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Client: UTStarcom  
Model: UTS702U  
FCC ID: O6YUTS-702U  
FCC: Part 24

## **APPENDIX A: RF EXPOSURE**

Please see the SAR report that follows.

## DECLARATION OF COMPLIANCE SAR EVALUATION

### Test Lab

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### Applicant Information

#### **UTSTARCOM INC.**

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|                                |                                                                                      |
|--------------------------------|--------------------------------------------------------------------------------------|
| <b>FCC Rule Part(s):</b>       | 47 CFR §2.1093                                                                       |
| <b>Test Procedure(s):</b>      | FCC OET Bulletin 65, Supplement C (Edition 01-01)<br>IEEE Standard 1528-200X (Draft) |
| <b>FCC Classification:</b>     | Part 24 Licensed Portable Transmitter held to ear (PCE)                              |
| <b>FCC ID:</b>                 | O6YUTS-702U                                                                          |
| <b>Model(s):</b>               | UTS-702U                                                                             |
| <b>Device Type:</b>            | Single-Mode PAS PHS Handset                                                          |
| <b>Access Method:</b>          | TDMA (Time Division Multiple Access)                                                 |
| <b>Modulation:</b>             | DQPSK (Differential Quadrature Phase Shift Keying)                                   |
| <b>Duty Cycle:</b>             | 13 %                                                                                 |
| <b>Tx Frequency Range:</b>     | 1893.65 - 1909.95 MHz                                                                |
| <b>RF Output Power Tested:</b> | 19.55 dBm EIRP (1902.35 MHz)                                                         |
| <b>Antenna Type:</b>           | Stubby                                                                               |
| <b>Battery Type:</b>           | 3.6V Lithium-ion, 480mAh (UTSL062248C)                                               |
| <b>Max. SAR Measured:</b>      | 0.0798 W/kg (Head)                                                                   |

Celltech Labs Inc. declares under its sole responsibility that this device was found to be in compliance with the Specific Absorption Rate (SAR) RF exposure requirements specified in FCC 47 CFR §2.1093. The device was tested in accordance with the measurement standards and procedures specified in FCC OET Bulletin 65, Supplement C, Edition 01-01 and IEEE Standard 1528-200X (Draft) for the General Population / Uncontrolled Exposure environment.

I attest to the accuracy of data. All measurements were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

This test report shall not be reproduced partially, or in full, without the prior written approval of Celltech Labs Inc. The results and statements contained in this report pertain only to the device(s) evaluated.



**Russell W. Pipe**  
Senior Compliance Technologist  
Celltech Labs Inc.



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## 1.0 INTRODUCTION

This measurement report shows that the UTSTARCOM INC. Model: UTS-702U Single-Mode PAS PHS TDMA Handset FCC ID: O6YUTS-702U complies with the RF exposure requirements specified in FCC 47 CFR §2.1093 (see reference [1]) for the General Population / Uncontrolled Exposure environment. The test procedures described in FCC OET Bulletin 65, Supplement C, Edition 01-01 (see reference [2]), and IEEE Standard 1528-200X (Draft) (see reference [3]) were employed. A description of the product and operating configuration, detailed summary of the test results, methodology and procedures used in the evaluation, equipment used, and the various provisions of the rules are included within this test report.

## 2.0 DESCRIPTION of Equipment Under Test (EUT)

|                               |                                                                              |
|-------------------------------|------------------------------------------------------------------------------|
| <b>EUT Type</b>               | Single-Mode PAS PHS Handset                                                  |
| <b>FCC Equipment Class</b>    | Licensed Portable Transmitter Held to Ear (PCE)                              |
| <b>FCC Rule Part(s)</b>       | 47 CFR §2.1093                                                               |
| <b>Test Procedure(s)</b>      | FCC OET Bulletin 65, Supplement C (01-01)<br>IEEE Standard 1528-200X (Draft) |
| <b>FCC ID</b>                 | O6YUTS-702U                                                                  |
| <b>Model No.(s)</b>           | UTS-702U                                                                     |
| <b>Serial No.</b>             | Pre-production unit                                                          |
| <b>Tx Frequency Range</b>     | 1893.65 - 1909.95 MHz                                                        |
| <b>RF Output Power Tested</b> | 19.55 dBm EIRP (1902.35 MHz)                                                 |
| <b>Access Method</b>          | TDMA (Time Division Multiple Access)                                         |
| <b>Modulation</b>             | DQPSK (Differential Quadrature Phase Shift Keying)                           |
| <b>Duty Cycle</b>             | 13 %                                                                         |
| <b>Battery Type(s)</b>        | 3.6V Lithium-ion, 480 mAh (UTSL062248C)                                      |
| <b>Antenna Type</b>           | Stubby                                                                       |

### 3.0 SAR MEASUREMENT SYSTEM

Celltech Labs SAR measurement facility utilizes the Dosimetric Assessment System (DASY™) manufactured by Schmid & Partner Engineering AG (SPEAG™) of Zurich, Switzerland. The DASY system is comprised of the robot controller, computer, near-field probe, probe alignment sensor, specific anthropomorphic mannequin (SAM) phantom, and various planar phantoms for face-held and/or body-worn SAR evaluations. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF). A cell controller system contains the power supply, robot controller, teach pendant (Joystick), and remote control, is used to drive the robot motors. The Staubli robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card. The DAE3 utilizes a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16-bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe-mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer.



DASY3 SAR Measurement System with SAM Phantom

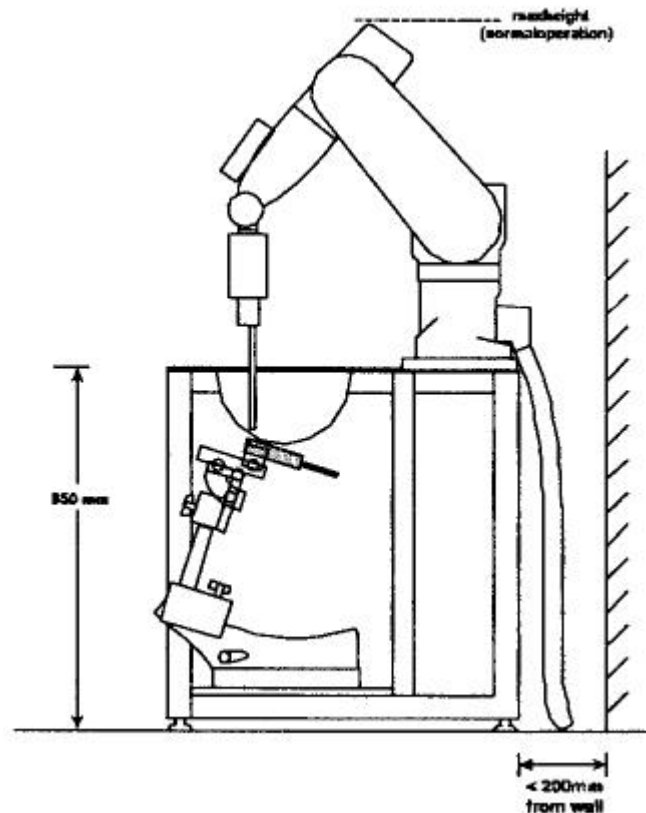


Figure 1. DASY3 Compact Version - Side View

## 4.0 MEASUREMENT SUMMARY

The measurement results were obtained with the EUT tested in the conditions described in this report. Detailed measurement data and plots showing the maximum SAR location of the EUT are reported in Appendix A.

| SAR EVALUATION RESULTS                                                                                                                              |               |           |                        |              |                  |                 |                |                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------|------------------------|--------------|------------------|-----------------|----------------|------------------------|
| Freq. (MHz)                                                                                                                                         | Channel       | Test Mode | RF Output Power (EIRP) | Battery Type | Antenna Position | Phantom Section | Test Position  | Measured SAR 1g (W/kg) |
| 1902.35                                                                                                                                             | 25            | TDMA      | 19.55 dBm              | Standard     | Fixed            | Left Ear        | Cheek/Touch    | 0.0731                 |
| 1902.35                                                                                                                                             | 25            | TDMA      | 19.55 dBm              | Standard     | Fixed            | Left Ear        | Ear/Tilt (15°) | 0.0684                 |
| 1902.35                                                                                                                                             | 25            | TDMA      | 19.55 dBm              | Standard     | Fixed            | Right Ear       | Cheek/Touch    | 0.0798                 |
| 1902.35                                                                                                                                             | 25            | TDMA      | 19.55 dBm              | Standard     | Fixed            | Right Ear       | Ear/Tilt (15°) | 0.0708                 |
| ANSI / IEEE C95.1 1992 - SAFETY LIMIT<br>BRAIN / BODY: 1.6 W/kg (averaged over 1 gram)<br>Spatial Peak - Uncontrolled Exposure / General Population |               |           |                        |              |                  |                 |                |                        |
| Measured Tissue Simulant                                                                                                                            | 1900MHz Brain |           | Ambient Temperature    |              | 23.6 °C          |                 |                |                        |
|                                                                                                                                                     | IEEE Target   | Measured  | Fluid Temperature      |              | 22.6 °C          |                 |                |                        |
| Dielectric Constant $\epsilon_r$                                                                                                                    | 40.0 ±5%      | 38.9      | Fluid Depth            |              | ≥ 15 cm          |                 |                |                        |
| Conductivity $\sigma$ (mho/m)                                                                                                                       | 1.40 ±5%      | 1.42      | Relative Humidity      |              | 38 %             |                 |                |                        |
| $\rho$ (Kg/m <sup>3</sup> )                                                                                                                         | 1000          |           | Atmospheric Pressure   |              | 101.5 kPa        |                 |                |                        |

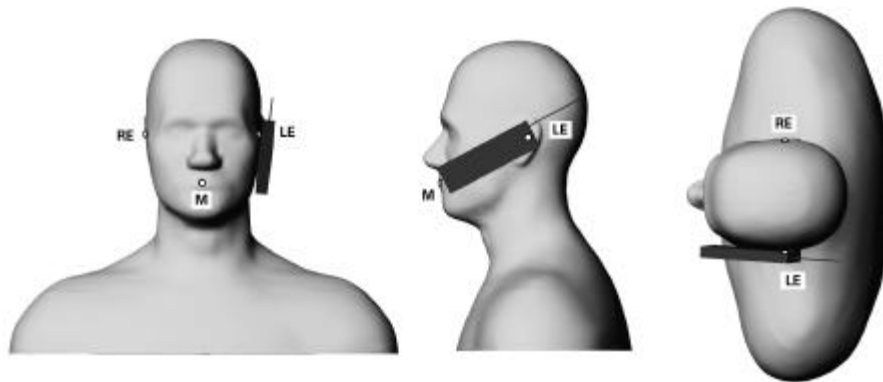
Note(s):

1. The SAR measurements performed at the middle channel were ≥ 3dB below the SAR limit; therefore SAR evaluation for the low and high channels was optional (per FCC OET Bulletin 65, Supplement C, Edition 01-01 - see reference [2]).
2. The ambient and fluid temperatures were measured prior to, and during, the fluid dielectric parameter check and the SAR evaluation. The temperatures listed in the table above were consistent for all measurement periods.
3. The dielectric properties of the simulated body fluid were verified prior to the evaluation using an 85070C Dielectric Probe Kit and an 8753E Network Analyzer (see Appendix E for printout of measured fluid dielectric parameters).

## 5.0 DETAILS OF SAR EVALUATION

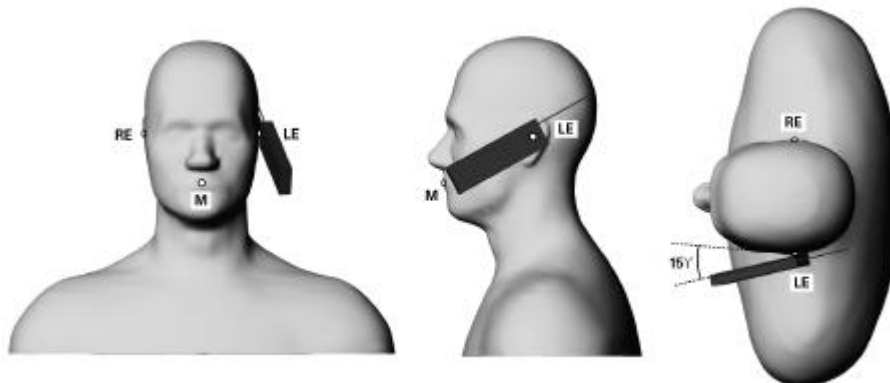
The UTSTARCOM INC. Model: UTS-702U Single-Mode PAS PHS TDMA Handset FCC ID: O6YUTS-702U was found to be compliant for localized Specific Absorption Rate (SAR) based on the test provisions and conditions described below. The detailed test setup photographs are shown in Appendix G.

- 1) The EUT was tested in an ear-held configuration at both the left and right head sections of the SAM phantom at the center channel of the operating band. If the SAR value at the center channel for each test configuration (left ear, right ear, cheek/touch, ear/tilt) was 3dB or greater below the SAR limit, measurements at the low and high channels were optional for those test configurations per FCC OET Bulletin 65, Supplement C, Edition 01-01 (see reference [2]).
- a) The handset was placed in the device holder in a normal operating position with the test device reference point located along the vertical centerline on the front of the device aligned to the ear reference point, with the center of the earpiece touching the center of the ear spacer of the SAM phantom.
- b) With the handset positioned parallel to the cheek, the test device reference point was aligned to the ear reference point on the head phantom, and the vertical centerline was aligned to the phantom reference plane (initial ear position).
- c) While maintaining the three alignments, the body of the handset was gradually adjusted to each of the following test positions:
  - Cheek/Touch Position: the handset was brought toward the mouth of the head phantom by pivoting against the ear reference point until any point of the mouthpiece or keypad touched the phantom.



**Figure 2. Phone position 1 - "cheek" or "touch" position.** The reference points for the right ear (RE), left ear (LE) and mouth (M), which define the reference plane for phone positioning, are indicated (Shoulders are shown for illustration only).

- Ear/Tilt Position: With the phone aligned in the Cheek/Touch position, the handset was tilted away from the mouth with respect to the test device reference point by 15 degrees.



**Figure 3. Phone position 2 - "tilted position."** The reference points for the right ear (RE), left ear (LE) and mouth (M), which define the reference plane for phone positioning, are indicated (Shoulders are shown for illustration only).

## DETAILS OF SAR EVALUATION (Cont.)

- 2) The EUT does not have provision for headset accessory and therefore was not tested in a body-worn configuration.
- 3) The EUT was placed into test mode using internal software controlled by the keypad.
- 4) The EUT was tested in TDMA mode at a duty cycle of 13% and a crest factor of 7.7.
- 5) The conducted power level of the EUT could not be measured for the SAR evaluation. The EUT was evaluated for SAR at the maximum conducted power level preset by the manufacturer.
- 6) The EUT was evaluated for SAR at the maximum EIRP measured by signal substitution method.
- 7) The power drift measured for the duration of each test by the DASY system was within +/- 5%.
- 8) The EUT was tested with a fully charged battery.

## 6.0 EVALUATION PROCEDURES

- a. (i) The evaluation was performed in the applicable area of the phantom depending on the type of device being tested. For devices held to the ear during normal operation, both the left and right ear positions were evaluated in accordance with FCC OET Bulletin 65, Supplement C (Edition 01-01) using the SAM phantom.  
(ii) For body-worn and face-held devices a planar phantom was used.
- b. The SAR was determined by a pre-defined procedure within the DASY3 software. Upon completion of a reference and optical surface check, the exposed region of the phantom was scanned near the inner surface with a grid spacing of 15mm x 15mm.
- c. Based on the area scan data, the area of maximum absorption was determined by spline interpolation. Around this point, a volume of 40 x 40 x 35 mm (fine resolution volume scan, zoom scan) was assessed by measuring 5 x 5 x 7 points.
- d. The 1g and 10g spatial peak SAR was determined as follows:
  1. The first step was an extrapolation to find the points between the dipole center of the probe and the surface of the phantom. This data cannot be measured, since the center of the dipole is 2.7 mm away from the tip of the probe and the distance between the surface and the lowest measuring point is 1.4 mm (see probe calibration document in Appendix D). The extrapolation was based on a least square algorithm [W. Gander, Computermathematik, p.168-180] (see reference [4]). Through the points in the first 3 cm in each z-axis, polynomials of the fourth order were calculated. These polynomials were then used to evaluate the points between the surface and the probe tip.
  2. The next step used 3D-spline interpolation to get all points within the measured volume in a 1mm grid (35000 points). The 3D-spline is composed of three one-dimensional splines with the "Not a knot" condition [W. Gander, Computermathematik, p.141-150] (x, y and z -direction) [Numerical Recipes in C, Second Edition, p.123ff] (see reference [4]).
  3. The maximal interpolated value was searched with a straightforward algorithm. Around this maximum the SAR values averaged over the spatial volumes (1g or 10g) were computed using the 3D-spline interpolation algorithm. 8000 points (20x20x20) were interpolated to calculate the average.



## EVALUATION PROCEDURES (Cont.)

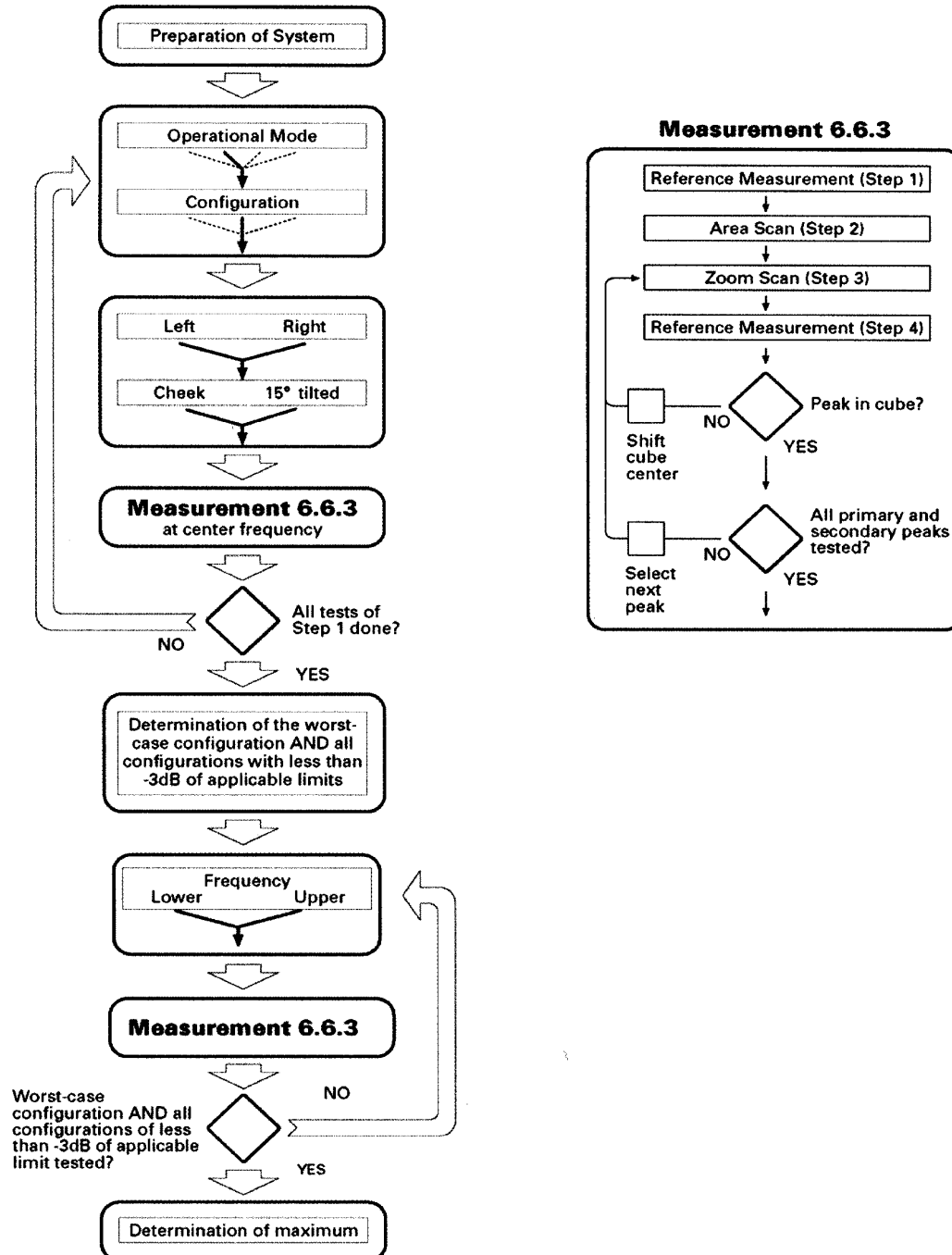


Figure 4. Flow Chart of the recommended practices and procedures per IEEE Std 1528-200X - Draft (see reference [3])

## 7.0 SYSTEM PERFORMANCE CHECK

Prior to the SAR evaluation a system check was performed at the planar section of the SAM phantom with an 1800MHz dipole (see Appendix C for system validation procedures). Prior to the system check the dielectric parameters of the simulated tissue fluid were measured using an HP 85070C Dielectric Probe Kit and an HP 8753E Network Analyzer (see Appendix E for printout of measured fluid dielectric parameters). A forward power of 250 mW was applied to the dipole and the system was verified to a tolerance of  $\pm 10\%$  (see Appendix B for system check test plot).

| SYSTEM PERFORMANCE CHECK |                         |                 |          |                                  |          |                               |          |                             |               |             |              |
|--------------------------|-------------------------|-----------------|----------|----------------------------------|----------|-------------------------------|----------|-----------------------------|---------------|-------------|--------------|
| Test Date                | Equiv. Tissue (1800MHz) | SAR 1g (W/kg)   |          | Dielectric Constant $\epsilon_r$ |          | Conductivity $\sigma$ (mho/m) |          | $\rho$ (Kg/m <sup>3</sup> ) | Ambient Temp. | Fluid Temp. | Fluid Depth  |
|                          |                         | IEEE Target     | Measured | IEEE Target                      | Measured | IEEE Target                   | Measured |                             |               |             |              |
| 05/14/03                 | Brain                   | 9.53 $\pm 10\%$ | 9.18     | 40.0 $\pm 5\%$                   | 39.6     | 1.40 $\pm 5\%$                | 1.38     | 1000                        | 23.6 °C       | 22.6 °C     | $\geq 15$ cm |

Note(s):

1. The ambient and fluid temperatures were measured prior to, and during, the fluid dielectric parameter check and the system performance check. The temperatures listed in the table above were consistent for all measurement periods.

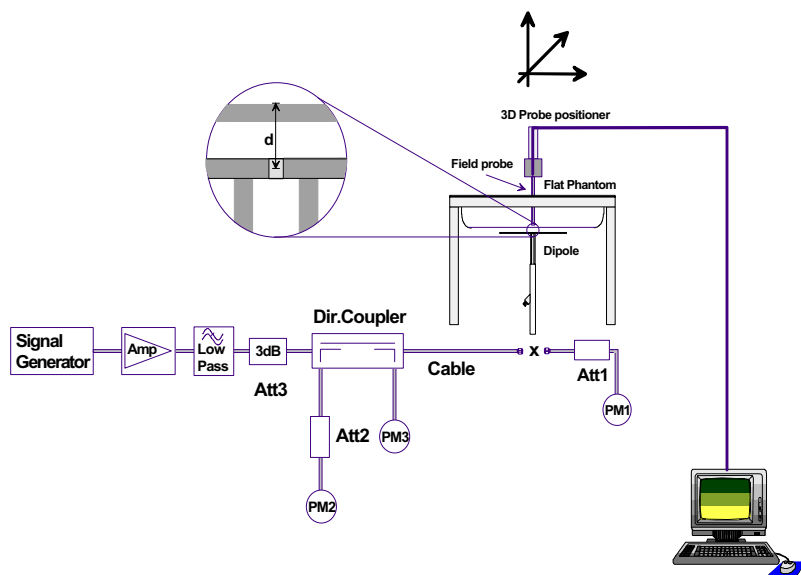


Figure 5. System Check Setup Diagram



1800MHz System Check Setup

## 8.0 SIMULATED TISSUES

The 1800MHz and 1900MHz simulated tissue mixtures consist of Glycol-monobutyl, water, and salt. The fluid was prepared according to standardized procedures and measured for dielectric parameters (permittivity and conductivity).

| TISSUE MIXTURES  |                                 |                                   |                                  |
|------------------|---------------------------------|-----------------------------------|----------------------------------|
| INGREDIENT       | 1800MHz Brain<br>(System Check) | 1900MHz Brain<br>(EUT Evaluation) | 1900MHz Body<br>(EUT Evaluation) |
| Water            | 548.0 g                         | 552.40 g                          | 716.60 g                         |
| Glycol Monobutyl | 448.5 g                         | 444.52 g                          | 300.70 g                         |
| Salt             | 3.20 g                          | 3.06 g                            | 3.10 g                           |

## 9.0 SAR SAFETY LIMITS

| EXPOSURE LIMITS                                                  | SAR (W/kg)                                                     |                                                        |
|------------------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------|
|                                                                  | (General Population /<br>Uncontrolled Exposure<br>Environment) | (Occupational /<br>Controlled Exposure<br>Environment) |
| Spatial Average<br>(averaged over the whole body)                | 0.08                                                           | 0.4                                                    |
| Spatial Peak<br>(averaged over any 1 g of tissue)                | 1.60                                                           | 8.0                                                    |
| Spatial Peak<br>(hands/wrists/feet/ankles<br>averaged over 10 g) | 4.0                                                            | 20.0                                                   |

Notes:

1. Uncontrolled environments are defined as locations where there is potential exposure of individuals who have no knowledge or control of their potential exposure.
2. Controlled environments are defined as locations where there is potential exposure of individuals who have knowledge of their potential exposure and can exercise control over their exposure.

## 10.0 ROBOT SYSTEM SPECIFICATIONS

### Specifications

**POSITIONER:** Stäubli Unimation Corp. Robot Model: RX60L  
**Repeatability:** 0.02 mm  
**No. of axis:** 6

### Data Acquisition Electronic (DAE) System

#### Cell Controller

**Processor:** Pentium III  
**Clock Speed:** 450 MHz  
**Operating System:** Windows NT  
**Data Card:** DASY3 PC-Board

#### Data Converter

**Features:** Signal Amplifier, multiplexer, A/D converter, and control logic  
**Software:** DASY3 software  
**Connecting Lines:** Optical downlink for data and status info.  
Optical uplink for commands and clock

### PC Interface Card

**Function:** 24 bit (64 MHz) DSP for real time processing  
Link to DAE3  
16-bit A/D converter for surface detection system  
serial link to robot  
direct emergency stop output for robot

### E-Field Probe

**Model:** ET3DV6  
**Serial No.:** 1387  
**Construction:** Triangular core fiber optic detection system  
**Frequency:** 10 MHz to 6 GHz  
**Linearity:**  $\pm 0.2$  dB (30 MHz to 3 GHz)

### Phantom

**Type:** SAM V4.0C  
**Shell Material:** Fiberglass  
**Thickness:**  $2.0 \pm 0.1$  mm  
**Volume:** Approx. 20 liters

## 11.0 PROBE SPECIFICATION (ET3DV6)

|                  |                                                                                                                                                              |
|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Construction:    | Symmetrical design with triangular core<br>Built-in shielding against static charges<br>PEEK enclosure material (resistant to organic solvents, e.g. glycol) |
| Calibration:     | In air from 10 MHz to 2.5 GHz<br>In brain simulating tissue at frequencies of 900 MHz and 1.8 GHz (accuracy $\pm 8\%$ )                                      |
| Frequency:       | 10 MHz to $>6$ GHz; Linearity: $\pm 0.2$ dB<br>(30 MHz to 3 GHz)                                                                                             |
| Directivity:     | $\pm 0.2$ dB in brain tissue (rotation around probe axis)<br>$\pm 0.4$ dB in brain tissue (rotation normal to probe axis)                                    |
| Dynamic Range:   | 5 $\mu$ W/g to $>100$ mW/g; Linearity: $\pm 0.2$ dB                                                                                                          |
| Surface Detect.: | $\pm 0.2$ mm repeatability in air and clear liquids over diffuse reflecting surfaces                                                                         |
| Dimensions:      | Overall length: 330 mm<br>Tip length: 16 mm<br>Body diameter: 12 mm<br>Tip diameter: 6.8 mm<br>Distance from probe tip to dipole centers: 2.7 mm             |
| Application:     | General dosimetry up to 3 GHz<br>Compliance tests of mobile phone                                                                                            |



ET3DV6 E-Field Probe

## 12.0 SAM PHANTOM V4.0C

The SAM phantom V4.0C is a fiberglass shell phantom with a 2.0 mm shell thickness for left and right head and flat planar area integrated in a wooden table. The shape of the fiberglass shell corresponds to the phantom defined by SCC34-SC2. The device holder positions are adjusted to the standard measurement positions in the three sections.



SAM Phantom V4.0C

## 13.0 DEVICE HOLDER

The DASY3 device holder has two scales for device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear openings). The plane between the ear openings and the mouth tip has a rotation angle of  $65^\circ$ . The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections.



Device Holder

## 14.0 TEST EQUIPMENT LIST

| SAR MEASUREMENT SYSTEM                   |            |                  |
|------------------------------------------|------------|------------------|
| TEST EQUIPMENT                           | SERIAL NO. | CALIBRATION DATE |
| Schmid & Partner DASY3 System            | -          | -                |
| -Robot                                   | 599396-01  | N/A              |
| -ET3DV6 E-Field Probe                    | 1387       | Feb 2003         |
| -300MHz Validation Dipole                | 135        | Oct 2002         |
| -450MHz Validation Dipole                | 136        | Oct 2002         |
| -900MHz Validation Dipole                | 054        | June 2001        |
| -1800MHz Validation Dipole               | 247        | June 2001        |
| -2450MHz Validation Dipole               | 150        | Oct 2002         |
| -SAM Phantom V4.0C                       | N/A        | N/A              |
| -Planar Phantom                          | N/A        | N/A              |
| -Validation Planar Phantom               | N/A        | N/A              |
| HP 85070C Dielectric Probe Kit           | N/A        | N/A              |
| Gigatronics 8651A Power Meter            | 8650137    | April 2003       |
| Gigatronics 8652A Power Meter            | 1835267    | April 2003       |
| Power Sensor 80701A                      | 1833542    | Feb 2003         |
| Power Sensor 80701A                      | 1833699    | April 2003       |
| HP E4408B Spectrum Analyzer              | US39240170 | Dec 2002         |
| HP 8594E Spectrum Analyzer               | 3543A02721 | Feb 2003         |
| HP 8753E Network Analyzer                | US38433013 | Feb 2003         |
| HP 8648D Signal Generator                | 3847A00611 | Feb 2003         |
| Amplifier Research 5S1G4 Power Amplifier | 26235      | N/A              |

## 15.0 MEASUREMENT UNCERTAINTIES

| UNCERTAINTY BUDGET FOR DEVICE EVALUATION |                              |                          |            |             |                                      |                    |
|------------------------------------------|------------------------------|--------------------------|------------|-------------|--------------------------------------|--------------------|
| Error Description                        | Uncertainty Value<br>$\pm\%$ | Probability Distribution | Divisor    | $c_i$<br>1g | Standard Uncertainty<br>$\pm\%$ (1g) | $v_i$ or $v_{eff}$ |
| <b>Measurement System</b>                |                              |                          |            |             |                                      |                    |
| Probe calibration                        | $\pm 4.8$                    | Normal                   | 1          | 1           | $\pm 4.8$                            | $\infty$           |
| Axial isotropy of the probe              | $\pm 4.7$                    | Rectangular              | $\sqrt{3}$ | $(1-c_p)$   | $\pm 1.9$                            | $\infty$           |
| Spherical isotropy of the probe          | $\pm 9.6$                    | Rectangular              | $\sqrt{3}$ | $(c_p)$     | $\pm 3.9$                            | $\infty$           |
| Spatial resolution                       | $\pm 0.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.0$                            | $\infty$           |
| Boundary effects                         | $\pm 5.5$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 3.2$                            | $\infty$           |
| Probe linearity                          | $\pm 4.7$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.7$                            | $\infty$           |
| Detection limit                          | $\pm 1.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.6$                            | $\infty$           |
| Readout electronics                      | $\pm 1.0$                    | Normal                   | 1          | 1           | $\pm 1.0$                            | $\infty$           |
| Response time                            | $\pm 0.8$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.5$                            | $\infty$           |
| Integration time                         | $\pm 1.4$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.8$                            | $\infty$           |
| RF ambient conditions                    | $\pm 3.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 1.7$                            | $\infty$           |
| Mech. constraints of robot               | $\pm 0.4$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.2$                            | $\infty$           |
| Probe positioning                        | $\pm 2.9$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 1.7$                            | $\infty$           |
| Extrapolation & integration              | $\pm 3.9$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.3$                            | $\infty$           |
| <b>Test Sample Related</b>               |                              |                          |            |             |                                      |                    |
| Device positioning                       | $\pm 6.0$                    | Normal                   | $\sqrt{3}$ | 1           | $\pm 6.7$                            | 12                 |
| Device holder uncertainty                | $\pm 5.0$                    | Normal                   | $\sqrt{3}$ | 1           | $\pm 5.9$                            | 8                  |
| Power drift                              | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ |             | $\pm 2.9$                            | $\infty$           |
| <b>Phantom and Setup</b>                 |                              |                          |            |             |                                      |                    |
| Phantom uncertainty                      | $\pm 4.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.3$                            | $\infty$           |
| Liquid conductivity (target)             | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid conductivity (measured)           | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid permittivity (target)             | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid permittivity (measured)           | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
|                                          |                              |                          |            |             |                                      |                    |
| <b>Combined Standard Uncertainty</b>     |                              |                          |            |             | <b><math>\pm 13.3</math></b>         |                    |
| <b>Expanded Uncertainty (k=2)</b>        |                              |                          |            |             | <b><math>\pm 26.6</math></b>         |                    |

Measurement Uncertainty Table in accordance with IEEE Std 1528-200X (Draft - see reference [3])

## MEASUREMENT UNCERTAINTIES (Cont.)

| UNCERTAINTY BUDGET FOR SYSTEM VALIDATION |                              |                          |            |             |                                      |                    |
|------------------------------------------|------------------------------|--------------------------|------------|-------------|--------------------------------------|--------------------|
| Error Description                        | Uncertainty Value<br>$\pm\%$ | Probability Distribution | Divisor    | $c_i$<br>1g | Standard Uncertainty<br>$\pm\%$ (1g) | $v_i$ or $v_{eff}$ |
| <b>Measurement System</b>                |                              |                          |            |             |                                      |                    |
| Probe calibration                        | $\pm 4.8$                    | Normal                   | 1          | 1           | $\pm 4.8$                            | $\infty$           |
| Axial isotropy of the probe              | $\pm 4.7$                    | Rectangular              | $\sqrt{3}$ | $(1-c_p)$   | $\pm 1.9$                            | $\infty$           |
| Spherical isotropy of the probe          | $\pm 9.6$                    | Rectangular              | $\sqrt{3}$ | $(c_p)$     | $\pm 3.9$                            | $\infty$           |
| Spatial resolution                       | $\pm 0.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.0$                            | $\infty$           |
| Boundary effects                         | $\pm 5.5$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 3.2$                            | $\infty$           |
| Probe linearity                          | $\pm 4.7$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.7$                            | $\infty$           |
| Detection limit                          | $\pm 1.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.6$                            | $\infty$           |
| Readout electronics                      | $\pm 1.0$                    | Normal                   | 1          | 1           | $\pm 1.0$                            | $\infty$           |
| Response time                            | $\pm 0.8$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.5$                            | $\infty$           |
| Integration time                         | $\pm 1.4$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.8$                            | $\infty$           |
| RF ambient conditions                    | $\pm 3.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 1.7$                            | $\infty$           |
| Mech. constraints of robot               | $\pm 0.4$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 0.2$                            | $\infty$           |
| Probe positioning                        | $\pm 2.9$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 1.7$                            | $\infty$           |
| Extrapolation & integration              | $\pm 3.9$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.3$                            | $\infty$           |
| <b>Dipole</b>                            |                              |                          |            |             |                                      |                    |
| Dipole Axis to Liquid Distance           | $\pm 2.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 1.2$                            | $\infty$           |
| Input Power                              | $\pm 4.7$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.7$                            | $\infty$           |
| <b>Phantom and Setup</b>                 |                              |                          |            |             |                                      |                    |
| Phantom uncertainty                      | $\pm 4.0$                    | Rectangular              | $\sqrt{3}$ | 1           | $\pm 2.3$                            | $\infty$           |
| Liquid conductivity (target)             | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid conductivity (measured)           | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid permittivity (target)             | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| Liquid permittivity (measured)           | $\pm 5.0$                    | Rectangular              | $\sqrt{3}$ | 0.6         | $\pm 1.7$                            | $\infty$           |
| <b>Combined Standard Uncertainty</b>     |                              |                          |            |             |                                      |                    |
|                                          |                              |                          |            |             | <b><math>\pm 9.9</math></b>          |                    |
| <b>Expanded Uncertainty (k=2)</b>        |                              |                          |            |             |                                      |                    |
|                                          |                              |                          |            |             | <b><math>\pm 19.8</math></b>         |                    |

Measurement Uncertainty Table in accordance with IEEE Std 1528-200X (Draft - see reference [3])



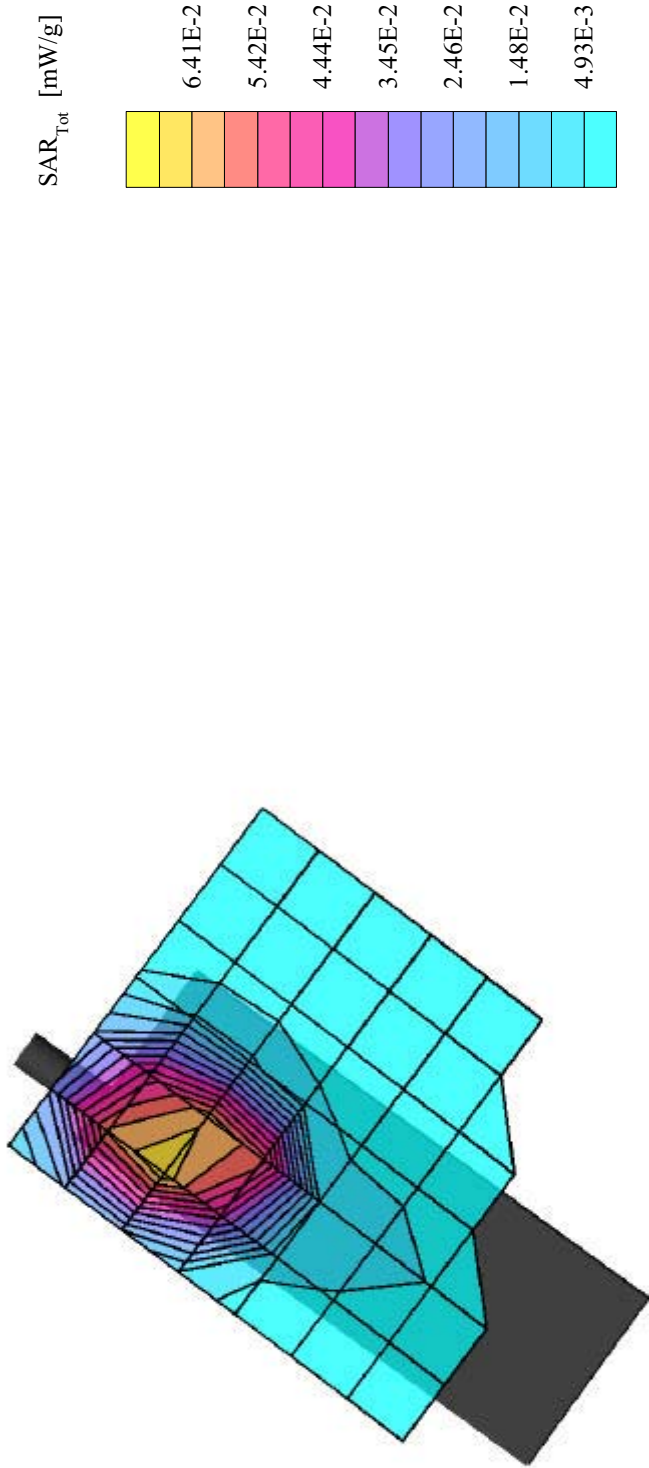
## 16.0 REFERENCES

- [1] Federal Communications Commission, "Radiofrequency radiation exposure evaluation: portable devices", Rule Part 47 CFR §2.1093: 1999.
- [2] Federal Communications Commission, "Evaluating Compliance with FCC Guidelines for Human Exposure to Radio frequency Electromagnetic Fields", OET Bulletin 65, Supplement C (Edition 01-01), FCC, Washington, D.C.: June 2001.
- [3] IEEE Standards Coordinating Committee 34, Std 1528-200X, "DRAFT Recommended Practice for Determining the Spatial-Peak Specific Absorption Rate (SAR) in the Human Body Due to Wireless Communications Devices: Experimental Techniques".
- [4] W. Gander, *Computermathematick*, Birkhaeuser, Basel: 1992.

## APPENDIX A - SAR MEASUREMENT DATA

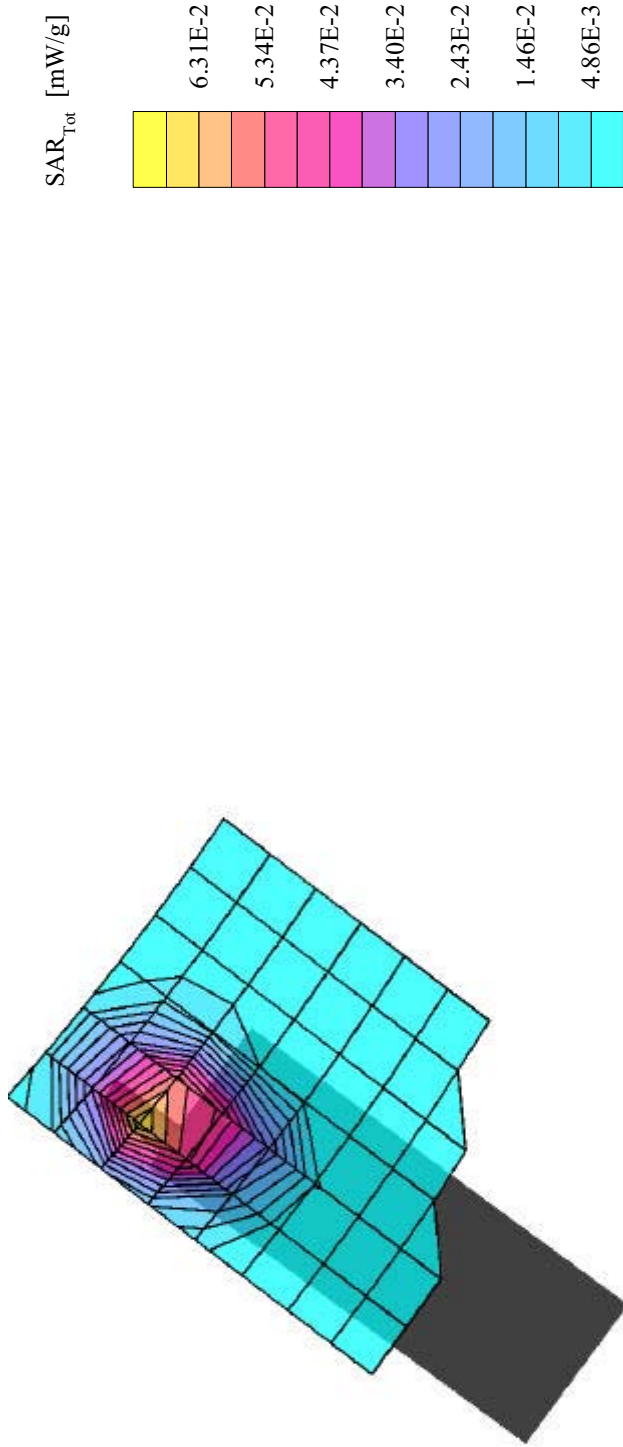
UTStarcom Inc. FCC ID: O6YUTS-702U  
SAM Phantom; Left Head Section; Position: (90°,65°)  
Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 7.7  
1900 MHz Brain:  $\sigma = 1.42 \text{ mho/m}$   $\epsilon_r = 38.9$   $\rho = 1.00 \text{ g/cm}^3$   
Coarse: Dx = 15.0, Dy = 15.0, Dz = 10.0  
Cube 5x5x7; Powerdrift: 0.14 dB  
SAR (1g): 0.0731 mW/g, SAR (10g): 0.0405 mW/g

Head SAR - Left Ear - Cheek/Touch Position  
UTS-702U PAS PHS Handset  
Stubby Antenna  
3.6V Lithium-ion Battery  
TDMA Mode (13% Duty Cycle)  
Channel 25 (1902.35 MHz)  
RF Output Power: 19.55 dBm (EIRP)  
Ambient Temp: 23.6°C; Fluid Temp: 22.6°C  
Date Tested: May 14, 2003



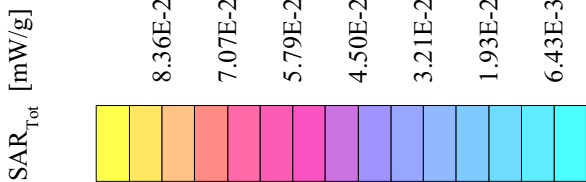
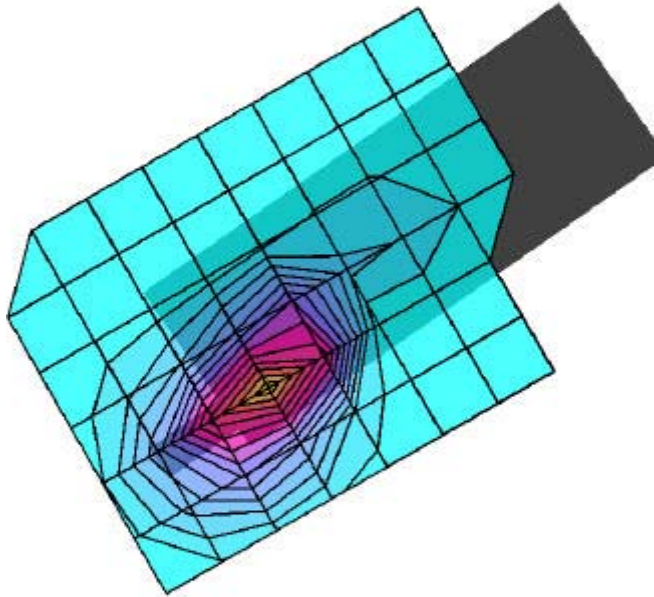
UTStarcom Inc. FCC ID: O6YUUTS-702U  
SAM Phantom; Left Head Section; Position: (105°,65°)  
Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 7.7  
1900 MHz Brain:  $\sigma = 1.42 \text{ mho/m}$   $\epsilon_r = 38.9$   $\rho = 1.00 \text{ g/cm}^3$   
Coarse: Dx = 15.0, Dy = 15.0, Dz = 10.0  
Cube 5x5x7; Powerdrift: -0.13 dB  
SAR (1g): 0.0684 mW/g, SAR (10g): 0.0373 mW/g

Head SAR - Left Ear - 15° Tilt Position  
UTS-702U PAS PHS Handset  
Stubby Antenna  
3.6V Lithium-ion Battery  
TDMA Mode (13% Duty Cycle)  
Channel 25 (1902.35 MHz)  
RF Output Power: 19.55 dBm (EIRP)  
Ambient Temp: 23.6°C; Fluid Temp: 22.6°C  
Date Tested: May 14, 2003



UTStarcom Inc. FCC ID: O6YUTS-702U  
SAM Phantom; Right Head Section; Position: (90°,300°)  
Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 7.7  
1900 MHz Brain:  $\sigma = 1.42$  mho/m  $\epsilon_r = 38.9$   $\rho = 1.00$  g/cm<sup>3</sup>  
Coarse: Dx = 15.0, Dy = 15.0, Dz = 10.0  
Cube 5x5x7; Powerdrift: 0.04 dB  
SAR (1g): 0.0798 mW/g, SAR (10g): 0.0438 mW/g

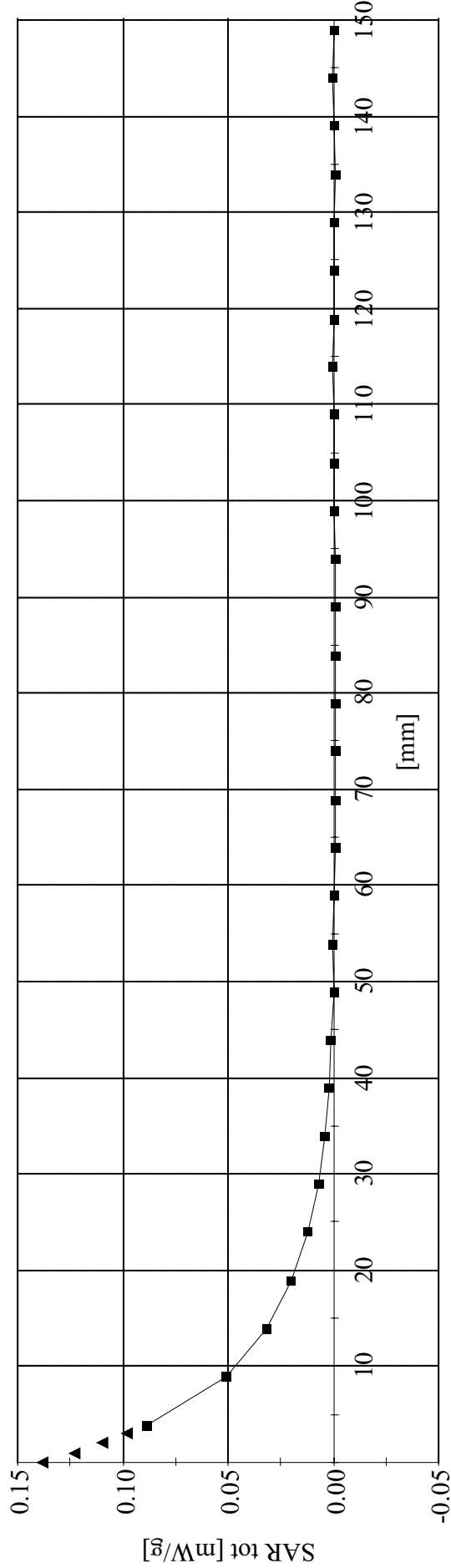
Head SAR - Right Ear - Cheek/Touch Position  
UTS-702U PAS PHS Handset  
Stubby Antenna  
3.6V Lithium-ion Battery  
TDMA Mode (13% Duty Cycle)  
Channel 25 (1902.35 MHz)  
RF Output Power: 19.55 dBm (EIRP)  
Ambient Temp: 23.6°C; Fluid Temp: 22.6°C  
Date Tested: May 14, 2003



UTStarcom Inc. FCC ID: O6YUTS-702U  
SAM Phantom; Right Head Section  
Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 7.7  
1900 MHz Brain:  $\sigma = 1.42$  mho/m  $\epsilon_r = 38.9$   $\rho = 1.00$  g/cm<sup>3</sup>

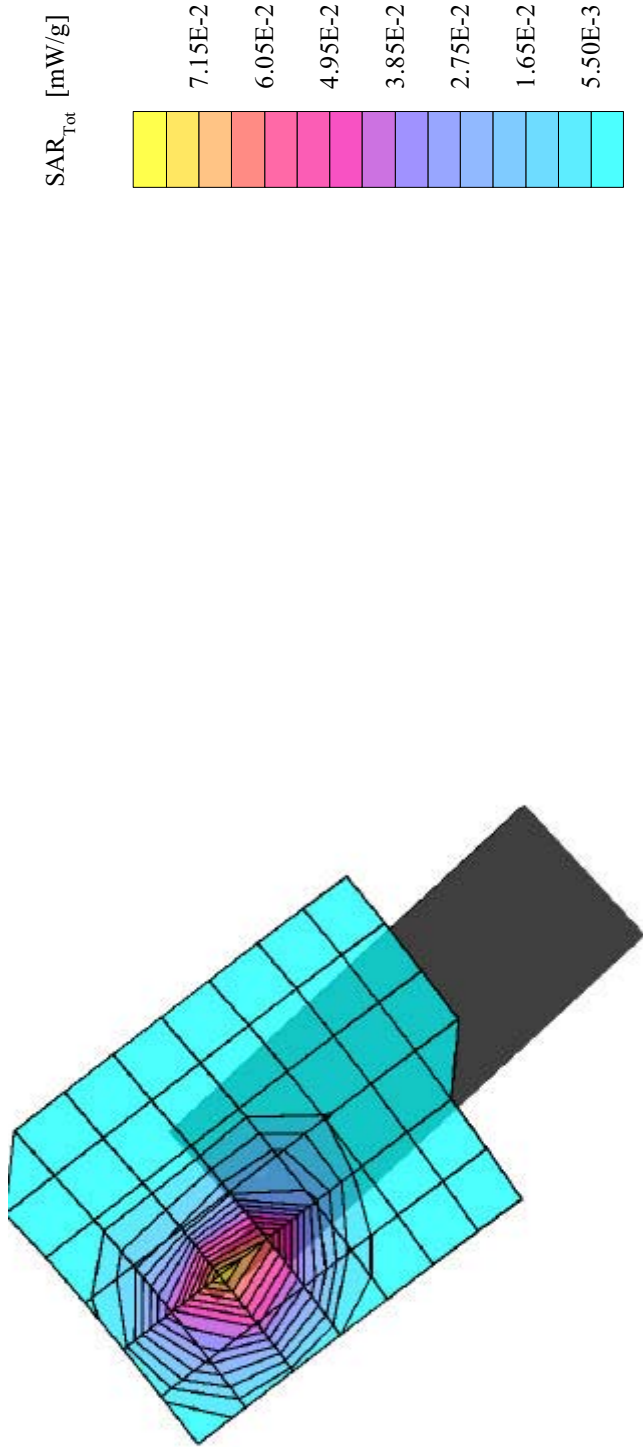
Z-Axis Extrapolation at Peak SAR Location

Head SAR - Right Ear - Cheek/Touch Position  
UTS-702U PAS PHS Handset  
Stubby Antenna  
3.6V Lithium-ion Battery  
TDMA Mode (13% Duty Cycle)  
Channel 25 (1902.35 MHz)  
RF Output Power: 19.55 dBm (EIRP)  
Ambient Temp: 23.6°C; Fluid Temp: 22.6°C  
Date Tested: May 14, 2003



UTStarcom Inc. FCC ID: O6YUUTS-702U  
SAM Phantom; Right Head Section; Position: (105°,300°)  
Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 7.7  
1900 MHz Brain:  $\sigma = 1.42 \text{ mho/m}$   $\epsilon_r = 38.9$   $\rho = 1.00 \text{ g/cm}^3$   
Coarse: Dx = 15.0, Dy = 15.0, Dz = 10.0  
Cube 5x5x7; Powerdrift: -0.07 dB  
SAR (1g): 0.0708 mW/g, SAR (10g): 0.0384 mW/g

Head SAR - Right Ear - 15° Tilt Position  
UTS-702U PAS PHS Handset  
Stubby Antenna  
3.6V Lithium-ion Battery  
TDMA Mode (13% Duty Cycle)  
Channel 25 (1902.35 MHz)  
RF Output Power: 19.55 dBm (EIRP)  
Ambient Temp: 23.6°C; Fluid Temp: 22.6°C  
Date Tested: May 14, 2003



## APPENDIX B - SYSTEM PERFORMANCE CHECK DATA



# System Performance Check - 1800MHz Dipole

SAM Phantom; Flat Section

Probe: ET3DV6 - SN1387; ConvF(5.20,5.20,5.20); Crest factor: 1.0; 1800 MHz Brain:  $\sigma = 1.38 \text{ mho/m}$   $\epsilon_r = 39.6 \rho = 1.00 \text{ g/cm}^3$

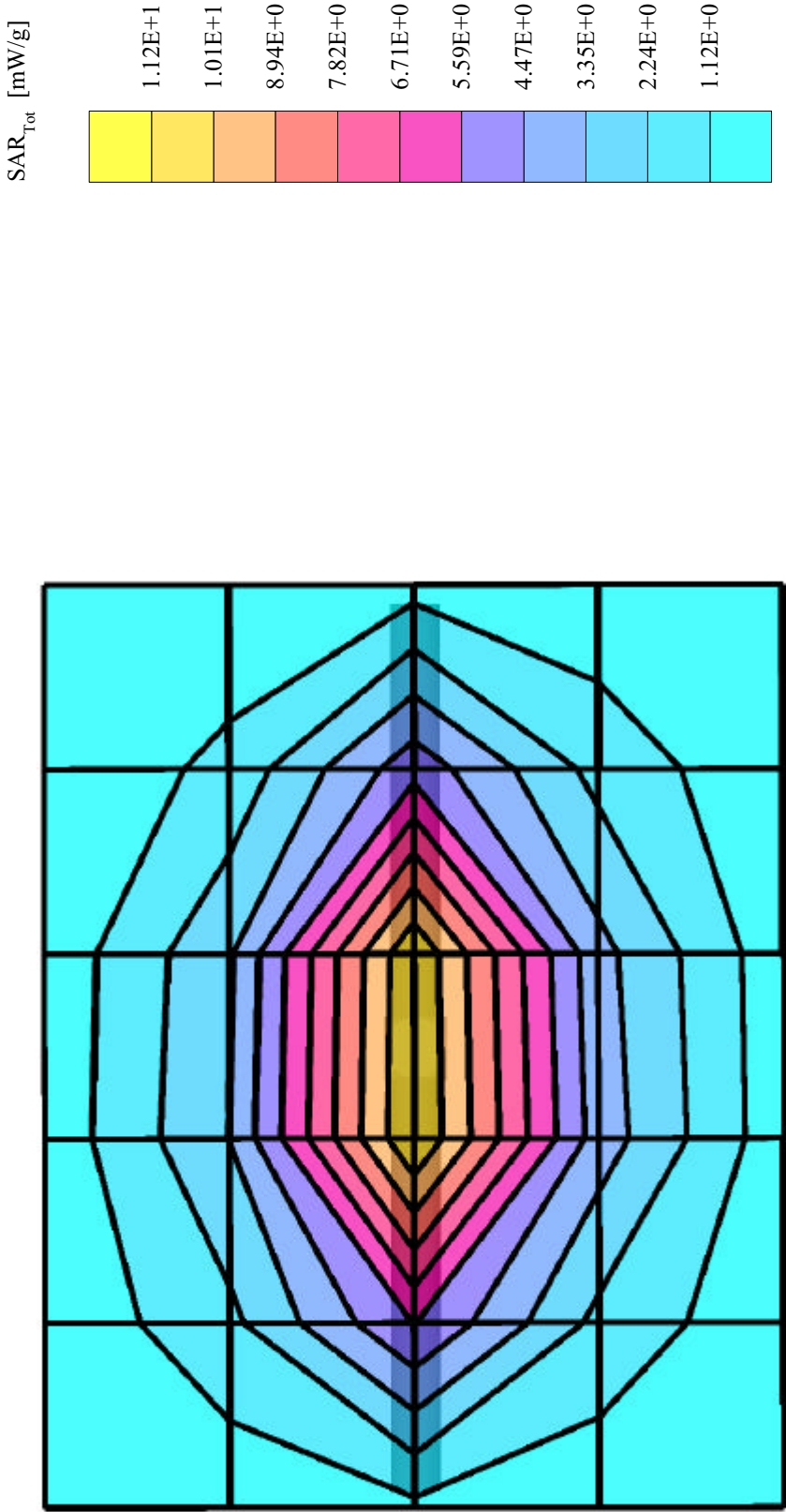
Cube 5x5x7: Peak: 16.1 mW/g, SAR (1g): 9.18 mW/g, SAR (10g): 4.90 mW/g, (Worst-case extrapolation)

Penetration depth: 9.0 (8.9, 9.3) [mm]; Powerdrift: -0.01 dB

Ambient Temp: 23.6°C; Fluid Temp: 22.6°C

Forward Conducted Power: 250 mW

Date Tested: May 14, 2003



## **APPENDIX C - SYSTEM VALIDATION**

# Schmid & Partner Engineering AG

Zeughausstrasse 43, 8004 Zurich, Switzerland, Phone +41 1 245 97 00, Fax +41 1 245 97 79

## Calibration Certificate

### 1800 MHz System Validation Dipole

Type:

**D1800V2**

Serial Number:

**247**

Place of Calibration:

**Zurich**

Date of Calibration:

**June 20, 2001**

Calibration Interval:

**24 months**

Schmid & Partner Engineering AG hereby certifies, that this device has been calibrated on the date indicated above. The calibration was performed in accordance with specifications and procedures of Schmid & Partner Engineering AG.

Wherever applicable, the standards used in the calibration process are traceable to international standards. In all other cases the standards of the Laboratory for EMF and Microwave Electronics at the Swiss Federal Institute of Technology (ETH) in Zurich, Switzerland have been applied.

Calibrated by:

*Blasius Kojia*

Approved by:

*N. Kojia*

**DASY**

**Dipole Validation Kit**

**Type: D1800V2**

**Serial: 247**

**Manufactured: August 25, 1999**  
**Calibrated: June 20, 2001**

## 1. Measurement Conditions

The measurements were performed in the flat section of the new generic twin phantom filled with head simulating glycol solution of the following electrical parameters at 1800 MHz:

|                        |                   |           |
|------------------------|-------------------|-----------|
| Relative Dielectricity | <b>40.0</b>       | $\pm 5\%$ |
| Conductivity           | <b>1.36 mho/m</b> | $\pm 5\%$ |

The DASY3 System (Software version 3.1c) with a dosimetric E-field probe ET3DV6 (SN:1507, Conversion factor 5.57 at 1800 MHz) was used for the measurements.

The dipole was mounted on the small tripod so that the dipole feedpoint was positioned below the center marking of the flat phantom section and the dipole was oriented parallel to the body axis (the long side of the phantom). The standard measuring distance was 10mm from dipole center to the solution surface. The included distance holder was used during measurements for accurate distance positioning.

The coarse grid with a grid spacing of 15mm was aligned with the dipole. The 5x5x7 fine cube was chosen for cube integration. Probe isotropy errors were cancelled by measuring the SAR with normal and 90° turned probe orientations and averaging.

The dipole input power (forward power) was 250mW  $\pm 3\%$ . The results are normalized to 1W input power.

## 2. SAR Measurement

Standard SAR-measurements were performed with the phantom according to the measurement conditions described in section 1. The results have been normalized to a dipole input power of 1W (forward power). The resulting averaged SAR-values are:

averaged over 1 cm<sup>3</sup> (1 g) of tissue:      **38.64 mW/g**

averaged over 10 cm<sup>3</sup> (10 g) of tissue:      **20.08 mW/g**

Note: If the liquid parameters for validation are slightly different from the ones used for initial calibration, the SAR-values will be different as well. The estimated sensitivities of SAR-values and penetration depths to the liquid parameters are listed in the DASY Application Note 4: 'SAR Sensitivities'.

### **3. Dipole Impedance and return loss**

The impedance was measured at the SMA-connector with a network analyzer and numerically transformed to the dipole feedpoint. The transformation parameters from the SMA-connector to the dipole feedpoint are:

|                      |                 |                                       |
|----------------------|-----------------|---------------------------------------|
| Electrical delay:    | <b>1.208 ns</b> | (one direction)                       |
| Transmission factor: | <b>0.995</b>    | (voltage transmission, one direction) |

The dipole was positioned at the flat phantom sections according to section 1 and the distance holder was in place during impedance measurements.

Feedpoint impedance at 1800 MHz:  $\text{Re}\{Z\} = \mathbf{52.4\ \Omega}$

$\text{Im}\{Z\} = \mathbf{0.7\ \Omega}$

Return Loss at 1800 MHz **-32.1 dB**

### **4. Measurement Conditions**

The measurements were performed in the flat section of the new generic twin phantom filled with brain sugar-water solution of the following electrical parameters at 1800 MHz:

|                        |                   |           |
|------------------------|-------------------|-----------|
| Relative Dielectricity | <b>40.1</b>       | $\pm 5\%$ |
| Conductivity           | <b>1.71 mho/m</b> | $\pm 5\%$ |

The DASY3 System (Software version 3.1c) with a dosimetric E-field probe ET3DV6 (SN:1507, Conversion factor 5.63 at 1800 MHz) was used for the measurements.

The dipole was mounted on the small tripod so that the dipole feedpoint was positioned below the center marking of the flat phantom section and the dipole was oriented parallel to the body axis (the long side of the phantom). The standard measuring distance was 10mm from dipole center to the solution surface. The included distance holder was used during measurements for accurate distance positioning.

The coarse grid with a grid spacing of 15mm was aligned with the dipole. The 5x5x7 fine cube was chosen for cube integration. Probe isotropy errors were cancelled by measuring the SAR with normal and 90° turned probe orientations and averaging.

The dipole input power (forward power) was 250mW  $\pm 3\%$ . The results are normalized to 1W input power.

## **5. SAR Measurement**

Standard SAR-measurements were performed with the phantom according to the measurement conditions described in section 4. The results have been normalized to a dipole input power of 1W (forward power). The resulting averaged SAR-values are:

averaged over 1 cm<sup>3</sup> (1 g) of tissue:      **43.6 mW/g**

averaged over 10 cm<sup>3</sup> (10 g) of tissue:      **21.6 mW/g**

Note: If the liquid parameters for validation are slightly different from the ones used for initial calibration, the SAR-values will be different as well. The estimated sensitivities of SAR-values and penetration depths to the liquid parameters are listed in the DASY Application Note 4: 'SAR Sensitivities'.

## **6. Handling**

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals.

Do not apply excessive force to the dipole arms, because they might bend. If the dipole arms have to be bent back, take care to release stress to the soldered connections near the feedpoint; they might come off.

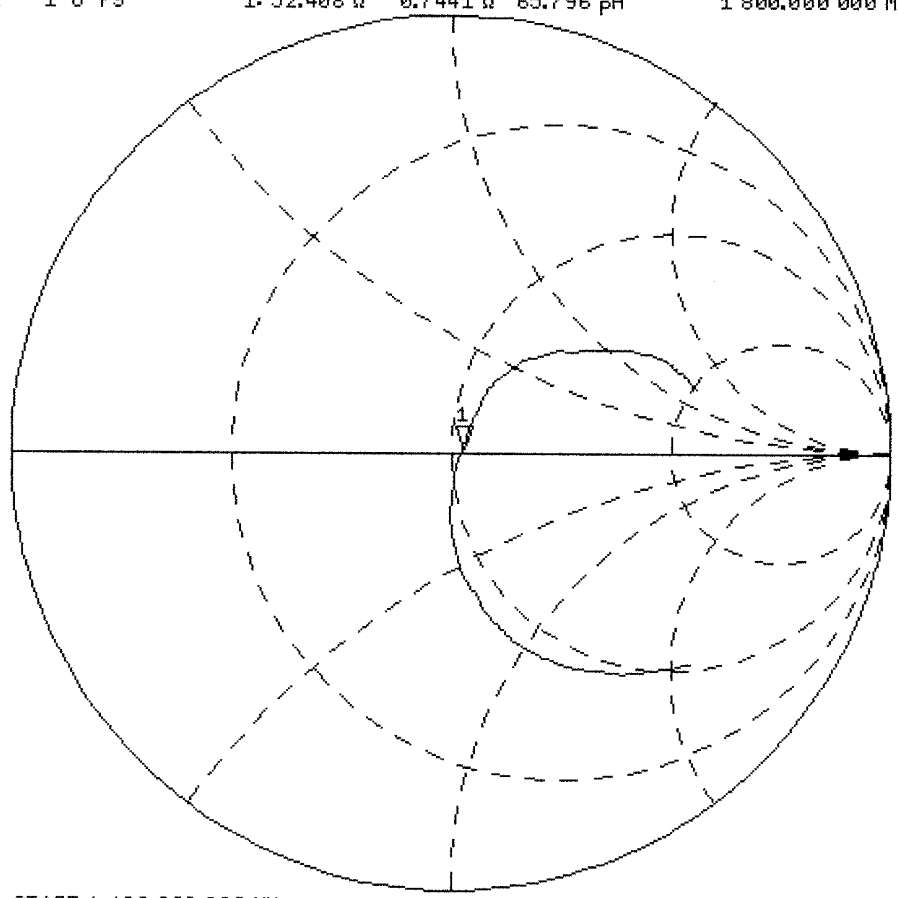
After prolonged use with 40W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

20 Jun 2001 15:31:17  
[CH1] S11 1 U FS 1: 52.408  $\Omega$  0.7441  $\Omega$  65.796 pH 1 800.000 000 MHz

PRm  
Del

Cor

↑



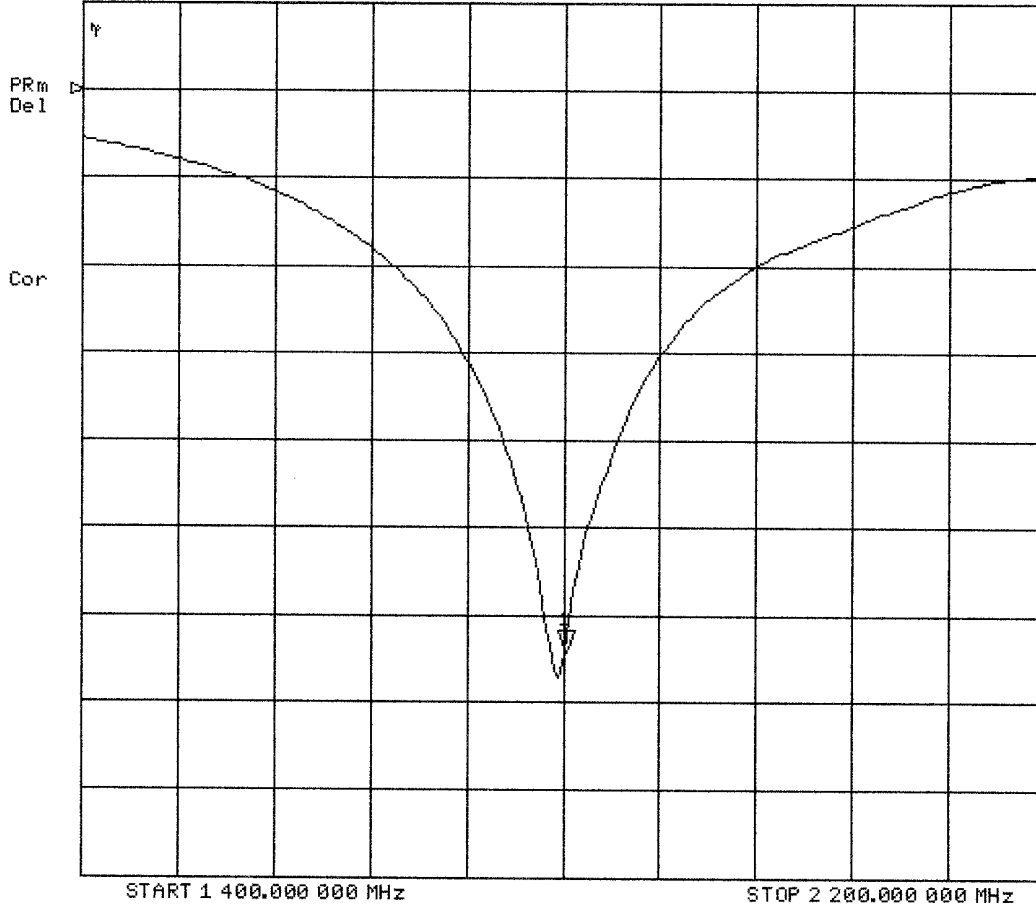
START 1 400.000 000 MHz

STOP 2 200.000 000 MHz



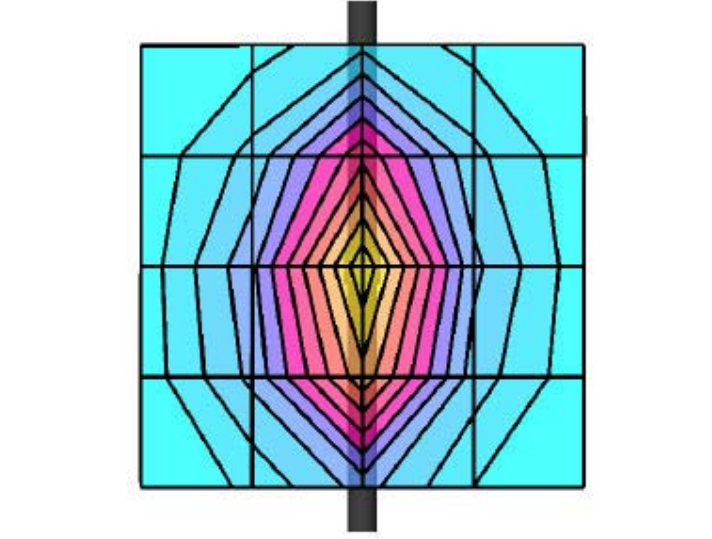
20 Jun 2001 15:31:04

CH1 S11 LOG 5 dB/REF 0 dB 1:-32.107 dB 1 800.000 000 MHz



### Validation Dipole D1800V2 SN:247, d = 10 mm

Frequency: 1800 MHz; Antenna Input Power: 250 [mW]  
Generic Twin Phantom; Flat Section; Grid Spacing: Dx = 15.0, Dy = 15.0, Dz = 10.0  
Probe: ET3DV6 - SN1507; ConvF(5.57,5.57,5.57); Crest factor: 1.0; IEEE1528 1800 MHz :  $\sigma = 1.36 \text{ mho/m}$   $\epsilon_r = 40.0$   $\rho = 1.00 \text{ g/cm}^3$   
Cubes (2): Peak: 18.2 mW/g  $\pm 0.04 \text{ dB}$ , SAR (1g): 9.66 mW/g  $\pm 0.03 \text{ dB}$ , SAR (10g): 5.02 mW/g  $\pm 0.03 \text{ dB}$ , (Worst-case extrapolation)  
Penetration depth: 8.2 (7.6, 9.4) [mm]  
Powerdrift: -0.01 dB



## APPENDIX D - PROBE CALIBRATION

Client

Celltech Labs

## CALIBRATION CERTIFICATE

Object(s)

ET3DV6 - SN: 1387

Calibration procedure(s)

QA CAL-01.v2  
Calibration procedure for dosimetric E-field probes

Calibration date:

February 26, 2003

Condition of the calibrated item

In Tolerance (according to the specific calibration document)

This calibration statement documents traceability of M&TE used in the calibration procedures and conformity of the procedures with the ISO/IEC 17025 international standard.

All calibrations have been conducted in the closed laboratory facility: environment temperature 22 +/- 2 degrees Celsius and humidity < 75%.

Calibration Equipment used (M&TE critical for calibration)

| Model Type                        | ID #         | Cal Date                         | Scheduled Calibration  |
|-----------------------------------|--------------|----------------------------------|------------------------|
| RF generator HP 8684C             | US3642U01700 | 4-Aug-99 (in house check Aug-02) | In house check: Aug-05 |
| Power sensor E4412A               | MY41495277   | 8-Mar-02                         | Mar-03                 |
| Power sensor HP 8481A             | MY41092180   | 18-Sep-02                        | Sep-03                 |
| Power meter EPM E4419B            | GB41293874   | 13-Sep-02                        | Sep-03                 |
| Network Analyzer HP 8753E         | US38432426   | 3-May-00                         | In house check: May 03 |
| Fluke Process Calibrator Type 702 | SN: 6295803  | 3-Sep-01                         | Sep-03                 |

Calibrated by:

Name

Nico Vetterli

Function

Technician

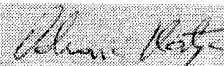
Signature



Approved by:

Katja Pokovic

Laboratory Director



Date issued: February 26, 2003

This calibration certificate is issued as an intermediate solution until the accreditation process (based on ISO/IEC 17025 International Standard) for Calibration Laboratory of Schmid & Partner Engineering AG is completed.

# Probe ET3DV6

## SN:1387

|                   |                    |
|-------------------|--------------------|
| Manufactured:     | September 21, 1999 |
| Last calibration: | February 22, 2002  |
| Recalibrated:     | February 26, 2003  |

Calibrated for DASY Systems

(Note: non-compatible with DASY2 system!)

## DASY - Parameters of Probe: ET3DV6 SN:1387

### Sensitivity in Free Space

|       |                                                 |
|-------|-------------------------------------------------|
| NormX | <b>1.55</b> $\mu\text{V}/(\text{V}/\text{m})^2$ |
| NormY | <b>1.65</b> $\mu\text{V}/(\text{V}/\text{m})^2$ |
| NormZ | <b>1.64</b> $\mu\text{V}/(\text{V}/\text{m})^2$ |

### Diode Compression

|       |           |    |
|-------|-----------|----|
| DCP X | <b>92</b> | mV |
| DCP Y | <b>92</b> | mV |
| DCP Z | <b>92</b> | mV |

### Sensitivity in Tissue Simulating Liquid

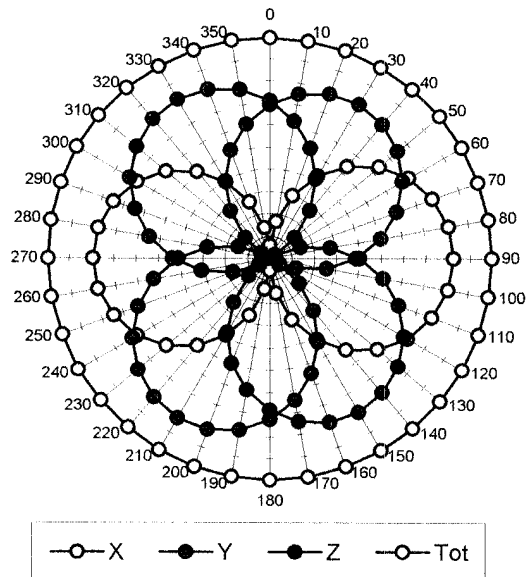
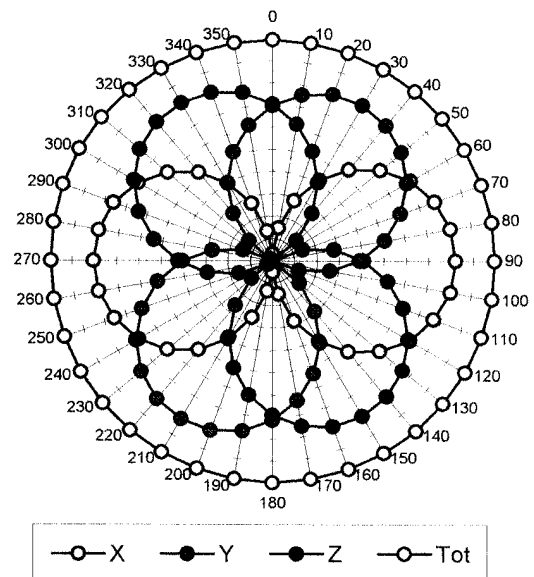
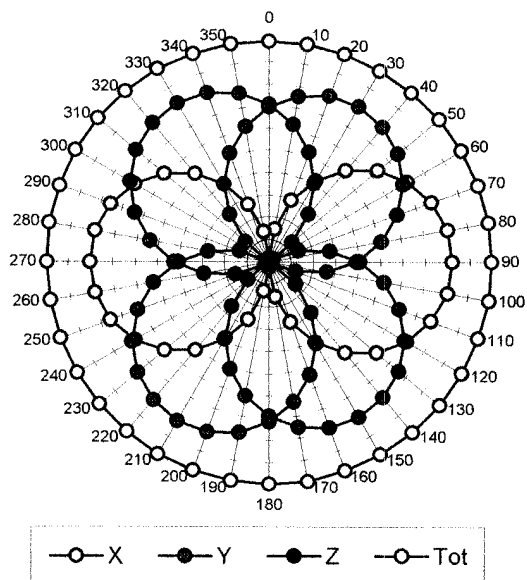
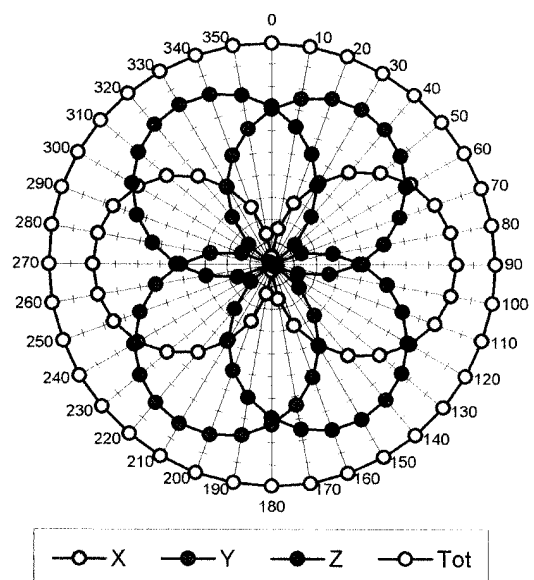
|         |                              |                             |                                       |
|---------|------------------------------|-----------------------------|---------------------------------------|
| Head    | <b>900 MHz</b>               | $\epsilon_r = 41.5 \pm 5\%$ | $\sigma = 0.97 \pm 5\% \text{ mho/m}$ |
| Head    | <b>835 MHz</b>               | $\epsilon_r = 41.5 \pm 5\%$ | $\sigma = 0.90 \pm 5\% \text{ mho/m}$ |
| ConvF X | <b>6.6</b> $\pm 9.5\%$ (k=2) | Boundary effect:            |                                       |
| ConvF Y | <b>6.6</b> $\pm 9.5\%$ (k=2) | Alpha                       | <b>0.37</b>                           |
| ConvF Z | <b>6.6</b> $\pm 9.5\%$ (k=2) | Depth                       | <b>2.61</b>                           |
| Head    | <b>1800 MHz</b>              | $\epsilon_r = 40.0 \pm 5\%$ | $\sigma = 1.40 \pm 5\% \text{ mho/m}$ |
| Head    | <b>1900 MHz</b>              | $\epsilon_r = 40.0 \pm 5\%$ | $\sigma = 1.40 \pm 5\% \text{ mho/m}$ |
| ConvF X | <b>5.2</b> $\pm 9.5\%$ (k=2) | Boundary effect:            |                                       |
| ConvF Y | <b>5.2</b> $\pm 9.5\%$ (k=2) | Alpha                       | <b>0.50</b>                           |
| ConvF Z | <b>5.2</b> $\pm 9.5\%$ (k=2) | Depth                       | <b>2.73</b>                           |

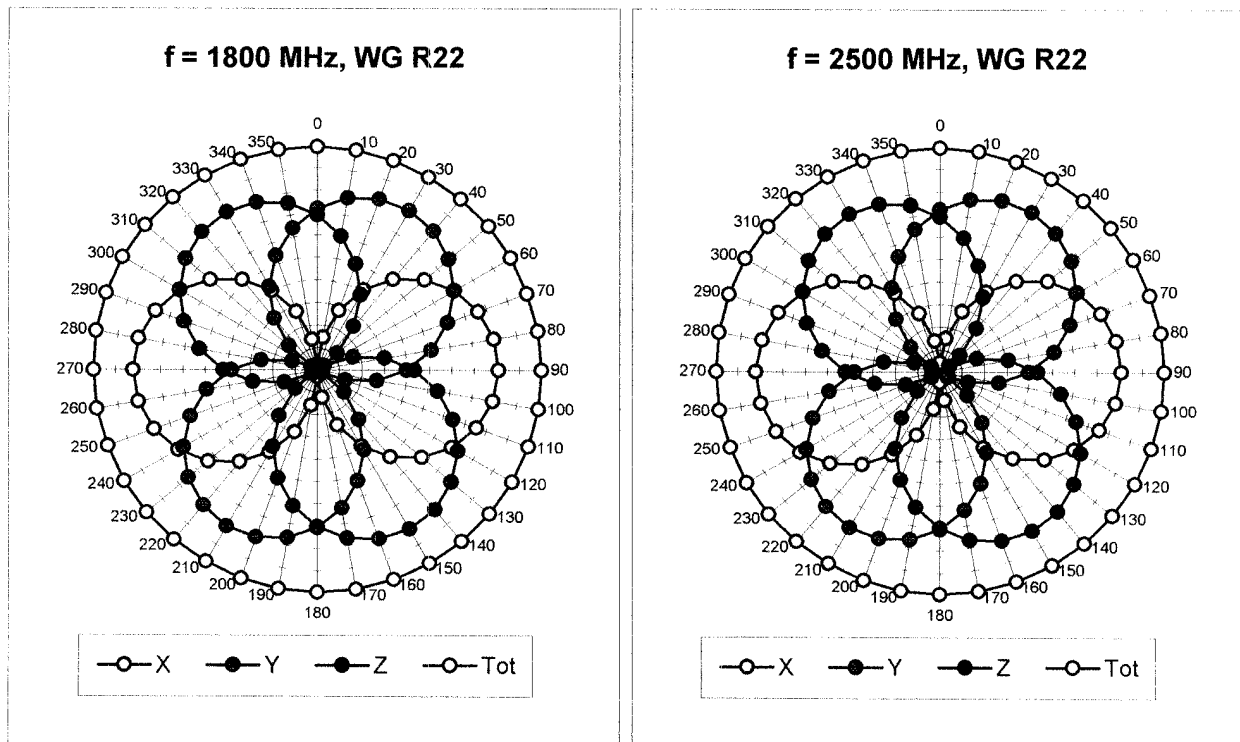
### Boundary Effect

|                       |                              |                                   |             |
|-----------------------|------------------------------|-----------------------------------|-------------|
| Head                  | <b>900 MHz</b>               | Typical SAR gradient: 5 % per mm  |             |
| Probe Tip to Boundary |                              | <b>1 mm</b>                       | <b>2 mm</b> |
| SAR <sub>pe</sub> [%] | Without Correction Algorithm | 10.2                              | 5.9         |
| SAR <sub>pe</sub> [%] | With Correction Algorithm    | 0.4                               | 0.6         |
| Head                  | <b>1800 MHz</b>              | Typical SAR gradient: 10 % per mm |             |
| Probe Tip to Boundary |                              | <b>1 mm</b>                       | <b>2 mm</b> |
| SAR <sub>pe</sub> [%] | Without Correction Algorithm | 14.6                              | 9.8         |
| SAR <sub>pe</sub> [%] | With Correction Algorithm    | 0.2                               | 0.0         |

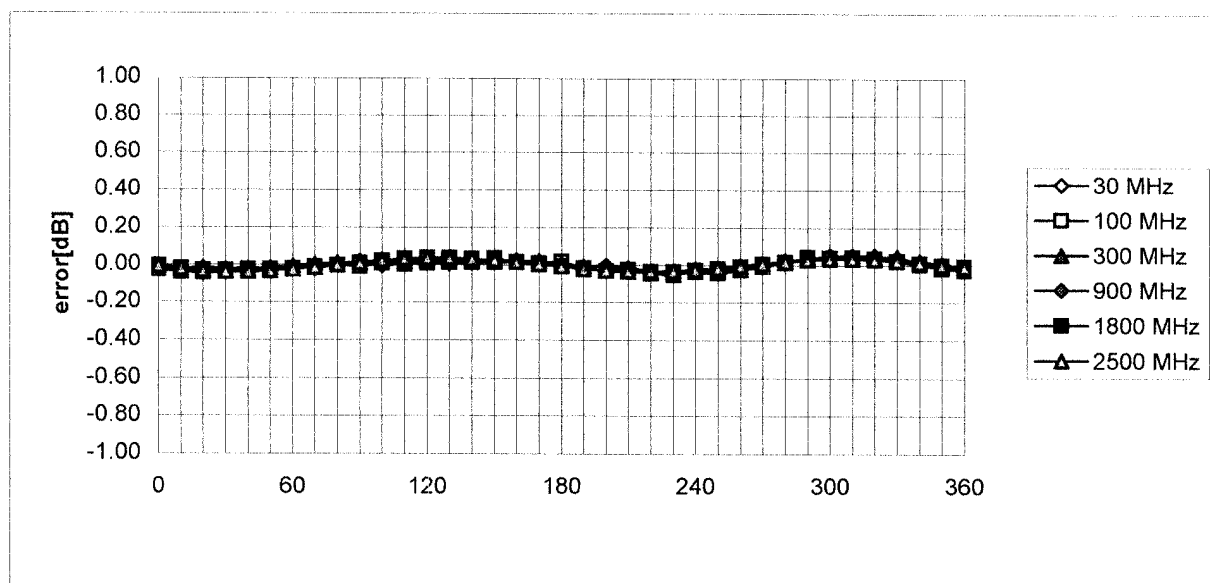
### Sensor Offset

|                            |                                 |    |
|----------------------------|---------------------------------|----|
| Probe Tip to Sensor Center | <b>2.7</b>                      | mm |
| Optical Surface Detection  | <b>1.4 <math>\pm</math> 0.2</b> | mm |

Receiving Pattern ( $\phi$ ),  $\theta = 0^\circ$ **f = 30 MHz, TEM cell ifi110****f = 100 MHz, TEM cell ifi110****f = 300 MHz, TEM cell ifi110****f = 900 MHz, TEM cell ifi110**



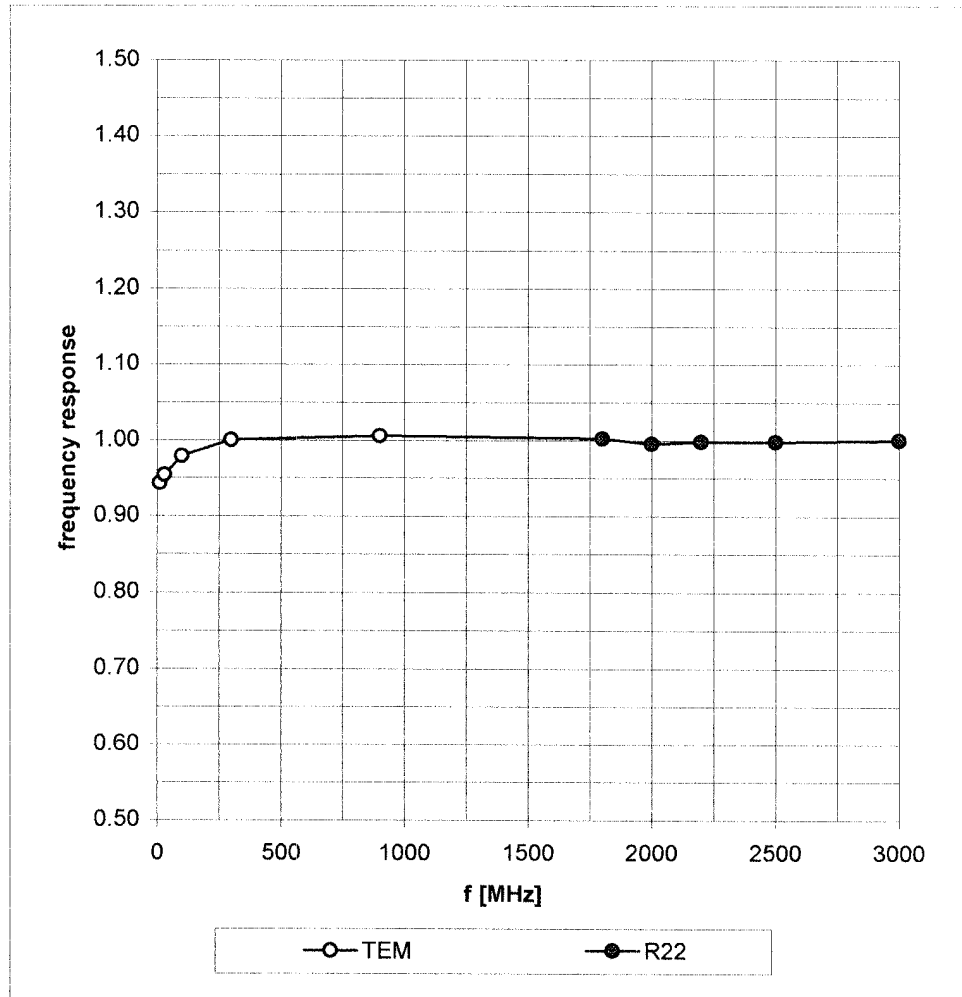
### Isotropy Error ( $\phi$ ), $\theta = 0^\circ$



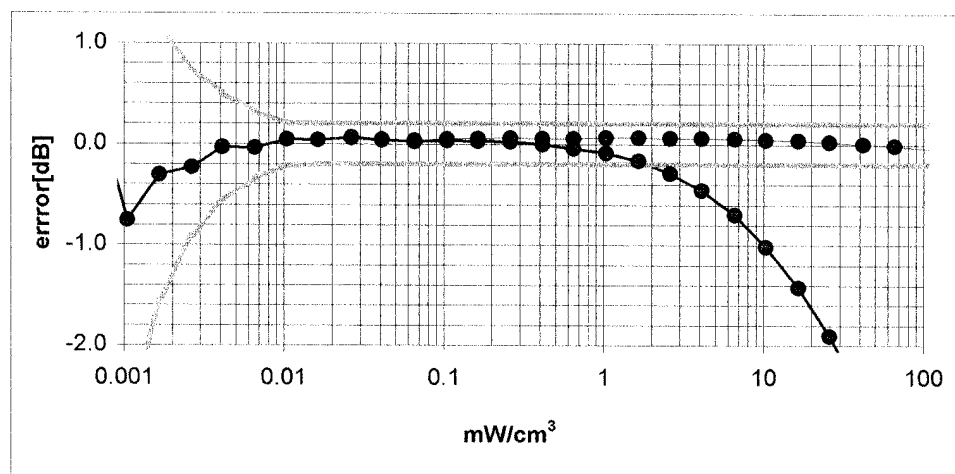
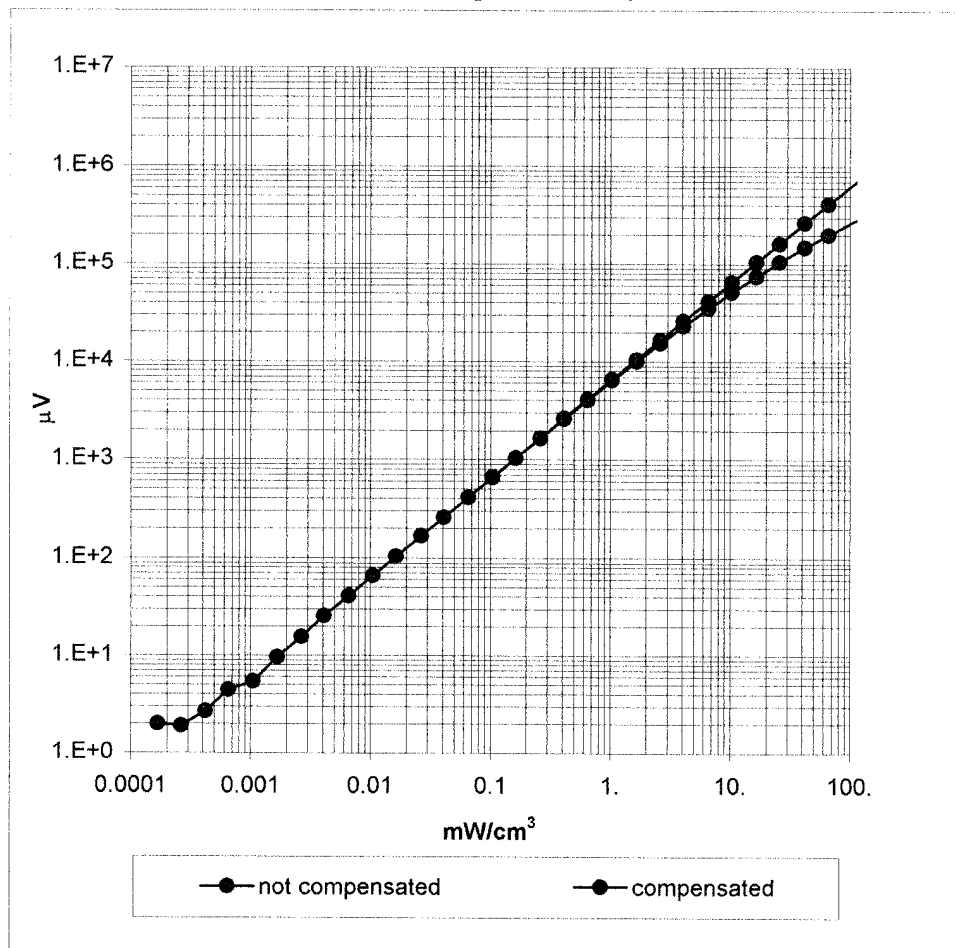


# Frequency Response of E-Field

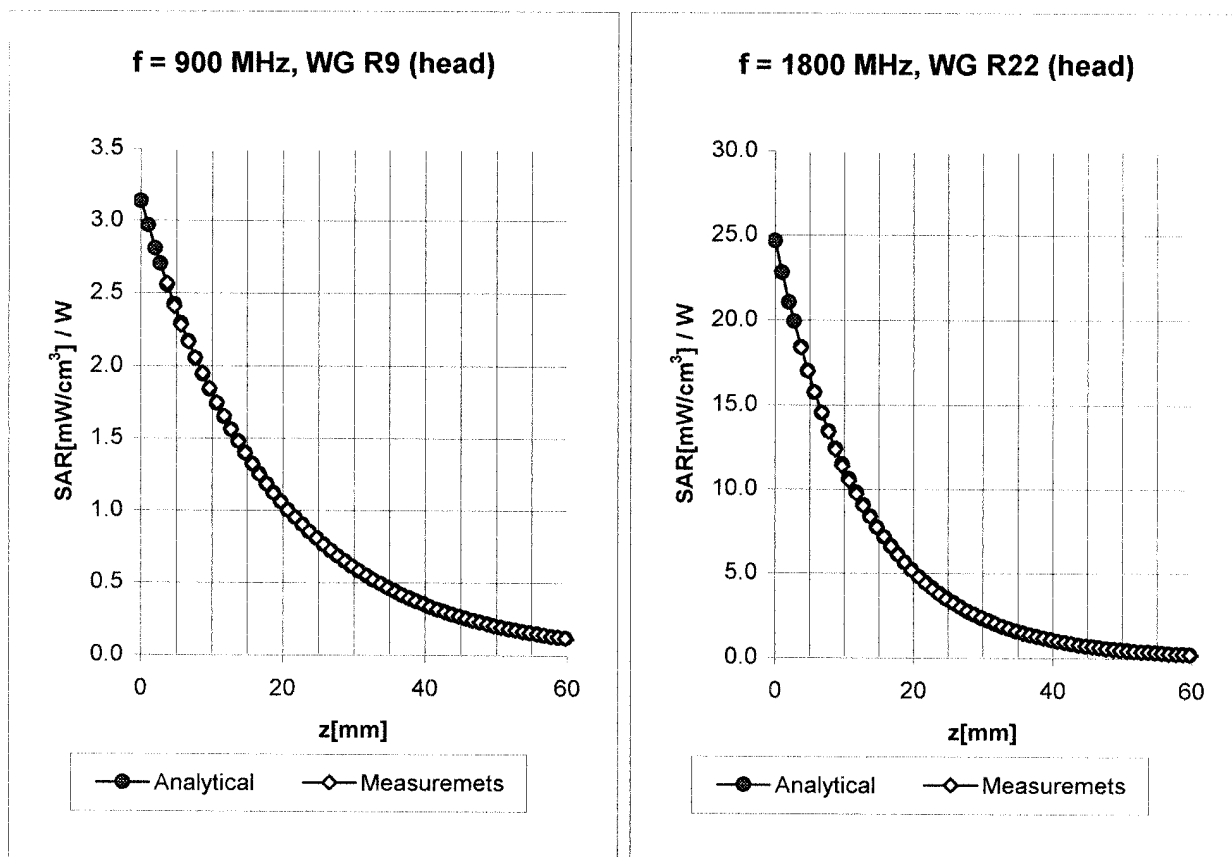
( TEM-Cell:ifi110, Waveguide R22)



# Dynamic Range f(SAR<sub>brain</sub>) ( Waveguide R22 )

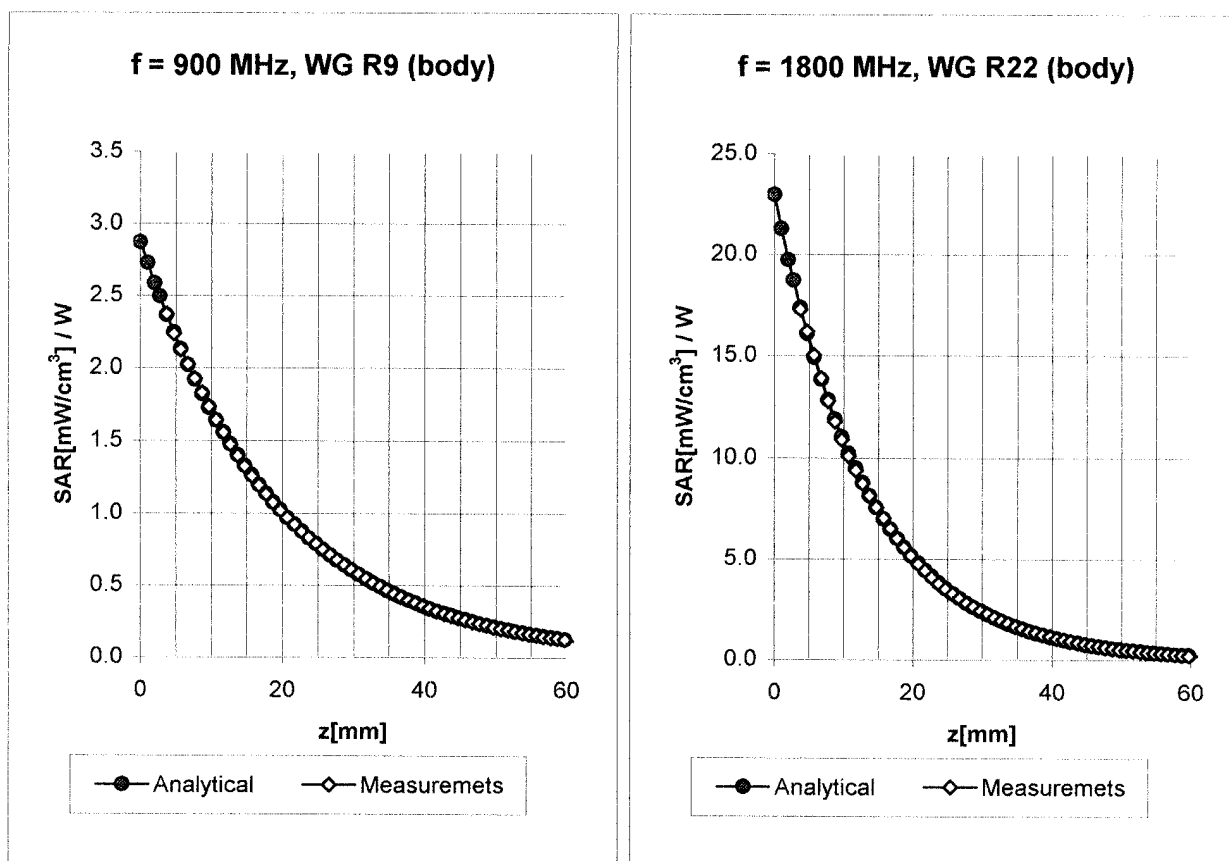


## Conversion Factor Assessment



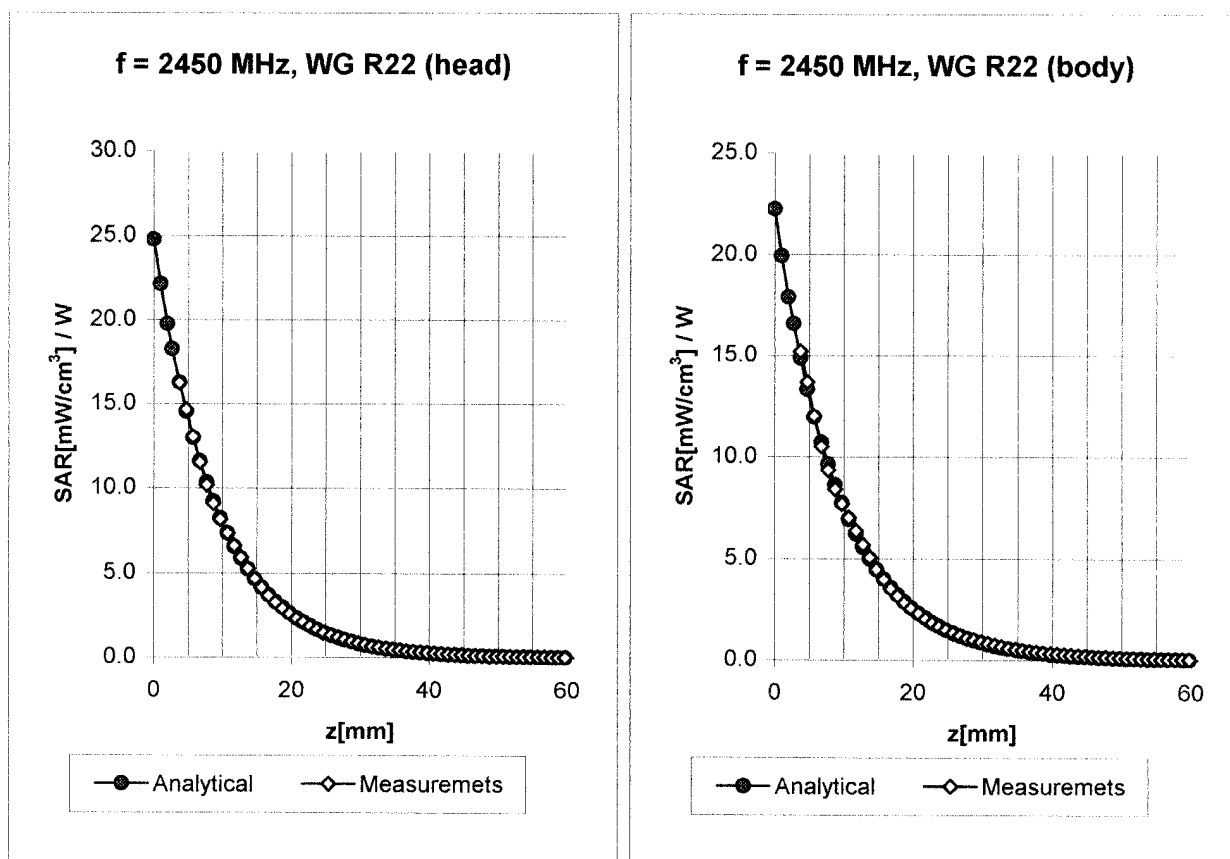
|      |          |                              |                                       |
|------|----------|------------------------------|---------------------------------------|
| Head | 900 MHz  | $\epsilon_r = 41.5 \pm 5\%$  | $\sigma = 0.97 \pm 5\% \text{ mho/m}$ |
| Head | 835 MHz  | $\epsilon_r = 41.5 \pm 5\%$  | $\sigma = 0.90 \pm 5\% \text{ mho/m}$ |
|      | ConvF X  | <b>6.6</b> $\pm 9.5\%$ (k=2) | Boundary effect:                      |
|      | ConvF Y  | <b>6.6</b> $\pm 9.5\%$ (k=2) | Alpha <b>0.37</b>                     |
|      | ConvF Z  | <b>6.6</b> $\pm 9.5\%$ (k=2) | Depth <b>2.61</b>                     |
| Head | 1800 MHz | $\epsilon_r = 40.0 \pm 5\%$  | $\sigma = 1.40 \pm 5\% \text{ mho/m}$ |
| Head | 1900 MHz | $\epsilon_r = 40.0 \pm 5\%$  | $\sigma = 1.40 \pm 5\% \text{ mho/m}$ |
|      | ConvF X  | <b>5.2</b> $\pm 9.5\%$ (k=2) | Boundary effect:                      |
|      | ConvF Y  | <b>5.2</b> $\pm 9.5\%$ (k=2) | Alpha <b>0.50</b>                     |
|      | ConvF Z  | <b>5.2</b> $\pm 9.5\%$ (k=2) | Depth <b>2.73</b>                     |

## Conversion Factor Assessment



|      |          |                              |                                       |
|------|----------|------------------------------|---------------------------------------|
| Body | 900 MHz  | $\epsilon_r = 55.0 \pm 5\%$  | $\sigma = 1.05 \pm 5\% \text{ mho/m}$ |
| Body | 835 MHz  | $\epsilon_r = 55.2 \pm 5\%$  | $\sigma = 0.97 \pm 5\% \text{ mho/m}$ |
|      | ConvF X  | <b>6.4</b> $\pm 9.5\%$ (k=2) | Boundary effect:                      |
|      | ConvF Y  | <b>6.4</b> $\pm 9.5\%$ (k=2) | Alpha <b>0.45</b>                     |
|      | ConvF Z  | <b>6.4</b> $\pm 9.5\%$ (k=2) | Depth <b>2.35</b>                     |
| Body | 1800 MHz | $\epsilon_r = 53.3 \pm 5\%$  | $\sigma = 1.52 \pm 5\% \text{ mho/m}$ |
| Body | 1900 MHz | $\epsilon_r = 53.3 \pm 5\%$  | $\sigma = 1.52 \pm 5\% \text{ mho/m}$ |
|      | ConvF X  | <b>4.9</b> $\pm 9.5\%$ (k=2) | Boundary effect:                      |
|      | ConvF Y  | <b>4.9</b> $\pm 9.5\%$ (k=2) | Alpha <b>0.60</b>                     |
|      | ConvF Z  | <b>4.9</b> $\pm 9.5\%$ (k=2) | Depth <b>2.59</b>                     |

## Conversion Factor Assessment



**Head      2450      MHz       $\epsilon_r = 39.2 \pm 5\%$        $\sigma = 1.80 \pm 5\%$  mho/m**

ConvF X      **5.0**  $\pm 8.9\%$  (k=2)

Boundary effect:

ConvF Y      **5.0**  $\pm 8.9\%$  (k=2)

Alpha      **1.04**

ConvF Z      **5.0**  $\pm 8.9\%$  (k=2)

Depth      **1.85**

**Body      2450      MHz       $\epsilon_r = 52.7 \pm 5\%$        $\sigma = 1.95 \pm 5\%$  mho/m**

ConvF X      **4.6**  $\pm 8.9\%$  (k=2)

Boundary effect:

ConvF Y      **4.6**  $\pm 8.9\%$  (k=2)

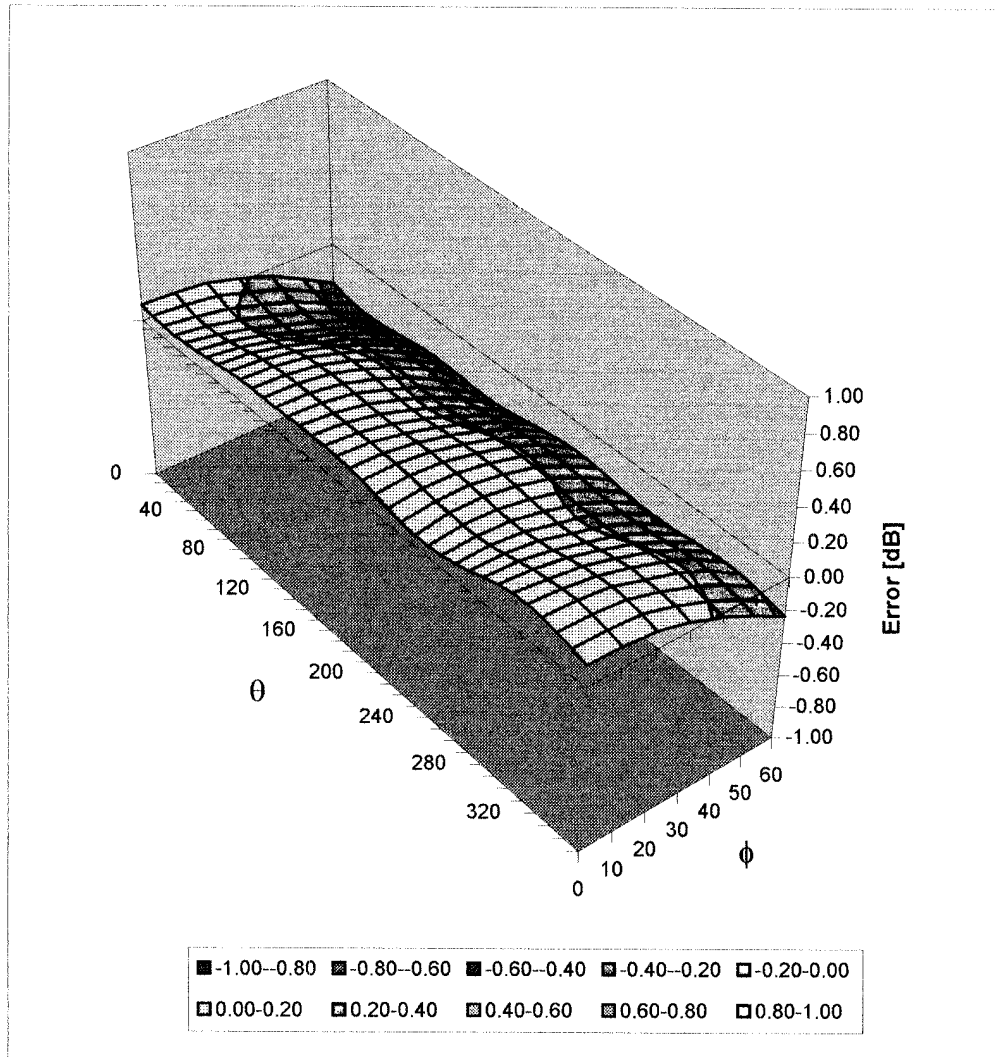
Alpha      **1.20**

ConvF Z      **4.6**  $\pm 8.9\%$  (k=2)

Depth      **1.60**

## Deviation from Isotropy in HSL

Error ( $\theta, \phi$ ),  $f = 900$  MHz



## Additional Conversion Factors for Dosimetric E-Field Probe

Type:

**ET3DV6**

Serial Number:

**1387**

Place of Assessment:

**Zurich**

Date of Assessment:

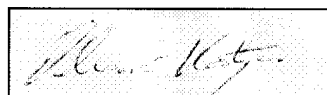
**February 28, 2003**

Probe Calibration Date:

**February 26, 2003**

Schmid & Partner Engineering AG hereby certifies that conversion factor(s) of this probe have been evaluated on the date indicated above. The assessment was performed using the FDTD numerical code SEMCAD of Schmid & Partner Engineering AG. Since the evaluation is coupled with measured conversion factors, it has to be recalculated yearly, i.e., following the re-calibration schedule of the probe. The uncertainty of the numerical assessment is based on the extrapolation from measured value at 900 MHz or at 1800 MHz.

Assessed by:



## Dosimetric E-Field Probe ET3DV6 SN:1387

Conversion factor ( $\pm$  standard deviation)

|         |       |               |                                                                       |
|---------|-------|---------------|-----------------------------------------------------------------------|
| 150 MHz | ConvF | $9.1 \pm 8\%$ | $\epsilon_r = 52.3$<br>$\sigma = 0.76 \text{ mho/m}$<br>(head tissue) |
| 300 MHz | ConvF | $7.9 \pm 8\%$ | $\epsilon_r = 45.3$<br>$\sigma = 0.87 \text{ mho/m}$<br>(head tissue) |
| 450 MHz | ConvF | $7.5 \pm 8\%$ | $\epsilon_r = 43.5$<br>$\sigma = 0.87 \text{ mho/m}$<br>(head tissue) |
| 150 MHz | ConvF | $8.8 \pm 8\%$ | $\epsilon_r = 61.9$<br>$\sigma = 0.80 \text{ mho/m}$<br>(body tissue) |
| 300 MHz | ConvF | $8.0 \pm 8\%$ | $\epsilon_r = 58.2$<br>$\sigma = 0.92 \text{ mho/m}$<br>(body tissue) |
| 450 MHz | ConvF | $7.7 \pm 8\%$ | $\epsilon_r = 56.7$<br>$\sigma = 0.94 \text{ mho/m}$<br>(body tissue) |



## APPENDIX E - MEASURED FLUID DIELECTRIC PARAMETERS

# 1800MHz System Performance Check

## Measured Fluid Dielectric Parameters (Brain)

May 14, 2003

| Frequency       | $\epsilon'$ | $\epsilon''$ |
|-----------------|-------------|--------------|
| 1.700000000 GHz | 40.0520     | 13.4541      |
| 1.710000000 GHz | 40.0312     | 13.4692      |
| 1.720000000 GHz | 39.9816     | 13.4920      |
| 1.730000000 GHz | 39.9621     | 13.5315      |
| 1.740000000 GHz | 39.9091     | 13.5596      |
| 1.750000000 GHz | 39.8664     | 13.6129      |
| 1.760000000 GHz | 39.8220     | 13.6297      |
| 1.770000000 GHz | 39.7836     | 13.6898      |
| 1.780000000 GHz | 39.7381     | 13.7106      |
| 1.790000000 GHz | 39.6884     | 13.7373      |
| 1.800000000 GHz | 39.6135     | 13.7611      |
| 1.810000000 GHz | 39.5697     | 13.7877      |
| 1.820000000 GHz | 39.4978     | 13.7920      |
| 1.830000000 GHz | 39.4500     | 13.8276      |
| 1.840000000 GHz | 39.4297     | 13.8368      |
| 1.850000000 GHz | 39.3814     | 13.8578      |
| 1.860000000 GHz | 39.3488     | 13.8871      |
| 1.870000000 GHz | 39.3226     | 13.8912      |
| 1.880000000 GHz | 39.2826     | 13.9195      |
| 1.890000000 GHz | 39.2535     | 13.9438      |
| 1.900000000 GHz | 39.2240     | 13.9564      |

# 1900MHz EUT Evaluation (Head)

## Measured Fluid Dielectric Parameters (Brain)

May 14, 2003

| Frequency       | $\epsilon'$ | $\epsilon''$ |
|-----------------|-------------|--------------|
| 1.800000000 GHz | 39.3317     | 13.1411      |
| 1.810000000 GHz | 39.2765     | 13.1788      |
| 1.820000000 GHz | 39.2302     | 13.1992      |
| 1.830000000 GHz | 39.1726     | 13.2236      |
| 1.840000000 GHz | 39.1259     | 13.2685      |
| 1.850000000 GHz | 39.0896     | 13.2892      |
| 1.860000000 GHz | 39.0556     | 13.3116      |
| 1.870000000 GHz | 38.9969     | 13.3404      |
| 1.880000000 GHz | 38.9611     | 13.3848      |
| 1.890000000 GHz | 38.9029     | 13.3959      |
| 1.900000000 GHz | 38.8718     | 13.4434      |
| 1.910000000 GHz | 38.8332     | 13.4632      |
| 1.920000000 GHz | 38.7833     | 13.5050      |
| 1.930000000 GHz | 38.7538     | 13.5399      |
| 1.940000000 GHz | 38.7090     | 13.5581      |
| 1.950000000 GHz | 38.6686     | 13.5768      |
| 1.960000000 GHz | 38.6124     | 13.5649      |
| 1.970000000 GHz | 38.5540     | 13.5839      |
| 1.980000000 GHz | 38.4990     | 13.6243      |
| 1.990000000 GHz | 38.4278     | 13.6378      |
| 2.000000000 GHz | 38.3926     | 13.7004      |

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## APPENDIX F - SAM PHANTOM CERTIFICATE OF CONFORMITY

# Schmid & Partner Engineering AG

Zeughausstrasse 43, 8004 Zurich, Switzerland, Phone +41 1 245 97 00, Fax +41 1 245 97 79

## Certificate of conformity / First Article Inspection

|                       |                                                                          |
|-----------------------|--------------------------------------------------------------------------|
| Item                  | SAM Twin Phantom V4.0                                                    |
| Type No               | QD 000 P40 BA                                                            |
| Series No             | TP-1002 and higher                                                       |
| Manufacturer / Origin | Untersee Composites<br>Hauptstr. 69<br>CH-8559 Fruthwilen<br>Switzerland |

### Tests

The series production process used allows the limitation to test of first articles.  
Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series units (called samples).

| Test                 | Requirement                                                                             | Details                                                              | Units tested                 |
|----------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------|
| Shape                | Compliance with the geometry according to the CAD model.                                | IT'IS CAD File (*)                                                   | First article,<br>Samples    |
| Material thickness   | Compliant with the requirements according to the standards                              | 2mm +/- 0.2mm in specific areas                                      | First article,<br>Samples    |
| Material parameters  | Dielectric parameters for required frequencies                                          | 200 MHz – 3 GHz<br>Relative permittivity < 5<br>Loss tangent < 0.05. | Material sample<br>TP 104-5  |
| Material resistivity | The material has been tested to be compatible with the liquids defined in the standards | Liquid type HSL 1800 and others according to the standard.           | Pre-series,<br>First article |

### Standards

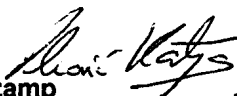
- [1] CENELEC EN 50361
- [2] IEEE P1528-200x draft 6.5
- [3] IEC PT 62209 draft 0.9
- (\*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of [1] and [3].

### Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standard [1] and draft standards [2] and [3].

Date 18.11.2001

Signature / Stamp



**Schmid & Partner  
Engineering AG**

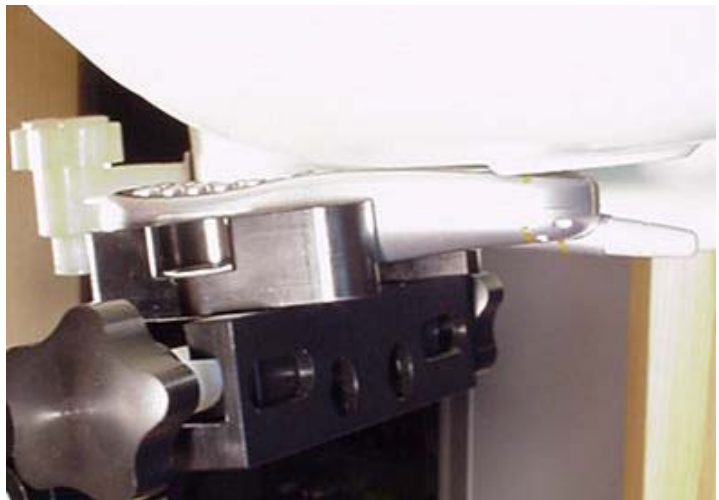
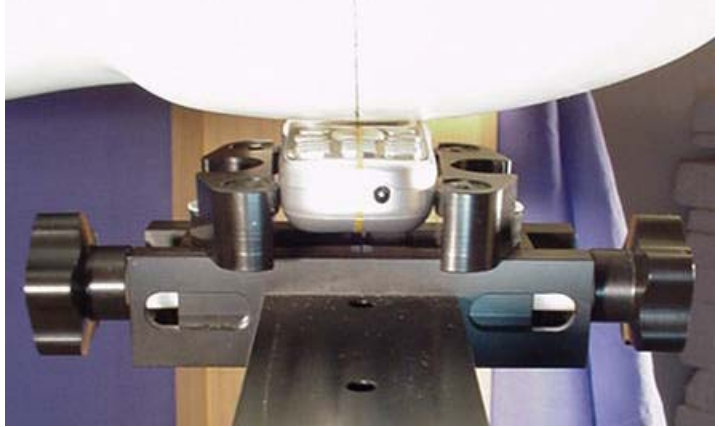
Zeughausstrasse 43, CH-8004 Zurich  
Tel. +41 1 245 97 00, Fax +41 1 245 97 79



## APPENDIX G - SAR TEST SETUP & EUT PHOTOGRAPHS

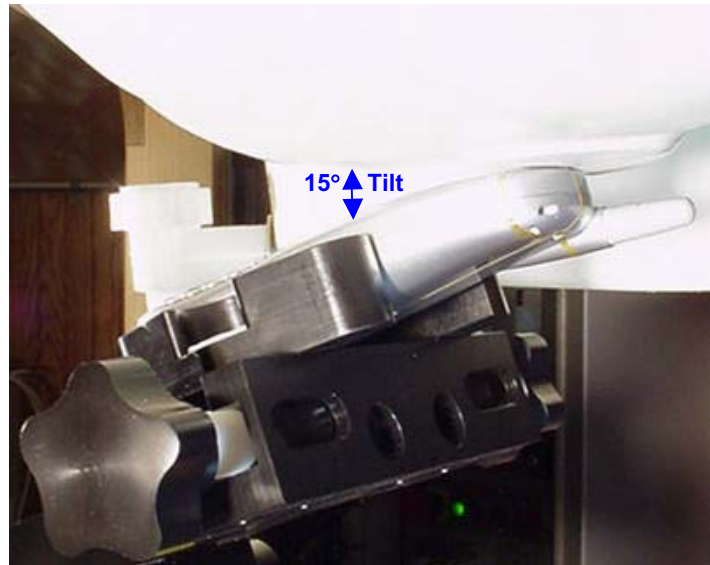
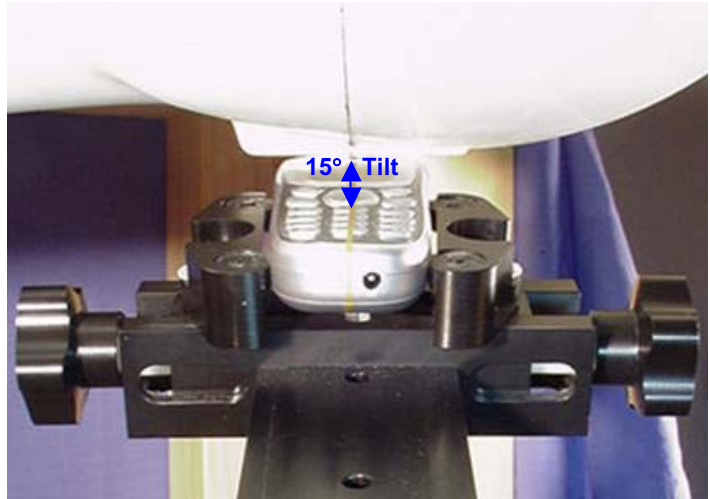
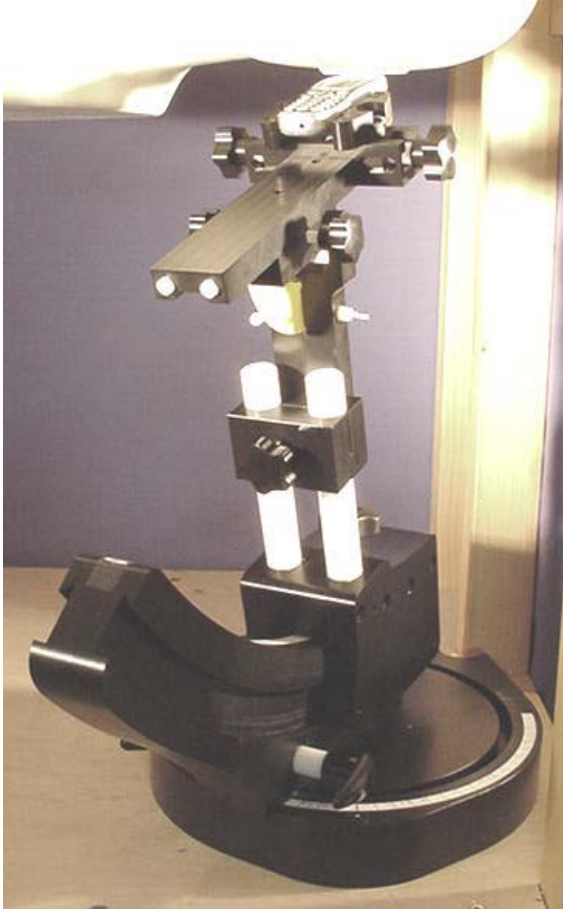
## SAR TEST SETUP PHOTOGRAPHS

Left Head Section / Cheek-Touch Position



## SAR TEST SETUP PHOTOGRAPHS

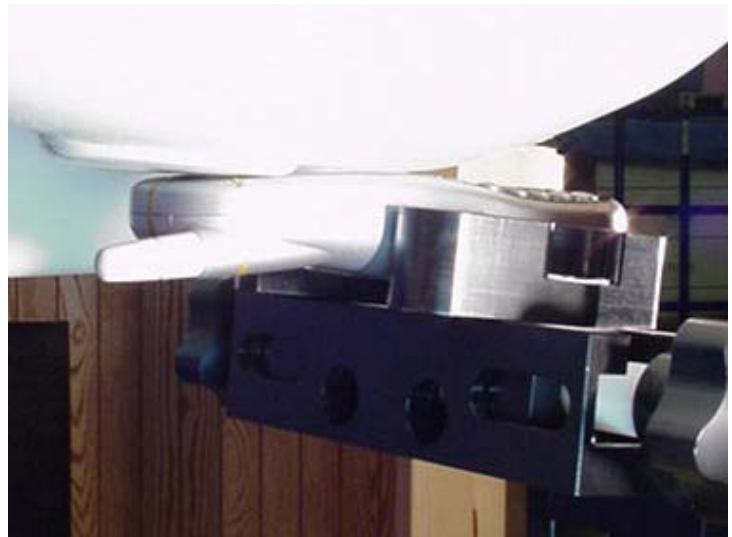
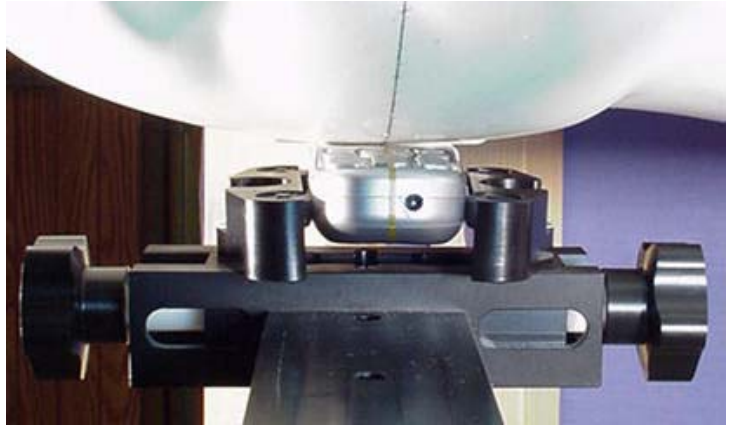
Left Head Section / Ear-Tilt Position





## **SAR TEST SETUP PHOTOGRAPHS**

**Right Head Section / Cheek-Touch Position**



## SAR TEST SETUP PHOTOGRAPHS

Right Head Section / Ear-Tilt Position



## EUT PHOTOGRAPHS





## EUT PHOTOGRAPHS

