

Variant FCC SAR Test Report

APPLICANT	:	HTC Corporation
EQUIPMENT	:	Smartphone
MODEL NAME	:	0P9O110
FCC ID	:	NM80P9O110
STANDARD	:	FCC 47 CFR Part 2 (2.1093)
		ANSI/IEEE C95.1-1992
		IEEE 1528-2003

We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the procedures and had been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC., the test report shall not be reproduced except in full.

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Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FA421125-01	Rev. 01	Variant report to include verification of worst case found in the original report (Sporton Report No. FA421125) performed testing.	Oct. 17, 2014
FA421125-01	Rev. 02	Add simultaneous transmission analysis in the Report.	Oct. 20, 2014



1. Statement of Compliance

The maximum results of Specific Absorption Rate (SAR) found during testing for **HTC Corporation**, **Smartphone**, **0P9O110**, are as follows.

Equipment Class	Frequency Band	Highest SAR Summary					
		Head (Separation 0mm) 1g SAR (W/kg)	Body-worn (Separation 10mm) 1g SAR (W/kg)	Wireless Router (Separation 10mm) 1g SAR (W/kg)	Highest Simultaneous Transmission 1g SAR (W/kg)		
PCE	LTE Band 17	0.65	0.69	0.69	1.20		
Date of	Testing:	10/09/2014~10/09/2014					

This device is in compliance with Specific Absorption Rate (SAR) for general population/uncontrolled exposure limits (1.6 W/kg) specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992, and had been tested in accordance with the measurement methods and procedures specified in IEEE 1528-2003.

2. Administration Data

Testing Laboratory					
Test Site	SPORTON INTERNATIONAL INC.				
Test Site Location	No. 52, Hwa Ya 1 st Rd., Hwa Ya Technology Park, Kwei-Shan Hsiang, Tao Yuan Hsien, Taiwan, R.O.C.				
	TEL: +886-3-327-3456 FAX: +886-3-328-4978				
	Applicant				
Company Name	HTC Corporation				
Address No.23, Xinghua Rd., Taoyuan City, Taoyuan County 330, Taiwan.					
Manufacturer					
Company Name	HTC Corporation				

No.23, Xinghua Rd., Taoyuan City, Taoyuan County 330, Taiwan.

Address



3. Guidance Standard

The Specific Absorption Rate (SAR) testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2 (2.1093)
- ANSI/IEEE C95.1-1992
- IEEE 1528-2003
- FCC KDB 865664 D01 SAR Measurement 100 MHz to 6 GHz v01r03
- FCC KDB 865664 D02 SAR Reporting v01r01
- FCC KDB 447498 D01 General RF Exposure Guidance v05r02
- FCC KDB 648474 D04 SAR Evaluation Considerations for Wireless Handsets v01r02
- FCC KDB 941225 D05 SAR for LTE Devices v02r03
- FCC KDB 941225 D06 Hotspot Mode SAR v01r01

4. Equipment Under Test (EUT)

4.1 General Information

Product Feature & Specification				
Equipment Name	Smartphone			
Model Name	0P9O110			
FCC ID	NM80P9O110			
IMEI Code	357203050932911			
S / N	HT49RWS02276			
Wireless Technology and Frequency Range	LTE Band 17: 706.5 MHz ~ 713.5 MHz			
Mode	• LTE: QPSK, 16QAM			
EUT Stage	Identical Prototype			
Remark:				
I. This device supported voir in Life (e.g. 3rd party voir).				

4.2 Maximum Tune-up Limit

LTE Band 17							
Modulation	BW (MHz)	RB size	MPR	Average Power (dBm)			
QPSK	10	≤ 12	0	24			
QPSK	10	> 12	1	23			
16QAM	10	≤ 12	1	23			
16QAM	10	> 12	2	22			
QPSK	5	≤ 8	0	24			
QPSK	5	> 8	1	23			
16QAM	5	≤ 8	1	23			
16QAM	5	> 8	2	22			

4.3 General LTE SAR Test and Reporting Considerations

	Summarized necessary items addressed in KDB 941225 D05 v02r03										
FC	CID		NM80P9O110	IM80P9O110							
Eq	uipment Name		Smartphone								
Op tra	erating Frequency Range of each nsmission band	LTE	LTE Band 17: 706	6.5 MHz ~	713.5 MHz	<u>.</u>					
Ch	annel Bandwidth		LTE Band 17: 5M	Hz, 10MH	lz						
UE	Capabilities		Rel9, Cat4								
up	ink modulations used		QPSK, and 16QA	M							
LT	E Voice / Data requirements		Data only								
LTE MPR permanently built-in by design			Table Modulation	Modulation Channel bandwidth / Transmission bandwidth (RB)			MPR (dB)	1			
		sign		1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz		
			QPSK	> 5	>4	>8	> 12	> 16	> 18	≤1	
			16 QAM	≤ 5	≤4	≤8	≤ 12	≤ 16	≤ 18	≤1	
				>0	>4	<u>>0</u>		> 10	> 10		
LT	E A-MPR		A-MPR during SAR testing and the LTE SAR tests was transmitting on all TTI frames (Maximum TTI)								
Sp	ectrum plots for RB configuration		A properly confi measurement; the not included in the	igured ba erefore, sp e SAR rep	ase statior pectrum plo port.	n simu ets for e	lator was ach RB al	used fo location ar	r the SA nd offset co	R and pov onfiguration	wer are
	Transmi	ssion (H	l, M, L) channel ı	numbers	and freque	encies	in each Lī	TE band			
			l	_TE Band	17						
Bandwidth 5 MHz				Bandwidth 10 MHz							
	Channel #		Freq.(MHz)		Channel #			Freq. (MHz)			
L	23755		706.5		23780				709		
М	23790		710		23790				710		
н	23825		713.5 23800 711								



5. <u>RF Exposure Limits</u>

5.1 Uncontrolled Environment

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

5.2 Controlled Environment

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

Limits for Occupational/Controlled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.4	8.0	20.0

Limits for General Population/Uncontrolled Exposure (W/kg)

Whole-Body	Partial-Body	Hands, Wrists, Feet and Ankles
0.08	1.6	4.0

1. Whole-Body SAR is averaged over the entire body, partial-body SAR is averaged over any 1gram of tissue defined as a tissue volume in the shape of a cube. SAR for hands, wrists, feet and ankles is averaged over any 10 grams of tissue defined as a tissue volume in the shape of a cube.



6. Specific Absorption Rate (SAR)

6.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

6.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$SAR = \frac{d}{dt} \left(\frac{dW}{dm} \right) = \frac{d}{dt} \left(\frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.

7. System Description and Setup



The DASY system used for performing compliance tests consists of the following items:

- A standard high precision 6-axis robot with controller, teach pendant and software. An arm extension for accommodating the data acquisition electronics (DAE).
- An isotropic Field probe optimized and calibrated for the targeted measurement.
- A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.
- The Electro-optical converter (EOC) performs the conversion from optical to electrical signals for the digital communication to the DAE. To use optical surface detection, a special version of the EOC is required. The EOC signal is transmitted to the measurement server.
- The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- The Light Beam used is for probe alignment. This improves the (absolute) accuracy of the probe positioning.
- A computer running WinXP or Win7 and the DASY5 software.
- Remote control and teach pendant as well as additional circuitry for robot safety such as warning lamps, etc.
- The phantom, the device holder and other accessories according to the targeted measurement.



8. <u>Measurement Procedures</u>

The measurement procedures are as follows:

<Conducted power measurement>

- (a) For WWAN power measurement, use base station simulator to configure EUT WWAN transmission in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
- (b) Read the WWAN RF power level from the base station simulator.
- (c) For WLAN/BT power measurement, use engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power in each supported wireless interface and frequency band
- (d) Connect EUT RF port through RF cable to the power meter, and measure WLAN/BT output power

<SAR measurement>

- (a) Use base station simulator to configure EUT WWAN transmission in radiated connection, and engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power, in the highest power channel.
- (b) Place the EUT in the positions as Appendix D demonstrates.
- (c) Set scan area, grid size and other setting on the DASY software.
- (d) Measure SAR results for the highest power channel on each testing position.
- (e) Find out the largest SAR result on these testing positions of each band
- (f) Measure SAR results for other channels in worst SAR testing position if the reported SAR of highest power channel is larger than 0.8 W/kg

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

8.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values form the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g



8.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurements are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

8.3 <u>Area Scan</u>

The area scan is used as a fast scan in two dimensions to find the area of high field values, before doing a fine measurement around the hot spot. The sophisticated interpolation routines implemented in DASY software can find the maximum found in the scanned area, within a range of the global maximum. The range (in dB0 is specified in the standards for compliance testing. For example, a 2 dB range is required in IEEE standard 1528 and IEC 62209 standards, whereby 3 dB is a requirement when compliance is assessed in accordance with the ARIB standard (Japan), if only one zoom scan follows the area scan, then only the absolute maximum will be taken as reference. For cases where multiple maximums are detected, the number of zoom scans has to be increased accordingly.

Area scan parameters extracted from FCC KDB 865664 D01v01r03 SAR measurement 100 MHz to 6 GHz.

	\leq 3 GHz	> 3 GHz		
Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface	$5 \pm 1 \text{ mm}$	$\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5 \text{ mm}$		
Maximum probe angle from probe axis to phantom surface normal at the measurement location	$30^{\circ} \pm 1^{\circ}$	$20^{\circ} \pm 1^{\circ}$		
	\leq 2 GHz: \leq 15 mm 2 - 3 GHz: \leq 12 mm	$3 - 4 \text{ GHz:} \le 12 \text{ mm}$ $4 - 6 \text{ GHz:} \le 10 \text{ mm}$		
Maximum area scan spatial resolution: Δx_{Area} , Δy_{Area}	When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be \leq the corresponding x or y dimension of the test device with at least one measurement point on the test device.			



8.4 <u>Zoom Scan</u>

Zoom scans are used assess the peak spatial SAR values within a cubic averaging volume containing 1 gram and 10 gram of simulated tissue. The zoom scan measures points (refer to table below) within a cube shoes base faces are centered on the maxima found in a preceding area scan job within the same procedure. When the measurement is done, the zoom scan evaluates the averaged SAR for 1 gram and 10 gram and displays these values next to the job's label.

Zoom scan i	parameters extracted from	ECC KDB 865664	1 D01v01r03 SAR	measurement 100	MHz to 6 GHz
Zuun suan j	parameters extracted non	11 CC KDD 00300-		measurement 100	

			\leq 3 GHz	> 3 GHz
Maximum zoom scan s	spatial reso	lution: Δx_{Zoom} , Δy_{Zoom}	$\leq 2 \text{ GHz:} \leq 8 \text{ mm}$ 2 - 3 GHz: $\leq 5 \text{ mm}^*$	$3 - 4 \text{ GHz:} \le 5 \text{ mm}^*$ $4 - 6 \text{ GHz:} \le 4 \text{ mm}^*$
	uniform	grid: $\Delta z_{Zoom}(n)$	\leq 5 mm	$3 - 4 \text{ GHz:} \le 4 \text{ mm}$ $4 - 5 \text{ GHz:} \le 3 \text{ mm}$ $5 - 6 \text{ GHz:} \le 2 \text{ mm}$
Maximum zoom scan spatial resolution, normal to phantom surface	graded grid	$\Delta z_{Zoom}(1)$: between 1 st two points closest to phantom surface	\leq 4 mm	3 – 4 GHz: ≤ 3 mm 4 – 5 GHz: ≤ 2.5 mm 5 – 6 GHz: ≤ 2 mm
		∆z _{Zoom} (n>1): between subsequent points	≤1.5·∆z	Zoom(n-1)
Minimum zoom scan volume x, y, z		•	\geq 30 mm	$3 - 4 \text{ GHz} \ge 28 \text{ mm}$ $4 - 5 \text{ GHz} \ge 25 \text{ mm}$ $5 - 6 \text{ GHz} \ge 22 \text{ mm}$

Note: δ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.

When zoom scan is required and the <u>reported</u> SAR from the area scan based 1-g SAR estimation procedures of KDB 447498 is \leq 1.4 W/kg, \leq 8 mm, \leq 7 mm and \leq 5 mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz.

8.5 Volume Scan Procedures

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

8.6 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.



9. <u>Test Equipment List</u>

Manufacturan	Now of Family month	Turne /Mandal	Carial Number	Calib	ration
Manufacturer	Name of Equipment	i ype/modei	Serial Number	Last Cal.	Due Date
SPEAG	750MHz System Validation Kit	D750V3	1012	May. 16, 2014	May. 15, 2015
SPEAG	Data Acquisition Electronics	DAE4	1279	Jul. 23, 2014	Jul. 22, 2015
SPEAG	Dosimetric E-Field Probe	ES3DV3	3296	Apr. 30, 2014	Apr. 29, 2015
Wisewind	Thermometer	HTC-1	TM281	Oct. 22, 2013	Oct. 21, 2014
Anritsu	Radio Communication Analyzer	MT8820C	6201074414	Feb. 11, 2014	Feb. 10, 2015
SPEAG	Device Holder	N/A	N/A	NCR	NCR
R&S	Signal Generator	SMU200A	102502	Jul. 07, 2014	Jul. 06, 2015
SPEAG	Dielectric Probe Kit	DAKS-3.5	0004	Mar. 04, 2014	Mar. 03, 2015
Agilent	ENA Network Analyzer	E5071C	MY46316648	Feb. 07, 2014	Feb. 06, 2015
Anritsu	Power Meter	ML2495A	1036004	Aug. 09, 2014	Aug. 08, 2015
Anritsu	Power Sensor	MA2411B	1027253	Aug. 11, 2014	Aug. 10, 2015
R&S	Spectrum Analyzer	FSP 7	101131	Jul. 10, 2014	Jul. 09, 2015
Agilent	Dual Directional Coupler	778D	50422	No	te1
Woken	Attenuator 1	WK0602-XX	N/A	No	te1
PE	Attenuator 2	PE7005-10	N/A	No	te1
PE	Attenuator 3	PE7005-3	N/A	No	te1
AR	Power Amplifier	5S1G4M2	0328767	No	te1
Mini-Circuits	Power Amplifier	ZVE-3W	162601250	No	te1
Mini-Circuits	Power Amplifier	ZHL-42W+	13440021344	No	te1

General Note: 1. Prior to s

Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.



10. System Verification

10.1 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (εr)
				For Head				
750	41.1	57.0	0.2	1.4	0.2	0	0.89	41.9
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
900	40.3	57.9	0.2	1.4	0.2	0	0.97	41.5
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
2450	55.0	0	0	0	0	45.0	1.80	39.2
2600	54.8	0	0	0.1	0	45.1	1.96	39.0
				For Body				
750	51.7	47.2	0	0.9	0.1	0	0.96	55.5
835	50.8	48.2	0	0.9	0.1	0	0.97	55.2
900	50.8	48.2	0	0.9	0.1	0	1.05	55.0
1800, 1900, 2000	70.2	0	0	0.4	0	29.4	1.52	53.3
2450	68.6	0	0	0	0	31.4	1.95	52.7
2600	68.1	0	0	0.1	0	31.8	2.16	52.5

Simulating Liquid for 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

<Tissue Dielectric Parameter Check Results>

Frequency (MHz)	Tissue Type	Liquid Temp. (℃)	Conductivity (σ)	Permittivity (ε _r)	Conductivity Target (σ)	Permittivity Target (ε _r)	Delta (σ) (%)	Delta (ε _r) (%)	Limit (%)	Date
750	Head	22.5	0.898	41.005	0.89	41.90	0.90	-2.14	±5	2014/10/9
750	Body	22.4	0.967	53.627	0.96	55.50	0.73	-3.37	±5	2014/10/9



10.2 System Performance Check Results

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix A of this report.

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2014/10/9	750	Head	250	D750V3-1012	ES3DV3 - SN3296	DAE4 Sn1279	2.03	8.12	8.12	0.00
2014/10/9	750	Body	250	D750V3-1012	ES3DV3 - SN3296	DAE4 Sn1279	2.16	8.65	8.64	-0.12





Fig 8.3.1 System Performance Check Setup

Fig 8.3.2 Setup Photo



11. <u>RF Exposure Positions</u>

11.1 Ear and handset reference point

Figure 9.1.1 shows the front, back, and side views of the SAM phantom. The center-of-mouth reference point is labeled "M," the left ear reference point (ERP) is marked "LE," and the right ERP is marked "RE." Each ERP is 15 mm along the B-M (back-mouth) line behind the entrance-to-ear-canal (EEC) point, as shown in Figure 9.1.2 The Reference Plane is defined as passing through the two ear reference points and point M. The line N-F (neck-front), also called the reference pivoting line, is normal to the Reference Plane and perpendicular to both a line passing through RE and LE and the B-M line (see Figure 9.1.3). Both N-F and B-M lines should be marked on the exterior of the phantom shell to facilitate handset positioning. Posterior to the N-F line the ear shape is a flat surface with 6 mm thickness at each ERP, and forward of the N-F line the ear is truncated, as illustrated in Figure 9.1.2. The ear truncation is introduced to preclude the ear lobe from interfering with handset tilt, which could lead to unstable positioning at the cheek.



Fig 9.1.1 Front, back, and side views of SAM twin phantom



Fig 9.1.2 Close-up side view of phantom showing the ear region.



Fig 9.1.3 Side view of the phantom showing relevant markings and seven cross-sectional plane locations



11.2 Definition of the cheek position

- Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the 1. cover. If the handset can transmit with the cover closed, both configurations must be tested.
- Define two imaginary lines on the handset-the vertical centerline and the horizontal line. The vertical centerline 2. passes through two points on the front side of the handset-the midpoint of the width wt of the handset at the level of the acoustic output (point A in Figure 9.2.1 and Figure 9.2.2), and the midpoint of the width wb of the bottom of the handset (point B). The horizontal line is perpendicular to the vertical centerline and passes through the center of the acoustic output (see Figure 9.2.1). The two lines intersect at point A. Note that for many handsets, point A coincides with the center of the acoustic output; however, the acoustic output may be located elsewhere on the horizontal line. Also note that the vertical centerline is not necessarily parallel to the front face of the handset (see Figure 9.2.2), especially for clamshell handsets, handsets with flip covers, and other irregularly-shaped handsets.
- Position the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line 3. passing through points RE and LE on the phantom (see Figure 9.2.3), such that the plane defined by the vertical centerline and the horizontal line of the handset is approximately parallel to the sagittal plane of the phantom.
- Translate the handset towards the phantom along the line passing through RE and LE until handset point A touches 4 the pinna at the ERP.
- 5. While maintaining the handset in this plane, rotate it around the LE-RE line until the vertical centerline is in the plane normal to the plane containing B-M and N-F lines, i.e., the Reference Plane.
- Rotate the handset around the vertical centerline until the handset (horizontal line) is parallel to the N-F line. 6.
- While maintaining the vertical centerline in the Reference Plane, keeping point A on the line passing through RE and 7 LE, and maintaining the handset contact with the pinna, rotate the handset about the N-F line until any point on the handset is in contact with a phantom point below the pinna on the cheek. See Figure 9.2.3. The actual rotation angles should be documented in the test report.



Fig 9.2.2 Handset vertical and horizontal reference lines—"clam-shell case"



Fig 9.2.3 cheek or touch position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which establish the Reference Plane for handset positioning, are indicated.

vertical

center line w.D

> acoustic output



LAB. FCC SAR Test Report

11.3 Definition of the tilt position

- 1. Ready the handset for talk operation, if necessary. For example, for handsets with a cover piece (flip cover), open the cover. If the handset can transmit with the cover closed, both configurations must be tested.
- 2. While maintaining the orientation of the handset, move the handset away from the pinna along the line passing through RE and LE far enough to allow a rotation of the handset away from the cheek by 15°.
- 3. Rotate the handset around the horizontal line by 15°.
- 4. While maintaining the orientation of the handset, move the handset towards the phantom on the line passing through RE and LE until any part of the handset touches the ear. The tilt position is obtained when the contact point is on the pinna. See Figure 9.3.1. If contact occurs at any location other than the pinna, e.g., the antenna at the back of the phantom head, the angle of the handset should be reduced. In this case, the tilt position is obtained if any point on the handset is in contact with the pinna and a second point



Fig 9.3.1 Tilt position. The reference points for the right ear (RE), left ear (LE), and mouth (M), which define the Reference Plane for handset positioning, are indicated.

11.4 Body Worn Accessory

Body-worn operating configurations are tested with the belt-clips and holsters attached to the device and positioned against a flat phantom in a normal use configuration (see Figure 9.4). Per KDB 648474 D04v01r02, body-worn accessory exposure is typically related to voice mode operations when handsets are carried in body-worn accessories. The body-worn accessory procedures in FCC KDB 447498 D01v05r02 should be used to test for body-worn accessory SAR compliance, without a headset connected to it. This enables the test results for such configuration to be compatible with that required for hotspot mode when the body-worn accessory test separation distance is greater than or equal to that required for hotspot mode, when applicable. When the reported SAR for body-worn accessory, measured without a headset is < 1.2 W/kg, the highest reported SAR configuration for that wireless mode and frequency band should be repeated for that body-worn accessory with a handset attached to the handset.

Accessories for body-worn operation configurations are divided into two categories: those that do not contain metallic components and those that do contain metallic components and those that do contain metallic components are supplied with the device, the device is tested with only the accessory that dictates the closest spacing to the body. Then multiple accessories that contain metallic components are test with the device with each accessory. If multiple accessories share an identical metallic component (i.e. the same metallic belt-chip used with different holsters with no other metallic components) only the accessory that dictates the closest spacing to the body.



Fig 9.4 Body Worn Position



11.5 <u>Wireless Router</u>

Some battery-operated handsets have the capability to transmit and receive user through simultaneous transmission of WIFI simultaneously with a separate licensed transmitter. The FCC has provided guidance in FCC HDB Publication 941225 D06v01r01 where SAR test considerations for handsets ($L \times W \ge 9 \text{ cm} \times 5 \text{ cm}$) are based on a composite test separation distance of 10mm from the front, back and edges of the device containing transmitting antennas within 2.5cm of their edges, determined form general mixed use conditions for this type of devices. Since the hotspot SAR results may overlap with the body-worn accessory SAR requirements, the more conservative configurations can be considered, thus excluding some body-worn accessory SAR tests.

When the user enables the personal wireless router functions for the handset, actual operations include simultaneous transmission of both the WIFI transmitter and another licensed transmitter. Both transmitters often do not transmit at the same transmitting frequency and thus cannot be evaluated for SAR under actual use conditions due to the limitations of the SAR assessment probes. Therefore, SAR must be evaluated for each frequency transmission and mode separately and spatially summed with the WIFI transmitter according to FCC KDB Publication 447498 D01v05r02 publication procedures. The "Portable Hotspot" feature on the handset was NOT activated during SAR assessments, to ensure the SAR measurements were evaluated for a single transmission frequency RF signal at a time.



12. Conducted RF Output Power (Unit: dBm)

<LTE Conducted Power>

General Note:

 Anritsu MT8820C base station simulator was used to setup the connection with EUT; the frequency band, channel bandwidth, RB allocation configuration, modulation type are set in the base station simulator to configure EUT transmitting at maximum power and at different configurations which are requested to be reported to FCC, for conducted power measurement and SAR testing.

BW [MHz]	Modulation	RB Size	RB Offset	Power Low Ch. / Freg.	Power Middle Ch. / Frea.	Power High Ch. / Freg.	Tune up Limit	MPR
	Cha	nnel		23780	23790	23800	(dBm)	(dB)
	Frequen	cy (MHz)		709	710	711		
10	QPSK	1	0	22.17	22.15	22.22		
10	QPSK	1	24	22.20	22.19	22.17	24	0
10	QPSK	1	49	22.30	22.21	22.23		
10	QPSK	25	0	21.23	21.20	21.15		
10	QPSK	25	12	21.23	21.13	21.11	22	1
10	QPSK	25	24	21.14	21.17	21.14	23	1
10	QPSK	50	0	21.16	21.12	21.14		
10	16QAM	1	0	21.05	21.02	21.11		
10	16QAM	1	24	21.12	21.10	21.05	23	1
10	16QAM	1	49	21.19	21.18	21.18		
10	16QAM	25	0	20.28	20.25	20.26		
10	16QAM	25	12	20.29	20.22	20.22	22	2
10	16QAM	25	24	20.26	20.23	20.20	2	2
10	16QAM	50	0	20.22	20.18	20.20		
	Cha	nnel		23755	23790	23825	Tune up Limit	MPR
	Frequen	cy (MHz)		706.5	710	713.5	(dBm)	(dB)
5	QPSK	1	0	22.24	22.20	22.14		
5	QPSK	1	12	22.27	22.18	22.17	24	0
5	QPSK	1	24	22.26	22.27	22.21		
5	QPSK	12	0	21.10	21.22	21.09		
5	QPSK	12	6	21.21	21.13	21.20	22	4
5	QPSK	12	11	21.21	21.14	21.23	23	1
5	QPSK	25	0	21.20	21.13	21.17		
5	16QAM	1	0	21.12	21.11	21.01		
5	16QAM	1	12	21.15	21.05	21.09	23	1
5	16QAM	1	24	21.14	21.14	21.11		
5	16QAM	12	0	20.18	20.24	20.15		
5	16QAM	12	6	20.28	20.22	20.26	22	2
5	16QAM	12	11	20.28	20.21	20.24	22	2
5	16QAM	25	0	20.27	20.19	20.24		

<LTE Band 17>



13. Antenna Location





14. <u>SAR Test Results</u>

General Note:

LTE Note:

- 1. Per KDB 941225 D05v02r03, start with the largest channel bandwidth and measure SAR for QPSK with 1 RB allocation, using the RB offset and required test channel combination with the highest maximum output power for RB offsets at the upper edge, middle and lower edge of each required test channel.
- 2. Per KDB 941225 D05v02r03, 50% RB allocation for QPSK SAR testing follows 1RB QPSK allocation procedure.
- 3. Per KDB 941225 D05v02r03, For QPSK with 100% RB allocation, SAR is not required when the highest maximum output power for 100 % RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are ≤ 0.8 W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is > 1.45 W/kg, the remaining required test channels must also be tested.
- Per KDB 941225 D05v02r03, 16QAM output power for each RB allocation configuration is > not ½ dB higher than the same configuration in QPSK and the reported SAR for the QPSK configuration is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, 16QAM SAR testing is not required.
- 5. Per KDB 941225 D05v02r03, Smaller bandwidth output power for each RB allocation configuration is > not ½ dB higher than the same configuration in the largest supported bandwidth, and the reported SAR for the largest supported bandwidth is ≤ 1.45 W/kg; Per KDB 941225 D05v02r03, smaller bandwidth SAR testing is not required.

14.1 <u>Head SAR</u>

<LTE SAR>

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB offset	Test Position	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
01	LTE Band 17	10M	QPSK	1RB	49Offset	Left Cheek	23780	709	22.30	24	1.479	0.04	0.438	<mark>0.648</mark>

14.2 <u>Hotspot SAR</u>

<LTE SAR>

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB offset	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
02	LTE Band 17	10M	QPSK	1RB	49Offset	Back	1cm	23780	709	22.30	24	1.479	-0.03	0.464	<mark>0.686</mark>

14.3 Body-worn SAR

<LTE SAR>

Plot No.	Band	BW (MHz)	Modulation	RB Size	RB offset	Test Position	Gap (cm)	Ch.	Freq. (MHz)	Average Power (dBm)	Tune-Up Limit (dBm)	Tune-up Scaling Factor	Power Drift (dB)	Measured 1g SAR (W/kg)	Reported 1g SAR (W/kg)
02	LTE Band 17	10M	QPSK	1RB	49Offset	Back	1cm	23780	709	22.30	24	1.479	-0.03	0.464	<mark>0.686</mark>



15. <u>Simultaneous Transmission Analysis</u>

	Simultancous Transmission Configurations	F	Portable Handse	Note	
NO.	Simulations	Head	Body-worn	Hotspot	NOLE
1.	LTE(Data) + WLAN2.4GHz(data)	Yes	Yes	Yes	2.4GHz Hotspot
2.	LTE(Data) + Bluetooth(data)	Yes	Yes	Yes	Bluetooth Tethering

Note:

- 1. The WLAN/BT SAR results were referred to Sporton FCC SAR Report, FCC ID: NM80P9O110, Report No: FA421125, and also use perform simultaneous transmission analysis.
- 2. This device supports VoIP in GSM, WCDMA, LTE (e.g. 3rd party VoIP).
- 3. WLAN and Bluetooth share the same antenna, and cannot transmit simultaneously.
- 4. The Scaled SAR summation is calculated based on the same configuration and test position.
- 5. Per KDB 447498 D01v05r02, simultaneous transmission SAR is compliant if,
 - i) Scalar SAR summation < 1.6W/kg.

15.1 Head Exposure Conditions

- ii) SPLSR = $(SAR_1 + SAR_2)^{1.5} / (min. separation distance, mm)$, and the peak separation distance is determined from the square root of $[(x_1-x_2)^2 + (y_1-y_2)^2 + (z_1-z_2)^2]$, where (x_1, y_1, z_1) and (x_2, y_2, z_2) are the coordinates of the extrapolated peak SAR locations in the zoom scan If SPLSR ≤ 0.04 , simultaneously transmission SAR measurement is not necessary
- iii) Simultaneously transmission SAR measurement, and the reported multi-band SAR < 1.6W/kg

WWAN Band			1	2	3		
		Exposure Position	WWAN	2.4GHz WLAN	2.4GHz Bluetooth	1+2 Summed	1+3 Summed
			SAR (W/kg)	SAR (W/kg)	Estimated SAR (W/kg)	SAR (W/kg)	SAR (W/kg)
LTE	Band 17	Left Cheek	0.648	0.068	0.21	0.72	0.86

15.2 Hotspot Exposure Conditions

WWAN Band			1	2	3		
		Exposure WWAN	WWAN	2.4GHz WLAN	2.4GHz Bluetooth	1+2 Summed	1+3 Summed
			SAR (W/kg)	SAR (W/kg)	Estimated SAR (W/kg)	SAR (W/kg)	SAR (W/kg)
LTE	Band 17	Back	0.686	0.518	0.105	1.20	0.79

15.3 Body-Worn Exposure Conditions

WWAN Band			1	2	3		
		Exposure Position	WWAN	2.4GHz WLAN	2.4GHz Bluetooth	1+2 Summed	1+3 Summed
			SAR (W/kg)	SAR (W/kg)	Estimated SAR (W/kg)	SAR (W/kg)	SAR (W/kg)
LTE	Band 17	Back	0.686	0.518	0.105	1.20	0.79

Test Engineer : Ken Li.



16. <u>Uncertainty Assessment</u>

The component of uncertainly may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainly by the statistical analysis of a series of observations is termed a Type An evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in table below.

Uncertainty Distributions	Normal	Rectangular	Triangular	U-Shape
Multi-plying Factor ^(a)	1/k ^(b)	1/√3	1/√6	1/√2

- (a) standard uncertainty is determined as the product of the multiplying factor and the estimated range of variations in the measured quantity
- (b) κ is the coverage factor

Table 15.1. Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.



FCC SAR Test Report

Report No. : FA421125-01

Error Description	Uncertainty Value (±%)	Probability Distribution	Divisor	Ci (1g)	Ci (10g)	Standard Uncertainty (1g)	Standard Uncertainty (10g)
Measurement System							
Probe Calibration	6.0	Normal	1	1	1	± 6.0 %	± 6.0 %
Axial Isotropy	4.7	Rectangular	√3	0.7	0.7	± 1.9 %	± 1.9 %
Hemispherical Isotropy	9.6	Rectangular	√3	0.7	0.7	± 3.9 %	± 3.9 %
Boundary Effects	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Linearity	4.7	Rectangular	√3	1	1	± 2.7 %	± 2.7 %
System Detection Limits	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Readout Electronics	0.3	Normal	1	1	1	± 0.3 %	± 0.3 %
Response Time	0.8	Rectangular	√3	1	1	± 0.5 %	± 0.5 %
Integration Time	2.6	Rectangular	√3	1	1	± 1.5 %	± 1.5 %
RF Ambient Noise	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
RF Ambient Reflections	3.0	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
Probe Positioner	0.4	Rectangular	√3	1	1	± 0.2 %	± 0.2 %
Probe Positioning	2.9	Rectangular	√3	1	1	± 1.7 %	± 1.7 %
Max. SAR Eval.	1.0	Rectangular	√3	1	1	± 0.6 %	± 0.6 %
Test Sample Related							
Device Positioning	2.9	Normal	1	1	1	± 2.9 %	± 2.9 %
Device Holder	3.6	Normal	1	1	1	± 3.6 %	± 3.6 %
Power Drift	5.0	Rectangular	√3	1	1	± 2.9 %	± 2.9 %
Phantom and Setup							
Phantom Uncertainty	4.0	Rectangular	√3	1	1	± 2.3 %	± 2.3 %
Liquid Conductivity (Target)	5.0	Rectangular	√3	0.64	0.43	± 1.8 %	± 1.2 %
Liquid Conductivity (Meas.)	2.5	Normal	1	0.64	0.43	± 1.6 %	± 1.1 %
Liquid Permittivity (Target)	5.0	Rectangular	√3	0.6	0.49	± 1.7 %	± 1.4 %
Liquid Permittivity (Meas.)	2.5	Normal	1	0.6	0.49	± 1.5 %	± 1.2 %
Combined Standard Uncertainty	,					± 11.0 %	± 10.8 %
Coverage Factor for 95 %						K	=2
Expanded Uncertainty						± 22.0 %	± 21.5 %

Table 15.2. Uncertainty Budget for frequency range 300 MHz to 3 GHz

SPORTON LAB. FCC SAR Test Report

17. <u>References</u>

- [1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"
- [2] ANSI/IEEE Std. C95.1-1992, "IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz", September 1992
- [3] IEEE Std. 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
- [4] SPEAG DASY System Handbook
- [5] FCC KDB 248227 D01 v01r02, "SAR Measurement Procedures for 802.11 a/b/g Transmitters", May 2007
- [6] FCC KDB 447498 D01 v05r02, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", Feb 2014
- [7] FCC KDB 648474 D04 v01r02, "SAR Evaluation Considerations for Wireless Handsets", Dec 2013.
- [8] FCC KDB 941225 D05 v02r03, "SAR Evaluation Considerations for LTE Devices", Dec 2013
- [9] FCC KDB 941225 D06 v01r01, "SAR Evaluation Procedures for Portable Devices with Wireless Router Capabilities", May 2013.
- [10] FCC KDB 865664 D01 v01r03, "SAR Measurement Requirements for 100 MHz to 6 GHz", Feb 2014.
- [11] FCC KDB 865664 D02 v01r01, "RF Exposure Compliance Reporting and Documentation Considerations" May 2013.



Appendix A. Plots of System Performance Check

The plots are shown as follows.

Date: 2014/10/9

System Check_Head_750MHz_141009

DUT: D750V3-1012

Communication System: CW; Frequency: 750 MHz;Duty Cycle: 1:1 Medium: HSL_750_141009 Medium parameters used: f = 750 MHz; σ = 0.898 S/m; ϵ_r = 41.005; ρ = 1000 kg/m³ Ambient Temperature : 23.5 °C; Liquid Temperature : 22.5 °C

DASY5 Configuration

- Probe: ES3DV3 SN3296; ConvF(6.33, 6.33, 6.33); Calibrated: 2014/4/30;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1279; Calibrated: 2014/7/23
- Phantom: SAM RIGHT; Type: QD000P40CD; Serial: 1719
- Measurement SW: DASY52, Version 52.8 (7); SEMCAD X Version 14.6.10 (7164)

Configuration/Pin=250mW/Area Scan (61x61x1): Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 2.72 W/kg

Configuration/Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm,

dy=8mm, dz=5mm Reference Value = 56.702 V/m; Power Drift = -0.10 dB Peak SAR (extrapolated) = 2.96 W/kg SAR(1 g) = 2.03 W/kg; SAR(10 g) = 1.37 W/kg Maximum value of SAR (measured) = 2.35 W/kg



System Check_Body_750MHz_141009

DUT: D750V3-1012

Communication System: CW ; Frequency: 750 MHz;Duty Cycle: 1:1 Medium: MSL_750_141009 Medium parameters used: f = 750 MHz; σ = 0.967 S/m; ϵ_r = 53.627; ρ = 1000 kg/m³ Ambient Temperature : 23.4 °C; Liquid Temperature : 22.4 °C

DASY5 Configuration

- Probe: ES3DV3 SN3296; ConvF(6.46, 6.46, 6.46); Calibrated: 2014/4/30;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1279; Calibrated: 2014/7/23
- Phantom: SAM LEFT; Type: QD000P40CD; Serial: TP:1718
- Measurement SW: DASY52, Version 52.8 (7); SEMCAD X Version 14.6.10 (7164)

Configuration/Pin=250mW/Area Scan (61x61x1): Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 2.57 W/kg

Configuration/Pin=250mW/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm,

dy=8mm, dz=5mm Reference Value = 53.489 V/m; Power Drift = -0.15 dB Peak SAR (extrapolated) = 3.10 W/kg SAR(1 g) = 2.16 W/kg; SAR(10 g) = 1.44 W/kg Maximum value of SAR (measured) = 2.41 W/kg



0 dB = 2.41 W/kg = 3.82 dBW/kg



Report No. : FA421125-01

Appendix B. Plots of SAR Measurement

The plots are shown as follows.

#01_LTE Band 17_10M_QPSK_1RB_49Offset_Left Cheek_Ch23780

Communication System: LTE; Frequency: 709 MHz;Duty Cycle: 1:1 Medium: HSL_750_141009 Medium parameters used: f = 709 MHz; $\sigma = 0.857$ S/m; $\epsilon_r = 41.592$; $\rho = 1000$ kg/m³ Ambient Temperature : 23.5 °C; Liquid Temperature : 22.5 °C

DASY5 Configuration

- Probe: ES3DV3 SN3296; ConvF(6.33, 6.33, 6.33); Calibrated: 2014/4/30;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1279; Calibrated: 2014/7/23
- Phantom: SAM_RIGHT; Type: QD000P40CD; Serial: 1719
- Measurement SW: DASY52, Version 52.8 (7); SEMCAD X Version 14.6.10 (7164)

Configuration/Ch23780/Area Scan (61x111x1): Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.533 W/kg

Configuration/Ch23780/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm,

dz=5mm Reference Value = 24.000 V/m; Power Drift = 0.04 dB Peak SAR (extrapolated) = 0.728 W/kg SAR(1 g) = 0.438 W/kg; SAR(10 g) = 0.295 W/kg Maximum value of SAR (measured) = 0.521 W/kg



#02_LTE Band 17_10M_QPSK_1RB_49Offset_Back_1cm_Ch23780

Communication System: LTE; Frequency: 709 MHz;Duty Cycle: 1:1 Medium: MSL_750_141009 Medium parameters used: f = 709 MHz; σ = 0.919 S/m; ϵ_r = 54.135; ρ = 1000 kg/m³ Ambient Temperature : 23.4 °C; Liquid Temperature : 22.4 °C

DASY5 Configuration

- Probe: ES3DV3 SN3296; ConvF(6.46, 6.46, 6.46); Calibrated: 2014/4/30;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1279; Calibrated: 2014/7/23
- Phantom: SAM LEFT; Type: QD000P40CD; Serial: TP:1718
- Measurement SW: DASY52, Version 52.8 (7); SEMCAD X Version 14.6.10 (7164)

Configuration/Ch23780/Area Scan (71x121x1): Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.519 W/kg

Configuration/Ch23780/Zoom Scan (5x5x7)/Cube 0: Measurement grid: dx=8mm, dy=8mm,

dz=5mm Reference Value = 24.577 V/m; Power Drift = -0.03 dB Peak SAR (extrapolated) = 0.683 W/kg SAR(1 g) = 0.464 W/kg; SAR(10 g) = 0.334 W/kg Maximum value of SAR (measured) = 0.522 W/kg





Appendix C. DASY Calibration Certificate

The DASY calibration certificates are shown as follows.

Calibration Laboratory of Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst S Service suisse d'étalonnage С Servizio svizzero di taratura S Swiss Calibration Service

Accreditation No.: SCS 108

Certificate No: D750V3-1012_May14

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Sporton-TW (Auden) Client

Dbject	D750V3 - SN: 10	12	
Calibration procedure(s)	QA CAL-05.v9 Calibration proce	dure for dipole validation kits abo	ove 700 MHz
Calibration date:	May 16, 2014		
This calibration certificate docum	ents the traceability to nati	onal standards, which realize the physical un robability are given on the following pages ar	nits of measurements (SI). Ind are part of the certificate.
All calibrations have been conduct	cted in the closed laborator	ry facility: environment temperature (22 \pm 3)%	C and humidity < 70%.
All calibrations have been conduct	cted in the closed laborator	ry facility: environment temperature $(22 \pm 3)^{\circ_1}$	C and humidity < 70%.
All calibrations have been conduct Calibration Equipment used (M& Primary Standards	TE critical for calibration)	ry facility: environment temperature (22 ± 3)% Cal Date (Certificate No.)	C and humidity < 70%. Scheduled Calibration
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A	TE critical for calibration)	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827)	C and humidity < 70%. Scheduled Calibration Oct-14
All calibrations have been conduct Calibration Equipment used (M& Calibration Equipment used	TE critical for calibration)	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A	TE critical for calibration) ID # GB37480704 US37292783 MY41092317	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Vower meter EPM-442A Vower sensor HP 8481A Vower sensor HP 8481A Veference 20 dB Attenuator	TE critical for calibration) ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k)	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15
All calibrations have been conduct Calibration Equipment used (M& ⁻ <u>Primary Standards</u> Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Fype-N mismatch combination	TE critical for calibration) ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15
All calibrations have been conduct Calibration Equipment used (M& ⁻ Primary Standards ^P ower meter EPM-442A ^P ower sensor HP 8481A ^P OWER SENSOR H	TE critical for calibration) ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 3205 SN: 601	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Fype-N mismatch combination Reference Probe ES3DV3 DAE4	TE critical for calibration) ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 3205 SN: 601	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Type-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards	TE critical for calibration) ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 3205 SN: 601 ID #	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Fype-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards Reference R&S SMT-06	ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 601 ID # 100005	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house) 04-Aug-99 (in house check Oct-13)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check In house check: Oct-16
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Type-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards RF generator R&S SMT-06 Network Analyzer HP 8753E	ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 601 ID # 100005 US37390585 S4206	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house) 04-Aug-99 (in house check Oct-13) 18-Oct-01 (in house check Oct-13)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check In house check: Oct-16 In house check: Oct-14
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Type-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards RF generator R&S SMT-06 Network Analyzer HP 8753E	Interference ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 601 ID # 100005 US37390585 S4206	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. ES3-3205_Dec13) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house) 04-Aug-99 (in house check Oct-13) 18-Oct-01 (in house check Oct-13)	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check In house check: Oct-16 In house check: Oct-14
All calibrations have been conduct Calibration Equipment used (M& Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Fype-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards RF generator R&S SMT-06 Network Analyzer HP 8753E	ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 601 ID # 100005 US37390585 S4206	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01927) 09-Oct-13 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house) 04-Aug-99 (in house check Oct-13) 18-Oct-01 (in house check Oct-13) Function	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check In house check: Oct-16 In house check: Oct-14
All calibrations have been conduct Calibration Equipment used (M& ⁻ Primary Standards Power meter EPM-442A Power sensor HP 8481A Power sensor HP 8481A Reference 20 dB Attenuator Type-N mismatch combination Reference Probe ES3DV3 DAE4 Secondary Standards RF generator R&S SMT-06 Network Analyzer HP 8753E Calibrated by:	ID # ID # GB37480704 US37292783 MY41092317 SN: 5058 (20k) SN: 5047.2 / 06327 SN: 601 ID # 100005 US37390585 S4206	Cal Date (Certificate No.) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01827) 09-Oct-13 (No. 217-01828) 03-Apr-14 (No. 217-01918) 03-Apr-14 (No. 217-01921) 30-Dec-13 (No. ES3-3205_Dec13) 30-Apr-14 (No. DAE4-601_Apr14) Check Date (in house) 04-Aug-99 (in house check Oct-13) 18-Oct-01 (in house check Oct-13) Function Laboratory Technician	C and humidity < 70%. Scheduled Calibration Oct-14 Oct-14 Oct-14 Apr-15 Apr-15 Dec-14 Apr-15 Scheduled Check In house check: Oct-16 In house check: Oct-14

Calibration Laboratory of

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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S Swiss Calibration Service

Accreditation No.: SCS 108

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Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Additional Documentation:

d) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.8
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	750 MHz ± 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	41.9	0.89 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	40.4 ± 6 %	0.92 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

SAR result with Head TSL

SAR averaged over 1 cm ³ (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.10 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	8.12 W/kg ± 17.0 % (k=2)
SAR averaged over 10 cm ³ (10 g) of Head TSL	condition	

SAR averaged over 10 cm [°] (10 g) of Head TSL	condition	
SAR measured	250 mW input power	1.36 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	5.30 W/kg ± 16.5 % (k=2)

Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.5	0.96 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	56.8 ± 6 %	1.00 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C		

SAR result with Body TSL

SAR averaged over 1 cm ³ (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.22 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	8.65 W/kg ± 17.0 % (k=2)

SAR averaged over 10 cm^3 (10 g) of Body TSL	condition	
SAR measured	250 mW input power	1.45 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	5.68 W/kg ± 16.5 % (k=2)

Appendix

Antenna Parameters with Head TSL

Impedance, transformed to feed point	54.1 Ω + 0.8 jΩ
Return Loss	- 28.0 dB

Antenna Parameters with Body TSL

Impedance, transformed to feed point	48.2 Ω - 1.9 jΩ	
Return Loss	- 31.5 dB	

General Antenna Parameters and Design

Electrical Delay (one direction)	1.035 ns

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

Additional EUT Data

Manufactured by	SPEAG	
Manufactured on	September 29, 2009	

DASY5 Validation Report for Head TSL

Date: 16.05.2014

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 750 MHz; Type: D750V3; Serial: D750V3 - SN: 1012

Communication System: UID 0 - CW; Frequency: 750 MHz Medium parameters used: f = 750 MHz; σ = 0.92 S/m; ϵ_r = 40.4; ρ = 1000 kg/m³ Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: ES3DV3 SN3205; ConvF(6.37, 6.37, 6.37); Calibrated: 30.12.2013;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2014
- Phantom: Flat Phantom 4.9L; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

Dipole Calibration for Head Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 53.52 V/m; Power Drift = 0.01 dB Peak SAR (extrapolated) = 3.18 W/kg **SAR(1 g) = 2.1 W/kg; SAR(10 g) = 1.36 W/kg** Maximum value of SAR (measured) = 2.46 W/kg



0 dB = 2.46 W/kg = 3.91 dBW/kg

Impedance Measurement Plot for Head TSL



DASY5 Validation Report for Body TSL

Date: 15.05.2014

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 750 MHz; Type: D750V3; Serial: D750V3 - SN: 1012

Communication System: UID 0 - CW; Frequency: 750 MHz Medium parameters used: f = 750 MHz; $\sigma = 1$ S/m; $\epsilon_r = 56.8$; $\rho = 1000$ kg/m³ Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: ES3DV3 SN3205; ConvF(6.13, 6.13, 6.13); Calibrated: 30.12.2013;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 30.04.2014
- Phantom: Flat Phantom 4.9L; Type: QD000P49AA; Serial: 1001
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

Dipole Calibration for Body Tissue/Pin=250 mW, d=15mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 52.79 V/m; Power Drift = 0.00 dB Peak SAR (extrapolated) = 3.30 W/kg **SAR(1 g) = 2.22 W/kg; SAR(10 g) = 1.45 W/kg** Maximum value of SAR (measured) = 2.60 W/kg



0 dB = 2.60 W/kg = 4.15 dBW/kg

Impedance Measurement Plot for Body TSL



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Client Sporton-TW (Auden)

Certificate No: DAE4-1279_Jul14

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Swiss Calibration Service

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Accreditation No.: SCS 108

CALIBRATION C	ERTIFICATE				
Object	DAE4 - SD 000 D04 BM - SN: 1279				
Calibration procedure(s)	n procedure(s) QA CAL-06.v26 Calibration procedure for the data acquisition electronics (DAE)				
Calibration date:	July 23, 2014				
This calibration certificate docume The measurements and the uncer All calibrations have been conduc Calibration Equipment used (M&T	ents the traceability to natio rtainties with confidence pro ted in the closed laboratory E critical for calibration)	nal standards, which realize the physical unit obability are given on the following pages and r facility: environment temperature (22 ± 3)°C	ts of measurements (SI). d are part of the certificate. c and humidity < 70%.		
Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration		
Keithley Multimeter Type 2001	SN: 0810278	01-Oct-13 (No:13976)	Oct-14		
Secondary Standards	iD #	Check Date (in house)	Scheduled Check		
Auto DAE Calibration Unit Calibrator Box V2.1	SE UWS 053 AA 1001 SE UMS 006 AA 1002	07-Jan-14 (in house check) 07-Jan-14 (in house check)	In house check: Jan-15 In house check: Jan-15		
Calibrated by:	Name Dominique Steffen	Function Technician	Signature		
Approved by:	Fin Bomholt	Deputy Technical Manager	iv. for the		
			lssued: July 23, 2014		
Inis calibration certificate shall no	t be reproduced except in f	ull without written approval of the laboratory.			

Calibration Laboratory of

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Service suisse d'étalonnage

С Servizio svizzero di taratura S

Swiss Calibration Service

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Glossarv DAE data acquisition electronics Connector angle

information used in DASY system to align probe sensor X to the robot coordinate system.

Methods Applied and Interpretation of Parameters

- DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle*: The angle of the connector is assessed measuring the angle ٠ mechanically by a tool inserted. Uncertainty is not required.
- ٠ The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
 - DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
 - *Common mode sensitivity:* Influence of a positive or negative common mode voltage on the differential measurement.
 - Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
 - AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage
 - Input Offset Measurement. Output voltage and statistical results over a large number of zero voltage measurements.
 - Input Offset Current: Typical value for information; Maximum channel input offset current, not considering the input resistance.
 - Input resistance: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
 - Low Battery Alarm Voltage: Typical value for information. Below this voltage, a battery alarm signal is generated.
 - Power consumption: Typical value for information. Supply currents in various operating modes.

DC Voltage Measurement

A/D	 Converter Resolution 	on nominal			
	High Range:	1LSB =	6.1µV,	full range =	-100+300 mV
	Low Range:	1LSB =	61nV,	full range =	-1+3mV
DAS	SY measurement para	ameters: Auto Z	ero Time: 3 se	c; Measuring ti	me: 3 sec

Calibration Factors	X	Y	z
High Range	405.154 ± 0.02% (k=2)	404.952 ± 0.02% (k=2)	404.290 ± 0.02% (k=2)
Low Range	3.96895 ± 1.50% (k=2)	3.97337 ± 1.50% (k=2)	3.99665 ± 1.50% (k=2)

Connector Angle

Connector Angle to be used in DASY system 233.0 ° ± 1 °

Appendix (Additional assessments outside the scope of SCS108)

1. DC Voltage Linearity

High Range		Reading (µV)	Difference (µV)	Error (%)
Channel X	+ Input	199996.28	-0.75	-0.00
Channel X	+ Input	20002.78	2.04	0.01
Channel X	- Input	-20000.20	0.82	-0.00
Channel Y	+ input	199997.56	0.25	0.00
Channel Y	+ Input	20000.12	-0.56	-0.00
Channel Y	- Input	-20001.15	0.01	-0.00
Channel Z	+ Input	199998.60	1.26	0.00
Channel Z	+ Input	20000.98	0.35	0.00
Channel Z	- Input	-20000.67	0.58	-0.00

Low Range		Reading (μV)	Difference (µV)	Error (%)
Channel X	+ Input	1999.93	-0.74	-0.04
Channel X	+ Input	202.16	1.13	0.56
Channel X	- Input	-198.59	0.25	-0.12
Channel Y	+ input	1999.39	-1.09	-0.05
Channel Y	+ Input	199.68	-1.31	-0.65
Channel Y	- Input	-199.90	-1.07	0.54
Channel Z	+ Input	2000.69	0.25	0.01
Channel Z	+ Input	200.64	-0.19	-0.09
Channel Z	- Input	-200.22	-1.14	0.57

2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	18.54	17.03
	- 200	-15.78	~17.00
Channel Y	200	8.16	8.41
	- 200	-10.99	-11.31
Channel Z	200	-1.02	-1.23
	- 200	0.05	-0.13

3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (μV)	Channel Z (μV)
Channel X	200	-	1.69	-2.74
Channel Y	200	7.73	-	3.46
Channel Z	200	9.61	5.39	-

4. AD-Converter Values with inputs shorted

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	High Range (LSB)	Low Range (LSB)	
Channel X	15684	16014	
Channel Y	16466	15878	
Channel Z	15939	15766	

5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec Input 10MΩ

	Average (µV)	min. Offset (μV) max. Offset (μV)		Std. Deviation (µV)	
Channel X	1.40	0.19	2.56	0.57	
Channel Y	-1.38	-3.08	1.77	0.77	
Channel Z	0.15	-1.11	1.52	0.50	

6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

	Zeroing (kOhm)	Measuring (MOhm)		
Channel X	200	200		
Channel Y	200	200		
Channel Z	200	200		

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)
Supply (+ Vcc)	+7.9
Supply (- Vcc)	-7.6

9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)	
Supply (+ Vcc)	+0.01	+6	+14	
Supply (- Vcc)	-0.01	-8	-9	



校 准 CNAS L0442

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Certificate	No:	Z14-97025
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CALIBRATION CERTIFICATE

Auden

Object

ES3DV3 - SN:3296

Calibration Procedure(s)

TMC-OS-E-02-195 Calibration Procedures for Dosimetric E-field Probes

Calibration date:

April 30, 2014

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

• •	•					
Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration			
Power Meter NRP2	101919	01-Jul-13 (TMC, No.JW13-044)	Jun-14			
Power sensor NRP-Z91	101547	01-Jul-13 (TMC, No.JW13-044)	Jun-14			
Power sensor NRP-Z91	sensor NRP-Z91 101548 01-Jui-13 (TMC, No.JW13-044) Jun-14					
Reference10dBAttenuator	BT0520	12-Dec-12(TMC,No.JZ12-867)	Dec-14			
Reference20dBAttenuator	BT0267	12-Dec-12(TMC,No.JZ12-866)	Dec-14			
Reference Probe EX3DV4	SN 3846	03-Sep-13(SPEAG,No.EX3-3846_Sep13)	Sep-14			
DAE4	SN 1331	23-Jan-13 (SPEAG, DAE4-1331_Jan14)	Jan -15			
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration			
SignalGeneratorMG3700A	6201052605	01-Jul-13 (TMC, No.JW13-045)	Jun-14			
Network Analyzer E5071C MY461106		15-Feb-14 (TMC, No.JZ14-781)	Feb-15			
	Name	Function	Signature			
Calibrated by:	Yu Zongying	SAR Test Engineer	2M			
Reviewed by:	Qi Dianyuan	SAR Project Leader	-03			
Approved by: Lu Bingsong Deputy Director of the laboratory		32,1955 丁3				
		Issued: May	4,2014			
This calibration certificate sh	all not be reproc	luced except in full without written approval o	of the laboratory.			



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Glossary:

TSL	tissue simulating liquid
NORMx,y,z	sensitivity in free space
ConvF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C.D	modulation dependent linearization parameters
Polarization Φ	Φ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i
	$\theta=0$ is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- NORMx, y, z: Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not effect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z* frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- *DCPx,y,z*: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- *Ax,y,z; Bx,y,z; Cx,y,z;VRx,y,z*:A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).



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Probe ES3DV3

SN: 3296

Calibrated: April 30, 2014

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)

Certificate No: Z14-97025



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DASY – Parameters of Probe: ES3DV3 - SN: 3296

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm(µV/(V/m)²) ^A	1.17	0.64	0.75	±10.8%
DCP(mV) ^B	104.3	100.2	105.3	

Modulation Calibration Parameters

UID	Communication		A	В	С	D	VR	Unc ^E
	System Name	i	dB	dBõV		dB	mν	(k=2)
0	CW	X	0.0	0.0	1.0	0.00	270.8	±2.8%
		Y	0.0	0.0	1.0		18 1 .1	
	: 	Z	0.0	0.0	1.0		204.7	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

^A The uncertainties of Norm X, Y, Z do not affect the E^2 -field uncertainty inside TSL (see Page 5 and Page 6). ^B Numerical linearization parameter: uncertainty not required.

^E Uncertainly is determined using the max, deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



DASY – Parameters of Probe: ES3DV3 - SN: 3296

f [MHz] ^C	Relative Permittivity ^F	Conductivity (S/m) [⊦]	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	41.9	0.89	6.33	6.33	6.33	0.50	1.36	\pm 12%
835	41.5	0.90	6.29	6.29	6.29	0.39	1.48	±12%
900	41.5	0.97	6.30	6.30	6.30	0.46	1.43	\pm 12%
1450	40.5	1.20	5.90	5.90	5.90	0.37	1.55	±12%
1750	40.1	1.37	5.54	5.54	5.54	0.42	1.80	\pm 12%
1900	40.0	1.40	5.31	5.31	5.31	0.34	2.30	\pm 12%
1950	40.0	1.40	5.01	5.01	5.01	0.35	2.32	±12%
2300	39.5	1.67	4.95	4.95	4.95	0.56	1.51	±12%
2450	39.2	1.80	4.71	4.71	4 .71	0.64	1.39	±12%
2600	39.0	1.96	4.50	4.50	4.50	0.67	1.39	±12%

Calibration Parameter Determined in Head Tissue Simulating Media

^c Frequency validity of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.
 ^F At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.
 ^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



DASY – Parameters of Probe: ES3DV3 - SN: 3296

f [MHz] ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unct. (k=2)
750	55.5	0.96	6.46	6.46	6.46	0.39	1.65	±12%
835	55.2	0.97	6.23	6.23	6.23	0.36	1.66	±12%
900	55.0	1.05	6.26	6.26	6.26	0.58	1.35	±12%
1450	54.0	1.30	5.39	5.39	5.39	0.42	1.64	±12%
1750	53.4	1.49	5.29	5.29	5.29	0.55	1.57	\pm 12%
1900	53.3	1.52	4.91	4.91	4.91	0.58	1.56	\pm 12%
1950	53.3	1.52	4.64	4.64	4.64	0.43	1.99	±12%
2300	52.9	1.81	4.61	4.61	4.61	0.84	1.26	±12%
2450	52.7	1.95	4.45	4.45	4.45	0.73	1.36	±12%
2600	52.5	2.16	4.25	4.25	4.25	0.86	1.23	±12%

Calibration Parameter Determined in Body Tissue Simulating Media

^c Frequency validity of ± 100 MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

^F At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.
 ^G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ±7.5% (k=2)



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Receiving Pattern (Φ), θ=0°

f=600 MHz, TEM









Uncertainty of Axial Isotropy Assessment: ±0.9% (k=2)





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Conversion Factor Assessment



Deviation from Isotropy in Liquid





Certificate No: Z14-97025



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DASY - Parameters of Probe: ES3DV3 - SN: 3296

Other Probe Parameters

Sensor Arrangement	Triangular		
Connector Angle (°)	50.6		
Mechanical Surface Detection Mode	enabled		
Optical Surface Detection Mode	disable		
Probe Overall Length	337mm		
Probe Body Diameter	10mm		
Tip Length	10mm		
Tip Diameter	4mm		
Probe Tip to Sensor X Calibration Point	2mm		
Probe Tip to Sensor Y Calibration Point	2mm		
Probe Tip to Sensor Z Calibration Point	2mm		
Recommended Measurement Distance from Surface	3mm		