

CBSD Test Report

Report No.: RFBEIH-WTW-P22110003-1 R1

FCC ID: P27-SCE5164-B48

Test Model: SCE5164-B48

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Test Date: Nov. 22, 2022 ~ Feb. 04, 2023

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Applicant: Sercomm Corp.

Address: 8F, No. 3-1, YuanQu St., NanKang, Taipei 115, Taiwan, R.O.C.

Issued By: Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch
Lin Kou Laboratories

Lab Address: No. 47-2, 14th Ling, Chia Pau Vil., Lin Kou Dist., New Taipei City, Taiwan

Test Location: No. 19, Hwa Ya 2nd Rd., Wen Hwa Vil., Kwei Shan Dist., Taoyuan City
33383, TAIWAN

**FCC Registration /
Designation Number:** 788550 / TW0003



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Release Control Record

Issue No.	Description	Date Issued
RFBEIH-WTW-P22110003-1	Original release	Feb. 22, 2023
RFBEIH-WTW-P22110003-1 R1	Revised standards on page 5	Mar. 08, 2023

1 Certificate of Conformity

Product: Bridgestone
Brand: Sercomm
Test Model: SCE5164-B48
Sample Status: Engineering sample
Applicant: Sercomm Corp.
Test Date: Nov. 22, 2022 ~ Feb. 04, 2023
Standards: WINNF-TS-0122 V1.0.2
 ONGO-TS-9001 V1.3.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by : Celine Chou , **Date:** Mar. 08, 2023
Celine Chou / Senior Specialist

Approved by : Jeremy Lin , **Date:** Mar. 08, 2023
Jeremy Lin / Project Engineer

2 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT(CBSD, DP/CBSD)	26	26	100
PT(CBSD, DP/CBSD)	1	1	100
Total	27	27	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBS/D/DP UUT to the requirements developed by WinnForum and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBS/D/DP UUT to support various traffic models and actual operations in the field.

Supported Features in details:

WINNF-TS-0122 Test Case		
Definitions	Test Case ID	Supported
C1	WINNF.FT.C.REG.1	Yes
C2	WINNF.FT.C.REG.3 WINNF.FT.C.REG.20	Yes
C3	NA	No
C4	NA	No
C5	NA	No
C6	NA	No

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	Pass
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	NA
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	Pass
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	NA
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	Pass
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	NA
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	Pass
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	NA
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	Pass
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	NA
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	Pass
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	NA
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	Pass
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version (responseCode 100)	NA
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	Pass
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	NA
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	Pass

WINNF-TS-0122 Test Case			
Section	Test Case ID	Test Case Title	Test Result
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	Pass
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	NA
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	Pass
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	NA
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	NA
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	NA
6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	NA
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	NA
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	NA
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	Pass
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	NA
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	NA
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	Pass
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	NA
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	NA
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	NA
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	Pass

Note: Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.

2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) (\pm)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

2.2 Modification Record

There were no modifications required for compliance.

3 General Information

3.1 General Description of EUT

Product	Bridgestone
Brand	Sercomm
Test Model	SCE5164-B48
Sample Status	Engineering sample
Power Supply Rating	19Vdc from adapter 56Vdc from POE
Hardware Version	DR600N0C-1.6
Firmware Version	DG5605@2209281146
Antenna Type	Refer to note
Antenna Connector	Refer to note
Accessory Device	Adapter, GPS Antenna (Brand: INPAQ, Model: GPS13D-S6-00, Signal Line: 10m)
Cable Supplied	1m non-shielded LAN cable without core

Note:

1. The EUT consumes power from the following Adapters & POE. (POE for support unit only)

Adapter	
Brand	MOSO
Model	MS-Z3000R190-060B0-E
Input Power	100-240Vac, 50/60Hz, 1.5A
Output Power	19Vdc, 3A, 57W
Power Line	DC Output Cable : 1.5m non-shielded without core AC power cord : 1.5m non-shielded without core

POE	
Brand	PHIHONG
Model	POE60U-BTA
Input Power	100-240Vac, 50-60Hz, 1.5A
Output Power	56Vdc, 0.535A, 30W

2. The following antennas were provided to the EUT.

No.	Type	Connector	Gain (dBi)
1	Dipole	mmcx	6.00
2	Dipole	mmcx	6.00

* Detail antenna specification please refer to antenna datasheet.

Test Condition:

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	23deg. C, 66%RH	120Vac, 60Hz	Matthew Yang

3.2 General Description of Applied Standards

The UUT is a BTS-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards and references:

Test standard:

FCC 47 CFR Part 96

All test items have been performed and recorded as per the above standards.

References Test Guidance:

KDB 940660 D01 Part 96 CBRS Eqpt v03

All test items have been performed as a reference to the above KDB test guidance.

4 Measurement

4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

4.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

4.3 Test Environment

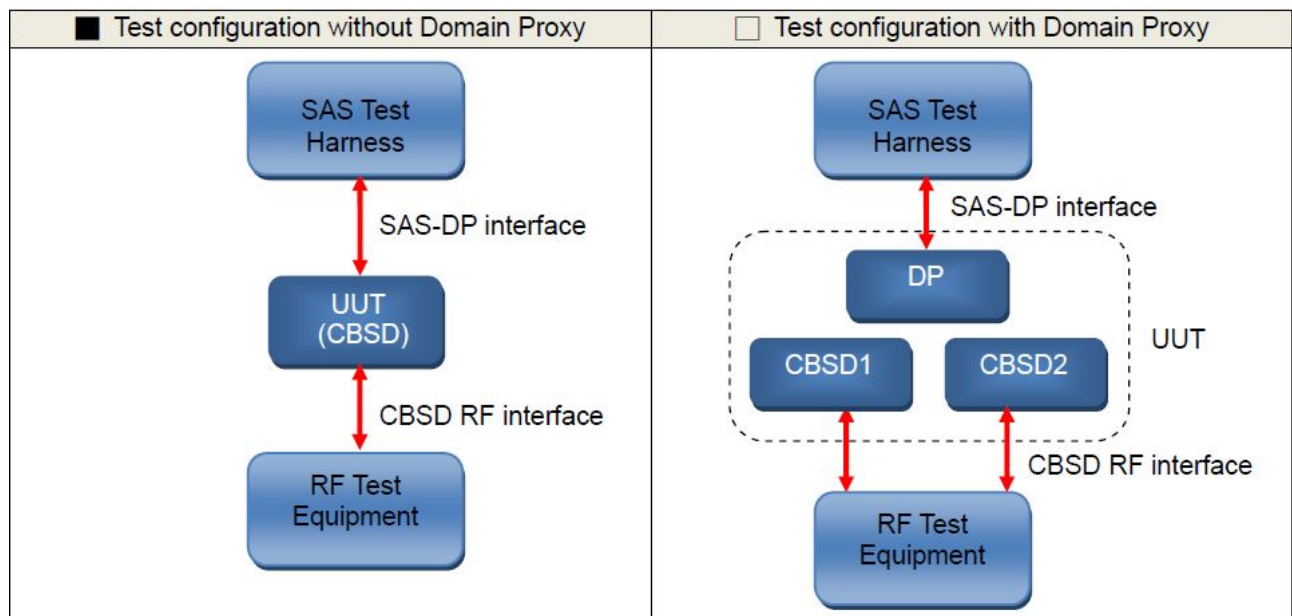
Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13

4.4 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
ROHDE & SCHWARZ Signal Analyzer	PXA N9030A	E1-020057	Mar. 04, 2022	Mar. 03, 2023
Temperature & Humidity Chamber TERCHY	TFA 452019	E2-010886	Dec. 15, 2022	Dec. 14, 2023
Laptop Lenovo	P137G	P137G001	NA	NA

Note: 1. The test was performed in InfoSec Test Room.
 2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

4.5 Test Setup



4.6 Test Results

4.6.1 CBSD Registration Process

4.6.1.1 WINNF.FT.C.REG.1

Test Case ID : WINNF.FT.C.REG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: <ul style="list-style-type: none"> ● The required <i>userId</i>, <i>fcld</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.2 WINNF.FT.C.REG.3

Test Case ID : WINNF.FT.C.REG.3 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends Registration request to SAS Test Harness: all required and REG-Conditional parameter included (userId, fcld, cbsdSerialNumber, cbsdCategory, airInterface, installationParam, measCapability) for a Category A CBSD. <ul style="list-style-type: none"> ● The required userId, fcld and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, installationParam, and measCapability registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.3 WINNF.FT.C.REG.8

Test Case ID : WINNF.FT.C.REG.8 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 102 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.4 WINNF.FT.C.REG.10

Test Case ID : WINNF.FT.C.REG.10 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 200 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.5 WINNF.FT.C.REG.12

Test Case ID : WINNF.FT.C.REG.12 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 103 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.6 WINNF.FT.C.REG.14

Test Case ID : WINNF.FT.C.REG.14 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 101 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.7 WINNF.FT.C.REG.16

Test Case ID : WINNF.FT.C.REG.16
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 100 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.8 WINNF.FT.C.REG.18

Test Case ID : WINNF.FT.C.REG.18 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 201 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.9 WINNF.FT.C.REG.20

The test case ID is provided as a means to ensure that evidence is provided showing compliance to this requirement.

4.6.2 CBSD Spectrum Grant Process

4.6.2.1 WINNF.FT.C.GRA.1

Test Case ID : WINNF.FT.C.GRA.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <i>cbsdId</i>=C <i>responseCode</i> = R = 400 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.2.2 WINNF.FT.C.GRA.2

Test Case ID : WINNF.FT.C.GRA.2 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <i>cbsdId</i>=C <i>responseCode</i> = R = 401 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3 CBSD Heart Beat Process

4.6.3.1 WINNF.FT.C.HBT.1

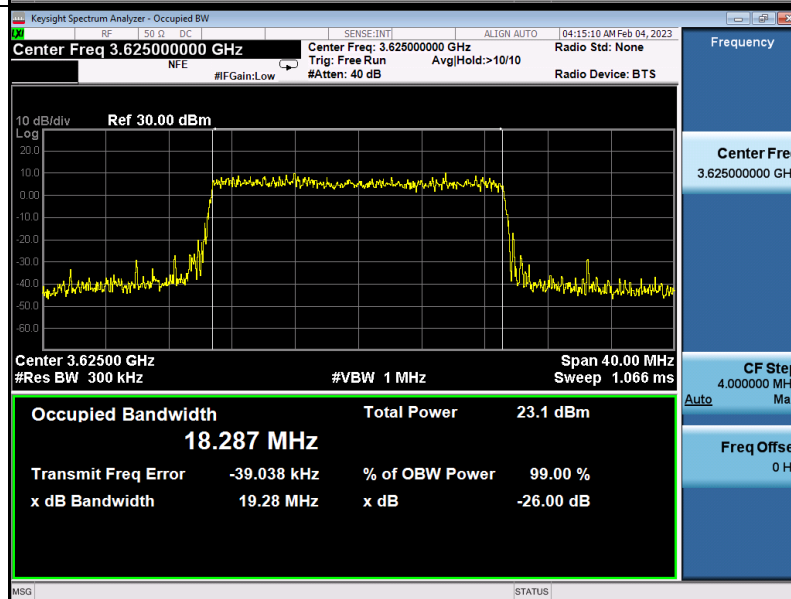
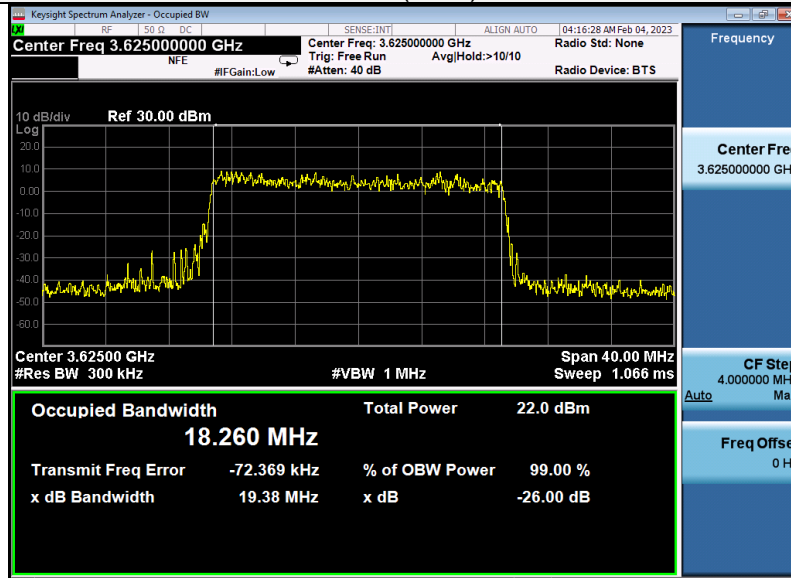
■ Test Case ID : WINNF.FT.C.HBT.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● <i>cbsdId</i> = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	■ Pass	□ Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>availableChannel</i> is an array of availableChannel objects ● <i>responseCode</i> = 0	--	--
5	UUT sends Grant Request message. Validate: ● <i>cbsdId</i> = C ● <i>maxEIRP</i> is at or below the limit appropriate for CBSD category as defined by Part 96 ● <i>operationFrequencyRange</i> , F, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G = a valid grant ID ● <i>grantExpireTime</i> = UTC time greater than duration of the test ● <i>responseCode</i> = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	■ Pass	□ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	■ Pass	□ Fail

- UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.

Channel	Freq. (MHz)	OCP 99 Band Width (MHz)	
		F = 20MHz	
		Chain (0)	Chain (1)
Middle	3625	18.260	18.287

Spectrum Plot
Chain (0 ~ 1)



4.6.3.2 WINNF.FT.C.HBT.3

Test Case ID : WINNF.FT.C.HBT.3
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = Current UTC time ● <i>responseCode</i> = 105 (DEREGISTER) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.3 WINNF.FT.C.HBT.4

Test Case ID : WINNF.FT.C.HBT.4
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 500 (TERMINATED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.4 WINNF.FT.C.HBT.5

Test Case ID : WINNF.FT.C.HBT.5
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ? <i>responseCode</i> = 501 (SUSPENDED GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT does not transmit at any time 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.5 WINNF.FT.C.HBT.6

Test Case ID : WINNF.FT.C.HBT.6
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbdsId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.6 WINNF.FT.C.HBT.7

Test Case ID : WINNF.FT.C.HBT.7
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 502 (UNSYNC_OP_PARAM) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify: <ul style="list-style-type: none"> ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> ○ <i>cbsdId</i> = C ○ <i>grantId</i> = G Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.7 WINNF.FT.C.HBT.9

Test Case ID : WINNF.FT.C.HBT.9
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	--	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: <ul style="list-style-type: none"> ● At any time during the test, UUT shall not transmit on RF interface 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.8 WINNF.FT.C.HBT.10

Test Case ID : WINNF.FT.C.HBT.10
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time + 200 seconds ● <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.4 CBSD Relinquishment Process

4.6.4.1 WINNF.FT.C.RLQ.1

Test Case ID : WINNF.FT.C.RLQ.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>grantId = G</i> 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: <ul style="list-style-type: none"> - <i>cbsdId = C</i> - <i>grantId = G</i> - <i>responseCode = 0</i> 	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.5 CBSD Deregistration Process

4.6.5.1 WINNF.FT.C.DRG.1

Test Case ID : WINNF.FT.C.DRG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i> .	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>responseCode = 0</i> 	--	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.6 CBSD Security Validation

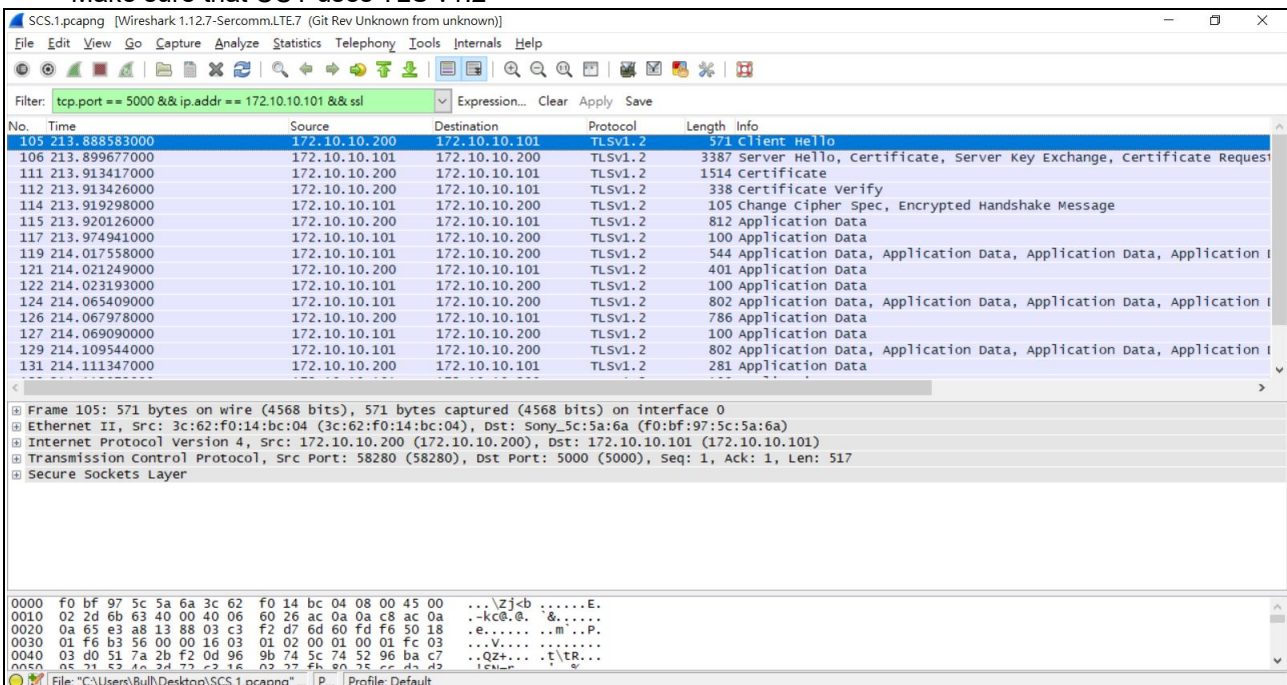
4.6.6.1 WINNF.FT.C.SCS.1

Test Case ID : WINNF.FT.C.SCS.1
 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbstdId</i>. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



SCS.1.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 172.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
105	213.888583000	172.10.10.200	172.10.10.101	TLSv1.2	571	Client Hello
106	213.899677000	172.10.10.101	172.10.10.200	TLSv1.2	3387	Server Hello, Certificate, Server Key Exchange, Certificate Request
111	213.913417000	172.10.10.200	172.10.10.101	TLSv1.2	1514	certificate
112	213.913426000	172.10.10.200	172.10.10.101	TLSv1.2	338	certificate Verify
114	213.919298000	172.10.10.101	172.10.10.200	TLSv1.2	105	change Cipher Spec, Encrypted Handshake Message
115	213.920126000	172.10.10.200	172.10.10.101	TLSv1.2	812	Application Data
117	213.974941000	172.10.10.101	172.10.10.200	TLSv1.2	100	Application Data
119	214.017558000	172.10.10.101	172.10.10.200	TLSv1.2	544	Application Data, Application Data, Application Data, Application Data
121	214.021249000	172.10.10.200	172.10.10.101	TLSv1.2	401	Application Data
122	214.023193000	172.10.10.101	172.10.10.200	TLSv1.2	100	Application Data
124	214.065409000	172.10.10.101	172.10.10.200	TLSv1.2	802	Application Data, Application Data, Application Data, Application Data
126	214.067978000	172.10.10.200	172.10.10.101	TLSv1.2	786	Application Data
127	214.069090000	172.10.10.101	172.10.10.200	TLSv1.2	100	Application Data
129	214.109544000	172.10.10.101	172.10.10.200	TLSv1.2	802	Application Data, Application Data, Application Data, Application Data
131	214.111347000	172.10.10.200	172.10.10.101	TLSv1.2	281	Application Data

Frame 105: 571 bytes on wire (4568 bits), 571 bytes captured (4568 bits) on interface 0
 Ethernet II, Src: 3c:62:f0:14:bc:04 (3c:62:f0:14:bc:04), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
 Internet Protocol Version 4, Src: 172.10.10.200 (172.10.10.200), Dst: 172.10.10.101 (172.10.10.101)
 Transmission Control Protocol, Src Port: 58280 (58280), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 517
 Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 3c 62 f0 14 bc 04 08 00 45 00  ...Zj-cb.....E.
0010 02 2d 6b 63 40 00 40 06 60 26 ac 0a 0a c8 ac 0a  -kc@.@. '&.....
0020 0a 65 e3 a8 13 88 03 c3 f2 d7 6d 60 fd f6 50 18  .e.....m..P.
0030 01 f6 b3 56 00 00 16 03 01 02 00 01 00 01 fc 03  ...V.....
0040 03 d0 51 7a 2b f2 0d 96 9b 74 5c 74 52 96 ba c7  ..QZ+...T\ER...
0050 65 31 52 4a 2d 72 c2 16 02 37 fb 09 75 cc da d2  .cN...
  
```

File: "C:\Users\Bull\Desktop\SCS.1.pcapng" ... Profile: Default

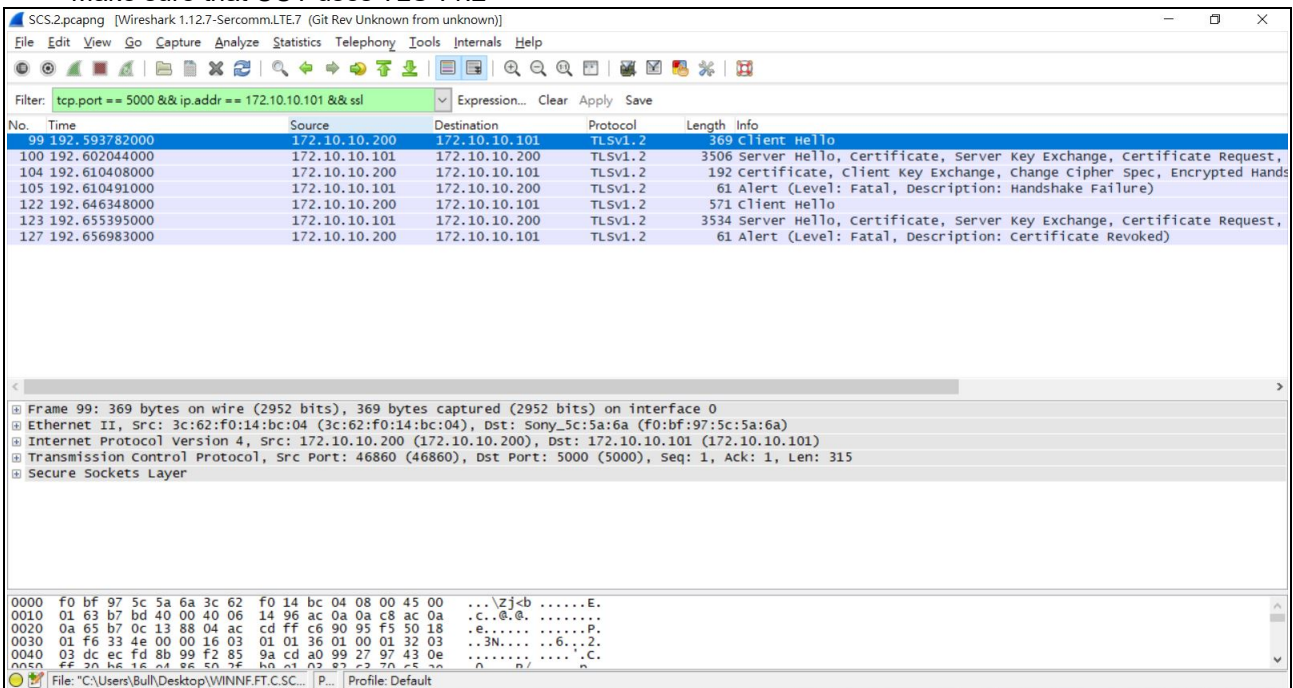
4.6.6.2 WINNF.FT.C.SCS.2

Test Case ID : WINNF.FT.C.SCS.2 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



SCS.2.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 172.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
99	192.593782000	172.10.10.200	172.10.10.101	TLSv1.2	369	Client Hello
100	192.602044000	172.10.10.101	172.10.10.200	TLSv1.2	3506	Server Hello, Certificate, Server Key Exchange, Certificate Request,
104	192.610408000	172.10.10.200	172.10.10.101	TLSv1.2	192	Certificate, Client Key Exchange, Change Cipher Spec, Encrypted Handshake
105	192.610491000	172.10.10.101	172.10.10.200	TLSv1.2	61	Alert (Level: Fatal, Description: Handshake Failure)
122	192.646348000	172.10.10.200	172.10.10.101	TLSv1.2	571	Client Hello
123	192.655395000	172.10.10.101	172.10.10.200	TLSv1.2	3534	Server Hello, Certificate, Server Key Exchange, Certificate Request,
127	192.656983000	172.10.10.200	172.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Revoked)

Frame 99: 369 bytes on wire (2952 bits), 369 bytes captured (2952 bits) on interface 0

Ethernet II, Src: 3c:62:f0:14:bc:04 (3c:62:f0:14:bc:04), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 172.10.10.200 (172.10.10.200), Dst: 172.10.10.101 (172.10.10.101)

Transmission Control Protocol, Src Port: 46860 (46860), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 315

Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 3c 62 f0 14 bc 04 08 00 45 00 ... \zj<b .....E.
0010 01 63 b7 bd 40 00 40 06 14 96 ac 0a 0a c8 ac 0a .c..@.@ .....
0020 0a 65 b7 0c 13 88 04 ac cd ff c6 90 95 f5 50 18 .e.....P.
0030 01 f6 33 4e 00 00 16 03 01 01 36 01 00 01 32 03 ..3N.....6...2.
0040 03 dc ec fd 8b 99 f2 85 9a cd a0 99 27 97 43 0e .....C.
0050 ff 20 b6 16 a4 86 50 2f b0 a1 02 87 c2 70 c5 2e .n/.....
  
```

File: "C:\Users\Bull\Desktop\WINNF.FT.C.SC... P... Profile: Default

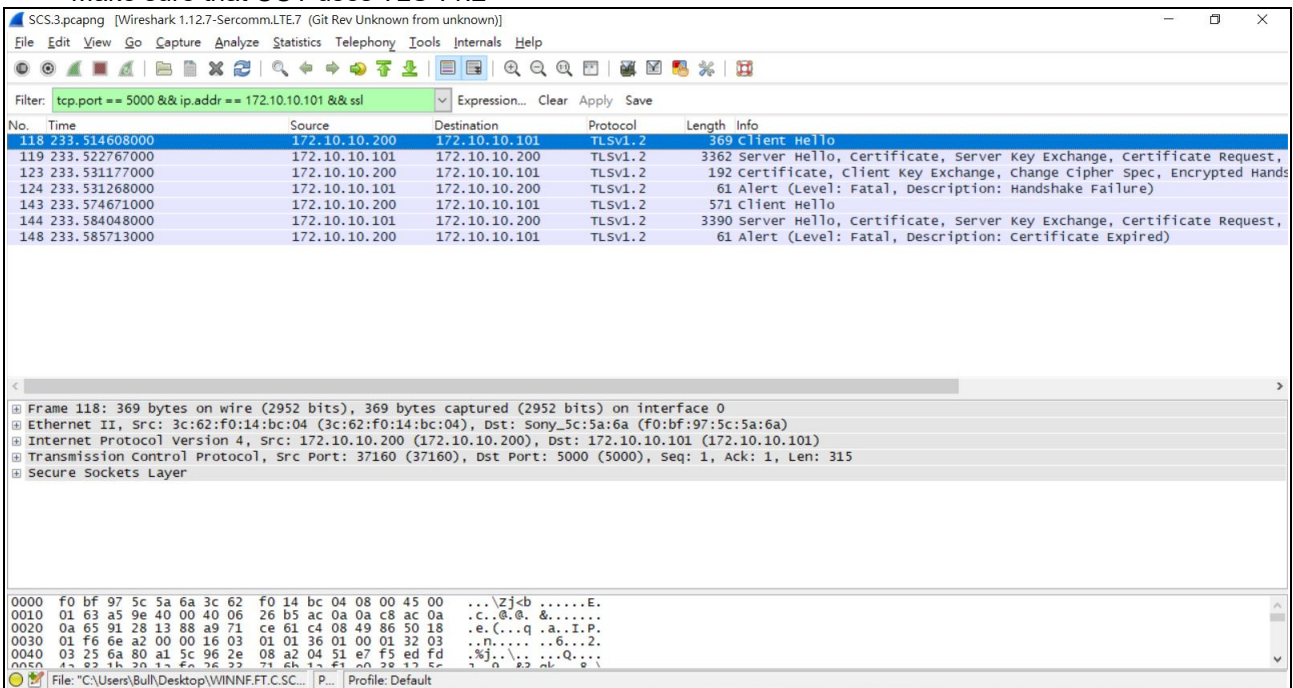
4.6.6.3 WINNF.FT.C.SCS.3

Test Case ID : WINNF.FT.C.SCS.3 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



The screenshot shows a Wireshark capture of a TLSv1.2 handshake. The filter is set to 'tcp.port == 5000 && ip.addr == 172.10.10.101 && ssl'. The capture shows the following frames:

- 118: Client Hello (369 bytes)
- 119: Server Hello (3362 bytes)
- 123: Certificate (192 bytes)
- 124: Alert (Level: Fatal, Description: Handshake Failure) (61 bytes)
- 143: Client Hello (571 bytes)
- 144: Server Hello (3390 bytes)
- 148: Alert (Level: Fatal, Description: Certificate Expired) (61 bytes)

The packet details for frame 118 are expanded, showing:

- Ethernet II, Src: 3c:62:f0:14:bc:04, Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol Version 4, Src: 172.10.10.200, Dst: 172.10.10.101
- Transmission Control Protocol, Src Port: 37160, Dst Port: 5000, Seq: 1, Ack: 1, Len: 315
- Secure Sockets Layer

The packet bytes pane shows the raw data in hexadecimal and ASCII.

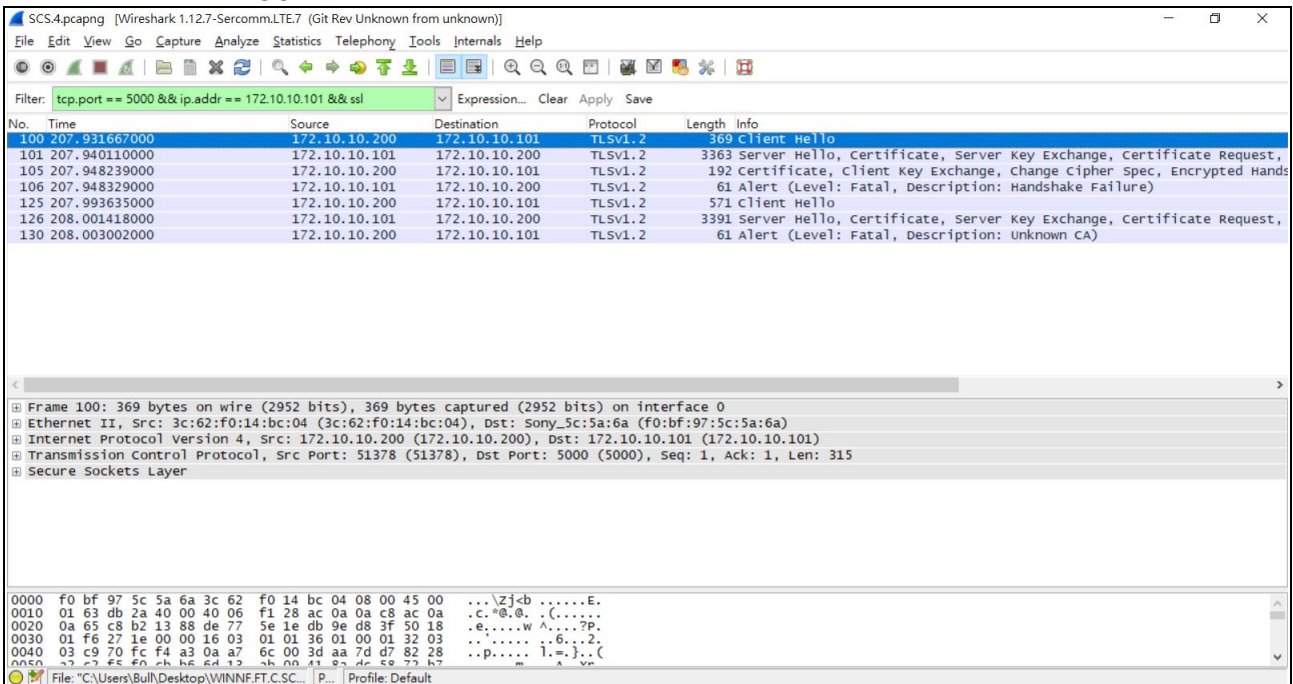
4.6.6.4 WINNF.FT.C.SCS.4

Test Case ID : WINNF.FT.C.SCS.4 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



SCS.4.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 172.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
100	207.931667000	172.10.10.200	172.10.10.101	TLSv1.2	369	Client Hello
101	207.940110000	172.10.10.101	172.10.10.200	TLSv1.2	3363	Server Hello, Certificate, Server Key Exchange, Certificate Request,
105	207.948239000	172.10.10.200	172.10.10.101	TLSv1.2	192	certificate, Client Key Exchange, Change Cipher Spec, Encrypted Hands
106	207.948329000	172.10.10.101	172.10.10.200	TLSv1.2	61	Alert (Level: Fatal, Description: Handshake Failure)
125	207.993635000	172.10.10.200	172.10.10.101	TLSv1.2	571	client Hello
126	208.001418000	172.10.10.101	172.10.10.200	TLSv1.2	3391	Server Hello, Certificate, Server Key Exchange, Certificate Request,
130	208.003002000	172.10.10.200	172.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: unknown CA)

Frame 100: 369 bytes on wire (2952 bits), 369 bytes captured (2952 bits) on interface 0

Ethernet II, Src: 3c:62:f0:14:bc:04 (3c:62:f0:14:bc:04), Dst: Sony_3c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 172.10.10.200 (172.10.10.200), Dst: 172.10.10.101 (172.10.10.101)

Transmission Control Protocol, Src Port: 51378 (51378), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 315

Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 3c 62 f0 14 bc 04 08 00 45 00 ...Zj-cb .....E.
0010 01 63 db 2a 40 00 40 06 f1 28 ac 0a 0a c8 ac 0a .c-@.@. (.....
0020 0a 65 c8 b2 13 88 de 77 5e 1e db 9e d8 3f 50 18 .e.....w ^...7p.
0030 01 f6 27 1e 00 00 16 03 01 01 36 01 00 01 32 03 ..p.....6..2.
0040 03 c9 70 fc f4 a3 0a a7 6c 00 3d aa 7d d7 82 28 ..p.....l=}..(
0050 03 c9 70 fc f4 a3 0a a7 6c 00 3d aa 7d d7 82 28 ..p.....l=}..(
  
```

File: "C:\Users\Bull\Desktop\WINNF.FT.C.SC..." Profile: Default

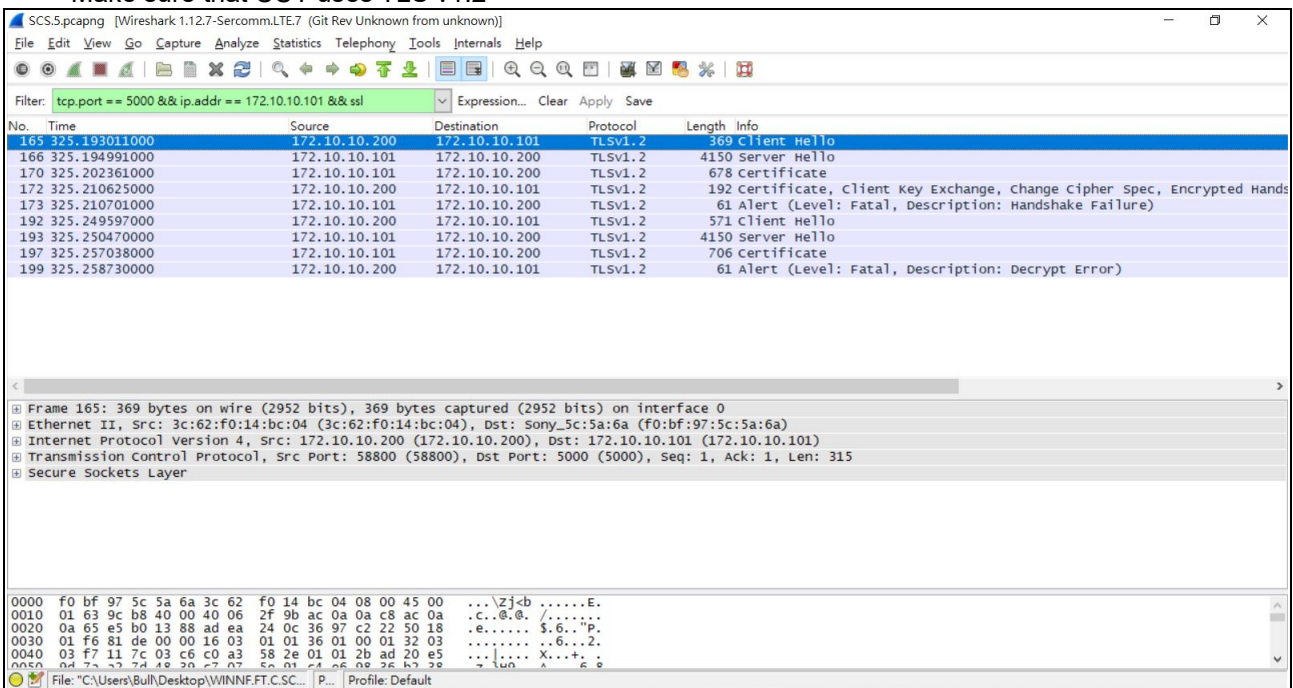
4.6.6.5 WINNF.FT.C.SCS.5

Test Case ID : WINNF.FT.C.SCS.5 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



SCS.5.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 172.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
165	325.193011000	172.10.10.200	172.10.10.101	TLSv1.2	369	Client Hello
166	325.194991000	172.10.10.101	172.10.10.200	TLSv1.2	4150	Server Hello
170	325.202361000	172.10.10.101	172.10.10.200	TLSv1.2	678	certificate
172	325.210625000	172.10.10.200	172.10.10.101	TLSv1.2	192	certificate, Client Key Exchange, Change Cipher Spec, Encrypted Handshake
173	325.210701000	172.10.10.101	172.10.10.200	TLSv1.2	61	Alert (Level: Fatal, Description: Handshake Failure)
192	325.249597000	172.10.10.200	172.10.10.101	TLSv1.2	571	client Hello
193	325.250470000	172.10.10.101	172.10.10.200	TLSv1.2	4150	Server Hello
197	325.257038000	172.10.10.101	172.10.10.200	TLSv1.2	706	certificate
199	325.258730000	172.10.10.200	172.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: Decrypt Error)

Frame 165: 369 bytes on wire (2952 bits), 369 bytes captured (2952 bits) on interface 0

- Ethernet II, Src: 3c:62:f0:14:bc:04 (3c:62:f0:14:bc:04), Dst: Sony_3c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol Version 4, Src: 172.10.10.200 (172.10.10.200), Dst: 172.10.10.101 (172.10.10.101)
- Transmission Control Protocol, Src Port: 58800 (58800), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 315
- Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 3c 62 f0 14 bc 04 08 00 45 00 ...Zj-cb .....E.
0010 01 63 9c b8 40 00 40 06 2f 9b ac 0a 0a c8 ac 0a .c..@. /.....
0020 0a 65 e5 b0 13 88 ad ea 24 0c 36 97 c2 22 50 18 .e.....$. .p.
0030 01 f6 81 de 00 00 16 03 01 01 36 01 00 01 32 03 .....6...2.
0040 03 f7 11 7c 03 c6 c0 a3 58 2e 01 01 2b ad 20 e5 ...X...+.
0050 04 75 57 74 48 20 27 07 50 01 28 06 09 26 b7 28 ...uon A 6 8
  
```

File: "C:\Users\Bull\Desktop\WINNF.FT.C.SC..." Profile: Default

4.6.7 CBSD RF Power Measurement

4.6.7.1 WINNF.PT.C.HBT.1

Test Case ID : WINNF.PT.C.HBT.1 NA

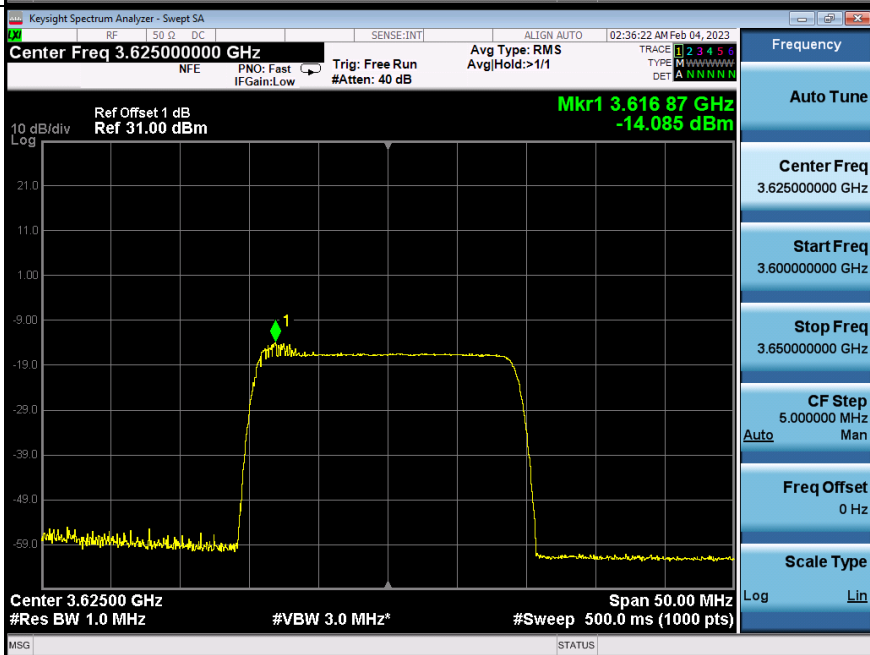
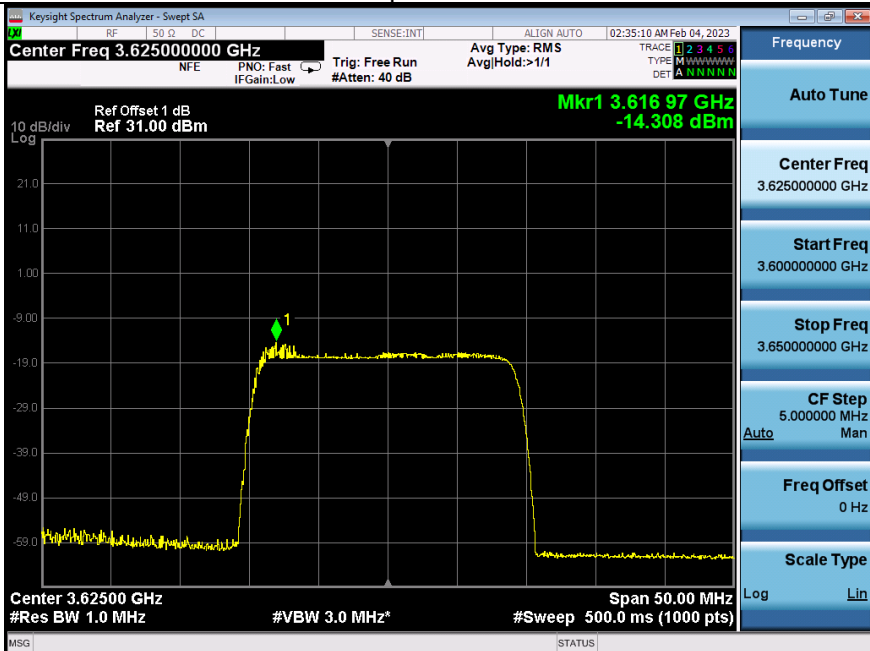
#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT has registered with the SAS, with CBSD ID = C ● UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</i></p>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> ● UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ● SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

RF measurement plot for Test Case:

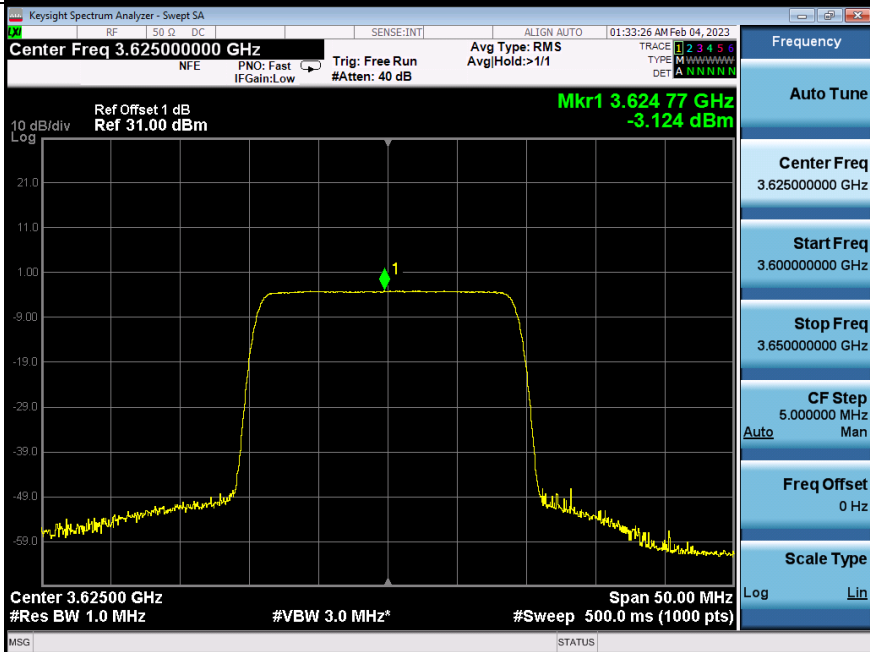
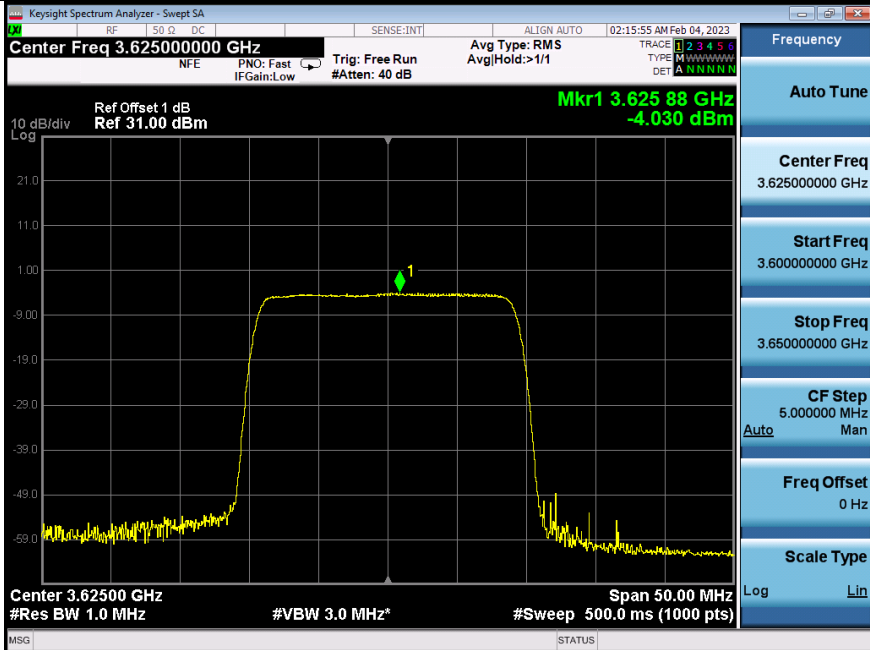
- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Channel	Freq. (MHz)	20MHz			Limit	Pass / Fail
		Conducted Power Density (dBm/MHz)		Gain(dBi) 6		
		Chain 0	Chain 1	Power Density	maxEirp(dBm)=Pi	
Middle	3625	-14.308	-14.085	-11.185	0	Pass
Middle	3625	-4.030	-3.124	-0.543	10	Pass
Middle	3625	6.760	7.117	9.952	20	Pass

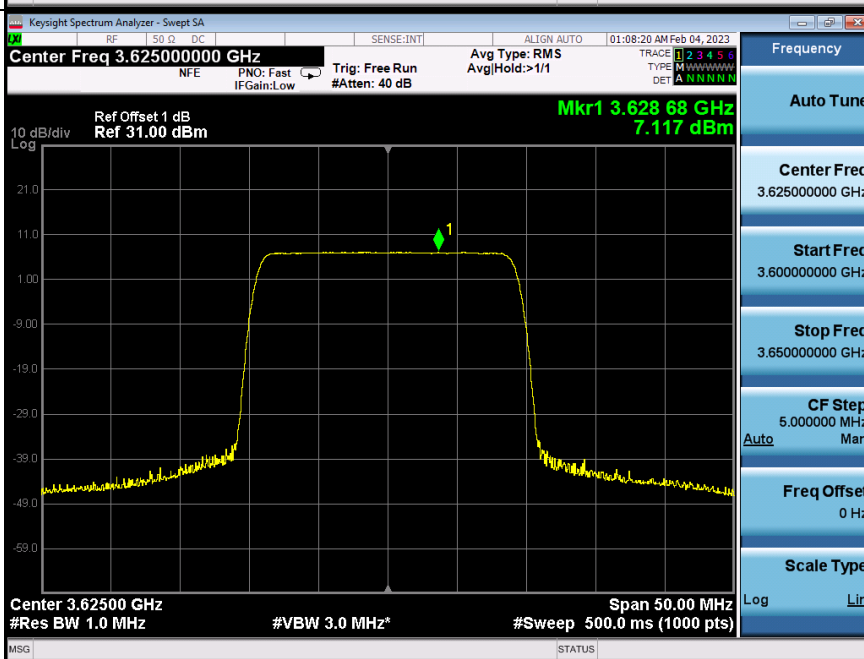
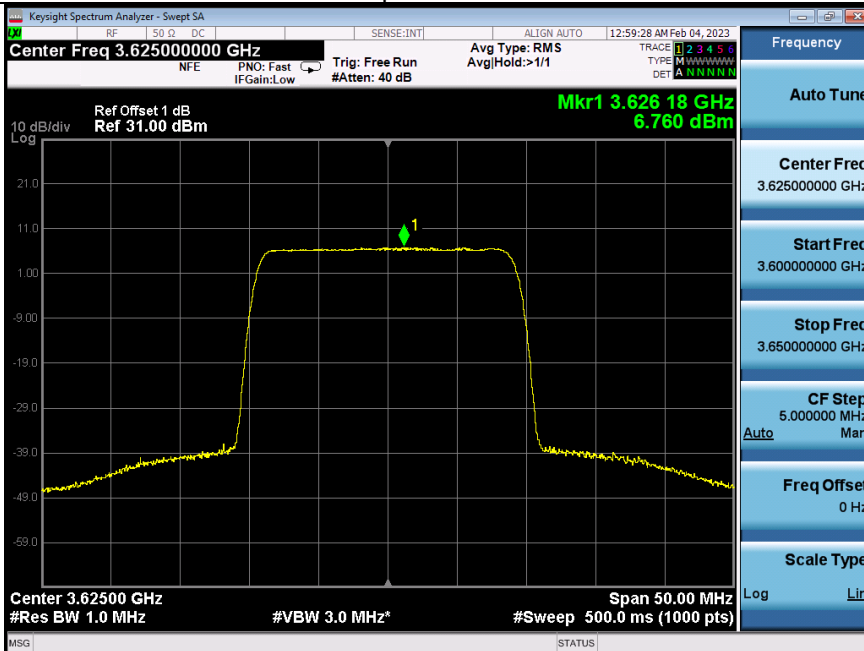
maxEirp = 0 dBm/MHz



maxEirp = 10 dBm/MHz



maxEirp = 20 dBm/MHz



5 Pictures of Test Arrangements

Please refer to the attached file (Test Setup Photo).

6 WinnForum Logs

Please refer to the attached file (Test Logs).

Appendix – Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

Lin Kou EMC/RF Lab

Tel: 886-2-26052180

Fax: 886-2-26051924

Hsin Chu EMC/RF Lab/Telecom Lab

Tel: 886-3-6668565

Fax: 886-3-6668323

Hwa Ya EMC/RF/Safety Lab

Tel: 886-3-3183232

Fax: 886-3-3270892

Email: service.adt@tw.bureauveritas.com

Web Site: www.bureauveritas-adt.com

The address and road map of all our labs can be found in our web site also.

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