

CBSD Test Report

Report No.: RF200505E03

FCC ID: I88LTE7485-S905

Test Model: LTE7485-S905

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**FCC Registration/
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Release Control Record

Issue No.	Description	Date Issued
RF200505E03	Original release	Jun. 17, 2020

1 Certificate of Conformity

Product: 4G LTE-A Outdoor Router

Brand: ZYXEL

Test Model: LTE7485-S905

Sample Status: Engineering sample

Applicant: Zyxel Communications Corporation

Test Date: Jun. 11 ~ Jun. 15, 2020

Standards: WINNF-TS-0122 V1.0.1
CBRSA-TS-9001 V1.1.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by : Celine Chou , **Date:** Jun. 17, 2020
Celine Chou / Senior Specialist

Approved by : Bruce Chen , **Date:** Jun. 17, 2020
Bruce Chen / Senior Project Engineer

2 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT(CBSD, DP/CBSD)	25	25	100
PT(CBSD, DP/CBSD)	1	1	100
Total	26	26	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBS/D/DP UUT to the requirements developed by WINNF and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBS/D/DP UUT to support various traffic models and actual operations in the field.

Duration and Duty Cycle		
Period	Limit	Test Result
10-second	1-second	Pass
300-second	10-second	Pass
3600-second	20-second	Pass

Note: Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

Supported Features in details:

WINNF-TS-0122 Test Case		
Definitions	Test Case ID	Supported
C1	WINNF.FT.C.REG.1	Yes
C2	NA	No
C3	WINNF.FT.C.REG.5	Yes
C4	NA	No
C5	NA	No
C6	NA	No

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	Pass
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	NA
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	NA
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	NA
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	Pass
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	Pass
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	NA
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	Pass
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	NA
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	Pass
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	NA
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	Pass
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	NA
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	Pass
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	NA
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	Pass
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	NA
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	NA

WINNF-TS-0122 Test Case			
Section	Test Case ID	Test Case Title	Test Result
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	Pass
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	NA
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	Pass
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	NA
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	NA
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	NA
6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	NA
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	NA
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	NA
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	Pass
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	NA
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	NA
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	Pass
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	NA
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	NA
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	NA
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	Pass

Note:

- Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.
- The device does not support C4, because the value of the test setting cannot be an empty string, so WINNF.FT.C.MES.1 is not tested.

2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) (\pm)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

2.2 Modification Record

There were no modifications required for compliance.

3 General Information

3.1 General Description of EUT

Product	4G LTE-A Outdoor Router
Brand	ZYXEL
Test Model	LTE7485-S905
CPU Model No.	MT7621AT
WiFi Chip Model No.	MT7603EN
LTE Chip Model No.	EG16-AC
FW Version	1.00(ABVN.0)C0
Status of EUT	Engineering sample
Power Supply Rating	48Vdc from POE
Antenna Type	Dipole antenna with 13dBi gain (1TX (fixed on Chain 0) / 4RX)
Antenna Connector	iPEX
Accessory Device	POE
Data Cable Supplied	1.8m non-shielded LAN cable without core

Note:

1. The EUT consumes power from the following POE.

Brand	RISUNIC
Model	RP020-4800500USG
Input Power	100-240Vac, 50/60Hz, 0.7A
Output Power	48Vdc, 0.5A

2. The above Antenna information is declared by manufacturer and for more detailed features description, please refer to the manufacturer's specifications, the laboratory shall not be held responsible.

Test Condition:

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	25deg. C, 65%RH	120Vac, 60Hz	Leona Hu

3.2 General Description of Applied Standards and References

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards and references:

Test standard:

FCC 47 CFR Part 96

All test items have been performed and recorded as per the above standards.

References Test Guidance:

KDB 940660 D01 Part 96 CBRS Eqpt v02

KDB 940660 D02 CPE-CBSD Handshake Procedures v02

All test items have been performed as a reference to the above KDB test guidance.

4 Measurement

4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

4.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

4.3 Duration and Duty Cycle Measurement

The CPE-CBSD shall validate and ensure that limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

4.4 Duration and Duty Cycle Test Procedure

- a. CPE-CBSD as UUT does not receive any RF signal from its "Compatible BTS-CBSD" (FCC ID: P27P208), so CPE-CBSD as UUT does not transmit. UUT shall be UTC time synchronized.
- b. Use the WinnForum SAS Harness #1 for CPE-CBSD as UUT and run test case WINNF.FT.C.GRA.1 for CPE-CBSD as UUT.
- c. CPE-CBSD as UUT starts to receive the RF signal from its "Compatible BTS-CBSD", so CPE-CBSD can start communicating with the WinnForum SAS Harness #1. Make note of the time when RF Test equipment logs the first transmission from CPE-CBSD which is above 23dBm/10MHz – this is the start time of the {X time out of Y time}.
- d. When the test case WINNF.FT.C.GRA.1 finishes and the questions appear on the WinnForum SAS Harness #1 console, do NOT answer the questions. Wait until Y time has passed from step #3. During this Y time, the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23dBm/10MHz. The amount of time logged for transmitting EIRP above 23dBm/10MHz is the X time.
- e. Answer the questions on the WinnForum SAS Harness #1 console so the WinnForum SAS Harness #1 is ready for the next test.

4.5 Test Environment

Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13

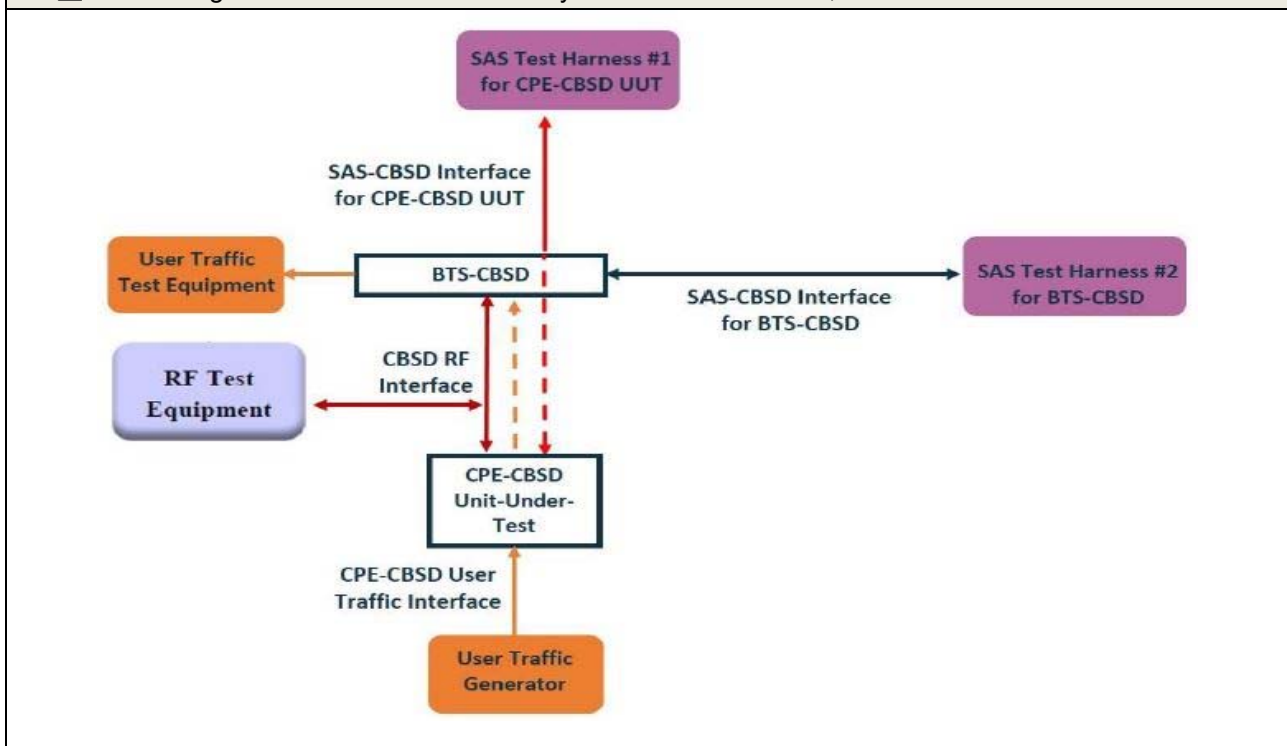
4.6 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
ROHDE & SCHWARZ Signal Analyzer	FSV	E2-010642	May 28, 2020	May 27, 2021
Temperature & Humidity Chamber TERCHY	ETP-101	Info Sec 1	Jan. 08, 2020	Jan. 07, 2021
Horn_Antenna SCHWARZBECK	BBHA 9120D	9120D-1170	Nov. 25, 2019	Nov. 24, 2020
Laptop Lenovo	L470	PF-11H9B8	NA	NA

Note: 1. The test was performed in InfoSec Test Room.
 2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

4.7 Test Setup

■ Test configuration without Domain Proxy - CPE-CBSD as UUT, BTS-CBSD direct communication



4.8 Test Results

4.8.1 CBSD Registration Process

4.8.1.1 WINNF.FT.C.REG.1

Test Case ID : WINNF.FT.C.REG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: <ul style="list-style-type: none"> ● The required <i>userId</i>, <i>fcld</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.2 WINNF.FT.C.REG.5

Test Case ID : WINNF.FT.C.REG.5
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: <ul style="list-style-type: none"> ● The required <i>userId</i>, <i>fcId</i> and <i>cbSDSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> ● SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> - <i>cbSDId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.3 WINNF.FT.C.REG.8

Test Case ID : WINNF.FT.C.REG.8 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.4 WINNF.FT.C.REG.10

Test Case ID : WINNF.FT.C.REG.10
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = 200 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.5 WINNF.FT.C.REG.12

Test Case ID : WINNF.FT.C.REG.12 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = 103 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.6 WINNF.FT.C.REG.14

Test Case ID : WINNF.FT.C.REG.14 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = 101 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.7 WINNF.FT.C.REG.16

Test Case ID : WINNF.FT.C.REG.16 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = 100 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.1.8 WINNF.FT.C.REG.18

Test Case ID : WINNF.FT.C.REG.18 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include <i>cbstdId</i> - <i>responseCode</i> = 201 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.2 CBSD Spectrum Grant Process

4.8.2.1 WINNF.FT.C.GRA.1

Test Case ID : WINNF.FT.C.GRA.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> ● <i>cbsdId</i>=C ● <i>responseCode</i> = R 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.2.2 WINNF.FT.C.GRA.2

Test Case ID : WINNF.FT.C.GRA.2 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C 	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <i>cbsdId</i>=C <i>responseCode</i> = 401 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3 CBSD Heart Beat Process

4.8.3.1 WINNF.FT.C.HBT.1

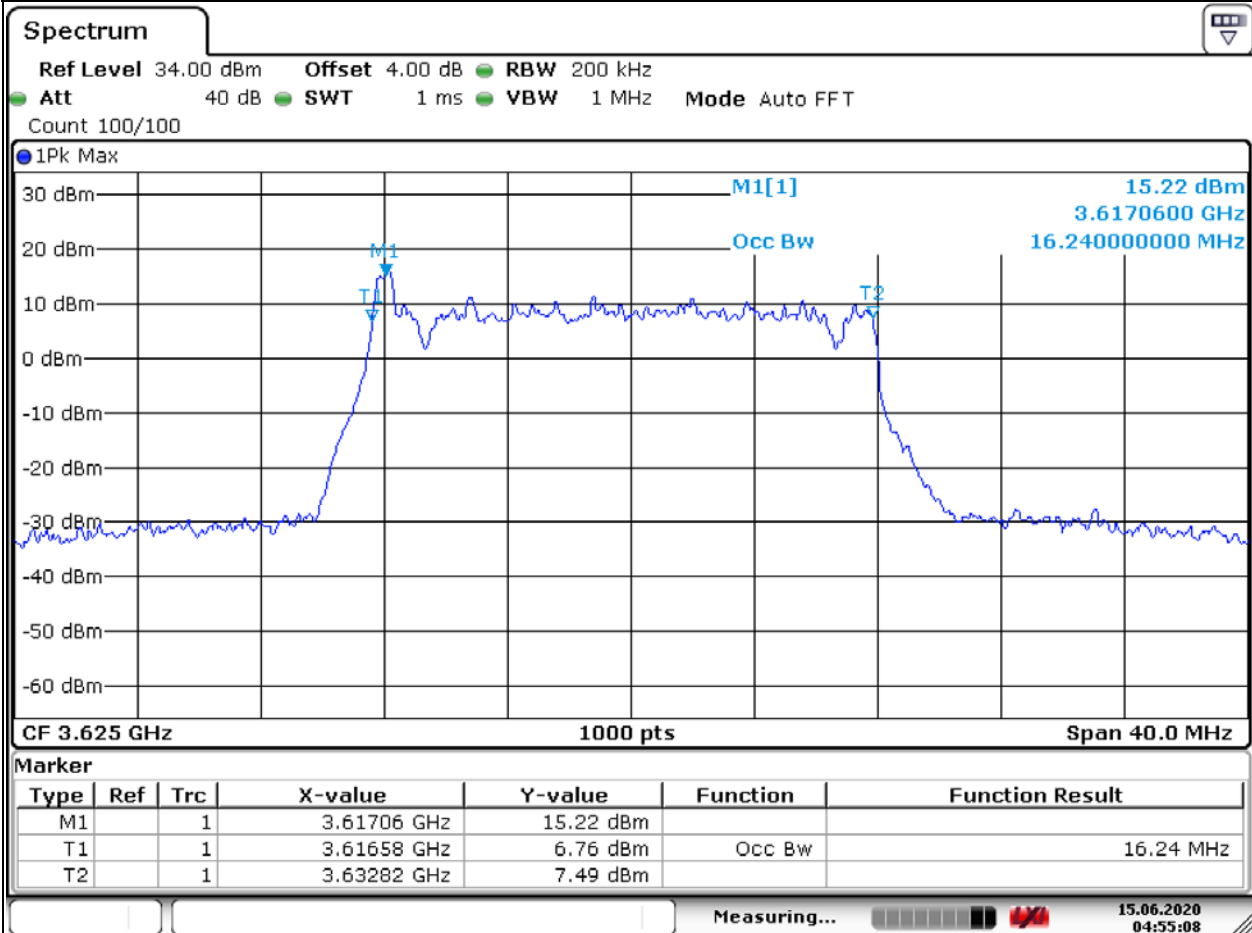
■ Test Case ID : WINNF.FT.C.HBT.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● <i>cbsdId</i> = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	■ Pass	□ Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>availableChannel</i> is an array of availableChannel objects ● <i>responseCode</i> = 0	--	--
5	UUT sends Grant Request message. Validate: ● <i>cbsdId</i> = C ● <i>maxEIRP</i> is at or below the limit appropriate for CBSD category as defined by Part 96 ● <i>operationFrequencyRange</i> , F, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G = a valid grant ID ● <i>grantExpireTime</i> = UTC time greater than duration of the test ● <i>responseCode</i> = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	■ Pass	□ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	■ Pass	□ Fail

- UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.

Channel	Freq. (MHz)	OCP 99 Band Width (MHz)
		F = 20MHz
		Chain (0)
Middle	3625	16.24

Spectrum Plot
Chain (0-1)



Date: 15.JUN.2020 04:55:09

4.8.3.2 WINNF.FT.C.HBT.3

Test Case ID : WINNF.FT.C.HBT.3
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = Current UTC time ● <i>responseCode</i> = 105 (DEREGISTER) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.3 WINNF.FT.C.HBT.4

Test Case ID : WINNF.FT.C.HBT.4 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 500 (TERMINATED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.4 WINNF.FT.C.HBT.5

Test Case ID : WINNF.FT.C.HBT.5
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ? <i>responseCode</i> = 501 (SUSPENDED GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT does not transmit at any time 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.5 WINNF.FT.C.HBT.6

Test Case ID : WINNF.FT.C.HBT.6
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.6 WINNF.FT.C.HBT.7

Test Case ID : WINNF.FT.C.HBT.7
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 502 (UNSYNC_OP_PARAM) 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify: <ul style="list-style-type: none"> ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> ○ <i>cbsdId</i> = C ○ <i>grantId</i> = G Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.7 WINNF.FT.C.HBT.9

Test Case ID : WINNF.FT.C.HBT.9
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request) 	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	--	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: <ul style="list-style-type: none"> ● At any time during the test, UUT shall not transmit on RF interface 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.3.8 WINNF.FT.C.HBT.10

Test Case ID : WINNF.FT.C.HBT.10
 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time + 200 seconds ● <i>responseCode</i> = 0 	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> ● UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.4 CBSD Relinquishment Process

4.8.4.1 WINNF.FT.C.RLQ.1

Test Case ID : WINNF.FT.C.RLQ.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>grantId = G</i> 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: <ul style="list-style-type: none"> - <i>cbsdId = C</i> - <i>grantId = G</i> - <i>responseCode = 0</i> 	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.5 CBSD Deregistration Process

4.8.5.1 WINNF.FT.C.DRG.1

Test Case ID : WINNF.FT.C.DRG.1 NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> ● UUT has received a valid grant with <i>grantId = G</i> ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i> .	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters: <ul style="list-style-type: none"> ● <i>cbsdId = C</i> ● <i>responseCode = 0</i> 	--	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

4.8.6 CBSD Security Validation

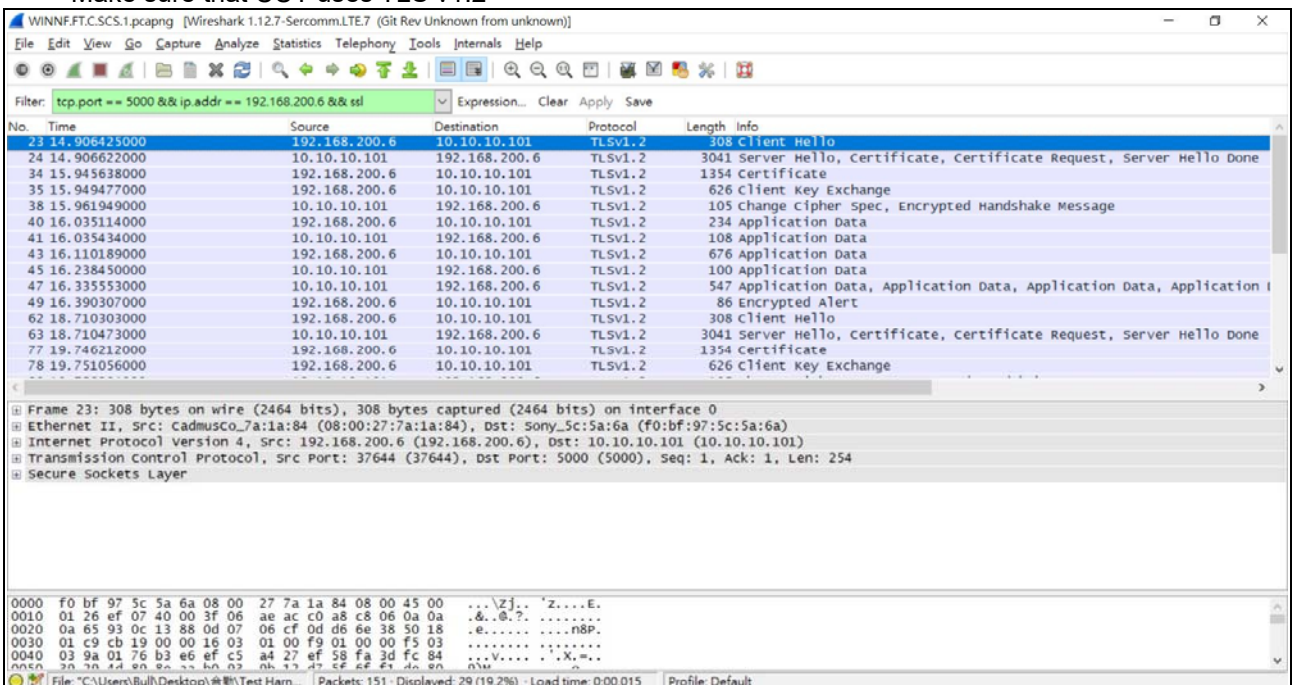
4.8.6.1 WINNF.FT.C.SCS.1

Test Case ID : WINNF.FT.C.SCS.1 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbstdId</i>. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



The screenshot shows a Wireshark capture of a TLS handshake. The filter is set to 'tcp.port == 5000 && ip.addr == 192.168.200.6 && ssl'. The capture shows the following sequence of events:

- 23.14.906425000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 308 Client Hello
- 24.14.906622000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 3041 Server Hello, Certificate, Certificate Request, Server Hello Done
- 34.15.945638000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 1354 Certificate
- 35.15.949477000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 626 Client Key Exchange
- 38.15.961949000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 105 change Cipher Spec, Encrypted Handshake Message
- 40.16.035114000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 234 Application Data
- 41.16.035434000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 108 Application Data
- 43.16.110189000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 676 Application Data
- 45.16.238450000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 100 Application Data
- 47.16.335533000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 547 Application Data, Application Data, Application Data, Application Data
- 49.16.390307000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 86 Encrypted Alert
- 62.18.710303000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 308 Client Hello
- 63.18.710473000: 10.10.10.101 to 192.168.200.6, TLSv1.2, 3041 Server Hello, Certificate, Certificate Request, Server Hello Done
- 77.19.746212000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 1354 Certificate
- 78.19.751056000: 192.168.200.6 to 10.10.10.101, TLSv1.2, 626 Client Key Exchange

The packet details for the selected Client Hello (Frame 23) are:

- Ethernet II, Src: cadmusco_7a:1a:84 (08:00:27:7a:1a:84), Dst: sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol version 4, Src: 192.168.200.6 (192.168.200.6), Dst: 10.10.10.101 (10.10.10.101)
- Transmission Control Protocol, Src Port: 37644 (37644), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 254
- Secure Sockets Layer

The raw packet bytes are shown at the bottom of the window.

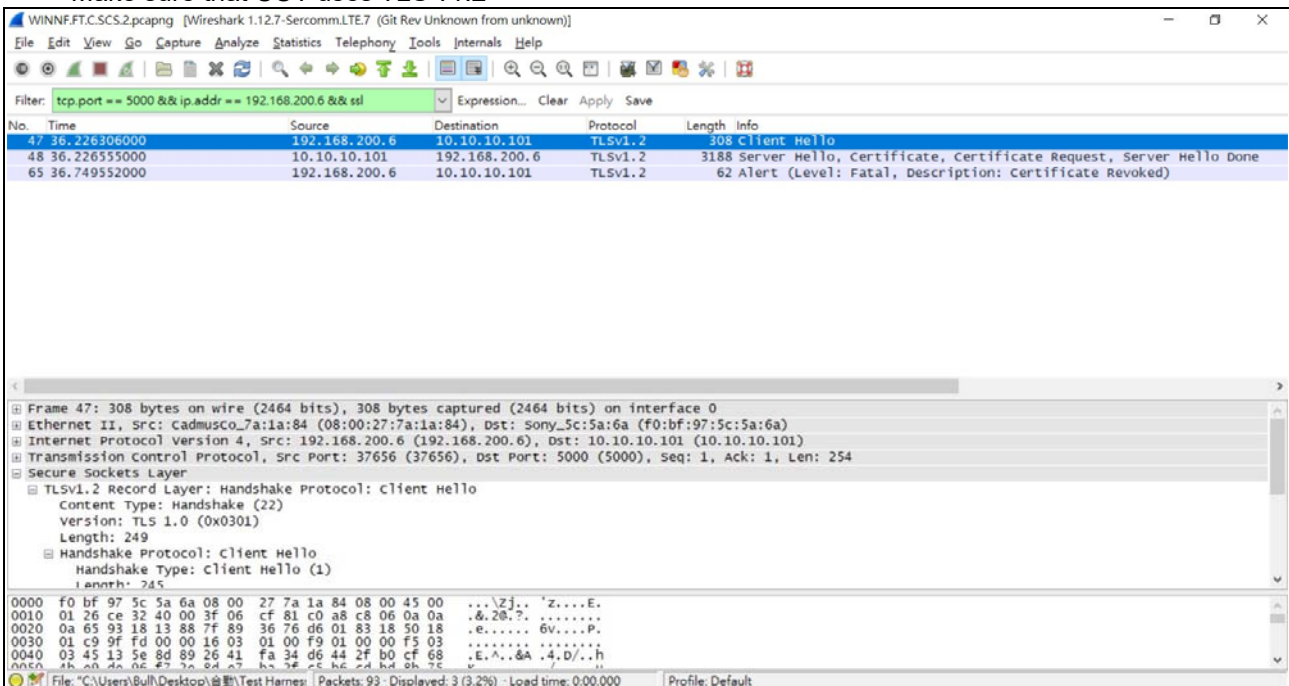
4.8.6.2 WINNF.FT.C.SCS.2

Test Case ID : WINNF.FT.C.SCS.2 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



Filter: tcp.port == 5000 && ip.addr == 192.168.200.6 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
47	36.226306000	192.168.200.6	10.10.10.101	TLSv1.2	308	Client Hello
48	36.226555000	10.10.10.101	192.168.200.6	TLSv1.2	3188	Server Hello, Certificate, Certificate Request, Server Hello Done
65	36.749552000	192.168.200.6	10.10.10.101	TLSv1.2	62	Alert (Level: Fatal, Description: Certificate Revoked)

Frame 47: 308 bytes on wire (2464 bits), 308 bytes captured (2464 bits) on interface 0

- Ethernet II, Src: cadmusco_7a:1a:84 (08:00:27:7a:1a:84), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol Version 4, Src: 192.168.200.6 (192.168.200.6), Dst: 10.10.10.101 (10.10.10.101)
- Transmission Control Protocol, Src Port: 37656 (37656), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 254
- Secure Sockets Layer
 - TLSv1.2 Record Layer: Handshake Protocol: Client Hello
 - Content Type: Handshake (22)
 - Version: TLS 1.0 (0x0301)
 - Length: 249
 - Handshake Protocol: Client Hello
 - Handshake Type: Client Hello (1)
 - Length: 245

0000 f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00 ...Zj.. 'z....E.
 0010 01 26 ce 32 40 00 3f 06 cf 81 c0 a8 c8 06 0a 0a .&.2@.?.
 0020 0a 65 93 18 13 88 7f 89 36 76 d6 01 83 18 50 18 .e.....6v....P.
 0030 01 c9 9f fd 00 00 16 03 01 00 f9 01 00 00 f5 03
 0040 03 45 13 5e 8d 89 26 41 fa 34 d6 44 2f b0 cf 68 .E.A..&A .4.D/..h
 0050 4b c0 d0 06 e7 7e 8d e7 b3 7f c5 b6 cd bd eb 7c ..

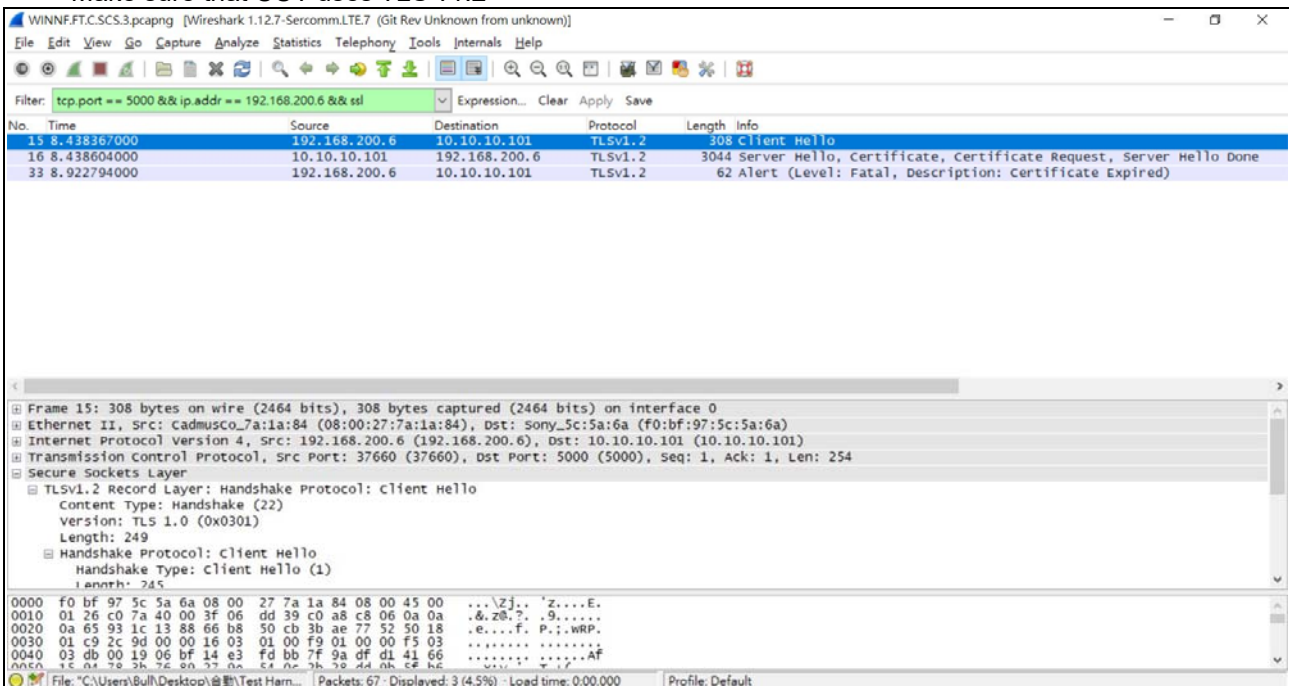
4.8.6.3 WINNF.FT.C.SCS.3

Test Case ID : WINNF.FT.C.SCS.3 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



Filter: tcp.port == 5000 && ip.addr == 192.168.200.6 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
15	8.438367000	192.168.200.6	10.10.10.101	TLSv1.2	308	Client Hello
16	8.438604000	10.10.10.101	192.168.200.6	TLSv1.2	3044	Server Hello, Certificate, Certificate Request, Server Hello Done
33	8.922794000	192.168.200.6	10.10.10.101	TLSv1.2	62	Alert (Level: Fatal, Description: Certificate Expired)

Frame 15: 308 bytes on wire (2464 bits), 308 bytes captured (2464 bits) on interface 0

- Ethernet II, Src: cadmusco_7a:1a:84 (08:00:27:7a:1a:84), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol Version 4, Src: 192.168.200.6 (192.168.200.6), Dst: 10.10.10.101 (10.10.10.101)
- Transmission Control Protocol, Src Port: 37660 (37660), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 254
- Secure Sockets Layer
 - TLSv1.2 Record Layer: Handshake Protocol: Client Hello
 - Content Type: Handshake (22)
 - Version: TLS 1.0 (0x0301)
 - Length: 249
 - Handshake Protocol: Client Hello
 - Handshake Type: Client Hello (1)
 - Length: 245

0000 f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00 ... \Zj.. 'z....E.

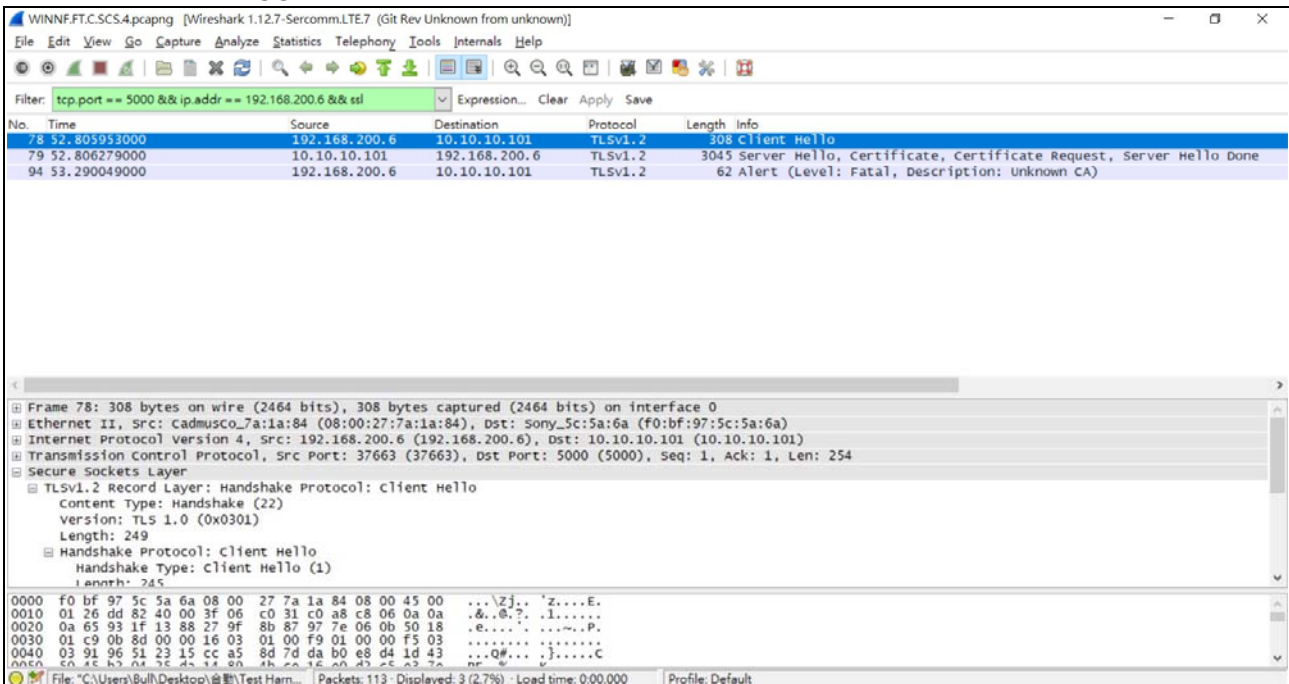
4.8.6.4 WINNF.FT.C.SCS.4

Test Case ID : WINNF.FT.C.SCS.4 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



Filter: tcp.port == 5000 && ip.addr == 192.168.200.6 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
78	52.805953000	192.168.200.6	10.10.10.101	TLSv1.2	308	Client Hello
79	52.806279000	10.10.10.101	192.168.200.6	TLSv1.2	3045	Server Hello, Certificate, Certificate Request, Server Hello Done
94	53.290049000	192.168.200.6	10.10.10.101	TLSv1.2	62	Alert (Level: Fatal, Description: Unknown CA)

Frame 78: 308 bytes on wire (2464 bits), 308 bytes captured (2464 bits) on interface 0

- Ethernet II, Src: cadmusco_7a:1a:84 (08:00:27:7a:1a:84), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)
- Internet Protocol version 4, Src: 192.168.200.6 (192.168.200.6), Dst: 10.10.10.101 (10.10.10.101)
- Transmission Control Protocol, Src Port: 37663 (37663), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 254
- Secure Sockets Layer
 - TLSv1.2 Record Layer: Handshake Protocol: Client Hello
 - Content Type: Handshake (22)
 - Version: TLS 1.0 (0x0301)
 - Length: 249
 - Handshake Protocol: Client Hello
 - Handshake Type: Client Hello (1)
 - Length: 245

0000 f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00 ...Zj.. 'z....E.

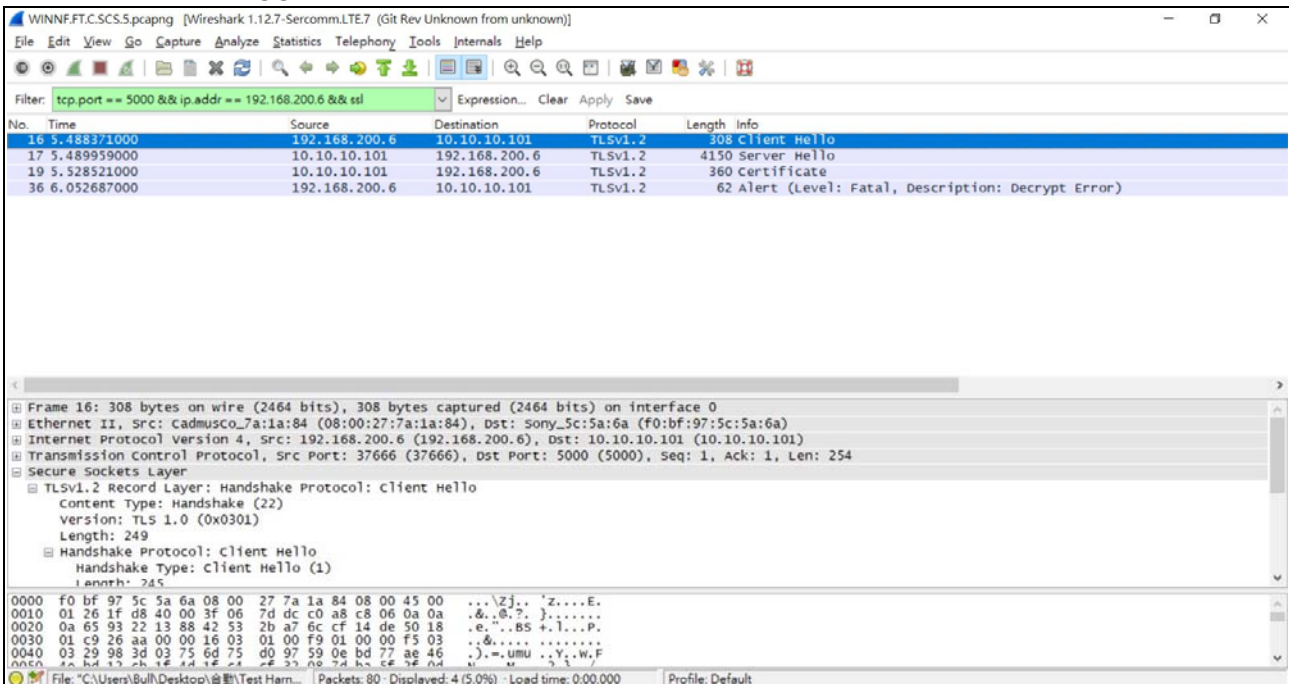
4.8.6.5 WINNF.FT.C.SCS.5

Test Case ID : WINNF.FT.C.SCS.5 NA

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



The screenshot shows a Wireshark capture of a network session. The filter is set to 'tcp.port == 5000 && ip.addr == 192.168.200.6 && ssl'. The packet list shows:

- 16 5.488371000 192.168.200.6 10.10.10.101 TLSv1.2 308 Client Hello
- 17 5.489959000 10.10.10.101 192.168.200.6 TLSv1.2 4150 Server Hello
- 19 5.528521000 10.10.10.101 192.168.200.6 TLSv1.2 360 certificate
- 36 6.052687000 192.168.200.6 10.10.10.101 TLSv1.2 62 Alert (Level: Fatal, Description: Decrypt Error)

The packet details for frame 16 (Client Hello) are expanded, showing:

- Secure Sockets Layer
 - TLSv1.2 Record Layer: Handshake Protocol: Client Hello
 - Content Type: Handshake (22)
 - Version: TLS 1.0 (0x0301)
 - Length: 249
 - Handshake Protocol: Client Hello
 - Handshake Type: Client Hello (1)
 - Length: 245

The packet bytes pane shows the raw data for the Client Hello message, including the magic bytes 'f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00'.

4.8.7 CBSD RF Power Measurement

4.8.7.1 WINNF.PT.C.HBT.1

■ Test Case ID : WINNF.PT.C.HBT.1 □ NA

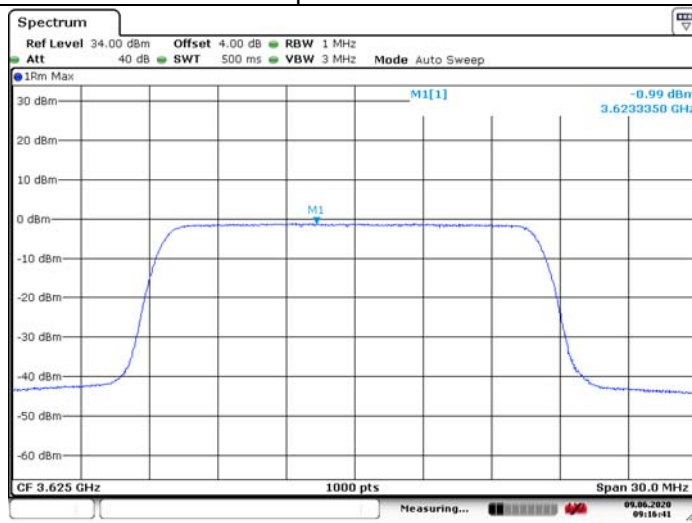
#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT has registered with the SAS, with CBSD ID = C ● UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</i></p>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> ● UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ● SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

RF measurement plot for Test Case :

- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Channel	Freq. (MHz)	20MHz			Limit	Pass / Fail
		Conducted Power Density (dBm/MHz)	Gain(dBi)	13		
Middle	3625	-0.99	-0.99		14.0	Pass
Middle	3625	4.03	4.03		20.0	Pass
Middle	3625	8.06	8.06		26.0	Pass

maxEirp = 14 dBm/MHz



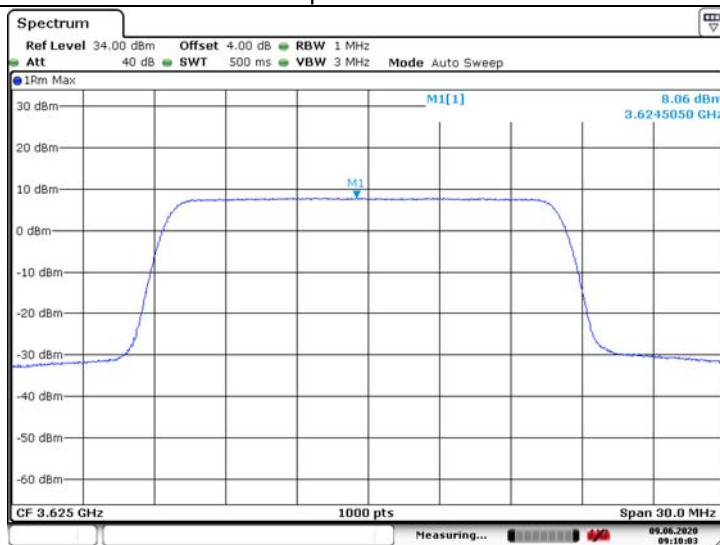
Date: 9 JUN. 2020 09:16:42

maxEirp = 20 dBm/MHz



Date: 9 JUN. 2020 09:14:42

maxEirp = 26 dBm/MHz



Date: 9 JUN. 2020 09:10:03

4.8.8 Duration and Duty Cycle

Duration and Duty Cycle			
Period	Minimum Time	Limit	Pass / Fail
10-second	7.5 msec	1-second	Pass
300-second	65.625 msec	10-second	Pass
3600-second	4.612 sec	20-second	Pass

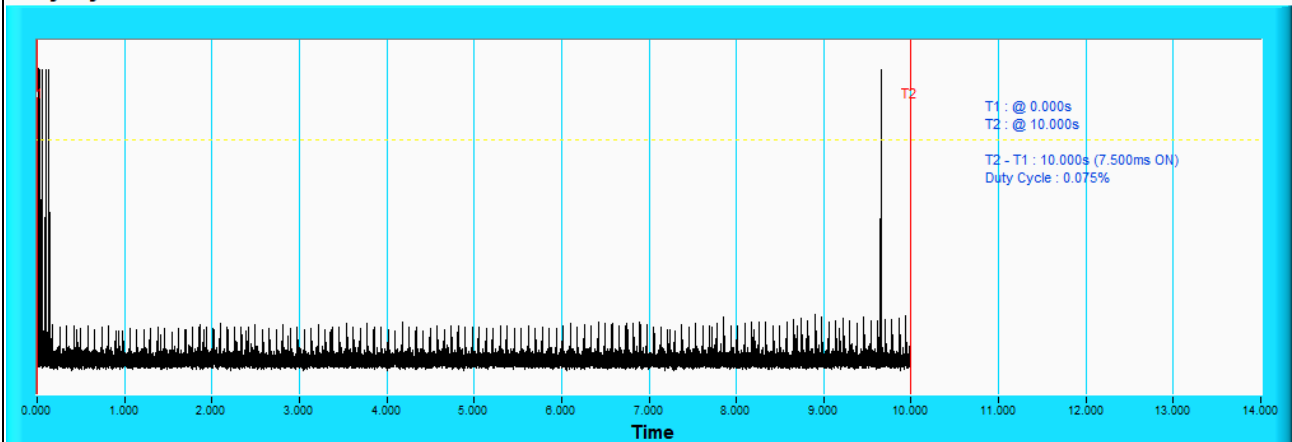
Note:

1. Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.
2. Pass = Minimum Time < Limit

● Measurement plot for Test Case :

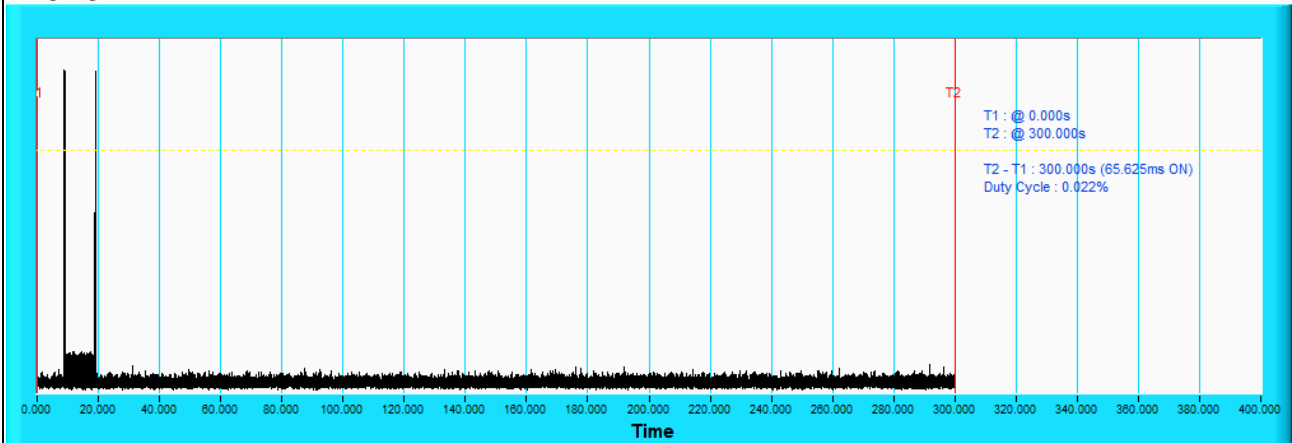
10-second period

Duty Cycle



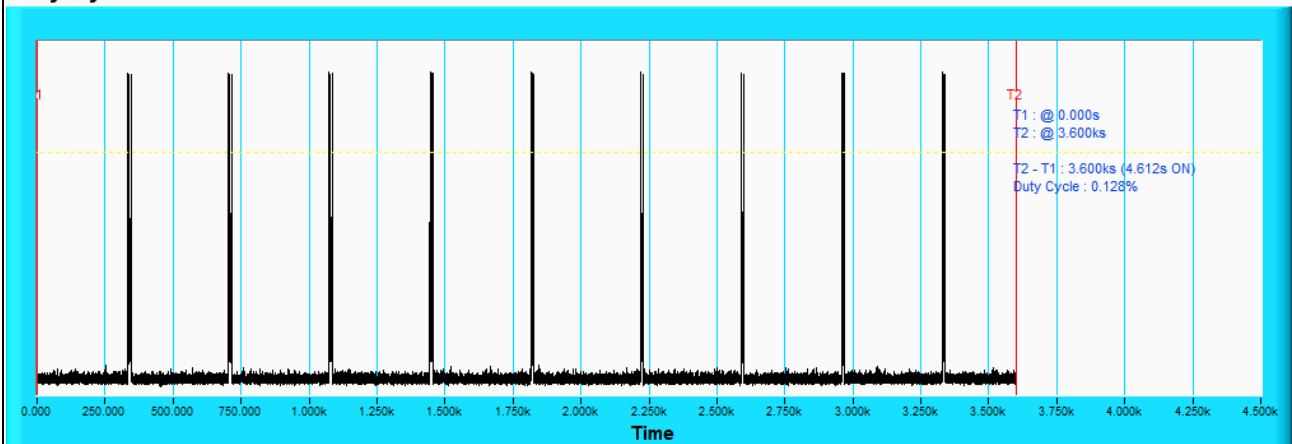
300-second period

Duty Cycle



3600-second period

Duty Cycle



Note: Yellow color line in above plot represent the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23 dBm/10MHz

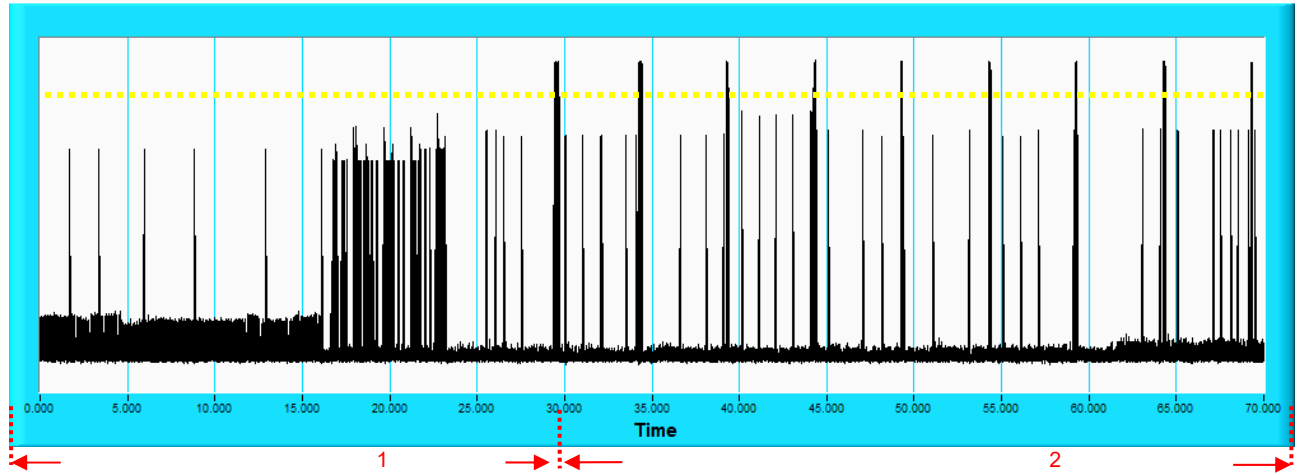
4.8.9 Verify that the device will register with a SAS when operating below 23 dBm

Answer: Verified in test case WINNF.PT.C.HBT.1

Yes, the CPE-CBSD as UUT default EIRP is under 23 dBm and can register success with SAS. After granted, the CPE-CBSD UUT will adjust the EIRP by maxEirp.

UUT will register with the SAS

Top Power 30 dBm



Note:

1. Yellow color line in above plot represent the 23 dBm.
2. Marker 1 : The CPE-CBSD as UUT will register with SAS and adjust the EIRP by maxEirp < 23 dBm.
3. Marker 2 : After granted, the CPE-CBSD as UUT will adjust the EIRP by maxEirp > 23 dBm.

5 Pictures of Test Arrangements

Please refer to the attached file (Test Setup Photo).

6 WinnForum Logs

Please refer to the attached file (Test Logs).

Appendix – Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are FCC recognized accredited test firms and accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

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The address and road map of all our labs can be found in our web site also.

--- END ---