



Applicant	Kyocera
FCC ID:	OVF-K5301
Report #:	CT-K5301-9C-0711-R0

**EXHIBIT 9 APPENDIX C: SAR PROBE CALIBRATION CERTIFICATE**

**Total pages including cover page = 23**

**# 3035**

**# 3036**



Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Client **Kyocera USA**

Certificate No: **ES3-3035\_Sep10**

## CALIBRATION CERTIFICATE

Object **ES3DV3 - SN:3035**

Calibration procedure(s) **QA CAL-01.v6, QA CAL-23.v3 and QA CAL-25.v2  
Calibration procedure for dosimetric E-field probes**

Calibration date: **September 9, 2010**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	1-Apr-10 (No. 217-01136)	Apr-11
Power sensor E4412A	MY41495277	1-Apr-10 (No. 217-01136)	Apr-11
Power sensor E4412A	MY41498087	1-Apr-10 (No. 217-01136)	Apr-11
Reference 3 dB Attenuator	SN: S5054 (3c)	30-Mar-10 (No. 217-01159)	Mar-11
Reference 20 dB Attenuator	SN: S5086 (20b)	30-Mar-10 (No. 217-01161)	Mar-11
Reference 30 dB Attenuator	SN: S5129 (30b)	30-Mar-10 (No. 217-01160)	Mar-11
Reference Probe ES3DV2	SN: 3013	30-Dec-09 (No. ES3-3013_Dec09)	Dec-10
DAE4	SN: 660	20-Apr-10 (No. DAE4-660_Apr10)	Apr-11

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Oct-09)	In house check: Oct-11
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-09)	In house check: Oct10

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: September 9, 2010

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

# Probe ES3DV3

## SN:3035

Manufactured:	August 21, 2003
Last calibrated:	August 20, 2009
Recalibrated:	September 9, 2010

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: ES3DV3 SN:3035

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	1.11	0.94	1.17	$\pm 10.1\%$
DCP (mV) <sup>B</sup>	96.8	102.5	94.8	

### Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dBuV	C	VR mV	Unc <sup>E</sup> (k=2)
10000	CW	0.00	X	0.00	0.00	1.00	300.0	$\pm 1.5\%$
			Y	0.00	0.00	1.00	300.0	
			Z	0.00	0.00	1.00	300.0	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the maximum deviation from linear response applying recatangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: ES3DV3 SN:3035

### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz]	Validity [MHz] <sup>c</sup>	Permittivity	Conductivity	ConvF X	ConvF Y	ConvF Z	Alpha	Depth Unc (k=2)
835	± 50 / ± 100	41.5 ± 5%	0.90 ± 5%	6.08	6.08	6.08	0.90	1.00 ± 11.0%
1750	± 50 / ± 100	40.1 ± 5%	1.37 ± 5%	5.17	5.17	5.17	0.38	1.67 ± 11.0%
1900	± 50 / ± 100	40.0 ± 5%	1.40 ± 5%	5.00	5.00	5.00	0.33	1.87 ± 11.0%

<sup>c</sup> The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

## DASY/EASY - Parameters of Probe: ES3DV3 SN:3035

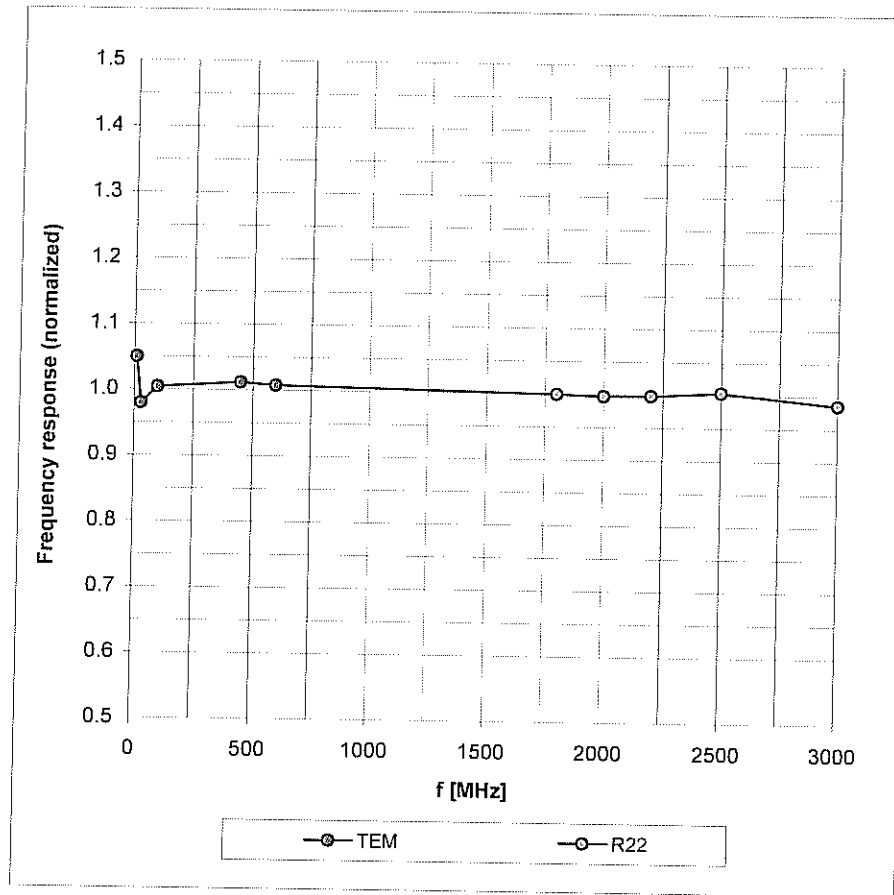
### Calibration Parameter Determined in Body Tissue Simulating Media

f [MHz]	Validity [MHz] <sup>c</sup>	Permittivity	Conductivity	ConvF X	ConvF Y	ConvF Z	Alpha	Depth Unc (k=2)
835	± 50 / ± 100	55.2 ± 5%	0.97 ± 5%	5.91	5.91	5.91	0.74	1.22 ± 11.0%
1750	± 50 / ± 100	53.4 ± 5%	1.49 ± 5%	4.82	4.82	4.82	0.38	1.83 ± 11.0%
1900	± 50 / ± 100	53.3 ± 5%	1.52 ± 5%	4.50	4.50	4.50	0.30	2.40 ± 11.0%

<sup>c</sup> The validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2). The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

# Frequency Response of E-Field

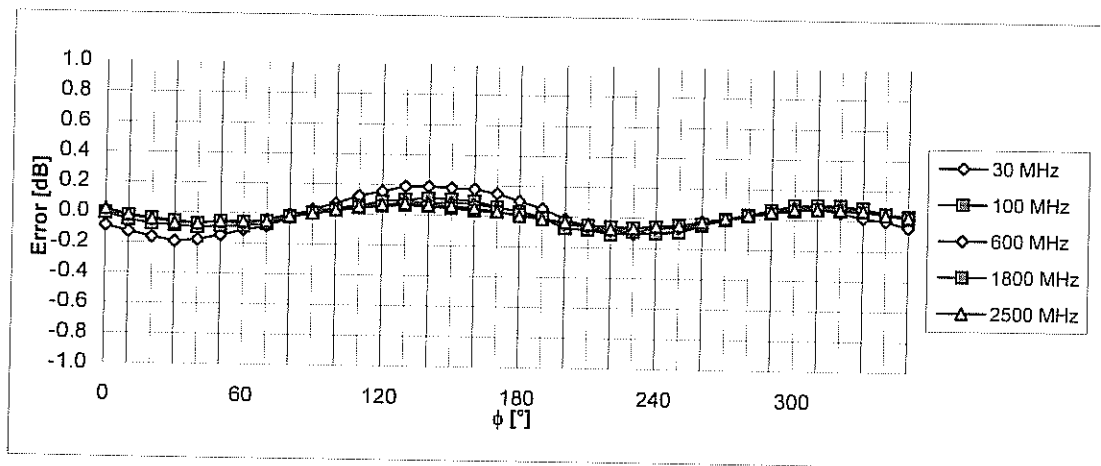
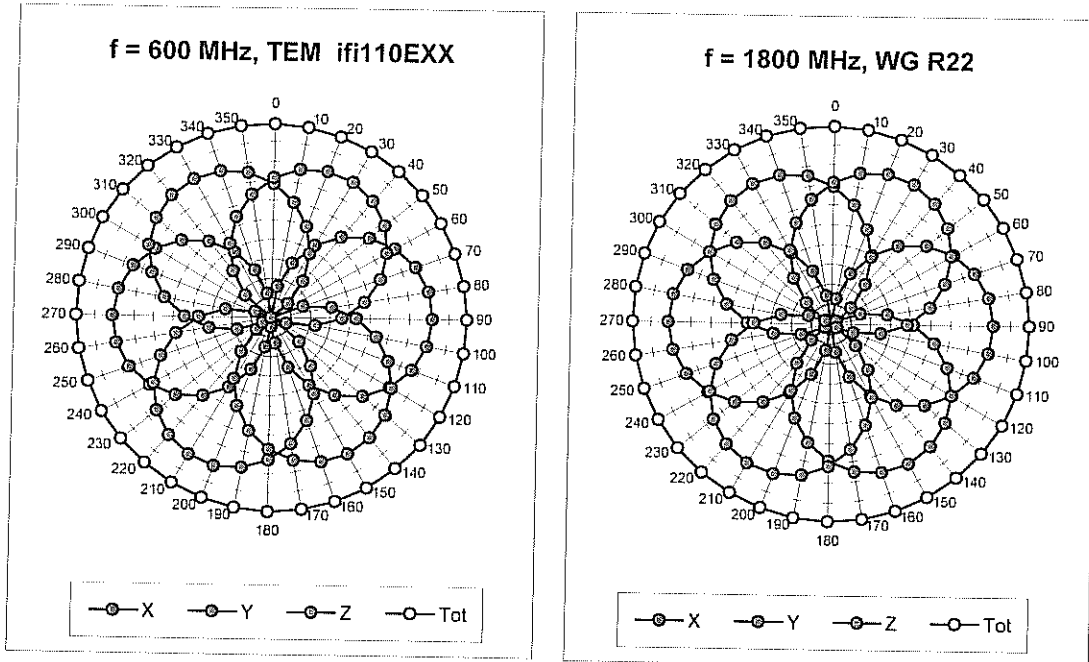
(TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

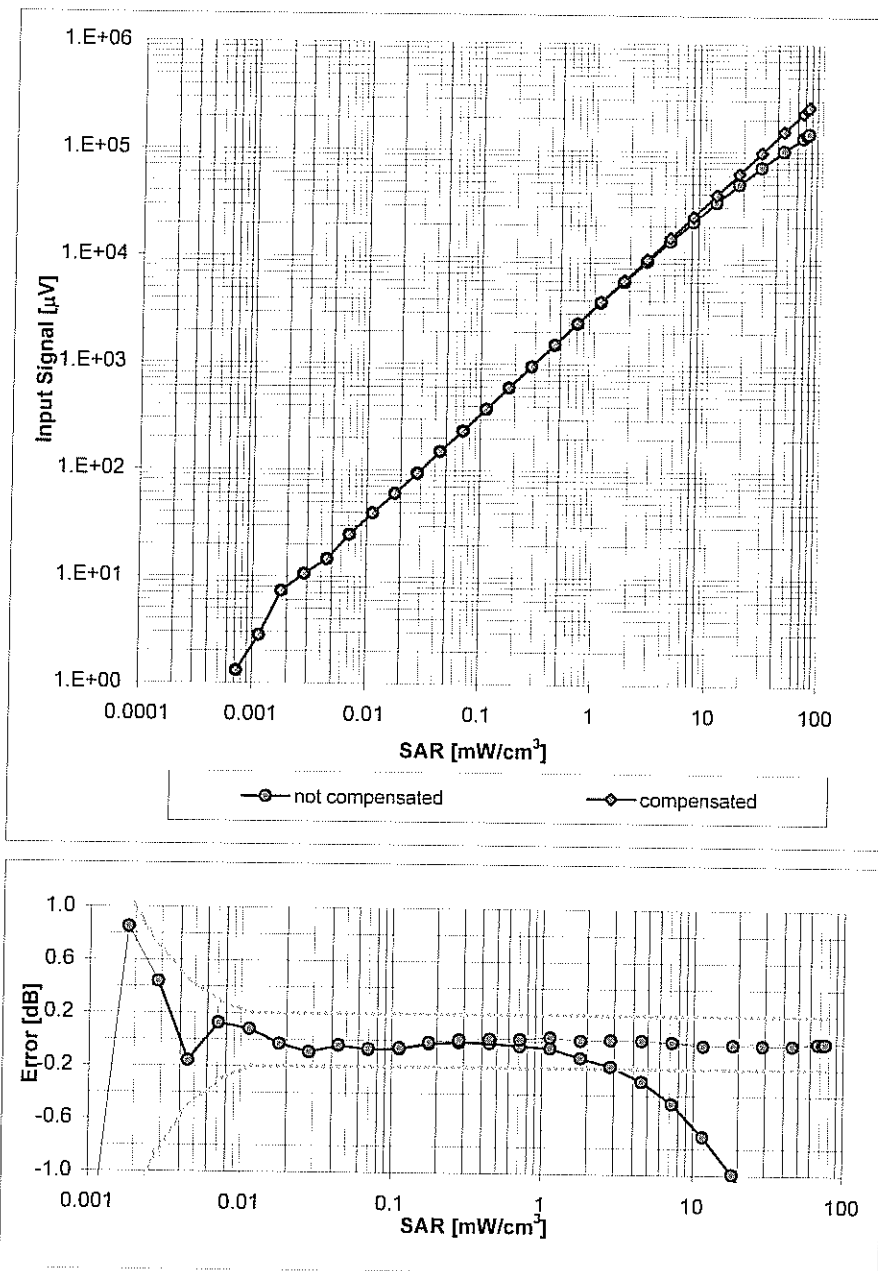


### Receiving Pattern ( $\phi$ ), $\vartheta = 0^\circ$



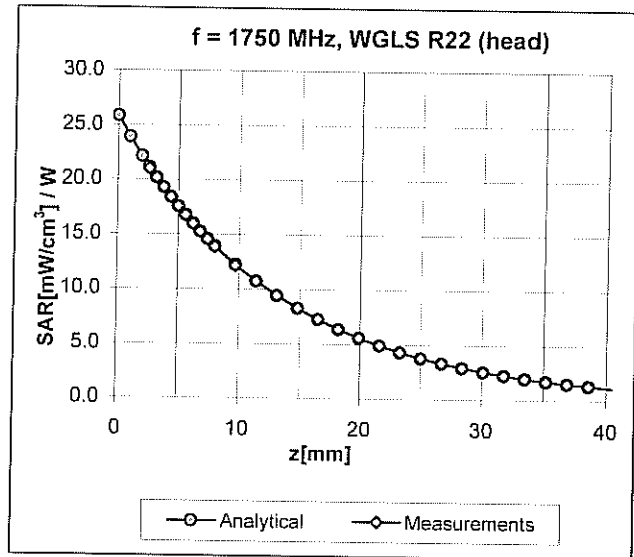
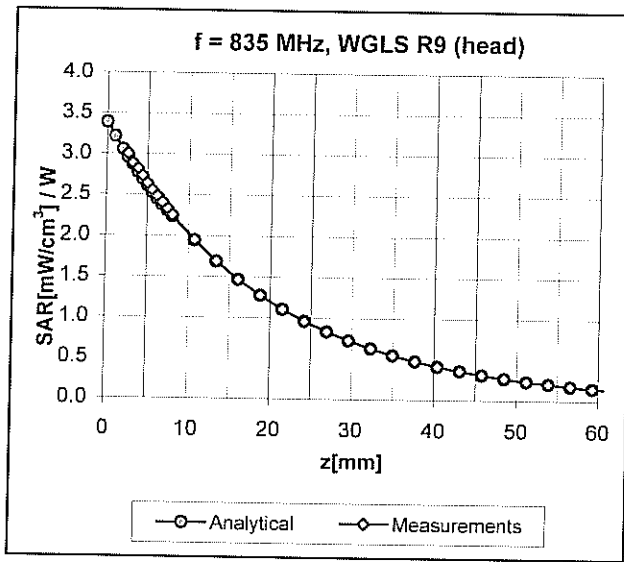
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )

### Dynamic Range $f(\text{SAR}_{\text{head}})$ (Waveguide R22, $f = 1800 \text{ MHz}$ )



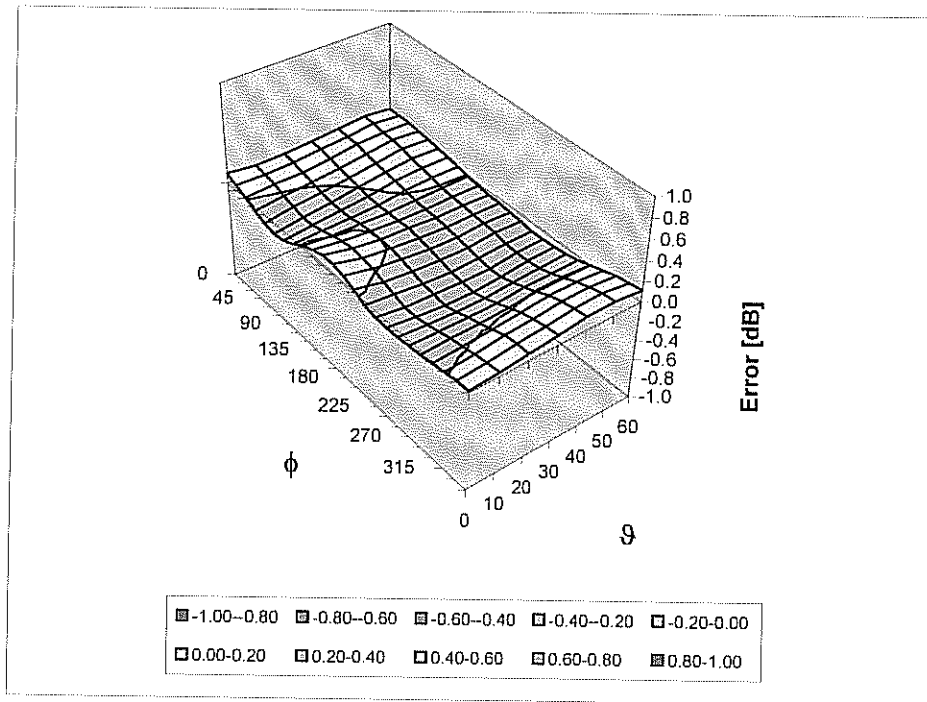
Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

### Conversion Factor Assessment



### Deviation from Isotropy in HSL

Error ( $\phi, \theta$ ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  (k=2)

## Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	Not applicable
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	10 mm
Tip Diameter	4.0 mm
Probe Tip to Sensor X Calibration Point	2 mm
Probe Tip to Sensor Y Calibration Point	2 mm
Probe Tip to Sensor Z Calibration Point	2 mm
Recommended Measurement Distance from Surface	3 mm



Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 108**

Client **Kyocera USA**

Certificate No: **ES3-3036\_May11**

## CALIBRATION CERTIFICATE

Object **ES3DV3 - SN:3036**

Calibration procedure(s) **QA CAL-01.v7, QA CAL-23.v4, QA CAL-25.v3  
Calibration procedure for dosimetric E-field probes**

Calibration date: **May 11, 2011**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	31-Mar-11 (No. 217-01372)	Apr-12
Power sensor E4412A	MY41498087	31-Mar-11 (No. 217-01372)	Apr-12
Reference 3 dB Attenuator	SN: S5054 (3c)	29-Mar-11 (No. 217-01369)	Apr-12
Reference 20 dB Attenuator	SN: S5086 (20b)	29-Mar-11 (No. 217-01367)	Apr-12
Reference 30 dB Attenuator	SN: S5129 (30b)	29-Mar-11 (No. 217-01370)	Apr-12
Reference Probe ES3DV2	SN: 3013	29-Dec-10 (No. ES3-3013_Dec10)	Dec-11
DAE4	SN: 654	3-May-11 (No. DAE4-654_May11)	May-12
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Oct-09)	In house check: Oct-11
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-10)	In house check: Oct-11

Calibrated by:	Name <b>Claudio Leubler</b>	Function <b>Laboratory Technician</b>	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function <b>Technical Manager</b>	Signature 

Issued: May 16, 2011

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 108**

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the  $E^2$ -field uncertainty inside TSL (see below *ConvF*).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>** are numerical linearization parameters in dB assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media.
- VR**: VR is the validity range of the calibration related to the average diode voltage or DAE voltage in mV.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.

# Probe ES3DV3

## SN:3036

Manufactured: August 21, 2003  
Calibrated: May 11, 2011

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3036

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	1.20	1.33	1.49	$\pm 10.1 \%$
DCP (mV) <sup>B</sup>	100.7	99.4	99.6	

### Modulation Calibration Parameters

UID	Communication System Name	PAR		A dB	B dB	C dB	VR mV	Unc <sup>E</sup> (k=2)
10000	CW	0.00	X	0.00	0.00	1.00	110.8	$\pm 2.5 \%$
			Y	0.00	0.00	1.00	118.7	
			Z	0.00	0.00	1.00	121.8	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the  $E^2$ -field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3036

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	41.5	0.90	6.08	6.08	6.08	1.00	1.00	± 12.0 %
1750	40.1	1.37	5.32	5.32	5.32	0.92	1.19	± 12.0 %
1900	40.0	1.40	5.06	5.06	5.06	0.95	1.15	± 12.0 %
2450	39.2	1.80	4.44	4.44	4.44	0.68	1.44	± 12.0 %

<sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

## DASY/EASY - Parameters of Probe: ES3DV3- SN:3036

### Calibration Parameter Determined in Body Tissue Simulating Media

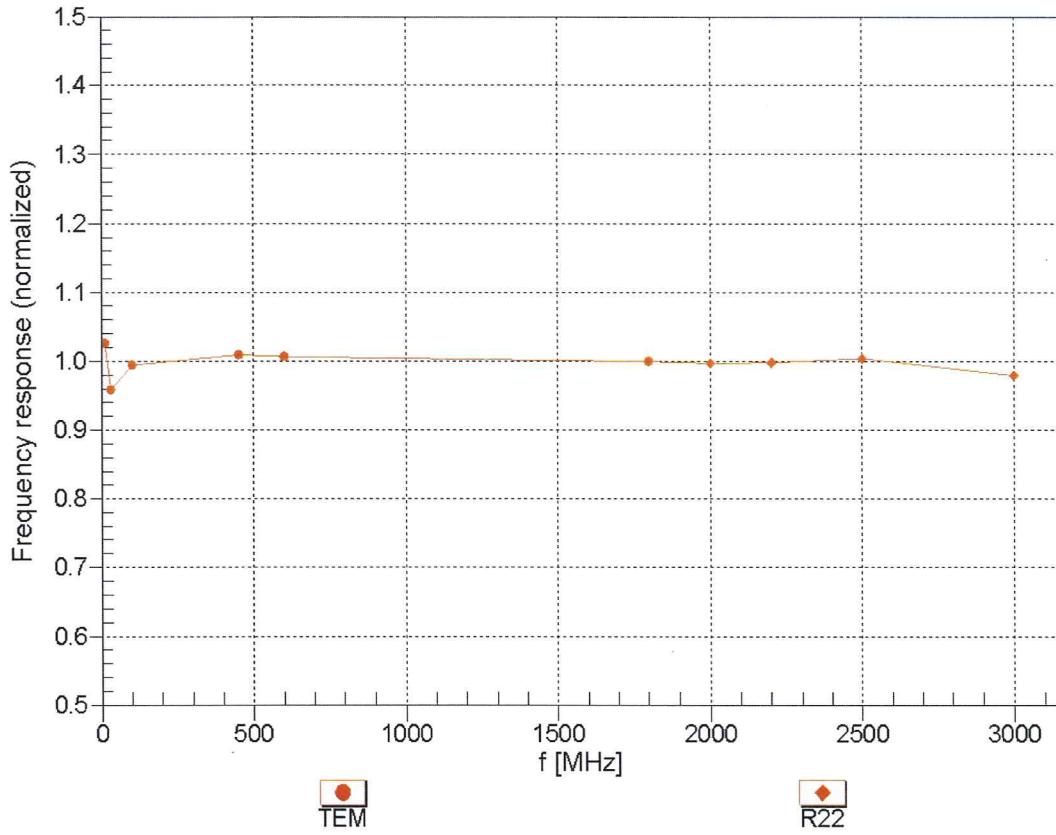
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha	Depth (mm)	Unct. (k=2)
835	55.2	0.97	6.03	6.03	6.03	1.00	1.00	± 12.0 %
1750	53.4	1.49	4.79	4.79	4.79	0.85	1.34	± 12.0 %
1900	53.3	1.52	4.57	4.57	4.57	0.94	1.22	± 12.0 %
2450	52.7	1.95	4.24	4.24	4.24	0.90	1.24	± 12.0 %

<sup>C</sup> Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

# Frequency Response of E-Field

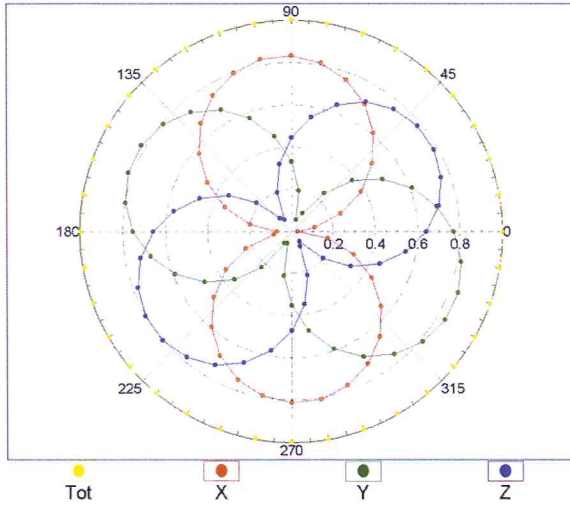
(TEM-Cell: ifi110 EXX, Waveguide: R22)



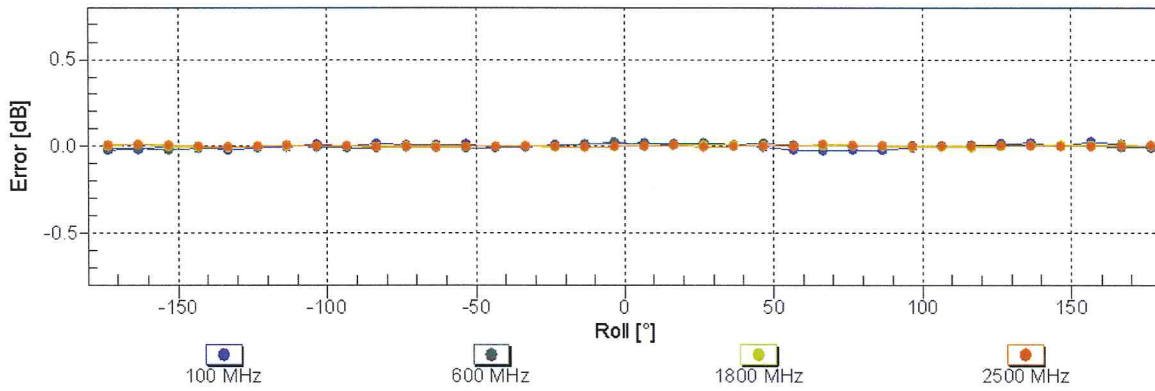
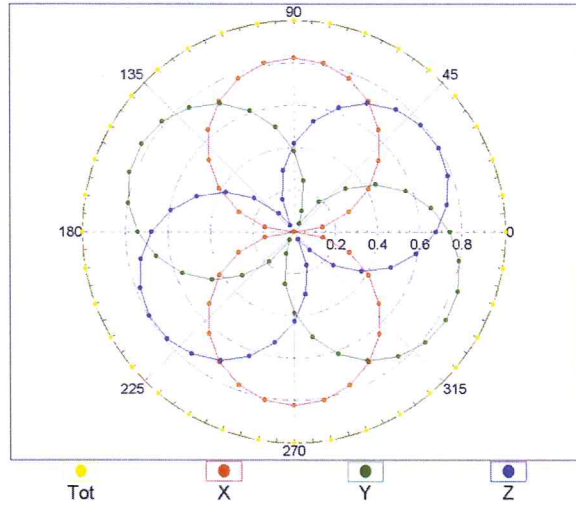
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

# Receiving Pattern ( $\phi$ ), $\vartheta = 0^\circ$

f=600 MHz,TEM

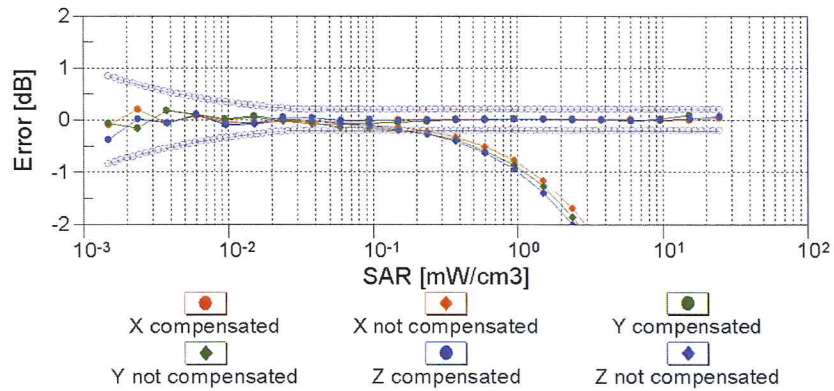
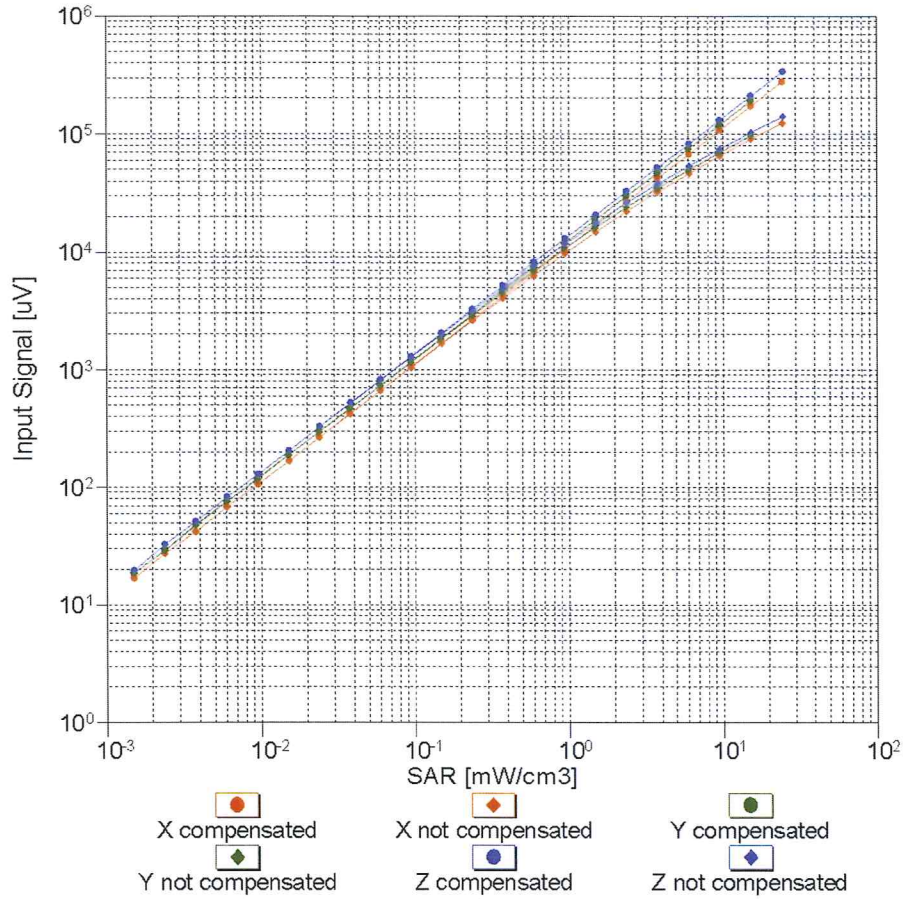


f=1800 MHz,R22



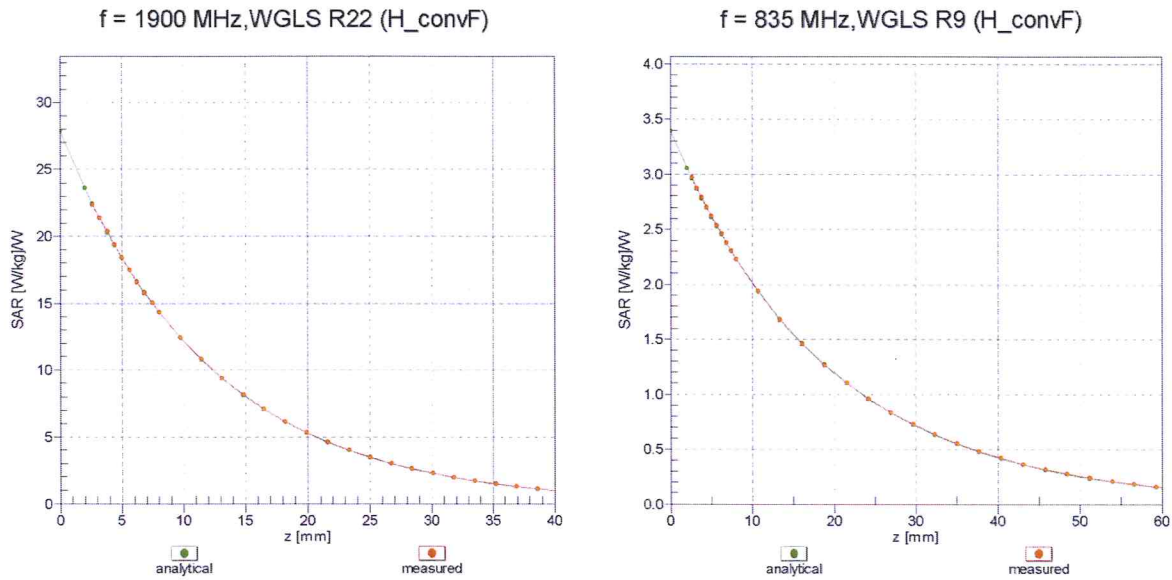
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f = 900 MHz)

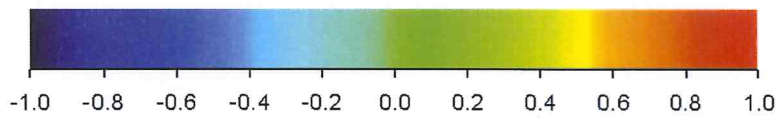
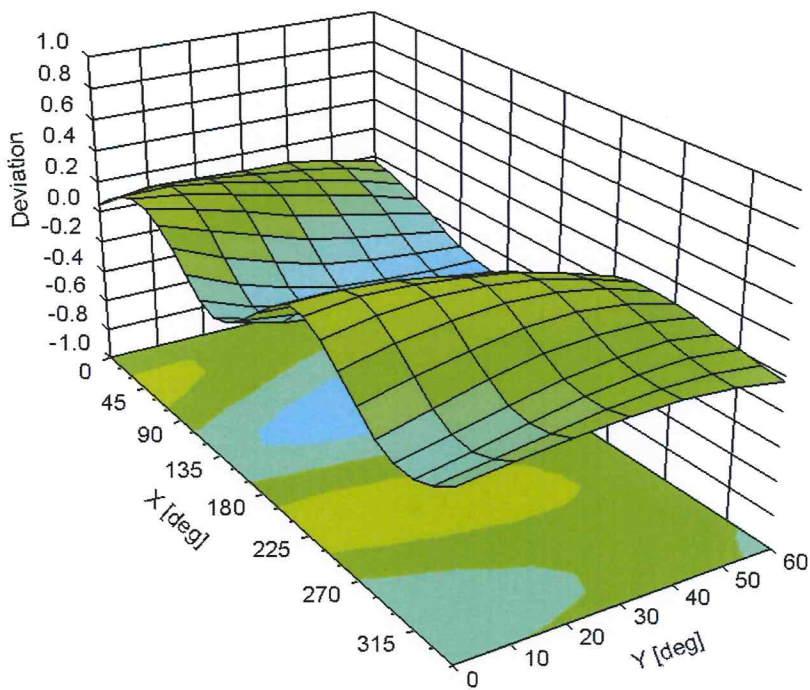


**Uncertainty of Linearity Assessment: ± 0.6% (k=2)**

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), $f = 900$ MHz



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  ( $k=2$ )

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3036

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	Not applicable
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	10 mm
Tip Diameter	4 mm
Probe Tip to Sensor X Calibration Point	2 mm
Probe Tip to Sensor Y Calibration Point	2 mm
Probe Tip to Sensor Z Calibration Point	2 mm
Recommended Measurement Distance from Surface	3 mm