

### SAR EVALUATION REPORT

### **CLASS II PERMISSIVE CHANGE**

FCC 47 CFR § 2.1093 IEEE Std 1528-2013

*For* GSM/WCDMA/LTE Phone with BT, DTS/UNII a/b/g/n/ac & NFC

FCC ID: PY7-PM0903

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Prepared for SONY MOBILE COMMUNICATIONS INC. 4-12-3 HIGASHI-SHINAGAWA SHINAGAWA-KU,TOKYO, 140-0002, JAPAN

> Prepared by UL VERIFICATION SERVICES INC. 47173 BENICIA STREET FREMONT, CA 94538, U.S.A. TEL: (510) 771-1000 FAX: (510) 661-0888

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#### **Revision History**

Rev.	Date	Revisions	Revised By	
V1	6/6/2016	Initial Issue		
V2	6/8/2016	Section 6.2: Changed LTE to Release 10	Coltyce Sanders	

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# 1. Attestation of Test Results

Applicant Name	SONY MOBILE COMMUNICATIONS INC.				
FCC ID	PY7-PM0903				
Applicable Standards	FCC 47 CFR § 2.1093 Published RF exposure KDB procedures IEEE Std 1528-2013				
		SAR Limi	its (W/Kg)		
Exposure Category	Peak spatial-average(1g of tissue)		Extremities (hands, wrists, ankles, etc.) (10g of tissue)		
General population / Uncontrolled exposure	1.6		4		
PE Exposure Conditions	Equipment Class - Highest Reported SAR (W/kg)				
RF Exposure Conditions	PCE	DTS	NII	DSS	
Head	0.426	0.535	0.388		
Body-worn*	0.429 0.048		0.038	NI/A	
Hotspot/Wi-Fi Direct	0.492 0.091		N/A		
Simultaneous TX	1.130		1.014		
Date Tested	5/31/2016 to 6/2/2016				
Test Results	Pass				

**Note:** The proposed CIIPC to add LTE band 26 is via software and does not affect previously reported values for other modes. The SAR measurement results from the original filing can be found in FCC SAR report FA571615. This report only contains the SAR values for the added LTE Band. Please refer to the original filling for the highest SAR values. The Wi-Fi and BT results from the original filling have been used in this report for simultaneous transmission analysis. The Wi-Fi and BT results from the original filling are listed above.

UL Verification Services Inc. tested the above equipment in accordance with the requirements set forth in the above standards. All indications of Pass/Fail in this report are opinions expressed by UL Verification Services Inc. based on interpretations and/or observations of test results. Measurement Uncertainties were not taken into account and are published for informational purposes only. The test results show that the equipment tested is capable of demonstrating compliance with the requirements as documented in this report.

**Note:** The results documented in this report apply only to the tested sample, under the conditions and modes of operation as described herein. This document may not be altered or revised in any way unless done so by UL Verification Services Inc. and all revisions are duly noted in the revisions section. Any alteration of this document not carried out by UL Verification Services Inc. will constitute fraud and shall nullify the document. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST, any agency of the Federal Government, or any agency of any government (NIST Handbook 150, Annex A). This report is written to support regulatory compliance of the applicable standards stated above.

Approved & Released By:	Prepared By:	
JenCurg	AS Vanue	
Devin Chang	AJ Newcomer	
Senior Engineer	Laboratory Engineer	
UL Verification Services Inc.	UL Verification Services Inc.	

# 2. Test Specification, Methods and Procedures

The tests documented in this report were performed in accordance with FCC 47 CFR § 2.1093, IEEE STD 1528-2013, the following FCC Published RF exposure <u>KDB</u> procedures:

- o 447498 D01 General RF Exposure Guidance v06
- o 447498 D03 Supplement C Cross-Reference v01
- o 648474 D04 Handset SAR v01r03
- o 690783 D01 SAR Listings on Grants v01r03
- 865664 D01 SAR measurement 100 MHz to 6 GHz v01r04
- 865664 D02 RF Exposure Reporting v01r02
- o 941225 D05 SAR for LTE Devices v02r05
- o 941225 D05A LTE Rel.10 KDB Inquiry Sheet v01r02
- 941225 D06 Hotspot Mode v02r01

# 3. Facilities and Accreditation

The test sites and measurement facilities used to collect data are located at

47173 Benicia Street	47266 Benicia Street	
SAR Lab A	SAR Lab 1	
SAR Lab B	SAR Lab 2	
SAR Lab C	SAR Lab 3	
SAR Lab D	SAR Lab 4	
SAR Lab E		
SAR Lab F		
SAR Lab G		
SAR Lab H		

UL Verification Services Inc. is accredited by NVLAP, Laboratory Code 200065-0.

# 4. SAR Measurement System & Test Equipment

### 4.1. SAR Measurement System

The DASY5 system used for performing compliance tests consists of the following items:



- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, ADconversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.

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### 4.2. SAR Scan Procedures

#### **Step 1: Power Reference Measurement**

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. The minimum distance of probe sensors to surface is 2.1 mm. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

#### Step 2: Area Scan

The Area Scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum locations even in relatively coarse grids. When an Area Scan has measured all reachable points, it computes the field maximal found in the scanned area, within a range of the global maximum. The range (in dB) is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE Standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan). If only one Zoom Scan follows the Area Scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of Zoom Scans has to be increased accordingly.

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	$\leq$ 3 GHz	> 3 GHz	
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	$5 \pm 1 \text{ mm}$	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5 \text{ mm}$	
Maximum probe angle from probe axis to phantom surface normal at the measurement location	$30^{\circ} \pm 1^{\circ}$ $20^{\circ} \pm 1^{\circ}$		
	$\leq$ 2 GHz: $\leq$ 15 mm 2 - 3 GHz: $\leq$ 12 mm	$\begin{array}{l} 3-4 \ \mathrm{GHz:} \leq 12 \ \mathrm{mm} \\ 4-6 \ \mathrm{GHz:} \leq 10 \ \mathrm{mm} \end{array}$	
Maximum area scan spatial resolution: $\Delta x_{Area}$ , $\Delta y_{Area}$	When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device.		

#### Step 3: Zoom Scan

Zoom Scans are used to assess the peak spatial SAR values within a cubic averaging volume containing 1 g and 10 g of simulated tissue. The Zoom Scan measures points (refer to table below) within a cube whose base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the Zoom Scan evaluates the averaged SAR for 1 g and 10 g and displays these values next to the job's label.

Zoom Scan Parameters extracted from KDI	3 865664 D01 SAR M	leasurement 100 MHz to 6 GHz
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			$\leq$ 3 GHz	GHz > 3 GHz		
Maximum zoom scan spatial resolution: $\Delta x_{Zoom}$ , $\Delta y_{Zoom}$			$\leq 2$ GHz: $\leq 8$ mm 2 - 3 GHz: $\leq 5$ mm <sup>*</sup>	$3 - 4 \text{ GHz:} \le 5 \text{ mm}^*$ $4 - 6 \text{ GHz:} \le 4 \text{ mm}^*$		
	uniform grid: $\Delta z_{Zoom}(n)$		$\leq$ 5 mm	$3 - 4$ GHz: $\leq 4$ mm $4 - 5$ GHz: $\leq 3$ mm $5 - 6$ GHz: $\leq 2$ mm		
Maximum zoom scan spatial resolution, normal to phantom	zoom scan olution, ohantom graded grid	$\Delta z_{Zoom}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface	$\leq$ 4 mm	$3 - 4$ GHz: $\leq 3$ mm $4 - 5$ GHz: $\leq 2.5$ mm $5 - 6$ GHz: $\leq 2$ mm		
		∆z <sub>Zoom</sub> (n>1): between subsequent points	$\leq 1.5 \cdot \Delta z_{\text{Zoom}}(n-1)$			
Minimum zoom scan volume x, y, z		≥ 30 mm	$3 - 4 \text{ GHz}: \ge 28 \text{ mm}$ $4 - 5 \text{ GHz}: \ge 25 \text{ mm}$ $5 - 6 \text{ GHz}: \ge 22 \text{ mm}$			

Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.

When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is  $\leq$  1.4 W/kg,  $\leq$  8 mm,  $\leq$  7 mm and  $\leq$  5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.

#### Step 4: Power drift measurement

The Power Drift Measurement measures the field at the same location as the most recent power reference measurement within the same procedure, and with the same settings. The Power Drift Measurement gives the field difference in dB from the reading conducted within the last Power Reference Measurement. This allows a user to monitor the power drift of the device under test within a batch process. The measurement procedure is the same as Step 1.

#### Step 5: Z-Scan (FCC only)

The Z Scan measures points along a vertical straight line. The line runs along the Z-axis of a one-dimensional grid. In order to get a reasonable extrapolation the extrapolated distance should not be larger than the step size in Z-direction.

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## 4.3. Test Equipment

The measuring equipment used to perform the tests documented in this report has been calibrated in accordance with the manufacturers' recommendations, and is traceable to recognized national standards.

Dielectric	Property	v Measurements
		,

Name of Equipment	Manufacturer	Type/Model	Serial No.	Cal. Due Date
Network Analyzer	Agilent	8753ES	MY40001647	7/28/2016
Dielectric Probe kit	SPEAG	DAK-3.5	1087	11/10/2016
Shorting block	SPEAG	DAK-3.5 Short	SM DAK 200 BA	11/10/2016
Thermometer	Fisher Scientific	Traceable	140493798	8/4/2016

#### System Check

Name of Equipment	Manufacturer	Type/Model	Serial No.	Cal. Due Date
Synthesized Signal Generator	HP	8665B	3546A00784	6/27/2016
Power Meter	HP	437B	3125U09248	9/3/2016
Power Meter	HP	437B	3125U09516	9/17/2016
Power Sensor	Agilent	8481A	2349A36506	9/16/2016
Power Sensor	Agilent	8481A	3318A92374	9/16/2016
Amplifier	MITEQ	AMF-4D-00400600-50-30P	1622052	N/A
Bi-directional coupler	Werlatone, Inc.	C8060-102	2711	N/A
DC Power Supply	Sorensen Ametek	XT 15-4	1319A02780	N/A
E-Field Probe (SAR Lab 3)	SPEAG	EX3DV4	3901	1/26/2017
Data Acquisition Electronics (SAR Lab 3)	SPEAG	DAE4	1360	3/16/2017
System Validation Dipole	SPEAG	D835V2	4d142	9/23/2016
Thermometer (SAR Lab 3)	EXTECH	445703	CCS-237	6/5/2016
Other	-			
Name of Equipment	Manufacturer	Type/Model	Serial No.	Cal. Due Date
Base Station Simulator	R & S	CMW500	134851-CY	3/2/2017

# 5. Measurement Uncertainty

Per KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz, when the highest measured 1-g SAR within a frequency band is < 1.5 W/kg and the measured 10-g SAR within a frequency band is < 3.75 W/kg, the extensive SAR measurement uncertainty analysis described in IEEE Std 1528-2013 is not required in SAR reports submitted for equipment approval.

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# 6. Device Under Test (DUT) Information

# 6.1. DUT Description

Device Dimension	Overall (Length x Width)	): 145.5 mm x 72.5 mm							
	Overall Diagonal: 159 m	ım							
	Display Diagonal: 133 m	ım							
Back Cover	☑ The rechargeable batt	☑ The rechargeable battery is not user accessible.							
Battery Options	☑ The rechargeable bat	☑ The rechargeable battery is not user accessible.							
Accessory	Headset	Headset							
Wireless Router (Hotspot)	Wi-Fi Hotspot mode perm	Wi-Fi Hotspot mode permits the device to share its cellular data connection with other Wi-Fi-enabled devices.							
	🛛 Mobile Hotspot (Wi-Fi	⊠ Mobile Hotspot (Wi-Fi 2.4 GHz)							
	🗆 Mobile Hotspot (Wi-Fi	Mobile Hotspot (Wi-Fi 5 GHz)							
Wi-Fi Direct	Wi-Fi Direct enabled dev	Wi-Fi Direct enabled devices transfer data directly between each other							
	🛛 Wi-Fi Direct (Wi-Fi 2.4	GHz)							
	🗆 Wi-Fi Direct (Wi-Fi 5 C	GHz)							
Test sample information	S/N	IMEI	Notes						
	BH90011C4F	4402455484828	SAR RAD LTE B26 #1						
	BH9000QY4F	4402455484794	SAR LTE POWER #1						
Hardware Version	A								
Software Version	32.0.D.0.328								

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### 6.2. Wireless Technologies

Wireless technologies	Frequency bands	Орег	rating mode	Duty Cycle used for SAR testing	
GSM	850 1900 Does this device suppo	Voice (GMSK) GPRS (GMSK) EGPRS (8PSK) rt DTM (Dual Transfer Mode	GPRS Multi-Slot Class: □ Class 8 - 1 Up, 4 Down □ Class 10 - 2 Up, 4 Down □ Class 12 - 4 Up, 4 Down ⊠ Class 33 - 4 Up, 5 Down e)? ⊠ Yes □ No	GSM Voice: 12.5% (E)GPRS: 1 Slot: 12.5% 2 Slots: 25% 3 Slots: 37.5% 4 Slots: 50%	
W-CDMA (UMTS)	Band II Band V	UMTS Rel. 99 (Voice & D HSDPA (Rel. 5) HSUPA (Rel. 6) HSPA+ (Rel. 7)	100%		
LTE	FDD Band 5 FDD Band 7 FDD Band 13 FDD Band 17 FDD Band 26 TDD Band 41	QPSK 16QAM □ Rel. 10 Does not suppo □ Rel. 10 Carrier Aggrega ⊠ Rel. 10 Carrier Aggrega (No Band Combinations a Aggregation)	100% (FDD) 63.3% (TDD)		
	Does this device suppo	rt SV-LTE (1xRTT-LTE)? □ 802.11b 802.11g 802.11n (HT20)	Yes ⊠ No	100%	
Wi-Fi	5 GHz	802.11a 802.11n (HT20) 802.11n (HT40) 802.11ac (VHT20) 802.11ac (VHT20) 802.11ac (VHT40) 802.11ac (VHT80)	802.11a 802.11n (HT20) 802.11n (HT40) 802.11ac (VHT20) 802.11ac (VHT40)		
	Does this device support	rt bands 5.60 ~ 5.65 GHz? D	⊠ Yes □ No		
Bluetooth	Does this device support 2.4 GHz	rt Band gap channel(s)? ⊠ ` Version 4.2 LE	Yes ⊔ No	77.5% (DH5)	

# 6.3. Maximum Output Power from Tune-up Procedure

KDB 447498 sec.4.1.(3) at the maximum rated output power and within the tune-up tolerance range specified for the product, but not more than 2 dB lower than the maximum tune-up tolerance limit

				Data					
	E.	E		QF	'SK	16QAM			
Rond	BW	C L	RB Config	Target	Tolerance	Target	Tolerance		
Danu		Сп		[dBm]	+-[dB]	[dBm]	+-[dB]		
	1.4MHz	Low	1RB	23.7	-1.7~+1.0	22.7	-1.7~+1.0		
		Mid	50% RB	23.7	-1.7~+1.0	22.7	-1.7~+1.0		
ITEROS		High	100% RB	22.7	-1.7~+1.0	21.7	-1.7~+1.0		
LIEDZO		Low	1RB	23.7	-1.7~+1.0	22.7	-1.7~+1.0		
	3101Hz, 5101Hz 10MHz, 15MHz	Mid	50% RB	22.7	-1.7~+1.0	21.7	-1.7~+1.0		
		High	100% RB	22.7	-1.7~+1.0	21.7	-1.7~+1.0		

# 6.4. General LTE SAR Test and Reporting Considerations

Item	Description	Description								
		Frequency range: 814 - 849 MHz								
	Band 26	26 Channel Bandwidth								
		20 MHz	15 MH	z ·	10 MHz	5 MHz	3	MHz	1.4 MHz	
Frequency range. Channel Bandwidth.	Low				26740/	26715/	26	6705/	26697/	
Numbers and Frequencies	LOW				819	816.5	8	15.5	814.7	
	Mid		26865	(	26865/	26865/	26	865/	26865/	
			831.5		831.5	831.5	8	31.5	831.5	
	High				26990/	2/015/	2/	(025/ 47 5	2/033/	
I TE transmitter and antenna						47.5	040.3			
LIE transmitter and antenna	Refer to Ante	enna distance	document							
implementation										
	Table 6.2.3-1: Maximum Power Reduction (MPR) for Power Class 3									
	Modulatio	n Cha	Channel bandwidth / Transmission bandwidth (RB) MPR (dB)						B)	
		1.4	3.0	5	10	15	20	1		
Maximum power reduction (MPR)		MHz	MHz	MHz	MHz	MHz	MHz		-	
	QPSK	>5	> 4	>8	> 12	> 16	> 18	≤ 1		
	16 QAM	≤5	≤ 4 > 4	≤ 8 > 9	≤ 12 > 12	≤ 16 > 16	≤ 18 > 19	≤1		
	TO GAIN	>0	24	>0	>12	>10	> 10	22		
	MPR Built-in	by design								
	A-MPR (addi	tional MPR) w	as disable	d during	g SAR test	ting				
Power reduction	No									
	A properly configured base station simulator was used for the SAR and power measurements:									
	A properly co	onfigured base	station si	mulator	was used	for the SAF	R and pov	ver meas	urements;	
Spectrum plots for RB configurations	A properly co therefore, sp	onfigured base ectrum plots f	e station si or each RE	mulator 3 allocat	was used tion and of	for the SAF	R and pov	ver meas e not incl	urements; uded in the	

## 6.5. Test Rationale

FCC ID: PY7-PM0903 Original was previously tested for all wireless technologies and bands except LTE Band 26. The results for these tests are in FCC SAR report FA571615. LTE band 26 has subsequently been added to FCC ID: PY7-PM0903 Original through a software change. This report contains test results for LTE band 26 only. The Wi-Fi and BT results from FCC SAR report FA571615 have been used in this report for simultaneous transmission analysis.

# 7. RF Exposure Conditions (Test Configurations)

Refer to "Antenna distance document" submission for the specific details of the antenna-to-antenna and antenna-to-edge(s) distances.

Wireless technologies	RF Exposure Conditions	DUT-to-User Separation	Test Position	Antenna-to- edge/surface	SAR Required	Note
			Left Touch	N/A	Yes	
	Head	0 mm	Left Tilt (15°)	N/A	Yes	
		0 mm	Right Touch	N/A	Yes	
			Right Tilt (15°)	N/A	Yes	
	Body	15 mm	Rear	N/A	Yes	1
	Body	15 1111	Front	N/A	Yes	1
WWAN			Rear	< 25 mm	Yes	
			Front	< 25 mm	Yes	
	Llatanat	10 mm	Edge 1 (Top)	< 25 mm	No	
	Ποιδροι	10 11111	Edge 2 (Right)	> 25 mm	Yes	
			Edge 3 (Bottom)	> 25 mm	Yes	
			Edge 4 (Left)	< 25 mm	Yes	

Notes:

1. SAR is not required because the distance from the antenna to the edge is > 25 mm as per KDB 941225 D06 Hot Spot SAR.

# 8. Dielectric Property Measurements & System Check

### 8.1. Dielectric Property Measurements

The temperature of the tissue-equivalent medium used during measurement must also be within  $18^{\circ}$ C to  $25^{\circ}$ C and within  $\pm 2^{\circ}$ C of the temperature when the tissue parameters are characterized.

The dielectric parameters must be measured before the tissue-equivalent medium is used in a series of SAR measurements. The parameters should be re-measured after each 3 - 4 days of use; or earlier if the dielectric parameters can become out of tolerance; for example, when the parameters are marginal at the beginning of the measurement series.

Tissue dielectric parameters were measured at the low, middle and high frequency of each operating frequency range of the test device.

For SAR measurement systems that have implemented the SAR error compensation algorithms documented in IEEE Std 1528-2013, to automatically compensate the measured SAR results for deviations between the measured and required tissue dielectric parameters, the tolerance for  $\varepsilon$ r and  $\sigma$  may be relaxed to  $\pm$  10%. This is limited to frequencies  $\leq$  3 GHz.

#### **Tissue Dielectric Parameters**

FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz

Target Frequency (MHz)	H	ead	Body			
raiget requency (wirz)	ε <sub>r</sub>	σ (S/m)	ε <sub>r</sub>	σ (S/m)		
150	52.3	0.76	61.9	0.80		
300	45.3	0.87	58.2	0.92		
450	43.5	0.87	56.7	0.94		
835	41.5	0.90	55.2	0.97		
900	41.5	0.97	55.0	1.05		
915	41.5	0.98	55.0	1.06		
1450	40.5	1.20	54.0	1.30		
1610	40.3	1.29	53.8	1.40		
1800 – 2000	40.0	1.40	53.3	1.52		
2450	39.2	1.80	52.7	1.95		
3000	38.5	2.40	52.0	2.73		
5000	36.2	4.45	49.3	5.07		
5100	36.1	4.55	49.1	5.18		
5200	36.0	4.66	49.0	5.30		
5300	35.9	4.76	48.9	5.42		
5400	35.8	4.86	48.7	5.53		
5500	35.6	4.96	48.6	5.65		
5600	35.5	5.07	48.5	5.77		
5700	35.4	5.17	48.3	5.88		
5800	35.3	5.27	48.2	6.00		

#### IEEE Std 1528-2013

Refer to Table 3 within the IEEE Std 1528-2013

#### **Dielectric Property Measurements Results:**

					Relative Permittivity (cr)			Conductivity (σ)			
SAR Room	Date	Tissue Type	Band (MHz)	Frequency (MHz)	Measured	Target	Delta ±5 %	Measured	Target	Delta ±5 %	
			835	40.97	41.50	-1.28	0.94	0.90	4.44		
3	5/31/2016	6 835	Head	805	41.01	41.68	-1.61	0.92	0.90	2.38	
				905	39.87	41.50	-3.93	1.00	0.97	2.83	
		1/2016 835	Body	835	54.76	55.20	-0.80	1.00	0.97	2.87	
3	5/31/2016			805	55.04	55.33	-0.53	0.97	0.97	0.00	
				905	54.08	55.00	-1.67	1.07	1.05	1.19	

### 8.2. System Check

SAR system verification is required to confirm measurement accuracy, according to the tissue dielectric media, probe calibration points and other system operating parameters required for measuring the SAR of a test device. The system verification must be performed for each frequency band and within the valid range of each probe calibration point required for testing the device. The same SAR probe(s) and tissue-equivalent media combinations used with each specific SAR system for system verification must be used for device testing. When multiple probe calibration points are required to cover substantially large transmission bands, independent system verifications are required for each probe calibration point. A system verification must be performed before each series of SAR measurements using the same probe calibration point and tissue-equivalent medium. Additional system verification should be considered according to the conditions of the tissue-equivalent medium and measured tissue dielectric parameters, typically every three to four days when the liquid parameters are re-measured or sooner when marginal liquid parameters are used at the beginning of a series of measurements.

#### System Performance Check Measurement Conditions:

- The measurements were performed in the flat section of the TWIN SAM or ELI phantom, shell thickness: 2.0 ±0.2 mm (bottom plate) filled with Body or Head simulating liquid of the following parameters.
- The depth of tissue-equivalent liquid in a phantom must be ≥ 15.0 cm for SAR measurements ≤ 3 GHz and ≥ 10.0 cm for measurements > 3 GHz.
- The DASY system with an E-Field Probe was used for the measurements.
- The dipole was mounted on the small tripod so that the dipole feed point was positioned below the center marking of the flat phantom section and the dipole was oriented parallel to the body axis (the long side of the phantom). The standard measuring distance was 10 mm (above 1 GHz) and 15 mm (below 1 GHz) from dipole center to the simulating liquid surface.
- The coarse grid with a grid spacing of 15 mm was aligned with the dipole. For 5 GHz band - The coarse grid with a grid spacing of 10 mm was aligned with the dipole.
- Special 7x7x7 (below 3 GHz) and/or 8x8x7 (above 3 GHz) fine cube was chosen for the cube.
- Distance between probe sensors and phantom surface was set to 3 mm.
- For 5 GHz band Distance between probe sensors and phantom surface was set to 2.5 mm
- The dipole input power (forward power) was 100 mW.
- The results are normalized to 1 W input power.

#### System Check Results

The 1-g and 10-g SAR measured with a reference dipole, using the required tissue-equivalent medium at the test frequency, must be within 10% of the manufacturer calibrated dipole SAR target.

				Measured Results for 1g SAR				Measured Results for 10g SAR				Dist	
SAR Room	Date	Tissue Type	Dipole Type _Serial #	Dipole Cal. Due Data	Zoom Scan to 100 mW	Normalize to 1 W	Target (Ref. Value)	Delta ±10 %	Zoom Scan to 100 mW	Normalize to 1 W	Target (Ref. Value)	Delta ±10 %	No.
3	5/31/2016	Head	D835V2 SN:4d142	9/23/2016	0.880	8.80	9.27	-5.07	0.581	5.81	6.01	-3.33	
3	5/31/2016	Body	D835V2 SN:4d142	9/23/2016	1.010	10.10	9.41	7.33	0.668	6.68	6.18	8.09	1,2

# 9. Conducted Output Power Measurements

### 9.1. LTE

The following tests were conducted according to the test requirements outlined in section 6.2 of the 3GPP TS36.101 specification.

UE Power Class: 3 (23 +/- 2dBm). The allowed Maximum Power Reduction (MPR) for the maximum output power due to higher order modulation and transmit bandwidth configuration (resource blocks) is specified in Table 6.2.3-1 of the 3GPP TS36.101.

Modulation	Cha	Channel bandwidth / Transmission bandwidth (RB)								
	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz	•			
QPSK	> 5	> 4	>8	> 12	> 16	> 18	≤ <b>1</b>			
16 QAM	≤ 5	≤ 4	≤ <mark>8</mark>	≤ 12	≤ <b>1</b> 6	≤ 18	≤ 1			
16 QAM	> 5	> 4	>8	> 12	> 16	> 18	≤ 2			

The allowed A-MPR values specified below in Table 6.2.4.-1 of 3GPP TS36.101 are in addition to the allowed MPR requirements. All the measurements below were performed with A-MPR disabled, by using Network Signaling Value of "NS\_01".

Network Signalling value	Requirements (sub-clause)	E-UTRA Band	Channel bandwidth (MHz)	Resources Blocks (N <sub>RB</sub> )	A-MPR (dB)
NS_01	6.6.2.1.1	Table 5.5-1	1.4, 3, 5, 10, 15, 20	Table 5.6-1	NA
			3	>5	≤ 1
		0 4 40 00 05	5	>6	≤ 1
NS_03	6.6.2.2.1	2, 4, 10, 23, 25, 35, 36	10	>6	≤ 1
			15	>8	≤ 1
			20	>10	≤ 1
NS 04	6.6.2.2.2	41	5	>6	≤ 1
100_04	0.0.2.2.2		10, 15, 20	See Tab	le 6.2.4-4
NS_05	6.6.3.3.1	1	10,15,20	≥ 50	≤ 1
NS_06	6.6.2.2.3	12, 13, 14, 17	1.4, 3, 5, 10	Table 5.6-1	n/a
NS_07	6.6.2.2.3 6.6.3.3.2	13	10	Table 6.2.4-2	Table 6.2.4-2
NS_08	6.6.3.3.3	19	10, 15	> 44	≤ 3
NG 00	66224	01	10 15	> 40	≤ 1
113_03	0.0.3.3.4	21	10, 15	> 55	≤ 2
NS_10		20	15, 20	Table 6.2.4-3	Table 6.2.4-3
NS_11	6.6.2.2.1	23'	1.4, 3, 5, 10	Table 6.2.4-5	Table 6.2.4-5
NS_32	-	-	-	-	-
Note 1: A	pplies to the lower	block of Band 23, i.e	. a carrier place	d in the 2000-20	10 MHz region.

Table 6.2.4-1: Additional Maximum Power Reduction (A-MPR)

#### LTE Band 26 Measured Results

Band	BW	Mode	RB	RB	MPR	Max. Avg Pwr (dBm)		
Danu	(MHz)	NICCE	Allocation	offset			831.5 MHz	
			1	0	0		23.9	
			1	36	0		23.5	
			1	74	0		23.8	
		QPSK	36	0	1		22.6	
			36	20	1		22.7	
			36	39	1		22.6	
LTE	15		75	0	1		22.6	
Band 26	10		1	0	1		22.8	
			1	36	1		22.5	
			1	74	1		22.8	
		16QAM	36	0	2		21.6	
			36	20	2		21.6	
			36	39	2		21.6	
			75	0	2		21.6	
Band	BW	Mode	RB	RB	MPR	Max	. Avg Pwr (d	Bm)
	(MHz)		Allocation	offset		819 MHz	831.5 MHz	844 MHz
			1	0	0	23.9	23.9	23.7
			1	25	0	23.8	23.6	23.6
		QPSK 10 16QAM	1	49	0	23.8	23.8	23.6
			25	0	1	22.7	22.7	22.4
			25	12	1	22.7	22.6	22.4
			25	25	1	22.7	22.7	22.4
LTE Dand OC	10		50	0	1	22.7	22.7	22.4
Banu 26			1	0	1	23.2	23.0	22.9
			1	25	1	23.2	22.7	22.9
			1	49	1	23.2	23.0	22.8
			25	0	2	21.8	21.7	21.4
			25	12	2	21.8	21.6	21.4
			25	25	2	21.8	21.7	21.4
			50	0	2	21.8	21.6	21.3
Band		Mode	RB Allocation	RB	MPR		. Avg Pwr (d	
	(101712)		Allocation	Olisel	0	816.5 MHZ	831.5 MHZ	846.5 MHZ
			1	10	0	23.9	23.9	23.5
			1	12	0	20.0	23.0	20.2
		OBEK	10	24	1	23.0	20.9	20.0
		QFSR	12	0	1	22.7	22.0	22.3
			12	10	- 1	22.0	22.7	22.3
			12	13	1	22.7	22.0	22.1
LIE Band 26	5		20	0	1	22.7	22.0	22.3
Danu 20			1	10	1	23.0	22.8	23.0
			1	12	1	22.0	22.8	22.8
		160414	10	24	0	22.9	22.0	22.ð
			12	U 7	2	21./	21.0	21.5
			12	10	2	21./	21./	21.4
			12	13	2	21./	21./	21.3
			25	U	2	21./	21.6	21.3

#### Note(s):

15 MHz Bandwidth does not support at least three non-overlapping channels. When a device supports overlapping channel assignment in a channel bandwidth configuration, the middle channel of the group of overlapping channels should be selected for testing; therefore, the requirement for H, M and L channels may not fully apply per KDB 941225 D05 SAR for LTE Devices.

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# LTE Band 26 Measured Results (continued)

Pand	BW	Mada	RB	RB	MDD	Max. Avg Pwr (dBm)			
Danu	(MHz)	Mode	Allocation	offset		815.5 MHz	831.5 MHz	847.5 MHz	
			1	0	0	23.9	23.6	23.5	
			1	8	0	23.7	23.7	23.5	
			1	14	0	23.8	23.7	23.4	
		QPSK	8	0	1	22.6	22.6	22.3	
			8	4	1	22.7	22.5	22.3	
			8	7	1	22.6	22.6	22.3	
LTE	2		15	0	1	22.6	22.6	22.2	
Band 26	3		1	0	1	22.9	22.6	22.9	
			1	8	1	22.8	22.5	22.6	
			1	14	1	23.1	22.7	22.9	
		16QAM	8	0	2	21.6	21.6	21.3	
			8	4	2	21.6	21.6	21.3	
			8	7	2	21.6	21.6	21.3	
			15	0	2	21.6	21.6	21.3	
Band	BW	Mode	RB	RB	MDD	Max. Avg Pwr (dBm)			
Dana	(MHz)	Wode	Allocation	offset		814.7 MHz	831.5 MHz	848.3 MHz	
			1	0	0	23.8	23.6	23.6	
			1	3	0	23.8	23.6	23.4	
		QPSK	1	5	0	23.9	23.7	23.5	
			3	0	0	23.6	23.5	23.3	
			3	1	0	23.7	23.5	23.3	
			3	3	0	23.6	23.5	23.3	
LTE	14		6	0	1	22.6	22.5	22.2	
Band 26	1.4		1	0	1	22.8	22.9	22.8	
			1	3	1	22.9	22.8	22.9	
			1	5	1	22.9	22.9	22.7	
		16QAM	0	0	1	22.7	22.6	22.4	
		16QAM	3	0					
		16QAM	3	1	1	22.8	22.6	22.4	
		16QAM	3	1	1	22.8 22.7	22.6 22.5	22.4 22.4	

# 10. Measured and Reported (Scaled) SAR Results

#### SAR Test Reduction criteria are as follows:

#### KDB 447498 D01 General RF Exposure Guidance:

Testing of other required channels within the operating mode of a frequency band is not required when the reported 1-g or 10-g SAR for the mid-band or highest output power channel is:

- ≤ 0.8 W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≤ 100 MHz
- ≤ 0.6 W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
- ≤ 0.4 W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≥ 200 MHz

#### KDB 648474 D04 Handset SAR:

With headset attached, when the reported SAR for body-worn accessory, measured without a headset connected to the handset, is > 1.2 W/kg, the highest reported SAR configuration for that wireless mode and frequency band should be repeated for that body-worn accessory with a headset attached to the handset.

#### KDB 941225 D05 SAR for LTE Devices:

SAR test reduction is applied using the following criteria:

- Start with the largest channel bandwidth and measure SAR for QPSK with 1 RB, and 50% RB allocation, using the RB offset and required test channel combination with the highest maximum output power among RB offsets at the upper edge, middle and lower edge of each required test channel.
- When the reported SAR is > 0.8 W/kg, testing for other Channels is performed at the highest output power level for 1RB, and 50% RB configuration for that channel.
- Testing for 100% RB configuration is performed at the highest output power level for 100% RB configuration across the Low, Mid and High Channel when the highest reported SAR for 1 RB and 50% RB are > 0.8 W/kg. Testing for the remaining required channels is not needed because the reported SAR for 100% RB Allocation < 1.45 W/kg.
- Testing for 16-QAM modulation is not required because the reported SAR for QPSK is < 1.45 W/Kg and its output power is not more than 0.5 dB higher than that of QPSK.
- Testing for the other channel bandwidths is not required because the reported SAR for the highest channel bandwidth is < 1.45 W/Kg and its output power is not more than 0.5 dB higher than that of the highest channel bandwidth.
- For LTE bands that do not support at least three non-overlapping channels in certain channel bandwidths, test the available non-overlapping channels instead. When a device supports overlapping channel assignment in a channel bandwidth configuration, the middle channel of the group of overlapping channels should be selected for testing; therefore, the requirement for H, M and L channels may not fully apply.

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# 10.2. LTE Band 26 (15MHz Bandwidth)

		Diet	Test	Ch #. (I	Erog	DB	RB on offset	Power (dBm)		1-g SAR (W/kg)		Plot
Conditions	Mode	(mm)	Position		(MHz)	Allocation		Tune-up limit	Meas.	Meas.	Scaled	No.
			Left Touch	26865	831.5	1	0	24.7	23.9	0.333	0.400	
			Leit Touch	20003	001.0	36	20	23.7	22.7	0.244	0.307	
			L oft Tilt	26865	831.5	1	0	24.7	23.9	0.223	0.268	
Head	OPSK	0	Lon The	20000	001.0	36	20	23.7	22.7	0.167	0.210	
ricad	GIOR	Ū	<b>Bight Touch</b>	26865	831.5	1	0	24.7	23.9	0.354	0.426	1
			Tight Toden	20000	001.0	36	20	23.7	22.7	0.278	0.350	
			<b>Bight Tilt</b>	26865	831.5	1	0	24.7	23.9	0.256	0.308	
			rught rht	20005	001.0	36	20	23.7	22.7	0.197	0.248	
	QPSK	15	Rear	26865	831.5	1 36	0	24.7	23.9	0.357	0.429	2
Body-worn					001.0		20	23.7	22.7	0.290	0.365	
body nom			Front	26865	831.5	1	0	24.7	23.9	0.323	0.388	
						36	20	23.7	22.7	0.271	0.341	
			Rear 26	26865	831.5	1	0	24.7	23.9	0.409	0.492	3
				20000	001.0	36	20	23.7	22.7	0.321	0.404	
			Front	26865	831.5	1	0	24.7	23.9	0.346	0.416	
			TION	20000	001.0	36	20	23.7	22.7	0.279	0.351	
Hotspot	OPSK	10	Edge 2	26865	831.5	1	0	24.7	23.9	0.308	0.370	
notspot	Gron	10	Edge 2	20000	001.0	36	20	23.7	22.7	0.253	0.319	
			Edge 3	26865	831.5	1	0	24.7	23.9	0.035	0.042	
			Lage 0	20000	501.5	36	20	23.7	22.7	0.030	0.038	
			Edge 4	26865	831.5	1	0	24.7	23.9	0.104	0.125	
			Luge 4	20000	001.0	36	20	23.7	22.7	0.085	0.107	

# 11. SAR Measurement Variability

In accordance with published RF Exposure KDB 865664 D01 SAR measurement 100 MHz to 6 GHz. These additional measurements are repeated after the completion of all measurements requiring the same head or body tissue-equivalent medium in a frequency band. The test device should be returned to ambient conditions (normal room temperature) with the battery fully charged before it is re-mounted on the device holder for the repeated measurement(s) to minimize any unexpected variations in the repeated results.

- 1) Repeated measurement is not required when the original highest measured SAR is <0.8 or 2 W/kg (1-g or 10-g respectively); steps 2) through 4) do not apply.
- 2) When the original highest measured SAR is ≥ 0.8 or 2 W/kg (1-g or 10-g respectively), repeat that measurement once.
- 3) Perform a second repeated measurement only if the ratio of largest to smallest SAR for the original and first repeated measurements is > 1.20 or 3 (1-g or 10-g respectively) or when the original or repeated measurement is ≥ 1.45 or 3.6 W/kg (~ 10% from the 1-g or 10-g respective SAR limit).
- 4) Perform a third repeated measurement only if the original, first, or second repeated measurement is ≥ 1.5 or 3.75 W/kg (1-g or 10-g respectively) and the ratio of largest to smallest SAR for the original, first and second repeated measurements is > 1.20 or 3 (1-g or 10-g respectively).

Frequency			Test Position	Repeated SAR (Yes/No)	Highest Measured SAR (W/kg)	First Repeated		Second Repeated		Third Repeated
Band Air Interface (MHz)	RF Exposure Conditions	Measured SAR (W/kg)				Largest to Smallest SAR Ratio	Measured SAR (W/kg)	Largest to Smallest SAR Ratio	Measured SAR (W/kg)	
850	LTE Band 26	Hotspot	Rear	No	0.409	N/A	N/A	N/A	N/A	N/A

#### Note(s):

Second Repeated Measurement is not required since the ratio of the largest to smallest SAR for the original and first repeated measurement is not > 1.20 or 3 (1-g or 10-g respectively).

# 12. Simultaneous Transmission SAR Analysis

Case	Cellular	WLAN/BT Main	WLAN/BT Sub	Note
1	GSM/GPRS/EDGE	BT/BLE	(None)	
2	GSM/GPRS/EDGE	WLAN 2.4G	WLAN 2.4G	
3	GSM/GPRS/EDGE	WLAN 5G	WLAN 5G	
4	UMTS/HSPA	BT/BLE	(None)	
5	UMTS/HSPA	WLAN 2.4G	WLAN 2.4G	
6	UMTS/HSPA	WLAN 5G	WLAN 5G	
7	LTE	BT/BLE	(None)	
8	LTE	WLAN 2.4G	WLAN 2.4G	
9	LTE	WLAN 5G	WLAN 5G	
10	(None)	BT		
10	(None)	WLAN 5G	WLAN 5G	
44		BT		
	GSIM/GPRS/EDGE	WLAN 5G	WLAN 5G	
10		BT		
12	UNITS/HSFA	WLAN 5G	WLAN 5G	
12	ITE	BT		
15	LIL	WLAN 5G	WLAN 5G	
14	GSM/GPRS/EDGE	WLAN 2.4G	WLAN 5G	
15	GSM/GPRS/EDGE	WLAN 5G	WLAN 2.4G	
16		BT		
10	GSIWI/GPRS/EDGE	WLAN 5G	WLAN 2.4G	
17	UMTS/HSPA	WLAN 2.4G	WLAN 5G	
18	UMTS/HSPA	WLAN 5G	WLAN 2.4G	
10		BT		
19	UNITS/ITSPA	WLAN 5G	WLAN 2.4G	
20	LTE	WLAN 2.4G	WLAN 5G	
21	LTE	WLAN 5G	WLAN 2.4G	
22	LTE	BT		
22		WLAN 5G	WLAN 2.4G	

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# 12.1. Sum of the SAR for LTE Band 26 & Wi-Fi DTS

RF		Standa	lone SAR	∑1-gSAR (W/kq)	
Exposure conditions	Test Position	WWAN	DTS Chain 0	DTS Chain 1	WWAN + DTS
		1	2	3	(1 + 2 + 3)
	Left Touch	0.400	0.357	0.124	0.881
Hood	Left Tilt	0.268	0.121	0.049	0.438
neau	<b>Right Touch</b>	0.426	0.169	0.535	1.130
	Right Tilt	0.308	0.063	0.154	0.525
Body worp	Rear	0.429	0.048	0.014	0.491
BOUY-WOITI	Front	0.388	0.039	0.022	0.449
	Rear	0.492	0.068	0.045	0.605
	Front	0.416	0.091	0.065	0.572
Hotspot	Edge 1		0.021	0.010	0.031
riotopot	Edge 2	0.370	0.026	0.001	0.397
	Edge 3	0.042			0.042
	Edge 4	0.125	0.003	0.029	0.157

#### Note(s):

Please refer to SAR Report FA571615 for Standalone Wi-Fi (DTS) and Bluetooth data. Wi-Fi (DTS) and Bluetooth data is used for Simultaneous SAR evaluations.

### 12.2. Sum of the SAR for LTE Band 26 & Wi-Fi U-NII & BT

RF Exposure conditions		S	tandalone	SAR (W/kg	∑ 1-g SAR (W/kg)		
	Test Position	WWAN	U-NII Chain 0	U-NII Chain 1	BT	WWAN+ U-NII	WWAN + U-NII + BT
		1	2	3	4	1+2+3	1+2+3+4
	Left Touch	0.400	0.274	0.178		0.852	
Llood	Left Tilt	0.268	0.140	0.126		0.534	
Head	Right Touch	0.426	0.053	0.388		0.867	
	Right Tilt	0.308	0.019	0.212		0.539	
Body-worn	Rear	0.429	0.022	0.029	0.196	0.480	0.676
	Front	0.388	0.025	0.038	0.196	0.451	0.647

#### Note(s):

Please refer to SAR Report FA571615 for Standalone Wi-Fi (DTS) and Bluetooth data. Wi-Fi (DTS) and Bluetooth data is used for Simultaneous SAR evaluations.

# 12.3. Sum of the SAR for LTE Band 26 & Wi-Fi DTS Chain 0 & Wi-Fi U-NII Chain 1

RF		Standa	lone SAR	∑ 1-g SAR (W/kg)	
Exposure conditions	Test Position	WWAN	DTS Chain 0 ②	U-NII Chain 1 ③	WWAN + DTS + U-NII ① + ② + ③
	Left Touch	0.400	0.357	0.178	0.935
Hood	Left Tilt	0.268	0.121	0.126	0.515
neau	<b>Right Touch</b>	0.426	0.169	0.388	0.983
	Right Tilt	0.308	0.063	0.212	0.583
Rody worn	Rear	0.429	0.048	0.029	0.506
BOUY-WOIT	Front	0.388	0.039	0.038	
	Rear	0.492	0.068		0.560
	Front	0.416	0.091		0.507
Hotspot	Edge 1		0.021		0.021
liotopot	Edge 2	0.370	0.026		0.396
	Edge 3	0.042			0.042
	Edge 4	0.125	0.003		0.128

#### Note(s):

Please refer to SAR Report FA571615 for Standalone Wi-Fi (DTS) and Bluetooth data. Wi-Fi (DTS) and Bluetooth data is used for Simultaneous SAR evaluations.

# 12.4. Sum of the SAR for LTE Band 26 & Wi-Fi DTS Chain 1 & Wi-Fi U-NII Chain 0 & BT

RF		S	tandalone	SAR (W/kg	∑ 1-g SAR (W/kg)		
Exposure conditions	Test Position	WWAN	DTS Chain 1	U-NII Chain 0	BT	WWAN + DTS + U-NII	WWAN + DTS + U-NII + BT
		(1)	(2)	(3)	(4)	(1) + (2) + (3)	(1) + (2) + (3) + (4)
	Left Touch	0.400	0.124	0.274		0.798	
Hood	Left Tilt	0.268	0.049	0.140		0.457	
neau	<b>Right Touch</b>	0.426	0.535	0.053		1.014	
	Right Tilt	0.308	0.154	0.019		0.481	
Rody worn	Rear	0.429	0.014	0.022	0.196	0.465	0.661
BOUY-WOITI	Front	0.388	0.022	0.025	0.196	0.435	0.631
	Rear	0.492	0.045			0.537	
	Front	0.416	0.065			0.481	
Hotspot	Edge 1		0.010			0.010	
notopot	Edge 2	0.370	0.001			0.371	
	Edge 3	0.042				0.042	
	Edge 4	0.125	0.029			0.154	

#### Note(s):

Please refer to SAR Report FA571615 for Standalone Wi-Fi (DTS) and Bluetooth data. Wi-Fi (DTS) and Bluetooth data is used for Simultaneous SAR evaluations.

#### **Conclusion:**

Simultaneous transmission SAR measurement (Volume Scan) is not required because either the sum of the 1-g SAR is < 1.6 W/kg or the SPLSR is  $\leq$  0.04 for all circumstances that require SPLSR calculation.

### Appendixes

Refer to separated files for the following appendixes.

16U23577-S2V1 SAR\_App A Setup Photos

16U23577-S2V1 SAR\_App B System Check Plots

16U23577-S2V1 SAR\_App C Highest Test Plots

16U23577-S2V1 SAR\_App D Tissue Ingredients

16U23577-S2V1 SAR\_App E Probe Cal. Certificates

16U23577-S2V1 SAR\_App F Dipole Cal. Certificates

**END OF REPORT**