## **RF Exposure Report**

(Part 2: Test Under Dynamic Transmission Condition)

FCC ID

: IHDT56ZP3

**Equipment** 

: Mobile Cellular Phone

**Brand Name** 

: Motorola

**Model Name** 

: XT2143-1

**Applicant** 

: Motorola Mobility LLC

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We, Sporton International (ShenZhen) Inc, would like to declare that the tested sample has been evaluated in accordance with the test procedures and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International (ShenZhen) Inc., the test report shall not be reproduced except in full.

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## History of this test report

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## Contents

1 Introduction	5
1 Introduction	6
3 SAR Time Averaging Validation Test Procedures	8
3.1 Test sequence determination for validation	8
3.2.2 Test configuration selection for change in call	g
3.2.3 Test configuration selection for change in technology/band	S
3.4 Test procedure for time-varying SAR measurements	18
4 Test Configurations	20
<b>~</b>	
4.1 VVVVAIV (Sub-0) transmission	20
5.1 Measurement setup	27
5.2 P <sub>limit</sub> and P <sub>max</sub> measurement results	30
5.3 Time-varying Tx power measurement results	31
<u> </u>	
<u> </u>	
5.7.1 Test case 1: transition from LTE B2 to LTE B42 (i.e., 100s to 60s), then	
back to LTE B2	51
5.7.2 Test case 2: transition from LTE B42 to LTE B2 (i.e., 60s to 100s), then	
back to LTE B42	53
5.8 Switch in SAR exposure test results (EN-DC Combination)	
5.9 Change in WIFI/Bluetooth Back off test results	57
	_ =
6 SAR Test Results for Sub-6 Smart Transmit Feature Validation	58



FCC	RF	Exposure	Rep	ort
	FCC	FCC RF	FCC RF Exposure	FCC RF Exposure Rep

PRTON LAB. FCC RF Exposure Report	Report No. : FA151701A
6.1 Measurement setup	58
6.2 SAR measurement results for time-varying Tx power transmission scenario	
6.2.1 GSM850 SAR test results	
6.2.2 GSM1900 SAR test results	62
6.2.3 WCDMA B2 SAR test results	
6.2.4 WCDMA B5 SAR test results	66
6.2.5 LTE B2 SAR test results	
6.2.6 LTE B7 SAR test results	
6.2.7 5G NR FR1 N41 SA SAR test results	72
6.2.8 5G NR FR1 N78 SA SAR test results	74
7 Conclusions	75

Issued Date : Jul. 05, 2021

#### 1 Introduction

The equipment under test (EUT) is a portable handset (FCC ID: IHDT56ZP3), it contains the Qualcomm modem supporting 2G/3G/4G technologies and 5G NR bands. Both of these modems are enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The  $P_{limit}$  used in this report is determined in Part 1 report.

Refer to PART 1 SAR REPORT, for product description and terminology used in this report.

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### 2 Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

- 1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
- 2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
- 3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
- 4. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
- 5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
- 6. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR radio2, and SAR radio2 only scenarios.

As described in Part 1 report, the RF exposure is proportional to the Tx power for a SAR -characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for f < 6 GHz) and radiated (for  $f \ge 6 \text{GHz}$ ) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through <u>time-averaged power</u> measurements
  - □ Measure conducted Tx power (for f < 6GHz) versus time, and radiated Tx power (EIRP for f > 10GHz) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios at all times.

Mathematical expression:

For sub-6 transmission only:

Page

Issued Date : Jul. 05, 2021

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
(1a)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC SAR \ limit} \le 1$$
 (1b)

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to sub-6 transmission.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through time-averaged SAR measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
  - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+ sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
  - Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
  - Perform time averaging over FCC defined time window.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit}$$
 (3a)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC SAR \ limit} \le 1$$
 (3b)

where, pointSAR(t),  $pointSAR_P_{limit}$ , and  $1g_or_10gSAR_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to sub-6 transmission.

**NOTE:** cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG ) of Zurich, Switzerland measures relative E-field, and provides ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  versus time.

Issued Date : Jul. 05, 2021

## 3 SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating f <3GHz is used as an example to detail the test procedures in this chapter.

#### 3.1 Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 (f < 6 GHz) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured  $P_{max}^{\dagger}$ , for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured  $P_{max}$ , measured  $P_{limit}$  and calculated  $P_{reserve}$  (= measured P<sub>limit</sub> in dBm - Reserve power margin in dB) of EUT based on measured  $P_{limit}$ .

The details for generating these two test sequences is described and listed in Appendix

NOTE: For test sequence generation, "measured Plimit" and "measured Pmax" are used instead of the "Plimit" specified in EFS entry and "Pmax" specified for the device. because Smart Transmit feature operates against the actual power level of the "Plimit" that was calibrated for the EUT. The "measured Plimit" accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining Plimit.

#### 3.2 Test configuration selection criteria for validating Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

Issued Date: Jul. 05, 2021

#### 3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient.

The criteria for the selection are based on the  $P_{limit}$  values determined in Part 1 report. Select the band in each supported technology that corresponds to the  $P_{limit}$  value that is less than  $P_{max}$  for validating Smart Transmit.

Note this test is designed for single radio transmission scenario. If UE supports sub6 NR in both non-standalone (NSA) and standalone (SA) modes, then validation in time-varying Tx power transmission scenario described in this section needs to be performed in SA mode. Otherwise, it needs to be performed in NSA mode with LTE anchor set to low power. The choice between SA and NSA mode needs to also take into account the seletion criteria described below. In general, one mode out of the two modes (NSA or SA) is sufficient for this test.

#### 3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least P<sub>limit</sub> among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest measured 1gSAR at P<sub>limit</sub> listed in Part 1 report.
- In case of multiple bands having same least  $P_{limit}$ , then select the band having the highest *measured* 1gSAR at  $P_{limit}$  in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at  $P_{reserve}$ ) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ). One test is sufficient as the feature operation is independent of technology and band.

#### 3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest  $P_{limit}$  within the technology group (in case of multiple bands having the same  $P_{limit}$ , then select the band with highest *measured* 1gSAR at  $P_{limit}$ ) to a technology/band with highest  $P_{limit}$  within the technology group, in case of multiple bands having the same  $P_{limit}$ , then select the band with lowest *measured* 1gSAR at  $P_{limit}$  in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

Issued Date : Jul. 05, 2021

#### 3.2.4 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is

■ Select a technology/band having the  $P_{limit} < P_{max}$  within any technology and DSI group, and for the same technology/band having a different  $P_{limit}$  in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.5 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover

- 1. SAR exposure switch when two active radios are in the same time window
- 2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among SAR<sub>radio1</sub> only, SAR<sub>radio1</sub> + SAR<sub>radio2</sub>, and SAR<sub>radio2</sub> only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+ Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is
  - 1. select one configuration where both  $P_{limit}$  of radio1 and radio2 is less than their corresponding  $P_{max}$ , preferably, with different  $P_{limits}$ . If this configuration is not available, then,
  - 2. select one configuration that has  $P_{limit}$  less than its  $P_{max}$  for at least one radio. If this can not be found, then,
  - 3. select one configuration that has  $P_{limit}$  of radio1 and radio2 greater than  $P_{max}$  but with least  $(P_{limit} P_{max})$  delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

Page 10

Issued Date : Jul. 05, 2021

#### 3.3 Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### 3.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time-averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

#### Test procedure

- 1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate  $P_{reserve}$  (= measured  $P_{limit}$  in dBm Reserve power margin in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured  $P_{max}$  and measured  $P_{limit}$  of the EUT. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - □ Measure *P<sub>max</sub>* with Smart Transmit <u>disabled</u> and callbox set to request maximum power.
  - □ Measure P<sub>limit</sub> with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
- 2. Set Reserve power margin to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured  $P_{limit}$  from above Step 1. Perform running time average to determine timeaveraged power and 1gSAR or 10gSAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.
  - NOTE: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.
  - NOTE: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

Issued Date : Jul. 05, 2021

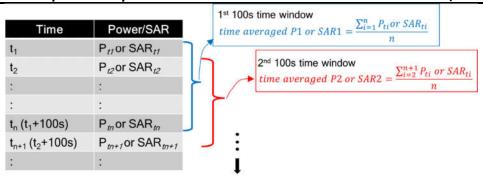


Figure 3-1 100s running average illustration

- 3. Make one plot containing:
  - a. Instantaneous Tx power versus time measured in Step 2,
  - b. Requested Tx power used in Step 2 (test sequence 1),
  - c. Computed time-averaged power versus time determined in Step 2,
  - d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1gSAR or 4.0W/kg for 10gSAR) given by

Time avearged power limit = meas. 
$$P_{limit} + 10 \times \log(\frac{FCC SAR \ limit}{meas.SAR \ Plimit})$$
 (5a)

where meas. P<sub>limit</sub> and meas. SAR\_Plimit correspond to measured power at P<sub>limit</sub> and measured SAR at Plimit.

- Make another plot containing:
  - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
  - b. FCC 1gSAR<sub>limit</sub> of 1.6W/kg or FCC 10gSAR<sub>limit</sub> of 4.0W/kg.
- 5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
- 6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

#### 3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnect and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at Preserve level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time

windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

#### **Test procedure**

- 1. Measure  $P_{limit}$  for the technology/band selected in Section 3.2.2. Measure  $P_{limit}$  with Smart Transmit <u>enabled</u> and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
- 2. Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart Transmit.
- 3. Establish radio link with callbox in the selected technology/band.
- 4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, reestablish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1gSAR or 10gSAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

**NOTE**: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{\textit{limit}}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

- 5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
- 6. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time-averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

#### 3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at  $P_{reserve}$  level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous  $P_{reserve}$  level to the new  $P_{reserve}$  level

Issued Date : Jul. 05, 2021

(corresponding to new technology/band). Since the  $P_{limit}$  could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1}$$
(6a)

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2}$$
 (6b)

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^{t} \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \tag{6c}$$

where, conducted\_Tx\_power\_1(t), conducted\_Tx\_power\_P\_limit\_1, and  $1g\_or\_10gSAR\_P_{limit\_1}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR value at  $P_{limit}$ of technology1/band1; conducted\_Tx\_power\_2(t), conducted\_Tx\_power\_P\_limit\_2(t), and 1g\_or\_10gSAR\_P<sub>limit\_2</sub> correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P<sub>limit</sub>, and measured 1gSAR or 10gSAR value at P<sub>limit</sub> of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant ' $t_1$ '.

#### Test procedure

- 1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.3. Measure P<sub>limit</sub> with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
- 2. Set Reserve power margin to actual (intended) value and reset power on EUT to enable Smart Transmit
- 3. Establish radio link with callbox in first technology/band selected.
- 4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
- 5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq. (6a) and (6b) and corresponding measured  $P_{limit}$  values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.
  - NOTE: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.
- 6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).

7. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (6c)).

#### 3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

**NOTE:** If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

#### 3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

#### 3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz.

To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_{1}(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or \ 10g\_SAR\_P_{limit\_1}$$
 (7a)

$$1gSAR_{2}(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or \ 10g\_SAR\_P_{limit\_2}$$
 (7b)

$$\frac{1}{T1_{SAR}} \left[ \int_{t-T1_{SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[ \int_{t-T2_{SAR}}^{t} \frac{1g_{or}10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \tag{7c}$$

Issued Date : Jul. 05, 2021

where,  $conducted\_Tx\_power\_1(t)$ ,  $conducted\_Tx\_power\_P_{limit\_1}(t)$ , and  $1g\_or$   $10g\_SAR\_P_{limit\_1}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or$   $10g\_SAR$  values at  $P_{limit\_1}$  of band1 with time-averaging window ' $T1_{SAR}$ ';  $conducted\_Tx\_power\_2(t)$ ,  $conducted\_Tx\_power\_P_{limit\_2}(t)$ , and  $1g\_or$   $10g\_SAR\_P_{limit\_2}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or$   $10g\_SAR$  values at  $P_{limit\_2}$  of band2 with time-averaging window ' $T2_{SAR}$ '. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window ' $T1_{SAR}$ ' to the second band with time-averaging window ' $T2_{SAR}$ ' happens at time-instant ' $t_1$ '.

#### **Test procedure**

- Measure P<sub>limit</sub> for both the technologies and bands selected in Section 3.2.6.
   Measure P<sub>limit</sub> with Smart Transmit <u>enabled</u> and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
- 2. Set Reserve power margin to actual (intended) value and enable Smart Transmit

#### Transition from 100s time window to 60s time window, and vice versa

- 1. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.
- 2. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
- 3. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at P<sub>limit</sub>.
- 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.
- 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory 1gSAR<sub>limit</sub> of 1.6W/kg or 10gSAR<sub>limit</sub> of 4.0W/kg.

#### Transition from 60s time window to 100s time window, and vice versa

- 1. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
- 2. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in

Page 16

Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.

3. Repeat above Step 5~7 to generate the plots
The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1gSAR<sub>limit</sub> of 1.6W/kg or 10gSAR<sub>limit</sub> of 4.0W/kg

#### 3.3.7 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR). The detailed test procedure for SAR exposure switching in the case of LTE+ sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

#### **Test procedure:**

- 1. Measure conducted Tx power corresponding to  $P_{limit}$  for radio1 and radio2 in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - □ Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1  $P_{limit}$  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - □ Repeat above step to measure conducted Tx power corresponding to radio2 P\_limit. If radio2 is dependent on radio1 (for example, non-standalone mode of sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 sub6 NR, measured conducted Tx power corresponds to radio2 P\_limit (as radio1 LTE is at all-down bits)
- 2. Set Reserve\_power\_margin to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
- 3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into

Page 17

Issued Date : Jul. 05, 2021

1gSAR or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{\it limit}$  measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.

- 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
- 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory 1gSAR<sub>limit</sub> of 1.6W/kg or 10gSAR<sub>limit</sub> of 4.0W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

#### 3.3.8 Change in WIFI/BT Back off

The purpose of the test is to demonstrate that Smart Transmit applies back off for the selected sub6 band when WiFi is transmitting. The actual procedure to enable WiFi/BT Transmit should be requested directly from the DUT manufacturer. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

#### 3.4 Test procedure for time-varying SAR measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the "path loss" between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocollevel power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.,) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

"Path Loss" calibration: Place the EUT against the phantom in the worst-case
position determined based on Section 3.2.1. For each band selected, prior to SAR
measurement, perform "path loss" calibration between callbox antenna and EUT.
Since the SAR test environment is not controlled and well calibrated for OTA (Over

the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.1.

- 2. Time averaging feature validation:
  - For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set Reserve power margin to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, pointSAR\_Plimit, corresponds to point SAR at the measured  $P_{limit}$  (i.e., measured  $\overline{P}_{limit}$  from the EUT in Step 1 of Section 3.3.1).
  - Set Reserve power margin to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if Reserve\_power\_margin cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, pointSAR(t), and convert it into instantaneous 1gSAR or 10gSAR vs. time using Eq. (3a), re-written below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$

where, pointSAR\_P<sub>limit</sub> is the value determined in Step 2.i, and pointSAR(t) is the instantaneous point SAR measured in Step 2.ii,  $1g\_or\_10gSAR\_P_{limit}$  is the measured 1gSAR or 10gSAR value listed in Part 1 report.

- iii Perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time.
- Make one plot containing: (a) time-averaged 1gSAR or 10gSAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.
- Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.
- vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (3b)).

Issued Date: Jul. 05, 2021

### **4 Test Configurations**

#### 4.1 WWAN (sub-6) transmission

The Plimit values, corresponding to SAR\_design\_target, for technologies and bands supported by EUT are derived in Part 1 report and summarized in Table 4-1. Note all  $P_{limit}$  power levels entered in Table 4-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & 5G NR TDD).

For EFS version 16 (or higher), secondary radio (5G NR FR1) can get up to 100% reserve factor irrespective of reserve\_power\_margin setting. So, in the below analysis, replace 75% with 100% reserve factor in case of EFS version 16 (or higher).

Table 4-1:  $P_{limit}$  for supported technologies and bands ( $P_{limit}$  in EFS file)

Band	Antenna		Head DSI 2 Simultaneous	Body Worn DSI 3	DSI 3	On	Extremely DSI6	Extremely DSI6 Simultaneous	Sensor Off DSI 4	Pmax
GSM850(3 Tx slots)**	0	34.9	34.9	22.7	22.7	22.7	31.1	31.1	23.7	23.7
GSM850(3 Tx slots)**	1	25.9	24.8	26.0	25.0	25.0	23.2	23.2	23.2	23.2
GSM1900(3 Tx slots)**	0	30.1	30.1	17.2	16.2	16.2	21.2	21.2	21.2	21.2
WCDMA V	0	30.1	30.1	22.0	22.0	22.0	25.1	25.1	24.0	24.0
WCDMA V	1	22.5	21.5	22.5	21.5	21.5	25.6	25.2	24.0	24.0
WCDMA IV	0	34.0	34.0	17.5	16.0	16.0	20.0	20.0	24.0	24.0
WCDMA II	0	34.7	34.7	16.5	15.5	15.5	20.0	20.0	24.0	24.0
LTE B5	0	32.6	32.6	24.1	24.1	24.1	23.2	23.2	23.0	23.0
LTE B12	1	23.0	22.0	24.4	22.0	22.0	23.2	23.2	23.0	23.0
LTE B26/5	1	23.0	22.0	23.0	21.5	21.5	24.6	24.3	23.0	23.0
LTE B66/4	0	33.7	33.7	17.0	15.5	15.5	20.5	20.5	23.0	23.0
LTE B66	1	16.0	15.5	16.0	15.0	15.0	21.0	20.5	23.0	23.0
LTE B2	0	34.5	34.5	15.5	14.0	14.0	19.5	19.5	23.0	23.0
LTE B7	0	33.3	33.3	17.5	15.0	15.0	23.0	23.0	23.0	23.0
LTE B7	1	17.5	16.5	19.5	16.5	16.5	22.3	22.0	21.0	21.0
LTE B41/38(PC3)**	0	32.1	32.1	17.0	15.5	15.5	23.0	23.0	21.0	21.0
LTE B42(PC3)**	3	17.0	16.0	15.0	14.0	14.0	18.5	18.0	21.0	21.0
n5	1	24.0	22.0	24.6	22.0	22.0	23.2	23.2	23.0	23.0
n66	0	33.3	33.3	17.5	16.5	16.5	21.5	21.5	23.0	23.0
n66	1	16.0	15.0	16.0	15.0	15.0	21.0	20.5	23.0	23.0
n7	0	33.7	33.7	16.5	15.5	15.5	21.5	21.5	23.0	23.0
n41(PC3)**	0	33.9	33.9	17.5	16.0	16.0	21.5	21.5	23.0	23.0
n41(PC2)**	0	33.9	33.9	17.5	10.0	10.0	21.5	21.0	26.0	26.0
n78(PC3)**	3	15.5	14.5	14.0	13.0	13.0	18.0	17.5	23.0	23.0
n78(PC2)**	3	13.5	14.5	14.0	13.0	13.0	10.0	17.5	26.0	26.0

#### Note:

- 1. \*Pmax is used for RF tune up procedure. The maximum allowed output power is equal to Pmax + device uncertainty.
- 2. \*\*All P<sub>limit</sub> power levels entered in the Table correspond to average power levels after accounting for duty cycle in the case TDD modulation schemes (for e.g., GSM & NR TDD).
- 3. The following table is duty cycle and factor used for calculating time average power.

GSM/FDD/TDD	Duty Cycle	Time average calculation factor(dB)
GSM 1TX	12.50%	-9.0
GSM 2TX	25%	-6.0
GSM 3TX	37.50%	-4.3
GSM 4TX	50%	-3.0
FDD LTE	100%	0.0
TDD LTE	63.30%	-2.0
TDD HPUE	43.30%	-3.6
NR FDD/TDD	100%	0.0

#### **Antenna**

UAT Antenna	ANT1 & ANT3
LAT Antenna	ANT0

#### 5GNR FR1 SA/NSA mode

Antenna configuration	5G FR1 SA mode	5G FR1 NSA mode
ANT0	N41	N7/66
ANT1	-	N5/66
ANT3	N78	N78

Issued Date : Jul. 05, 2021

\*Pmax is used for RF tune up procedure. The maximum allowed output power is equal to Pmax + device uncertainty.

Maximum target power, Pmax, is configured in NV settings in EUT to "limit maximum transmitting power". This power is converted into "peak power in NV settings for TDD schemes". The EUT maximum allowed output power is equal to Pmax + 1.0dB device uncertainty. EFS file plimit level will compare to pmax, when plimit is high than pmax, the power will be limited to Pmax power level.

\*\*All Plimit power levels entered in the Table correspond to average power levels after accounting for duty cycle in the case TDD modulation schemes (for e.g., GSM & LTE TDD & NR TDD).

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 4-1, the *Reserve\_power\_margin* (dB) for IHDT56ZP3 is set to 3dB in EFS, and is used in Part 2 test.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4-2. The corresponding worst-case radio configuration 1gSAR or 10gSAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4-1.

Based on equations (1a) and (3a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a) and (3a), the accuracy in compliance demonstration remains the same.

Page 2

Issued Date : Jul. 05, 2021

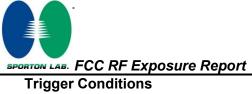
Table 4-2: Radio configurations selected for Part 2 test

Test case #	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	Part 1, SAR@Plimit 1g or10g SAR (W/kg)
1		GSM	850	0	3	251	848.8	-	-	-	GPRS 3Tx	Back	5mm	0.946
2		GSM	1900	0	3	810	1909.8	•	-	-	GPRS 3Tx	Back	5mm	0.806
3		WCDMA	2	0	3	9400	1880	•	-	-	RMC 12.2Kbps	Back	5mm	0.941
4	Time Vanding	WCDMA	5	1	3	4182	836.4	•	-	-	RMC 12.2Kbps	Back	5mm	0.849
5	Time-Varying	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	0.845
6		LTE	7	1	3	21350	2560	20	1	99	QPSK	Back	5mm	0.852
7		5G NR SA	n41	0	6	509202	2546.01	100	1	1	DFT-30,BPSK	Back	0mm	2.290
8		5G NR SA	n78	3	3 3 633334 3500.01 100 135 69 DFT-30,BPSK		DFT-30,BPSK	Back	5mm	0.632				
9	Call Drop	5G NR SA	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	0.632
10	WIFI/BT Back off	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	0.845
11	Tech/band switch	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	0.962
11	rech/band switch	WCDMA	2	0	3	9400	1880	•	-	-	RMC 12.2Kbps	Back	5mm	0.941
12	DSI Switch	5G NR SA	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	0.632
12	DSI SWIICH	5G NR SA	n78	3	2	633334	3500.01	100	135	69	DFT-30,BPSK	Right Cheek	0mm	0.630
40	Change in	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	0.845
13	Time Window (100-60-100s)	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	0.962
4.4	Change in	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	0.962
14	Time Window (60-100-60s)	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	0.845
15	EN-DC	LTE	66	0	3	132072	1720	20	1	0	QPSK	Back	5mm	0.811
15	SAR vs SAR	5G NR	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	0.632

Note that the EUT has a several DSI states to manage power for different RF exposure conditions, detail DSI states and trigger conditions shown on the following table, the maximum 1gSAR or 10gSAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation.

Page 23

Issued Date : Jul. 05, 2021



Exposure conditions	Trigger Conditions	DSI	Antenna
Exposure conditions	Trigger Conditions	DSI	Antenna
Body Worn(2G/3G/4G/NR)	sensor on	3	UAT
Body Worn (WLAN On)(2G/3G/4G/NR)	sensor on	3	UAT
Body Worn(2G/3G/4G/NR)	sensor on	3	LAT
Body Worn (WLAN On)(2G/3G/4G/NR)	sensor on	3	LAT
Extremity(2G/3G/4G/NR)	sensor on	6	UAT
Extremity (WLAN On)(2G/3G/4G/NR)	sensor on	6	UAT
Extremity(2G/3G/4G/NR)	sensor on	6	LAT
Extremity (WLAN On)(2G/3G/4G/NR)	sensor on	6	LAT
Hotspot(2G/3G/4G/NR)	Hotspot On	3	UAT
Hotspot(2G/3G/4G/NR)	Hotspot On	3	LAT
Head(2G/3G/4G/NR)	Receiver on	2	UAT
Head(WLAN On)(2G/3G/4G/NR)	Receiver on with Wifi	2	UAT
Head(2G/3G/4G/NR)	Receiver on	2	LAT
Head(WLAN On)(2G/3G/4G/NR)	Receiver on with Wifi	2	LAT

## **SAR** design Target:

#### **UAT Antenna**

Band	Antenna	Head (Standalone)	Head Sim- 2Tx(2.4G or 5G)	Body Worn (Standalone)	Body Worn Sim-2Tx(2.4G or 5G)	Hotspot	Extremity (Standalone)	Extremity Sim-2Tx (2.4G or 5G)
		DSI 2	DSI 2	DSI 3	DSI 3	DSI 3	DSI 6	DSI 6
		1g SAR	1g SAR	1g SAR	1g SAR	1g SAR	10g SAR	10g SAR
GSM850(3 Tx slots)**	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
WCDMA V	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B5	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B12	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B26	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B66	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B7	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
LTE B42	3	1.00	0.79	1.00	0.79	0.79	2.38	2.22
FR1 n5	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
FR1 n66	1	1.00	0.79	1.00	0.79	0.79	2.38	2.22
FR1 n78-PC2(3500)	3	1.00	0.79	1.00	0.79	0.79	2.38	2.22
FR1 n78-PC2(3500)	3	1.00	0.79	1.00	0.79	0.79	2.38	2.22

Page 24



LAT Antenna

Band	Antenna	Head (Standalone)	Head Sim- 2Tx(2.4G or 5G)	Body Worn (Standalone )	Body Worn Sim-2Tx ( 2.4G or 5G )	Hotspot	Extremity (Standalone )	Extremity Sim-2Tx (2.4G or 5G)	
		DSI 2	DSI 2	DSI 3	DSI 3	DSI 3	DSI 6	DSI 6	
		1g SAR	1g SAR	1g SAR	1g SAR	1g SAR	10g SAR	10g SAR	
GSM850(3 Tx slots)**	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
GSM1900(3 Tx slots)**	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
WCDMA V	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
WCDMA IV	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
WCDMA II	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B5	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B66	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B4	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B2	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B7	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B38	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
LTE B41	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
FR1 n66	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
FR1 n7	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
FR1 n41-PC3	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	
FR1 n41-PC2	0	1.00	1.00	1.00	1.00	1.00	2.38	2.38	

Page 25

Issued Date : Jul. 05, 2021

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

- Technologies and bands for time-varying Tx power transmission: The test case 1~8
  listed in Table 4-2 are selected to test with the test sequences defined in Section 3.1
  in both time-varying conducted power measurement and time-varying SAR
  measurement.
- 2. <u>Technology and band for change in call test</u>: The test case 9 listed in Table 4-2 are selected for performing the call drop test in conducted power setup. 5GNR FR1 N78 SA having the lowest *P<sub>limit</sub>* among all technologies and bands
- 3. <u>Technologies and bands for change in technology/band test</u>: The test case 11 listed in Table 4-2 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
- 4. <u>Technologies and bands for change in DSI</u>: The test case 12 listed in Table 4-2 is selected for DSI switch test by establishing a call in 5GNR FR1 N78 SA in DSI=3, and then handing over to DSI = 2 exposure scenario in conducted power setup.
- 5. <u>Technologies and bands for change in time-window/antenna</u>: The test case 13~14 listed in Table 4-2 is selected for time window switch between 60s window (LTE B42) and 100s window (LTE B2) in conducted power setup. LTE B42 is using different antenna from LTE B2, so this test also address the antenna change.
- 6. <u>Technologies and bands for switch in SAR exposure</u>: The test case 15 listed in Table 4-2 are selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + 5G NR active in the same 100s time window, in conducted power setup.
- 7. Change in WIFI/Bluetooth Back off: The test case 10 listed in Table 4-2 is selected for DSI switch test by establishing a call in LTE Band 2 in DSI=3, with WIFI/Bluetooth Back off exposure scenario in conducted power setup.

Page 2

Issued Date : Jul. 05, 2021

# 5 Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

#### 5.1 Measurement setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup schematic are shown in Figures 5-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

#### Sub6 NR test setup:

The Keysight UXME7515B callbox is used in this test. The test setup schematic are shown in Figures 5-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler.

#### LTE+5G NR test setup:

The Keysight UXME7515B callbox is used in this test. If LTE conducted port and 5G NR conducted port are same on this EUT (i.e., they share the same antenna), therefore, low-/high-pass filter are used to separate LTE and 5G NR signals for power meter measurement via directional couplers, as shown in below Figure 5-1 C (Appendix F – Test Setup Photo ).

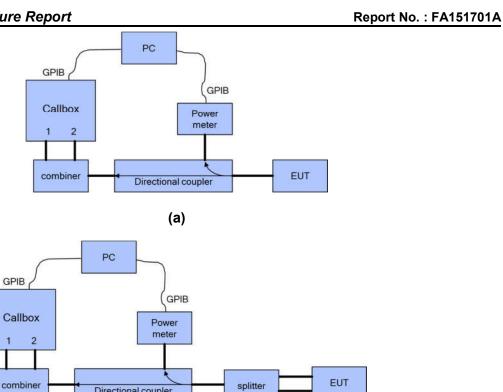
All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

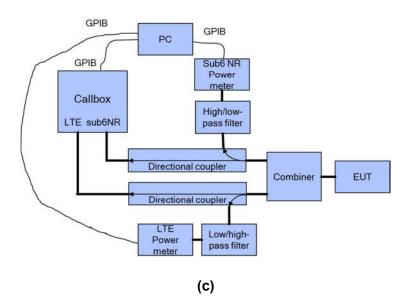
Page 2

Issued Date : Jul. 05, 2021

GPIB

Callbox





(b)

Directional coupler

Figure 5-1 Conducted power measurement setup

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- stay at the last power level of test sequence 1 or test sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the  $2^{nd}$  test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at  $P_{reserve}$  level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

Page 2

#### 5.2 $P_{limit}$ and $P_{max}$ measurement results

The measured  $P_{limit}$  for all the selected radio configurations given in Table 4-2 are listed in below Table 5-1.  $P_{\text{max}}$  was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

Table 5-1: Measured  $P_{limit}$  and  $P_{max}$  of selected radio configurations

Test case #		Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	setting	target pmax (dBm)	measured plimit (dBm)	measured pmax (dBm)
1		GSM	850	0	3	251	848.8	-	-	-	GPRS 3Tx	Back	5mm	22.7	23.7	22.4	24
2		GSM	1900	0	3	810	1909.8	-	-	-	GPRS 3Tx	Back	5mm	17.2	21.2	16.8	21
3		WCDMA	2	0	3	9400	1880	-	-	-	RMC 12.2Kbps	Back	5mm	16.5	24	16.3	23.8
4	Time-Varying	WCDMA	5	1	3	4182	836.4	ı	-	-	RMC 12.2Kbps	Back	5mm	22.5	24	23.2	24.2
5	riirie-varyirig	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	15.5	23	15.5	22.4
6		LTE	7	1	3	21350	2560	20	1	99	QPSK	Back	5mm	19.5	21	19.2	20
7		5G NR SA	n41	0	6	509202	2546.01	100	1	1	DFT-30,BPSK	Back	0mm	21.5	23	20.5	22.8
8		5G NR SA	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	14	26	13.4	25.6
9	Call Drop	5G NR SA	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	14	26	13.4	25.6
10	WIFI/BT Back off	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	15.5	23	15.5	22.4
11	Tech/band switch	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	15	21	14.6	20.2
11	recii/band switch	WCDMA	2	0	3	9400	1880	ı	-	-	RMC 12.2Kbps	Back	5mm	16.5	24	16.3	23.8
12	DSI Switch	5G NR SA	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	14	26	13.4	25.6
12	DSI SWILCII	5G NR SA	n78	3	2	633334	3500.01	100	135	69	DFT-30,BPSK	Right Cheek	0mm	15.5	26	15.6	25.6
40	Change in	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	15.5	23	15.5	22.4
13	Time Window (100-60-100s)	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	15	21	14.6	20.2
4.4	Change in	LTE	42	3	3	42190	3460	20	1	99	QPSK	Back	5mm	15	21	14.6	20.2
14	Time Window (60-100-60s)	LTE	2	0	3	19100	1900	20	1	0	QPSK	Back	5mm	15.5	23	15.5	22.4
15	EN-DC	LTE	66	0	3	132072	1720	20	1	0	QPSK	Back	5mm	17	23	17.1	23.2
15	SAR vs SAR	5G NR	n78	3	3	633334	3500.01	100	135	69	DFT-30,BPSK	Back	5mm	14	26	13.4	25.6

#### Note:

The uncertainty of Pmax is +1dB/-1dB as provided by manufacturer.

Page 30

#### 5.3 Time-varying Tx power measurement results

The measurement setup is shown in Figures 5-1(a) and 5-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
(1a)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} 1g\_or\_10gSAR(t)dt}{FCC SAR \ limit} \le 1$$
 (1b)

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR and 10gSAR values at  $P_{limit}$  reported in Part 1 test (listed in Table 4-2 of this report as well).

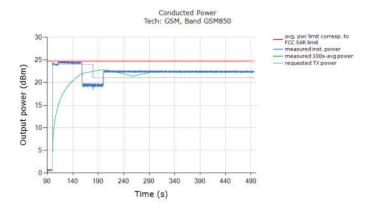
Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

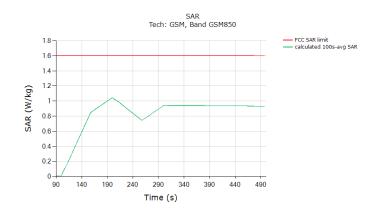
The power limiting enforcement is effective in all the tests, and the time-averaged 1gSAR does not exceed the SAR design target + device uncertainty for all the tested technologies/bands. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

### 5.3.1 GSM850

#### Test result for test sequence 1:

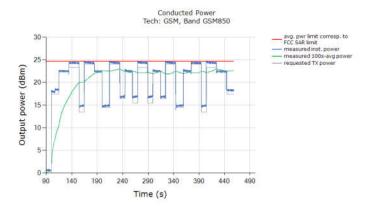


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

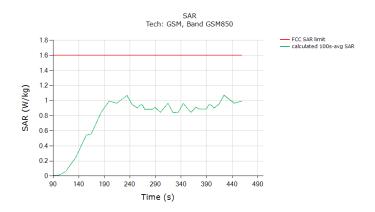


	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	1.044	
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit	

#### Test result for test sequence 2:



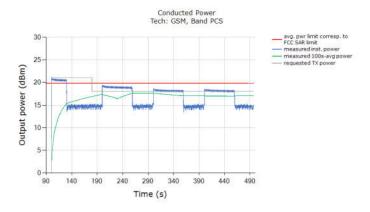
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6W/kg for 1gSAR:



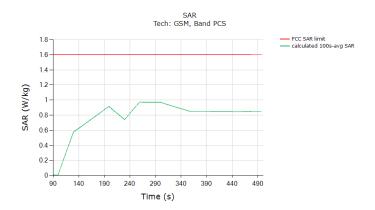
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.071
Validated: Max time averaged SAR (green curve) does not exceed meas	ured SAR at Plimit

#### 5.3.2 GSM1900

#### Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

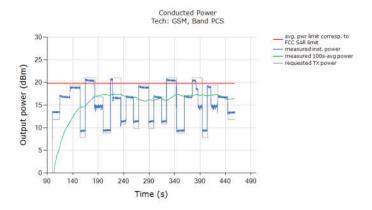


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.972
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit

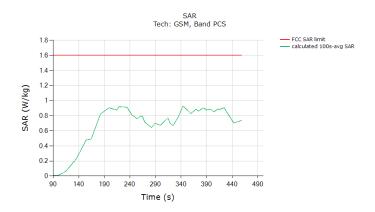
Report No.: FA151701A

Issued Date : Jul. 05, 2021

#### Test result for test sequence 2:



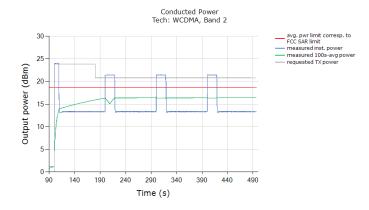
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6W/kg for 1gSAR:



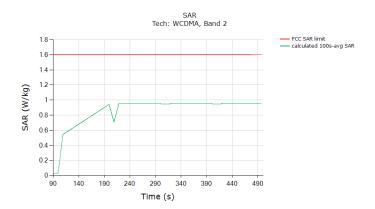
	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.924	
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit	

#### 5.3.3 WCDMA B2

#### Test result for test sequence 1:



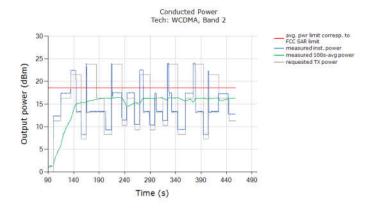
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



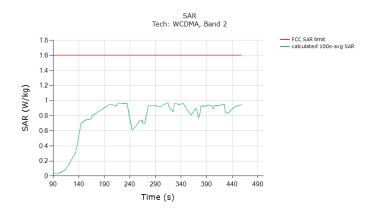
	(W/kg)	
FCC 1gSAR limit	1.6	
Max 100s-time averaged 1gSAR (green curve)	0.957	
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit	

Page 36

#### Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

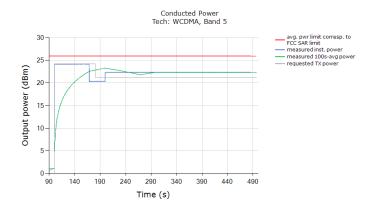


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.967
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

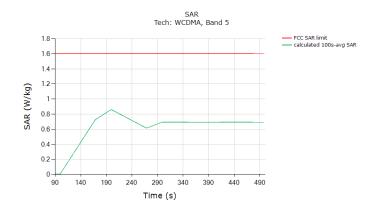
Issued Date : Jul. 05, 2021

#### **5.3.4 WCDMA Band 5**

#### Test result for test sequence 1:

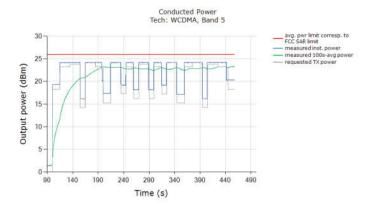


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

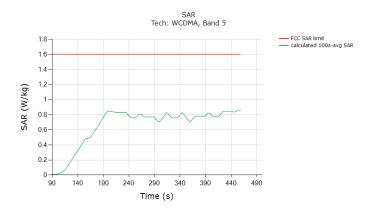


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.860
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

#### Test result for test sequence 2:



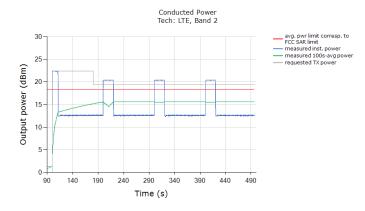
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



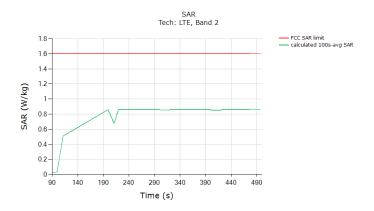
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.862
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit

#### 5.3.5 LTE B2

## Test result for test sequence 1:

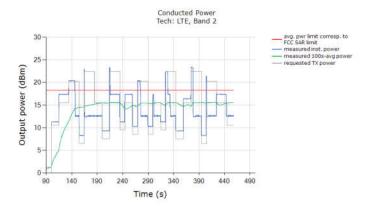


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

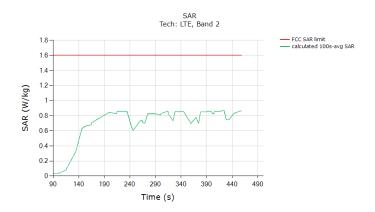


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.862
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

#### Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

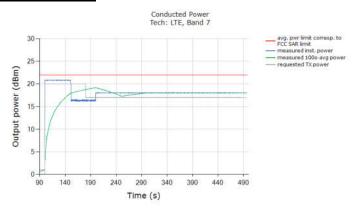


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.866
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

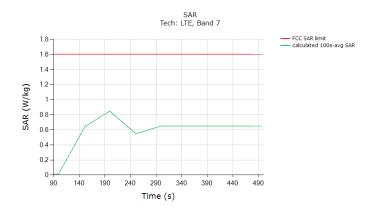
Page 41

#### 5.3.6 LTE B7

#### Test result for test sequence 1:



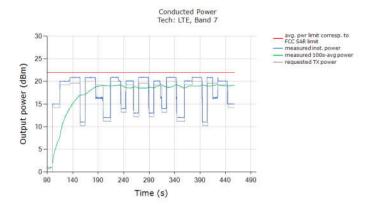
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



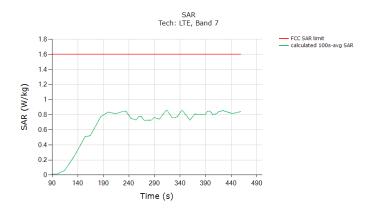
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.847
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

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#### Test result for test sequence 2:



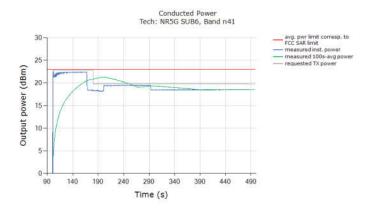
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



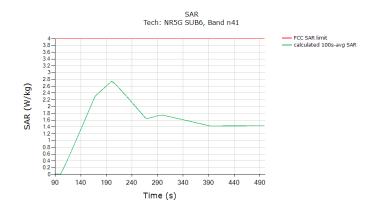
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.858
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	
+1dB device uncertainty	

#### 5.3.7 5G NR FR1 N41 SA

#### Test result for test sequence 1:

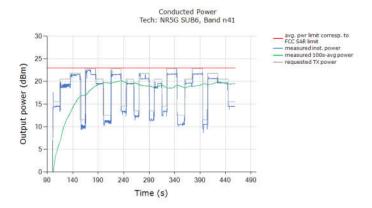


Above time-averaged conducted Tx power is converted/calculated into time-averaged 10gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 10gSAR versus time does not exceed the FCC limit of 4.0 W/kg for 10gSAR:

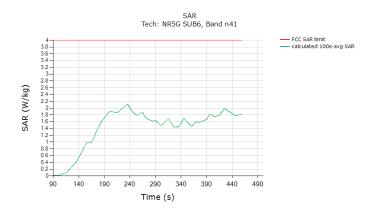


	(W/kg)
FCC 10gSAR limit	4.0
Max 100s-time averaged 10gSAR (green curve)	2.747
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

#### Test result for test sequence 2:



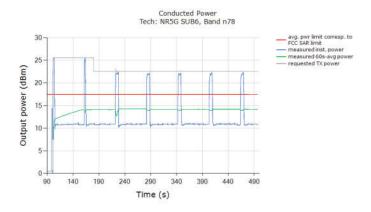
Above time-averaged conducted Tx power is converted/calculated into time-averaged 10gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 10gSAR versus time does not exceed the FCC limit of 4.0 W/kg for 10gSAR:



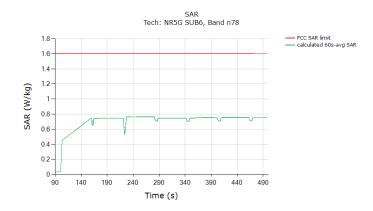
	(W/kg)
FCC 10gSAR limit	4.0
Max 100s-time averaged 10gSAR (green curve)	2.114
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	
+1dB device uncertainty	

#### 5.3.8 5G NR FR1 N78 SA

#### Test result for test sequence 1:



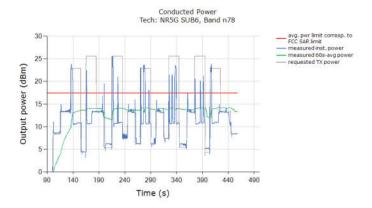
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



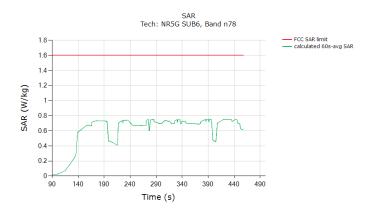
	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.764
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit

Issued Date : Jul. 05, 2021

#### Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.751
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

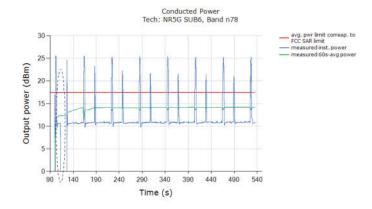
Issued Date : Jul. 05, 2021

#### 5.4 Change in Call Test Results

This test was measured with 5GNR FR1 N78 SA, DSI=3, and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 5-1. The detailed test procedure is described in Section 3.3.2.

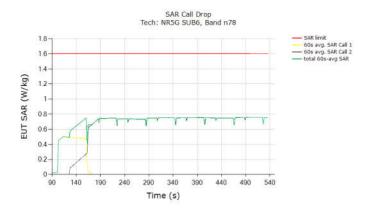
#### Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same  $P_{reserve}$  level of 5GNR FR1 N78 SA after the call was re-established:



Plot Notes: ... The conducted power plot shows expected Tx transition.

Plot 2: Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.758
Validated	

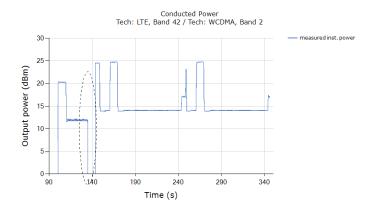
The test result validated the continuity of power limiting in Call drop scenario.

Page 48

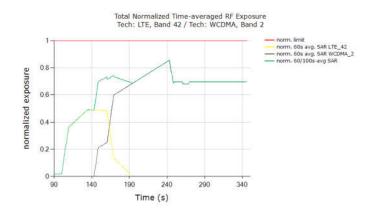
#### 5.5 Change in technology/band test results

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE B42, DSI = 3 to WCDMA B2, DSI = 3. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 5-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region).

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE B42, DSI =  $3 P_{reserve}$  level to WCDMA B2, DSI = 3



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max normalized Exposure Ratio (green curve)	0.855
Validated	

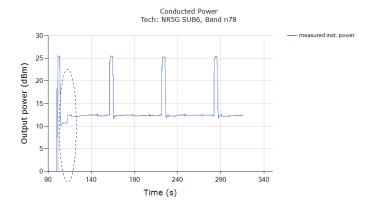
The test result validated the continuity of power limiting in technology/band switch scenario.

#### 5.6 Change in DSI test results

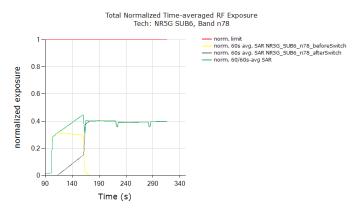
This test was conducted with callbox requesting maximum power, and with DSI switch from 5GNR FR1 N78 SA DSI=3 to DSI = 2. Following procedure detailed in Section 3.3.5 using the measurement setup shown in Figure 5-1(a) and (c), the DSI switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black circle).

#### Test result for change in DSI:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when DSI=3 switches to DSI = 2.



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized Exposure versus time does not exceed the FCC limit of 1 unit.



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max normalized Exposure Ratio (green curve)	0.446
Validated	

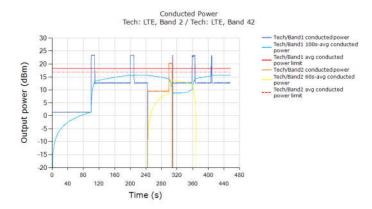
The test result validated the continuity of power limiting in DSI switch scenario.

#### 5.7 Change in Time window / antenna switch test results

#### 5.7.1 Test case 1: transition from LTE B2 to LTE B42 (i.e., 100s to 60s), then back to LTE B2

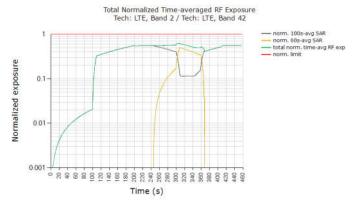
Test result for change in time-window (from 100s to 60s to 100s):

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE B2 switches to LTE B42 (~245 seconds timestamp) and switches back to LTE Band 42 (~310 seconds timestamp):



Plot Notes: The conducted power plot shows expected transitions in Tx power at ~245 seconds (100s-to-60s transition) and at ~310 seconds (60s-to-100s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as show in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in LTE B2 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE B42 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	Exposure Ratio
FCC normalized Exposure Ratio	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.625
Validated	

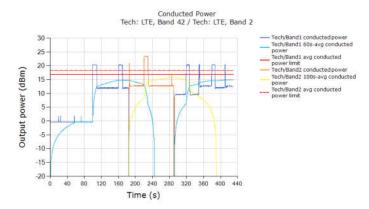
#### Plot Notes:

Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 100s-to-60s window at ~245s time stamp, and from 60s-to-100s window at ~310s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target +1dB device uncertainty. In this test, with a maximum normalized SAR of 0.625 being  $\leq$  0.99 (=1.26/1.6 +1dB device uncertainty), the above test result validated the continuity of power limiting in time-window switch scenario.

# 5.7.2 Test case 2: transition from LTE B42 to LTE B2 (i.e., 60s to 100s), then back to LTE B42

#### Test result for change in time-window (from 60s to 100s to 60s):

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE Band 42 switches to LTE B2 (~185 seconds timestamp) and switches back to LTE B42 (~290 seconds timestamp):

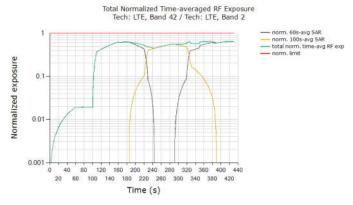


Plot Notes: ... The conducted power plot shows expected transitions in Tx power at ~185 seconds (60s-to-100s transition) and at ~290 seconds (100s-to-60s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as show in next plot.

Page 53

Issued Date : Jul. 05, 2021

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 60s-averaged normalized SAR in LTE B42 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in LTE B2 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



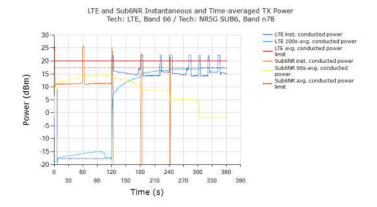
	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.641
Validated	

#### Plot Notes:

Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 60s-to-100s window at ~185s time stamp, and from 100s-to-60s window at ~290s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target +1dB device uncertainty. In this test, with a maximum normalized SAR of 0.641 being  $\leq$  0.99 (=1.26/1.6 +1dB device uncertainty), the above test result validated the continuity of power limiting in time-window switch scenario.

#### 5.8 Switch in SAR exposure test results (EN-DC Combination)

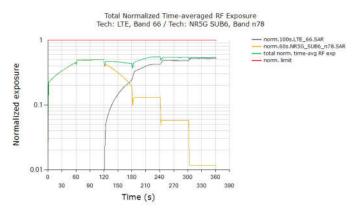
This test was conducted with callbox requesting maximum power, and with the EUT in LTE B66 + 5G NR FR1 n78. Following procedure detailed in Section 3.3.7 and Appendix B.2, and using the measurement setup shown in Figure 5-1, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios.



Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B66 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in 5G NR FR1 n78 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).

Page 55

Issued Date : Jul. 05, 2021



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.551
Validated	

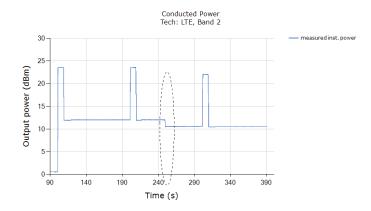
#### Plot Notes:

Device starts predominantly in 5G NR SAR exposure scenario between 0s and 120s, and in LTE SAR + 5G NR SAR exposure scenario between 120s and 240s, and in predominantly in LTE SAR exposure scenario after t=240s. Here, Smart Transmit allocates a maximum of 100% of exposure margin (based on 3dB reserve margin setting) for 5G NR. This corresponds to a normalized 1gSAR exposure value = 0.632W/kg measured SAR at 5G NR Plimit / 1.6W/kg limit = 0.395 + "+1dB~ -1dB" device related uncertainty (see orange curve between 0s~120s). For predominantly LTE SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin = 0.811W/kg measured SAR at LTE Plimit /1.6W/kg limit = 0.507 + "+1dB~ -1dB" device related uncertainty (see black curve after t = 240s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target +1dB device uncertainty. In this test, with a maximum normalized SAR of 0.551 being  $\leq 0.79$  (=1.0/1.6 +1dB device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.

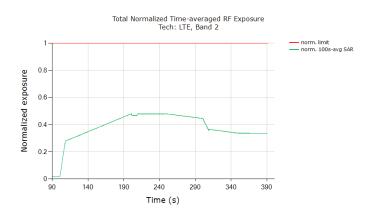
#### 5.9 Change in WIFI/Bluetooth Back off test results

This test was conducted with callbox requesting maximum power for LTE B2, DSI = 3. Following procedure detailed in Section 3.3.8, LTE band 2 different in transmit power with WIFI/Bluetooth Back off transition one time window before and after WiFi/BT, indicated by dotted black ellipse in the Tx power plot, corresponds to the actual transition time before and after WiFi/BT.

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed for LTE B2, DSI = 3 Preserve level with WIFI/Bluetooth Back off



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.480
Validated	

The test result validated the continuity of power limiting in WIFI/Bluetooth Back off scenario.

## 6 SAR Test Results for Sub-6 Smart Transmit Feature Validation

#### 6.1 Measurement setup

The measurement setup is similar to normal SAR measurements (see Appendix E). The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 3.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in 5G NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for 5G NR link.

The EUT is placed in worst-case position according to Table 4-2.

Page 5

Issued Date : Jul. 05, 2021

#### 6.2 SAR measurement results for time-varying Tx power transmission scenario

Following Section 3.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix C, and the associated SPEAG certificates are attached in Appendix D.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):

#### https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s or 60s / cDASY6 scan duration \* total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s-/60s-averaged pointSAR.

Following Section 3.4, for each of selected technology/band (listed in Table 4-2):

- 1. With Reserve\_power\_margin set to 0 dB, area scan is performed at P\_limit, and timeaveraged pointSAR measurements are conducted to determine the pointSAR at  $P_{limit}$ at peak location, denoted as pointSAR Plimit.
- 2. With Reserve power margin set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
 (3a)

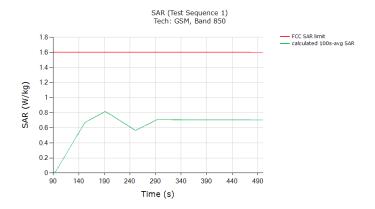
where, pointSAR(t),  $pointSAR\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$  from above step 1 and 2, and measured 1gSAR or 10gSAR values at P<sub>limit</sub> obtained from Part 1 report and listed in Table 4-2 in Section 5.1 of this report.

The power limiting enforcement is effective in all the tests, and the time-averaged 1gSAR does not exceed the SAR design target + device uncertainty for all the tested technologies/bands. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

Issued Date : Jul. 05, 2021

## 6.2.1 GSM850 SAR test results

## SAR test results for test sequence 1:



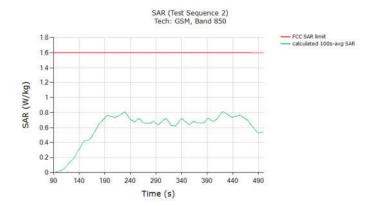
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.815
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

+1dB device uncertainty

Issued Date : Jul. 05, 2021

Page 60

# SAR test results for test sequence 2:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.815
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

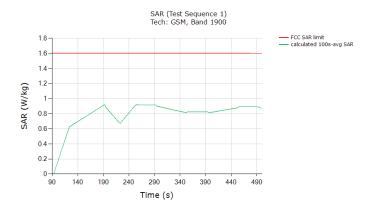
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

Page 61

## 6.2.2 GSM1900 SAR test results

## SAR test results for test sequence 1:

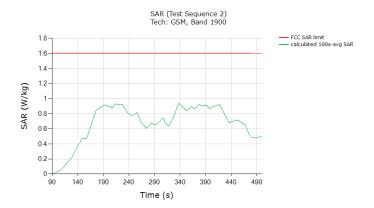


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.919
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

+1dB device uncertainty

Issued Date : Jul. 05, 2021

# SAR test results for test sequence 2:



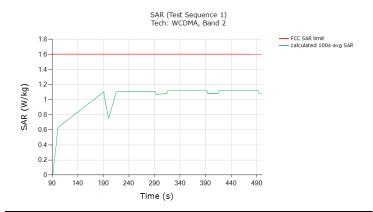
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.936
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

#### 6.2.3 WCDMA B2 SAR test results

#### SAR test results for test sequence 1:

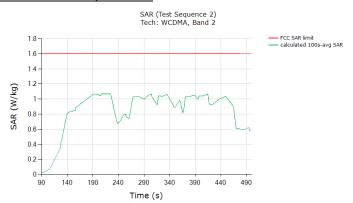


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.119
Validated: May time averaged SAP (groop curve) does not exceed mass	urad SAD at Dlimit

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

# SAR test results for test sequence 2:



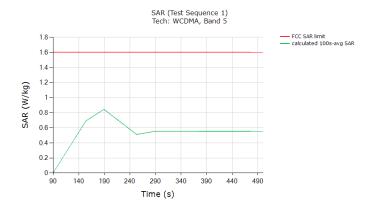
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.069
Validated: May time averaged SAR (green curve) does not exceed measured SAR at Plimit	

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Page 65 Issued Date : Jul. 05, 2021

## 6.2.4 WCDMA B5 SAR test results

## SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.843
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

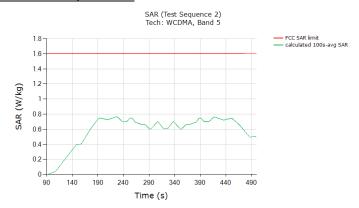
+1dB device uncertainty

Page 66

Report No.: FA151701A

Issued Date : Jul. 05, 2021

# SAR test results for test sequence 2:



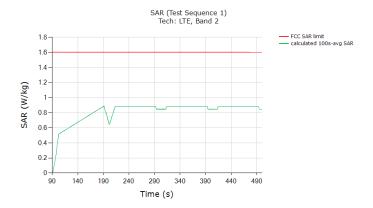
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.766
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

+1dB device uncertainty

Issued Date : Jul. 05, 2021

## 6.2.5 LTE B2 SAR test results

## SAR test results for test sequence 1:



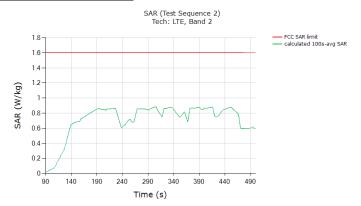
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.887
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

+1dB device uncertainty

Issued Date : Jul. 05, 2021

Page 68

# SAR test results for test sequence 2:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.884
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

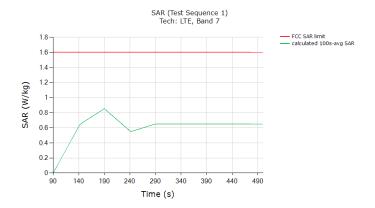
+1dB device uncertainty

Issued Date : Jul. 05, 2021

Page 69

## 6.2.6 LTE B7 SAR test results

## SAR test results for test sequence 1:

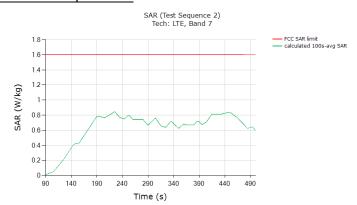


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.851
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

# SAR test results for test sequence 2:



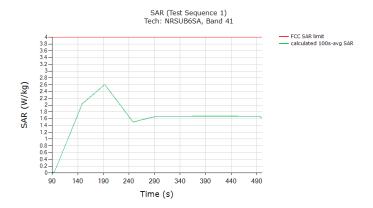
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.844
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

# 6.2.7 5G NR FR1 N41 SA SAR test results

## SAR test results for test sequence 1:

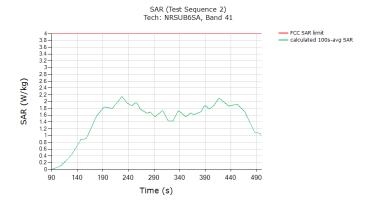


	(W/kg)
FCC 10gSAR limit	4.0
Max 100s-time averaged 10gSAR (green curve)	2.600
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit	

+1dB device uncertainty

Page 72

### SAR test results for test sequence 2:



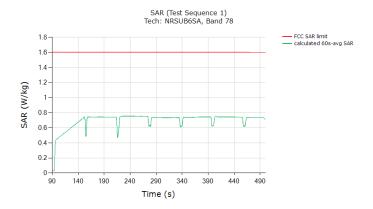
	(W/kg)
FCC 10gSAR limit	4.0
Max 100s-time averaged 10gSAR (green curve)	2.141
Validated: Max time averaged SAR (green curve) does not exceed measing	ured SAR at Plimit

Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty

Issued Date : Jul. 05, 2021

#### 6.2.8 5G NR FR1 N78 SA SAR test results

#### SAR test results for test sequence 1:

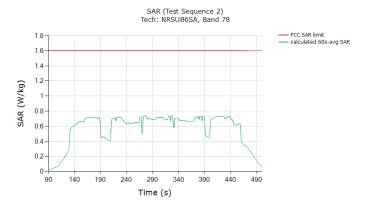


	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.753
Validated: Max time averaged SAR (green curve) does not exceed meas	ured SAR at Plimit

+1dB device uncertainty

Issued Date : Jul. 05, 2021

#### SAR test results for test sequence 2:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.740
Validated: Max time averaged SAR (green curve) does not exceed meas +1dB device uncertainty	ured SAR at Plimit

#### **7 Conclusions**

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR measurement.

As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement.

Issued Date : Jul. 05, 2021

### **Appendix A. Test Sequences**

- 1. Test sequence is generated based on below parameters of the EUT:
  - a. Measured maximum power ( $P_{max}$ )
  - b. Measured Tx\_power\_at\_SAR\_design\_target (P<sub>limit</sub>)
  - c. Reserve power margin (dB)
    - P<sub>reserve</sub> (dBm) = measured P<sub>limit</sub> (dBm) Reserve power margin (dB)
  - d. SAR\_time\_window (100s for FCC)
- 2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power =  $P_{max}$ ; low power =  $P_{max}/2$ , and the transition occurs after 80 seconds at high power  $P_{max}$ . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower  $P_{limit}$  for this test. The Test sequence 1 waveform is shown below:

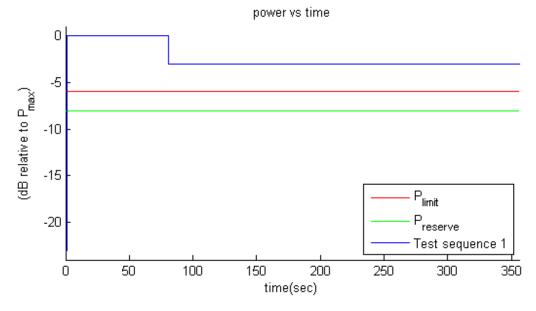


Figure 0-1 Test sequence 1 waveform

Issued Date : Jul. 05, 2021

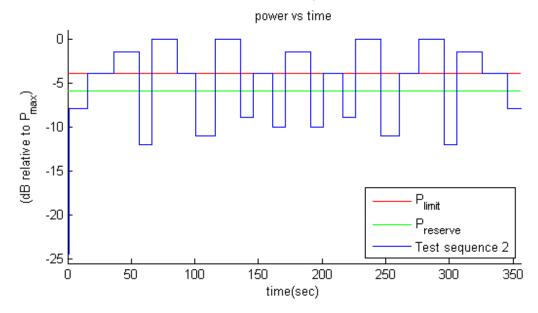
#### 3. Test Sequence 2 Waveform:

Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

Table 0-1 Test Sequence 2

Time duration (seconds)	dB relative to $P_{\it limit}$ or $P_{\it reserve}$
<mark>15</mark>	P <sub>reserve</sub> – 2
<mark>20</mark>	P <sub>limit</sub>
<mark>20</mark>	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
<mark>10</mark>	P <sub>reserve</sub> – 6
20	P <sub>max</sub>
<mark>15</mark>	P <sub>limit</sub>
<mark>15</mark>	P <sub>reserve</sub> – 5
20	P <sub>max</sub>
<mark>10</mark>	P <sub>reserve</sub> – 3
<mark>15</mark>	P <sub>limit</sub>
<mark>10</mark>	P <sub>reserve</sub> – 4
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
<mark>10</mark>	P <sub>reserve</sub> – 4
<mark>15</mark>	P <sub>limit</sub>
<mark>10</mark>	P <sub>reserve</sub> – 3
<mark>20</mark>	P <sub>max</sub>
<mark>15</mark>	P <sub>reserve</sub> – 5
<mark>15</mark>	P <sub>limit</sub>
<mark>20</mark>	P <sub>max</sub>
<mark>10</mark>	P <sub>reserve</sub> – 6
<mark>20</mark>	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
<mark>20</mark>	P <sub>limit</sub>
<mark>15</mark>	P <sub>reserve</sub> – 2

Issued Date : Jul. 05, 2021



#### Appendix B. Test Procedures for 5G NR + LTE Radio

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + 5G NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

#### 1 Time-varying Tx power test for 5G NR in NSA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to 5G NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+5G NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of 5G NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). 5G NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

#### 2 Switch in SAR exposure between LTE vs. 5G NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and 5G NR, and SAR from 5G NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### **Test procedure:**

- 1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and 5G NR in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE P<sub>limit</sub> with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - □ Repeat above step to measure conducted Tx power corresponding to 5G NR Plimit. If testing LTE+5G NR in non-standalone mode, then establish LTE+5G NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from 5G NR, measured conducted Tx power corresponds to radio2  $\underline{P}_{limit}$  (as radio1 LTE is at all-down bits)
- 2. Set Reserve power margin to actual (intended) value with EUT setup for LTE + 5G NR call. First, establish LTE connection in all-up bits with the callbox, and then 5G NR connection is added with callbox requesting UE to transmit at maximum power in 5G NR. As soon as the 5G NR connection is established, request all-down bits on LTE link (otherwise, 5G NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+5G NR transmission for

Page 79

more than one time-window duration to test predominantly 5G NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and 5G NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) 5G NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and 5G NR for the entire duration of this test.

- 3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and 5G NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P<sub>limit</sub> measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1.
- 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
- 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory 1gSAR<sub>limit</sub> of 1.6W/kg.

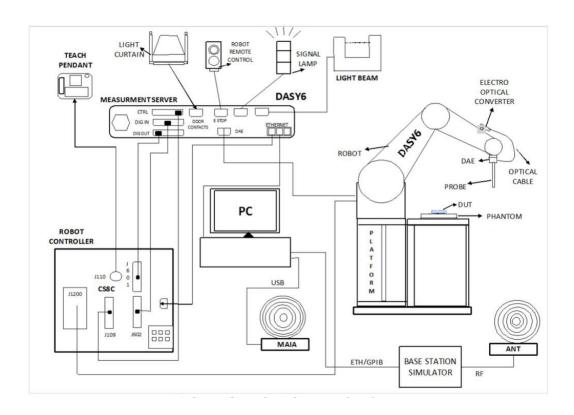
The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg.

Page 8

Issued Date : Jul. 05, 2021

## Appendix C. cDASY6 System Verification

- 1 The system to be used for the near field power density measurement
- SPEAG DASY6 system
- SPEAG cDASY6 5G module software
- EUmmWVx probe
- 5G Phantom cover



#### 2 Test Side Location

Sporton International (Shenzhen) Inc. is accredited to ISO/IEC 17025:2017 by American Association for Laboratory Accreditation with Certificate Number 5145.01.

Testing Laboratory						
Test Firm	Sporton International (Shenzhen) Inc.					
Test Site Location	1/F, 2/F, Bldg 5, Shiling Industrial Zone, Xinv 518055 People's Republic of China TEL: +86-755-86379589 FAX: +86-755-86379595	TEL: +86-755-86379589				
Total Olde No.	FCC Designation No.	FCC Test Firm Registration No.				
Test Site No.	CN1256	421272				

#### 3 SAR E-Field Probe

Construction	Symmetric design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)
Frequency	10 MHz – >6 GHz Linearity: ±0.2 dB (30 MHz – 6 GHz)
Directivity	±0.3 dB in TSL (rotation around probe axis) ±0.5 dB in TSL (rotation normal to probe axis)
Dynamic Range	10 μW/g – >100 mW/g Linearity: ±0.2 dB (noise: typically <1 μW/g)
Dimensions	Overall length: 337 mm (tip: 20 mm) Tip diameter: 2.5 mm (body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm

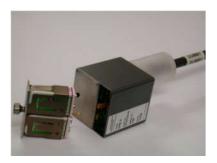


Report No.: FA151701A

#### 4 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.

The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Issued Date : Jul. 05, 2021



5 Test Equipment List

	quipment List	Tymo/Blodel	Serial Number	Calibration		
Manufacturer	Name of Equipment	Type/Model	Seriai Number	Last Cal.	Due Date	
SPEAG	835MHz System Validation Kit	D835V2	4d162	Dec. 05, 2018	Nov. 24, 2021	
SPEAG	1900MHz System Validation Kit	D1900V2	5d182	Dec. 07, 2018	Nov. 24, 2021	
SPEAG	2600MHz System Validation Kit	D2600V2	1070	Dec. 07, 2018	Nov. 24, 2021	
SPEAG	3500MHz System Validation Kit	D3500V2	1076	Apr. 29, 2019	Apr. 14, 2022	
SPEAG	Data Acquisition Electronics	DAE4	715	Jul. 27, 2020	Jul. 26, 2021	
SPEAG	Dosimetric E-Field Probe	EX3DV4	7576	Apr. 26, 2021	Apr. 25, 2022	
SPEAG	SAM Twin Phantom	QD 000 P40 CD	1670	NCR	NCR	
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR	
Anritsu	Radio communication analyzer	MT8820C	6201300653	Jul. 21, 2020	Jul. 20, 2021	
Anritsu	Radio communication analyzer	MT8821C	6201588577	Apr. 08, 2021	Apr. 07, 2022	
Agilent	Wireless Communication Test Set	E5515C	MY50267224	Jul. 21, 2020	Jul. 20, 2021	
Agilent	Network Analyzer	E5071C	MY46523671	Oct. 15, 2020	Oct. 14, 2021	
Speag	Dielectric Assessment KIT	DAK-3.5	1071	Dec. 23, 2020	Dec. 22, 2021	
Agilent	Signal Generator	N5181A	MY50145381	Dec. 25, 2020	Dec. 24, 2021	
Anritsu	Power Senor	MA2411B	1306099	Dec. 25, 2020	Dec. 24, 2021	
Anritsu	Power Meter	ML2495A	1349001	Jul. 21, 2020	Jul. 20, 2021	
Anritsu	Power Sensor	MA2411B	1207253	Dec. 25, 2020	Dec. 24, 2021	
Anritsu	Power Meter	ML2495A	1218010	Dec. 25, 2020	Dec. 24, 202	
TES	Hygrometer	1310	200505600	Jul. 30, 2020	Jul. 29, 2021	
Anymetre	Thermo-Hygrometer	JR593	2015030903	Jan. 05, 2021	Jan. 04, 2022	
AR	Amplifier	5S1G4	0333096	Apr. 08, 2021	Apr. 07, 2022	
mini-circuits	Amplifier	ZVE-3W-83+	599201528	Apr. 08, 2021	Apr. 07, 2022	
Keysight	5G Wireless Test Platform	E7515B	MY59321595	Mar. 08, 2021	Mar. 07, 2022	
R&S	Wideband Radio Communication Tester	CMW500	106599	Nov. 06, 2020	Nov. 05, 2021	
R&S	Power Sensor	NRP50S	101254	Apr. 09, 2021	Apr. 08, 2022	
R&S	Power Sensor	NRP8S	109228	Apr. 09, 2021	Apr. 08, 2022	
SPEAG	Device Holder	N/A	N/A	N/A	N/A	
ARRA	Power Divider	A3200-2	N/A	No	te 1	
ET Industries	Dual Directional Coupler	C-058-10	N/A	No	te 1	
Weinschel	Attenuator 1	3M-10	N/A	No	te 1	
Weinschel	Attenuator 2	3M-20	N/A	No	te 1	
TRM	Directional Coupler	DCS1070	50021-1	No	te 1	
TRM	Directional Coupler	DCS1070	50021-2	No	te 1	

Issued Date : Jul. 05, 2021



#### **General Note:**

- Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.

  2. The dipole calibration interval can be extended to 3 years with justification according to KDB 865664 D01. The dipoles are also
- not physically damaged, or repaired during the interval. The justification data in appendix D can be found which the return loss is <-20dB, within 20% of prior calibration, the impedance is within 5 ohm of prior calibration for each dipole.

Page 84

#### 6 SAR system verification and validation

#### 6.1. Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity (σ)	Permittivity (εr)
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
1750, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
2300	55.0	0	0	0	0	45.0	1.67	39.5
2600	54.8	0	0	0.1	0	45.1	1.96	39.0

Simulating Liquid for 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

#### <Tissue Check Results>

Frequency (MHz)	Liquid Temp. (℃)	Conductivity (σ)	Permittivity (ε <sub>r</sub> )	Conductivity Target (σ)	Permittivity Target (ε <sub>r</sub> )	Delta (σ) (%)	Delta (ε <sub>r</sub> ) (%)	Limit (%)	Date
835	22.4	0.910	43.516	0.90	41.50	1.11	4.86	±5	2021/6/28
1900	22.8	1.440	41.700	1.40	40.00	2.86	4.25	±5	2021/6/28
2600	22.5	1.940	40.700	1.96	39.00	-1.02	4.36	±5	2021/6/28
3500	22.7	2.820	39.200	2.91	37.90	-3.09	3.43	±5	2021/6/28

#### 6.2. System Verification

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix C.

#### <System Verification Results>

1g SAR

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2021/6/28	835	HSL	250	D835V2-4d162	EX3DV4 - SN7576	DAE4 Sn715	2.30	9.61	9.2	-4.27
2021/6/28	1900	HSL	250	D1900V2-5d182	EX3DV4 - SN7576	DAE4 Sn715	9.94	39.60	39.76	0.40
2021/6/28	2600	HSL	250	D2600V2-1070	EX3DV4 - SN7576	DAE4 Sn715	13.50	58.10	54	-7.06
2021/6/28	3500	HSL	100	D3500V2-1076	EX3DV4 - SN7576	DAE4 Sn715	6.63	67.90	66.3	-2.36

10g SAR

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 10g SAR (W/kg)	Targeted 10g SAR (W/kg)	Normalized 10g SAR (W/kg)	Deviation (%)
2021/6/28	835	HSL	250	D835V2-4d162	EX3DV4 - SN7576	DAE4 Sn715	1.50	6.35	6	-5.51
2021/6/28	1900	HSL	250	D1900V2-5d182	EX3DV4 - SN7576	DAE4 Sn715	5.14	20.70	20.56	-0.68
2021/6/28	2600	HSL	250	D2600V2-1070	EX3DV4 - SN7576	DAE4 Sn715	6.07	26.10	24.28	-6.97
2021/6/28	3500	HSL	100	D3500V2-1076	EX3DV4 - SN7576	DAE4 Sn715	2.54	25.30	25.4	0.40

Page 86

Issued Date : Jul. 05, 2021

#### System Check\_Head\_835MHz

#### D835V2-SN:4d162

Communication System: D835; Frequency: 835.0

Medium: HSL. Medium parameters used: f= 835.0 MHz;  $\sigma$ = 0.91 S/m;  $\epsilon$ <sub>r</sub> = 43.516

Ambient Temperature: 23.6°C; Liquid Temperature: 22.4°C

#### DASY6 Configuration:

- Probe: EX3DV4 - SN7576; ConvF(10.19, 10.19, 10.19); Calibrated: 2021/4/26

- Sensor-Surface: 1.4 mm

- Electronics: DAE4 Sn715; Calibrated: 2020/7/27

- Phantom: Twin-SAM V5.0 (30deg probe tilt); Serial: 1670; Section: Flat

- Measurement Software: cDASY6 V6.6.0.13926

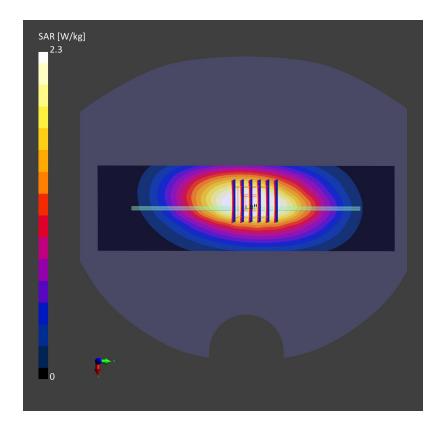
- UID: CW, 0--

- MAIA: Area Scan: N/A; Zoom Scan: N/A

Area Scan (60.0 mm x 210.0 mm): Measurement Grid: 15.0 mm x 15.0 mm SAR (1g) = 2.24 W/kg; SAR (10g) = 1.49 W/kg;

**Zoom Scan (30.0 mm x 30.0 mm x 30.0 mm)**: Measurement Grid: 6.0 mm x 6.0 mm x 1.5 mm Power Drift = 0.05 dB

SAR (1g) = 2.30 W/kg; SAR (10g) = 1.50 W/kg;



#### System Check\_Head\_1900MHz

#### D1900V2-SN:5d182

Communication System: D1900; Frequency: 1900.0

Medium: HSL. Medium parameters used: f= 1900.0 MHz;  $\sigma$ = 1.44 S/m;  $\varepsilon_r$  = 41.7

Ambient Temperature: 23.6°C; Liquid Temperature: 22.8°C

#### DASY6 Configuration:

- Probe: EX3DV4 - SN7576; ConvF(8.33, 8.33, 8.33); Calibrated: 2021/4/26

- Sensor-Surface: 1.4 mm

- Electronics: DAE4 Sn715; Calibrated: 2020/7/27

- Phantom: Twin-SAM V5.0 (30deg probe tilt); Serial: 1670; Section: Flat

- Measurement Software: cDASY6 V6.6.0.13926

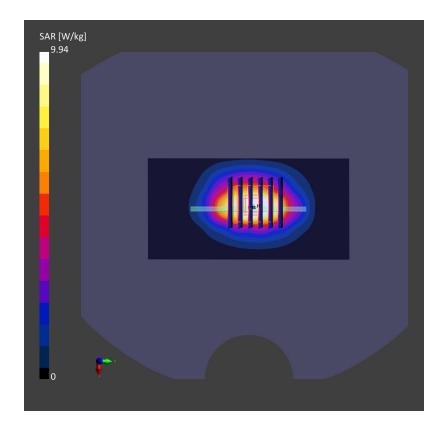
- UID: CW, 0--

- MAIA: Area Scan: N/A; Zoom Scan: N/A

**Area Scan (60.0 mm x 120.0 mm)**: Measurement Grid: 15.0 mm x 15.0 mm SAR (1g) = 9.50 W/kg; SAR (10g) = 4.96 W/kg;

**Zoom Scan (30.0 mm x 30.0 mm x 30.0 mm)**: Measurement Grid: 6.0 mm x 6.0 mm x 1.5 mm Power Drift = 0.01 dB

SAR (1g) = 9.94 W/kg; SAR (10g) = 5.14 W/kg;



#### System Check\_Head\_2600MHz

#### D2600V2-SN:1070

Communication System: D2600; Frequency: 2600.0

Medium: HSL. Medium parameters used: f= 2600.0 MHz;  $\sigma= 1.94 \text{ S/m}$ ;  $\epsilon r=40.7$ 

Ambient Temperature: 23.8°C; Liquid Temperature: 22.5°C

#### DASY6 Configuration:

- Probe: EX3DV4 - SN7576; ConvF(7.47, 7.47, 7.47); Calibrated:2021/4/26

- Sensor-Surface: 1.4 mm

- Electronics: DAE4 Sn715; Calibrated: 2020/7/27

- Phantom: Twin-SAM V5.0 (30deg probe tilt); Serial: 1670; Section: Flat

- Measurement Software: cDASY6 V6.6.0.13926

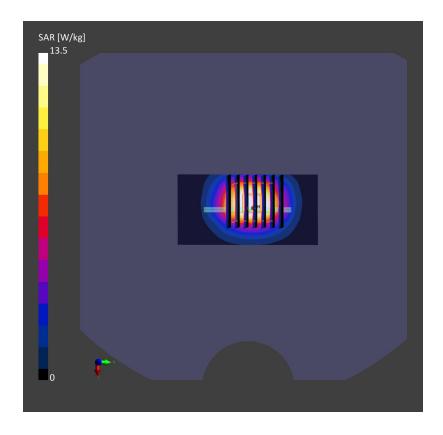
- UID: CW, 0--

- MAIA: Area Scan: N/A; Zoom Scan: N/A

Area Scan (40.0 mm x 80.0 mm): Measurement Grid: 10.0 mm x 10.0 mm SAR (1g) = 12.9 W/kg; SAR (10g) = 6.06 W/kg;

**Zoom Scan (30.0 mm x 30.0 mm x 30.0 mm)**: Measurement Grid: 5.0 mm x 5.0 mm x 1.5 mm Power Drift = 0.00 dB

SAR (1g) = 13.5 W/kg; SAR (10g) = 6.07 W/kg;



#### System Check\_Head\_3500MHz

#### D3500V2-SN:1076

Communication System: D3500; Frequency: 3500.0

Medium: HSL. Medium parameters used: f= 3500.0 MHz;  $\sigma$ = 2.82 S/m;  $\epsilon_r$  = 39.2

Ambient Temperature: 23.7°C; Liquid Temperature: 22.7°C

#### DASY6 Configuration:

- Probe: EX3DV4 - SN7576; ConvF(6.62, 6.62, 6.62); Calibrated: 2021/4/26

- Sensor-Surface: 1.4 mm

- Electronics: DAE4 Sn715; Calibrated: 2020/7/27

- Phantom: Twin-SAM V5.0 (30deg probe tilt); Serial: 1670; Section: Flat

- Measurement Software: cDASY6 V6.6.0.13926

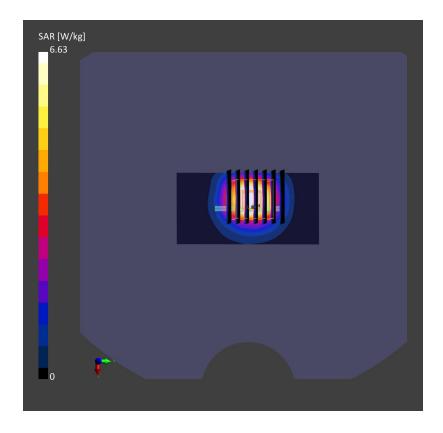
- UID: CW, 0--

- MAIA: Area Scan: N/A; Zoom Scan: N/A

Area Scan (40.0 mm x 80.0 mm): Measurement Grid: 10.0 mm x 10.0 mm SAR (1g) = 6.14 W/kg; SAR (10g) = 2.53 W/kg;

**Zoom Scan (28.0 mm x 28.0 mm x 28.0 mm)**: Measurement Grid: 5.0 mm x 5.0 mm x 1.4 mm Power Drift = -0.06 dB

SAR (1g) = 6.63 W/kg; SAR (10g) = 2.54 W/kg;









Add: No.51 Xueyuan Road, Haidian District, Beijing, 100191, China Fax: +86-10-62304633-2504 Tel: +86-10-62304633-2079 http://www.chinattl.cn E-mail: cttl@chinattl.com

MRA CNA



Client

**Sporton** 

**Certificate No:** 

Z18-60533

## OYAMIERVATIONKOERTIEKOVATE

Object

D835V2 - SN: 4d162

Calibration Procedure(s)

FF-Z11-003-01

Calibration Procedures for dipole validation kits

Calibration date:

December 5, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD	102196	07-Mar-18 (CTTL, No.J18X01510)	Mar-19
Power sensor NRV-Z5	100596	07-Mar-18 (CTTL, No.J18X01510)	Mar-19
Reference Probe EX3DV4	SN 7514	27-Aug-18(SPEAG,No.EX3-7514_Aug18)	Aug-19
DAE4	SN 1555	20-Aug-18(SPEAG,No.DAE4-1555_Aug18)	Aug-19
Secondary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	23-Jan-18 (CTTL, No.J18X00560)	Jan-19
NetworkAnalyzer E5071C	MY46110673	24-Jan-18 (CTTL, No.J18X00561)	Jan-19

Name

**Function** 

Calibrated by:

Zhao Jing

**SAR Test Engineer** 

Reviewed by:

Lin Hao

**SAR Test Engineer** 

Approved by:

Qi Dianyuan

SAR Project Leader

Issued: December 8, 2018

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Glossary:

TSL

tissue simulating liquid

ConvF

sensitivity in TSL / NORMx,y,z

N/A

not applicable or not measured

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016

c) IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of

30MHz to 6GHz)", March 2010

d) KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

#### **Additional Documentation:**

e) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end
  of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed
  point exactly below the center marking of the flat phantom section, with the arms oriented
  parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.

Electrical Delay: One-way delay between the SMA connector and the antenna feed point.

No uncertainty required.

SAR measured: SAR measured at the stated antenna input power.

- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

Certificate No: Z18-60533 Page 2 of 8

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#### **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY52	52.10.2.1495
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	835 MHz ± 1 MHz	

**Head TSL parameters** 

The following parameters and calculations were applied.

The following parameters and caroananems were	Temperature	Permittivity	Conductivity	
Nominal Head TSL parameters	22.0 °C	41.5	0.90 mho/m	
Measured Head TSL parameters	(22.0 ± 0.2) °C	42.7 ± 6 %	0.88 mho/m ± 6 %	
Head TSL temperature change during test	<1.0 °C			

SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	2.35 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	9.61 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	1.56 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	6.35 mW /g ± 18.7 % (k=2)

**Body TSL parameters** 

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	55.2	0.97 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	53.7 ± 6 %	0.99 mho/m ± 6 %
Body TSL temperature change during test	<1.0 °C	***************************************	

SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	2.47 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	9.70 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	1.64 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	6.47 mW /g ± 18.7 % (k=2)

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## Appendix (Additional assessments outside the scope of CNAS L0570)

## Antenna Parameters with Head TSL

Impedance, transformed to feed point	52.6Ω- 2.56jΩ
Return Loss	- 28.9dB

### Antenna Parameters with Body TSL

Impedance, transformed to feed point	47.2Ω- 6.92jΩ
Return Loss	- 22.3dB

## General Antenna Parameters and Design

Electrical Delay (one direction)		1.306 ns	

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard. No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### **Additional EUT Data**

Certificate No: Z18-60533

-	B. C. atumo d leve	SPEAG
	Manufactured by	

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#### **DASY5 Validation Report for Head TSL**

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d162

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used: f = 835 MHz;  $\sigma = 0.881$  S/m;  $\varepsilon_r = 42.71$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

**DASY5** Configuration:

 Probe: EX3DV4 - SN7514; ConvF(9.09, 9.09, 9.09) @ 835 MHz; Calibrated: 8/27/2018

Date: 12.04.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP\_V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

#### Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm,

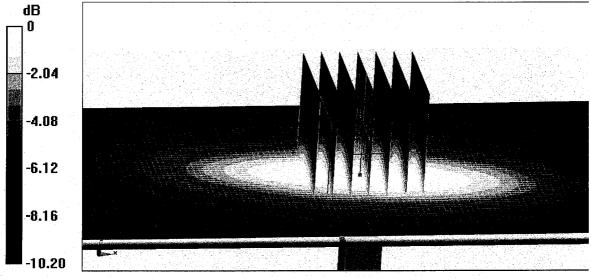
dy=5mm, dz=5mm

Reference Value = 57.75 V/m; Power Drift = 0.03 dB

Peak SAR (extrapolated) = 3.50 W/kg

SAR(1 g) = 2.35 W/kg; SAR(10 g) = 1.56 W/kg

Maximum value of SAR (measured) = 3.11 W/kg

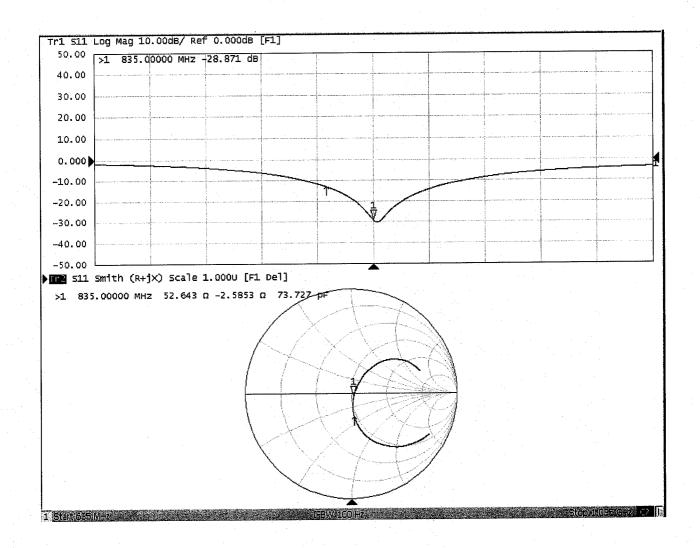


0 dB = 3.11 W/kg = 4.93 dBW/kg



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## Impedance Measurement Plot for Head TSL



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#### **DASY5 Validation Report for Body TSL**

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d162** 

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used: f = 835 MHz;  $\sigma = 0.986$  S/m;  $\varepsilon_r = 53.72$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

DASY5 Configuration:

Probe: EX3DV4 - SN7514; ConvF(9.47, 9.47, 9.47) @ 835 MHz; Calibrated: 8/27/2018

Date: 12.04.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

### Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm,

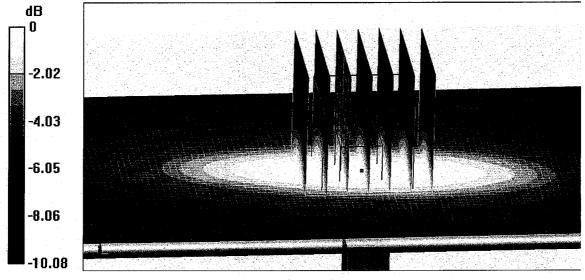
dy=5mm, dz=5mm

Reference Value = 55.24 V/m; Power Drift = -0.03 dB

Peak SAR (extrapolated) = 3.72 W/kg

SAR(1 g) = 2.47 W/kg; SAR(10 g) = 1.64 W/kg

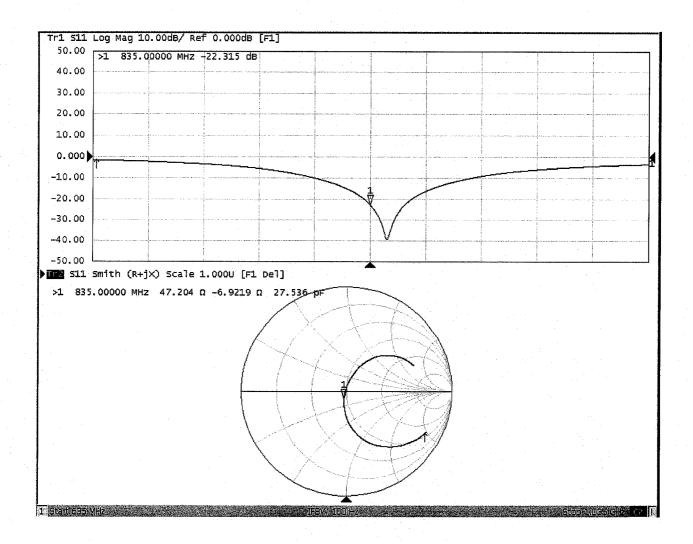
Maximum value of SAR (measured) = 3.29 W/kg



0 dB = 3.29 W/kg = 5.17 dBW/kg

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#### Impedance Measurement Plot for Body TSL





## D835V2, Serial No. 4d162 Extended Dipole Calibrations

Referring to KDB 865664 D01 v01r02, if dipoles are verified in return loss (<-20dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

D835V2 – serial no. 4d162												
835 Head						835 Body						
Date of Measurement	Return-Loss (dB)	Delta	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
2018.12.5	-28.9		52.6		-2.56		-22.3		47.2		-6.92	
2019.11.25	-29.2	1.0	53.4	0.8	-1.48	1.08	-21.1	5.4	46.6	-0.6	-7.81	-0.89
2020.11.25	-29.3	1.4	52.8	0.2	-2.19	0.37	-22.6	1.3	47.7	0.5	-6.80	0.12

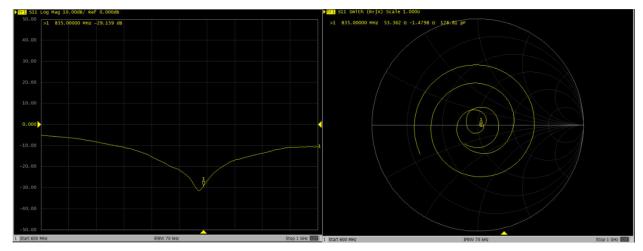
#### <Justification of the extended calibration>

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration. Therefore the verification result should support extended calibration.

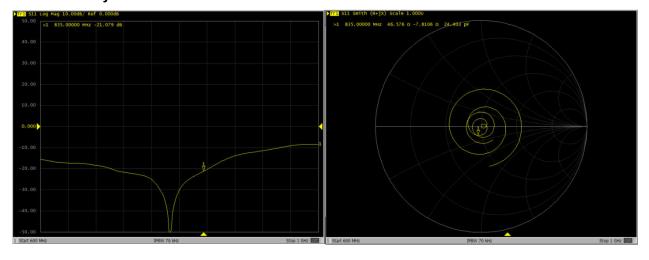


#### Dipole Verification Data> 835V2, serial no. 4d162

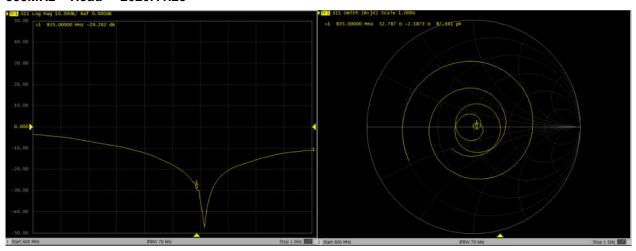
#### 835MHz - Head----2019.11.25



#### 835MHz - Body----2019.11.25

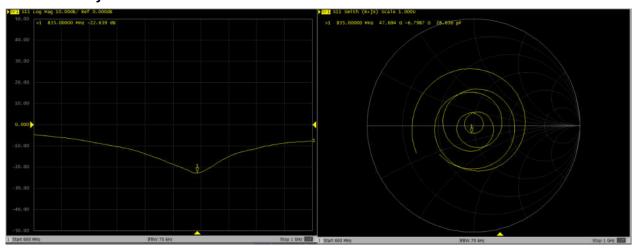


#### 835MHz - Head----2020.11.25





#### 835MHz - Body----2020.11.25





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# CALIBRATION LAB

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Client

**Sporton** 

**Certificate No:** 

Z18-60536

## CANDERVATION CERTIFICATE

Object

D1900V2 - SN: 5d182

Calibration Procedure(s)

FF-Z11-003-01

Calibration Procedures for dipole validation kits

Calibration date:

December 7, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

		Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD Power sensor NRV-Z5 Reference Probe EX3DV4	100596	07-Mar-18 (CTTL, No.J18X01510) 07-Mar-18 (CTTL, No.J18X01510) 27-Aug-18(SPEAG,No.EX3-7514_Aug18) 20-Aug-18(SPEAG,No.DAE4-1555_Aug18)	Mar-19 Mar-19 Aug-19 Aug-19
Secondary Standards Signal Generator E4438C NetworkAnalyzer E5071C	ID# MY49071430 MY46110673	Cal Date(Calibrated by, Certificate No.) 23-Jan-18 (CTTL, No.J18X00560) 24-Jan-18 (CTTL, No.J18X00561)	Scheduled Calibration Jan-19 Jan-19

Name

**Function** 

Calibrated by:

Zhao Jing

SAR Test Engineer

Reviewed by:

Lin Hao

SAR Test Engineer

Approved by:

Qi Dianyuan

SAR Project Leader

Issued: December 10, 2018

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lossary:

TSL

tissue simulating liquid

ConvF

sensitivity in TSL / NORMx,y,z

N/A

not applicable or not measured

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016

c) IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010

d) KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

## **Additional Documentation:**

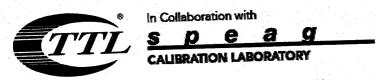
Certificate No: Z18-60536

e) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.



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## **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

ASY system configuration, as far a	DASY52	52.10.2.1495	
Extrapolation	Advanced Extrapolation		
Phantom	Triple Flat Phantom 5.1C		
Distance Dipole Center - TSL	10 mm	with Spacer	
Zoom Scan Resolution	dx, dy, dz = 5 mm		
Frequency	1900 MHz ± 1 MHz		

**Head TSL parameters** 

The following parameters and calculations were applied.

ne following parameters and calculations were	Temperature	Permittivity	Conductivity	
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m	
Measured Head TSL parameters	(22.0 ± 0.2) °C	39.6 ± 6 %	1.44 mho/m ± 6 %	
Head TSL temperature change during test	<1.0 °C			

SAR result with Head TSL

R result with Head TSL	D 100	
SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	10.1 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	39.6 mW /g ± 18.8 % (k=2)
SAR averaged over 10 $cm^3$ (10 g) of Head TSL	Condition	
	250 mW input power	5.25 mW / g
SAR measured	normalized to 1W	20.7 mW /g ± 18.7 % (k=2)
SAR for nominal Head TSL parameters		

**Body TSL parameters** 

The following parameters and calculations were applied.

ne following parameters and calculations were	Temperature	Permittivity	Conductivity	
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m	
Measured Body TSL parameters	(22.0 ± 0.2) °C	51.8 ± 6 %	1.56 mho/m ± 6 %	
Body TSL temperature change during test				

SAR result with Body TSL

result with Body TSL			
SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition		
	250 mW input power	10.2 mW / g	
SAR measured	normalized to 1W	39.9 mW /g ± 18.8 % (k=2)	
SAR for nominal Body TSL parameters	Horridized to		
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition		
	250 mW input power	5.31 mW / g	
SAR measured	normalized to 1W	20.9 mW /g ± 18.7 % (k=2)	
SAR for nominal Body TSL parameters	HOITHAILZEG TO TVV		

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## Appendix (Additional assessments outside the scope of CNAS L0570)

## Antenna Parameters with Head TSL

Impedance, transformed to feed point	52.1Ω+ 5.35jΩ
Return Loss	- 25.0dB
Return Loss	

## Antenna Parameters with Body TSL

Impedance, transformed to feed point	48.9Ω+ 6.19jΩ
	- 24.0dB
Return Loss	

## General Antenna Parameters and Design

- direction)	1.067 ns
Electrical Delay (one direction)	

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard. No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### **Additional EUT Data**

_		SPEAG
M	anufactured by	

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## DASY5 Validation Report for Head TSL

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d182

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used: f = 1900 MHz;  $\sigma = 1.441$  S/m;  $\epsilon_r = 39.59$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

DASY5 Configuration:

Probe: EX3DV4 - SN7514; ConvF(7.73, 7.73, 7.73) @ 1900 MHz; Calibrated: 8/27/2018

Date: 12.06.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP\_V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid:

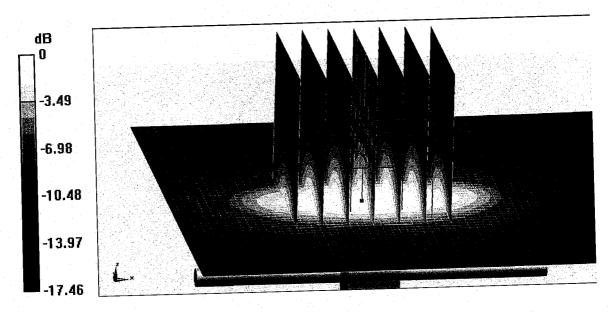
dx=5mm, dy=5mm, dz=5mm

Reference Value = 95.91 V/m; Power Drift = 0.00 dB

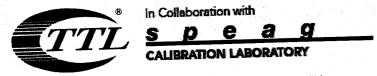
Peak SAR (extrapolated) = 19.3 W/kg

SAR(1 g) = 10.1 W/kg; SAR(10 g) = 5.25 W/kg

Maximum value of SAR (measured) = 15.8 W/kg

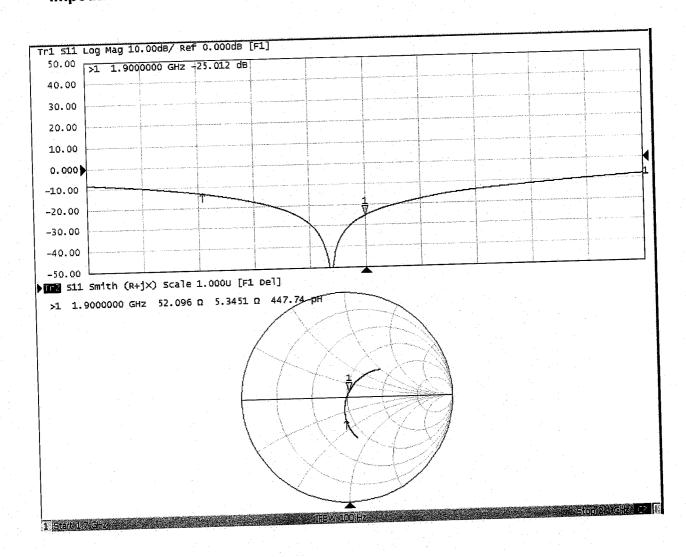


0 dB = 15.8 W/kg = 11.99 dBW/kg

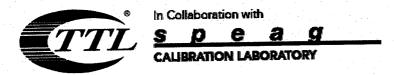


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## Impedance Measurement Plot for Head TSL



Certificate No: Z18-60536



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**DASY5 Validation Report for Body TSL** 

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d182

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used: f = 1900 MHz;  $\sigma = 1.564$  S/m;  $\epsilon_r = 51.82$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

DASY5 Configuration:

Certificate No: Z18-60536

 Probe: EX3DV4 - SN7514; ConvF(7.53, 7.53, 7.53) @ 1900 MHz; Calibrated: 8/27/2018

Date: 12.05.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP\_V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid:

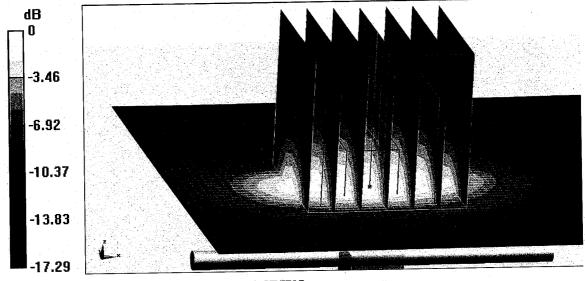
dx=5mm, dy=5mm, dz=5mm

Reference Value = 84.07 V/m; Power Drift = -0.04 dB

Peak SAR (extrapolated) = 18.9 W/kg

SAR(1 g) = 10.2 W/kg; SAR(10 g) = 5.31 W/kg

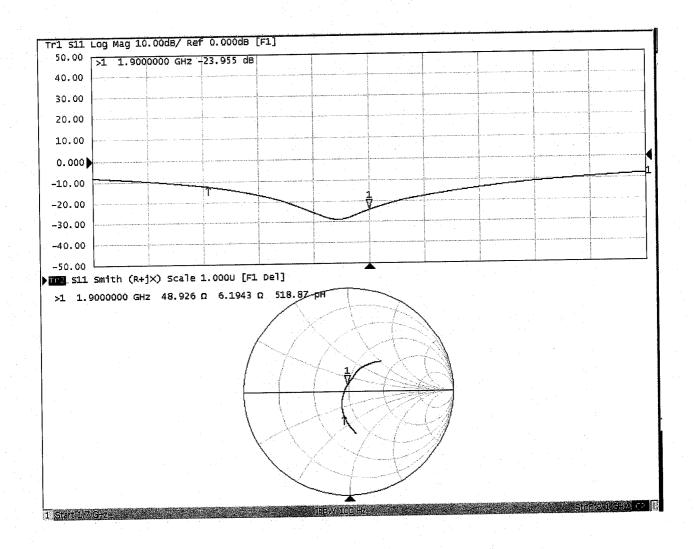
Maximum value of SAR (measured) = 15.7 W/kg



0 dB = 15.7 W/kg = 11.96 dBW/kg

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# Impedance Measurement Plot for Body TSL





# D1900V2, Serial No. 5d182 Extended Dipole Calibrations

Referring to KDB 865664 D01 v01r02, if dipoles are verified in return loss (<-20dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

D1900V2 – serial no. 5d182												
		1900 Head						1900 Body				
Date of Measurement	Return-Loss (dB)	Delta	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
2018.12.7	-25		52.1		5.35		-24		48.9		6.19	
2019.11.25	-25.2	-0.8	53.9	1.8	5.15	-0.2	-24.2	-0.8	48.7	-0.2	5.93	-0.26
2020.11.25	-25.8	3.2	52.6	0.5	4.56	-0.79	-24.2	-0.8	49.6	0.7	6.11	-0.08

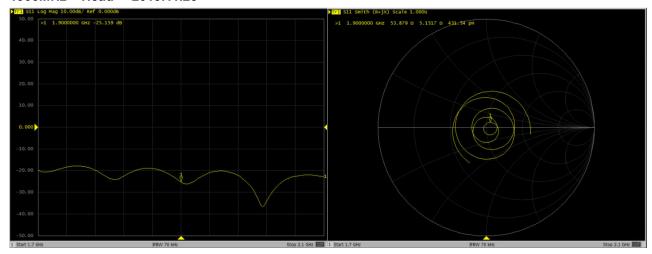
## <Justification of the extended calibration>

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration. Therefore the verification result should support extended calibration.

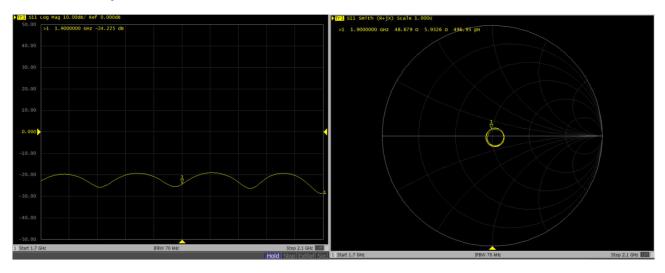


# Dipole Verification Data> D1900V2, serial no. 5d182

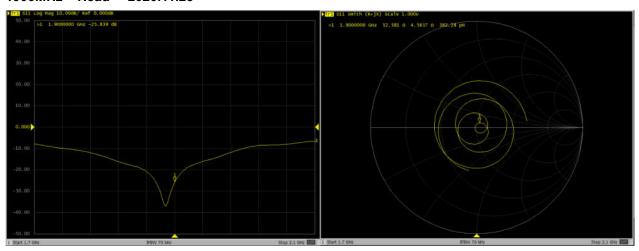
## 1900MHz - Head----2019.11.25



# 1900MHz - Body----2019.11.25

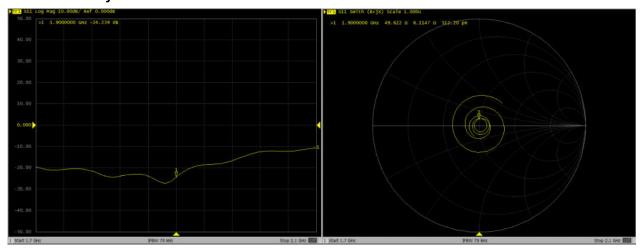


# 1900MHz - Head----2020.11.25





# 1900MHz - Body----2020.11.25





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Client

Sporton

**Certificate No:** 

Z18-60537

# CALIBRATION GERTIFICATIE

Object

D2600V2 - SN: 1070

Calibration Procedure(s)

FF-Z11-003-01

Calibration Procedures for dipole validation kits

Calibration date:

December 7, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3) $^{\circ}$ C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRVD	102196	07-Mar-18 (CTTL, No.J18X01510)	Mar-19
Power sensor NRV-Z5	100596	07-Mar-18 (CTTL, No.J18X01510)	Mar-19
Reference Probe EX3DV4	SN 7514	27-Aug-18(SPEAG,No.EX3-7514_Aug18)	Aug-19
DAE4	SN 1555	20-Aug-18(SPEAG,No.DAE4-1555_Aug18)	Aug-19
Secondary Standards	ID#	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Signal Generator E4438C	MY49071430	23-Jan-18 (CTTL, No.J18X00560)	Jan-19
Network Analyzer E5071C	MY46110673	24-Jan-18 (CTTL, No.J18X00561)	Jan-19
			·.

Name

**Function** 

Calibrated by:

Zhao Jing

**SAR Test Engineer** 

Reviewed by:

Lin Hao

SAR Test Engineer

Approved by:

Qi Dianyuan

SAR Project Leader

Issued: December 10, 2018

Signature

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z18-60537

Page 1 of 8



CALIBRATION LABORATORY

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Glossary:

TSL ConvF tissue simulating liquid

sensitivity in TSL / NORMx, v, z

N/A not applicable or not measured

# Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016
- c) IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- d) KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

## Additional Documentation:

e) DASY4/5 System Handbook

# Methods Applied and Interpretation of Parameters:

- Measurement Conditions: Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

Certificate No: Z18-60537



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# **Measurement Conditions**

DASY system configuration, as far as not given on page 1

DASY Version	DASY52	52.10.2.1495
Extrapolation	Advanced Extrapolation	
Phantom	Triple Flat Phantom 5.1C	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	2600 MHz ± 1 MHz	

# **Head TSL parameters**

The following parameters and calculations were applied

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	39.0	1.96 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	39.1 ± 6 %	1.93 mho/m ± 6 %
Head TSL temperature change during test	<1.0 °C		

# SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	14.4 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	58.1 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	Condition	
SAR measured	250 mW input power	6.50 mW / g
SAR for nominal Head TSL parameters	normalized to 1W	26.1 mW /g ± 18.7 % (k=2)

# **Body TSL parameters**

The following parameters and calculations were applied.

Temperature	Permittivity	Conductivity
22.0 °C	52.5	2.16 mho/m
(22.0 ± 0.2) °C	51.0 ± 6 %	2.18 mho/m ± 6 %
<1.0 °C		
	22.0 °C (22.0 ± 0.2) °C	22.0 °C 52.5 (22.0 ± 0.2) °C 51.0 ± 6 %

SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	13.8 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	54.6 mW /g ± 18.8 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	Condition	
SAR measured	250 mW input power	6.18 mW / g
SAR for nominal Body TSL parameters	normalized to 1W	24.6 mW /g ± 18.7 % (k=2)

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# Appendix(Additional assessments outside the scope of CNAS L0570)

# **Antenna Parameters with Head TSL**

Impedance, transformed to feed point	48.6Ω- 6.33jΩ
Return Loss	- 23.7dB

# Antenna Parameters with Body TSL

Impedance, transformed to feed point	44.8Ω- 5.36jΩ
Return Loss	- 22.1dB

# General Antenna Parameters and Design

Electrical Delay (one direction)	1.015 ns
	1.010110

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard. No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

## **Additional EUT Data**

Manufactured by	SPEAG

Certificate No: Z18-60537



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# **DASY5 Validation Report for Head TSL**

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 2600 MHz; Type: D2600V2; Serial: D2600V2 - SN: 1070

Communication System: UID 0, CW; Frequency: 2600 MHz; Duty Cycle: 1:1

Medium parameters used: f = 2600 MHz;  $\sigma = 1.926$  S/m;  $\epsilon_r = 39.1$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

**DASY5** Configuration:

Probe: EX3DV4 - SN7514; ConvF(6.92, 6.92, 6.92) @ 2600 MHz; Calibrated: 8/27/2018

Date: 12.06.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP\_V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

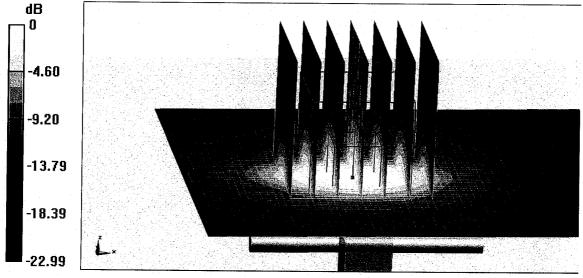
Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 99.07 V/m; Power Drift = 0.01 dB

Peak SAR (extrapolated) = 31.1 W/kg

SAR(1 g) = 14.4 W/kg; SAR(10 g) = 6.5 W/kg

Maximum value of SAR (measured) = 24.7 W/kg



0 dB = 24.7 W/kg = 13.93 dBW/kg

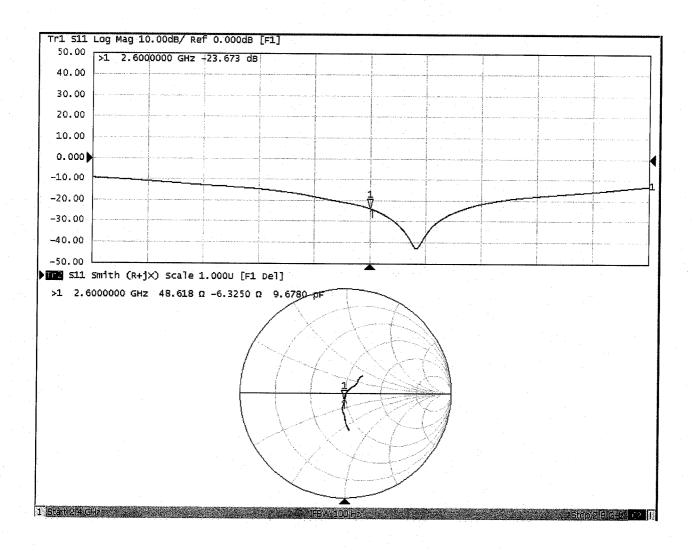


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# Impedance Measurement Plot for Head TSL





# S P E A G

Add: No.51 Xueyuan Road, Haidian District, Beijing, 100191, China Tel: +86-10-62304633-2079 Fax: +86-10-62304633-2504 http://www.chinattl.cn

# **DASY5 Validation Report for Body TSL**

Test Laboratory: CTTL, Beijing, China

DUT: Dipole 2600 MHz; Type: D2600V2; Serial: D2600V2 - SN: 1070

Communication System: UID 0, CW; Frequency: 2600 MHz; Duty Cycle: 1:1

Medium parameters used: f = 2600 MHz;  $\sigma = 2.181$  S/m;  $\epsilon_r = 51.03$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

**DASY5** Configuration:

 Probe: EX3DV4 - SN7514; ConvF(7.06, 7.06, 7.06) @ 2600 MHz; Calibrated: 8/27/2018

Date: 12.06.2018

- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1555; Calibrated: 8/20/2018
- Phantom: MFP\_V5.1C; Type: QD 000 P51CA; Serial: 1062
- Measurement SW: DASY52, Version 52.10 (2); SEMCAD X Version 14.6.12 (7450)

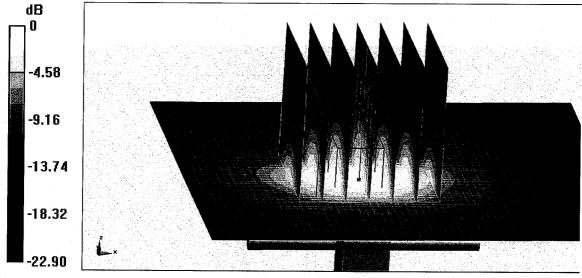
**Dipole Calibration**/Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 87.90 V/m; Power Drift = -0.04 dB

Peak SAR (extrapolated) = 29.5 W/kg

SAR(1 g) = 13.8 W/kg; SAR(10 g) = 6.18 W/kg

Maximum value of SAR (measured) = 23.6 W/kg



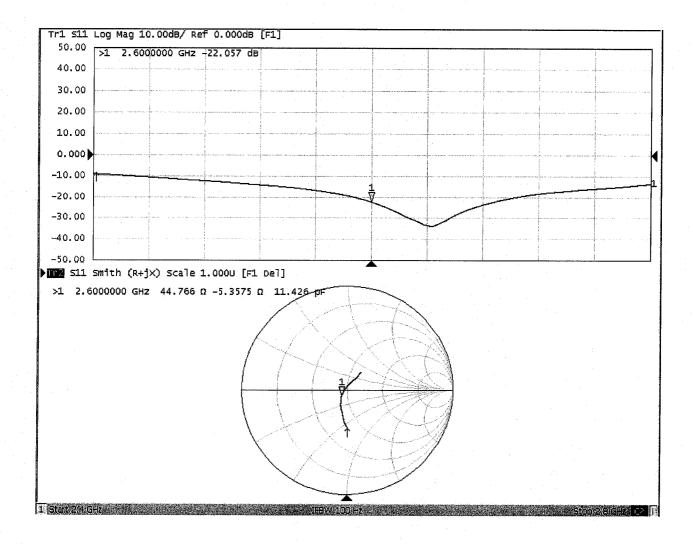
0 dB = 23.6 W/kg = 13.73 dBW/kg



# S D E A G

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# Impedance Measurement Plot for Body TSL





# D2600V2, Serial No. 1070 Extended Dipole Calibrations

Referring to KDB 865664 D01 v01r02, if dipoles are verified in return loss (<-20dB, within 20% of prior calibration), and in impedance (within 5 ohm of prior calibration), the annual calibration is not necessary and the calibration interval can be extended.

D2600V2 – serial no. 1070												
		2600 Body										
Date of Measurement	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)	Return-Loss (dB)	Delta (%)	Real Impedance (ohm)	Delta (ohm)	Imaginary Impedance (ohm)	Delta (ohm)
2018.12.7	-23.7		48.6		-6.33		-22.1		44.8		-5.36	
2019.11.25	-23.1	2.5	48.6	0	-6.82	-0.49	-22.0	0.5	45.3	0.5	-4.65	0.71
2020.11.25	-23.5	0.8	48.8	0.2	-5.93	0.4	-22.0	0.5	44.5	-0.3	-5.04	0.32

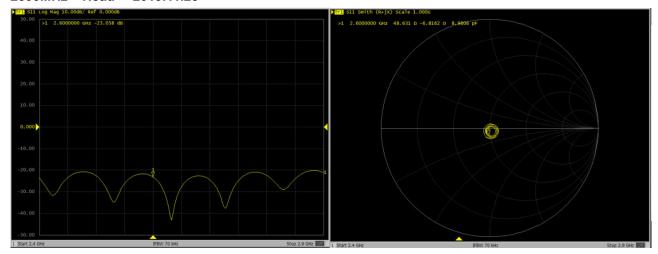
## <Justification of the extended calibration>

The return loss is < -20dB, within 20% of prior calibration; the impedance is within 5 ohm of prior calibration. Therefore the verification result should support extended calibration.

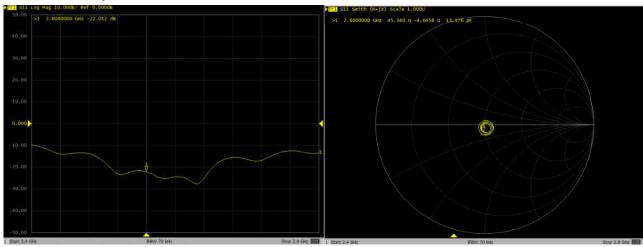


# Dipole Verification Data> D2600V2, serial no. 1070

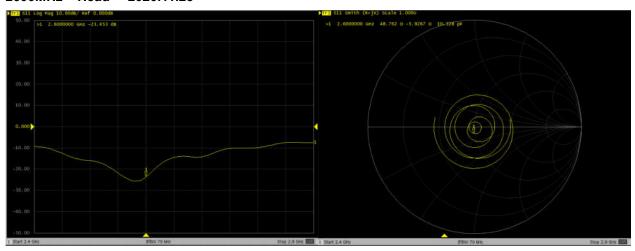
## 2600MHz - Head----2019.11.25



# 2600MHz - Body----2019.11.25

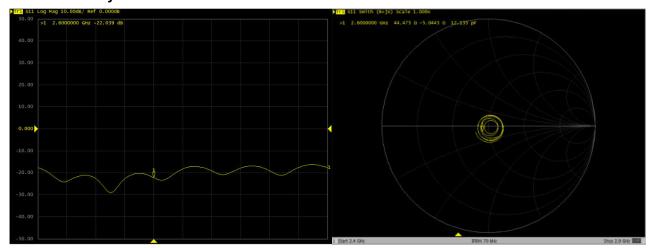


# 2600MHz - Head----2020.11.25





# 2600MHz - Body----2020.11.25



# Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

Client

**Sporton** 

Certificate No: D3500V2-1076\_Apr19

# CALIBRATION CERTIFICATE

Object

D3500V2 - SN:1076

Calibration procedure(s)

QA CAL-22.v4

Calibration Procedure for SAR Validation Sources between 3-6 GHz

Calibration date:

April 29, 2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}$ C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	-ID-#	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: 5058 (20k)	04-Apr-19 (No. 217-02894)	Apr-20
Type-N mismatch combination	SN: 5047.2 / 06327	04-Apr-19 (No. 217-02895)	Apr-20
Reference Probe EX3DV4	SN: 3503	25-Mar-19 (No. EX3-3503_Mar19)	Mar-20
DAE4	SN: 601	04-Oct-18 (No. DAE4-601_Oct18)	Oct-19
Secondary Standards	ID#	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB39512475	07-Oct-15 (in house check Feb-19)	In house check: Oct-20
Power sensor HP 8481A	SN: US37292783	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
Power sensor HP 8481A	SN: MY41092317	07-Oct-15 (in house check Oct-18)	In house check: Oct-20
RF generator R&S SMT-06	SN: 100972	15-Jun-15 (in house check Oct-18)	In house check: Oct-20
Network Analyzer Agilent E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19
	Name	Function	Signature
Calibrated by:	Michael Weber	Laboratory Technician	
			MESS
Approved by:	Katja Pokovic	Technical Manager	

Issued: April 29, 2019

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Certificate No: D3500V2-1076\_Apr19

# **Calibration Laboratory of**

Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

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# Glossary:

TSL

tissue simulating liquid

ConvF

sensitivity in TSL / NORM x,y,z

N/A not applicable or not measured

# Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

# **Additional Documentation:**

e) DASY4/5 System Handbook

# **Methods Applied and Interpretation of Parameters:**

- Measurement Conditions: Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed
  point exactly below the center marking of the flat phantom section, with the arms oriented
  parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole
  positioned under the liquid filled phantom. The impedance stated is transformed from the
  measurement at the SMA connector to the feed point. The Return Loss ensures low
  reflected power. No uncertainty required.
- Electrical Delay: One-way delay between the SMA connector and the antenna feed point.
   No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Certificate No: D3500V2-1076\_Apr19

Page 2 of 6

# **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.10.2
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy = 4  mm, dz = 1.4  mm	Graded Ratio = 1.4 (Z direction)
Frequency	3500 MHz ± 1 MHz	

# **Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	37.9	2.91 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	37.4 ± 6 %	2.90 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

# **SAR** result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	100 mW input power	6.80 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	67.9 W/kg ± 19.9 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	condition	
SAR measured	100 mW input power	2.54 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	25.3 W/kg ± 19.5 % (k=2)

Certificate No: D3500V2-1076\_Apr19

# Appendix (Additional assessments outside the scope of SCS 0108)

## **Antenna Parameters with Head TSL**

Impedance, transformed to feed point	54.7 Ω - 5.8 jΩ
Return Loss	- 23.0 dB

# **General Antenna Parameters and Design**

Electrical Delay (one direction)	1.143 ns

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

## **Additional EUT Data**

Manufactured by SP	EAG

Certificate No: D3500V2-1076\_Apr19 Page 4 of 6

# **DASY5 Validation Report for Head TSL**

Date: 29.04.2019

Test Laboratory: SPEAG, Zurich, Switzerland

# DUT: Dipole 3500 MHz; Type: D3500V2; Serial: D3500V2 - SN:1076

Communication System: UID 0 - CW; Frequency: 3500 MHz

Medium parameters used: f = 3500 MHz;  $\sigma = 2.9 \text{ S/m}$ ;  $\epsilon_r = 37.4$ ;  $\rho = 1000 \text{ kg/m}^3$ 

Phantom section: Flat Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

## **DASY52 Configuration:**

Probe: EX3DV4 - SN3503; ConvF(7.75, 7.75, 7.75) @ 3500 MHz; Calibrated: 25.03.2019

Sensor-Surface: 1.4mm (Mechanical Surface Detection)

Electronics: DAE4 Sn601; Calibrated: 04.10.2018

Phantom: Flat Phantom 5.0 (front); Type: QD 000 P50 AA; Serial: 1001

DASY52 52.10.2(1495); SEMCAD X 14.6.12(7450)

# Dipole Calibration for Head Tissue/Pin=100 mW, d=10mm/Zoom Scan, dist=1.4mm

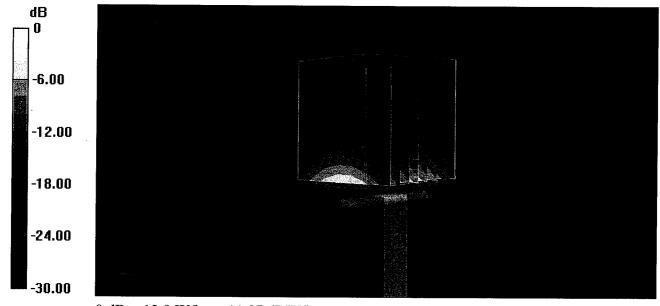
(8x8x8)/Cube 0: Measurement grid: dx=4mm, dy=4mm, dz=1.4mm

Reference Value = 72.24 V/m; Power Drift = -0.02 dB

Peak SAR (extrapolated) = 18.6 W/kg

SAR(1 g) = 6.8 W/kg; SAR(10 g) = 2.54 W/kg

Maximum value of SAR (measured) = 12.8 W/kg



0 dB = 12.8 W/kg = 11.07 dBW/kg

Certificate No: D3500V2-1076\_Apr19

# Impedance Measurement Plot for Head TSL

