

CBSD Test Report

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FCC ID: P27-SCE4255W

Test Model: SCE4255W

Received Date: Dec. 02, 2019

Test Date: Mar. 03, 2020 ~ Jun. 24, 2020

Issued Date: Jul. 01, 2020

Applicant: Sercomm Corp.

Address: 8F, No. 3-1, YuanQu St. , NanKang , Taipei 115 , Taiwan , R.O.C. (NanKang Software Park)

Issued By: Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch
Lin Kou Laboratories

Lab Address: No. 47-2, 14th Ling, Chia Pau Vil., Lin Kou Dist., New Taipei City, Taiwan

Test Location: No. 19, Hwa Ya 2nd Rd., Wen Hwa Vil., Kwei Shan Dist., Taoyuan City
33383, Taiwan

**FCC Registration/
Designation Number:** 788550 / TW0003



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Release Control Record

Issue No.	Description	Date Issued
RF191202D01-1	Original release	Jul. 01, 2020

1 Certificate of Conformity

Product: Englewood

Brand: Sercomm

Test Model: SCE4255W

Sample Status: Engineering sample

Applicant: Sercomm Corp.

Test Date: Mar. 03, 2020 ~ Jun. 24, 2020

Standards: WINNF-TS-0122 V1.0.1
CBRSA-TS-9001 V1.1.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by : Celine Chou , **Date:** Jul. 01, 2020
Celine Chou / Senior Specialist

Approved by : Bruce Chen , **Date:** Jul. 01, 2020
Bruce Chen / Senior Project Engineer

2 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT(CBSD, DP/CBSD)	28	28	100
PT(CBSD, DP/CBSD)	1	1	100
Total	29	29	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBS/D/DP UUT to the requirements developed by WINNF and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBS/D/DP UUT to support various traffic models and actual operations in the field.

Supported Features in details:

WINNF-TS-0122 Test Case		
Definitions	Test Case ID	Supported
C1	WINNF.FT.C.REG.1	Yes
C2	WINNF.FT.C.REG.3 WINNF.FT.C.REG.20	Yes
C3	WINNF.FT.C.REG.5	Yes
C4	WINNF.FT.C.MES.1	Yes
C5	NA	No
C6	NA	No

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	Pass
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	NA
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	Pass
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	NA
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	Pass
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	Pass
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	NA
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	Pass
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	NA
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	Pass
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	NA
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	Pass
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	NA
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	Pass
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	NA
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	Pass
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	NA
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	Pass

WINNF-TS-0122 Test Case			
Section	Test Case ID	Test Case Title	Test Result
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	Pass
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	NA
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	Pass
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	NA
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	NA
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	Pass
6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	NA
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	NA
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	NA
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	Pass
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	NA
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	NA
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	Pass
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	NA
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	NA
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	NA
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	Pass

Note: Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.

2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) (\pm)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

2.2 Modification Record

There were no modifications required for compliance.

3 General Information

3.1 General Description of EUT

Product	Englewood
Brand	Sercomm
Test Model	SCE4255W
Hardware Version	1.0
Firmware Version	TEST3909@200505
Status of EUT	Engineering sample
Power Supply Rating	12Vdc from adapter
Antenna Type	Refer to Note
Antenna Connector	Refer to Note
Accessory Device	Adapter
Data Cable Supplied	NA

Note:

1. The EUT consumes power from the following adapter.

Brand	APD
Model	WA-30P12FU
Input Power	100-240Vac, 50-60Hz, 0.9A
Output Power	12Vdc, 2.5A
Power Line	2m cable without core attached on adapter

2. The following antennas were provided to the EUT.

TX Antenna	Antenna Type	Antenna Connector	Antenna Gain (dBi)	Frequency Range
Ant. 1	PIFA	I-PEX	5.30	3.5~3.7GHz
Ant. 2			5.26	
Ant. 3			5.48	
Ant. 4			5.68	

Test Condition:

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	25deg. C, 65%RH	120Vac, 60Hz	Matthew Yang

3.2 General Description of Applied Standards and References

The UUT is a BTS-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards and references:

Test standard:

FCC 47 CFR Part 96

All test items have been performed and recorded as per the above standards.

References Test Guidance:

KDB 940660 D01 Part 96 CBRS Eqpt v02

All test items have been performed as a reference to the above KDB test guidance.

4 Measurement

4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

4.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

4.3 Test Environment

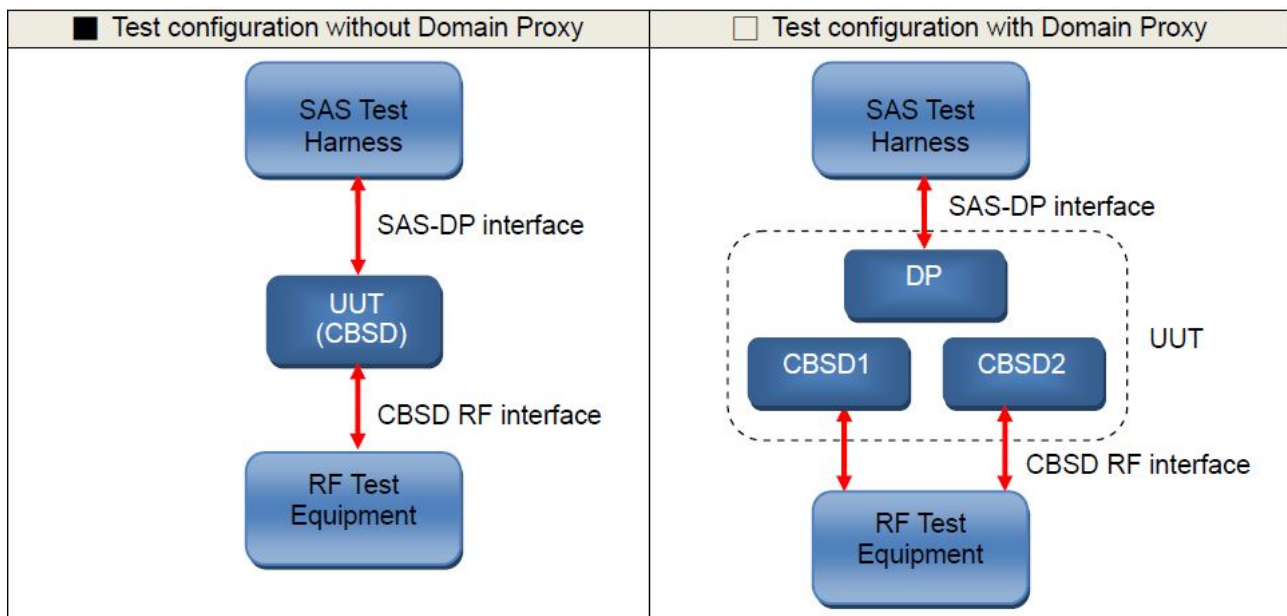
Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13

4.4 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
Signal Analyzer ROHDE & SCHWARZ	FSV7	104056	May 28, 2019	May 27, 2020
			May 28, 2020	May 27, 2021
Temperature & Humidity Chamber TERCHY	ETP-101	Info Sec 1	Jan. 08, 2020	Jan. 07, 2021
Horn Antenna SCHWARZBECK	BBHA 9120D	9120D-1170	Nov. 25, 2019	Nov. 24, 2020
Laptop Lenovo	L470	PF-11H9B8	NA	NA

Note: 1. The test was performed in InfoSec Test Room.
 2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

4.5 Test Setup



4.6 Test Results

4.6.1 CBSD Registration Process

4.6.1.1 WINNF.FT.C.REG.1

Test Case ID : WINNF.FT.C.REG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: <ul style="list-style-type: none"> ● The required <i>userId</i>, <i>fcld</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.2 WINNF.FT.C.REG.3

Test Case ID : WINNF.FT.C.REG.3 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends Registration request to SAS Test Harness: all required and REG-Conditional parameter included (userId, fcld, cbsdSerialNumber, cbsdCategory, airInterface, installationParam, measCapability) for a Category A CBSD. <ul style="list-style-type: none"> ● The required userId, fcld and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, installationParam, and measCapability registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.3 WINNF.FT.C.REG.5

Test Case ID : WINNF.FT.C.REG.5 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state ● All of the required and REG-Conditional parameters shall be configured and CPI signature provided 	--	--
2	CBSD sends Registration request to the SAS Test Harness: <ul style="list-style-type: none"> ● The required <i>userId</i>, <i>fcid</i> and <i>cbsdSerialNumber</i> and REG-Conditional <i>cbsdCategory</i>, <i>airInterface</i>, <i>measCapability</i> and <i>cpiSignatureData</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 		

4.6.1.4 WINNF.FT.C.REG.8

Test Case ID : WINNF.FT.C.REG.8 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.5 WINNF.FT.C.REG.10

Test Case ID : WINNF.FT.C.REG.10 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = R = 200 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.6 WINNF.FT.C.REG.12

Test Case ID : WINNF.FT.C.REG.12 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 103 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.7 WINNF.FT.C.REG.14

Test Case ID : WINNF.FT.C.REG.14 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 101 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.8 WINNF.FT.C.REG.16

Test Case ID : WINNF.FT.C.REG.16 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R = 100 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.9 WINNF.FT.C.REG.18

Test Case ID : WINNF.FT.C.REG.18 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = R = 201 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.1.10 WINNF.FT.C.REG.20

The test case ID is provided as a means to ensure that evidence is provided showing compliance to this requirement.

4.6.2 CBSD Spectrum Grant Process

4.6.2.1 WINNF.FT.C.GRA.1

Test Case ID : WINNF.FT.C.GRA.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <i>cbsdId</i>=C <i>responseCode</i> = R 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.2.2 WINNF.FT.C.GRA.2

Test Case ID : WINNF.FT.C.GRA.2 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <i>cbsdId</i>=C <i>responseCode</i> = R 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3 CBSD Heart Beat Process

4.6.3.1 WINNF.FT.C.HBT.1

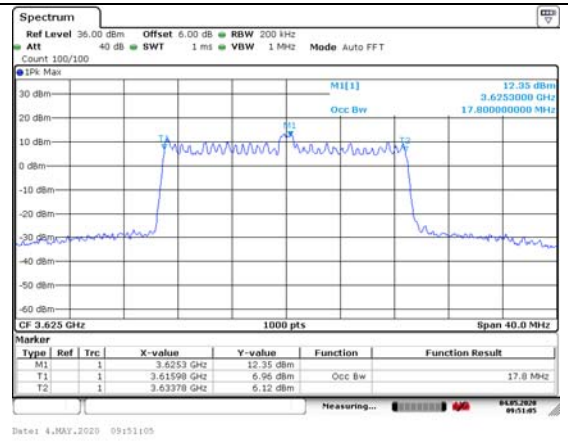
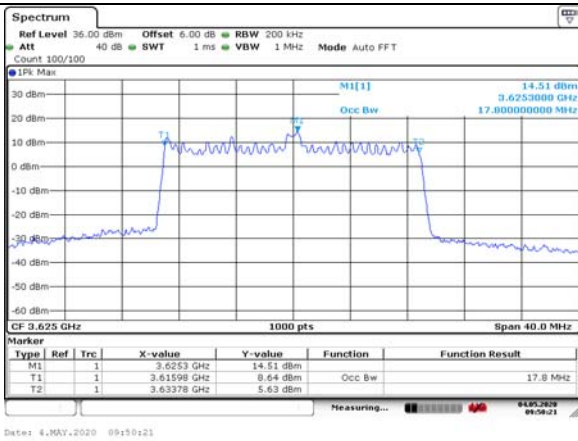
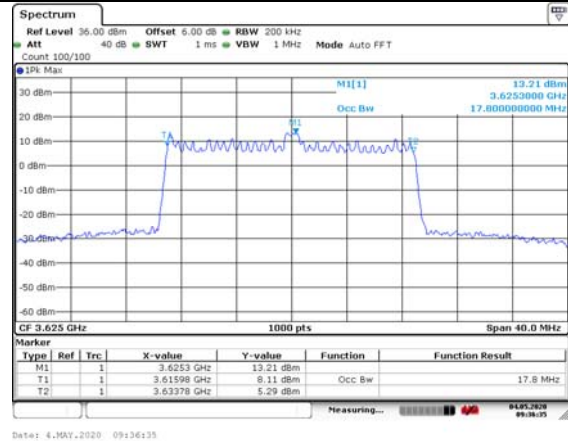
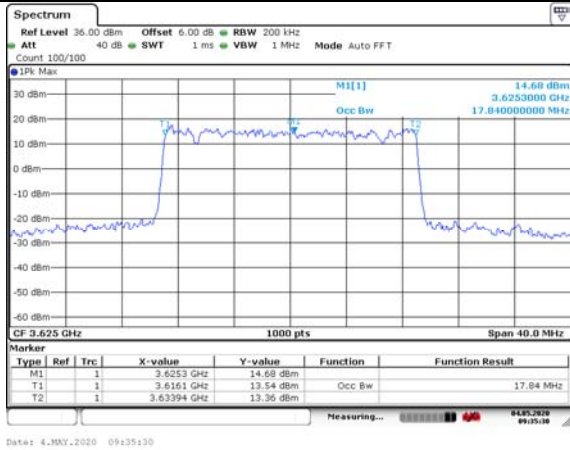
■ Test Case ID : WINNF.FT.C.HBT.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● <i>cbsdId</i> = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	■ Pass	□ Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● <i>cbsdId</i> = C ● availableChannel is an array of availableChannel objects ● <i>responseCode</i> = 0	--	--
5	UUT sends Grant Request message. Validate: ● <i>cbsdId</i> = C ● maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 ● operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G = a valid grant ID ● <i>grantExpireTime</i> = UTC time greater than duration of the test ● <i>responseCode</i> = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	■ Pass	□ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	■ Pass	□ Fail

- UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.

Channel	Freq. (MHz)	OCP 99 Band Width (MHz)			
		F = 20MHz			
		Chain (0)	Chain (1)	Chain (2)	Chain (3)
Middle	3625	17.84	17.80	17.80	17.80

Spectrum Plot
Chain (0 ~ 3)



4.6.3.2 WINNF.FT.C.HBT.3

Test Case ID : WINNF.FT.C.HBT.3
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = Current UTC time ● <i>responseCode</i> = 105 (DEREGISTER) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.3 WINNF.FT.C.HBT.4

Test Case ID : WINNF.FT.C.HBT.4
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 500 (TERMINATED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.4 WINNF.FT.C.HBT.5

Test Case ID : WINNF.FT.C.HBT.5
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ? <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT does not transmit at any time 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.5 WINNF.FT.C.HBT.6

Test Case ID : WINNF.FT.C.HBT.6
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.6 WINNF.FT.C.HBT.7

Test Case ID : WINNF.FT.C.HBT.7
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 502 (UNSYNC_OP_PARAM) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify: <ul style="list-style-type: none"> ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> ○ <i>cbsdId</i> = C ○ <i>grantId</i> = G Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.7 WINNF.FT.C.HBT.9

Test Case ID : WINNF.FT.C.HBT.9
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	--	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: <ul style="list-style-type: none"> ● At any time during the test, UUT shall not transmit on RF interface 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.3.8 WINNF.FT.C.HBT.10

Test Case ID : WINNF.FT.C.HBT.10
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time + 200 seconds ● <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.4 CBSD Measurement Report

4.6.4.1 WINNF.FT.C.MES.1

Test Case ID : WINNF.FT.C.MES.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness 	--	--
2	UUT sends a Registration Request message. Validate the Registration Request message is formatted correctly, including: <i>userId</i> is present and correct <ul style="list-style-type: none"> <i>fcld</i> is present and correct <i>cbsdSerialNumber</i> is present and correct <i>measCapability</i> = "RECEIVED_POWER_WITHOUT_GRANT" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Registration Response message, with the following parameters: <ul style="list-style-type: none"> <i>cbsdId</i> = C = valid <i>cbsdId</i> for this UUT <i>measReportConfig</i>= "RECEIVED_POWER_WITHOUT_GRANT" <i>responseCode</i> = 0 	--	--
4	UUT sends a message: <ul style="list-style-type: none"> If message is type Spectrum Inquiry Request, go to step 5, or If message is type Grant Request, go to step 7 	--	--
5	UUT sends message type Spectrum Inquiry Request. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> <i>cbsdId</i> = C <i>measReport</i> is present, and is a properly formatted <i>rcvdPowerMeasReport</i>. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
6	SAS Test Harness sends a Spectrum Inquiry Response, with the following parameters: <ul style="list-style-type: none"> <i>cbsdId</i> = C <i>availableChannel</i> is an array of <i>availableChannel</i> objects <i>responseCode</i> = 0 	--	--
7	UUT sends message type Grant Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> <i>cbsdId</i> = C <i>measReport</i> is present, and is a properly formatted <i>rcvdPowerMeasReport</i>. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.5 CBSD Relinquishment Process

4.6.5.1 WINNF.FT.C.RLQ.1

Test Case ID : WINNF.FT.C.RLQ.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>grantId = G</i> 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: <ul style="list-style-type: none"> - <i>cbsdId = C</i> - <i>grantId = G</i> - <i>responseCode = 0</i> 	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.6 CBSD Deregistration Process

4.6.6.1 WINNF.FT.C.DRG.1

Test Case ID : WINNF.FT.C.DRG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i> .	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>responseCode = 0</i> 	--	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.6.7 CBSD Security Validation

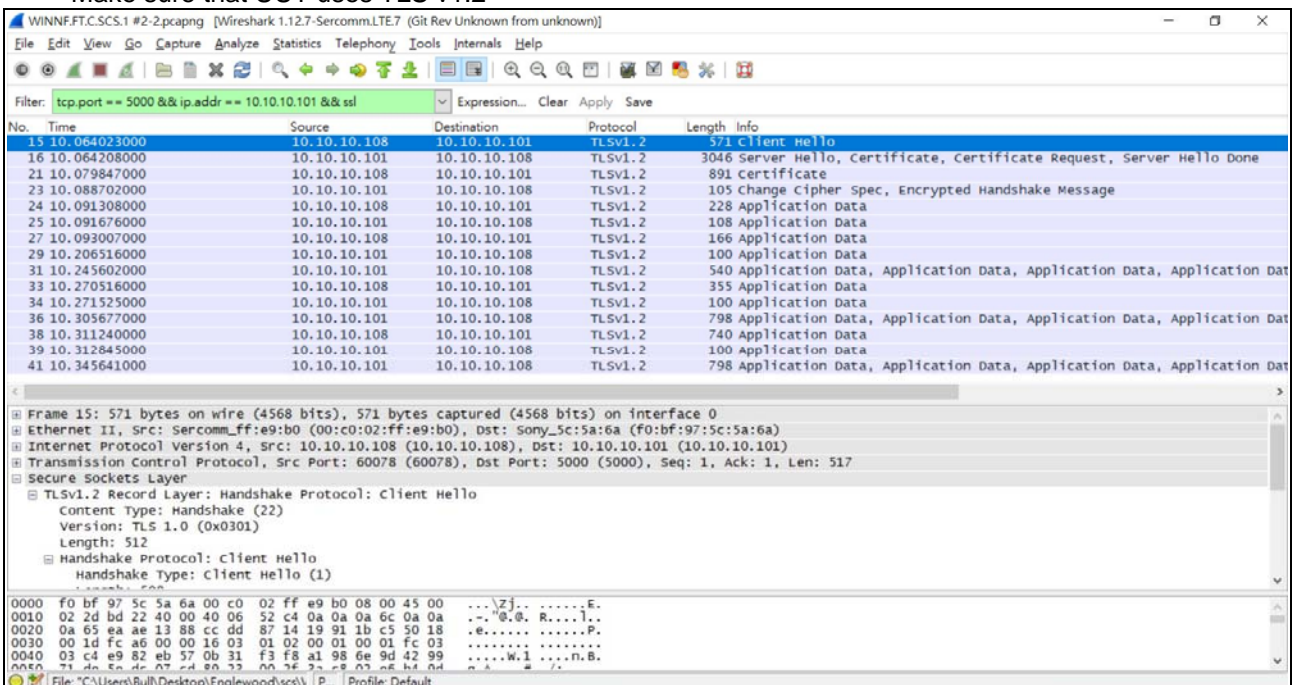
4.6.7.1 WINNF.FT.C.SCS.1

Test Case ID : WINNF.FT.C.SCS.1 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode = 0</i> and <i>cbstdId</i>. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



Wireshark capture example for Test Case 4.6.7.1 WINNF.FT.C.SCS.1. The capture shows a TLSv1.2 Client Hello message (Frame 15) sent from 10.10.10.108 to 10.10.10.101. The message is 571 bytes long and contains a Handshake Protocol (Client Hello) and a Handshake Type (Client Hello (1)). The details pane shows the structure of the Client Hello message, including the Handshake Protocol and Handshake Type.

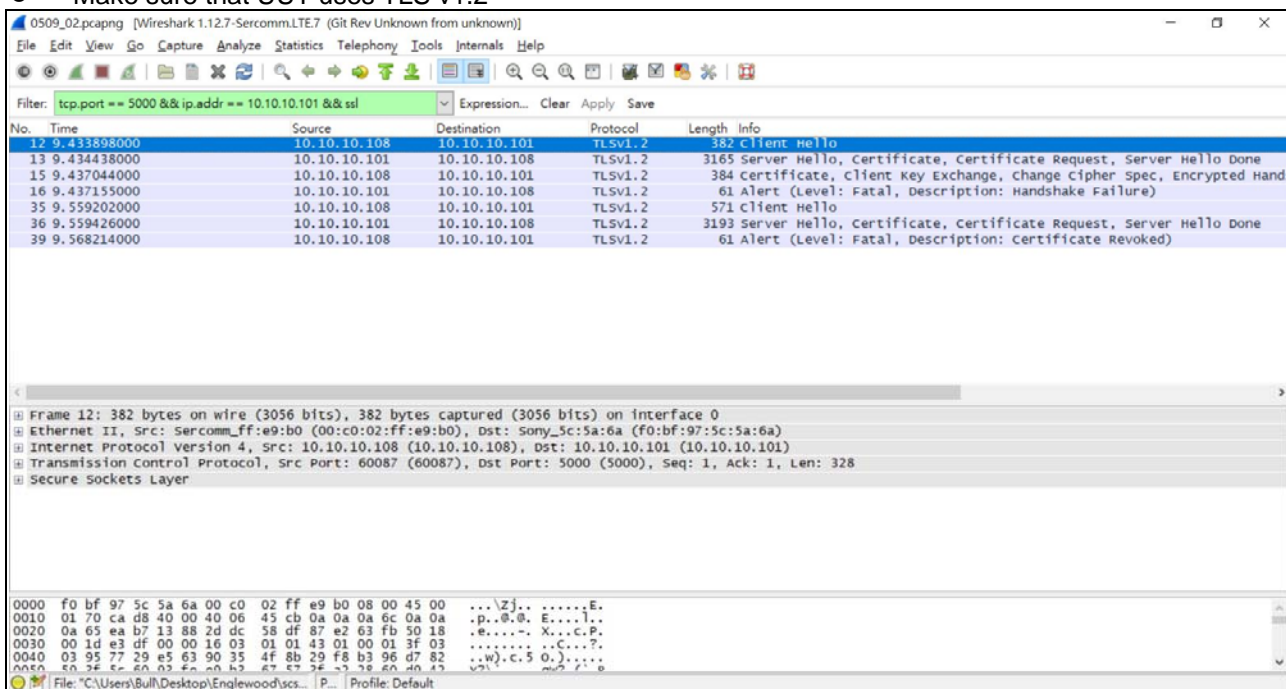
4.6.7.2 WINNF.FT.C.SCS.2

Test Case ID : WINNF.FT.C.SCS.2 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



0509_02.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
12	9.433898000	10.10.10.108	10.10.10.101	TLSv1.2	332	Client Hello
13	9.434438000	10.10.10.101	10.10.10.108	TLSv1.2	3165	server Hello, Certificate, Certificate Request, Server Hello Done
15	9.437044000	10.10.10.108	10.10.10.101	TLSv1.2	384	certificate, Client Key Exchange, change cipher spec, Encrypted Handshake
16	9.437155000	10.10.10.101	10.10.10.108	TLSv1.2	61	Alert (Level: Fatal, Description: handshake failure)
35	9.559202000	10.10.10.108	10.10.10.101	TLSv1.2	571	Client Hello
36	9.559426000	10.10.10.101	10.10.10.108	TLSv1.2	3193	server Hello, Certificate, Certificate Request, Server Hello Done
39	9.568214000	10.10.10.108	10.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: certificate revoked)

Frame 12: 382 bytes on wire (3056 bits), 382 bytes captured (3056 bits) on interface 0

Ethernet II, Src: Sercomm_ff:e9:b0 (00:c0:02:ff:e9:b0), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 10.10.10.108 (10.10.10.108), Dst: 10.10.10.101 (10.10.10.101)

Transmission Control Protocol, Src Port: 60087 (60087), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 328

Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 00 c0 02 ff e9 b0 08 00 45 00  ...Zj.. ....E.
0010 01 70 ca d8 40 00 40 06 45 cb 0a 0a 0a 6c 0a 0a  .p..@. E...l.
0020 0a 65 ea b7 13 88 2d dc 58 df 87 e2 63 fb 50 18  .e...-. X...c.P.
0030 00 1d e3 df 00 00 16 03 01 01 43 01 00 01 3f 03  ..... ..C...?.
0040 03 95 77 29 e5 63 90 35 4f 8b 29 f8 b3 96 d7 82  ..w).c.5 0.)....
0050 00 2e 6c 60 02 40 e0 b3 67 e2 7e 79 60 40 43  v...
  
```

File: "C:\Users\Bull\Desktop\Englewood\scs... Profile: Default

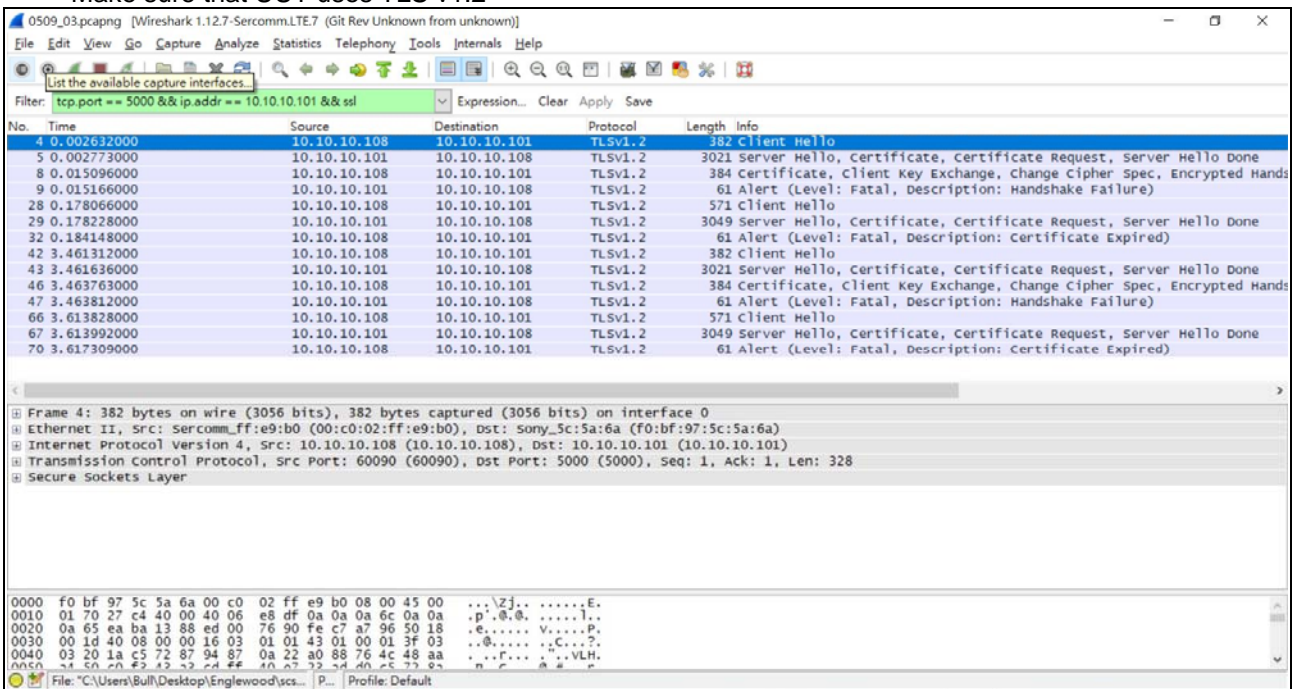
4.6.7.3 WINNF.FT.C.SCS.3

Test Case ID : WINNF.FT.C.SCS.3 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



0509_03.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
4	0.002632000	10.10.10.108	10.10.10.101	TLSv1.2	382	Client Hello
5	0.002773000	10.10.10.101	10.10.10.108	TLSv1.2	3021	server Hello, Certificate, Certificate Request, Server Hello Done
8	0.015096000	10.10.10.108	10.10.10.101	TLSv1.2	384	certificate, Client Key Exchange, Change Cipher Spec, Encrypted Hands
9	0.015166000	10.10.10.101	10.10.10.108	TLSv1.2	61	Alert (Level: Fatal, Description: Handshake Failure)
28	0.178066000	10.10.10.108	10.10.10.101	TLSv1.2	571	Client Hello
29	0.178228000	10.10.10.101	10.10.10.108	TLSv1.2	3049	Server Hello, Certificate, Certificate Request, Server Hello Done
32	0.184148000	10.10.10.108	10.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)
42	3.461312000	10.10.10.108	10.10.10.101	TLSv1.2	382	Client Hello
43	3.461636000	10.10.10.101	10.10.10.108	TLSv1.2	3021	server Hello, Certificate, Certificate Request, Server Hello Done
46	3.463763000	10.10.10.108	10.10.10.101	TLSv1.2	384	certificate, Client Key Exchange, Change Cipher Spec, Encrypted Hands
47	3.463812000	10.10.10.101	10.10.10.108	TLSv1.2	61	Alert (Level: Fatal, Description: Handshake Failure)
66	3.613828000	10.10.10.108	10.10.10.101	TLSv1.2	571	Client Hello
67	3.613992000	10.10.10.101	10.10.10.108	TLSv1.2	3049	Server Hello, Certificate, Certificate Request, Server Hello Done
70	3.617309000	10.10.10.108	10.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)

Frame 4: 382 bytes on wire (3056 bits), 382 bytes captured (3056 bits) on interface 0
 Ethernet II, Src: sercomm_ff:e9:b0 (00:c0:02:ff:e9:b0), Dst: sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
 Internet Protocol Version 4, Src: 10.10.10.108 (10.10.10.108), Dst: 10.10.10.101 (10.10.10.101)
 Transmission Control Protocol, Src Port: 60090 (60090), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 328
 Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 00 c0 02 ff e9 b0 08 00 45 00  ...Zj.. ....E.
0010 01 70 27 c4 40 00 40 06 e8 df 0a 0a 0a 6c 0a 0a  .p'.@.@. ....l.
0020 0a 65 ea ba 13 88 ed 00 76 90 fe c7 a7 96 50 18  .e..... V....P.
0030 00 1d 40 08 00 00 16 03 01 01 43 01 00 01 3f 03  ..@.....?..C...?
0040 03 20 1a c5 72 87 94 87 0a 22 a0 88 76 4c 48 aa  ..r... ..VLH.
0050 04 50 20 f2 42 32 24 ff 40 a7 32 3d 40 c5 73 8a  n.c. @.#.
  
```

File: "C:\Users\Bull\Desktop\Englewood\scs... [P... Profile: Default

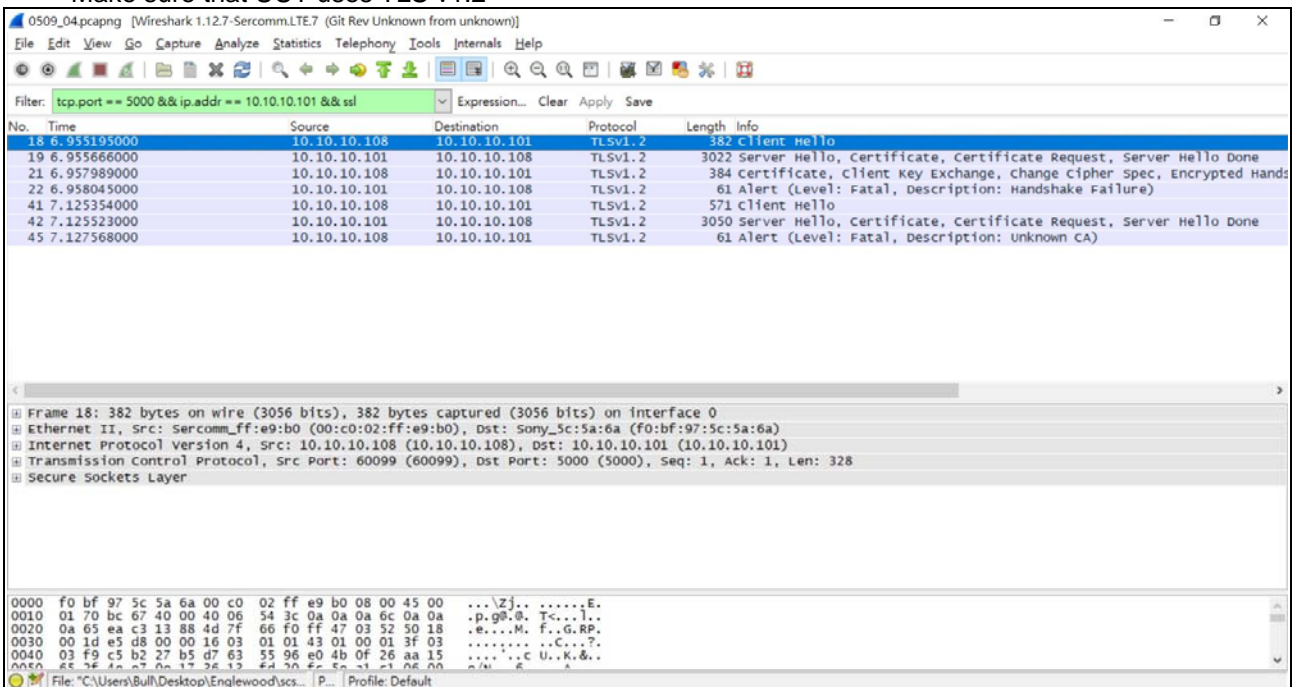
4.6.7.4 WINNF.FT.C.SCS.4

Test Case ID : WINNF.FT.C.SCS.4 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



0509_04.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
18	6.9355195000	10.10.10.108	10.10.10.101	TLSv1.2	382	Client Hello
19	6.955666000	10.10.10.101	10.10.10.108	TLSv1.2	3022	server Hello, Certificate, Certificate Request, Server Hello Done
21	6.957989000	10.10.10.108	10.10.10.101	TLSv1.2	384	certificate, Client Key Exchange, change cipher spec, Encrypted Handshake
22	6.958045000	10.10.10.101	10.10.10.108	TLSv1.2	61	Alert (Level: Fatal, Description: handshake failure)
41	7.125354000	10.10.10.108	10.10.10.101	TLSv1.2	571	Client Hello
42	7.125523000	10.10.10.101	10.10.10.108	TLSv1.2	3050	server Hello, Certificate, Certificate Request, Server Hello Done
45	7.127568000	10.10.10.108	10.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: unknown CA)

Frame 18: 382 bytes on wire (3056 bits), 382 bytes captured (3056 bits) on interface 0

Ethernet II, Src: Sercomm_ff:e9:b0 (00:c0:02:ff:e9:b0), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 10.10.10.108 (10.10.10.108), Dst: 10.10.10.101 (10.10.10.101)

Transmission Control Protocol, Src Port: 60099 (60099), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 328

Secure Sockets Layer

```

0000 f0 bf 97 5c 5a 6a 00 c0 02 ff e9 b0 08 00 45 00  ...Zj.. ....E.
0010 01 70 bc 67 40 00 40 06 54 3c 0a 0a 0a 6c 0a 0a  .p.g@.Tc...l.
0020 0a 65 ea c3 13 88 4d 7f 66 f0 ff 47 03 52 50 18  .e...M. F..G.RP.
0030 00 1d e5 d8 00 00 16 03 01 01 43 01 00 01 3f 03  .....C...?.
0040 03 f9 c5 b2 27 b5 d7 63 55 96 e0 4b 0f 26 aa 15  ....c U..K.&.
0050 65 1e 1e 07 0a 17 26 13 24 20 ff 01 01 06 00  .h. . . . .
  
```

File: "C:\Users\Bull\Desktop\Englewood\scs... Profile: Default

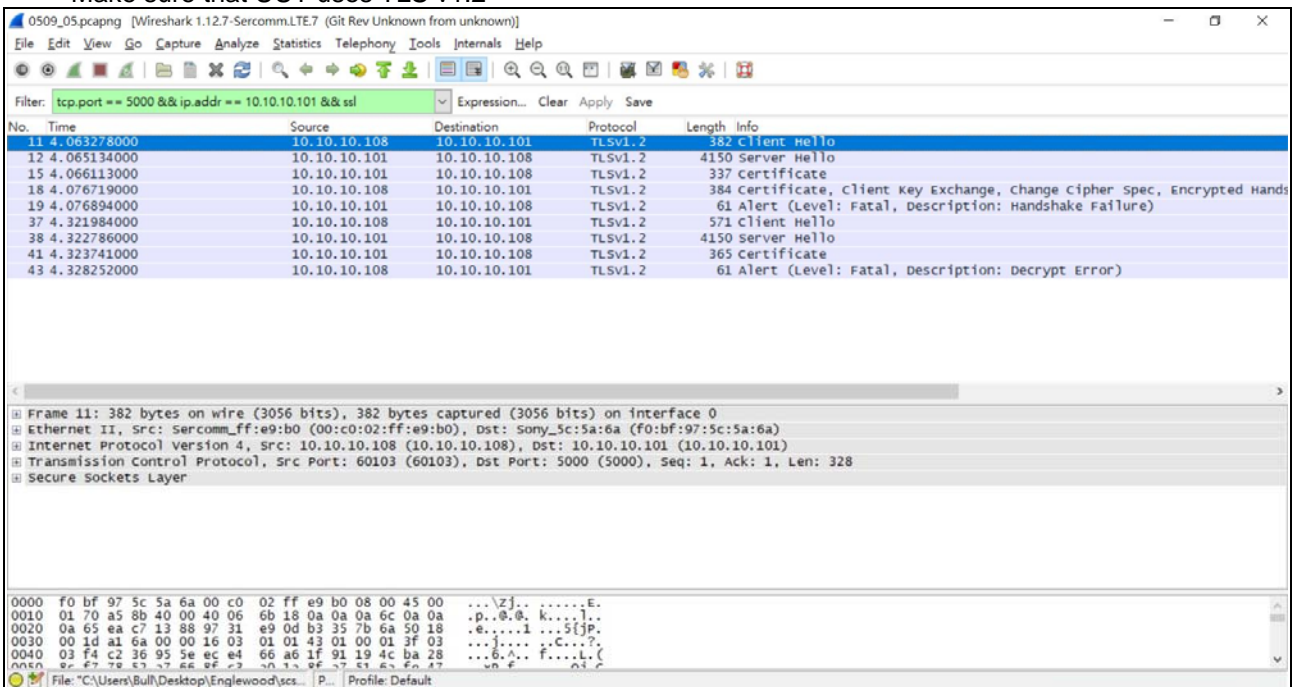
4.6.7.5 WINNF.FT.C.SCS.5

Test Case ID : WINNF.FT.C.SCS.5 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



0509_05.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
11	4.053278000	10.10.10.108	10.10.10.101	TLSv1.2	382	Client Hello
12	4.065134000	10.10.10.101	10.10.10.108	TLSv1.2	4150	server Hello
15	4.066113000	10.10.10.101	10.10.10.108	TLSv1.2	337	certificate
18	4.076719000	10.10.10.108	10.10.10.101	TLSv1.2	384	certificate, Client Key Exchange, Change Cipher Spec, Encrypted Handshake
19	4.076894000	10.10.10.101	10.10.10.108	TLSv1.2	61	Alert (Level: Fatal, Description: handshake failure)
37	4.321984000	10.10.10.108	10.10.10.101	TLSv1.2	571	client Hello
38	4.322786000	10.10.10.101	10.10.10.108	TLSv1.2	4150	server Hello
41	4.323741000	10.10.10.101	10.10.10.108	TLSv1.2	365	certificate
43	4.328252000	10.10.10.108	10.10.10.101	TLSv1.2	61	Alert (Level: Fatal, Description: decrypt error)

Frame 11: 382 bytes on wire (3056 bits), 382 bytes captured (3056 bits) on interface 0
 Ethernet II, Src: Sercomm_ff:e9:b0 (00:c0:02:ff:e9:b0), Dst: sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
 Internet Protocol Version 4, Src: 10.10.10.108 (10.10.10.108), Dst: 10.10.10.101 (10.10.10.101)
 Transmission Control Protocol, Src Port: 60103 (60103), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 328
 Secure sockets Layer

0000 f0 bf 97 5c 5a 6a 00 c0 02 ff e9 b0 08 00 45 00 ...Zj...E.
 0010 01 70 a5 8b 40 00 40 06 6b 18 0a 0a 0a 6c 0a 0a .p..@.k...l.
 0020 0a 65 ea c7 13 88 97 31 e9 0d b3 35 7b 6a 50 18 .e....1...5jP.
 0030 00 1d a1 6a 00 00 16 03 01 01 43 01 00 01 3f 03 ...}...C...?
 0040 03 f4 c2 36 95 5e ec e4 66 a6 1f 91 19 4c ba 28 ...B.A..F...L.
 0050 9c f7 38 e3 37 ee ef e2 20 1a ef 37 e3 fa 42 ..c..

File: "C:\Users\Bull\Desktop\Englewood\scs... P... Profile: Default

4.6.8 CBSD RF Power Measurement

4.6.8.1 WINNF.PT.C.HBT.1

Test Case ID : WINNF.PT.C.HBT.1
 NA

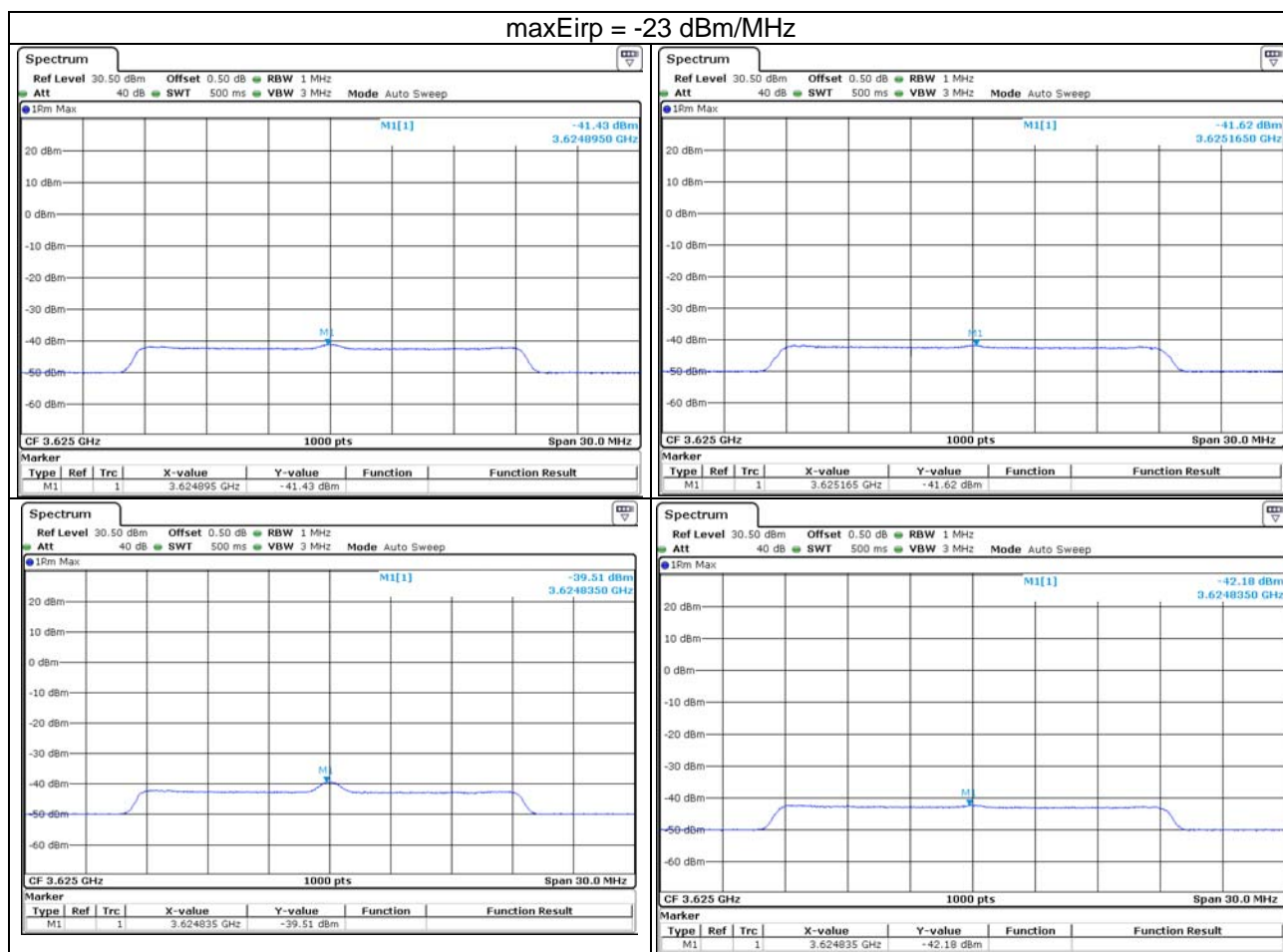
#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT has registered with the SAS, with CBSD ID = C ● UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</i></p>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> ● UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ● SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

RF measurement plot for Test Case:

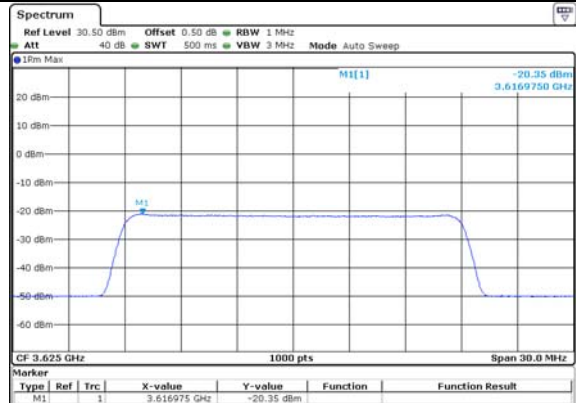
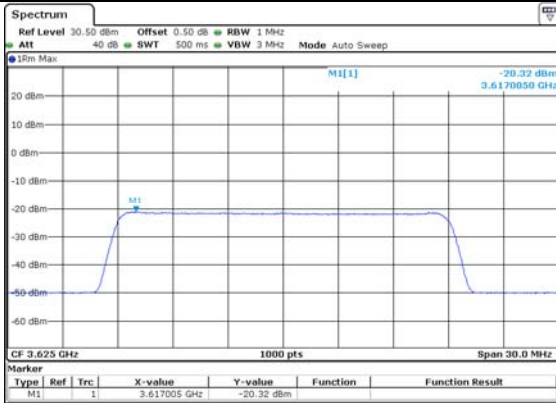
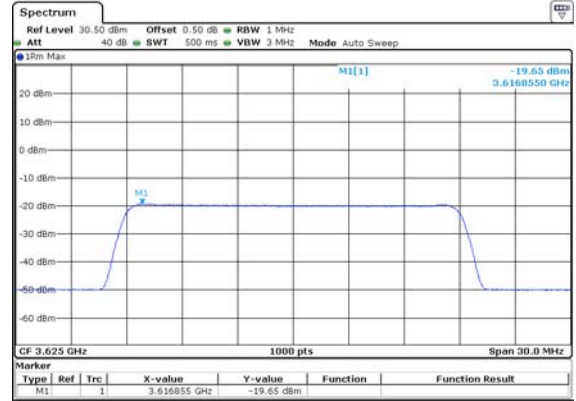
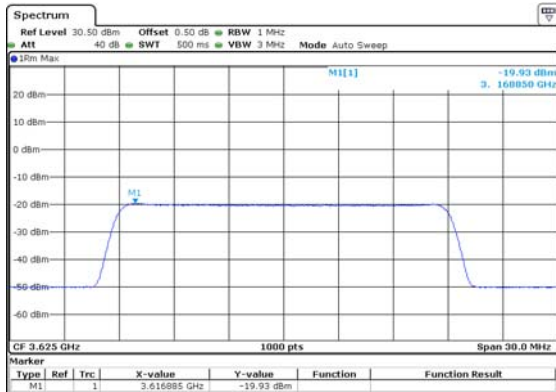
- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Channel	Freq. (MHz)	20MHz				Gain (dBi)	Limit	Pass / Fail
		Conducted Power Density (dBm/MHz)						
		Chain 0	Chain 1	Chain 2	Chain 3	11.7	maxEirp(dBm)=Pi	
Middle	3625	-41.43	-41.62	-39.51	-42.18	-35.04	-23	Pass
Middle	3625	-19.93	-19.65	-20.32	-20.35	-14.03	-2	Pass
Middle	3625	1.95	1.92	1.98	1.94	7.97	20	Pass

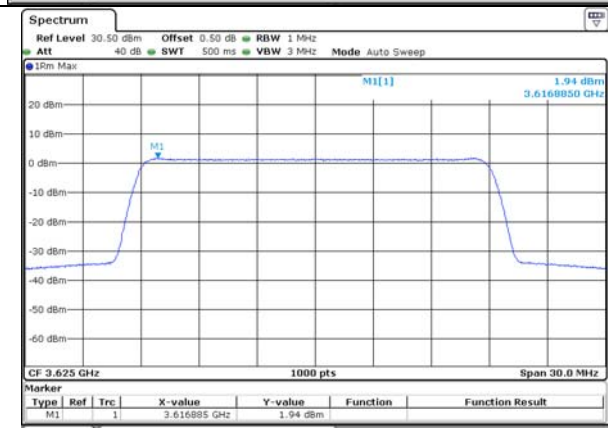
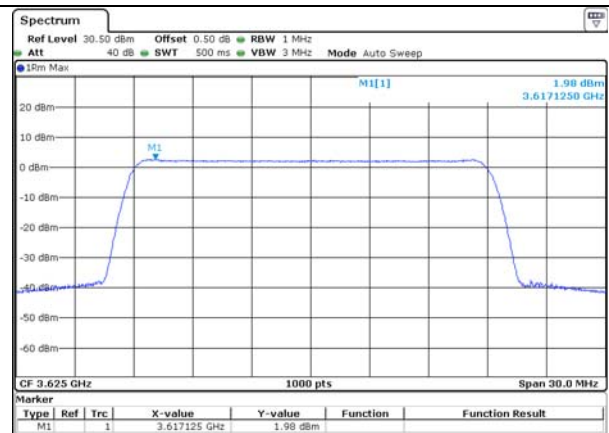
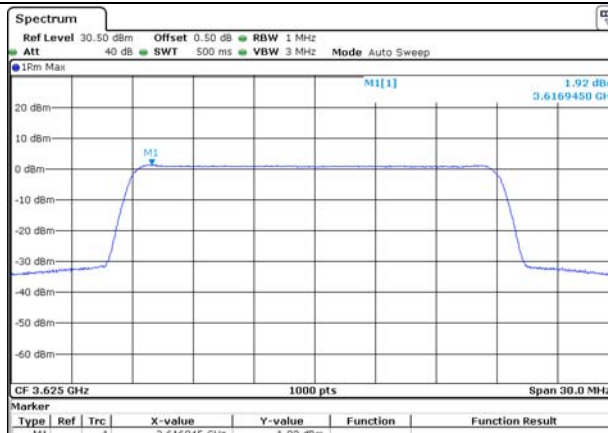
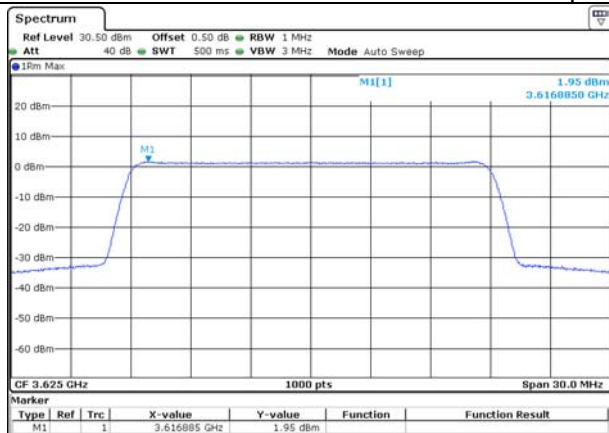
Note: Directional gain = 5.68 dBi + 10log(4) = 11.7 dBi



maxEirp = -2 dBm/MHz



maxEirp = 20 dBm/MHz



5 Pictures of Test Arrangements

Please refer to the attached file (Test Setup Photo).

6 WinnForum Logs

Please refer to the attached file (Test Logs).

Appendix – Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are FCC recognized accredited test firms and accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

Lin Kou EMC/RF Lab

Tel: 886-2-26052180

Fax: 886-2-26051924

Hsin Chu EMC/RF Lab/Telecom Lab

Tel: 886-3-6668565

Fax: 886-3-6668323

Hwa Ya EMC/RF/Safety Lab

Tel: 886-3-3183232

Fax: 886-3-3270892

Email: service.adt@tw.bureauveritas.com

Web Site: www.bureauveritas-adt.com

The address and road map of all our labs can be found in our web site also.

--- END ---