#### **Calibration Laboratory of**

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





S Schweizerischer Kalibrierdienst
C Service suisse d'étalonnage
Servizio svizzero di taratura
Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Glossary:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF DCP sensitivity in TSL / NORMx,y,z diode compression point

CF A, B, C, D crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle

Certificate No: EX3-3650\_Jul15

information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization θ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

July 23, 2015 EX3DV4 - SN:3650

# Probe EX3DV4

SN:3650

Manufactured:

March 18, 2008

Calibrated:

July 23, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3650

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.41     | 0.42     | 0.41     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 100.2    | 100.2    | 102.5    |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>E</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 144.7    | ±3.5 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 132.2    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 141.6    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

B Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3650

#### Calibration Parameter Determined in Head Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity (S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|----------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 41.9                                  | 0.89                 | 9.97    | 9.97    | 9.97    | 0.41               | 0.91                       | ± 12.0 %     |
| 835                  | 41.5                                  | 0.90                 | 9.45    | 9.45    | 9.45    | 0.19               | 1.73                       | ± 12.0 %     |
| 900                  | 41.5                                  | 0.97                 | 9.29    | 9.29    | 9.29    | 0.18               | 1.84                       | ± 12.0 %     |
| 1450                 | 40.5                                  | 1.20                 | 8.52    | 8.52    | 8.52    | 0.21               | 1.22                       | ± 12.0 %     |
| 1640                 | 40.3                                  | 1.29                 | 8.30    | 8.30    | 8.30    | 0.40               | 0.80                       | ± 12.0 %     |
| 1750                 | 40.1                                  | 1.37                 | 8.21    | 8.21    | 8.21    | 0.36               | 0.85                       | ± 12.0 %     |
| 1900                 | 40.0                                  | 1.40                 | 7.93    | 7.93    | 7.93    | 0.37               | 0.85                       | ± 12.0 %     |
| 2000                 | 40.0                                  | 1.40                 | 7.94    | 7.94    | 7.94    | 0.40               | 0.85                       | ± 12.0 %     |
| 2300                 | 39.5                                  | 1.67                 | 7.58    | 7.58    | 7.58    | 0.39               | 0.80                       | ± 12.0 %     |
| 2450                 | 39.2                                  | 1.80                 | 7.13    | 7.13    | 7.13    | 0.38               | 0.80                       | ± 12.0 %     |
| 2600                 | 39.0                                  | 1.96                 | 6.99    | 6.99    | 6.99    | 0.42               | 0.81                       | ± 12.0 %     |
| 3500                 | 37.9                                  | 2.91                 | 7.16    | 7.16    | 7.16    | 0.32               | 1.28                       | ± 13.1 %     |
| 5200                 | 36.0                                  | 4.66                 | 5.42    | 5.42    | 5.42    | 0.35               | 1.80                       | ± 13.1 %     |
| 5250                 | 35.9                                  | 4.71                 | 5.30    | 5.30    | 5.30    | 0.35               | 1.80                       | ± 13.1 %     |
| 5300                 | 35.9                                  | 4.76                 | 5.18    | 5.18    | 5.18    | 0.35               | 1.80                       | ± 13.1 %     |
| 5600                 | 35.5                                  | 5.07                 | 4.74    | 4.74    | 4.74    | 0.40               | 1.80                       | ± 13.1 %     |
| 5800                 | 35.3                                  | 5.27                 | 4.87    | 4.87    | 4.87    | 0.40               | 1.80                       | ± 13.1 %     |

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN:3650 July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3650

### Calibration Parameter Determined in Body Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity (S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|----------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 55.5                                  | 0.96                 | 9.44    | 9.44    | 9.44    | 0.18               | 1.67                       | ± 12.0 %     |
| 835                  | 55.2                                  | 0.97                 | 9.47    | 9.47    | 9.47    | 0.22               | 1.36                       | ± 12.0 %     |
| 900                  | 55.0                                  | 1.05                 | 9.27    | 9.27    | 9.27    | 0.24               | 1.27                       | ± 12.0 %     |
| 1450                 | 54.0                                  | 1.30                 | 8.10    | 8.10    | 8.10    | 0.24               | 1.18                       | ± 12.0 %     |
| 1640                 | 53.8                                  | 1.40                 | 8.20    | 8.20    | 8.20    | 0.38               | 0.85                       | ± 12.0 %     |
| 1750                 | 53.4                                  | 1.49                 | 7.80    | 7.80    | 7.80    | 0.39               | 0.87                       | ± 12.0 %     |
| 1900                 | 53.3                                  | 1.52                 | 7.59    | 7.59    | 7.59    | 0.43               | 0.80                       | ± 12.0 %     |
| 2000                 | 53.3                                  | 1.52                 | 7.77    | 7.77    | 7.77    | 0.46               | 0.80                       | ± 12.0 %     |
| 2300                 | 52.9                                  | 1.81                 | 7.50    | 7.50    | 7.50    | 0.43               | 0.80                       | ± 12.0 %     |
| 2450                 | 52.7                                  | 1.95                 | 7.03    | 7.03    | 7.03    | 0.36               | 0.80                       | ± 12.0 %     |
| 2600                 | 52.5                                  | 2.16                 | 6.90    | 6.90    | 6.90    | 0.25               | 0.95                       | ± 12.0 %     |
| 3500                 | 51.3                                  | 3.31                 | 6.77    | 6.77    | 6.77    | 0.32               | 1.38                       | ± 13.1 %     |
| 5200                 | 49.0                                  | 5.30                 | 4.81    | 4.81    | 4.81    | 0.45               | 1.90                       | ± 13.1 %     |
| 5250                 | 48.9                                  | 5.36                 | 4.75    | 4.75    | 4.75    | 0.45               | 1.90                       | ± 13.1 %     |
| 5300                 | 48.9                                  | 5.42                 | 4.64    | 4.64    | 4.64    | 0.45               | 1.90                       | ± 13.1 %     |
| 5600                 | 48.5                                  | 5.77                 | 4.05    | 4.05    | 4.05    | 0.50               | 1.90                       | ± 13.1 %     |
| 5800                 | 48.2                                  | 6.00                 | 4.45    | 4.45    | 4.45    | 0.50               | 1.90                       | ± 13.1 %     |

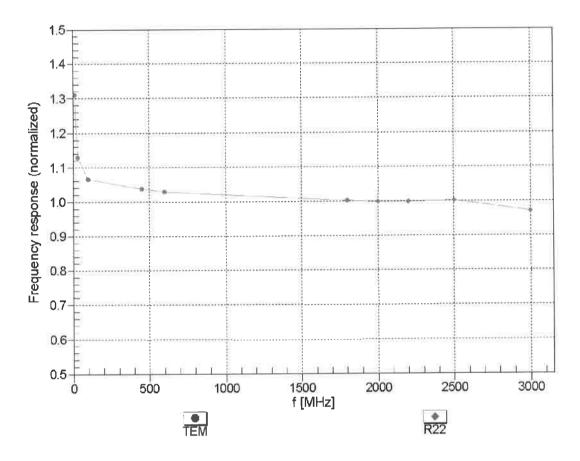
 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

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the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



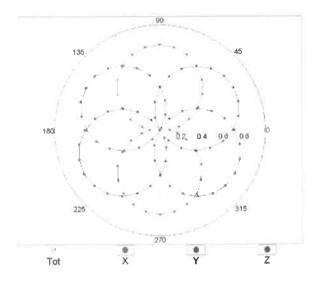
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

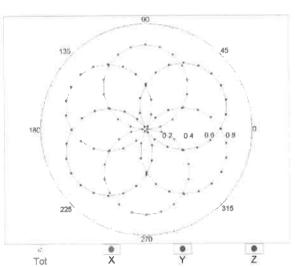
July 23, 2015 EX3DV4-SN:3650

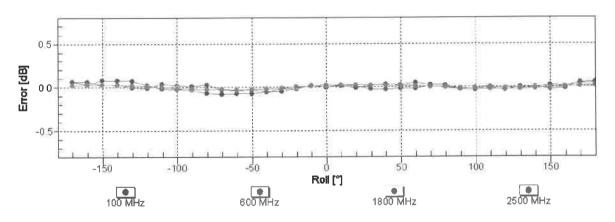
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22



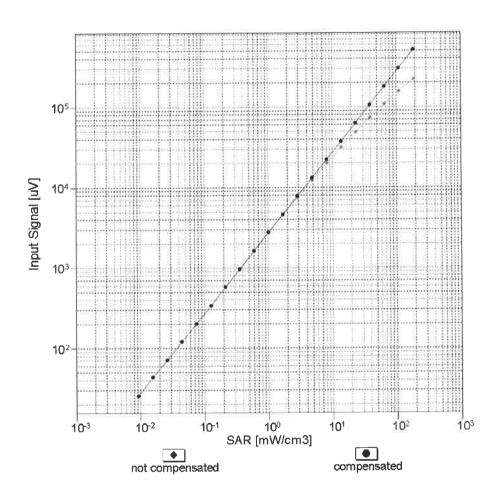


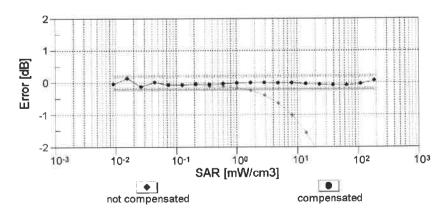


Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

EX3DV4- SN:3650 July 23, 2015

## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

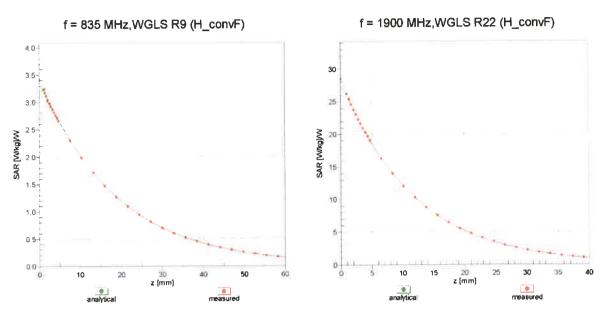




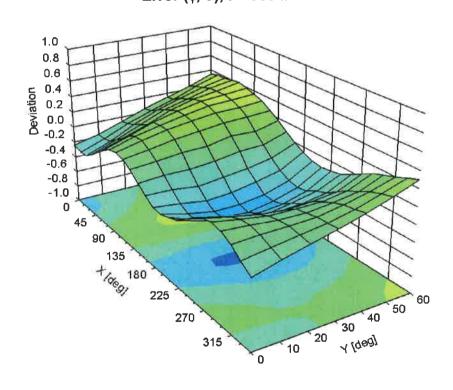
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

EX3DV4- SN:3650 July 23, 2015

## **Conversion Factor Assessment**



## **Deviation from Isotropy in Liquid** Error (φ, θ), f = 900 MHz



July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3650

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | -20.4      |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

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Multilateral Agreement for the recognition of calibration certificates

Client

**BV ADT (Auden)** 

Certificate No: EX3-3864\_Jul15

## CALIBRATION CERTIFICATE

Object EX3DV4 - SN:3864

Calibration procedure(s) QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date: July 23, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).

The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | Primary Standards ID |                                   | Scheduled Calibration  |
|----------------------------|----------------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874           | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087           | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)       | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x)      | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b)      | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013             | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660              | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID                   | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700         | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753F  | US37390585           | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

Calibrated by:

Claudio Leubler

Claudio Leubler

Claudio Leubler

Euboratory Technician

Approved by:

Katja Pokovic

Technical Manager

Issued: July 24, 2015

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

#### **Calibration Laboratory of**

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Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





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TSL tissue simulating liquid
NORMx,y,z sensitivity in free space
ConvF sensitivity in TSL / NORMx,y,z
DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal modulation dependent linearization parameters

Polarization  $\varphi$   $\varphi$  rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### **Calibration is Performed According to the Following Standards:**

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- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
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- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Certificate No: EX3-3864\_Jul15 Page 2 of 11

EX3DV4 - SN:3864 July 23, 2015

# Probe EX3DV4

SN:3864

Manufactured: February 2, 2012 Calibrated: July 23, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

Certificate No: EX3-3864\_Jul15

July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3864

#### **Basic Calibration Parameters**

| Duoio Gambianon i aica   | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.47     | 0.44     | 0.49     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 100.0    | 96.3     | 99.5     |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |       | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>□</sup><br>(k=2) |
|-----|---------------------------|-------|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X 0.0 | 0.0     | 0.0        | 1.0 | 0.00    | 129.6    | ±2.7 %                    |
|     |                           | Y     | 0.0     | 0.0        | 1.0 |         | 144.4    |                           |
|     |                           | Z     | 0.0     | 0.0        | 1.0 |         | 139.5    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

EX3DV4- SN:3864 July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3864

#### Calibration Parameter Determined in Head Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 41.9                                  | 0.89                               | 10.24   | 10.24   | 10.24   | 0.24               | 1.16                       | ± 12.0 %     |
| 835                  | 41.5                                  | 0.90                               | 9.90    | 9.90    | 9.90    | 0.22               | 1.20                       | ± 12.0 %     |
| 900                  | 41.5                                  | 0.97                               | 9.73    | 9.73    | 9.73    | 0.19               | 1.59                       | ± 12.0 %     |
| 1450                 | 40.5                                  | 1.20                               | 8.84    | 8.84    | 8.84    | 0.22               | 1.22                       | ± 12.0 %     |
| 1640                 | 40.3                                  | 1.29                               | 8.56    | 8.56    | 8.56    | 0.33               | 0.80                       | ± 12.0 %     |
| 1750                 | 40.1                                  | 1.37                               | 8.49    | 8.49    | 8.49    | 0.34               | 0.80                       | ± 12.0 %     |
| 1900                 | 40.0                                  | 1.40                               | 8.21    | 8.21    | 8.21    | 0.35               | 0.80                       | ± 12.0 %     |
| 2100                 | 39.8                                  | 1.49                               | 8.32    | 8.32    | 8.32    | 0.33               | 0.85                       | ± 12.0 %     |
| 2300                 | 39.5                                  | 1.67                               | 7.88    | 7.88    | 7.88    | 0.36               | 0.83                       | ± 12.0 %     |
| 2450                 | 39.2                                  | 1.80                               | 7.35    | 7.35    | 7.35    | 0.39               | 0.82                       | ± 12.0 %     |
| 2600                 | 39.0                                  | 1.96                               | 7.26    | 7.26    | 7.26    | 0.45               | 0.83                       | ± 12.0 %     |
| 3500                 | 37.9                                  | 2.91                               | 6.81    | 6.81    | 6.81    | 0.36               | 1.01                       | ± 13.1 %     |
| 5200                 | 36.0                                  | 4.66                               | 5.61    | 5.61    | 5.61    | 0.30               | 1.80                       | ± 13.1 %     |
| 5250                 | 35.9                                  | 4.71                               | 5.41    | 5.41    | 5.41    | 0.35               | 1.80                       | ± 13.1 %     |
| 5300                 | 35.9                                  | 4.76                               | 5.28    | 5.28    | 5.28    | 0.35               | 1.80                       | ± 13.1 %     |
| 5600                 | 35.5                                  | 5.07                               | 4.77    | 4.77    | 4.77    | 0.40               | 1.80                       | ± 13.1 %     |
| 5800                 | 35.3                                  | 5.27                               | 4.91    | 4.91    | 4.91    | 0.40               | 1.80                       | ± 13.1 %     |

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3864

#### Calibration Parameter Determined in Body Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity (S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|----------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 55.5                                  | 0.96                 | 9.94    | 9.94    | 9.94    | 0.22               | 1.28                       | ± 12.0 %     |
| 835                  | 55.2                                  | 0.97                 | 9.83    | 9.83    | 9.83    | 0.25               | 1.19                       | ± 12.0 %     |
| 900                  | 55.0                                  | 1.05                 | 9.59    | 9.59    | 9.59    | 0.30               | 1.03                       | ± 12.0 %     |
| 1450                 | 54.0                                  | 1.30                 | 8.35    | 8.35    | 8.35    | 0.14               | 1.99                       | ± 12.0 %     |
| 1640                 | 53.8                                  | 1.40                 | 8.53    | 8.53    | 8.53    | 0.40               | 0.80                       | ± 12.0 %     |
| 1750                 | 53.4                                  | 1.49                 | 8.13    | 8.13    | 8.13    | 0.42               | 0.82                       | ± 12.0 %     |
| 1900                 | 53.3                                  | 1.52                 | 7.88    | 7.88    | 7.88    | 0.36               | 0.80                       | ± 12.0 %     |
| 2100                 | 53.2                                  | 1.62                 | 8.22    | 8.22    | 8.22    | 0.42               | 0.80                       | ± 12.0 %     |
| 2300                 | 52.9                                  | 1.81                 | 7.61    | 7.61    | 7.61    | 0.37               | 0.90                       | ± 12.0 %     |
| 2450                 | 52.7                                  | 1.95                 | 7.30    | 7.30    | 7.30    | 0.34               | 0.90                       | ± 12.0 %     |
| 2600                 | 52.5                                  | 2.16                 | 7.19    | 7.19    | 7.19    | 0.25               | 0.99                       | ± 12.0 %     |
| 3500                 | 51.3                                  | 3.31                 | 6.47    | 6.47    | 6.47    | 0.36               | 1.16                       | ± 13.1 %     |
| 5200                 | 49.0                                  | 5.30                 | 4.75    | 4.75    | 4.75    | 0.40               | 1.90                       | ± 13.1 %     |
| 5250                 | 48.9                                  | 5.36                 | 4.64    | 4.64    | 4.64    | 0.40               | 1.90                       | ± 13.1 %     |
| 5300                 | 48.9                                  | 5.42                 | 4.41    | 4.41    | 4.41    | 0.45               | 1.90                       | ± 13.1 %     |
| 5600                 | 48.5                                  | 5.77                 | 3.93    | 3.93    | 3.93    | 0.45               | 1.90                       | ± 13.1 %     |
| 5800                 | 48.2                                  | 6.00                 | 4.20    | 4.20    | 4.20    | 0.45               | 1.90                       | ± 13.1 %     |

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

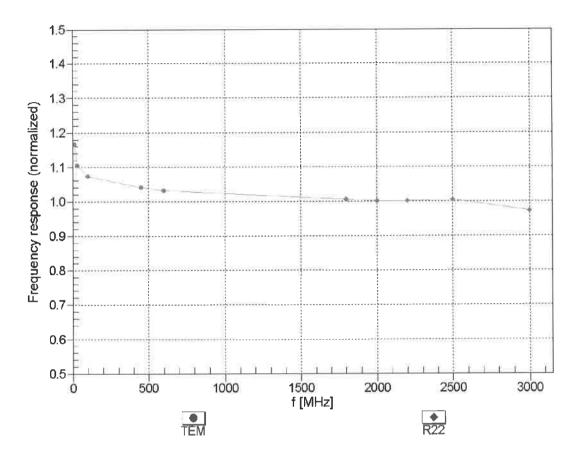
validity can be extended to ± 110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>&</sup>lt;sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



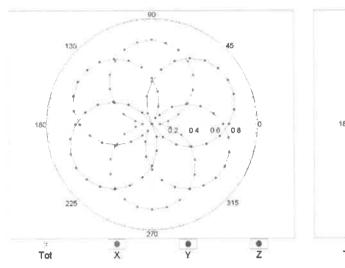
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

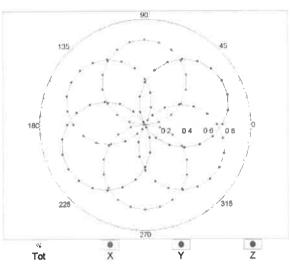
EX3DV4- SN:3864 July 23, 2015

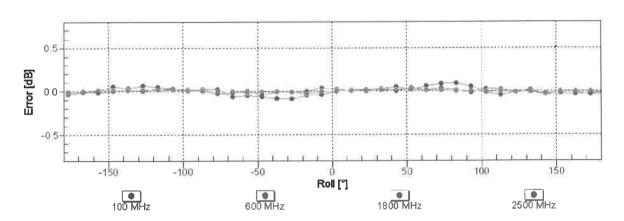
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

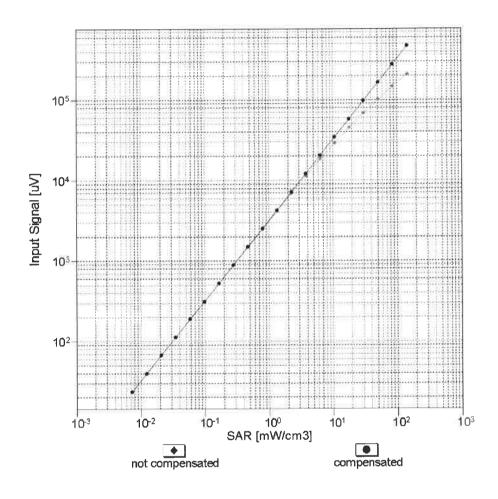


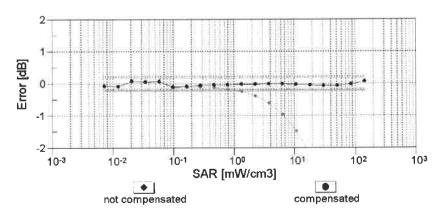




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

# Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

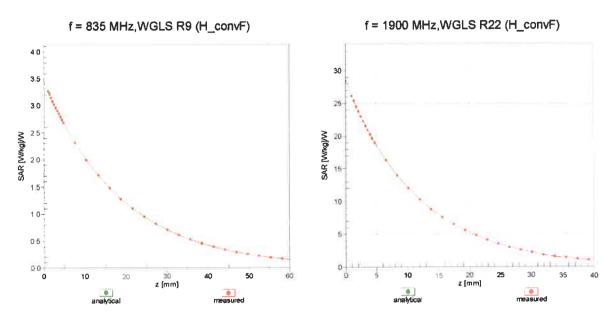




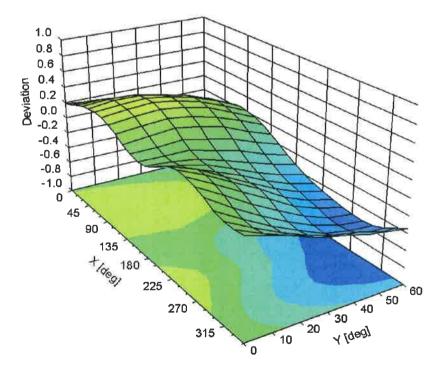
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

July 23, 2015

## **Conversion Factor Assessment**



Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz



July 23, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3864

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | 63         |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client

B.V.ADT (Auden)

Certificate No: EX3-3971\_Mar16

### **CALIBRATION CERTIFICATE**

Object

EX3DV4 - SN:3971

Calibration procedure(s)

QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date:

March 23, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013        | 31-Dec-15 (No. ES3-3013_Dec15)    | Dec-16                 |
| DAE4                       | SN: 660         | 23-Dec-15 (No. DAE4-660_Dec15)    | Dec-16                 |
|                            |                 |                                   |                        |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-15) | In house check: Oct-16 |

Calibrated by:

Name
Function
Signature
Laboratory Technician

Approved by:

Katja Pokovic
Technical Manager

Issued: March 28, 2016

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

### Calibration Laboratory of

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst S Service suisse d'étalonnage C Servizio svizzero di taratura S **Swiss Calibration Service** 

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Glossarv:

TSL NORMx,y,z tissue simulating liquid sensitivity in free space

ConvF

sensitivity in TSL / NORMx.v.z

DCP

diode compression point

CF

crest factor (1/duty cycle) of the RF signal

A, B, C, D

modulation dependent linearization parameters

Polarization φ

φ rotation around probe axis

Polarization 9

9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle

information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
  b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close
- proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- *NORMx.v.z:* Assessed for E-field polarization  $\vartheta = 0$  (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- $NORM(f)x,y,z = NORMx,y,z * frequency_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx.v.z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,v,z; Bx,v,z; Cx,v,z; Dx,v,z; VRx,v,z; A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Certificate No: EX3-3971\_Mar16 Page 2 of 11 EX3DV4 - SN:3971 March 23, 2016

# Probe EX3DV4

SN:3971

Manufactured:

December 30, 2013

Calibrated:

March 23, 2016

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3971

#### **Basic Calibration Parameters**

|  | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--|----------|----------|----------|-----------|
| Norm (μV/(V/m) <sup>2</sup> ) <sup>A</sup> | 0.41     | 0.52     | 0.50     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>                      | 104.0    | 101.0    | 97.3     |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | Α   | В     | С   | D    | VR    | Unc    |
|-----|---------------------------|---|-----|-------|-----|------|-------|--------|
|     |                           |   | dB  | dB√μV |     | dB   | mV    | (k=2)  |
| 0   | CW                        | Х | 0.0 | 0.0   | 1.0 | 0.00 | 189.2 | ±3.5 % |
|     |                           | Y | 0.0 | 0.0   | 1.0 |      | 172.3 |        |
|     |                           | Z | 0.0 | 0.0   | 1.0 |      | 171.8 |        |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

EX3DV4- SN:3971 March 23, 2016

### DASY/EASY - Parameters of Probe: EX3DV4 - SN:3971

#### Calibration Parameter Determined in Head Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity (S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|----------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 41.9                                  | 0.89                 | 10.30   | 10.30   | 10.30   | 0.50               | 0.83                       | ± 12.0 %     |
| 835                  | 41.5                                  | 0.90                 | 10.09   | 10.09   | 10.09   | 0.46               | 0.80                       | ± 12.0 %     |
| 900                  | 41.5                                  | 0.97                 | 9.83    | 9.83    | 9.83    | 0.35               | 0.97                       | ± 12.0 %     |
| 1450                 | 40.5                                  | 1.20                 | 8.60    | 8.60    | 8.60    | 0.36               | 0.90                       | ± 12.0 %     |
| 1640                 | 40.3                                  | 1.29                 | 8.43    | 8.43    | 8.43    | 0.32               | 0.80                       | ± 12.0 %     |
| 1750                 | 40.1                                  | 1.37                 | 8.43    | 8.43    | 8.43    | 0.33               | 0.80                       | ± 12.0 %     |
| 1900                 | 40.0                                  | 1.40                 | 8.15    | 8.15    | 8.15    | 0.27               | 0.99                       | ± 12.0 %     |
| 2000                 | 40.0                                  | 1.40                 | 8.19    | 8.19    | 8.19    | 0.36               | 0.80                       | ± 12.0 %     |
| 2300                 | 39.5                                  | 1.67                 | 7.75    | 7.75    | 7.75    | 0.33               | 0.81                       | ± 12.0 %     |
| 2450                 | 39.2                                  | 1.80                 | 7.46    | 7.46    | 7.46    | 0.32               | 0.84                       | ± 12.0 %     |
| 2600                 | 39.0                                  | 1.96                 | 7.16    | 7.16    | 7.16    | 0.32               | 0.87                       | ± 12.0 %     |
| 3500                 | 37.9                                  | 2.91                 | 6.94    | 6.94    | 6.94    | 0.39               | 1.09                       | ± 13.1 %     |
| 5200                 | 36.0                                  | 4.66                 | 5.34    | 5.34    | 5.34    | 0.30               | 1.80                       | ± 13.1 %     |
| 5300                 | 35.9                                  | 4.76                 | 5.00    | 5.00    | 5.00    | 0.35               | 1.80                       | ± 13.1 %     |
| 5500                 | 35.6                                  | 4.96                 | 4.94    | 4.94    | 4.94    | 0.40               | 1.80                       | ± 13.1 %     |
| 5600                 | 35.5                                  | 5.07                 | 4.66    | 4.66    | 4.66    | 0.45               | 1.80                       | ± 13.1 %     |
| 5800                 | 35.3                                  | 5.27                 | 4.75    | 4.75    | 4.75    | 0.40               | 1.80                       | ± 13.1 %     |

<sup>&</sup>lt;sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

validity can be extended to ± 110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>&</sup>lt;sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN:3971 March 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3971

#### Calibration Parameter Determined in Body Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 55.5                                  | 0.96                               | 10.00   | 10.00   | 10.00   | 0.49               | 0.86                       | ± 12.0 %     |
| 835                  | 55.2                                  | 0.97                               | 9.89    | 9.89    | 9.89    | 0.46               | 0.80                       | ± 12.0 %     |
| 900                  | 55.0                                  | 1.05                               | 9.92    | 9.92    | 9.92    | 0.47               | 0.80                       | ± 12.0 %     |
| 1450                 | 54.0                                  | 1.30                               | 8.57    | 8.57    | 8.57    | 0.31               | 0.80                       | ± 12.0 %     |
| 1640                 | 53.8                                  | 1.40                               | 8.48    | 8.48    | 8.48    | 0.25               | 1.06                       | ± 12.0 %     |
| 1750                 | 53.4                                  | 1.49                               | 8.08    | 8.08    | 8.08    | 0.37               | 0.80                       | ± 12.0 %     |
| 1900                 | 53.3                                  | 1.52                               | 7.85    | 7.85    | 7.85    | 0.40               | 0.86                       | ± 12.0 %     |
| 2000                 | 53.3                                  | 1.52                               | 7.98    | 7.98    | 7.98    | 0.37               | 0.82                       | ± 12.0 %     |
| 2300                 | 52.9                                  | 1.81                               | 7.39    | 7.39    | 7.39    | 0.34               | 0.80                       | ± 12.0 %     |
| 2450                 | 52.7                                  | 1.95                               | 7.24    | 7.24    | 7.24    | 0.36               | 0.80                       | ± 12.0 %     |
| 2600                 | 52.5                                  | 2.16                               | 6.88    | 6.88    | 6.88    | 0.25               | 0.90                       | ± 12.0 %     |
| 3500                 | 51.3                                  | 3.31                               | 6.69    | 6.69    | 6.69    | 0.36               | 1.21                       | ± 13.1 %     |
| 5200                 | 49.0                                  | 5.30                               | 4.54    | 4.54    | 4.54    | 0.50               | 1.90                       | ± 13.1 %     |
| 5300                 | 48.9                                  | 5.42                               | 4.26    | 4.26    | 4.26    | 0.50               | 1.90                       | ± 13.1 %     |
| 5500                 | 48.6                                  | 5.65                               | 3.95    | 3.95    | 3.95    | 0.55               | 1.90                       | ± 13.1 %     |
| 5600                 | 48.5                                  | 5.77                               | 3.68    | 3.68    | 3.68    | 0.60               | 1.90                       | ± 13.1 %     |
| 5800                 | 48.2                                  | 6.00                               | 3.90    | 3.90    | 3.90    | 0.60               | 1.90                       | ± 13.1 %     |

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to

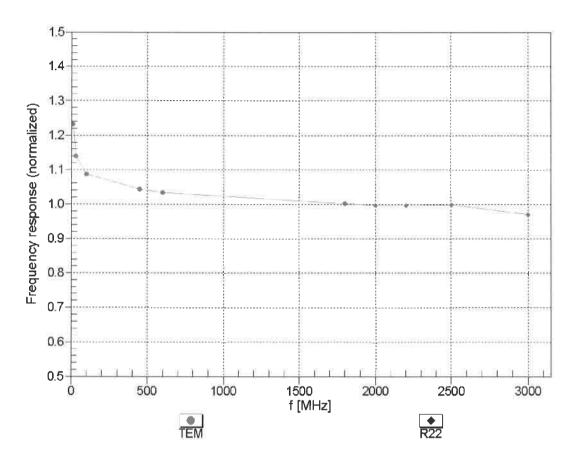
Certificate No: EX3-3971\_Mar16

At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

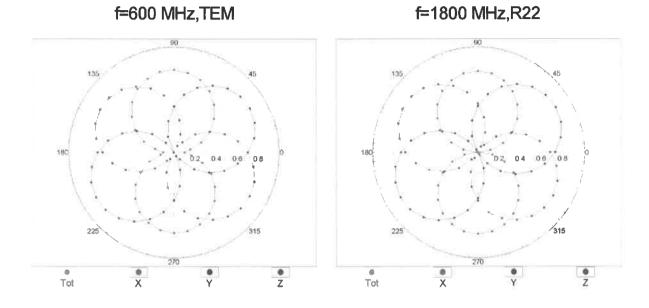


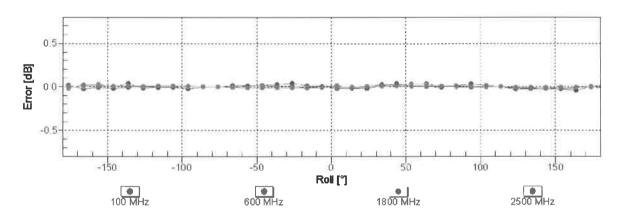
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

EX3DV4- SN:3971 March 23, 2016

## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

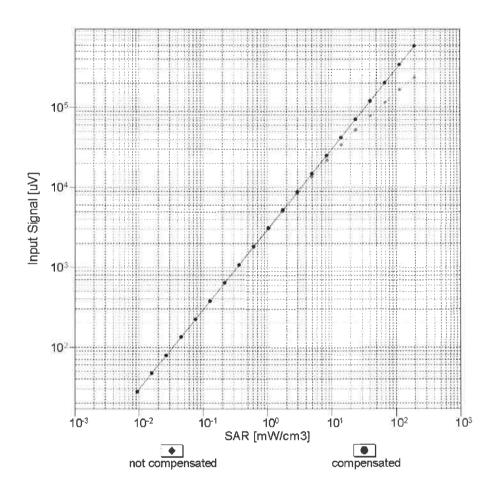
rcociving rattern (ψ), σ

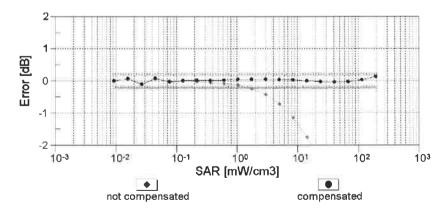




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

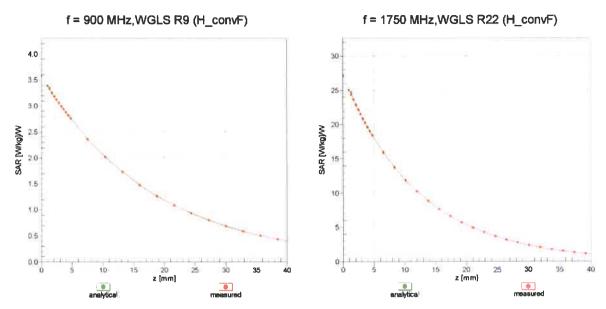




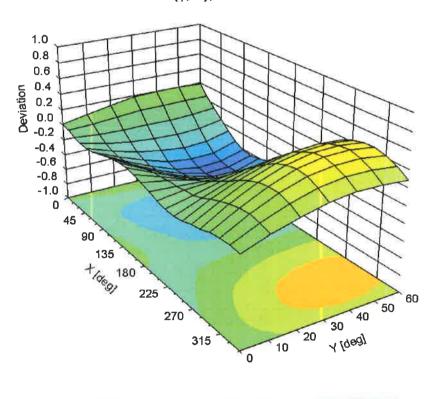
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

EX3DV4- SN:3971 March 23, 2016

## **Conversion Factor Assessment**



**Deviation from Isotropy in Liquid** Error (φ, θ), f = 900 MHz



EX3DV4- SN:3971 March 23, 2016

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3971

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | 73.8       |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

Certificate No: EX3-3971\_Mar16 Page 11 of 11

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Client Auden

Accreditation No.: SCS 0108

Certificate No: EX3-7350\_Dec15

### **CALIBRATION CERTIFICATE**

Object EX3DV4 - SN:7350

Calibration procedure(s) QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6

Calibration procedure for dosimetric E-field probes

Calibration date: December 17, 2015

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-15) | In house check: Oct-16 |

Calibrated by:

Claudio Leubler

Claudio Leubler

Exproved by:

Katja Pokovic

Function

Signature

Laboratory Technician

Issued: December 17, 2015

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: EX3-7350\_Dec15 Page 1 of 11

#### Calibration Laboratory of

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





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Accreditation No.: SCS 0108

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Glossary:

TSL tissue simulating liquid

NORMx,y,z sensitivity in free space

ConvF sensitivity in TSL / NORMx,y,z

DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal A, B, C, D modulation dependent linearization parameters

Polarization φ rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

December 17, 2015 EX3DV4 - SN:7350

# Probe EX3DV4

SN:7350

Calibrated:

Manufactured: October 13, 2014 December 17, 2015

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7350

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.50     | 0.51     | 0.48     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 97.8     | 98.5     | 97.4     |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>±</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X |         | 0.0        | 1.0 | 0.00    | 139.6    | ±3.5 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 146.2    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 132.3    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>&</sup>lt;sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

### DASY/EASY - Parameters of Probe: EX3DV4 - SN:7350

#### Calibration Parameter Determined in Head Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|-------------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 41.9                                  | 0.89                    | 10.26   | 10.26   | 10.26   | 0.19               | 1.60                       | ± 12.0 %     |
| 835                  | 41.5                                  | 0.90                    | 9.97    | 9.97    | 9.97    | 0.18               | 1.70                       | ± 12.0 %     |
| 900                  | 41.5                                  | 0.97                    | 9.80    | 9.80    | 9.80    | 0.27               | 1.16                       | ± 12.0 %     |
| 1450                 | 40.5                                  | 1.20                    | 8.66    | 8.66    | 8.66    | 0.14               | 2.06                       | ± 12.0 %     |
| 1750                 | 40.1                                  | 1.37                    | 8.59    | 8.59    | 8.59    | 0.21               | 1.11                       | ± 12.0 %     |
| 1900                 | 40.0                                  | 1.40                    | 8.31    | 8.31    | 8.31    | 0.39               | 0.80                       | ± 12.0 %     |
| 2100                 | 39.8                                  | 1.49                    | 8.42    | 8.42    | 8.42    | 0.39               | 0.80                       | ± 12.0 %     |
| 2300                 | 39.5                                  | 1.67                    | 7.87    | 7.87    | 7.87    | 0.40               | 0.84                       | ± 12.0 %     |
| 2450                 | 39.2                                  | 1.80                    | 7.50    | 7.50    | 7.50    | 0.32               | 0.99                       | ± 12.0 %     |
| 2600                 | 39.0                                  | 1.96                    | 7.18    | 7.18    | 7.18    | 0.33               | 1.01                       | ± 12.0 %     |
| 3500                 | 37.9                                  | 2.91                    | 7.26    | 7.26    | 7.26    | 0.27               | 1.31                       | ± 13.1 %     |
| 5200                 | 36.0                                  | 4.66                    | 5.48    | 5.48    | 5.48    | 0.40               | 1.80                       | ± 13.1 %     |
| 5300                 | 35.9                                  | 4.76                    | 5.25    | 5.25    | 5.25    | 0.40               | 1.80                       | ± 13.1 %     |
| 5500                 | 35.6                                  | 4.96                    | 4.87    | 4.87    | 4.87    | 0.45               | 1.80                       | ± 13.1 %     |
| 5600                 | 35.5                                  | 5.07                    | 4.52    | 4.52    | 4.52    | 0.50               | 1.80                       | ± 13.1 %     |
| 5800                 | 35.3                                  | 5.27                    | 4.53    | 4.53    | 4.53    | 0.50               | 1.80                       | ± 13.1 %     |

<sup>&</sup>lt;sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4-SN:7350

### DASY/EASY - Parameters of Probe: EX3DV4 - SN:7350

#### Calibration Parameter Determined in Body Tissue Simulating Media

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity (S/m) F | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unc<br>(k=2) |
|----------------------|---------------------------------------|----------------------|---------|---------|---------|--------------------|----------------------------|--------------|
| 750                  | 55.5                                  | 0.96                 | 10.15   | 10.15   | 10.15   | 0.42               | 0.89                       | ± 12.0 %     |
| 835                  | 55.2                                  | 0.97                 | 9.83    | 9.83    | 9.83    | 0.21               | 1.56                       | ± 12.0 %     |
| 900                  | 55.0                                  | 1.05                 | 9.84    | 9.84    | 9.84    | 0.34               | 1.08                       | ± 12.0 %     |
| 1450                 | 54.0                                  | 1.30                 | 8.54    | 8.54    | 8.54    | 0.14               | 2.22                       | ± 12.0 %     |
| 1750                 | 53.4                                  | 1.49                 | 8.30    | 8.30    | 8.30    | 0.30               | 0.93                       | ± 12.0 %     |
| 1900                 | 53.3                                  | 1.52                 | 8.04    | 8.04    | 8.04    | 0.32               | 0.94                       | ± 12.0 %     |
| 2100                 | 53.2                                  | 1.62                 | 8.05    | 8.05    | 8.05    | 0.27               | 1.06                       | ± 12.0 %     |
| 2300                 | 52.9                                  | 1.81                 | 7.69    | 7.69    | 7.69    | 0.39               | 0.87                       | ± 12.0 %     |
| 2450                 | 52.7                                  | 1.95                 | 7.49    | 7.49    | 7.49    | 0.40               | 0.80                       | ± 12.0 %     |
| 2600                 | 52.5                                  | 2.16                 | 7.30    | 7.30    | 7.30    | 0.44               | 0.83                       | ± 12.0 %     |
| 3500                 | 51.3                                  | 3.31                 | 6.80    | 6.80    | 6.80    | 0.30               | 1.36                       | ± 13.1 %     |
| 5200                 | 49.0                                  | 5.30                 | 4.84    | 4.84    | 4.84    | 0.50               | 1.90                       | ± 13.1 %     |
| 5300                 | 48.9                                  | 5.42                 | 4.62    | 4.62    | 4.62    | 0.50               | 1.90                       | ± 13.1 %     |
| 5500                 | 48.6                                  | 5.65                 | 4.05    | 4.05    | 4.05    | 0.60               | 1.90                       | ± 13.1 %     |
| 5600                 | 48.5                                  | 5.77                 | 3.91    | 3.91    | 3.91    | 0.60               | 1.90                       | ± 13.1 %     |
| 5800                 | 48.2                                  | 6.00                 | 4.03    | 4.03    | 4.03    | 0.60               | 1.90                       | ± 13.1 %     |

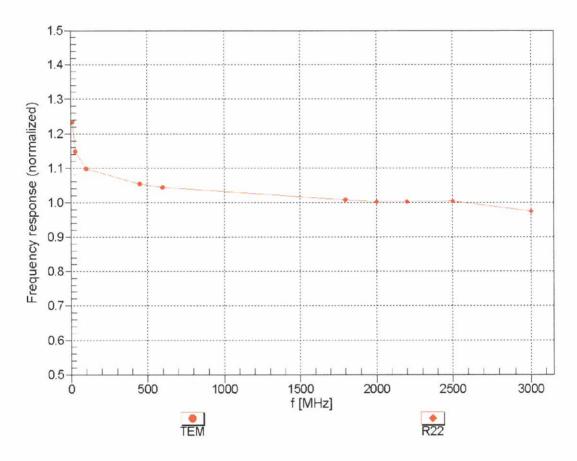
 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters.

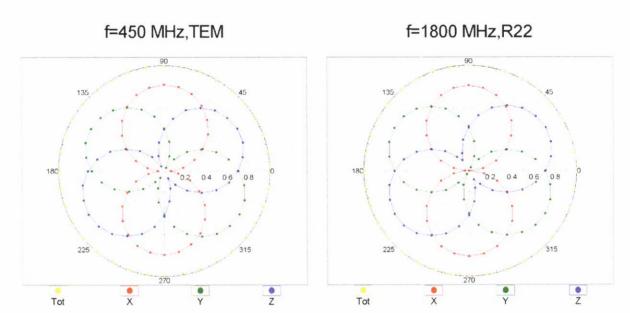
Galpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

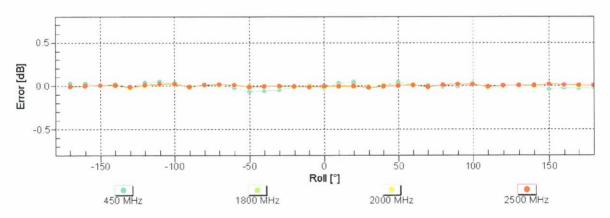
# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

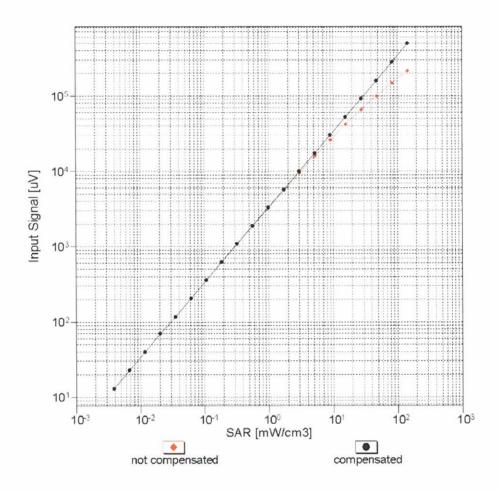
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

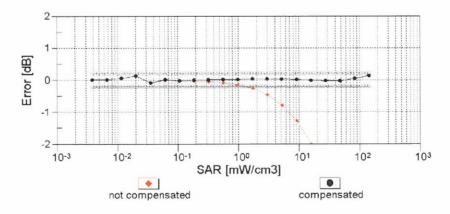




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

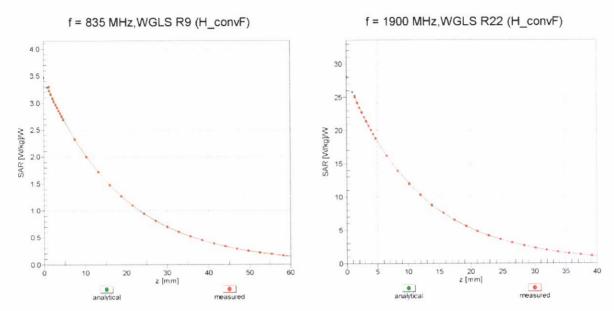
## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)



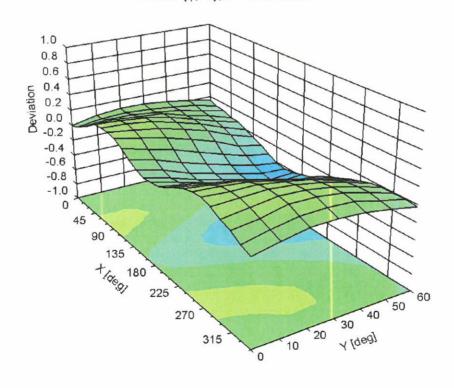


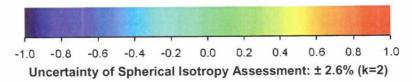
Uncertainty of Linearity Assessment: ± 0.6% (k=2)

### **Conversion Factor Assessment**



## Deviation from Isotropy in Liquid Error (φ, θ), f = 900 MHz





December 17, 2015

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7350

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | 29.8       |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |