

Appendix E. FCC 3G SAR Measurement Procedures

Conducted Output Power:

The EUT was tested according to the requirements of the FCC 3G procedures and the TS 34.121. The EUT's WCDMA and HSPA function is Release 6 version supporting HSDPA Category 8, and HSUPA Category 5. A detailed analysis of the output power for all WCDMA, HSPDA, and HSPA (HSUPA & HSDPA) modes is provided in the tables below. According to the FCC 3G procedures, handsets with both HSDPA and HSUPA should be tested according to Release 6 HSPA test procedures. Device was tested according to procedure KDB941225 - section Release 6 HSPA Data Devices as documented/evaluated in the following table.

| WCDMA SAR Test mode - Conducted Power | | | | | | | |
|--|---------------------|------------------------|--------------------|--------------------|------------------------|---------------------|---------------------|
| Mode | Setup | Cell band (850) | | | PCS band (1900) | | |
| | | CH4132 | CH4182 | CH4233 | CH9262 | CH9400 | CH9538 |
| | | 826.4 (MHz) | 836.4 (MHz) | 846.6 (MHz) | 1852.4 (MHz) | 1880.0 (MHz) | 1907.6 (MHz) |
| WCDMA | RMC 12.2Kbps | 23.02 | 23.10 | 23.06 | 23.28 | 23.06 | 23.23 |
| HSDPA | Subtest 1 | 22.96 | 23.08 | 23.01 | 22.58 | 22.15 | 22.46 |
| | Subtest 2 | 22.82 | 22.98 | 22.86 | 22.62 | 22.22 | 22.48 |
| | Subtest 3 | 22.42 | 22.53 | 22.46 | 22.65 | 22.18 | 22.42 |
| | Subtest 4 | 22.37 | 22.51 | 22.41 | 22.68 | 22.21 | 22.44 |
| HSUPA | Subtest 1 | 22.06 | 22.05 | 22.34 | 22.53 | 22.69 | 22.47 |
| | Subtest 2 | 21.00 | 20.84 | 20.86 | 21.25 | 21.35 | 21.48 |
| | Subtest 3 | 21.34 | 21.36 | 21.57 | 22.16 | 21.88 | 21.97 |
| | Subtest 4 | 21.06 | 20.95 | 21.12 | 21.47 | 21.36 | 21.54 |
| | Subtest 5 | 22.14 | 22.09 | 22.28 | 22.52 | 22.39 | 22.59 |

WCDMA Setup Configuration:

- a. The EUT was connected to Base Station referred to the drawing of Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting
 - i. Data rates: Varied from RMC 12.2Kbps
 - ii. RMC Test Loop = Loop Mode 1
 - iii. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.



Setup Configuration

HSDPA Setup Configuration:

- a. The EUT was connected to Base Station referred to the drawing of Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting:
 - i. Set Gain Factors (β_c and β_d) and parameters were set according to each
 - ii. Specific sub-test in the following table, C10.1.4, quoted from the TS 34.121
 - iii. Set RMC12.2Kbps + HSDPA mode.
 - iv. Set Cell Power = -86 dBm
 - v. Set HS-DSCH Configuration Type to FRC (H-set 1, QPSK)
 - vi. Select HSDPA Uplink Parameters
 - vii. Set DeltaACK, DeltaNACK and DeltaCQI = 8
 - viii. Set Ack-Nack Repetition Factor to 3
 - ix. Set CQI Feedback Cycle (k) to 4 ms
 - x. Set CQI Repetition Factor to 2
 - xi. Power Ctrl Mode = All Up bits
- d. The transmitted maximum output power was recorded.

Table C.10.1.4: β values for transmitter characteristics tests with HS-DPCCH

| Sub-test | β_c | β_d | β_d (SF) | β_c/β_d | β_{HS} (Note 1, Note 2) | CM (dB) (Note 3) | MPR (dB) (Note 3) |
|----------|-------------------|-------------------|-------------------|-------------------|-------------------------------------|---------------------|----------------------|
| 1 | 2/15 | 15/15 | 64 | 2/15 | 4/15 | 0.0 | 0.0 |
| 2 | 12/15 (Note 4) | 15/15 (Note 4) | 64 | 12/15 (Note 4) | 24/15 | 1.0 | 0.0 |
| 3 | 15/15 | 8/15 | 64 | 15/8 | 30/15 | 1.5 | 0.5 |
| 4 | 15/15 | 4/15 | 64 | 15/4 | 30/15 | 1.5 | 0.5 |

Note 1: $\Delta_{ACK}, \Delta_{NACK}$ and $\Delta_{CQI} = 30/15$ with $\beta_{HS} = 30/15 * \beta_c$.

Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA, Δ_{ACK} and $\Delta_{NACK} = 30/15$ with $\beta_{HS} = 30/15 * \beta_c$, and $\Delta_{CQI} = 24/15$ with $\beta_{HS} = 24/15 * \beta_c$.

Note 3: CM = 1 for $\beta_c/\beta_d=12/15, \beta_{HS}/\beta_c=24/15$. For all other combinations of DPDCH, DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the β_c/β_d ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 11/15$ and $\beta_d = 15/15$.

Setup Configuration

HSPA (HSUPA & HSPDA) Setup Configuration:

- a. The EUT was connected to Base Station referred to the drawing of Setup Configuration.
- b. The RF path losses were compensated into the measurements.
- c. A call was established between EUT and Base Station with following setting * :
 - i. Call Configs = 5.2B, 5.9B, 5.10B, and 5.13.2B with QPSK
 - ii. Set the Gain Factors (β_c and β_d) and parameters (AG Index) were set according to each specific sub-test in the following table, C11.1.3, quoted from the TS 34.121
 - iii. Set Cell Power = -86 dBm
 - iv. Set Channel Type = 12.2k + HSPA
 - v. Set UE Target Power
 - vi. Power Ctrl Mode= Alternating bits
 - vii. Set and observe the E-TFCI
 - viii. Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1, and other subtest's E-TFCI
- d. The transmitted maximum output power was recorded.

Table C.11.1.3: β values for transmitter characteristics tests with HS-DPCCH and E-DCH

| Sub-test | β_c | β_d | β_d (SF) | β_c/β_d | β_{HS} (Note 1) | β_{ec} | β_{ed} (Note 5) (Note 6) | β_{ed} (SF) | β_{ed} (Codes) | CM (dB) (Note 2) | MPR (dB) (Note 2) | AG Index (Note 6) | E-TFCI |
|----------|----------------|----------------|----------------|-------------------|-----------------------|--------------|--|-------------------|----------------------|------------------|-------------------|-------------------|--------|
| 1 | 11/15 (Note 3) | 15/15 (Note 3) | 64 | 11/15 (Note 3) | 22/15 | 209/25 | 1309/225 | 4 | 1 | 1.0 | 0.0 | 20 | 75 |
| 2 | 6/15 | 15/15 | 64 | 6/15 | 12/15 | 12/15 | 94/75 | 4 | 1 | 3.0 | 2.0 | 12 | 67 |
| 3 | 15/15 | 9/15 | 64 | 15/9 | 30/15 | 30/15 | β_{ed1} : 47/15 β_{ed2} : 47/15 | 4 | 2 | 2.0 | 1.0 | 15 | 92 |
| 4 | 2/15 | 15/15 | 64 | 2/15 | 4/15 | 2/15 | 56/75 | 4 | 1 | 3.0 | 2.0 | 17 | 71 |
| 5 | 15/15 (Note 4) | 15/15 (Note 4) | 64 | 15/15 (Note 4) | 30/15 | 24/15 | 134/15 | 4 | 1 | 1.0 | 0.0 | 21 | 81 |

Note 1: $\Delta_{ACK}, \Delta_{NACK}$ and $\Delta_{CQI} = 30/15$ with $\beta_{HS} = 30/15 * \beta_c$.

Note 2: CM = 1 for $\beta_c/\beta_d=12/15, \beta_{HS}/\beta_c=24/15$. For all other combinations of DPDCH, DPCCH, HS- DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3: For subtest 1 the β_c/β_d ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 10/15$ and $\beta_d = 15/15$.

Note 4: For subtest 5 the β_c/β_d ratio of 15/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 14/15$ and $\beta_d = 15/15$.

Note 5: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.

Note 6: β_{ed} can not be set directly, it is set by Absolute Grant Value.

Setup Configuration

Note: For details settings in the Agilent 8960 test equipment, please refer to the user guide “ HSUPA Measurement Guide with 8960 V7.5.0 Release 7 (2007-06) Ver.: v.02.18”

| Call Setup Screen | | | |
|------------------------------|---|--------------|-------------------------------|
| Call Control | Active Cell Operating Mode | | Call Parms |
| Channel (UARFCN) Info | UE Information | | Cell Power |
| | INSI: INEI: Power Class: | | -86.00 |
| Cell Parameters | UE Expected Open Loop Transmit Power | | dBm/3.84 MHz |
| | Initial PRACH TX Power: -11.70 dBm Initial DPCCH TX Power: -0.56 dBm | | Channel Type |
| Generator Info | | | 12.2k + HSPA |
| | Uplink Parameters | | Paging Service |
| | | Value | RB Test Mode |
| Uplink Parameters | PRACH Preambles | 64 | HSPA Parameters |
| | PRACH Ramping Cycles (NMAX) | 2 | |
| | Available Subchannels (Bit Mask) | 000000000001 | |
| UE Rep Neas | Uplink DPCH Scrambling Code | 0 | 34.121 Preset Call Configs |
| | Uplink DPCH Bc/Bd Control | Manual | |
| | Manual Uplink DPCH Bc | 11 | Channel (UARFCN) Parms |
| Close Menu | Manual Uplink DPCH Bd | 15 | |
| | Maximum Uplink Transmit Power Level | 21 dBm | |
| | | Active Cell | Sys Type: UTRA FDD |
| | | Idle | |
| 2 of 4 | | IntRef | Offset |
| | | | |
| | | | 1 of 3 |

Example for HSPA Subtest 1, and other subtests following table, C11.1.3
(Gain Factors ($\beta_c = 11$ and $\beta_d = 15$))

| Call Setup Screen | | | |
|---------------------------|--|-------------|--|
| Call Control | Active Cell Operating Mode | | Serving Grant |
| Additional Screens | UE Information | | AG Mode |
| | INSI: INEI: Power Class: | | Single Shot |
| Cell Parameters | UE Expected Open Loop Transmit Power | | Single Shot AG |
| | Initial PRACH TX Power: -11.70 dBm Initial DPCCH TX Power: -0.56 dBm | | 20: (119/15)^2 |
| Generator Info | Call Processing Status | | Send Single Shot Absolute Grant |
| | Current Service Type: None | | |
| Uplink Parameters | Abs Single Shot AG MM Status: Index 15: (67/15)^2 GMM State: Index 16: (75/15)^2 Current DPCH: Index 17: (84/15)^2 HSUPA In: Index 18: (95/15)^2 UE Rep E-DCH: Index 19: (106/15)^2 Last Received Throughput: Index 20: (119/15)^2 ACKs Transmitted: | | Send Relative Grant Up |
| UE Rep Neas | OSCH Cat: ---- Ratio: ---- % kbps Transmitted: ---- | | Send Relative Grant Down |
| Trig Output Setup | | | Return |
| Sys Frame Clock | | | |
| | | Active Cell | Sys Type: UTRA FDD |
| | | Idle | |
| 2 of 4 | | IntRef | Offset |
| | | | |
| | | | 1 of 2 |

Example: AG – Index = 20 for HSPA subtest 1



| Call Setup Screen | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------------------------|--|-------------------------------------|-------------|--------------------|------|-----------|------|-----|--------|--------|--------|----|----|-----|----|-----|------|-----|------|-----|------|----|----|-----|----|-----|------|-----|------|-----|------|----|----|-----|----|-----|------|-----|------|-----|------|----|----|-----|----|-----|------|-----|------|-----|------|----|----|-----|------|-----|------|-----|------|-----|------|----|----|-----|------|-----|------|-----|------|-----|------|----|----|-----|------|-----|------|-----|------|-----|------|----|----|-----|------|-----|------|-----|------|-----|------|-----|----|-----|------|-----|------|-----|------|-----|------|--|
| Screen Ctrl | Recorded E-TFCI Information | E-TFCI Record | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Channel (UARFCN) Info | E-TFCI Recording State | E-TFCI Rec Count | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Idle | 15 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| HSPA Information | Recorded E-TFCI Values | Start Recording E-TFCI Values | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| E-TFCI Recording Information | <table border="1"> <tr><td>1:</td><td>75</td><td>11:</td><td>75</td><td>21:</td><td>----</td><td>31:</td><td>----</td><td>41:</td><td>----</td></tr> <tr><td>2:</td><td>75</td><td>12:</td><td>75</td><td>22:</td><td>----</td><td>32:</td><td>----</td><td>42:</td><td>----</td></tr> <tr><td>3:</td><td>75</td><td>13:</td><td>75</td><td>23:</td><td>----</td><td>33:</td><td>----</td><td>43:</td><td>----</td></tr> <tr><td>4:</td><td>75</td><td>14:</td><td>75</td><td>24:</td><td>----</td><td>34:</td><td>----</td><td>44:</td><td>----</td></tr> <tr><td>5:</td><td>75</td><td>15:</td><td>75</td><td>25:</td><td>----</td><td>35:</td><td>----</td><td>45:</td><td>----</td></tr> <tr><td>6:</td><td>75</td><td>16:</td><td>----</td><td>26:</td><td>----</td><td>36:</td><td>----</td><td>46:</td><td>----</td></tr> <tr><td>7:</td><td>75</td><td>17:</td><td>----</td><td>27:</td><td>----</td><td>37:</td><td>----</td><td>47:</td><td>----</td></tr> <tr><td>8:</td><td>75</td><td>18:</td><td>----</td><td>28:</td><td>----</td><td>38:</td><td>----</td><td>48:</td><td>----</td></tr> <tr><td>9:</td><td>75</td><td>19:</td><td>----</td><td>29:</td><td>----</td><td>39:</td><td>----</td><td>49:</td><td>----</td></tr> <tr><td>10:</td><td>75</td><td>20:</td><td>----</td><td>30:</td><td>----</td><td>40:</td><td>----</td><td>50:</td><td>----</td></tr> </table> | 1: | 75 | 11: | 75 | 21: | ---- | 31: | ---- | 41: | ---- | 2: | 75 | 12: | 75 | 22: | ---- | 32: | ---- | 42: | ---- | 3: | 75 | 13: | 75 | 23: | ---- | 33: | ---- | 43: | ---- | 4: | 75 | 14: | 75 | 24: | ---- | 34: | ---- | 44: | ---- | 5: | 75 | 15: | 75 | 25: | ---- | 35: | ---- | 45: | ---- | 6: | 75 | 16: | ---- | 26: | ---- | 36: | ---- | 46: | ---- | 7: | 75 | 17: | ---- | 27: | ---- | 37: | ---- | 47: | ---- | 8: | 75 | 18: | ---- | 28: | ---- | 38: | ---- | 48: | ---- | 9: | 75 | 19: | ---- | 29: | ---- | 39: | ---- | 49: | ---- | 10: | 75 | 20: | ---- | 30: | ---- | 40: | ---- | 50: | ---- | |
| 1: | 75 | 11: | 75 | 21: | ---- | 31: | ---- | 41: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2: | 75 | 12: | 75 | 22: | ---- | 32: | ---- | 42: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3: | 75 | 13: | 75 | 23: | ---- | 33: | ---- | 43: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4: | 75 | 14: | 75 | 24: | ---- | 34: | ---- | 44: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5: | 75 | 15: | 75 | 25: | ---- | 35: | ---- | 45: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6: | 75 | 16: | ---- | 26: | ---- | 36: | ---- | 46: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7: | 75 | 17: | ---- | 27: | ---- | 37: | ---- | 47: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8: | 75 | 18: | ---- | 28: | ---- | 38: | ---- | 48: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9: | 75 | 19: | ---- | 29: | ---- | 39: | ---- | 49: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10: | 75 | 20: | ---- | 30: | ---- | 40: | ---- | 50: | ---- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Clear UE Info | 15/15 | Send Step Up TPC Bit Pattern | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Return | | Send Step Down TPC Bit Pattern | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | <table border="1"> <tr> <td><input type="checkbox"/> Background</td> <td>Active Cell</td> <td>Sys Type: UTRA FDD</td> </tr> <tr> <td></td> <td>Connected</td> <td></td> </tr> <tr> <td></td> <td>IntRef</td> <td>Offset</td> </tr> </table> | <input type="checkbox"/> Background | Active Cell | Sys Type: UTRA FDD | | Connected | | | IntRef | Offset | Return | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Background | Active Cell | Sys Type: UTRA FDD | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Connected | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Example: Confirm that E-TFCI is equal to the target E-TFCI of 75 for sub-test 1



Reference:

- [1] 941225 D01 SAR test for 3G devices v02, SAR Measurement Procedures for 3G Devices CDMA 2000/Ev-Do/WCDMA/HSDPA/HSPA Oct. 2007 Laboratory Division Office of Engineering and Technology Federal Communications Commission
- [2.] TS 34.121 Universal Mobile Telecommunications System (UMTS); Terminal Conformance Specification, Radio Transmission and Reception (FDD)
- [3.] HSUPA Measurement Guide with 8960 V7.5.0 Release 7 (2007-06) Ver.: v.02.18