - SN3617	DAE4 Sn1425	14.49	62.20	57.96	-6.82

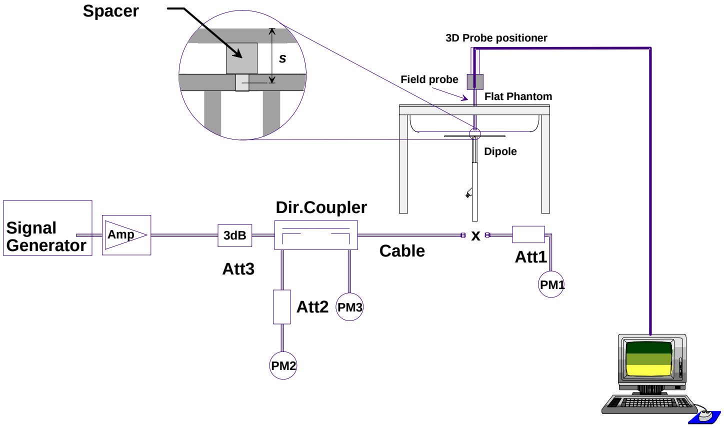


Fig 8.3.1 System Performance Check Setup

Fig 8.3.2 Setup Photo

11. RF Exposure Positions

11.1 Wireless Router

Some battery-operated handsets have the capability to transmit and receive user through simultaneous transmission of WIFI simultaneously with a separate licensed transmitter. The FCC has provided guidance in FCC HDB Publication 941225 D06v01r01 where SAR test considerations for handsets (L x W ≥ 9 cm x 5 cm) are based on a composite test separation distance of 10mm from the front, back and edges of the device containing transmitting antennas within 2.5cm of their edges, determined form general mixed use conditions for this type of devices. Since the hotspot SAR results may overlap with the body-worn accessory SAR requirements, the more conservative configurations can be considered, thus excluding some body-worn accessory SAR tests.

When the user enables the personal wireless router functions for the handset, actual operations include simultaneous transmission of both the WIFI transmitter and another licensed transmitter. Both transmitters often do not transmit at the same transmitting frequency and thus cannot be evaluated for SAR under actual use conditions due to the limitations of the SAR assessment probes. Therefore, SAR must be evaluated for each frequency transmission and mode separately and spatially summed with the WIFI transmitter according to FCC KDB Publication 447498 D01v05r02 publication procedures. The "Portable Hotspot" feature on the handset was NOT activated during SAR assessments, to ensure the SAR measurements were evaluated for a single transmission frequency RF signal at a time.

12. Conducted RF Output Power (Unit: dBm)

<TDD LTE SAR Measurement>

TDD LTE configuration setup for SAR measurement

SAR was tested with a fixed periodic duty factor according to the highest transmission duty factor implemented for the device and supported by 3GPP.

a. 3GPP TS 36.211 section 4.2 for Type 2 Frame Structure and Table 4.2-2 for uplink-downlink configurations

b. “special subframe S” contains both uplink and downlink transmissions, it has been taken into consideration to determine the transmission duty factor according to the worst case uplink and downlink cyclic prefix requirements for UpPTS

c. Establishing connections with base station simulators ensure a consistent means for testing SAR and recommended for evaluating SAR. The Anritsu MT8820C (firmware: #22.52#004) was used for LTE output power measurements and SAR testing.

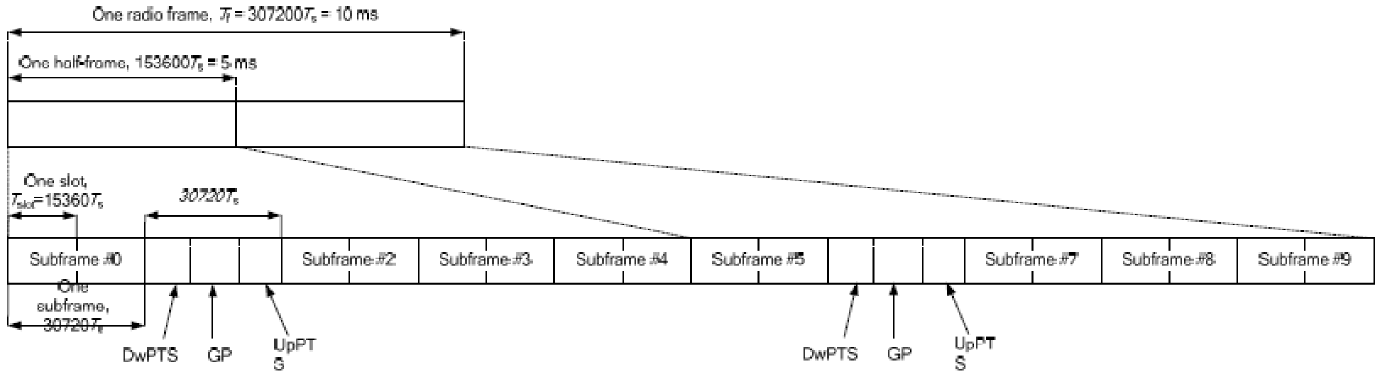


Figure 4.2-1: Frame structure type 2 (for 5 ms switch-point periodicity).

Table 4.2-2: Uplink-downlink configurations.

Uplink-downlink configuration	Downlink-to-Uplink Switch-point periodicity	Subframe number									
		0	1	2	3	4	5	6	7	8	9
0	5 ms	D	S	U	U	U	D	S	U	U	U
1	5 ms	D	S	U	U	D	D	S	U	U	D
2	5 ms	D	S	U	D	D	D	S	U	D	D
3	10 ms	D	S	U	U	U	D	D	D	D	D
4	10 ms	D	S	U	U	D	D	D	D	D	D
5	10 ms	D	S	U	D	D	D	D	D	D	D
6	5 ms	D	S	U	U	U	D	S	U	U	D

Table 4.2-1: Configuration of special subframe (lengths of DwPTS/GP/UpPTS).

<table>
<tr><th rowspan="3">Special subframe configuration</th><th colspan="3">Normal cyclic prefix in downlink</th><th colspan="3">Extended cyclic prefix in downlink</th></tr>
<tr><th rowspan="2">DwPTS</th><th colspan="2">UpPTS</th><th rowspan="2">DwPTS</th><th colspan="2">UpPTS</th></tr>
<tr><th>Normal cyclic prefix in uplink</th><th>Extended cyclic prefix in uplink</th><th>Normal cyclic prefix in uplink</th><th>Extended cyclic prefix in uplink</th></tr>
<tr><td>0</td><td>$6592 \cdot T_s$</td><td rowspan="5">$2192 \cdot T_s$</td><td rowspan="5">$2560 \cdot T_s$</td><td>$7680 \cdot T_s$</td><td rowspan="4">$2192 \cdot T_s$</td><td rowspan="4">$2560 \cdot T_s$</td></tr>
<tr><td>1</td><td>$19760 \cdot T_s$</td><td>$20480 \cdot T_s$</td></tr>
<tr><td>2</td><td>$21952 \cdot T_s$</td><td>$23040 \cdot T_s$</td></tr>
<tr><td>3</td><td>$24144 \cdot T_s$</td><td>$25600 \cdot T_s$</td></tr>
<tr><td>4</td><td>$26336 \cdot T_s$</td><td>$7680 \cdot T_s$</td><td rowspan="4">$4384 \cdot T_s$</td><td rowspan="4">$5120 \cdot T_s$</td></tr>
<tr><td>5</td><td>$6592 \cdot T_s$</td><td rowspan="5">$4384 \cdot T_s$</td><td rowspan="5">$5120 \cdot T_s$</td><td>$20480 \cdot T_s$</td></tr>
<tr><td>6</td><td>$19760 \cdot T_s$</td><td>$23040 \cdot T_s$</td></tr>
<tr><td>7</td><td>$21952 \cdot T_s$</td><td>$12800 \cdot T_s$</td></tr>
<tr><td>8</td><td>$24144 \cdot T_s$</td><td>-</td><td>-</td><td>-</td></tr>
<tr><td>9</td><td>$13168 \cdot T_s$</td><td>-</td><td>-</td><td>-</td></tr>
</table>

Special subframe (30720·T_s): Normal cyclic prefix in downlink (UpPTS)			
	Special subframe configuration	**Normal cyclic prefix in uplink**	**Extended cyclic prefix in uplink**
Uplink duty factor in one special subframe	**0~4**	7.13%	8.33%
	5~9	14.3%	16.7%

Special subframe(30720·T_s): Extended cyclic prefix in downlink (UpPTS)			
	Special subframe configuration	**Normal cyclic prefix in uplink**	**Extended cyclic prefix in uplink**
Uplink duty factor in one special subframe	**0~3**	7.13%	8.33%
	4~7	14.3%	16.7%

The highest duty factor is resulted from:

i. Uplink-downlink configuration: 0. In a half-frame consisted of 5 subfames, uplink operation is in 3 uplink subframes and 1 special subframe.

ii. special subframe configuration: 5-9 for normal cyclic prefix in downlink, 4-7 for extended cyclic prefix in downlink

iii. for special subframe with extended cyclic prefix in uplink, the total uplink duty factor in one half-frame is: (3+0.167)/5 = 63.3%

iv. for special subframe with normal cyclic prefix in uplink, the total uplink duty factor in one half-frame is: (3+0.143)/5 = 62.9%

v. For TDD LTE SAR measurement, the duty cycle 1:1.59 (62.9 %) was used perform testing and considering the theoretical duty cycle of 63.3% for extended cyclic prefix in the uplink, and the theoretical duty cycle of 62.9% for normal cyclic prefix in uplink, a scaling factor of extended cyclic prefix 63.3%/62.9% = 1.01 is applied to scale-up the measured SAR result. The scaled TDD LTE SAR = measured SAR (W/kg)* Tune-up Scaling Factor* scaling factor for extended cyclic prefix.

<TDD LTE Band 43 Conducted Power>

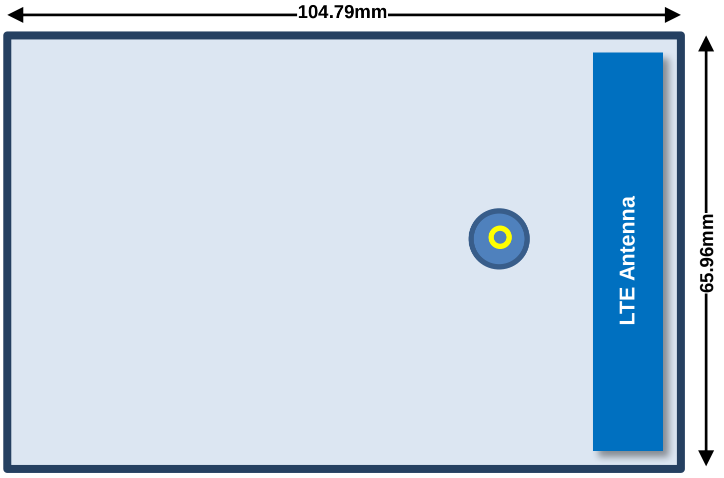
General Note:

1. Anritsu MT8820C base station simulator was used to setup the connection with EUT; the frequency band, channel bandwidth, RB allocation configuration, modulation type are set in the base station simulator to configure EUT transmitting at maximum power and at different configurations which are requested to be reported to FCC, for conducted power measurement and SAR testing.
2. Per KDB 941225 D05v02r03, when a properly configured base station simulator is used for the SAR and power measurements, spectrum plots for each RB allocation and offset configuration is not required.
3. Per KDB 941225 D05v02r03, start with the largest channel bandwidth and measure SAR for QPSK with 1 RB allocation, using the RB offset and required test channel combination with the highest maximum output power for RB offsets at the upper edge, middle and lower edge of each required test channel.
4. Per KDB 941225 D05v02r03, 50% RB allocation for QPSK SAR testing follows 1RB QPSK allocation procedure.
5. Per KDB 941225 D05v02r03, For QPSK with 100% RB allocation, SAR is not required when the highest maximum output power for 100 % RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are ≤ 0.8 W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is > 1.45 W/kg, the remaining required test channels must also be tested.
6. Per KDB 941225 D05v02r03, 16QAM output power for each RB allocation configuration is > not ½ dB higher than the same configuration in QPSK and the reported SAR for the QPSK configuration is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, 16QAM SAR testing is not required.
7. Per KDB 941225 D05v02r03, Smaller bandwidth output power for each RB allocation configuration is > not ½ dB higher than the same configuration in the largest supported bandwidth, and the reported SAR for the largest supported bandwidth is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, smaller bandwidth SAR testing is not required.

BW [MHz]	Modulation	RB Size	RB Offset	Power Low Ch. / Freq.	Power Middle Ch. / Freq.	Power High Ch. / Freq.	Tune up Limit (dBm)	MPR (dB)
Channel				44190	44215	44240		
Frequency (MHz)				3660	3662.5	3665		
20	QPSK	1	0	22.58	22.29	22.36	23	0
20	QPSK	1	49	22.88	22.91	22.85		
20	QPSK	1	99	22.76	22.80	22.81		
20	QPSK	50	0	21.74	21.80	21.81	22	1
20	QPSK	50	24	21.83	21.92	21.90		
20	QPSK	50	49	21.78	21.83	21.89		
20	QPSK	100	0	22.06	21.90	21.93		
20	16QAM	1	0	21.45	21.59	21.61	22	1
20	16QAM	1	49	22.12	21.89	22.12		
20	16QAM	1	99	22.07	21.82	22.01		
20	16QAM	50	0	20.83	20.81	20.85	21	2
20	16QAM	50	24	20.95	20.54	20.61		
20	16QAM	50	49	20.88	20.82	20.45		
20	16QAM	100	0	21.55	21.43	21.59		

BW [MHz]	Modulation	RB Size	RB Offset	Power Low Ch. / Freq.	Power Middle Ch. / Freq.	Power High Ch. / Freq.	Tune up Limit (dBm)	MPR (dB)
Channel				44165	44215	44265		
Frequency (MHz)				3657.5	3662.5	3667.5		
15	QPSK	1	0	22.14	22.38	22.36	23	0
15	QPSK	1	37	22.78	22.67	22.88		
15	QPSK	1	74	22.52	22.76	22.64		
15	QPSK	36	0	21.54	21.77	21.76	22	1
15	QPSK	36	18	21.56	21.87	21.86		
15	QPSK	36	37	21.74	21.83	21.71		
15	QPSK	75	0	22.09	22.11	22.06		
15	16QAM	1	0	21.83	21.69	21.78	22	1
15	16QAM	1	37	22.13	21.84	22.45		
15	16QAM	1	74	21.82	21.42	22.16		
15	16QAM	36	0	20.63	20.85	20.85	21	2
15	16QAM	36	18	20.62	20.71	20.98		
15	16QAM	36	37	20.81	20.66	20.62		
15	16QAM	75	0	21.34	21.59	21.53		
Channel				44140	44215	44290	Tune up Limit (dBm)	MPR (dB)
Frequency (MHz)				3655	3662.5	3670		
10	QPSK	1	0	22.29	22.65	22.71	23	0
10	QPSK	1	24	22.59	22.87	22.82		
10	QPSK	1	49	22.56	22.85	22.77		
10	QPSK	25	0	20.91	21.63	21.87	22	1
10	QPSK	25	12	21.37	21.66	21.84		
10	QPSK	25	24	21.73	21.73	21.72		
10	QPSK	50	0	21.39	21.70	21.82		
10	16QAM	1	0	22.01	21.97	21.95	22	1
10	16QAM	1	24	22.23	21.72	22.17		
10	16QAM	1	49	22.42	21.91	22.19		
10	16QAM	25	0	20.65	20.65	20.93	21	2
10	16QAM	25	12	20.55	20.78	20.98		
10	16QAM	25	24	20.81	20.57	20.83		
10	16QAM	50	0	21.00	21.08	21.30		
Channel				44115	44215	44315	Tune up Limit (dBm)	MPR (dB)
Frequency (MHz)				3652.5	3662.5	3672.5		
5	QPSK	1	0	22.04	22.09	22.09	23	0
5	QPSK	1	12	22.56	22.60	22.53		
5	QPSK	1	24	22.17	22.04	22.00		
5	QPSK	12	0	21.35	21.53	21.51	22	1
5	QPSK	12	6	21.44	21.65	21.63		
5	QPSK	12	11	21.48	21.60	21.58		
5	QPSK	25	0	21.24	21.64	21.68		
5	16QAM	1	0	21.11	21.11	21.57	22	1
5	16QAM	1	12	21.57	21.74	22.07		
5	16QAM	1	24	21.37	21.12	21.52		
5	16QAM	12	0	20.37	20.58	20.52	21	2
5	16QAM	12	6	20.39	20.69	20.66		
5	16QAM	12	11	20.42	20.61	20.65		
5	16QAM	25	0	20.78	21.29	21.48		

13. Antenna Location



Distance of the Antenna to the EUT surface/edge						
Antennas	Back	Front	Top Side	Bottom Side	Right Side	Left Side
WWAN Main	≤ 25mm	≤ 25mm	≤ 25mm	> 25mm	≤ 25mm	≤ 25mm
Positions for SAR tests; Hotspot mode						
Antennas	Back	Front	Top Side	Bottom Side	Right Side	Left Side
WWAN Main	Yes	Yes	Yes	No	Yes	Yes

General Note:

1. Referring to KDB 941225 D06 v01r01, when the overall device length and width are ≥ 9cm*5cm, the test distance is 10 mm. SAR must be measured for all sides and surfaces with a transmitting antenna located within 25mm from that surface or edge

14. SAR Test Results

General Note:

1. Per KDB 447498 D01v05r02, the reported SAR is the measured SAR value adjusted for maximum tune-up tolerance.
   d. Tune-up scaling Factor = tune-up limit power (mW) / EUT RF power (mW), where tune-up limit is the maximum rated power among all production units.
   e. For TDD LTE SAR measurement, the duty cycle 1:1.59 (62.9 %) was used perform testing and considering the theoretical duty cycle of 63.3% for extended cyclic prefix in the uplink, and the theoretical duty cycle of 62.9% for normal cyclic prefix in uplink, a scaling factor of extended cyclic prefix 63.3%/62.9% = 1.01 is applied to scale-up the measured SAR result. The scaled TDD LTE SAR = measured SAR (W/kg)* Tune-up Scaling Factor* scaling factor for extended cyclic prefix.
2. Per KDB 447498 D01v05r02, for each exposure position, testing of other required channels within the operating mode of a frequency band is not required when the *reported* 1-g or 10-g SAR for the mid-band or highest output power channel is:
   - ≤ 0.8 W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≤ 100 MHz
   - ≤ 0.6 W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
   - ≤ 0.4 W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≥ 200 MHz
3. Per KDB 941225 D05v02r03, start with the largest channel bandwidth and measure SAR for QPSK with 1 RB allocation, using the RB offset and required test channel combination with the highest maximum output power for RB offsets at the upper edge, middle and lower edge of each required test channel.
4. Per KDB 941225 D05v02r03, 50% RB allocation for QPSK SAR testing follows 1RB QPSK allocation procedure.
5. Per KDB 941225 D05v02r03, For QPSK with 100% RB allocation, SAR is not required when the highest maximum output power for 100 % RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are ≤ 0.8 W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is > 1.45 W/kg, the remaining required test channels must also be tested.
6. Per KDB 941225 D05v02r03, 16QAM output power for each RB allocation configuration is > not ½ dB higher than the same configuration in QPSK and the reported SAR for the QPSK configuration is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, 16QAM SAR testing is not required.
7. Per KDB 941225 D05v02r03, Smaller bandwidth output power for each RB allocation configuration is > not ½ dB higher than the same configuration in the largest supported bandwidth, and the reported SAR for the largest supported bandwidth is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, smaller bandwidth SAR testing is not required.

14.1 Body SAR

<LTE SAR>

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB offset	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Duty Cycle %	Duty Cycle Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
	LTE Band 43	20M	QPSK	1RB	49offset	Front	1cm	44215	3662.5	22.91	23.00	1.021	62.9	1.01	0.02	0.190	0.195
	LTE Band 43	20M	QPSK	50RB	24offset	Front	1cm	44215	3662.5	21.92	22.00	1.019	62.9	1.01	-0.09	0.152	0.156
	LTE Band 43	20M	QPSK	1RB	49offset	Back	1cm	44215	3662.5	22.91	23.00	1.021	62.9	1.01	0.12	0.132	0.136
	LTE Band 43	20M	QPSK	50RB	24offset	Back	1cm	44215	3662.5	21.92	22.00	1.019	62.9	1.01	0.02	0.104	0.107
01	LTE Band 43	20M	QPSK	1RB	49offset	Left Side	1cm	44215	3662.5	22.91	23.00	1.021	62.9	1.01	0.1	0.194	0.199
	LTE Band 43	20M	QPSK	50RB	24offset	Left Side	1cm	44215	3662.5	21.92	22.00	1.019	62.9	1.01	-0.01	0.151	0.155
	LTE Band 43	20M	QPSK	1RB	49offset	Right Side	1cm	44215	3662.5	22.91	23.00	1.021	62.9	1.01	-0.16	0.044	0.045
	LTE Band 43	20M	QPSK	50RB	24offset	Right Side	1cm	44215	3662.5	21.92	22.00	1.019	62.9	1.01	0.09	0.034	0.035
	LTE Band 43	20M	QPSK	1RB	49offset	Top Side	1cm	44215	3662.5	22.91	23.00	1.021	62.9	1.01	0	0.100	0.103
	LTE Band 43	20M	QPSK	50RB	24offset	Top Side	1cm	44215	3662.5	21.92	22.00	1.019	62.9	1.01	0.16	0.080	0.082

Test Engineer： Mood Huang and Tom Jiang

15. Uncertainty Assessment

The component of uncertainly may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainly by the statistical analysis of a series of observations is termed a Type An evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in table below.

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor[(a)]	1/k[(b)]	$1/\sqrt{3}$	$1/\sqrt{6}$	$1/\sqrt{2}$

(a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity

(b) κ is the coverage factor

Table 15.1. Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

Error Description	Uncertainty Value (±%)	Probability Distribution	Divisor	Ci (1g)	Ci (10g)	Standard Uncertainty (1g)	Standard Uncertainty (10g)
Measurement System							
Probe Calibration	6.55	Normal	1	1	1	± 6.55 %	± 6.55 %
Axial Isotropy	4.7	Rectangular	√3	0.7	0.7	± 1.9 %	± 1.9 %
Hemispherical Isotropy	9.6	Rectangular	√3	0.7	0.7	± 3.9 %	± 3.9 %
Boundary Effects	2.0	Rectangular	√3	1	1	± 1.2 %	± 1.2 %
Linearity	4.7	Rectangular	√3	1	1	± 2.7 %	± 2.7 %
System Detection Limits	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Readout Electronics	0.3	Normal	1	1	1	± 0.3 %	± 0.3 %
Response Time	0.8	Rectangular	√3	1	1	± 0.5 %	± 0.5 %
Integration Time	2.6	Rectangular	√3	1	1	± 1.5 %	± 1.5 %
RF Ambient Noise	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
RF Ambient Reflections	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
Probe Positioner	0.8	Rectangular	√3	1	1	± 0.5 %	± 0.5 %
Probe Positioning	9.9	Rectangular	√3	1	1	± 5.7 %	± 5.7 %
Max. SAR Eval.	4.0	Rectangular	√3	1	1	± 2.3 %	± 2.3 %
Test Sample Related							
Device Positioning	2.9	Normal	1	1	1	± 2.9 %	± 2.9 %
Device Holder	3.6	Normal	1	1	1	± 3.6 %	± 3.6 %
Power Drift	5.0	Rectangular	√3	1	1	± 2.9 %	± 2.9 %
Phantom and Setup							
Phantom Uncertainty	4.0	Rectangular	√3	1	1	± 2.3 %	± 2.3 %
Liquid Conductivity (Target)	5.0	Rectangular	√3	0.64	0.43	± 1.8 %	± 1.2 %
Liquid Conductivity (Meas.)	2.5	Normal	1	0.64	0.43	± 1.6 %	± 1.1 %
Liquid Permittivity (Target)	5.0	Rectangular	√3	0.6	0.49	± 1.7 %	± 1.4 %
Liquid Permittivity (Meas.)	2.5	Normal	1	0.6	0.49	± 1.5 %	± 1.2 %
Combined Standard Uncertainty						± 12.8 %	± 12.6 %
Coverage Factor for 95 %						K=2	
Expanded Uncertainty						**± 25.6 %**	**± 25.2 %**

Table 15.2. Uncertainty Budget for frequency range 3 GHz to 6 GHz

16. References

[1] FCC 47 CFR Part 2 “Frequency Allocations and Radio Treaty Matters; General Rules and Regulations”

[2] ANSI/IEEE Std. C95.1-1992, “IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz”, September 1992

[3] IEEE Std. 1528-2003, “Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques”, December 2003

[4] SPEAG DASY System Handbook

[5] FCC KDB 447498 D01 v05r02, “Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies”, Feb 2014

[6] FCC KDB 941225 D05 v02r03, “SAR Evaluation Considerations for LTE Devices”, Dec 2013

[7] FCC KDB 865664 D01 v01r03, "SAR Measurement Requirements for 100 MHz to 6 GHz", Feb 2014.

[8] FCC KDB 865664 D02 v01r01, “RF Exposure Compliance Reporting and Documentation Considerations” May 2013.