



# WINNF-TS-0122 Test Report

FCC ID	: MXF-WLTGG1334846
Equipment	: Cat 12/13 LTE TDD CBRS+B46 HE Outdoor CPE
Brand Name	: Gemtek
Model Name	: WLTGG-133
Applicant	: Gemtek Technology Co., Ltd.
	No. 15-1 Zhonghua Road, Hsinchu Industrial Park, Hukou, Hsinchu, Taiwan, 30352.
Standard	: WINNF-TS-0122 Version V1.0.2

The product was received on Jun. 22, 2021 and testing was started from Jun. 28, 2021 and completed on Jun. 28, 2021. We, Sporton International Inc. EMC & Wireless Communications Laboratory, would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.2 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International Inc. EMC & Wireless Communications Laboratory, the test report shall not be reproduced except in full.

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Approved by: Jones Tsai

Sporton International Inc. EMC & Wireless Communications Laboratory No. 52, Huaya 1st Rd., Guishan Dist., Taoyuan City, Taiwan (R.O.C.)

Page Number: 1 of 52Issued Date: Aug. 09, 2021Report Version: 01



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# History of this test report

Report No.	Version	Description	Issued Date
FG162214	01	Initial issue of report	Aug. 09, 2021

#### Declaration of Conformity:

The test results with all measurement uncertainty excluded are presented in accordance with the regulation limits or requirements declared by manufacturers.

#### Comments and Explanations:

The declared of product specification for EUT presented in the report are provided by the manufacturer, and the manufacturer takes all the responsibilities for the accuracy of product specification.

Reviewed by: Thomas Chen Report Producer: Lucy Wu



## 1. Administration Data

#### 1.1 Testing Laboratory

Test Site	Sporton International Inc. EMC & Wireless Communications Laboratory
Test Site Location	No.52, Huaya 1st Rd., Guishan Dist., Taoyuan City 333, Taiwan (R.O.C.) TEL: +886-3-327-3456 FAX: +886-3-328-4978
Test Site No.	Sporton Site No. DFS02-HY
Test Engineer	Thomas Chen
Temperature	22 ~ 26 °C
Relative Humidity	53 ~ 58 %

FCC Designation No.: TW1190

# 2. General Information

# 2.1 Description of Equipment Under Test (EUT)

Product Feature & Specification		
EUT Type Cat 12/13 LTE TDD CBRS+B46 HE Outdoor CPE		
Brand Name Gemtek		
Model Name	WLTGG-133	
FCC ID	MXF-WLTGG1334846	
Professional Installation	<ul><li>Yes</li><li>□ No</li></ul>	
Unit Under Test Type	<ul> <li>BTS-CBSD product (Base Station)</li> <li>CPE-CBSD product (Customer Premises Equipment)</li> </ul>	
UUT Category	<ul> <li>□ Category A</li> <li>■ Category B</li> </ul>	
Domain Proxy support	<ul> <li>□ UUT with Domain Proxy</li> <li>■ UUT without Domain Proxy</li> </ul>	
UUT Antenna Gain	13.41 dBi	
UUT HW Version	MB:V01, RF:V01	
UUT FW Version	01.02.01.039	
UUT SW Version	01.02.01.039	
UUT Serial Number	GMH210613000001	



## 2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	PASS
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	PASS
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	PASS
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	PASS
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	PASS
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	PASS
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	B Heartbeat responseCode=105 (DEREGISTER)	
6.4.4.2.2	WINNF.FT.C.HBT.4	F.C.HBT.4     Heartbeat responseCode=500 (TERMINATED_GRANT)	
64400	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First	DASS
6.4.4.2.3		Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in	PASS
0.4.4.2.4		Subsequent Heartbeat Response	PA33
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	PASS
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	PASS
6.6.4.2.1 WINNF.FT.C.RLQ.3 Unsuccessful Relinquishment, response		Unsuccessful Relinquishment, responseCode=102	PASS
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	PASS
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	PASS
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	PASS



Section	Test Case ID Test Case Title		Test Result
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	PASS
6.8.4.1.1	1 WINNF.FT.C.SCS.1 Successful TLS connection between UUT and SAS Harness		PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	NF.FT.C.SCS.3 TLS failure due to expired server certificate	
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS
7.1.4.1.1	1.4.1.1 WINNF.PT.C.HBT UUT RF Transmit Power Measurement		PASS



## 2.3 Time test for getting Grant Summary

Trail	Time limit	Monitoring time	Measured result	Verdict
1	1 second	10 seconds	61.6994ms	PASS
2	10 seconds	300 seconds	681ms	PASS
3	20 seconds	3600 seconds	6.552s	PASS

#### 2.4 Support Equipment

Name	Brand Name	Type/Model	Serial Number	FCC ID
Q710	Ruckus	P01-Q710-US02	991929000175	S9GQ710US02

#### 2.5 Test Equipment List

Nama	Name Brand Name Type/Model Serial Number	Calibration			
Name		i ype/wodei	Serial Number	Last Cal.	Due Date
Spectrum Analyzer	Keysight	N9010A	MY57120184	Nov. 17, 2020	Nov. 16, 2021

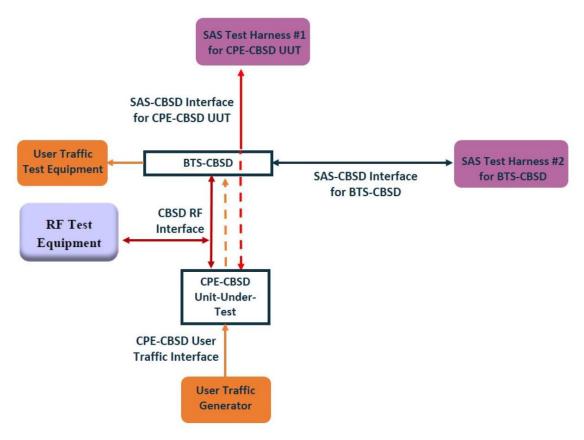
# 3. Measurement Environment

Measurement Environment Information		
SAS Test Harness version	1.0.0.3	
Operating System	Windows 10	
TLS version	V 1.2	
Python version	V 2.7	

Conditional Test Case		
Support (Yes / No)	Condition	Definition
Yes	C1	Mandatory for UUT which supports multi-step registration message
		Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A
No	C2	devices which determine all registration information, including location, without CPI intervention.
Yes	C3	Mandatory for UUT which supports single-step registration containing CPIsigned data in the registration message.
No	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type
No	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.
Yes	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.



#### 3.1 Test configuration without Domain Proxy



CPE-CBSD as UUT, BTS-CBSD direct communication.



#### 3.2 Standards

[n.1]. FCC KDB 940660 D02 CPE-CBSD Handshake Procedures v02, 22 October 2019
[n.2]. WINNF-TS-0122 Version 1.0.2, "Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)", 25 November 2020

[n.3]. WINNF-TS-0016 Version 1.2.6, "SAS to CBSD Technical Specification", 25 November 2020

#### 3.3 **Protocol test procedure**

The test cases for SAS<->CBSD protocol in [n.2] apply for CPE-CBSD device type. Following the [n.1], when running the test cases in [n.2] for CPE-CBSD device type, verify that:

- 1. CPE-CBSD can begin transmitting its RF only after receiving radio signal from its compatible BTS-CBSD.
- 2. For all CPE-CBSD RF transmissions, the CPE-CBSD UUT radio frequency range and bandwidth are less or equal to the frequency range and bandwidth of its compatible BTS-CBSD.
- 3. Judging the last execution step appearing in [n.2] with "User data traffics" instead of "RF transmission."

#### 3.4 Time test for getting Grant Procedure

Use the WinnForum SAS Harness run test case WINNF.FT.C.GRA.1. Without answering the last question in WINNF.FT.C.GRA.1 will keep UUT's grant request being rejected, then measure the time.



## 4. Protocol Test Results

# 4.1 [WINNF.FT.C.REG.1] Multi-Step registration

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with the SAS Test Harness	
	UUT is in the Unregistered state	
	CBSD sends correct Registration request information, as specified in [n.5],	
	to the SAS Test Harness:	
	The required userId, fccId and cbsdSerialNumber registration	
	parameters shall be sent from the CBSD and conform to proper	
2	format and acceptable ranges.	PASS
2	Any REG-conditional or optional registration parameters that may	1700
	be included in the message shall be verified that they conform to	
	proper format and are within acceptable ranges.	
	Note: It is outside the scope of this document to test the Registration	
	information that is supplied via another means.	
	SAS Test Harness sends a CBSD Registration Response as	
	follows:	
3	- cbsdld = C	
	<ul> <li>measReportConfig shall not be included</li> </ul>	
	– responseCode = 0	
4	After completion of step 3, SAS Test Harness will not provide any	
	positive response ( <i>responseCode</i> =0) to further request messages from the	
	UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after	
	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	



# 4.2 [WINNF.FT.C.REG.5] Single-Step registration for CBSD with CPI signed data

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
1	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
	All of the required and REG-Conditional parameters shall be	
	configured and CPI signature provided	
	CBSD sends Registration request to the SAS Test Harness:	
	The required userId, fccId and cbsdSerialNumber and REG-	
2	Conditional cbsdCategory, airInterface, measCapability and	PASS
	cpiSignatureData registration parameters shall be sent from the	
	CBSD and conform to proper format and acceptable ranges.	
	Any optional registration parameters that may be included in the	
	message shall be verified that they conform to proper format and are	
	within acceptable ranges.	
	SAS Test Harness sends a CBSD Registration Response as	
	follows:	
3	- cbsdld = C	
	<ul> <li>measReportConfig shall not be included.</li> </ul>	
	– responseCode = 0	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response ( <i>responseCode</i> =0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	



## 4.3 [WINNF.FT.C.REG.7] Registration due to change of an installation parameter

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	<ul> <li>UUT has successfully completed SAS Discovery and</li> </ul>	
	Authentication with SAS Test Harness	
2	UUT has successfully registered with SAS Test Harness	
	Change an installation parameters at the UUT (time T)	
3	Tester needs to record the current time at which the parameter change is	
	executed.	
	Monitor the SAS-CBSD interface.	
4	UUT sends a deregistrationRequest to the SAS Test Harness	PASS
	The deregistration request shall be sent within (T + 60 seconds) from step 3.	



# 4.4 [WINNF.FT.C.REG.8] Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results	
	Ensure the following conditions are met for test entry:		
1	<ul> <li>UUT has successfully completed SAS Discovery and</li> </ul>		
	Authentication with SAS Test Harness		
	UUT is in the Unregistered state		
2	CBSD sends a Registration request to SAS Test Harness.		
	SAS Test Harness rejects the request by sending a CBSD Registration		
3	Response as follows:		
	<ul> <li>SAS response does not include <i>cbsdld</i></li> </ul>		
	– responseCode = R		
	After completion of step 3, SAS Test Harness will not provide any positive		
4	response (responseCode=0) to further request messages from the UUT.		
	Monitor the RF output of the UUT from start of test until 60 seconds after		
5	Step 3 is complete. This is the end of the test. Verify:	PASS	
	UUT shall not transmit RF		



# 4.5 [WINNF.FT.C.REG.10] Pending registration (responseCode 200)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	
2	CBSD sends a Registration request to SAS Test Harness.	
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration</li> <li>Response as follows:</li> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =200) to further request messages from the UUT.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



# 4.6 [WINNF.FT.C.REG.12] Invalid parameter (responseCode 103)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
	<ul> <li>SAS response does not include <i>cbsdld</i></li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=103) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	



## 4.7 [WINNF.FT.C.REG.14] Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	
2	CBSD sends a Registration request to SAS Test Harness.	
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration</li> <li>Response as follows: <ul> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul> </li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =101) to further request messages from the UUT.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



## 4.8 [WINNF.FT.C.REG.16] Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	
2	CBSD sends a Registration request to SAS Test Harness.	
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration</li> <li>Response as follows: <ul> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul> </li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =100) to further request messages from the UUT.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



# 4.9 [WINNF.FT.C.REG.18] Group Error (responseCode 201)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	
2	CBSD sends a Registration request to SAS Test Harness.	
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration</li> <li>Response as follows: <ul> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul> </li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =201) to further request messages from the UUT.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



#### 4.10 [WINNF.FT.C.REG.20] Category A CBSD location update

This section is specific to Category A CBSDs that do not require professional installation. The requirement is for the Category A (non-professionally installed) to report to the SAS any location change exceeding a distance of 50m horizontally or 3m vertically within a 60 second window. It is left to the CBSD vendor and certification lab to generate the required evidence showing the UUT meets the requirement.



## 4.11 [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results	
	Ensure the following conditions are met for test entry:		
1	<ul> <li>UUT has registered successfully with SAS Test Harness, with</li> </ul>		
	cbsdld = C		
2	UUT sends valid Grant Request.		
	SAS Test Harness sends a Grant Response message, including		
3	• cbsdld=C		
	• responseCode = R		
4	After completion of step 3, SAS Test Harness will not provide any positive		
4	response (responseCode=0) to further request messages from the UUT.		
	Monitor the RF output of the UUT from start of test until 60 seconds after		
5	Step 3 is complete. This is the end of the test. Verify:	PASS	
	UUT shall not transmit RF		

# 4.12 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT\_CONFLICT)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	<ul> <li>UUT has registered successfully with SAS Test Harness, with cbsdld = C</li> </ul>	
2	UUT sends valid Grant Request.	
	SAS Test Harness sends a Grant Response message, including	
3	• cbsdld=C	
	<ul> <li>responseCode = R</li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=401) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

## 4.13 [WINNF.FT.C.HBT.1] Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness, with <i>cbsdld</i> = C</li> </ul>	
2	<ul> <li>UUT sends a message:</li> <li>If message is type Spectrum Inquiry Request, go to step 3, or</li> <li>If message is type Grant Request, go to step 5</li> </ul>	
3	<ul> <li>UUT sends Spectrum Inquiry Request. Validate:</li> <li><i>cbsdld</i> = C</li> <li>List of frequencyRange objects sent by UUT are within the CBRS frequency range</li> </ul>	PASS
4	<ul> <li>SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters:</li> <li><i>cbsdId</i> = C</li> <li>availableChannel is an array of availableChannel objects</li> <li><i>responseCode</i> = 0</li> </ul>	
5	<ul> <li>UUT sends Grant Request message. Validate:</li> <li><i>cbsdld</i> = C</li> <li>maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96</li> <li>operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band</li> </ul>	PASS
6	<ul> <li>SAS Test Harness sends a Grant Response message, including the parameters:</li> <li><i>cbsdId</i> = C</li> <li><i>grantId</i> = G = a valid grant ID</li> <li>grantExpireTime = UTC time greater than duration of the test</li> <li><i>responseCode</i> = 0</li> </ul>	
7	<ul> <li>UUT sends a first Heartbeat Request message.</li> <li>Verify Heartbeat Request message is formatted correctly, including: <ul> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> </ul>	PASS

#	Test Execution Steps	Results
	SAS Test Harness sends a Heartbeat Response message, with the	
	following parameters:	
8	• $cbsdld = C$	
	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	<ul> <li>responseCode = 0</li> </ul>	
	For further Heartbeat Request messages sent from UUT after completion	
	of step 8, validate message is sent within latest specified heartbeatInterval,	
	and:	
	• $cbsdld = C$	
	• grantId = G	
9	<ul> <li>operationState = "AUTHORIZED"</li> </ul>	PASS
	and SAS Test Harness responds with a Heartbeat Response message	17,00
	including the following parameters:	
	• $cbsdld = C$	
	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	<ul> <li>responseCode = 0</li> </ul>	
	Monitor the RF output of the UUT from start of test until UUT	
	transmission commences. Verify:	
10	UUT does not transmit at any time prior to completion of the first	DACC
10	heartbeat response	PASS
	UUT transmits after step 8 is complete, and its transmission is	
	limited to within the bandwidth range F.	



# 4.14 [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li><i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: <i>cbsdld</i> = C <i>grantld</i> = G <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = Current UTC time • responseCode = 105 (DEREGISTER)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	PASS



# 4.15 [WINNF.FT.C.HBT.4] Heartbeat responseCode=500 (TERMINATED\_GRANT)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Ensure Heartbeat Request message is sent within latest specified</li> <li>heartbeatInterval, and is formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantId</i> = G</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>transmitExpireTime</i> = T = current UTC time</li> <li><i>responseCode</i> = 500 (TERMINATED_GRANT)</li> </ul>	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	PASS

## 4.16 [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in First Heartbeat Response

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>	
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: • cbsdld = C • grantld = G • operationState = "GRANTED"	PASS
3	<pre>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</pre>	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	<ul> <li>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</li> <li>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: <ul> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> <li>UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify: <ul> <li>UUT does not transmit at any time</li> </ul> </li> </ul></li></ul>	PASS

# 4.17 [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in Subsequent Heartbeat Response

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li><i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantId</i> = G</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = current UTC time • responseCode = 501 (SUSPENDED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	



#	Test Execution Steps	Results
	Monitor the SAS-CBSD interface. Verify either A OR B occurs:	
	A. UUT sends a Heartbeat Request message. Ensure message is	
	sent within latest specified heartbeatInterval, and is correctly	
	formatted with parameters:	
	• $cbsdld = C$	PASS
	• $grantId = G$	
5	<ul> <li>operationState = "GRANTED"</li> </ul>	
5	B. UUT sends a Relinquishment Request message. Ensure	
	message is correctly formatted with parameters:	
	• $cbdsId = C$	
	• grantId = G	
	Monitor the RF output of the UUT. Verify:	
	<ul> <li>UUT shall stop transmission within (T + 60 seconds) of</li> </ul>	
	completion of step 3	



# 4.18 [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC\_OP\_PARAM)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> ,and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = Current UTC Time</li> <li>responseCode = 502 (UNSYNC_OP_PARAM)</li> </ul>	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	<ul> <li>Monitor the SAS-CBSD interface. Verify:</li> <li>UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters:         <ul> <li>cbdsld = C</li> <li>grantld = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify:         <ul> <li>UUT shall stop transmission within (T+60) seconds of completion of step 3.</li> </ul> </li> </ul>	PASS

N LAB.

## 4.19 [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in GRANTED, but not AUTHORIZED state (i.e. has not</li> </ul>	
2	<ul> <li>performed its first Heartbeat Request)</li> <li>UUT sends a Heartbeat Request message.</li> <li>Ensure Heartbeat Request message is sent within latest specified</li> <li><i>heartbeatInterval</i>, and is formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>operationState</i> = "GRANTED"</li> </ul>	PASS
3	After completion of Step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	
4	<ul> <li>Monitor the RF output of the UUT from start of test to 60 seconds after step 3.</li> <li>Verify:</li> <li>At any time during the test, UUT shall not transmit on RF interface</li> </ul>	PASS

## 4.20 [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Verify Heartbeat Request message issent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li><i>responseCode</i> = 0</li> </ul>	
4	After completion of Step 3, SAS Test Harness does not respond to any further messages from UUT	
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the transmitExpireTime sent in Step 3.</li> </ul>	PASS

## 4.21 [WINNF.FT.C.HBT.11] Successful Grant Renewal in Heartbeat Test Case

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface.</li> <li>Grant has the following parameters at the start of the test: <ul> <li><i>grantExpireTime</i> = UTC time equal to time at start of test + 300 seconds = Tgrant_expire</li> <li><i>transmitExpireTime</i> = UTC time equal to time at start of test + 200 seconds</li> </ul> </li> </ul>	
	<ul> <li>heartbeatInterval = 60 seconds</li> </ul>	
2	UUT sends a Heartbeat Request message. If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.	
3	<ul> <li>Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantId</i> = G</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
4	SAS Test Harness sends a Heartbeat Response message, with the following parameters: <ul> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>transmitExpireTime</i> = current UTC + 200 seconds</li> <li><i>grantExpireTime</i> = same as Step 1</li> <li><i>responseCode</i> = 0</li> </ul>	
5	Go to Step 2	



#	Test Execution Steps	Results
	Verify Heartbeat Request message is sent within the latest specified	
6	heartbeatInterval, and is formatted correctly, including:	PASS
Ŭ	• $cbsdld = C$	17,00
	• grantId = G	
	<ul> <li>operationState = "AUTHORIZED"</li> </ul>	
	• grantRenew = TRUE	
	SAS Test Harness sends a Heartbeat Response message, with the	
	following parameters:	
	• $cbsdld = C$	
7	• grantld = G	
	<ul> <li>grantExpireTime = UTC time set far in the future</li> </ul>	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	• responseCode = 0	
	Continue to respond to any subsquentHeartbeat Request from CBSD with	
	Heartbeat Response with the following parameters:	
8	• $cbsdld = C$	
0	• grantId = G	
	<ul> <li>transmitExpireTime = same as Step 7</li> </ul>	
	<ul> <li>responseCode = 0</li> </ul>	
	Monitor RF transmission of UUT from start of test until Tgrant_expire	
9	+ 60 seconds and ensure UUT continues to transmit throughout the time	PASS
	period.	



## 4.22 [WINNF.FT.C.RLQ.1] Successful Relinquishment

#	Test Execution Steps	Results
1	<ul> <li>First Execution Steps</li> <li>Ensure the following conditions are met for test entry: <ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> </li> <li>Invoke trigger to relinquish UUT Grant from the SAS Test Harness</li> </ul>	
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: • cbsdld = C • grantld = G	PASS
3	<ul> <li>SAS Test Harness shall approve the request with a Relinquishment</li> <li>Response message with parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>responseCode</i> = 0</li> </ul>	
4	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</li> </ul>	PASS



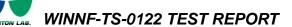
## 4.23 [WINNF.FT.C.RLQ.3] Unsuccessful Relinquishment, responseCode=102

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	<ul> <li>UUT has successfully completed SAS Discovery and</li> </ul>	
	Authentication with SAS Test Harness	
1	<ul> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> </ul>	
	<ul> <li>UUT has received a valid grant with grantId = G</li> </ul>	
	UUT is in Grant State AUTHORIZED and is actively	
	transmitting within the bounds of its grant.	
	Invoke trigger to Relinquish UUT Grant from the SAS Test Harness	
	UUT sends a Relinquishment Request message. Verify message contains	
2	all required parameters properly formatted, and specifically:	
2	• $cbsdld = C$	
	• grantId = G	
	SAS Test Harness shall send a Relinquishment Response message with	
	parameters:	
3	• $cbsdld = C$	
	No grantId	
	<ul> <li>responseCode = R</li> </ul>	
	After completion of step 3, SAS Test Harness will not provide any	
4	positive response ( <i>responseCode</i> =0) to further request messages from the	
	UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
5	UUT stopped RF transmission at any time between triggering the	17.00
	relinquishment and UUT sending the relinquishment request	



## 4.24 [WINNF.FT.C.RLQ.5] Unsuccessful Relinquishment, responseCode=103

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
1	<ul> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> </ul>	
	<ul> <li>UUT has received a valid grant with grantId = G</li> </ul>	
	UUT is in Grant State AUTHORIZED and is actively	
	transmitting within the bounds of its grant.	
	Invoke trigger to Relinquish UUT Grant from the SAS Test Harness	
	UUT sends a Relinquishment Request message. Verify message contains	
2	all required parameters properly formatted, and specifically:	
2	• $cbsdld = C$	
	• grantId = G	
	SAS Test Harness shall send a Relinquishment Response message with	
	parameters:	
3	• $cbsdld = C$	
	No grantId	
	• responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any	
4	positive response ( <i>responseCode</i> =103) to further request messages from	
	the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
Э	UUT stopped RF transmission at any time between triggering the	1,00
	relinquishment and UUT sending the relinquishment request	



## 4.25 [WINNF.FT.C.DRG.1] Successful Deregistration

#	Test Execution Steps	Results
# 1	<ul> <li>Test Execution Steps</li> <li>Ensure the following conditions are met for test entry: <ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> </li> </ul>	Results
	Invoke trigger to deregister UUT from the SAS Test Harness	
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C.	PASS
4	<ul> <li>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>responseCode</i> = 0</li> </ul>	
5	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	
6	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 4 is complete. This is the end of the test. Verify: <ul> <li>UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li> <li>UUT sending a Registration Request message, as this is not mandatory</li> <li>B. UUT sending a Deregistration Request message</li> </ul> </li> </ul>	PASS



## 4.26 [WINNF.FT.C.DRG.3] Deregistration responseCode=102

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> <li>Invoke trigger to deregister UUT from the SAS Test Harness</li> </ul>	
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C	
4	The SAS Test Harness sends the Deregistration Response Message to UUT with: • No <i>cbsdld</i> • <i>responseCode</i> = 102	
5	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	
6	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</li> <li>UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li> <li>A. UUT sending a Registration Request message, as this is not mandatory</li> <li>B. UUT sending a Deregistration Request message</li> </ul>	PASS



## 4.27 [WINNF.FT.C.DRG.5] Deregistration responseCode=103

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	<ul> <li>UUT has successfully completed SAS Discovery and</li> </ul>	
	Authentication with SAS Test Harness	
	<ul> <li>UUT has successfully registered with SAS Test Harness, with</li> </ul>	
1	cbsdld=C	
	<ul> <li>UUT has received a valid grant with grantId = G</li> </ul>	
	<ul> <li>UUT is in Grant State AUTHORIZED and is actively</li> </ul>	
	transmitting within the bounds of its grant.	
	Invoke trigger to deregister UUT from the SAS Test Harness	
2	UUT sends a Relinquishment request and receives Relinquishment	
	response with responseCode=0	
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C	
	The SAS Test Harness sends the Deregistration Response Message to UUT	
4	with:	
	No cbsdld	
	<ul> <li>responseCode = 103</li> </ul>	
5	After completion of step 3, SAS Test Harness will not provide any positive	
	response (responseCode=0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds	
	after Step 4 is complete. This is the end of the test. Verify:	
	UUT stopped RF transmission at any time between triggering the	
6	deregistration and either A OR B occurs:	PASS
	A. UUT sending a Registration Request message, as this is not	
	mandatory	
	B. UUT sending a Deregistration Request message	

## 4.28 [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	PASS
2	<ul> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected,</li> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA2 56</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA3 84</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul>	PASS
3	<ul> <li>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</li> <li>UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbsdld</i>.</li> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> </ul>	PASS
4	<ul> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



## 4.29 [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct sinker suits</li> </ul>	
2	<ul> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> </ul>	PASS
	<ul> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	FAOO



## 4.30 [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct eighter suite</li> </ul>	
2	<ul> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> </ul>	PASS
	<ul> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

# 4.31 [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT</li> </ul>	PASS
3	and the SAS Test Harness.	PASS
4	UUT may retry for the security procedure which shall fail. SAS Test-Harness shall not receive any Registration request or any application data.	
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	PASS



# 4.32 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS



## 4.33 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	<ul> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> </ul>	
1	<ul> <li>UUT has registered with the SAS, with CBSD ID = C</li> <li>UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> <li>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need</li> </ul>	
	to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters.	
2	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows: • UUT sends Heartbeat Request, including: • <i>cbsdld</i> = C • <i>grantld</i> = G • SAS Test Harness responds with Heartbeat Response, including: • <i>cbsdld</i> = C • <i>grantld</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0	



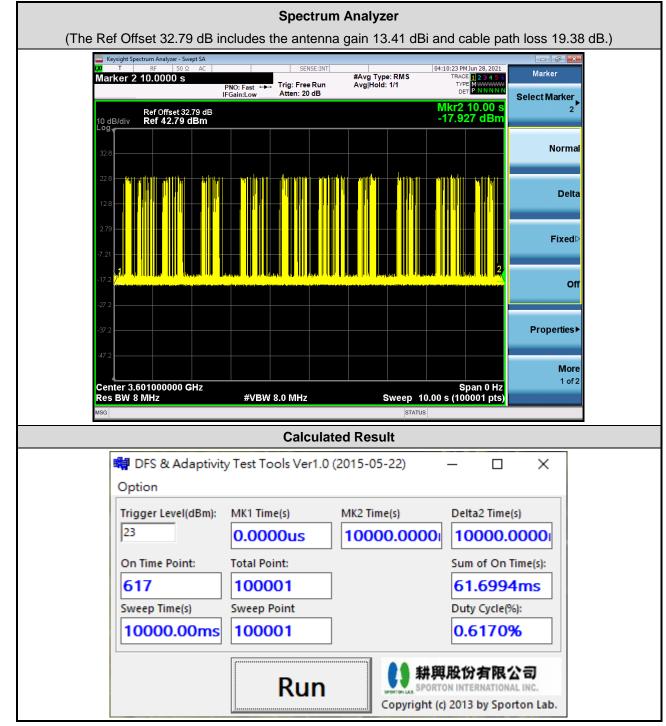
#	Test Execution Steps	Results
	Tester performs power measurement on RF interface(s) of UUT, and verifies it	
	complies with the maxEirp setting, Pi. The RF measurement method is out of	
	scope of this document, but may include additional configuration of the UUT, as	
	required, to fulfil the requirements of the power measurement method.	
3		PASS
	Note: it may be required for the vendor to provide a method or	
	configuration to bring the UUT to a mode which is required by the	
	measurement methodology. Any such mode is vendor-specific and	
	depends upon UUT behavior and the measurement methodology.	

Note: For test 4.33, please find the Appendix B for RF measurement plots.



## 5. Result of Time test for getting Grant

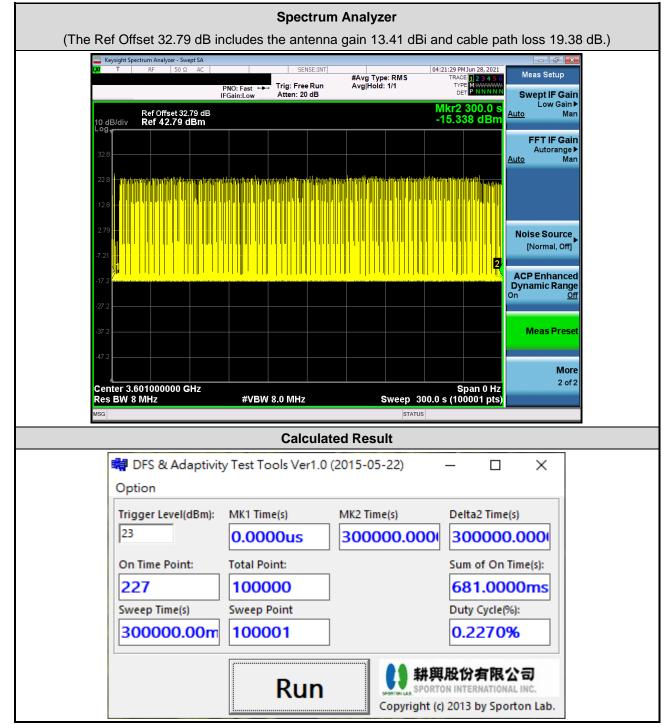
#### 5.1 1 second within any 10-second period



The sum of On Time (aggregated time from marker 1 to 2): 61.6994ms < 1s, Pass.



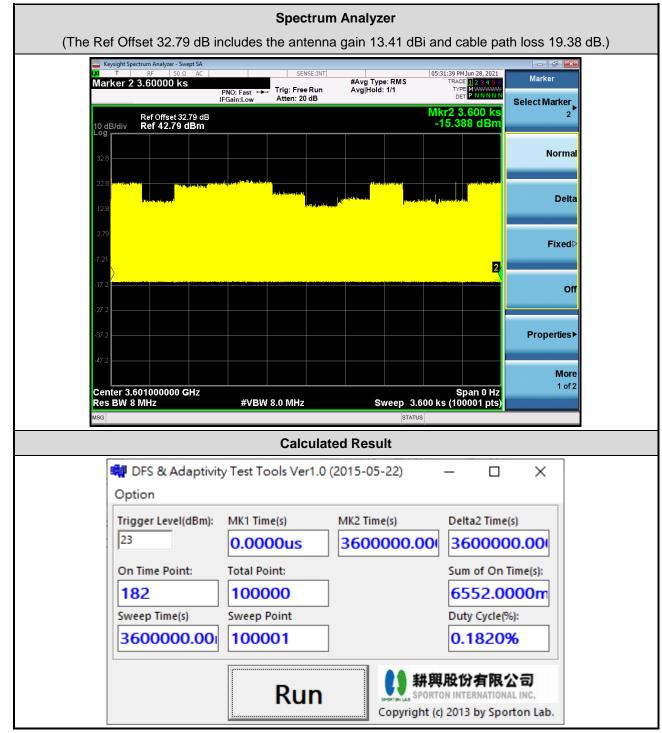
#### 5.2 10 seconds within any 300-second period



The sum of On Time (aggregated time from marker 1 to 2): 681ms < 10s, Pass.



#### 5.3 20 seconds within any 3600-second period



The sum of On Time (aggregated time from marker 1 to 2): 6.552s < 20s, Pass.



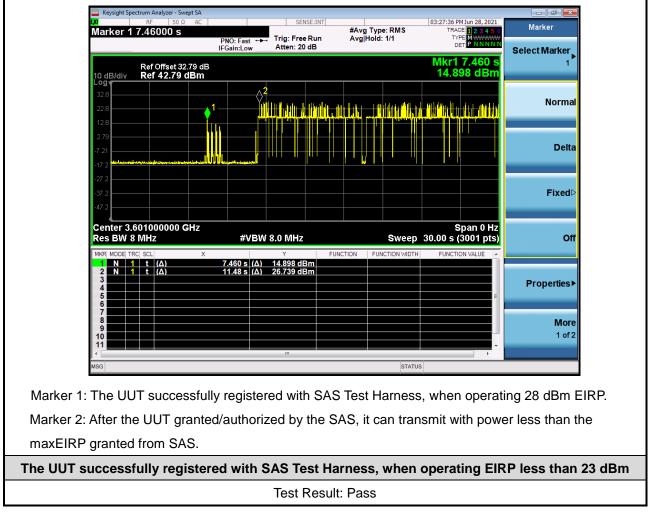
### 6. UUT register with the SAS irrespective of power levels

#### 6.1 Test Procedure

- 1. Set the SAS test harness to grant UUT with the highest EIRP higher than 23dBm.
- 2. Check if UUT has successfully registered with SAS Test Harness, when operating EIRP less than 23 dBm.
- 3. After the UUT granted/authorized by the SAS, it can transmit with power less than the maxEIRP granted from SAS.

#### 6.2 Result

The UUT can register with SAS under above operating conditions to meet the FCC criteria that the UUT will register with the SAS irrespective of power levels at which the device is set to operate – even below 23 dBm.



Note: The total offset 32.79 dB includes the antenna gain 13.41 dBi and cable path loss 19.38 dB.



## Appendix A. RF measurement plots

## Appendix A.1 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	Bandwidth [MHz]	Granted MaxEIRP [dBm/MHz]	Conducted PSD [dBm/MHz]		Antenna Gain	UUT total MaxEIRP
			ТХ 0	TX 1	[dBi]	[dBm/MHz]
3610	20	10	-7.31	-8.012	- 13.41	8.77
		14	-3.523	-3.633		12.84
		18	0.57	0.272		16.84
		20	2.52	2.426		18.89
		22	4.586	4.135		20.9
		24	6.549	6.206		22.8
		26	8.327	8.242		24.71
		28	10.782	10.299		26.97

Report Clause 4.33 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Note: The total path loss is offset with 16.38 dB. The antenna gain is 13.41 dBi.



#### Appendix A.1.1 Test Procedure

[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement defined in clause 4.33 of this test report.

#### Appendix A.1.2 Test Result

