

6660-B Dobbin Road, Columbia, MD 21045 USA Tel. 410.290.6652 / Fax 410.290.6554 http://www.pctestlab.com



## **CERTIFICATE OF COMPLIANCE (SAR EVALUATION)**

**Applicant Name: Date of Testing: Date of Testing:** 

SONY ERICSSON MOBILE COMMUNICATION INC. <br>7001 Development Drive **Test Site/Location: 7001 Development Drive** Research Triangle Park, NC 27709 PCTEST Lab, Columbia, MD, USA USA **Test Report Serial No.:** 

0910191918.PTX

#### **FCC ID: PY7A6880003**

#### **APPLICANT: SONY ERICSSON MOBILE COMMUNICATION INC.**

**Application Type: Certification Model(s):** CDMA SOY03

**EUT Type:** Cellular CDMA Phone with Bluetooth **FCC Rule Part(s):** CFR §2.1093; FCC/OET Bulletin 65 Supplement C [July 2001] **FCC Classification:** Non-Broadcast Transmitter Held to Ear (TNE) **Tx Frequency:** 824.70 - 848.31 MHz (Cellular CDMA) **Conducted Power:** 24.84 dBm Cellular CDMA<br> **Max. SAR Measurement:** 0.46 W/kg Cell. CDMA Hea 0.46 W/kg Cell. CDMA Head SAR 0.74 W/kg Cell. CDMA Body SAR **Test Device Serial No.:** Pre-Production [S/N: SSOFL001061 66]

This wireless portable device has been shown to be capable of compliance for localized specific absorption rate (SAR) for uncontrolled environment/general population exposure limits specified in ANSI/IEEE C95.1-1992 and has been tested in accordance with the measurement procedures specified in FCC/OET Bulletin 65 Supplement C (2001), IEEE 1528-2003 and in applicable Industry Canada Radio Standards Specifications (RSS); for North American frequency bands only.

I attest to the accuracy of data. All measurements reported herein were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them. Test results reported herein relate only to the item(s) tested.

PCTEST certifies that no party to this application has been denied the FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 862.

Randy Ortanez President









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#### **1 INTRODUCTION**

The FCC has adopted the guidelines for evaluating the environmental effects of radio frequency (RF) radiation in ET Docket 93-62 on Aug. 6, 1996 to protect the public and workers from the potential hazards of RF emissions due to FCC-regulated portable devices.[1]

The safety limits used for the environmental evaluation measurements are based on the criteria published by the American National Standards Institute (ANSI) for localized specific absorption rate (SAR) in IEEE/ANSI C95.1-1992 Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz[2] and Health Canada RF Exposure Guidelines Safety Code 6 [26]. The measurement procedure described in IEEE/ANSI C95.3-2002 Recommended Practice for the Measurement of Potentially Hazardous Electromagnetic Fields - RF and Microwave [3] is used for guidance in measuring the Specific Absorption Rate (SAR) due to the RF radiation exposure from the Equipment Under Test (EUT). These criteria for SAR evaluation are similar to those recommended by the International Committee for Non-Ionizing Radiation Protection (ICNIRP) in Biological Effects and Exposure Criteria for Radiofrequency Electromagnetic Fields," Report No. Vol 74. SAR is a measure of the rate of energy absorption due to exposure to an RF transmitting source. SAR values have been related to threshold levels for potential biological hazards.

#### **1.1 SAR Definition**

Specific Absorption Rate is defined as the time derivative (rate) of the incremental energy (dU) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density (ρ). It is also defined as the rate of RF energy absorption per unit mass at a point in an absorbing body (see Fig. 1-1).

$$
S \tA \tR = \frac{d}{d t} \left( \frac{d U}{d m} \right) = \frac{d}{d t} \left( \frac{d U}{\rho d v} \right)
$$

**Figure 1-1 SAR Mathematical Equation** 

**SAR is expressed in units of Watts per Kilogram (W/kg).** 

$$
SAR = \frac{\sigma \cdot E^2}{\rho}
$$

where:

 $\sigma$  = conductivity of the tissue-simulating material (S/m)

 $\rho$  = mass density of the tissue-simulating material (kg/m<sup>3</sup>)

 $E =$  Total RMS electric field strength  $(V/m)$ 

NOTE: The primary factors that control rate of energy absorption were found to be the wavelength of the incident field in relation to the dimensions and geometry of the irradiated organism, the orientation of the organism in relation to the polarity of field vectors, the presence of reflecting surfaces, and whether conductive contact is made by the organism with a ground plane.[6]



# **2 TEST SITE LOCATION**

#### **2.1 INTRODUCTION**

The map at the right shows the location of the PCTEST LABORATORY in Columbia, Maryland. It is in proximity to the FCC Laboratory, the Baltimore-Washington International (BWI) airport, the city of Baltimore and Washington, DC (See Figure 2).

These measurement tests were conducted at the PCTEST Engineering Laboratory, Inc. facility in New Concept Business Park, Guilford Industrial Park, Columbia, Maryland. The site address is 6660-B Dobbin Road, Columbia, MD 21045. The test site is one of the highest points in the Columbia area with an elevation of 390 feet above mean sea level. The site coordinates are 39° 11'15" N latitude and 76° 49' 38" W longitude. The facility is 1.5 miles north of the FCC laboratory, and the ambient signal and ambient signal strength are approximately equal to those of the FCC laboratory. There are no FM or TV



**Figure 2-1**  Map of the Greater Baltimore and Metropolitan Washington, D.C. area

transmitters within 15 miles of the site. The detailed description of the measurement facility was found to be in compliance with the requirements of § 2.948 according to ANSI C63.4 on January 27, 2006 and Industry Canada.

#### **2.2 Test Facility / Accreditations:**

Measurements were performed at an independent accredited PCTEST Engineering Lab located in Columbia, MD 21045, U.S.A.



- PCTEST Lab is accredited to ISO 17025-2005 by the American Association for Laboratory Accreditation (A2LA) in Specific Absorption Rate (SAR) testing, Hearing-Aid Compatibility (HAC), CTIA Test Plans, and wireless testing for FCC and Industry Canada Rules.
- PCTEST Lab is accredited to ISO 17025 by U.S. National Institute of Standards and Technology (NIST) under the National Voluntary Laboratory Accreditation Program (NVLAP Lab code: 100431-0) in EMC, FCC and Telecommunications.
- PCTEST facility is an FCC registered (PCTEST Reg. No. 90864) test facility with the site description report on file and has met all the requirements specified in Section 2.948 of the FCC Rules and Industry Canada (IC-2451).
- PCTEST Lab is a recognized U.S. Conformity Assessment Body (CAB) in EMC and R&TTE (n.b. 0982) under the U.S.-EU Mutual Recognition Agreement (MRA).
- PCTEST TCB is a Telecommunication Certification Body (TCB) accredited to ISO/IEC Guide 65 by the American National Standards Institute (ANSI) in all scopes of FCC Rules and all Industry Canada Standards (RSS).
- PCTEST facility is an IC registered (IC-2451) test laboratory with the site description on file at Industry Canada.
- PCTEST is a CTIA Authorized Test Laboratory (CATL) for AMPS and CDMA, and EvDO mobile phones.
- PCTEST is a CTIA Authorized Test Laboratory (CATL) for Over-the-Air (OTA) Antenna Performance testing for AMPS, CDMA, GSM, GPRS, EGPRS, UMTS (W-CDMA), CDMA 1xEVDO Data, CDMA 1xRTT Data



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## **3** SAR MEASUREMENT SETUP

#### **3.1 Robotic System**

Measurements are performed using the DASY4 automated dosimetric assessment system. The DASY4 is made by Schmid & Partner Engineering AG (SPEAG) in Zurich, Switzerland and consists of high precision robotics system (Staubli), robot controller, Pentium 4 computer, near-field probe, probe alignment sensor, and the generic twin phantom containing the brain equivalent material. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF) (see Figure 3-1).

#### **3.2 System Hardware**

A cell controller system contains the power supply, robot controller, teach pendant (Joystick), and a remote control used to drive the robot motors. The PC consists of the Gateway Pentium 4 2.53 GHz computer with Windows XP system and SAR Measurement Software DASY4, A/D interface card, monitor, mouse, and keyboard. The Staubli Robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit that performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card.

#### **3.3 System Electronics**



**Figure 3-1 SAR Measurement System Setup** 

The DAE4 consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer. The system is described in detail in [7].



#### **3.4 Automated Test System Specifications**

Positioner<br>Robot:

Stäubli Unimation Corp. Robot RX60L Repeatability: 0.02 mm No. of Axes: 6

Data Acquisition Electronic System (DAE)

Cell Controller

Processor: Pentium 4 Clock Speed: 2.53 GHz Operating System: Windows XP Professional

#### Data Converter



PC Interface Card

Function: 166MHz low power Pentium MMX 32MB chipdisk Link to DAE 16-bit A/D converter for surface detection system Two Serial & Ethernet link to robotics Direct emergency stop output for robot

#### Phantom

Type: SAM Twin Phantom (V4.0) Shell Material: Composite Thickness:  $2.0 \pm 0.2$  mm



**Figure 3-2 DASY4 SAR Measurement System** 



### **4 D A S Y E - F I E L D P R O B E S Y S T E M**

#### **4.1 Probe Measurement System**



Figure 4-1 SAR System

The SAR measurements were conducted with the dosimetric probe EX3DV4, designed in the classical triangular configuration [7] (see Figure 4-3) and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multi-fiber line ending at the front of the probe tip. It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY4 software reads the reflection during a software approach

and looks for the maximum using a 2nd order fitting (see Figure 5-1). The approach is stopped at reaching the maximum.

#### **4.2 Probe Specifications**





**Figure 4-2 Near-Field Probe** 



 **Figure 4-3**  Triangular Probe **Configuration** 



## **5** PROBE CALIBRATION PROCESS

#### **5.1 Dosimetric Assessment Procedure**

Each E-Probe/Probe amplifier combination has unique calibration parameters. A TEM cell calibration procedure is conducted to determine the proper amplifier settings to enter in the probe parameters. The amplifier settings are determined for a given frequency by subjecting the probe to a known E-field density (1 mW/cm<sup>2</sup>) using an RF Signal generator, TEM cell, and RF Power Meter.

#### **5.2 Free Space Assessment**

The free space E-field from amplified probe outputs is determined in a test chamber. This calibration can be performed in a TEM cell if the frequency is below 1 GHz and in a waveguide or other methodologies above 1 GHz for free space. For the free space calibration, the probe is placed in the volumetric center of the cavity and at the proper orientation with the field. The probe is rotated 360 degrees until the three channels show the maximum reading. The power density readings equates to 1 mW/cm<sup>2</sup>.

#### **5.3 Temperature Assessment**

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The E-field in the medium correlates with the temperature rise in the dielectric medium. For temperature correlation calibration a RF transparent thermistor-based temperature probe is used in conjunction with the E-field probe.

$$
\text{SAR} = C \frac{\Delta T}{\Delta t}
$$

where:

 $\Delta t$  = exposure time (30 seconds),

$$
C
$$
 = heat capacity of tissue (brain or muscle),

 $\Delta T$  = temperature increase due to RF exposure.

SAR is proportional to ∆T/∆t, the initial rate of tissue heating, before thermal diffusion takes place. Now it's possible to quantify the electric field in the simulated tissue by equating the thermally derived SAR to the E- field;



**Figure 5-1 E-Field and Temperature measurements at 900MHz [7]** 

$$
\text{SAR} = \frac{\left|E\right|^2 \cdot \sigma}{\rho}
$$

where:

 $\sigma$  = simulated tissue conductivity,

 $\rho$  = Tissue density (1.25 g/cm3 for brain tissue)



**Figure 5-2 E-Field and temperature measurements at 1.9GHz [7]** 



#### **6** PHANTOM AND EQUIVALENT TISSUES

#### **6.1 SAM Phantoms**



The SAM Twin Phantom V4.0 is constructed of a fiberglass shell integrated in a wooden table. The shape of the shell is based on data from an anatomical study designed to determine the maximum exposure in at least 90% of all users [11][12]. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents the evaporation of the liquid. Reference markings on the Phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. (see Fig. 5.1)

#### **6.2 Brain & Muscle Simulating Mixture Characterization**



**Head Simulated**

The brain and muscle mixtures consist of a viscous gel using hydroxethylcellulose (HEC) gelling agent and saline solution (see Table 6-1). Preservation with a bactericide is added and visual inspection is made to make sure air bubbles are not trapped during the mixing process. The mixture is calibrated to obtain proper dielectric constant (permittivity) and conductivity of the desired tissue. The head tissue dielectric parameters recommended by the IEEE SCC-34/SC-2 have been incorporated in the following table. Other head and body tissue parameters that have not been specified in IEEE-1528 are derived from the tissue dielectric parameters computed from the 4-Cole-Cole equations The mixture characterizations used for the brain and muscle tissue simulating liquids are according to the data by C. Gabriel and G. Hartsgrove [13].(See Table 6-1)



#### **Table 6-1**  Composition of the Brain & Muscle Tissue Equivalent Matter

 $^{\rm a}$ The formulas containing Triton X-100 and corresponding measured parameters are under review and verification



## **7** DOSIMETRIC ASSESSMENT & PHANTOM SPECS

#### **7.1 Measurement Procedure**

The evaluation was performed using the following procedure:

- 1. The SAR measurement was taken at a selected spatial reference point to monitor power variations during testing. This fixed point was measured and used as a reference value.
- 2. The SAR distribution at the exposed side of the head was measured at a distance of 3.0mm from the inner surface of the shell. The area covered the entire dimension of the head and the horizontal grid spacing was 15mm x 15mm.
- 3. Based on the area scan data, the area of the maximum absorption was determined by spline interpolation. Around this point, a volume of 32mm x 32mm x 30mm (fine resolution volume scan, zoom scan) was assessed by measuring 5 x 5 x 7



**Figure 7-1 Sample SAR Area Scan**

points. On this basis of this data set, the spatial peak SAR value was evaluated with the following procedure (see Figure 7-1):

- a. The data at the surface was extrapolated, since the center of the dipoles is 2.7mm away from the tip of the probe and the distance between the surface and the lowest measuring point is 1.2mm. The extrapolation was based on a least square algorithm [15]. A polynomial of the fourth order was calculated through the points in z-axes. This polynomial was then used to evaluate the points between the surface and the probe tip.
- b. The maximum interpolated value was searched with a straight-forward algorithm. Around this maximum the SAR values averaged over the spatial volumes (1g or 10g) were computed using the 3D-Spline interpolation algorithm. The 3D-spline is composed of three one-dimensional splines with the "Not a knot" condition (in x, y, and z directions) [15][16]. The volume was integrated with the trapezoidal algorithm. One thousand points (10 x 10 x 10) were interpolated to calculate the average.
- c. All neighboring volumes were evaluated until no neighboring volume with a higher average value was found.
- 4. The SAR reference value, at the same location as step 1, was re-measured. If the value changed by more than 5%, the evaluation is repeated.

#### **7.2 Specific Anthropomorphic Mannequin (SAM) Specifications**

The phantom for handset SAR assessment testing is a low-loss dielectric shell, with shape and dimensions derived from the anthropometric data of the 90th percentile adult male head dimensions as tabulated by the US Army. The SAM Twin Phantom shell is bisected along the mid-sagittal plane into right and left halves (see Figure 7-2). The perimeter sidewalls of each phantom halves are extended to allow filling with liquid to a depth that is sufficient to minimized reflections from the upper surface. The liquid depth is maintained at a minimum depth of 15cm to minimize reflections from the upper surface.



**SAM Twin Phantom Shell** 



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## **8 DEFINITION OF REFERENCE POINTS**

#### **8.1 EAR REFERENCE POINT**

Figure 8-1 shows the front, back and side views of the SAM Twin Phantom. The point "M" is the reference point for the center of the mouth, "LE" is the left ear reference point (ERP), and "RE" is the right ERP. The ERP is 15mm posterior to the entrance to the ear canal (EEC) along the B-M line (Back-Mouth), as shown in Figure 8-1. The plane passing through the two ear canals and M is defined as the Reference Plane. The line N-F (Neck-Front) is perpendicular to the reference plane and passing through the RE (or LE) is called the Reference Pivoting Line (see Figure 8-2). Line B-M is perpendicular to the N-F line. Both N-F and B-M lines are marked on the external phantom shell to facilitate handset positioning [5].



#### **Figure 8-1 Close-Up Side view of ERP**

#### **8.2 HANDSET REFERENCE POINTS**

Two imaginary lines on the handset were established: the vertical centerline and the horizontal line. The test device was placed in a normal operating position with the "test device reference point" located along the "vertical centerline" on the front of the device aligned to the "ear reference point" (See Figure 8-3). The "test device reference point" was than located at the same level as the center of the ear reference point. The test device was positioned so that the "vertical centerline" was bisecting the front surface of the handset at it's top and bottom edges, positioning the "ear reference point" on the outer surface of the both the left and right head phantoms on the ear reference point.



**Figure 8-2 Front, back and side view of SAM Twin Phantom** 



**Figure 8-3 Handset Vertical Center & Horizontal Line Reference Points** 



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## **9 TEST CONFIGURATION POSITIONS**

#### **9.1 Positioning for Cheek/Touch**

1. The test device was positioned with the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom (see Figure 9-1), such that the plane defined by the vertical center line and the horizontal line of the phone is approximately parallel to the sagittal plane of the phantom.



**Figure 9-1 Front, Side and Top View of Cheek/Touch Position** 

- 2. The handset was translated towards the phantom along the line passing through RE & LE until the handset touches the ear.
- 3. While maintaining the handset in this plane, the handset was rotated around the LE-RE line until the vertical centerline was in the plane normal to MB-NF including the line MB (reference plane).
- 4. The phone was hen rotated around the vertical centerline until the phone (horizontal line) was symmetrical was respect to the line NF.
- 5. While maintaining the vertical centerline in the reference plane, keeping point A on the line passing through RE and LE, and maintaining the phone contact with the ear, the handset was rotated about the line NF until any point on the handset made contact with a phantom point below the ear (cheek). See Figure 9-2)

#### **9.2 Positioning for Ear / 15º Tilt**

With the test device aligned in the "Cheek/Touch Position":

- 1. While maintaining the orientation of the phone, the phone was retracted parallel to the reference plane far enough to enable a rotation of the phone by 15degree.
- 2. The phone was then rotated around the horizontal line by 15 degree.
- 3. While maintaining the orientation of the phone, the phone was moved parallel to the reference plane until any part of the phone touches the head. (In this position, point A was located on the line RE-LE). The tilted position is obtained when the contact is on the pinna. If the contact was at any location other than the pinna, the angle of the phone would then be reduced. The tilted position was obtained when any part of the phone was in contact of the ear as well as a second part of the phone was in contact with the head (see Figure 9-3).



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**Figure 9-2 Front, Side and Top View of Ear/15º Tilt Position** 



**Figure 9-3 Side view w/ relevant markings** 



**Figure 9-4 Body SAR Sample Photo (Not Actual EUT)** 

#### **9.3 SAR Evaluations near the Mouth/Jaw Regions of the SAM Phantom**

Antennas located near the bottom of a phone may require SAR measurements around the mouth and jaw regions of the SAM head phantom. This typically applies to clam-shell style phones that are generally longer in the unfolded normal use positions or to certain older style long rectangular phones. It has been known for some time that there are SAR measurement difficulties in these regions of the SAM phantom. SAR probes are calibrated in tissue equivalent liquids with sufficient separation between the probe sensors and nearby physical boundaries to ensure scattering does not affect probe calibration. When the probe tip is moved into tight regions with multiple boundaries surrounding its sensors, probe calibration and measurement accuracy can become questionable. In addition, these measurement locations often require a probe to be tilted at steep angles, where it may no longer comply with calibration requirements and measurement protocols, or satisfy the required measurement uncertainty. In some situations it is not feasible to tilt the probe or rotate the phantom, as suggested by measurement standards, to conduct these measurements.



**Figure 9-5 SAR Scans near the Jaw/Mouth** 

In order to ensure there is sufficient conservativeness for ensuring compliance until practical solutions are available, additional measurement considerations are necessary to address these technical difficulties. When measurements are required near the mouth, nose, jaw or similar tight regions of the SAM phantom,



area or zoom scans are often unable to fully enclose the peak SAR location as required by IEEE 1528 and Supplement C, due to probe orientation and positioning difficulties. Even when limited measurements are possible, the test results could be questionable due to probe calibration and measurement uncertainty issues. Under these circumstances, the following procedures apply, adopted from the FCC guidance on SAR handsets document publication 648474. The SAR required in these regions of SAM should be measured using a flat phantom. **Rectangular shaped phones** should be positioned with its bottom edge positioned from the flat phantom with the same distance provided by the cheek touching position using SAM. The ear reference point (ERP, as defined for SAM) of the phone should be positioned  $\frac{1}{2}$  cm from the flat phantom shell. **Clam-shell phones** should be positioned with the hinge against a smooth edge of the flat phantom where the upper half of the phone is unfolded and extended beyond the phantom side wall. The lower half of the phone is secured in the test device holder at a fixed distance below the flat phantom determined by the minimum separation along the lower edge of the phone in the cheek touching position using SAM. Any case with substantial variation in separation distance along the lower edge of a clam shell is discussed with the FCC for best-to-use methodology.

The flat phantom data should allow test results to be compared uniformly across measurement systems, until suitable solutions are available in measurement standards to address certain probe calibration and positioning issues, due to implementation differences between horizontal and upright SAM configurations. These flat phantom procedures are only applicable for stand-alone SAR evaluation in tight regions of the SAM phantom, where measurement is not feasible or test results can be questionable due to probe calibration and accessibility issues. Details on device positioning and photos showing how separation distances are determined are included in the SAR report Photographs. SAR for other regions of the head must be evaluated using SAM; therefore, a phone with antennas at different locations may require flat and SAM phantom evaluation for the different antennas.

#### **9.4 Body Holster /Belt Clip Configurations**

Body-worn operating configurations are tested with the belt-clips and holsters attached to the device and positioned against a flat phantom in a normal use configuration (see Figure 9-5). A device with a headset output is tested with a headset connected to the device.

Accessories for Body-worn operation configurations are divided into two categories: those that do not contain metallic components and those that do contain metallic components. When multiple accessories that do not contain metallic components are supplied with the device, the device is tested with only the accessory that dictates the closest spacing to the body. Then multiple accessories that contain metallic components are tested with the device with each accessory. If multiple accessories share an identical metallic component (i.e. the same metallic belt-clip used with different holsters with no other metallic components) only the accessory that dictates the closest spacing to the body is tested.

Body-worn accessories may not always be supplied or available as options for some devices intended to be authorized for body-worn use. In this case, a test configuration with a separation distance between the back of the device and the flat phantom is used. Test position spacing was documented. Transmitters that are designed to operate in front of a person's face, as in push-to-talk configurations, are tested for SAR compliance with the front of the device positioned to face the flat phantom in brain fluid. For devices that are carried next to the body such as a shoulder, waist or chest-worn transmitters, SAR compliance is tested with the accessories, including headsets and microphones, attached to the device and positioned against a flat phantom in a normal use configuration.



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## **10 RF EXPOSURE LIMITS**

#### **10.1 Uncontrolled Environment**

UNCONTROLLED ENVIRONMENTS are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

#### **10.2 Controlled Environment**

CONTROLLED ENVIRONMENTS are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. This exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

HUMAN EXPOSURE LIMITS							
	<b>UNCONTROLLED</b> <b>ENVIRONMENT</b>	CONTROLLED <b>ENVIRONMENT</b>					
	General Population (W/kg) or (mW/g)	Occupational (W/kg) or (mW/g)					
SPATIAL PEAK SAR <b>Brain</b>	1.6	8.0					
SPATIAL AVERAGE SAR Whole Body	0.08	0.4					
ISPATIAL PEAK SAR Hands, Feet, Ankles, Wrists	4.0	20					

**Table 10-1 SAR Human Exposure Specified in ANSI/IEEE C95.1-1992 and Health Canada Safety Code 6** 

1 The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

2 The Spatial Average value of the SAR averaged over the whole body.

3 The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.







The above measurement uncertainties are according to IEEE Std. 1528-2003



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# **12** SYSTEM VERIFICATION

#### **12.1 Tissue Verification**

<b>Calibrated</b> Date:	Tissue Type	<b>Measured</b> <b>Frequency</b> (MHz)	<b>Measured</b> Conductivity, $\sigma$ (S/m)	<b>Measured</b> <b>Dielectric</b> Constant, ε	<b>TARGET</b> Conductivity, $\sigma$ (S/m)	<b>TARGET</b> <b>Dielectric</b> Constant, $\epsilon$	$%$ dev $\sigma$	$%$ dev $\epsilon$
10/19/2009 835H	820	0.902	41.87	0.90	41.50	0.22%	0.89%	
		835	0.917	41.68	0.90	41.50	1.89%	0.43%
	850	0.930	41.51	0.90	41.50	3.33%	0.02%	
10/19/2009 835M		820	0.975	53.99	0.97	55.20	0.52%	$-2.19%$
		835	0.989	53.83	0.97	55.20	1.96%	$-2.48%$
		850	1.003	53.70	0.97	55.20	3.40%	$-2.72%$

**Table 12-1 Measured Tissue Properties** 

Note: KDB 450824 was ensured to be applied for probe calibration frequencies greater than or equal to 50 MHz of the DUT frequencies.

The above measured tissue parameters were used in the DASY software to perform interpolation via the DASY software to determine actual dielectric parameters at the test frequencies (per IEEE 1528 6.6.1.2).

#### **12.2 Measurement Procedure for Tissue verification**

- 1) The network analyzer and probe system was configured and calibrated.
- 2) The probe was immersed in the sample which was placed in a nonmetallic container. Trapped air bubbles beneath the flange were minimized by placing the probe at a slight angle.
- 3) The complex admittance with respect to the probe aperture was measured
- 4) The complex relative permittivity , for example from the below equation (Pournaropoulos and Misra):

$$
Y = \frac{j2\omega \varepsilon_r' \varepsilon_0}{\left[\ln(b/a)\right]^2} \int_a^b \int_a^b \int_0^{\pi} \cos\phi' \frac{\exp[-j\omega r(\mu_0 \varepsilon_r' \varepsilon_0)^{1/2}]}{r} d\phi' d\rho' d\rho
$$

where Y is the admittance of the probe in contact with the sample, the primed and unprimed coordinates refer to source and observation points, respectively,  $r^2 = \rho^2 + \rho'^2 - 2\rho\rho' \cos\phi'$ ,  $\omega$  is the angular frequency, and  $j = \sqrt{-1}$ .



#### **12.3 Test System Verification**

Prior to assessment, the system is verified to  $\pm 10\%$  of the manufacturer SAR result on the reference dipole at the time of calibration, by using the below system validation kit(s).

<b>System Verification</b> <b>TARGET &amp; MEASURED</b>									
Date:	Amb. Temp (°C)	Liguid Temp (℃)	Input <b>Power</b> (W)	<b>Tissue</b> <b>Frequency</b> (MHz)	<b>Dipole</b> <b>SN</b>	<b>Tissue</b> Type	<b>Targeted</b> SAR <sub>1q</sub> (mW)	<b>Measured</b> SAR <sub>1g</sub> (mW)	<b>Deviation</b> (%)
10/21/2009	24.2	22.3	0.100	835	4d047	<b>Brain</b>	0.97	0.996	2.68%
10/22/2009	24.3	22.5	0.100	835	4d047	<b>Brain</b>	0.97	0.989	1.96%

**Table 12-2 System Verification Results** 



**Figure 12-1 System Verification Setup Diagram** 



**Figure 12-2 System Verification Setup Photo** 



## **13 • FCC MULTI-TX AND ANTENNA SAR CONSIDERATIONS**

#### **13.1 Introduction**

The following procedures adopted from "FCC SAR Considerations for Cell Phones with Multiple Transmitters" v01r03 from May 2008 are applicable to handsets with built-in unlicensed transmitters such as 802.11a/b/g and Bluetooth devices which may simultaneously transmit with the licensed transmitter.

#### **13.2 FCC Power Tables & Conditions**

	2.45	$5.15 - 5.35$	$5.47 - 5.85$	GHz			
Device output power should be rounded to the nearest mW to compare with values specified in this table.							

**Figure 13-1 Output Power Thresholds for Unlicensed Transmitters**



**Figure 13-2** 

**SAR Evaluation Requirements for Multiple Transmitter Handsets** 

#### **13.3 Multiple Antenna/Transmission Information for CDMA SOY03**

Separation Distance of Antennas is 0.13 cm for Bluetooth. RF Conducted Power of Bluetooth Tx is 6.531 Mw.

#### **13.4 Conclusion**

Based on the output power, antenna separation distance and the Body SAR of the dominant transmitter, a stand-alone BT SAR test is not required.

The summation of BT SAR and Licensed Transmitter SAR is 0.74 W/kg + 0 W/kg = 0.74 W/kg, which is less than 1.6 W/kg, therefore, a simultaneous SAR evaluation is not required.



## 14 FCC 3G MEASUREMENT PROCEDURES

Power measurements were performed using a base station simulator under digital average power.

#### **14.1 Procedures Used to Establish RF Signal for SAR**

The device was placed into a simulated call using a base station simulator in a shielded chamber. Such test signals offer a consistent means for testing SAR and are recommended for evaluating SAR [4]. SAR measurements were taken with a fully charged battery. In order to verify that the device was tested and maintained at full power, it was configured with the base station simulator. The SAR measurement software calculates a reference point at the start and end of the test to check for power drifts. If conducted power deviations of more than 5% occurred, the tests were repeated.

#### **14.2 SAR Measurement Conditions for CDMA2000**

The following procedures were followed according to FCC "SAR Measurement Procedures for 3G Devices" v02, October 2007.

#### **14.2.1 Output Power Verification**

See 3GPP2 C.S0011/TIA-98-E as recommended by "SAR Measurement Procedures for 3G Devices" v02, October 2007. Maximum output power is verified on the High, Middle and Low channels according to procedures in section 4.4.5.2 of 3GPP2 C.S0011/TIA-98-E. SO55 tests were measured with power control bits in "All Up" condition. .

- 1. If the mobile station (MS) supports Reverse TCH RC 1 and Forward TCH RC 1, set up a call using Fundamental Channel Test Mode 1 (RC=1/1) with 9600 bps data rate only.
- 2. Under RC1, C.S0011 Table 4.4.5.2-1, Table 13-1 parameters were applied.
- 3. If the MS supports the RC 3 Reverse FCH, RC3 Reverse SCH0 and demodulation of RC 3,4, or 5, set up a call using Supplemental Channel Test Mode 3 (RC 3/3) with 9600 bps Fundamental Channel and 9600 bps SCH0 data rate.
- 4. Under RC3, C.S0011 Table 4.4.5.2-2, Table 13-2 was applied.
- 5. FCHs were configured at full rate for maximum SAR with "All Up" power control bits.



**Table 14-1**







#### **14.2.2 Head SAR Measurements**

SAR for head exposure configurations is measured in RC3 with the DUT configured to transmit at full rate using Loopback Service Option SO55. SAR for RC1 is not required when the maximum average output of each channel is less than ¼ dB higher than that measured in RC3. Otherwise, SAR is measured on the maximum output channel in RC1 using the exposure configuration that results in the highest SAR for that channel in RC3.



#### **14.2.3 Body SAR Measurements**

SAR for body exposure configurations is measured in RC3 with the DUT configured to transmit at full rate on FCH with all other code channels disabled using TDSO / SO32. SAR for multiple code channels (FCH +  $SCH<sub>n</sub>$ ) is not required when the maximum average output of each RF channel is less than ¼ dB higher than that measured with FCH only. Otherwise, SAR is measured on the maximum output channel (FCH + SCH<sub>n</sub>) with FCH at full rate and SCH<sub>0</sub> enabled at 9600 bps using the exposure configuration that results in the highest SAR for that channel with FCH only. When multiple code channels are enabled, the DUT output may shift by more than 0.5 dB and lead to higher SAR drifts and SCH dropouts. Body SAR was measured using TDSO / SO32 with power control bits in the "All Up"

Body SAR in RC1 is not required when the maximum average output of each channel is less than ¼ dB higher than that measured in RC3. Otherwise, SAR is measured on the maximum output channel in RC1; with Loopback Service Option SO55, at full rate, using the body exposure configuration that results in the highest SAR for that channel in RC3.



#### **14.3 RF Conducted Powers:**



**Figure 14-1 Power Measurement Setup** 



## **15 SAR DATA SUMMARY**

#### **15.1 Cell. CDMA Head SAR Results**



Notes:

- 1. The test data reported are the worst-case SAR value with the position set in a typical configuration. Test procedures used are according to FCC/OET Bulletin 65, Supplement C [July 2001].
- 2. All modes of operation were investigated, and worst-case results are reported.
- 3. Batteries are fully charged for all readings.
- 4. Tissue parameters and temperatures are listed on the SAR plots.
- 5. Liquid tissue depth is 15.1 cm.  $\pm$  0.1.
- 6. Justification for reduced test configurations: Per FCC/OET Bulletin 65 Supplement C (July, 2001) and Public Notice DA-02-1438, if the SAR measured at the middle channel for each test configuration (left, right, cheek/touch, tilt/ear, extended and retracted) is at least 3.0 dB lower than the SAR limit, testing at the high and low channels is optional for such test configuration(s).
- 7. CDMA2000 mode was tested under RC3/SO55



#### **15.2 Body SAR Results**



Notes:

- 1. The test data reported are the worst-case SAR value with the position set in a typical configuration. Test procedures used are according to FCC/OET Bulletin 65, Supplement C [July 2001].
- 2. All modes of operation were investigated, and worst-case results are reported.
- 3. Tissue parameters and temperatures are listed on the SAR plots.
- 4. Batteries are fully charged for all readings.
- 5. Liquid tissue depth is  $15.1$  cm.  $\pm$  0.1.
- 6. Device was tested using a fixed spacing.
- 7. Body SAR was tested under RC3/SO32 and RC3/SO55



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# **16 EQUIPMENT LIST**



#### **Notes:**

The E-field probe was calibrated by SPEAG, by the waveguide technique procedure. Dipole Validation measurement is performed by PCTEST prior to SAR evaluation. The brain simulating material is calibrated by PCTEST using the dielectric probe system and network analyzer to determine the conductivity and permittivity (dielectric constant) of the brain-equivalent material.



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## **17 CONCLUSION**

#### **17.1 Measurement Conclusion**

The SAR evaluation indicates that the EUT complies with the RF radiation exposure limits of the FCC and Industry Canada, with respect to all parameters subject to this test. These measurements were taken to simulate the RF effects of RF exposure under worst-case conditions. Precise laboratory measures were taken to assure repeatability of the tests. The results and statements relate only to the item(s) tested.

Please note that the absorption and distribution of electromagnetic energy in the body are very complex phenomena that depend on the mass, shape, and size of the body, the orientation of the body with respect to the field vectors, and the electrical properties of both the body and the environment. Other variables that may play a substantial role in possible biological effects are those that characterize the environment (e.g. ambient temperature, air velocity, relative humidity, and body insulation) and those that characterize the individual (e.g. age, gender, activity level, debilitation, or disease). Because various factors may interact with one another to vary the specific biological outcome of an exposure to electromagnetic fields, any protection guide should consider maximal amplification of biological effects as a result of field-body interactions, environmental conditions, and physiological variables. [3]



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# **APPENDIX A: SAR TEST DATA**

#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used (interpolated): f = 824.7 MHz; σ = 0.907 mho/m; ε<sub>r</sub> = 41.8; ρ = 1000 kg/m<sup>3</sup> Phantom section: Right Section

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Right Head, Touch, Low.ch, Standard Battery**

**Area Scan (7x17x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value  $= 6.37$  V/m Peak SAR (extrapolated) =  $0.636$  W/kg **SAR(1 g) = 0.460 mW/g; SAR(10 g) = 0.321 mW/g**



#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used (interpolated): f = 824.7 MHz; σ = 0.907 mho/m; ε<sub>r</sub> = 41.8; ρ = 1000 kg/m<sup>3</sup> Phantom section: Right Section

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Right Head, Tilt, Low.ch, Standard Battery**

**Area Scan (7x17x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 7.84 V/m Peak SAR (extrapolated) =  $0.186$  W/kg **SAR(1 g) = 0.148 mW/g; SAR(10 g) = 0.112 mW/g**



 $0 dB = 0.164$ mW/g

#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used (interpolated): f = 836.52 MHz; σ = 0.918 mho/m;  $\varepsilon$ <sub>r</sub> = 41.7; ρ = 1000 kg/m<sup>3</sup> Phantom section: Left Section

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Left Head, Touch, Low.ch, Standard Battery**

**Area Scan (7x17x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 5.79 V/m Peak SAR (extrapolated) =  $0.578$  W/kg **SAR(1 g) = 0.419 mW/g; SAR(10 g) = 0.302 mW/g**



 $0 dB = 0.472mW/g$ 

#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 836.52 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used (interpolated): f = 836.52 MHz; σ = 0.918 mho/m;  $\varepsilon$ <sub>r</sub> = 41.7; ρ = 1000 kg/m<sup>3</sup> Phantom section: Left Section

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Left Head, Tilt, Mid.ch, Standard Battery**

**Area Scan (7x17x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value =  $8.26$  V/m Peak SAR (extrapolated) =  $0.181$  W/kg **SAR(1 g) = 0.145 mW/g; SAR(10 g) = 0.109 mW/g**



 $0 dB = 0.159$ mW/g

#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Muscle; Medium parameters used (interpolated): f = 836.52 MHz; σ = 0.99 mho/m; ε<sub>r</sub> = 53.8; ρ = 1000 kg/m<sup>3</sup> Phantom section: Flat Section; Space: 1.5 cm

Test Date: 10-21-2009; Ambient Temp: 24.5 °C; Tissue Temp: 22.7°C

 Probe: ES3DV3 - SN3213; ConvF(5.92, 5.92, 5.92); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Sub; Type: SAM 4.0; Serial: TP-1357 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Body SAR, Back side, Low ch, Standard Battery**

**Area Scan (7x11x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 29.1 V/m Peak SAR (extrapolated) =  $1.00$  W/kg **SAR(1 g) = 0.737 mW/g; SAR(10 g) = 0.524 mW/g**



#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used (interpolated): f = 824.7 MHz; σ = 0.907 mho/m; ε<sub>r</sub> = 41.8; ρ = 1000 kg/m<sup>3</sup> Phantom section: Right Section

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Right Head, Touch, Low.ch, Standard Battery**

**Area Scan (7x17x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value  $= 6.37$  V/m Peak SAR (extrapolated) =  $0.636$  W/kg **SAR(1 g) = 0.460 mW/g; SAR(10 g) = 0.321 mW/g**



#### **DUT: CDMA SOY03; Type: Cellular CDMA Phone with Bluetooth; Serial: SSOFL001061 66**

Communication System: Cellular CDMA; Frequency: 824.7 MHz;Duty Cycle: 1:1 Medium: 835 Muscle; Medium parameters used (interpolated): f = 824.7 MHz; σ = 0.979 mho/m; ε<sub>r</sub> = 53.9; ρ = 1000 kg/m<sup>3</sup> Phantom section: Flat Section; Space: 1.5 cm

Test Date: 10-21-2009; Ambient Temp: 24.5 °C; Tissue Temp: 22.7°C

 Probe: ES3DV3 - SN3213; ConvF(5.92, 5.92, 5.92); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Sub; Type: SAM 4.0; Serial: TP-1357 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **Mode: Cellular CDMA, Body SAR, Back side, Low.ch, Standard Battery**

**Area Scan (7x11x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Reference Value = 29.1 V/m Peak SAR (extrapolated) =  $1.00$  W/kg **SAR(1 g) = 0.737 mW/g; SAR(10 g) = 0.524 mW/g**



# **APPENDIX B: DIPOLE VALIDATION**

#### **DUT: 835MHz SAR Validation Dipole; Type: D835V2; Serial: 4d047**

Communication System: CW; Frequency: 835 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used: f = 835 MHz; σ = 0.917 mho/m; ε<sub>r</sub> = 41.7; ρ = 1000 kg/m<sup>3</sup> Phantom section: Flat Section; Space: 1.5 cm

Test Date: 10-21-2009; Ambient Temp: 24.2 °C; Tissue Temp: 22.3 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **835MHz SAR Dipole Validation**

**Area Scan (7x13x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Input Power =  $20.0$  dBm (100 mW) **SAR(1 g) = 0.996 mW/g; SAR(10 g) = 0.649 mW/g** Deviation =  $2.68\%$ 



#### **DUT: 835MHz SAR Validation Dipole; Type: D835V2; Serial: 4d047**

Communication System: CW; Frequency: 835 MHz;Duty Cycle: 1:1 Medium: 835 Brain; Medium parameters used: f = 835 MHz; σ = 0.917 mho/m; ε<sub>r</sub> = 41.7; ρ = 1000 kg/m<sup>3</sup> Phantom section: Flat Section; Space: 1.5 cm

Test Date: 10-22-2009; Ambient Temp: 24.3 °C; Tissue Temp: 22.5 °C

 Probe: ES3DV3 - SN3213; ConvF(5.94, 5.94, 5.94); Calibrated: 4/15/2009 Sensor-Surface: 3mm (Mechanical Surface Detection) Electronics: DAE4 Sn649; Calibrated: 1/21/2009 Phantom: SAM Main; Type: SAM 4.0; Serial: TP-1114 Measurement SW: DASY4, V4.7 Build 71; Postprocessing SW: SEMCAD, V1.8 Build 172

#### **835MHz SAR Dipole Validation**

**Area Scan (7x13x1):** Measurement grid: dx=15mm, dy=15mm **Zoom Scan (5x5x7)/Cube 0:** Measurement grid: dx=8mm, dy=8mm, dz=5mm Input Power =  $20.0$  dBm (100 mW) **SAR(1 g) = 0.989 mW/g; SAR(10 g) = 0.641 mW/g** Deviation =  $1.96\%$ 



 $0 dB = 1.16$ mW/g

# **APPENDIX C: PROBE CALIBRATION**

#### **Calibration Laboratory of** Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland





S

Accreditation No.: SCS 108

Schweizerischer Kalibrierdienst S Service suisse d'étalonnage  $\mathbf C$ 

Servizio svizzero di taratura

**Swiss Calibration Service** 

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA

Multilateral Agreement for the recognition of calibration certificates **PC Test** *CONTRACTER* Certificate No: ES3-3213\_Apr09





This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)



**Calibration Laboratory of** Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst S

- Service suisse d'étalonnage C
- Servizio svizzero di taratura S
	- **Swiss Calibration Service**

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

#### Glossary:



#### **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### **Methods Applied and Interpretation of Parameters:**

- NORMx, y, z: Assessed for E-field polarization  $9 = 0$  ( $f \le 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- $NORM(f)x, y, z = NORMx, y, z * frequency response$  (see Frequency Response Chart). This  $\bullet$ linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx.v.z: DCP are numerical linearization parameters assessed based on the data of  $\bullet$ power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or  $\bullet$ Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx, y, z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm$  50 MHz to  $\pm$  100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a  $\bullet$ flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

Certificate No: ES3-3213 Apr09

April 15, 2009

# Probe ES3DV3

# SN:3213

Manufactured: Calibrated:

October 14, 2008 April 15, 2009

**Calibrated for DASY Systems** 

(Note: non-compatible with DASY2 system!)

# DASY - Parameters of Probe: ES3DV3 SN:3213



Sensitivity in Tissue Simulating Liquid (Conversion Factors)

Please see Page 8.

#### **Boundary Effect**



The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of NormX, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 8).

<sup>8</sup> Numerical linearization parameter: uncertainty not required.



(TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



# Receiving Pattern ( $\phi$ ),  $\theta = 0^{\circ}$



Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



# **Dynamic Range f(SAR**<sub>head</sub>)

(Waveguide R22, f = 1800 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



# **Conversion Factor Assessment**





<sup>c</sup> The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

# Deviation from Isotropy in HSL

Error ( $\phi$ ,  $\theta$ ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment: ± 2.6% (k=2)