#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

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Client

**UL CCS USA** 

Certificate No: EX3-3929\_Mar16

## **CALIBRATION CERTIFICATE**

Object EX3DV4 - SN:3929

Calibration procedure(s) QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5,

QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date: March 22, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

Name Function Signature

Calibrated by: Jeton Kastrati Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: March 28, 2016

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Certificate No: EX3-3929\_Mar16

Page 1 of 11

### **Calibration Laboratory of**

Certificate No: EX3-3929\_Mar16

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Glossary:

TSL tissue simulating liquid NORMx,y,z sensitivity in free space

ConvF sensitivity in TSL / NORMx,y,z DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ φ rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e.,  $\vartheta = 0$  is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

March 22, 2016

# Probe EX3DV4

SN:3929

Manufactured:

March 8, 2013 March 22, 2016

Calibrated:

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3929

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.55	0.50	0.40	± 10.1 %
DCP (mV) <sup>B</sup>	96.3	97.4	99.9	

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	Х	0.0	0.0	1.0	0.00	146.9	±3.3 %
		Y	0.0	0.0	1.0		157.3	
		Z	0.0	0.0	1.0		140.6	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

A The uncertainties of Norm X,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5 and 6).

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

EX3DV4-SN:3929

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3929

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	43.5	0.87	10.02	10.02	10.02	0.19	1.50	± 13.3 %
750	41.9	0.89	9.09	9.09	9.09	0.63	0.80	± 12.0 %
900	41.5	0.97	8.45	8.45	8.45	0.48	0.88	± 12.0 %
1450	40.5	1.20	7.91	7.91	7.91	0.37	0.80	± 12.0 %
1750	40.1	1.37	7.99	7.99	7.99	0.30	0.86	± 12.0 %
1900	40.0	1.40	7.69	7.69	7.69	0.36	0.80	± 12.0 %
2300	39.5	1.67	7.22	7.22	7.22	0.36	0.80	± 12.0 %
2450	39.2	1.80	6.94	6.94	6.94	0.39	0.80	± 12.0 %
2600	39.0	1.96	6.78	6.78	6.78	0.33	0.97	± 12.0 %
3500	37.9	2.91	6.53	6.53	6.53	0.25	1.57	± 13.1 %
3700	37.7	3.12	6.34	6.34	6.34	0.30	1.21	± 13.1 %
4950	36.3	4.40	5.36	5.36	5.36	0.30	1.80	± 13.1 %
5250	35.9	4.71	4.63	4.63	4.63	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.24	4.24	4.24	0.45	1.80	± 13.1 %
5750	35.4	5.22	4.11	4.11	4.11	0.50	1.80	± 13.1 %

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3929

#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	56.7	0.94	10.05	10.05	10.05	0.10	1.60	± 13.3 %
750	55.5	0.96	8.94	8.94	8.94	0.53	0.80	± 12.0 %
900	55.0	1.05	8.71	8.71	8.71	0.43	0.89	± 12.0 %
1450	54.0	1.30	7.76	7.76	7.76	0.32	0.80	± 12.0 %
1750	53.4	1.49	7.57	7.57	7.57	0.39	0.80	± 12.0 %
1900	53.3	1.52	7.34	7.34	7.34	0.41	0.80	± 12.0 %
2300	52.9	1.81	7.12	7.12	7.12	0.41	0.83	± 12.0 %
2450	52.7	1.95	7.01	7.01	7.01	0.39	0.84	± 12.0 %
2600	52.5	2.16	6.77	6.77	6.77	0.32	0.90	± 12.0 %
3500	37.9	2.91	6.29	6.29	6.29	0.41	1.09	± 13.1 %
3700	37.7	3.12	6.16	6.16	6.16	0.34	1.34	± 13.1 %
4950	36.3	4.40	4.38	4.38	4.38	0.50	1.90	± 13.1 %
5250	35.9	4.71	4.14	4.14	4.14	0.50	1.90	± 13.1 %
5600	35.5	5.07	3.41	3.41	3.41	0.60	1.90	± 13.1 %
5750	35.4	5.22	3.72	3.72	3.72	0.60	1.90	± 13.1 %

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

Certificate No: EX3-3929\_Mar16

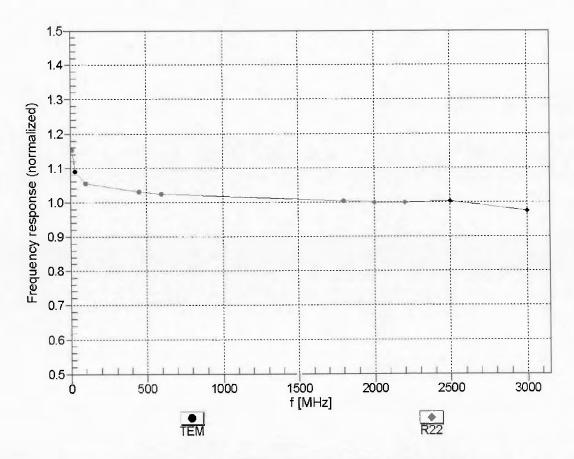
F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>&</sup>lt;sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)

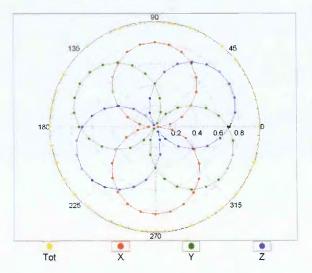


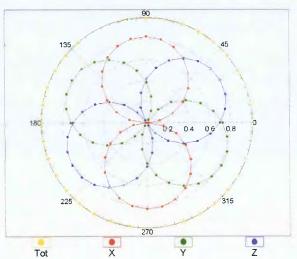
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

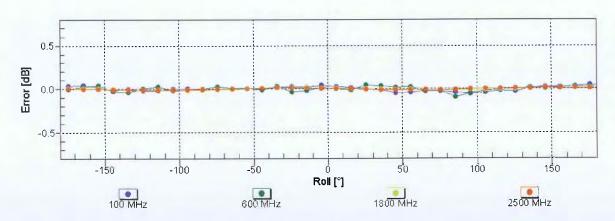
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

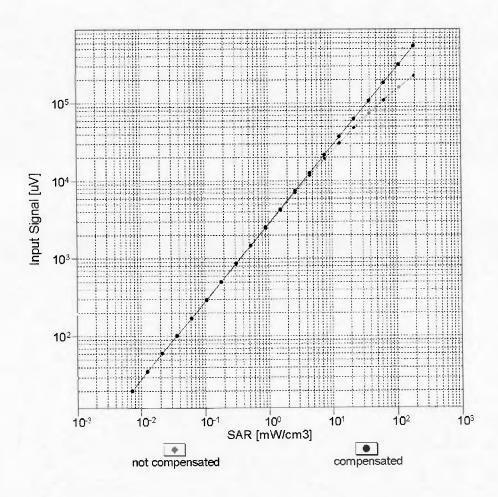


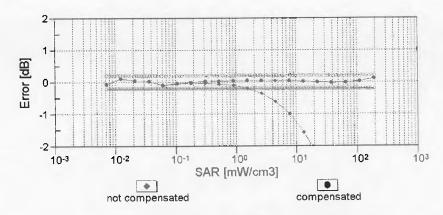




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

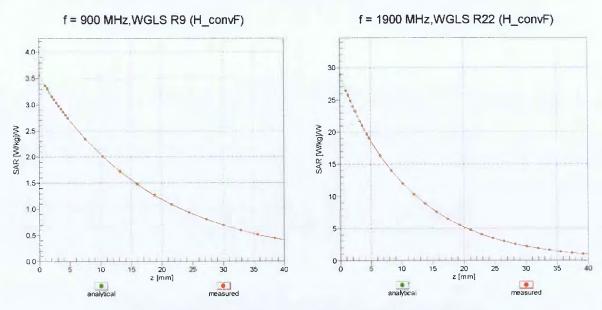
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)





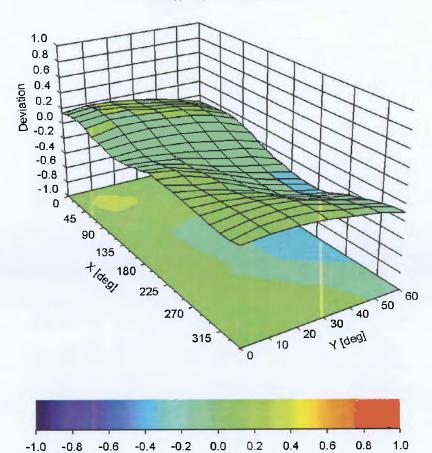
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



## **Deviation from Isotropy in Liquid**

Error ( $\phi$ ,  $\vartheta$ ), f = 900 MHz



March 22, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3929

#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	-14.3
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

## **Calibration Laboratory of**

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Client

**UL CCS USA** 

Certificate No: EX3-3772\_Feb16

## **CALIBRATION CERTIFICATE**

Object EX3DV4 - SN:3772

Calibration procedure(s) QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date: February 23, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
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Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

Name Function Signature

Calibrated by: Jeton Kastrati Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: February 24, 2016

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Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF sensitivity in TSL / NORMx,y,z DCP diode compression point

CF A, B, C, D crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle

Certificate No: EX3-3772\_Feb16

information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

 a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

 NORMx,y,z: Assessed for E-field polarization θ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).

NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
in the stated uncertainty of ConvF.

DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.

 PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics

• Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

• ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.

• Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.

 Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

• Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

EX3DV4 – SN:3772 February 23, 2016

# Probe EX3DV4

SN:3772

Manufactured: Calibrated:

January 10, 2011 February 23, 2016

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

EX3DV4- SN:3772 February 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.50	0.56	0.55	± 10.1 %
DCP (mV) <sup>B</sup>	98.8	98.8	99.2	

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	132.9	±3.0 %
		Y	0.0	0.0	1.0		129.2	
		Z	0.0	0.0	1.0		144.0	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

A The uncertainties of Norm X,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5 and 6).

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

February 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

## Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	8.66	8.66	8.66	0.47	0.80	± 12.0 %
900	41.5	0.97	8.78	8.78	8.78	0.49	0.80	± 12.0 %
1750	40.1	1.37	7.54	7.54	7.54	0.40	0.89	± 12.0 %
1900	40.0	1.40	7.35	7.35	7.35	0.41	0.85	± 12.0 %
2300	39.5	1.67	7.29	7.29	7.29	0.34	0.90	± 12.0 %
2450	39.2	1.80	7.00	7.00	7.00	0.38	0.85	± 12.0 %
2600	39.0	1.96	6.66	6.66	6.66	0.46	0.83	± 12.0 %
5250	35.9	4.71	4.79	4.79	4.79	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.19	4.19	4.19	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.45	4.45	4.45	0.45	1.80	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConyF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN:3772 February 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	8.92	8.92	8.92	0.66	0.80	± 12.0 %
900	55.0	1.05	8.54	8.54	8.54	0.60	0.84	± 12.0 %
1750	53.4	1.49	7.31	7.31	7.31	0.38	0.92	± 12.0 %
1900	53.3	1.52	7.05	7.05	7.05	0.40	0.83	± 12.0 %
2300	52.9	1.81	6.77	6.77	6.77	0.41	0.85	± 12.0 %
2450	52.7	1.95	6.62	6.62	6.62	0.39	0.86	± 12.0 %
2600	52.5	2.16	6.38	6.38	6.38	0.35	0.96	± 12.0 %
5250	48.9	5.36	3.98	3.98	3.98	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.50	3.50	3.50	0.50	1.90	± 13.1 %
5750	48.3	5.94	3.77	3.77	3.77	0.55	1.90	± 13.1 %

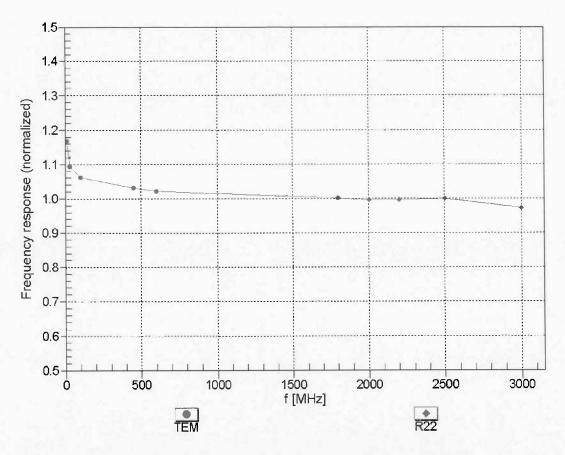
 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the CopyE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

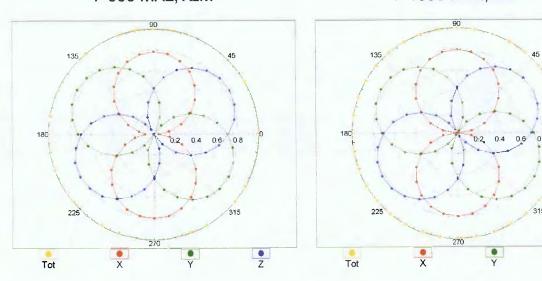
February 23, 2016

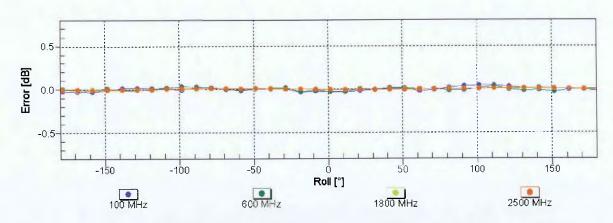
Z

## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$



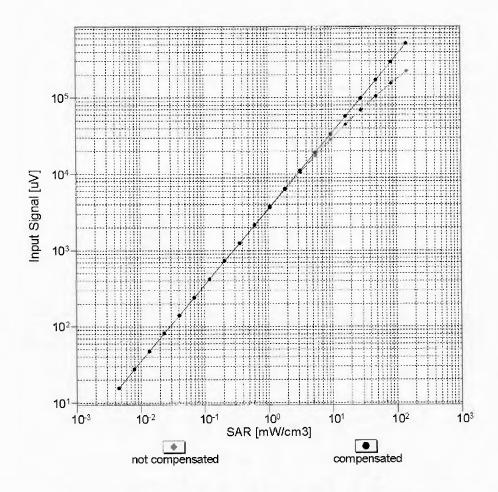
f=1800 MHz,R22

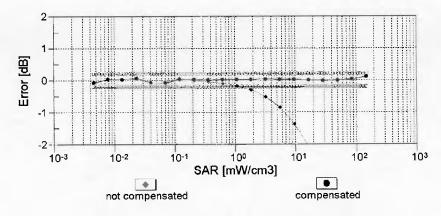




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

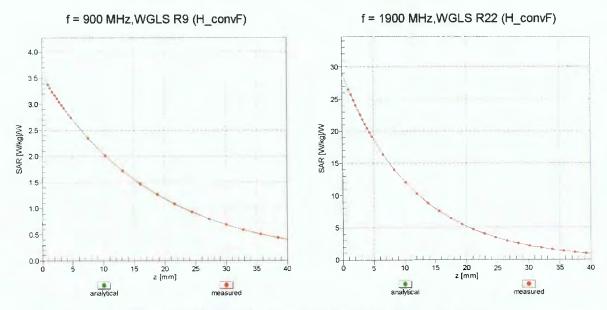
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)



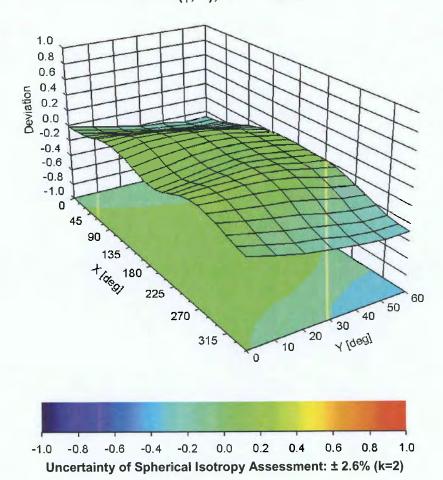


Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz



EX3DV4- SN:3772 February 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	81.5
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

Accreditation No.: SCS 0108

Certificate No: EX3-3901 Jan16

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Client

**UL CCS USA** 

## **CALIBRATION CERTIFICATE**

Object EX3DV4 - SN:3901

Calibration procedure(s) QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5,

**QA CAL-25.v6** 

Calibration procedure for dosimetric E-field probes

Calibration date: January 26, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Certificate No: EX3-3901\_Jan16

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

Name Function Signature

Calibrated by: Leif Klysner Laboratory Technician Signature

Approved by: Katja Pokovic Technical Manager

Issued: January 28, 2016

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## Calibration Laboratory of

Schmid & Partner
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#### Glossary:

TSL tissue simulating liquid
NORMx,y,z sensitivity in free space
ConvF sensitivity in TSL / NORMx,y,z
DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization  $\phi$   $\phi$  rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

 NORMx,y,z: Assessed for E-field polarization θ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).

NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
in the stated uncertainty of ConvF.

• DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.

 PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics

• Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.

• ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz

• Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.

Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

• Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

EX3DV4 - SN:3901 January 26, 2016

# Probe EX3DV4

SN:3901

Manufactured:

Calibrated:

October 9, 2012 January 26, 2016

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

January 26, 2016 EX3DV4-SN:3901

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3901

**Basic Calibration Parameters** 

Basic Cambradion Para	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.42	0.42	0.41	± 10.1 %
DCP (mV) <sup>B</sup>	98.8	103.2	101.6	

**Modulation Calibration Parameters** 

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>-</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	129.1	±3.5 %
0	011	Y	0.0	0.0	1.0		143.0	
		7	0.0	0.0	1.0		142.7	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

EX3DV4- SN:3901 January 26, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3901

## Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	43.5	0.87	11.27	11.27	11.27	0.16	1.40	± 13.3 %
750	41.9	0.89	10.21	10.21	10.21	0.43	0.91	± 12.0 %
900	41.5	0.97	9.64	9.64	9.64	0.35	0.97	± 12.0 %
1450	40.5	1.20	8.51	8.51	8.51	0.20	1.21	± 12.0 %
1750	40,1	1.37	8.38	8.38	8.38	0.52	0.80	± 12.0 %
1900	40.0	1.40	8.04	8.04	8.04	0.53	0.80	± 12.0 %
2300	39.5	1.67	8.07	8.07	8.07	0.47	0.80	± 12.0 %
2450	39.2	1.80	7.64	7.64	7.64	0.42	0.90	± 12.0 %
2600	39.0	1.96	7.39	7.39	7.39	0.45	0.88	± 12.0 %
3500	37.9	2.91	6.74	6.74	6.74	0.42	1.01	± 13.1 %
3700	37.7	3.12	6.26	6.26	6.26	0.26	1.41	± 13.1 %
4950	36.3	4.40	5.21	5.21	5.21	0.30	1.80	± 13.1 %
5250	35.9	4.71	4.67	4.67	4.67	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.12	4.12	4.12	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.23	4.23	4.23	0.40	1.80	± 13.1 %

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of

Page 5 of 11

the ConvF uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

January 26, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3901

## Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	56.7	0.94	11.24	11.24	11.24	0.10	1.20	± 13.3 %
750	55.5	0.96	9.82	9.82	9.82	0.37	0.99	± 12.0 %
900	55.0	1.05	9.63	9.63	9.63	0.35	1.01	± 12.0 %
1450	54.0	1.30	8.86	8.86	8.86	0.47	0.78	± 12.0 %
1750	53.4	1.49	8.24	8.24	8.24	0.36	0.97	± 12.0 %
1900	53.3	1.52	7.93	7.93	7.93	0.38	0.90	± 12.0 %
2300	52.9	1.81	7.56	7.56	7.56	0.41	0.86	± 12.0 %
2450	52.7	1.95	7.43	7.43	7.43	0.40	0.80	± 12.0 %
2600	52.5	2.16	7.06	7.06	7.06	0.35	0.86	± 12.0 %
3500	51.3	3.31	6.28	6.28	6.28	0.38	1.10	± 13.1 %
3700	51.0	3.55	6.19	6.19	6.19	0.32	1.25	± 13.1 %
4950	49.4	5.01	4.42	4.42	4.42	0.45	1.90	± 13.1 %
5250	48.9	5.36	4.10	4.10	4.10	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.53	3.53	3.53	0.60	1.90	± 13.1 %
5750	48.3	5.94	3.65	3.65	3.65	0.60	1.90	± 13.1 %

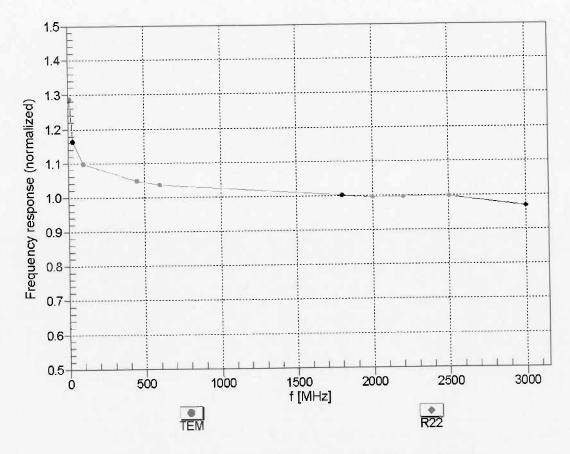
 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

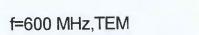
# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



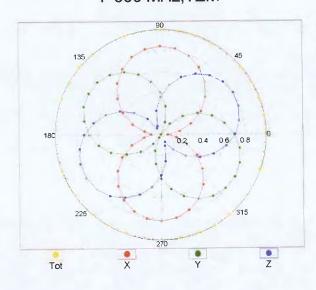
Uncertainty of Frequency Response of E-field:  $\pm$  6.3% (k=2)

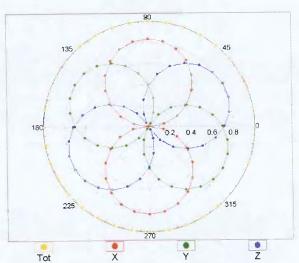
EX3DV4- SN:3901 January 26, 2016

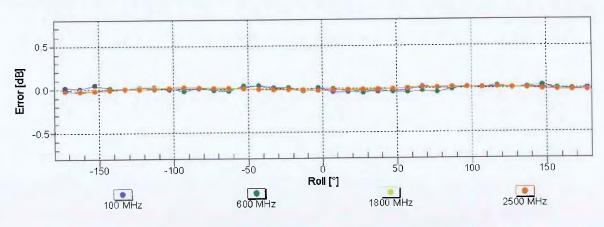
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$



f=1800 MHz,R22

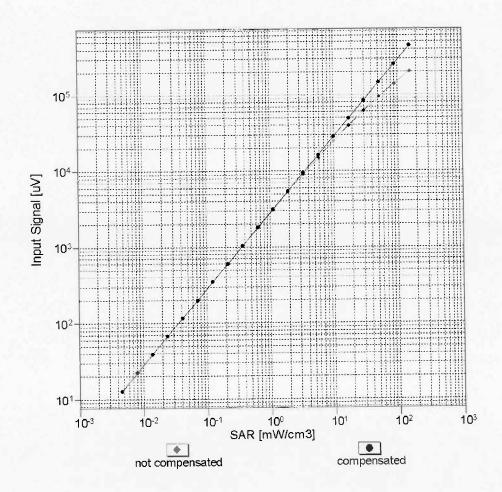


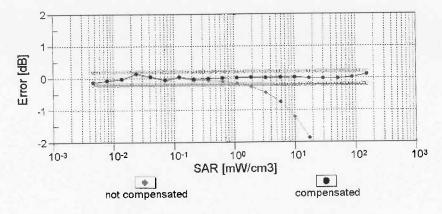




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

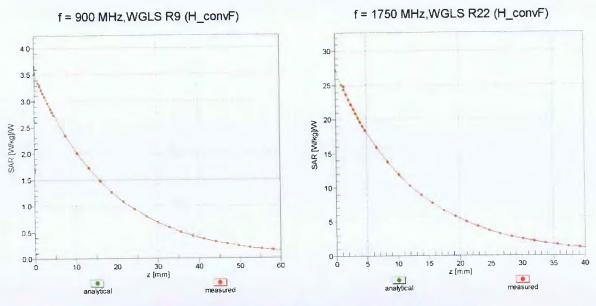




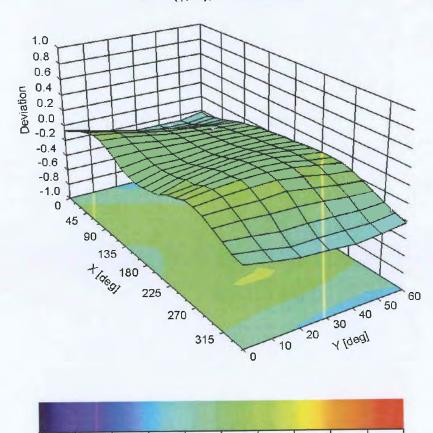
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

EX3DV4- SN:3901 January 26, 2016

## **Conversion Factor Assessment**



Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz



0.0

Uncertainty of Spherical Isotropy Assessment: ± 2.6% (k=2)

-0.2

-0.4

-0.6

0.2

0.4

0.6

0.8

EX3DV4- SN:3901 January 26, 2016

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3901

### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	7.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

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Client

**UL CCS USA** 

Certificate No: EX3-3773\_Apr16

## **CALIBRATION CERTIFICATE**

Object

EX3DV4 - SN:3773

Calibration procedure(s)

QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date:

April 19, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	06-Apr-16 (No. 217-02288/02289)	Apr-17
Power sensor NRP-Z91	SN: 103244	06-Apr-16 (No. 217-02288)	Apr-17
Power sensor NRP-Z91	SN: 103245	06-Apr-16 (No. 217-02289)	Apr-17
Reference 20 dB Attenuator	SN: S5277 (20x)	05-Apr-16 (No. 217-02293)	Apr-17
Reference Probe ES3DV2	SN: 3013	31-Dec-15 (No. ES3-3013_Dec15)	Dec-16
DAE4	SN: 660	23-Dec-15 (No. DAE4-660_Dec15)	Dec-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (No. 217-02285/02284)	In house check: Jun-16
Power sensor E4412A	SN: MY41498087	06-Apr-16 (No. 217-02285)	In house check: Jun-16
Power sensor E4412A	SN: 000110210	06-Apr-16 (No. 217-02284)	In house check: Jun-16
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Apr-13)	In house check: Jun-16
Network Analyzer HP 8753F	SN: US37390585	18-Oct-01 (in house check Oct-15)	In house check: Oct-16

Name Function Signature

Calibrated by: Leif Klysner Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: April 20, 2016

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The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

#### Glossary:

tissue simulating liquid TSL NORMx,y,z sensitivity in free space ConvF sensitivity in TSL / NORMx,v,z DCP diode compression point

crest factor (1/duty\_cycle) of the RF signal CF modulation dependent linearization parameters A, B, C, D

Polarization o φ rotation around probe axis

9 rotation around an axis that is in the plane normal to probe axis (at measurement center), Polarization 9

i.e., 9 = 0 is normal to probe axis

information used in DASY system to align probe sensor X to the robot coordinate system Connector Angle

#### Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- *NORMx*, *y*, *z*: Assessed for E-field polarization 9 = 0 ( $f \le 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E2-field uncertainty inside TSL (see below ConvF).
- $NORM(f)x,y,z = NORMx,y,z * frequency\_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Page 2 of 11 Certificate No: EX3-3773\_Apr16

EX3DV4 – SN:3773 April 19, 2016

# Probe EX3DV4

SN:3773

Manufactured:

January 10, 2011

Calibrated:

April 19, 2016

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.58	0.56	0.52	± 10.1 %
DCP (mV) <sup>B</sup>	98.3	98.6	102.5	11

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	172.6	±3.0 %
		Y	0.0	0.0	1.0		173.9	
		Z	0.0	0.0	1.0		167.9	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

Certificate No: EX3-3773\_Apr16

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

April 19, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.01	9.01	9.01	0.44	0.80	± 12.0 %
900	41.5	0.97	8.53	8.53	8.53	0.27	1.13	± 12.0 %
1750	40.1	1.37	7.67	7.67	7.67	0.29	0.80	± 12.0 %
1900	40.0	1.40	7.38	7.38	7.38	0.36	0.80	± 12.0 %
2300	39.5	1.67	7.02	7.02	7.02	0.35	0.80	± 12.0 %
2450	39.2	1.80	6.68	6.68	6.68	0.40	0.80	± 12.0 %
2600	39.0	1.96	6.49	6.49	6.49	0.41	0.80	± 12.0 %
5250	35.9	4.71	4.55	4.55	4.55	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.12	4.12	4.12	0.50	1.80	± 13.1 %
5750	35.4	5.22	4.31	4.31	4.31	0.50	1.80	± 13.1 %
5800	35.3	5.27	4.12	4.12	4.12	0.50	1.80	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	8.78	8.78	8.78	0.37	1.07	± 12.0 %
900	55.0	1.05	8.59	8.59	8.59	0.52	0.80	± 12.0 %
1750	53.4	1.49	7.29	7.29	7.29	0.44	0.80	± 12.0 %
1900	53.3	1.52	7.08	7.08	7.08	0.29	0.99	± 12.0 %
2300	52.9	1.81	6.87	6.87	6.87	0.35	0.80	± 12.0 %
2450	52.7	1.95	6.75	6.75	6.75	0.39	0.80	± 12.0 %
2600	52.5	2.16	6.58	6.58	6.58	0.38	0.80	± 12.0 %
5250	48.9	5.36	4.00	4.00	4.00	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.37	3.37	3.37	0.60	1.90	± 13.1 %
5750	48.3	5.94	3.73	3.73	3.73	0.60	1.90	± 13.1 %
5800	48.2	6.00	3.64	3.64	3.64	0.60	1.90	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

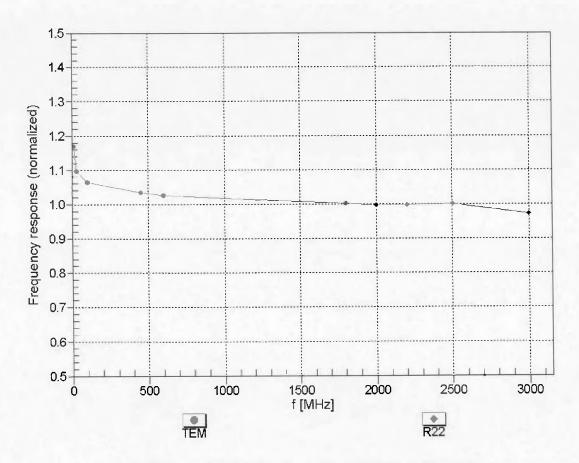
Certificate No: EX3-3773\_Apr16 Page 6 of 11

<sup>&</sup>lt;sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



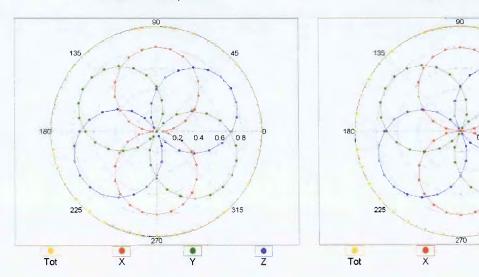
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

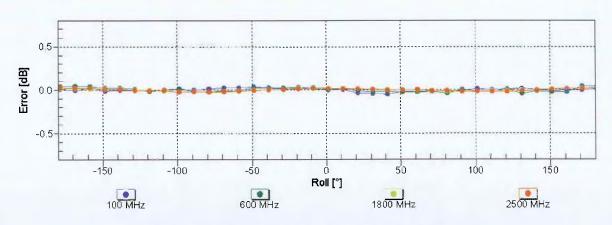
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

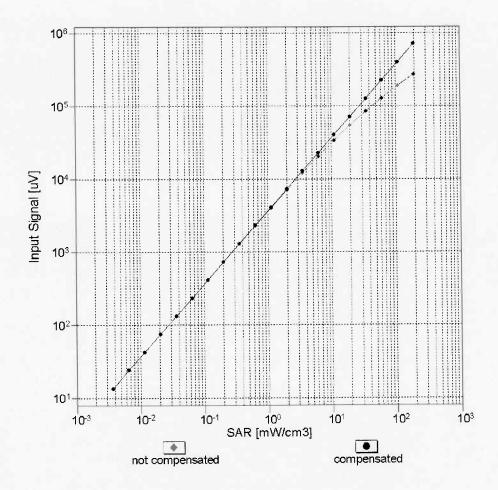
· Z

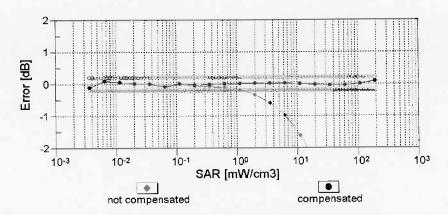




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

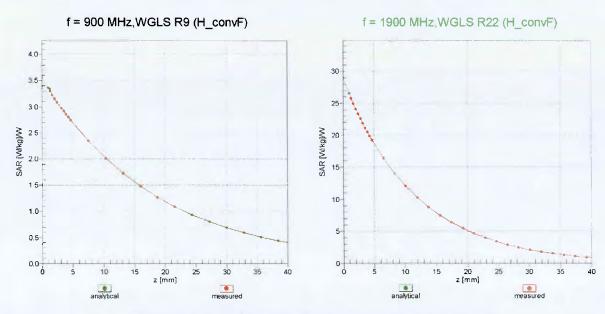
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)





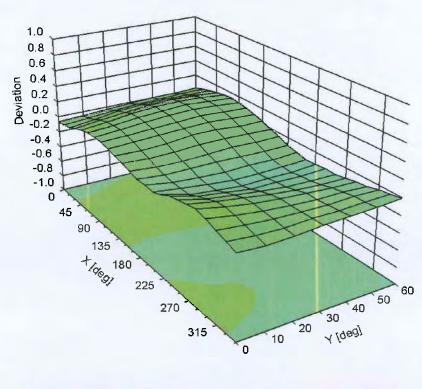
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

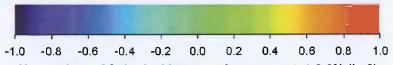
## **Conversion Factor Assessment**



# **Deviation from Isotropy in Liquid**

Error ( $\phi$ ,  $\vartheta$ ), f = 900 MHz





# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	-18.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

#### Calibration Laboratory of Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst S Service suisse d'étalonnage C Servizio svizzero di taratura S **Swiss Calibration Service** 

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client

**UL CCS USA** 

Certificate No: EX3-3871\_Aug15

### **CALIBRATION CERTIFICATE**

Object

EX3DV4 - SN:3871

Calibration procedure(s)

QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date:

August 14, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	30-Dec-14 (No. ES3-3013_Dec14)	Dec-15
DAE4	SN: 660	14-Jan-15 (No. DAE4-660_Jan15)	Jan-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-14)	In house check: Oct-15

Name Function Signature Calibrated by: Leif Klysner Laboratory Technician Approved by: Katja Pokovic Technical Manager

Issued: August 17, 2015

This calibration certificate shall not be reproduced except in full without written approval of the laboratory,

#### **Calibration Laboratory of**

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
C Service suisse d'étalonnage
Servizio svizzero di taratura
Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF DCP sensitivity in TSL / NORMx,y,z diode compression point

CF A, B, C, D crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization @

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle

information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### **Methods Applied and Interpretation of Parameters:**

- NORMx,y,z: Assessed for E-field polarization θ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide).
   NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Certificate No: EX3-3871\_Aug15

Page 2 of 11

# Probe EX3DV4

SN:3871

Manufactured:

February 2, 2012

Calibrated:

August 14, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.42	0.30	0.51	± 10.1 %
DCP (mV) <sup>B</sup>	104.2	114.6	105.2	

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Unc <sup>±</sup> (k=2)
0	CW	X 0.0	0.0	1.0	0.00	167.6	±3.5 %	
		Y	0.0	0.0	1.0		168.7	
		Z	0.0	0.0	1.0		161.4	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of Norm X,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.97	9.97	9.97	0.25	1.15	± 12.0 %
900	41.5	0.97	9.40	9.40	9.40	0.17	1.69	± 12.0 %
1450	40.5	1.20	8.76	8.76	8.76	0.13	1.68	± 12.0 %
1750	40.1	1.37	8.28	8.28	8.28	0.37	0.80	± 12.0 %
1900	40.0	1.40	7.99	7.99	7.99	0.36	0.80	± 12.0 %
2100	39.8	1.49	8.10	8.10	8.10	0.37	0.83	± 12.0 %
2300	39.5	1.67	7.63	7.63	7.63	0.36	0.80	± 12.0 %
2450	39.2	1.80	7.17	7.17	7.17	0.29	0.92	± 12.0 %
2600	39.0	1.96	7.18	7.18	7.18	0.43	0.80	± 12.0 %
5250	35.9	4.71	5.17	5.17	5.17	0.30	1.80	± 13.1 %
5600	35.5	5.07	4.75	4.75	4.75	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.81	4.81	4.81	0.40	1.80	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	9.97	9.97	9.97	0.25	1.21	± 12.0 %
900	55.0	1.05	9.62	9.62	9.62	0.25	1.19	± 12.0 %
1450	54.0	1.30	8.32	8.32	8.32	0.27	1.05	± 12.0 %
1750	53.4	1.49	7.99	7.99	7.99	0.39	0.80	± 12.0 %
1900	53.3	1.52	7.75	7.75	7.75	0.29	0.98	± 12.0 %
2100	53.2	1.62	8.05	8.05	8.05	0.36	0.85	± 12.0 %
2300	52.9	1.81	7.59	7.59	7.59	0.35	0.90	± 12.0 %
2450	52.7	1.95	7.33	7.33	7.33	0.29	0.89	± 12.0 %
2600	52.5	2.16	7.31	7.31	7.31	0.21	0.95	± 12.0 %
5250	48.9	5.36	4.57	4.57	4.57	0.40	1.90	± 13.1 %
5600	48.5	5.77	4.02	4.02	4.02	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.20	4.20	4.20	0.50	1.90	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

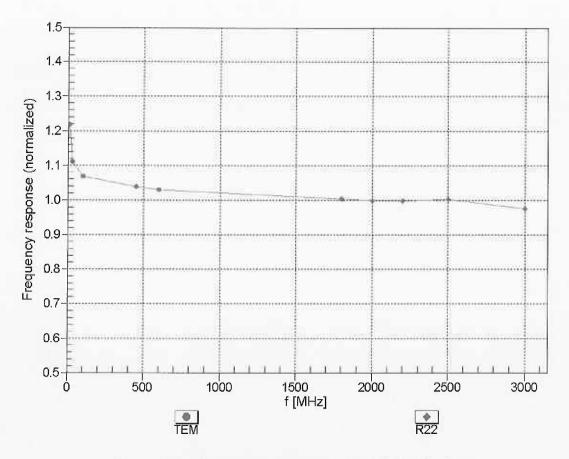
F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)

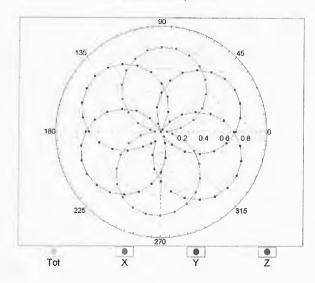


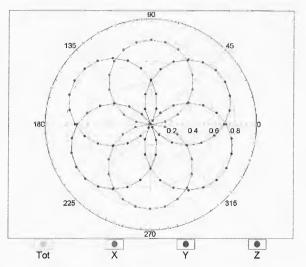
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

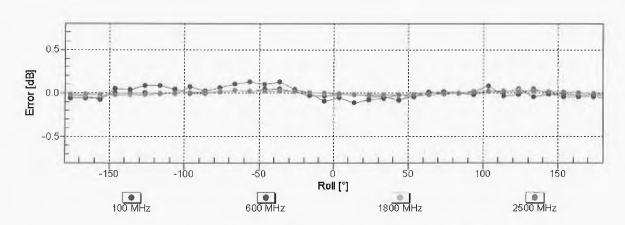
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

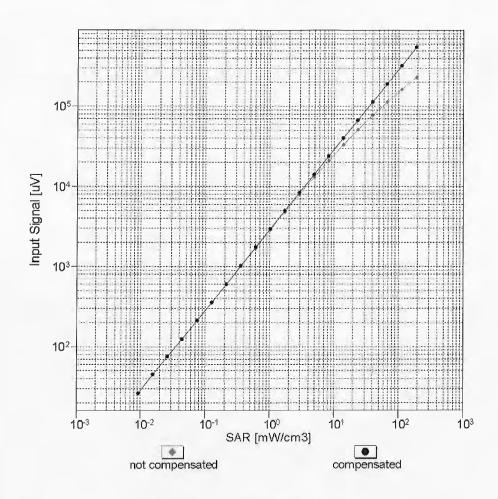


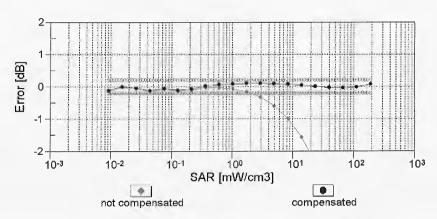




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

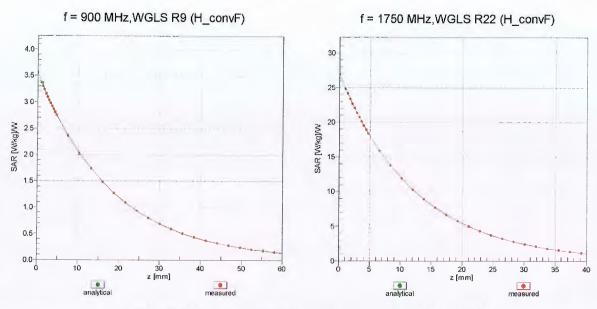
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)





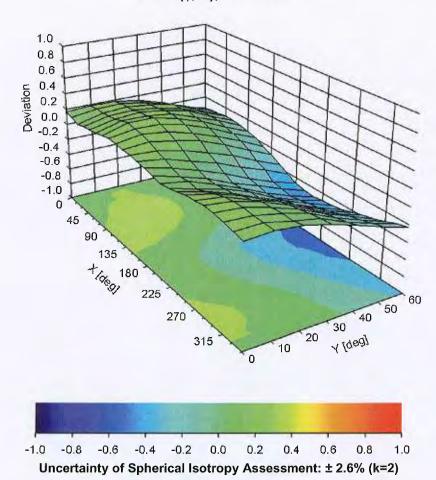
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

## **Conversion Factor Assessment**



# **Deviation from Isotropy in Liquid**

Error  $(\phi, \vartheta)$ , f = 900 MHz



#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	-16.3
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm