

# DFS TEST REPORT

**REPORT NO.:** RF140409C02A-4

**MODEL NO.:** HTL23

**FCC ID:** NM8HTL23

**RECEIVED:** Apr. 09, 2014

**TESTED:** May 12 ~ May 13, 2014

**ISSUED:** May 14, 2014

**APPLICANT:** HTC Corporation

**ADDRESS:** 1F, 6-3 Baoqiang Road, Xindian District, New Taipei City, Taiwan 231

**ISSUED BY:** Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch

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**TEST LOCATION:** No. 19, Hwa Ya 2nd Rd, Wen Hwa Tsuen, Kwei Shan Hsiang, Taoyuan Hsien 333, Taiwan, R.O.C.

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## RELEASE CONTROL RECORD

ISSUE NO.	REASON FOR CHANGE	DATE ISSUED
RF140409C02A-4	Original release	May 14, 2014

## 1. CERTIFICATION

**PRODUCT:** Smartphone  
**MODEL:** HTL23  
**BRAND:** HTC  
**APPLICANT:** HTC Corporation  
**TESTED:** May 12 ~ May 13, 2014  
**TEST SAMPLE:** Production Unit  
**STANDARDS:** **FCC Part 15, Subpart E (Section 15.407)**  
**FCC 06-96**

The above equipment (Model: HTL23) has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's EMC characteristics under the conditions specified in this report.

**PREPARED BY** : Celine Chou , **DATE** : May 14, 2014  
Celine Chou / Specialist

**APPROVED BY** : Ken Liu , **DATE** : May 14, 2014  
Ken Liu / Senior Manager

## 2. EUT INFORMATION

### 2.1 OPERATING FREQUENCY BANDS AND MODE OF EUT

Table 1: Operating Frequency Bands and Mode of EUT

OPERATIONAL MODE	OPERATING FREQUENCY RANGE	
	5250~5350MHz	5470~5725MHz
Client without radar detection and ad hoc function	✓	✓

**Note:** The EUT doesn't operate in 5600 ~ 5650MHz via software controls.

### 2.2 EUT SOFTWARE AND FIRMWARE VERSION

Table 2: The EUT Software/Firmware Version

NO.	PRODUCT	MODEL NO.	SOFTWARE/FIRMWARE VERSION
1	Smartphone	HTL23	Kernel Version:3.4.0-gf3e0da0 root@abm035#1 SMP PREEMPT Baseband Version:1.03.40.0219(SM) Build Number:0.19.999.1 CL324396 release-Keys

### 2.3 DESCRIPTION OF AVAILABLE ANTENNAS TO THE EUT

Table 3: Antenna List

ANT NO.	ANTENNA TYPE	OPERATION FREQUENCY RANGE (MHz)	MAX. GAIN (dBi)
1	PIFA	5250-5350 MHz	-4.5
1	PIFA	5470-5725 MHz	-4.0

## 2.4 EUT MAXIMUM CONDUCTED POWER

Table 4: The Measured Conducted Output Power

### 802.11a

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	16.46	44.259
1	5470~5725	16.48	44.463

### 802.11n HT20

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	16.41	43.752
1	5470~5725	16.43	43.954

### 802.11n HT40

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	13.46	22.182
1	5470~5725	13.40	21.878

### 802.11ac VHT80

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	13.44	22.080
1	5470~5725	13.38	21.777

## 2.5 EUT MAXIMUM E.I.R.P. POWER

Table 5: The EIRP Output Power List

### 802.11a

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	11.96	15.704
1	5470~5725	12.48	17.701

### 802.11n HT20

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	11.91	15.524
1	5470~5725	12.43	17.498

### 802.11n HT40

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	8.96	7.870
1	5470~5725	9.40	8.710

### 802.11ac VHT80

ANT NO.	FREQUENCY BAND (MHz)	MAX. POWER	
		OUTPUT POWER(dBm)	OUTPUT POWER(mW)
1	5250~5350	8.94	7.834
1	5470~5725	9.38	8.670

## 2.6 TRANSMIT POWER CONTROL (TPC)

U-NII devices operating in the 5.25-5.35 GHz band and the 5.47-5.725 GHz band shall employ a TPC mechanism. The U-NII device is required to have the capability to operate at least 6 dB below the mean EIRP value of 30 dBm. A TPC mechanism is not required for systems with an e.i.r.p. of less than 500 mW.

Maximum EIRP of this device is **17.701 mW** which is less than 500mW, therefore it does not require TPC function.

## 2.7 STATEMENT OF MANUFACTURER

Manufacturer statement confirming that information regarding the parameters of the detected Radar Waveforms is not available to the end user. **And the device doesn't have Ad Hoc mode on DFS frequency band.**



### 3. U-NII DFS RULE REQUIREMENTS

#### 3.1 WORKING MODES AND REQUIRED TEST ITEMS

The manufacturer shall state whether the EUT is capable of operating as a Master and/or a Client. If the EUT is capable of operating in more than one operating mode then each operating mode shall be tested separately. See tables 6 and 7 for the applicability of DFS requirements for each of the operational modes.

**Table 6: Applicability of DFS requirements prior to use a channel**

Requirement	Operational Mode		
	Master	Client without radar detection	Client with radar detection
Non-Occupancy Period	✓	Not required	✓
DFS Detection Threshold	✓	Not required	✓
Channel Availability Check Time	✓	Not required	Not required
Uniform Spreading	✓	Not required	Not required
U-NII Detection Bandwidth	✓	Not required	✓

**Table 7: Applicability of DFS requirements during normal operation**

Requirement	Operational Mode		
	Master	Client without radar detection	Client with radar detection
DFS Detection Threshold	✓	Not required	✓
Channel Closing Transmission Time	✓	✓	✓
Channel Move Time	✓	✓	✓
U-NII Detection Bandwidth	✓	Not required	✓

### 3.2 TEST LIMITS AND RADAR SIGNAL PARAMETERS

#### DETECTION THRESHOLD VALUES

**Table 8: DFS Detection Thresholds for Master Devices and Client Devices With Radar Detection.**

Maximum Transmit Power	Value (See Notes 1 and 2)
≥ 200 milliwatt	-64 dBm
< 200 milliwatt	-62 dBm

Note 1: This is the level at the input of the receiver assuming a 0 dBi receive antenna.  
 Note 2: Throughout these test procedures an additional 1 dB has been added to the amplitude of the test transmission waveforms to account for variations in measurement equipment. This will ensure that the test signal is at or above the detection threshold level to trigger a DFS response.

**Table 9: DFS Response Requirement Values**

Parameter	Value
Non-occupancy period	Minimum 30 minutes
Channel Availability Check Time	60 seconds
Channel Move Time	10 seconds See Note 1.
Channel Closing Transmission Time	200 milliseconds + an aggregate of 60 milliseconds over remaining 10 second period. See Notes 1 and 2.
U-NII Detection Bandwidth	Minimum 80% of the UNII 99% transmission power bandwidth. See Note 3.

**Note 1:** The instant that the Channel Move Time and the Channel Closing Transmission Time begins is as follows:  
 • For the Short Pulse Radar Test Signals this instant is the end of the Burst.  
 • For the Frequency Hopping radar Test Signal, this instant is the end of the last radar Burst generated.  
 • For the Long Pulse Radar Test Signal this instant is the end of the 12 second period defining the Radar Waveform.

**Note 2:** The Channel Closing Transmission Time is comprised of 200 milliseconds starting at the beginning of the Channel Move Time plus any additional intermittent control signals required to facilitate a Channel move (an aggregate of 60 milliseconds) during the remainder of the 10 second period. The aggregate duration of control signals will not count quiet periods in between transmissions.

**Note 3:** During the U-NII Detection Bandwidth detection test, radar type 1 is used and for each frequency step the minimum percentage of detection is 90 percent. Measurements are performed with no data traffic.

## PARAMETERS OF DFS TEST SIGNALS

Step intervals of 0.1 microsecond for Pulse Width, 1 microsecond for PRI, 1 MHz for chirp width and 1 for the number of pulses will be utilized for the random determination of specific test waveforms.

**Table 10: Short Pulse Radar Test Waveforms.**

Radar Type	Pulse Width (μsec)	PRI (μsec)	Number of Pulses	Minimum Percentage of Successful Detection	Minimum Number of Trials
1	1	1428	18	60%	30
2	1-5	150-230	23-29	60%	30
3	6-10	200-500	16-18	60%	30
4	11-20	200-500	12-16	60%	30
Aggregate (Radar Types 1-4)				80%	120

**Table 11: Long Pulse Radar Test Waveform**

Radar Type	Pulse Width (μsec)	Chirp Width (MHz)	PRI (μsec)	Number of Pulses per Burst	Number of Bursts	Minimum Percentage of Successful Detection	Minimum Number of Trials
5	50-100	5-20	1000-2000	1-3	8-20	80%	30

**Table 12: Frequency Hopping Radar Test Waveform**

Radar Type	Pulse Width (μsec)	PRI (μsec)	Pulses per Hop	Hopping Rate (kHz)	Hopping Sequence Length (msec)	Minimum Percentage of Successful Detection	Minimum Number of Trials
6	1	333	9	0.333	300	70%	30

## 4. TEST & SUPPORT EQUIPMENT LIST

### 4.1 TEST INSTRUMENTS

Table 13: Test Instruments List

DESCRIPTION & MANUFACTURER	MODEL NO.	BRAND	CALIBRATED UNTIL
R&S Spectrum analyzer	FSP40	R&S	2015/03/02
Signal generator	8645A	Agilent	2014/06/24
Oscilloscope	TDS 5104	Tektronix	2015/03/19

### 4.2 DESCRIPTION OF SUPPORT UNITS

Table 14: Support Unit Information

NO.	PRODUCT	BRAND	MODEL NO.	FCC ID	SPEC.
1	Router	D-Link	DIR-868L	RRK2012060056-1	5G Ant gain : 3.428dB Maximum EIRP : 27.64dBm

**NOTE:** This device was functioned as a  Master  Slave device during the DFS test.

Table 15: Software/Firmware Information

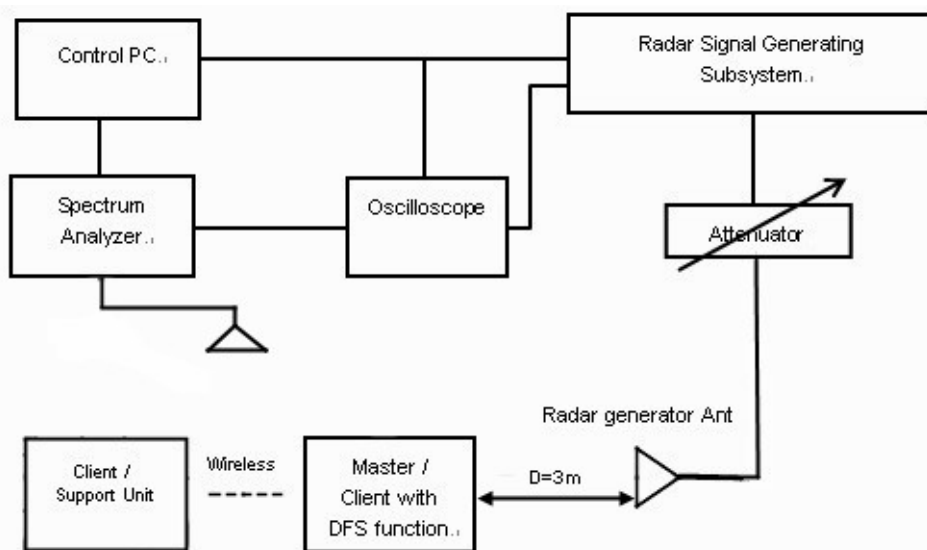
NO.	PRODUCT	MODEL NO.	SOFTWARE/FIRMWARE VERSION
1.	Router	DIR-868L	1.00

## 5. TEST PROCEDURE

### 5.1 ADT DFS MEASUREMENT SYSTEM

A complete ADT DFS Measurement System consists of two subsystems: (1) the Radar Signal Generating Subsystem and (2) the Traffic Monitoring Subsystem. The control PC is necessary for generating the Radar waveforms in Table 10, 11 and 12. The traffic monitoring subsystem is specified to the type of unit under test (UUT).

#### Radiated setup configuration of ADT DFS Measurement System



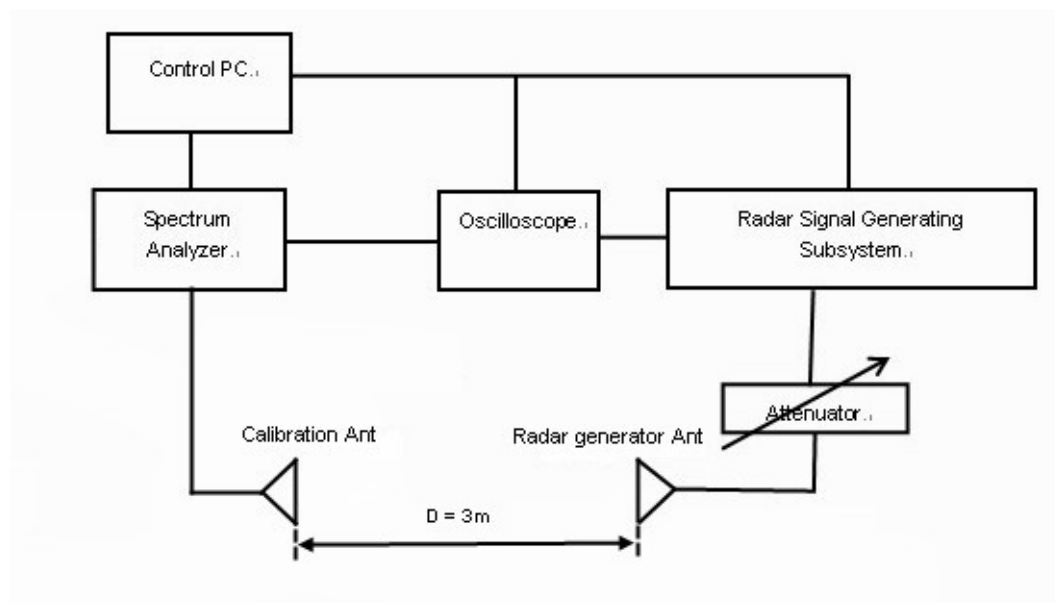
The test transmission will always be from the Master Device to the Client Device. While the Client device is set up to associate with the Master device and play the MPEG file (6  $\frac{1}{2}$  Magic Hours) from Master device, the designated MPEG test file and instructions are located at:

<http://ntiacsd.ntia.doc.gov/dfs/>.

## 5.2 CALIBRATION OF DFS DETECTION THRESHOLD LEVEL

The measured channel is 5500MHz, 5510MHz and 5530MHz. The radar signal was the same as transmitted channels, and injected into the antenna of AP (master) or Client Device with Radar Detection, measured the channel closing transmission time and channel move time. The calibrated detection threshold level is set to -64dBm. The tested level is lower than required level hence it provides margin to the limit.

### Radiated setup configuration of Calibration of DFS Detection Threshold Level

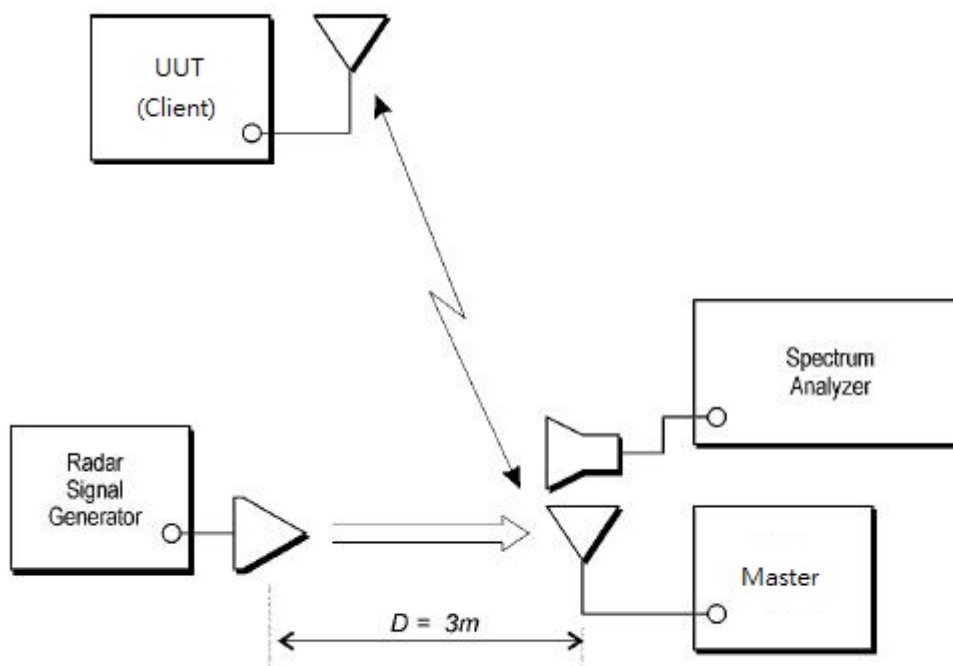


### 5.3 DEVIATION FROM TEST STANDARD

No deviation.

### 5.4 RADIATED TEST SETUP CONFIGURATION

#### 5.4.1 CLIENT WITHOUT RADAR DETECTION MODE



The UUT is a U-NII Device operating in Client mode without radar detection. The radar test signals are injected into the Master Device.

## 6. TEST RESULTS

### 6.1 SUMMARY OF TEST RESULT

Clause	Test Parameter	Remarks	Pass/Fail
15.407	DFS Detection Threshold	Not Applicable	NA
15.407	Channel Availability Check Time	Not Applicable	NA
15.407	Channel Move Time	Applicable	Pass
15.407	Channel Closing Transmission Time	Applicable	Pass
15.407	Non- Occupancy Period	Applicable	Pass
15.407	Uniform Spreading	Not Applicable	NA
15.407	U-NII Detection Bandwidth	Not Applicable	NA
15.407	Non-associated test	Applicable	Pass
15.407	Non-Co-Channel test	Applicable	Pass



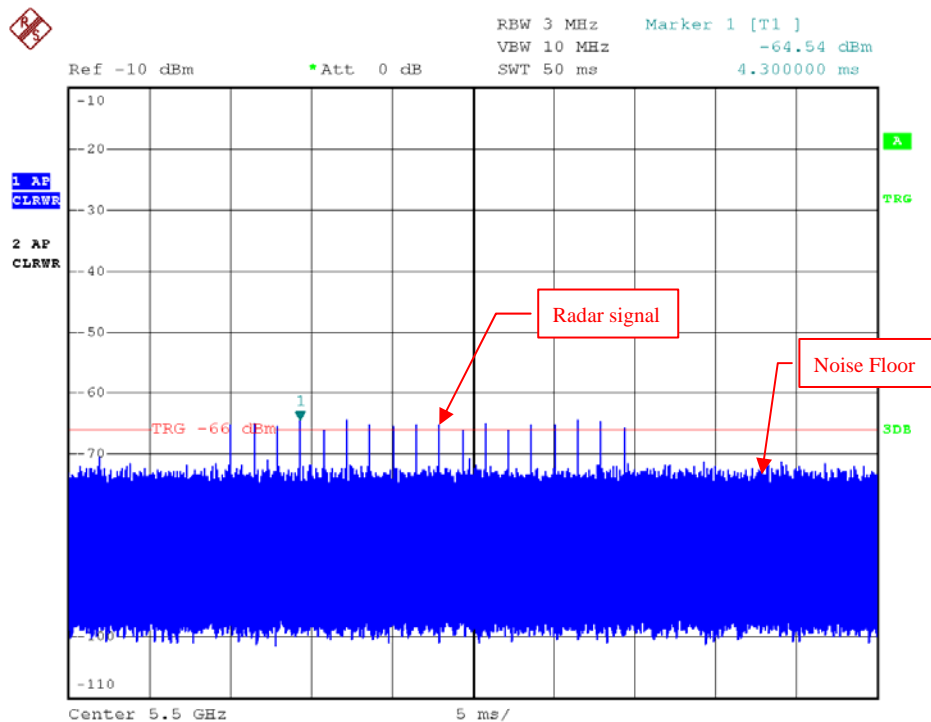
## 6.2 TEST RESULTS

### 6.2.1 TEST MODE: DEVICE OPERATING IN CLIENT WITHOUT RADAR DETECTION MODE

Client with injection at the Master. (The radar test signals are injected into the Master Device)

#### DFS DETECTION THRESHOLD

For a detection threshold level of -64dBm, the required signal strength at EUT antenna location is -64dBm. The tested level is lower than required level hence it provides margin to the limit.

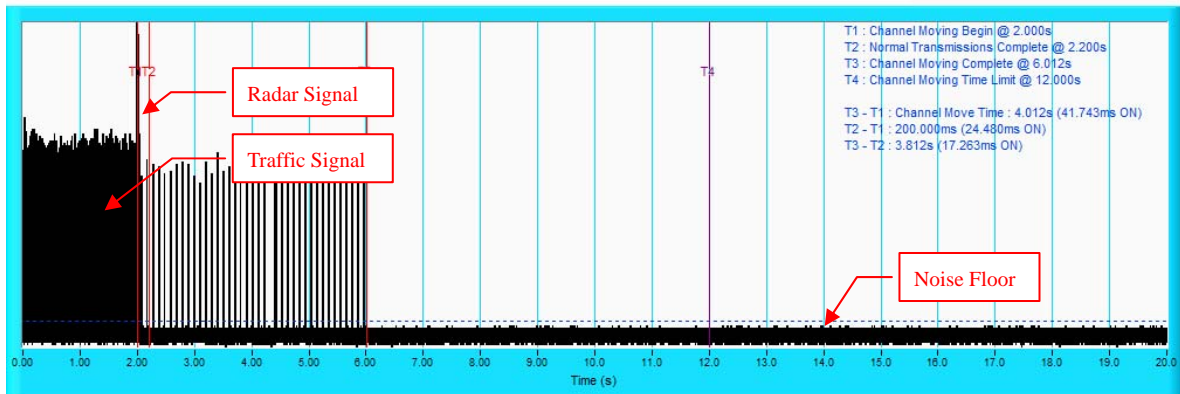


Radar Signal 1

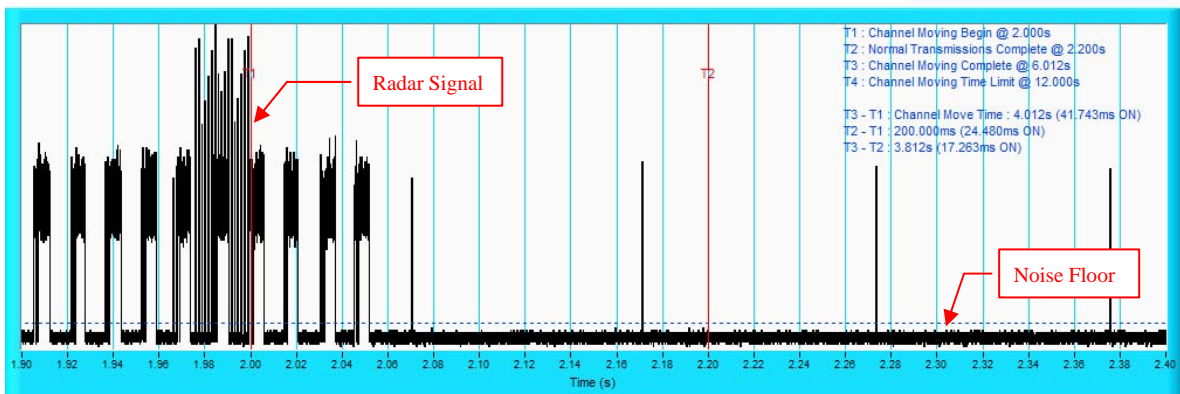
## 6.2.2 CHANNEL CLOSING TRANSMISSION AND CHANNEL MOVE TIME

### RADAR SIGNAL 1

802.11a @ 20MHz



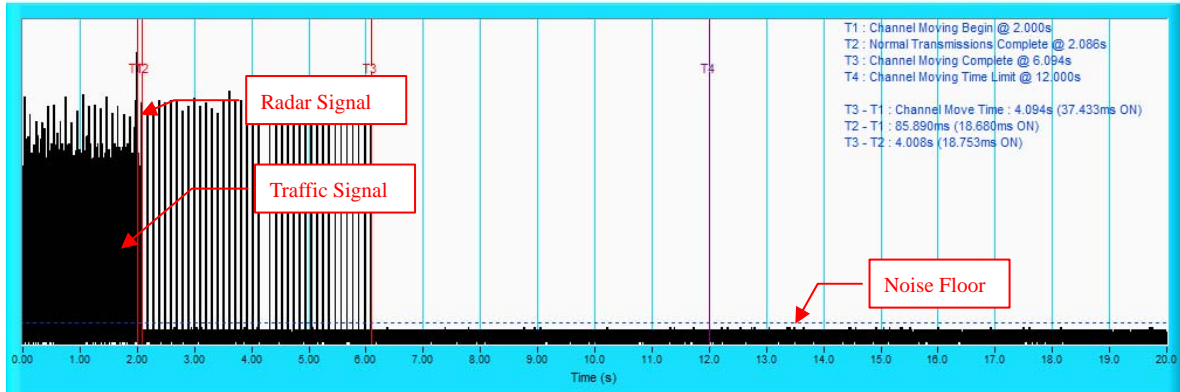
**NOTE:** T1 denotes the start of Channel Move Time upon the end of the last Radar burst. T2 denotes the data transmission time of 200ms from T1. T3 denotes the end of Channel Move Time. T4 denotes the 10 second from T1 to observe the aggregate duration of transmissions.



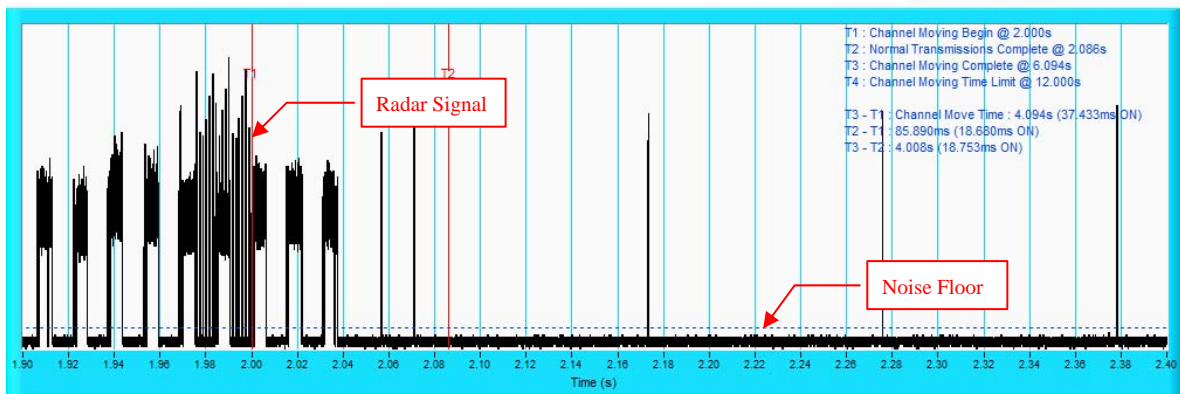
**NOTE:** An expanded plot for the device vacates the channel in the required 500ms.

## RADAR SIGNAL 1

802.11an 40MHz



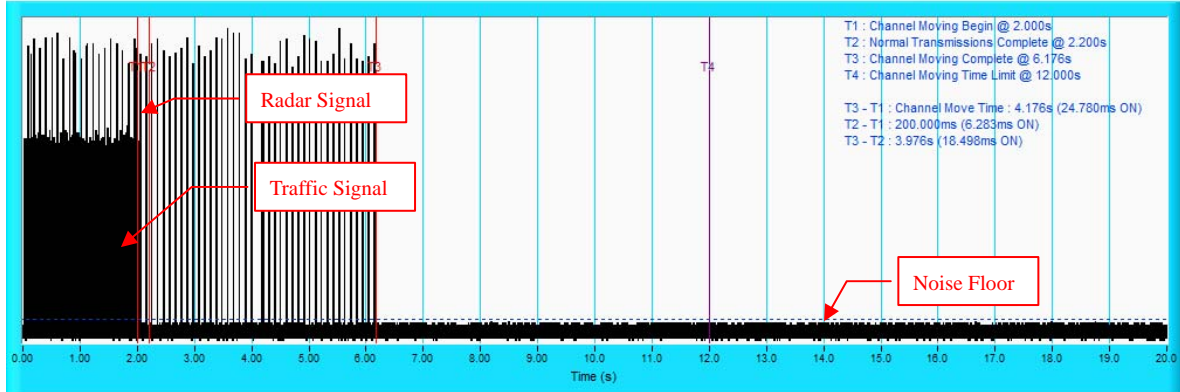
**NOTE:** T1 denotes the start of Channel Move Time upon the end of the last Radar burst. T2 denotes the data transmission time of 200ms from T1. T3 denotes the end of Channel Move Time. T4 denotes the 10 second from T1 to observe the aggregate duration of transmissions.



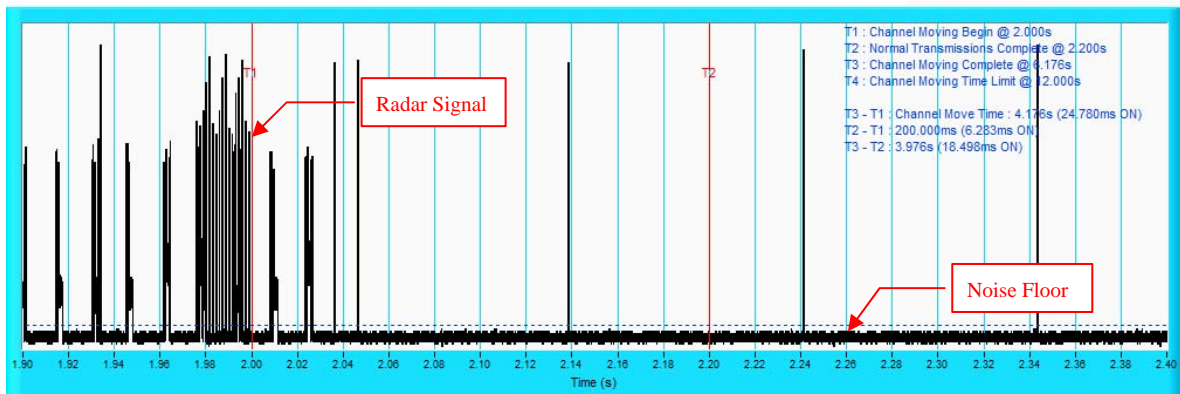
**NOTE:** An expanded plot for the device vacates the channel in the required 500ms.

## RADAR SIGNAL 1

802.11ac 80MHz



**NOTE:** T1 denotes the start of Channel Move Time upon the end of the last Radar burst. T2 denotes the data transmission time of 200ms from T1. T3 denotes the end of Channel Move Time. T4 denotes the 10 second from T1 to observe the aggregate duration of transmissions.



**NOTE:** An expanded plot for the device vacates the channel in the required 500ms.

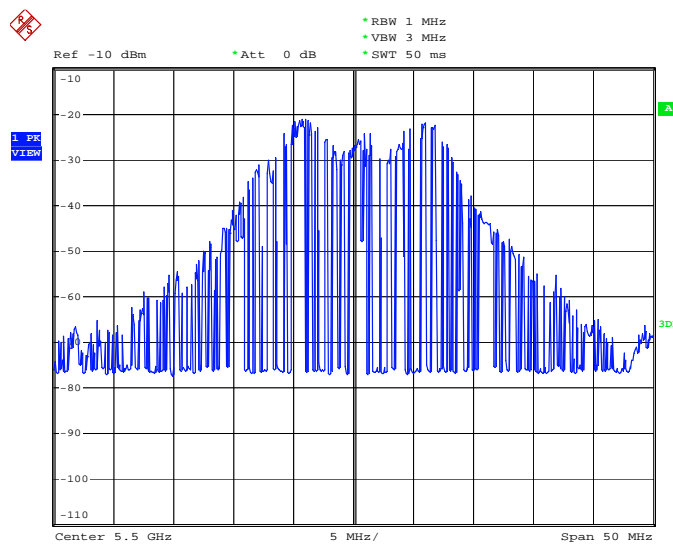
### 6.2.3 NON-OCCUPANCY PERIOD

#### Associate test:

During the 30 minutes observation time, UUT did not make any transmissions on a channel after a radar signal was detected on that channel by either the Channel Availability Check or the In-Service Monitoring.

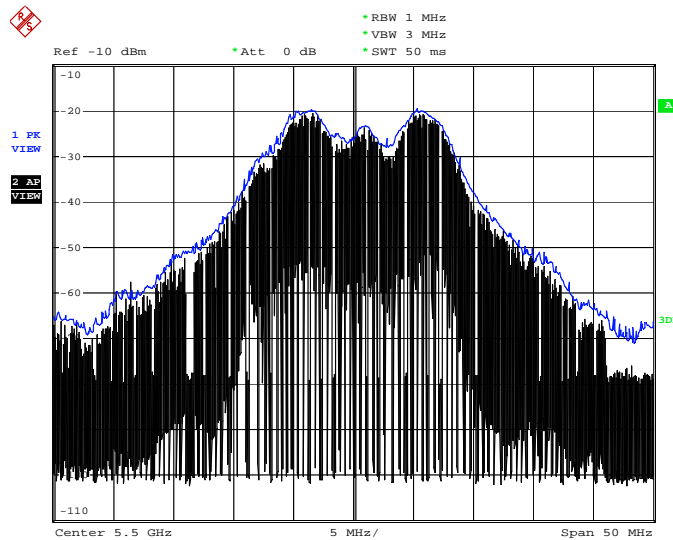
#### 1) EUT (Client) links with master on 5500MHz.

Waveform of EUT links up with Master

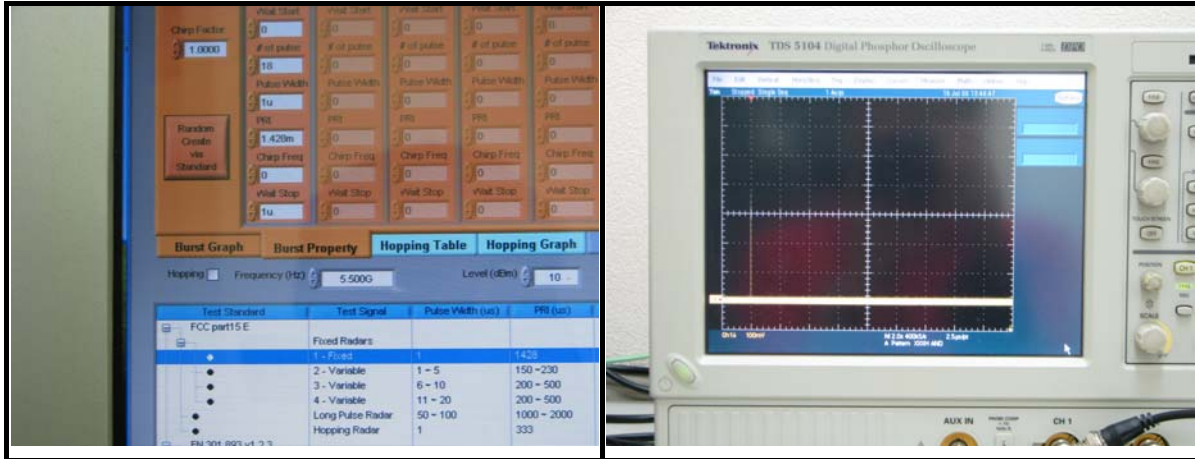


#### 2) Client plays specified files via master.

Waveform of transmission



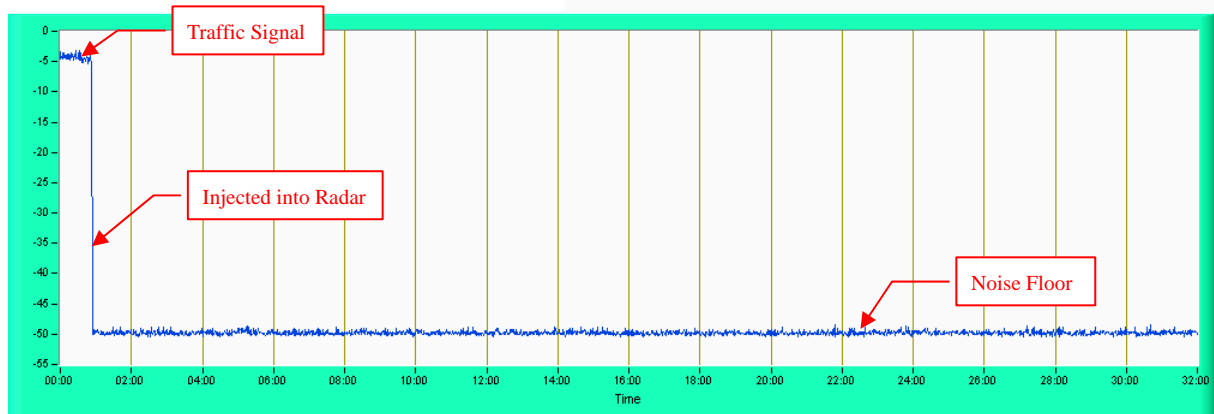
3) Radar signal 1 is applied to the Master device and WiFi traffic signal stop immediately.



4) 5500MHz has been monitored in 30 minutes period. In this period, no any transmission occurs.

Plot of 30minutes period

802.11an 20MHz

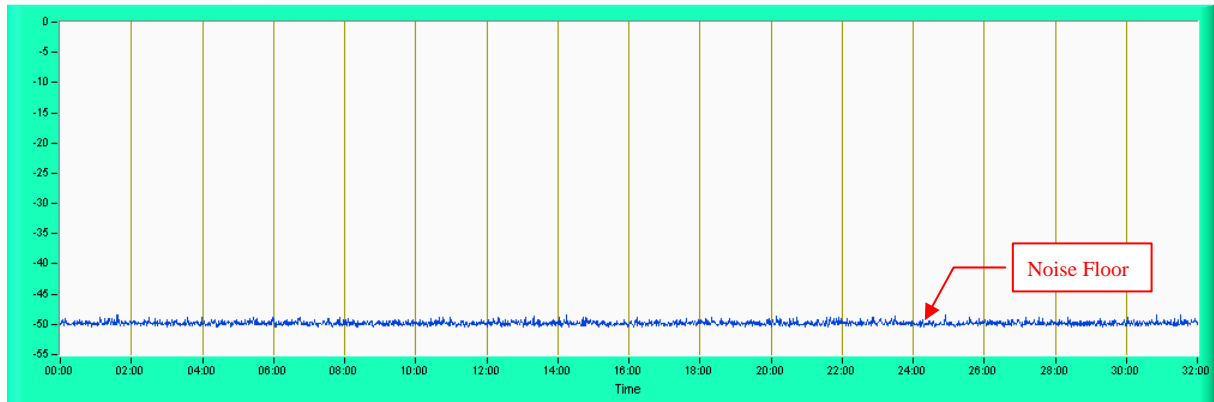


**NOTE:** Test setup are shown on Test setup photo.pdf

## 6.2.4 NON-ASSOCIATED TEST

Master was off.

During the 30 minutes observation time, The UUT did not make any transmissions in the DFS band after UUT power up.



## 6.2.5 NON- CO-CHANNEL TEST

The UUT was investigated after radar was detected the channel and made sure no co-channel operation with radars.

## 7. TESTING LABORATORIES INFORMATION

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

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The address and road map of all our labs can be found in our web site also.

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