

WINNF-TS-0122 Test Report

FCC ID : GKRAOW4PXC1
Equipment : Outdoor Radio Unit
Brand Name : Compal
Model Name : Cypress AOW4P-XC1
Applicant : Compal Electronics, Inc.
No.581 & 581-1, Ruiguang Rd., Neihu District, Taipei, (114) Taiwan
Manufacturer : Compal Electronics, Inc.
No.581 & 581-1, Ruiguang Rd., Neihu District, Taipei, (114) Taiwan
Standard : WINNF-TS-0122 Version V1.0.2
RF Interface : NR n48

The product was received on Aug. 16, 2023 and testing was performed from Aug. 16, 2023 to Sep. 20, 2023. We, Sporton International Inc. Wensan Laboratory, would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.2 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval from Sporton International Inc. Wensan Laboratory, the test report shall not be reproduced except in full.



Approved by: Jones Tsai

Sporton International Inc. Wensan Laboratory

No.58, Aly. 75, Ln. 564, Wenhua 3rd, Rd., Guishan Dist., Taoyuan City 333010, Taiwan (R.O.C.)



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1. Administration Data

1.1 Testing Laboratory

Test Site	Sporton International Inc. Wensan Laboratory
Test Site Location	No.58, Aly. 75, Ln. 564, Wenhua 3rd, Rd., Guishan Dist., Taoyuan City 333010, Taiwan (R.O.C.) TEL: +886-3-327-0868 FAX: +886-3-327-0855
Test Site No.	Sporton Site No. TH05-HY
Test Engineer	Thomas Chen
Temperature	21 ~ 24 °C
Relative Humidity	48 ~ 53 %

FCC designation No.: TW3786

2. General Information

2.1 Description of Unit Under Test (UUT)

Product Feature & Specification	
UUT Type	Outdoor Radio Unit
Brand Name	Compal
Model Name	Cypress AOW4P-XC1
FCC ID	GKRAOW4PXC1
Professional Installation	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Unit Under Test Type	<input checked="" type="checkbox"/> BTS-CBSD product (Base Station) <input type="checkbox"/> CPE-CBSD product (Customer Premises Equipment)
Unit Under Test Category	<input type="checkbox"/> Category A <input checked="" type="checkbox"/> Category B
Domain Proxy support	<input type="checkbox"/> CBSD with Domain Proxy <input checked="" type="checkbox"/> CBSD without Domain Proxy
UUT Antenna Gain	12 dBi
UUT HW Version	V2.0.2.4.4
UUT FW Version	1.0.3.010
UUT Serial Number	RS8582-213900078

2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	PASS
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	PASS
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	PASS
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	PASS
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	PASS
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	PASS
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	PASS
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	PASS
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	PASS
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	PASS
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	PASS
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	PASS
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	PASS
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	PASS
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	PASS
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	



Section	Test Case ID	Test Case Title	Test Result
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	PASS
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	PASS

2.3 Support Equipment

Name	Brand Name	Type/Model	SW Version
CU	Compal	SYS 110P-FWTR	0.0.1.b1
DU	Compal	SYS 110P-FWTR	03.00.00

2.4 Measuring Equipment List

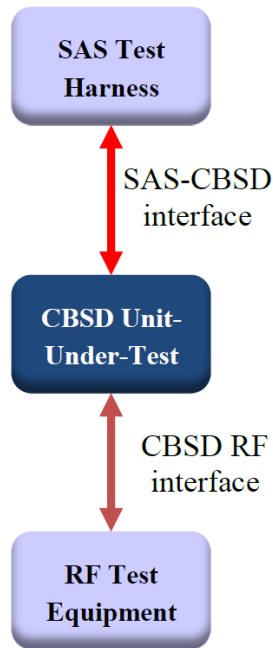
Name	Brand Name	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
Spectrum Analyzer	Rohde & Schwarz	FSV3044	101467	Feb. 01, 2023	Jan. 31, 2024

3. Measurement Environment

Measurement Environment Information	
SAS Test Harness version	1.0.0.3
Operating System	Windows 10
TLS version	V 1.2
Python version	V 2.7

Conditional Test Case		
Support (Yes / No)	Condition	Definition
Yes	C1	Mandatory for UUT which supports multi-step registration message
No	C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.
Yes	C3	Mandatory for UUT which supports single-step registration containing CPIsigned data in the registration message.
No	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type
No	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.
Yes	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.

3.1 Test configuration without Domain Proxy



3.2 Standards

[n.1]. WINNF-TS-0122 Version 1.0.2, “Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)”, 25 November 2020

[n.2]. WINNF-TS-0016 Version 1.2.7, “SAS to CBSD Technical Specification”, 21 March 2022

4. Protocol Test Results

4.1 [WINNF.FT.C.REG.1] Multi-Step registration

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness • UUT is in the Unregistered state 	--
2	<p>CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness:</p> <ul style="list-style-type: none"> • The required <i>userId</i>, <i>fcid</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. • Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. <p>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</p>	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> – <i>cbsdId</i> = C – <i>measReportConfig</i> shall not be included – <i>responseCode</i> = 0 	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i>=0) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.2 [WINNF.FT.C.REG.5] Single-Step registration for CBSD with CPI signed data

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state • All of the required and REG-Conditional parameters shall be configured and CPI signature provided 	--
2	<p>CBSD sends Registration request to the SAS Test Harness:</p> <ul style="list-style-type: none"> • The required <i>userId</i>, <i>fcid</i> and <i>cbsdSerialNumber</i> and REG-Conditional <i>cbsdCategory</i>, <i>airInterface</i>, <i>measCapability</i> and <i>cpiSignatureData</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. 	PASS
	<ul style="list-style-type: none"> • Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response as follows: <ul style="list-style-type: none"> – <i>cbsdId</i> = C – <i>measReportConfig</i> shall not be included. – <i>responseCode</i> = 0 	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i>=0) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.3 [WINNF.FT.C.REG.7] Registration due to change of an installation parameter

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none">• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness	--
2	UUT has successfully registered with SAS Test Harness	--
3	Change an installation parameters at the UUT (time T) Tester needs to record the current time at which the parameter change is executed.	--
4	Monitor the SAS-CBSD interface. UUT sends a deregistrationRequest to the SAS Test Harness The deregistration request shall be sent within (T + 60 seconds) from step 3.	PASS

4.4 [WINNF.FT.C.REG.8] Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstdId</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.5 [WINNF.FT.C.REG.10] Pending registration (responseCode 200)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstd</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=200</i>) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.6 [WINNF.FT.C.REG.12] Invalid parameter (responseCode 103)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstdId</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =103) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.7 [WINNF.FT.C.REG.14] Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstd</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =101) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.8 [WINNF.FT.C.REG.16] Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstd</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =100) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.9 [WINNF.FT.C.REG.18] Group Error (responseCode 201)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	--
2	CBSD sends a Registration request to SAS Test Harness.	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> – SAS response does not include <i>cbstd</i> – <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=201</i>) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.10 [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness, with <i>cbsdlc</i>= C 	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> • <i>cbsdlc</i>=C • <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.11 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness, with <i>cbsdlId</i>= C 	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> • <i>cbsdlId</i>=C • <i>responseCode</i> = R 	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =401) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.12 [WINNF.FT.C.HBT.1] Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness, with <i>cbsdId = C</i> 	--
2	UUT sends a message: <ul style="list-style-type: none"> • If message is type Spectrum Inquiry Request, go to step 3, or • If message is type Grant Request, go to step 5 	--
3	UUT sends Spectrum Inquiry Request. Validate: <ul style="list-style-type: none"> • <i>cbsdId = C</i> • List of frequencyRange objects sent by UUT are within the CBRS frequency range 	PASS
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdId = C</i> • availableChannel is an array of availableChannel objects • <i>responseCode = 0</i> 	--
5	UUT sends Grant Request message. Validate: <ul style="list-style-type: none"> • <i>cbsdId = C</i> • maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 • operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band 	PASS
6	SAS Test Harness sends a Grant Response message, including the parameters: <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>grantId = G = a valid grant ID</i> • <i>grantExpireTime = UTC time greater than duration of the test</i> • <i>responseCode = 0</i> 	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>grantId = G</i> • <i>operationState = "GRANTED"</i> 	PASS

#	Test Execution Steps	Results
8	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	--
9	<p>For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" <p>and SAS Test Harness responds with a Heartbeat Response message including the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	PASS
10	<p>Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify:</p> <ul style="list-style-type: none"> • UUT does not transmit at any time prior to completion of the first heartbeat response • UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F. 	PASS

4.13 [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = Current UTC time • <i>responseCode</i> = 105 (DEREGISTER) 	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	PASS

4.14 [WINNF.FT.C.HBT.4] Heartbeat responseCode=500 (TERMINATED_GRANT)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdlId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 500 (TERMINATED_GRANT) 	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> • UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	PASS

4.15 [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" 	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbdsId</i> = C • <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT does not transmit at any time 	PASS

4.16 [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--

#	Test Execution Steps	Results
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment Request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbdsId</i> = C • <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop transmission within (<i>T</i> + 60 seconds) of completion of step 3 	PASS

4.17 [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC_OP_PARAM)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdlId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = Current UTC Time • <i>responseCode</i> = 502 (UNSYNC_OP_PARAM) 	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the SAS-CBSD interface. Verify:</p> <ul style="list-style-type: none"> • UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> ○ <i>cbdsId</i> = C ○ <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop transmission within (T+60) seconds of completion of step 3. 	PASS

4.18 [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Ensure Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" 	PASS
3	<p>After completion of Step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection</p>	--
4	<p>Monitor the RF output of the UUT from start of test to 60 seconds after step 3.</p> <p>Verify:</p> <ul style="list-style-type: none"> • At any time during the test, UUT shall not transmit on RF interface 	PASS

4.19 [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdlId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdlId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	--
4	<p>After completion of Step 3, SAS Test Harness does not respond to any further messages from UUT</p>	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3. 	PASS

4.20 [WINNF.FT.C.HBT.11] Successful Grant Renewal in Heartbeat Test Case

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface. • Grant has the following parameters at the start of the test: <ul style="list-style-type: none"> ○ <i>grantExpireTime</i> = UTC time equal to time at start of test + 300 seconds = Tgrant_expire ○ <i>transmitExpireTime</i> = UTC time equal to time at start of test + 200 seconds ○ <i>heartbeatInterval</i> = 60 seconds 	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.</p>	--
3	<p>Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
4	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC + 200 seconds • <i>grantExpireTime</i> = same as Step 1 • <i>responseCode</i> = 0 	--
5	Go to Step 2	--



#	Test Execution Steps	Results
6	Verify Heartbeat Request message is sent within the latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none">• <i>cbsdId</i> = C• <i>grantId</i> = G• <i>operationState</i> = "AUTHORIZED"• <i>grantRenew</i> = TRUE	PASS
7	SAS Test Harness sends a Heartbeat Response message, with the following parameters: <ul style="list-style-type: none">• <i>cbsdId</i> = C• <i>grantId</i> = G• <i>grantExpireTime</i> = UTC time set far in the future• <i>transmitExpireTime</i> = current UTC time + 200 seconds• <i>responseCode</i> = 0	--
8	Continue to respond to any subsequentHeartbeat Request from CBSD with Heartbeat Response with the following parameters: <ul style="list-style-type: none">• <i>cbsdId</i> = C• <i>grantId</i> = G• <i>transmitExpireTime</i> = same as Step 7• <i>responseCode</i> = 0	--
9	Monitor RF transmission of UUT from start of test until Tgrant_expire + 60 seconds and ensure UUT continues to transmit throughout the time period.	PASS

4.21 [WINNF.FT.C.RLQ.1] Successful Relinquishment

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to relinquish UUT Grant from the SAS Test Harness</p>	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>grantId = G</i> 	PASS
3	<p>SAS Test Harness shall approve the request with a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> – <i>cbsdId = C</i> – <i>grantId = G</i> – <i>responseCode = 0</i> 	--
4	<p>After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	PASS

4.22 [WINNF.FT.C.RLQ.3] Unsuccessful Relinquishment, responseCode=102

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to Relinquish UUT Grant from the SAS Test Harness</p>	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>grantId = G</i> 	--
3	<p>SAS Test Harness shall send a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • No <i>grantId</i> • <i>responseCode = R</i> 	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	PASS

4.23 [WINNF.FT.C.RLQ.5] Unsuccessful Relinquishment, responseCode=103

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to Relinquish UUT Grant from the SAS Test Harness</p>	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>grantId = G</i> 	--
3	<p>SAS Test Harness shall send a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • No <i>grantId</i> • <i>responseCode = R</i> 	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=103</i>) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	PASS

4.24 [WINNF.FT.C.DRG.1] Successful Deregistration

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i> .	PASS
4	<p>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId = C</i> • <i>responseCode = 0</i> 	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	PASS

4.25 [WINNF.FT.C.DRG.3] Deregistration responseCode=102

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i>	--
4	<p>The SAS Test Harness sends the Deregistration Response Message to UUT with:</p> <ul style="list-style-type: none"> • No <i>cbsdId</i> • <i>responseCode = 102</i> 	--
5	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	PASS

4.26 [WINNF.FT.C.DRG.5] Deregistration responseCode=103

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId=C</i> • UUT has received a valid grant with <i>grantId = G</i> • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId = C</i>	--
4	<p>The SAS Test Harness sends the Deregistration Response Message to UUT with:</p> <ul style="list-style-type: none"> • No <i>cbsdId</i> • <i>responseCode = 103</i> 	--
5	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	PASS

4.27 [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	PASS
2	<ul style="list-style-type: none"> Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	PASS
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode = 0</i> and <i>cbsdId</i>. 	PASS
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	PASS

4.28 [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	PASS
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	PASS

4.29 [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	PASS
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	PASS

4.30 [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	PASS
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	PASS

4.31 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> • UUT shall start CBSD-SAS communication with the security procedures 	PASS
2	<ul style="list-style-type: none"> • Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4.32 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness • UUT has registered with the SAS, with CBSID ID = C • UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters.</i></p>	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> • UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G • SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 	--



#	Test Execution Steps	Results
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, P_i. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfil the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	PASS

Note: For test 4.32, please find the Appendix B for RF measurement plots.

Appendix B. RF measurement plots

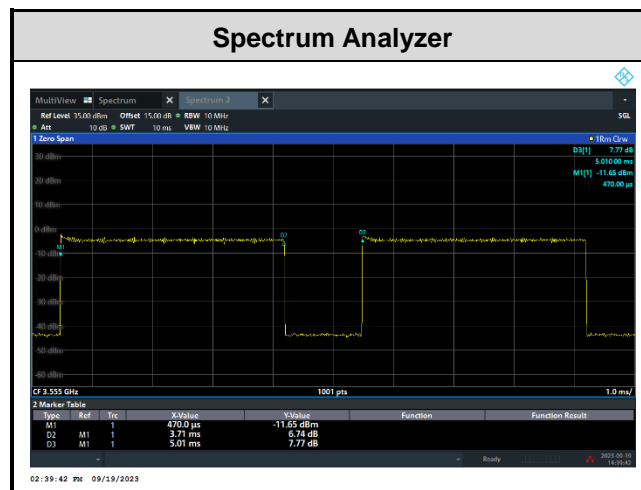
Appendix B.1 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Report Clause 4.32 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	BW [MHz]	Granted MaxEIRP [dBm/MHz]	Conducted PSD [dBm/MHz]				Antenna Gain [dBi]	Duty Cycle Factor [dB]	UUT total MaxEIRP [dBm/MHz]
			ANT 1	ANT 2	ANT 3	ANT 4			
3555	10	16	-5.75	-5.02	-6.82	-6.1	12	1.3	13.45
		20	-1.68	-1.13	-3.55	-2.01			17.32
		25	3.27	4.28	2.17	2.98			22.57
		30	7.45	9.13	6.92	7.56			27.17
		37	12.26	13.15	12.18	12.57			31.88
3680	40	16	-3.25	-2.85	-6.07	-5.57			15.11
		20	0.74	0.89	-1.86	-1.47			19.08
		25	4.87	5.29	3.16	3.3			23.58
		30	9.65	9.45	7.72	8.56			28.24
		37	11.9	12.92	12.23	11.52			31.50

Note: The total path loss is offset with 10.5 dB.

Duty Cycle factor:



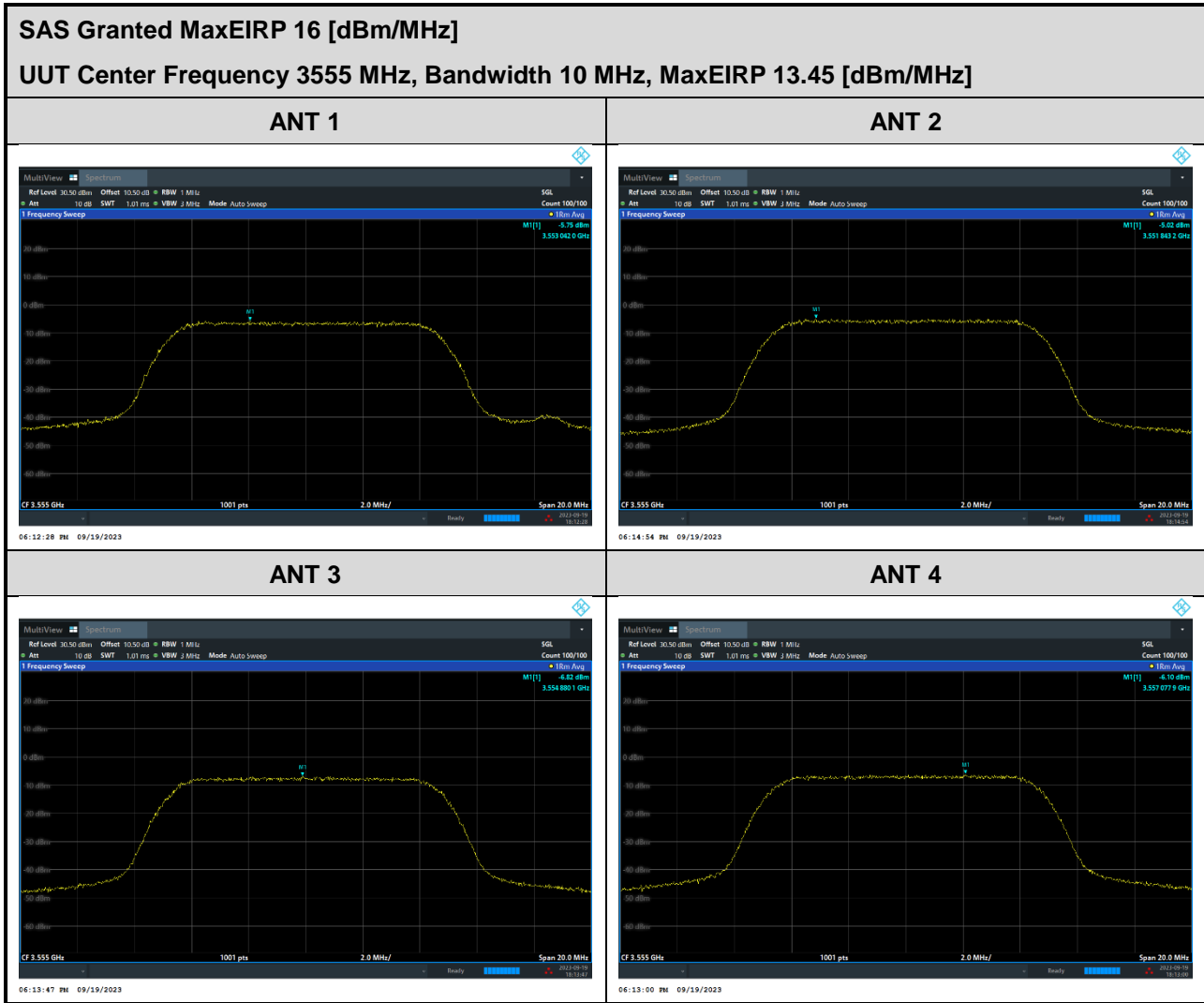
Note: The duty cycle value is 74.05%, add 10log(1/duty cycle) to the measured power level to compute the average power during continuous transmission.



Appendix B.1.1 Test Procedure

[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement defined in clause 4.32 of this test report.

Appendix B.1.2 Test Result

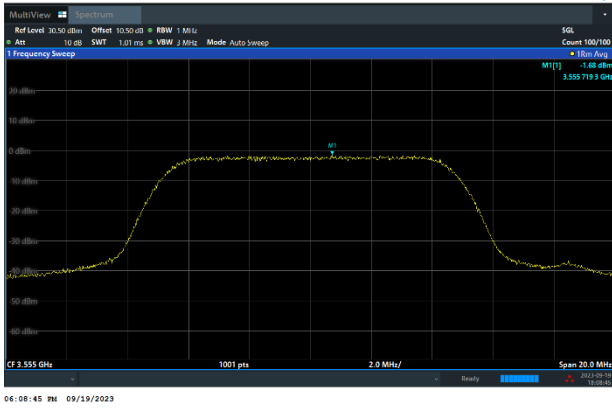




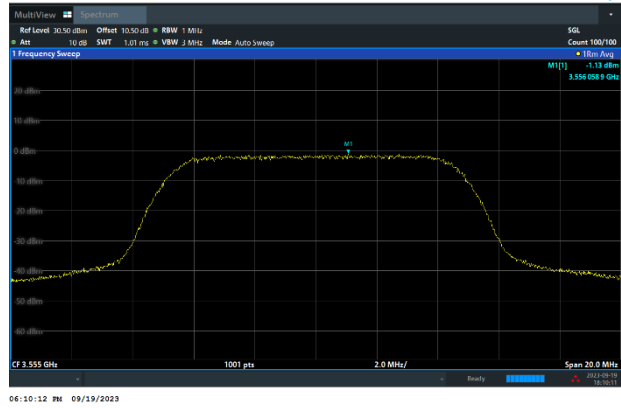
SAS Granted MaxEIRP 20 [dBm/MHz]

UUT Center Frequency 3555 MHz, Bandwidth 10 MHz, MaxEIRP 17.32 [dBm/MHz]

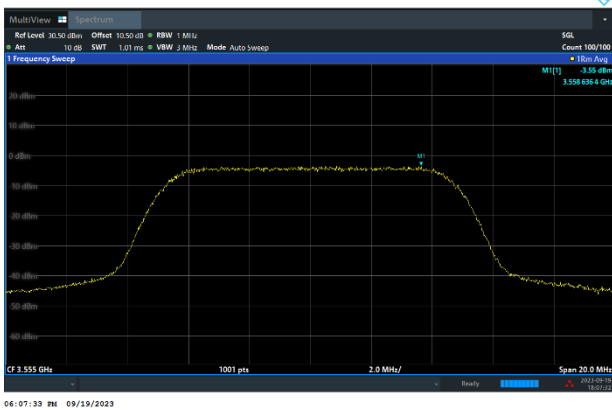
ANT 1



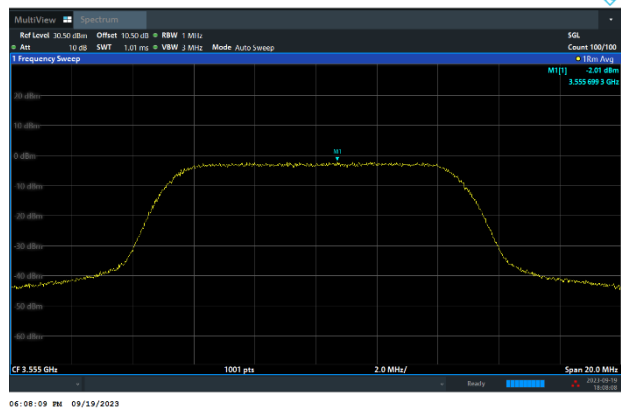
ANT 2



ANT 3



ANT 4

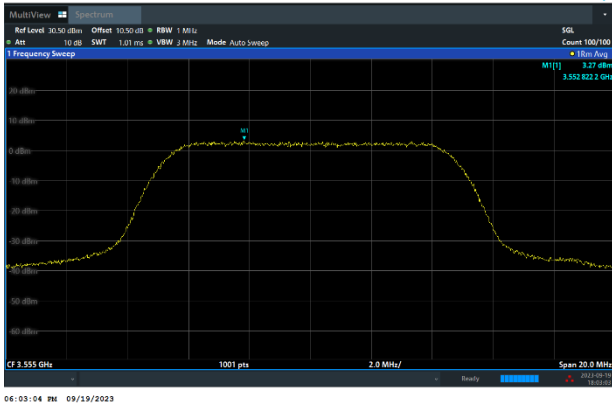




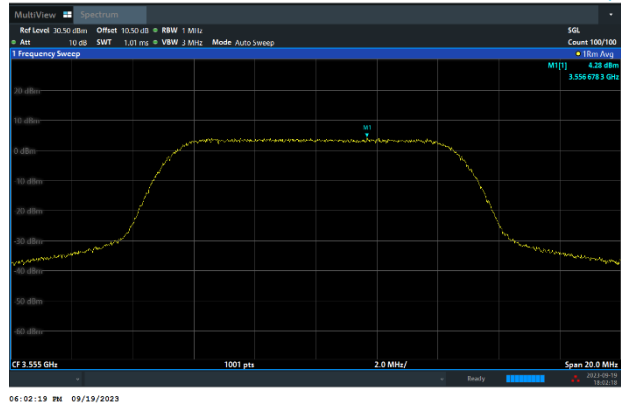
SAS Granted MaxEIRP 25 [dBm/MHz]

UUT Center Frequency 3555 MHz, Bandwidth 10 MHz, MaxEIRP 22.57 [dBm/MHz]

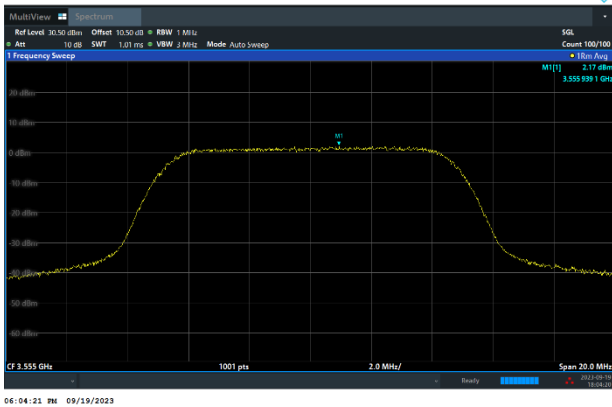
ANT 1



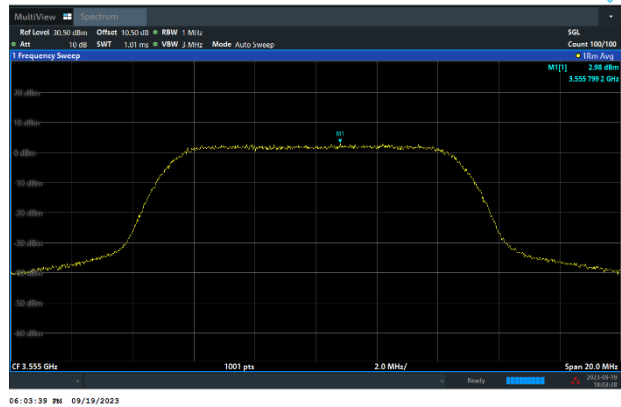
ANT 2



ANT 3



ANT 4

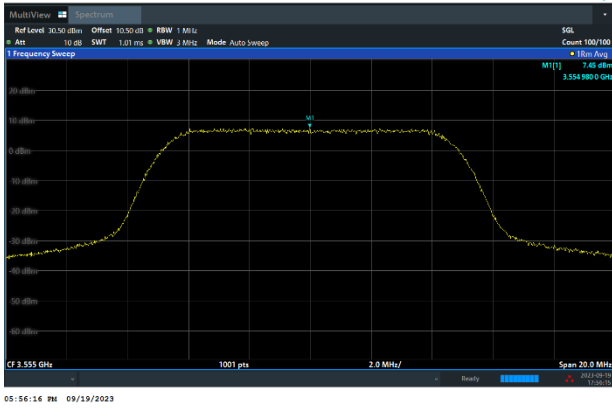




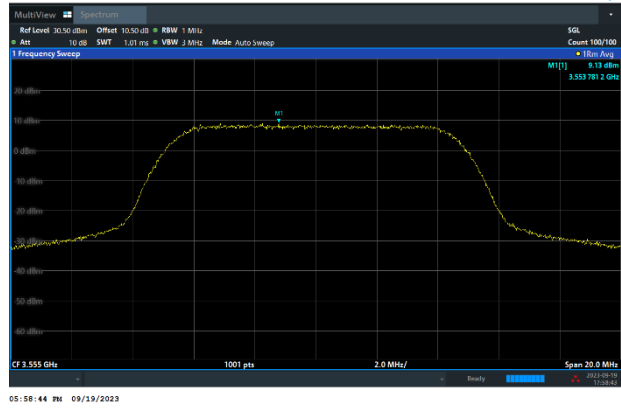
SAS Granted MaxEIRP 30 [dBm/MHz]

UUT Center Frequency 3555 MHz, Bandwidth 10 MHz, MaxEIRP 27.17 [dBm/MHz]

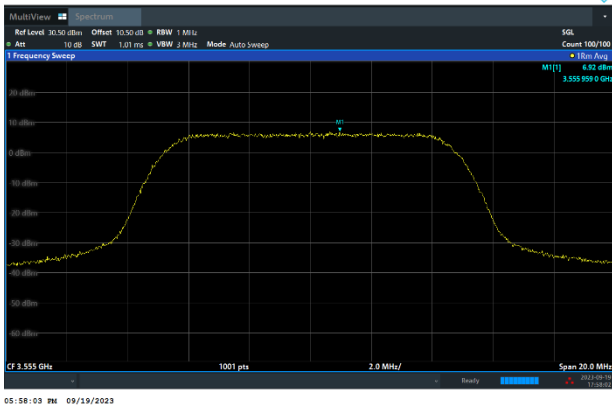
ANT 1



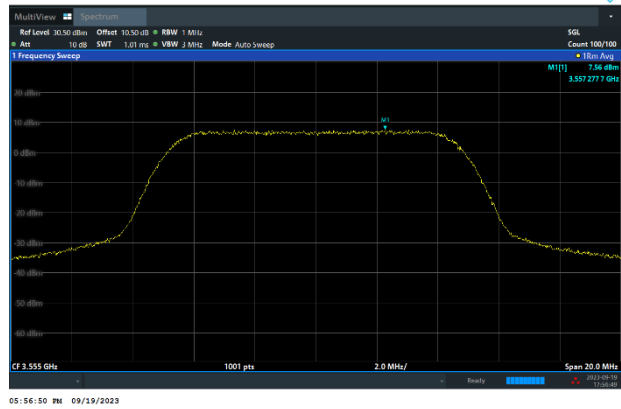
ANT 2



ANT 3



ANT 4

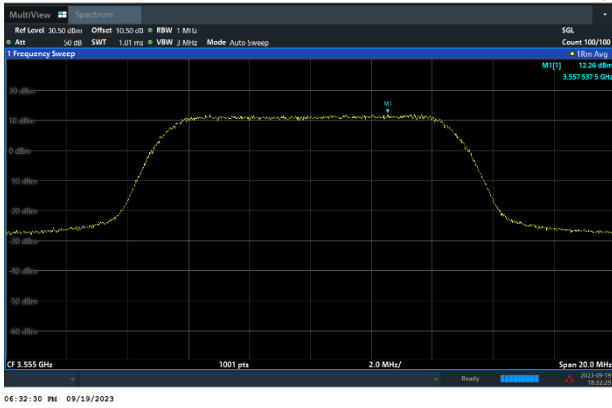




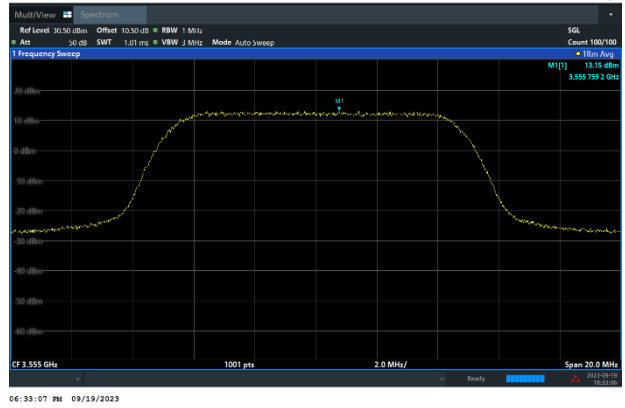
SAS Granted MaxEIRP 37 [dBm/MHz]

UUT Center Frequency 3555 MHz, Bandwidth 10 MHz, MaxEIRP 31.88 [dBm/MHz]

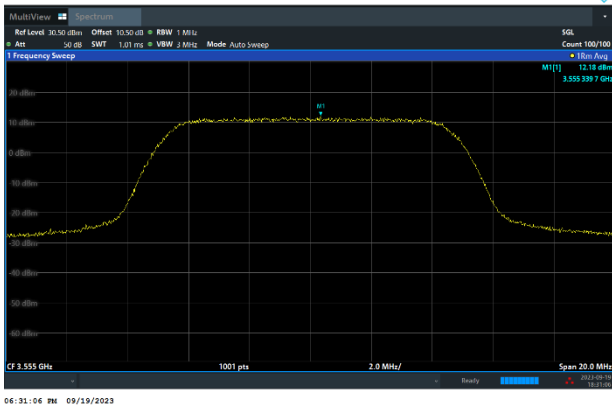
ANT 1



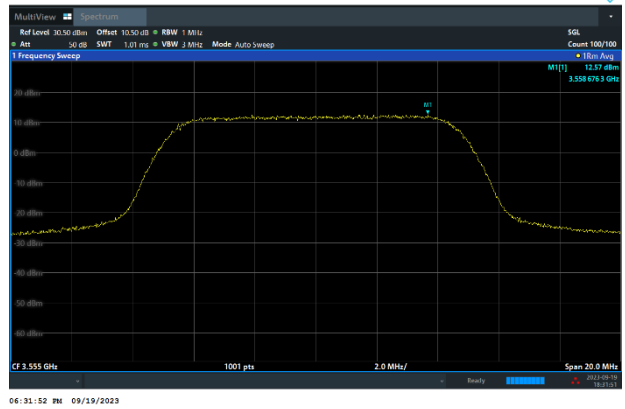
ANT 2



ANT 3



ANT 4

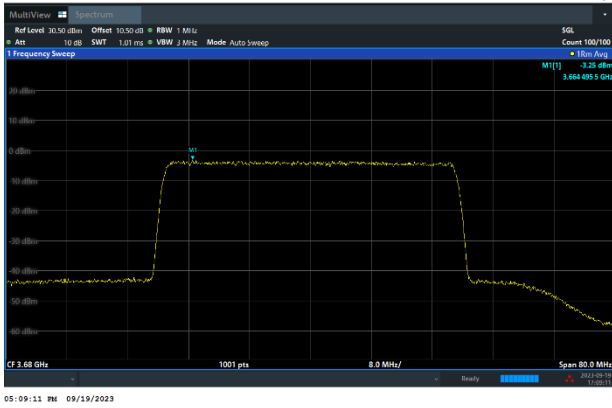




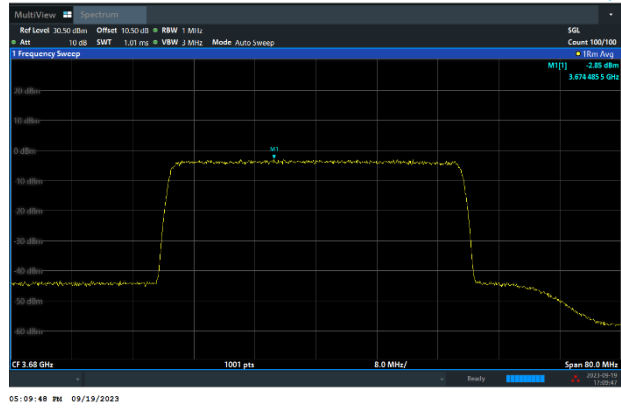
SAS Granted MaxEIRP 16 [dBm/MHz]

UUT Center Frequency 3680 MHz, Bandwidth 40 MHz, MaxEIRP 15.11 [dBm/MHz]

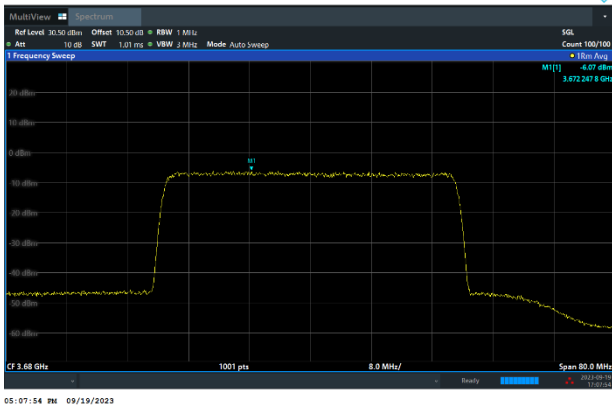
ANT 1



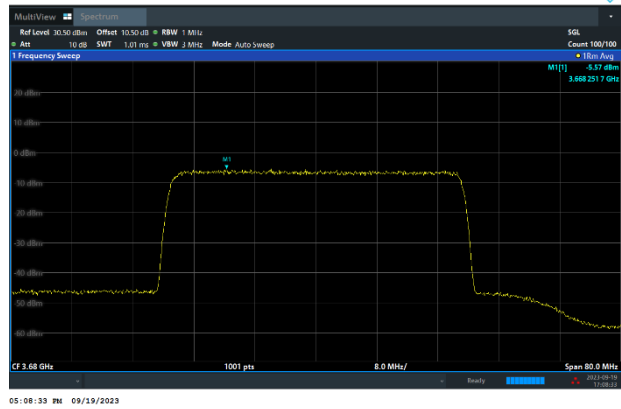
ANT 2



ANT 3



ANT 4

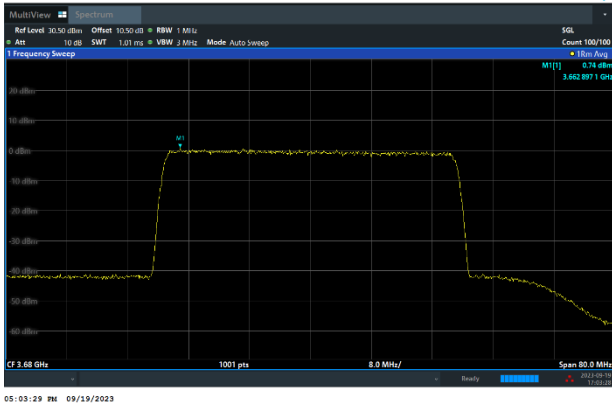




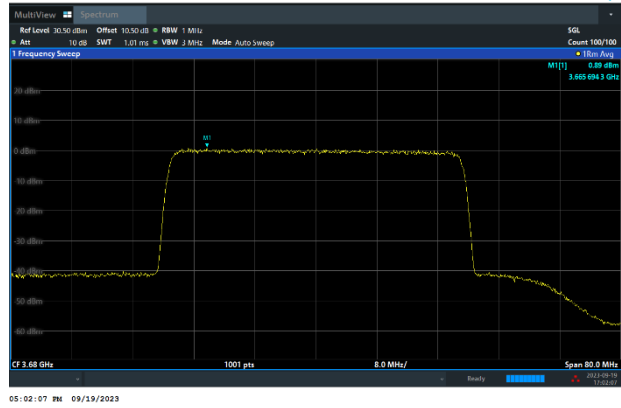
SAS Granted MaxEIRP 20 [dBm/MHz]

UUT Center Frequency 3680 MHz, Bandwidth 40 MHz, MaxEIRP 19.08 [dBm/MHz]

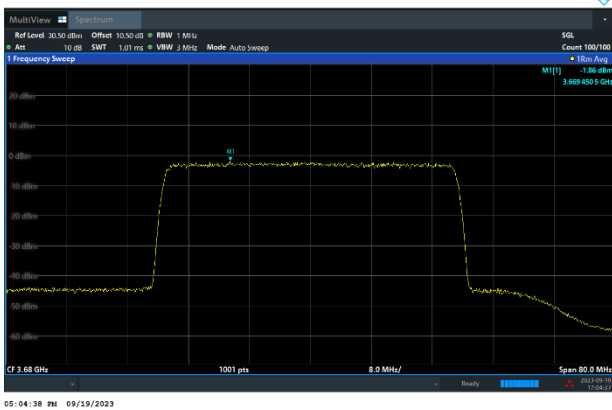
ANT 1



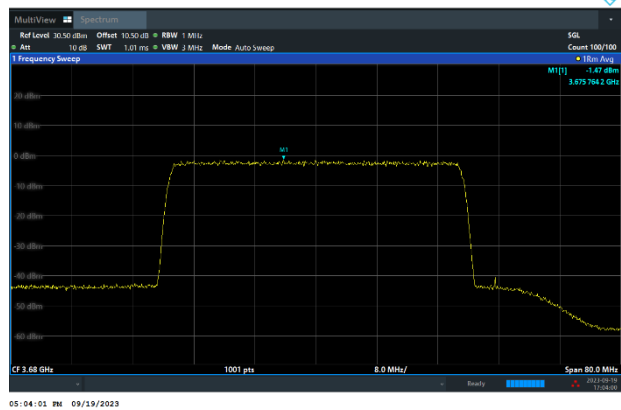
ANT 2



ANT 3



ANT 4

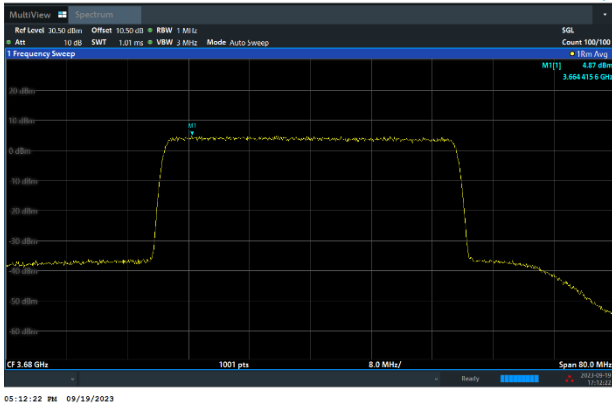




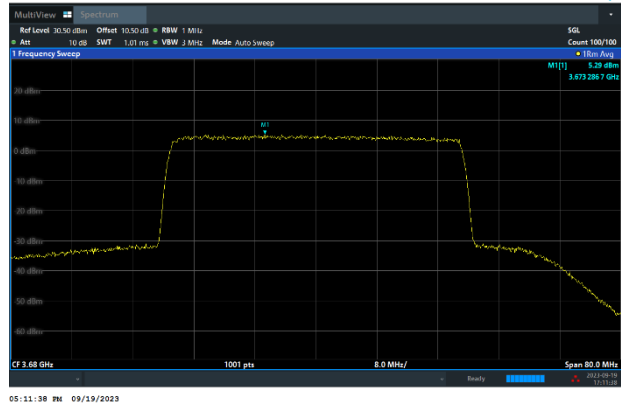
SAS Granted MaxEIRP 25 [dBm/MHz]

UUT Center Frequency 3680 MHz, Bandwidth 40 MHz, MaxEIRP 23.58 [dBm/MHz]

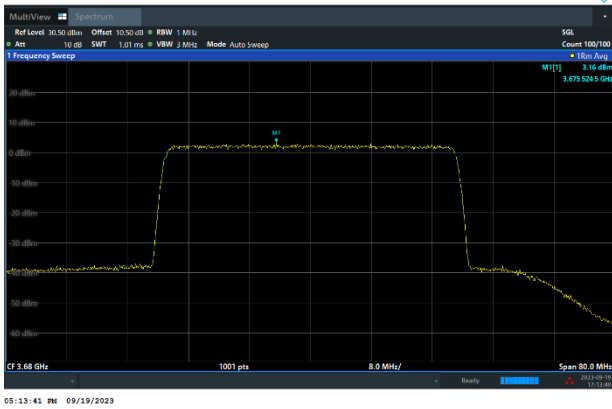
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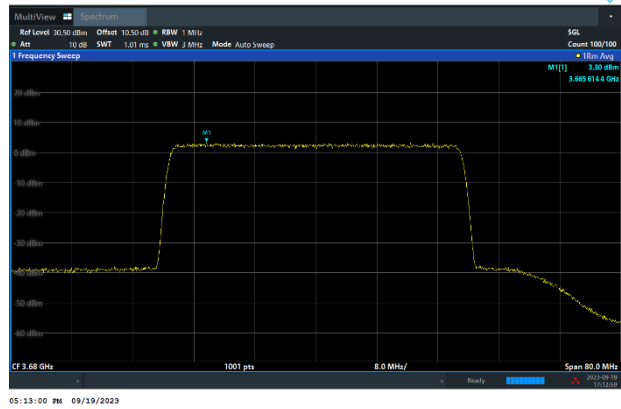
ANT 2



ANT 3



ANT 4

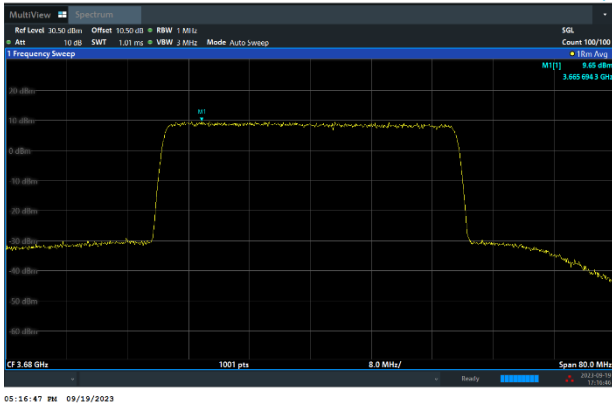




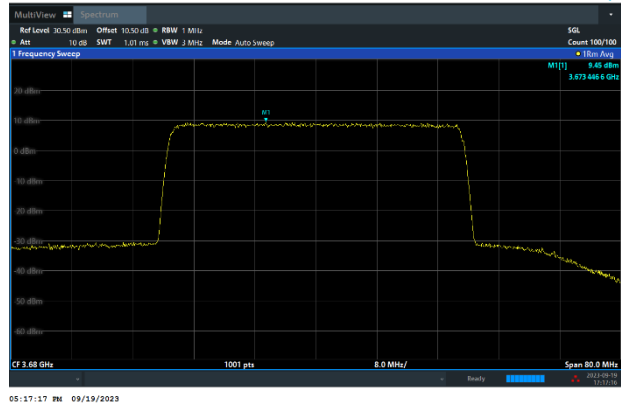
SAS Granted MaxEIRP 30 [dBm/MHz]

UUT Center Frequency 3680 MHz, Bandwidth 40 MHz, MaxEIRP 28.24 [dBm/MHz]

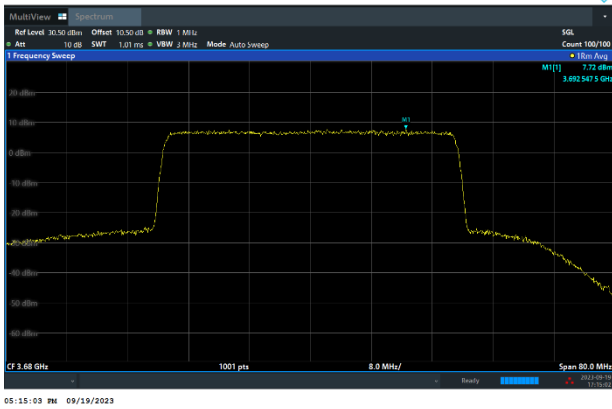
ANT 1



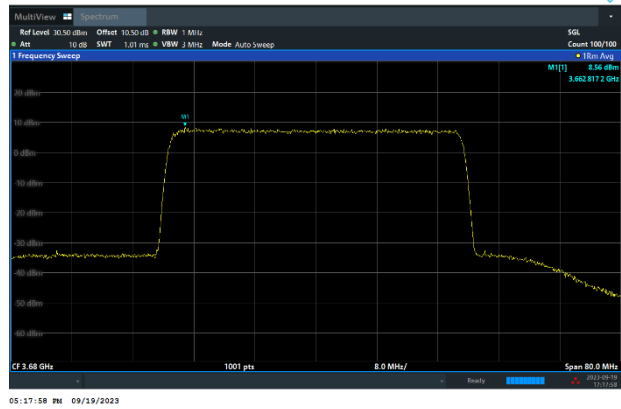
ANT 2



ANT 3



ANT 4

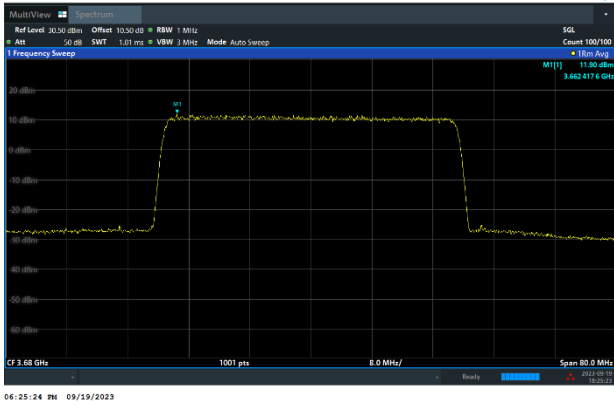




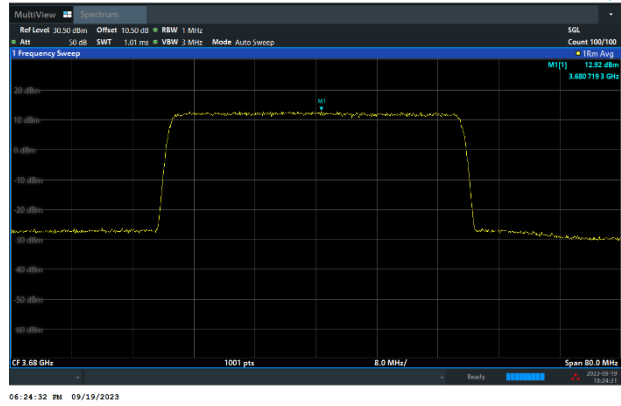
SAS Granted MaxEIRP 37 [dBm/MHz]

UUT Center Frequency 3680 MHz, Bandwidth 20 MHz, MaxEIRP 31.50 [dBm/MHz]

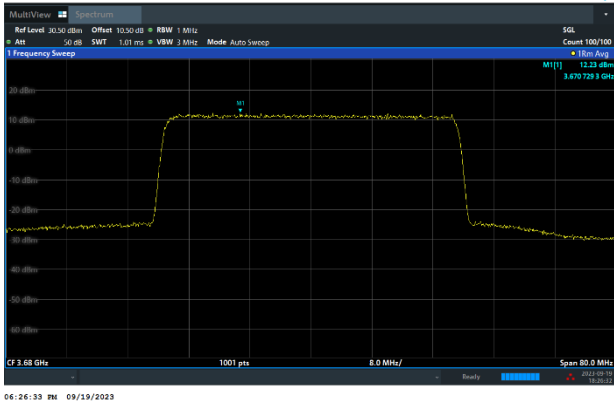
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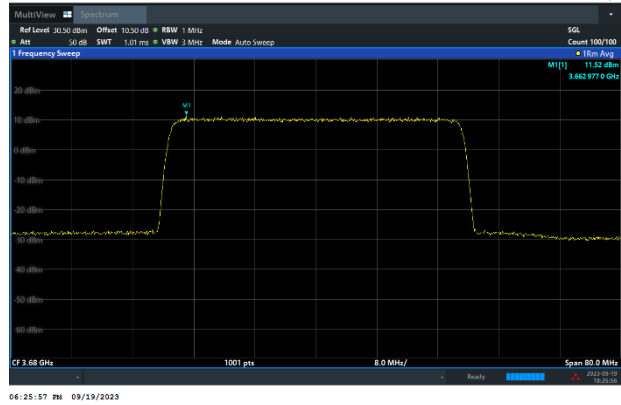
ANT 2



ANT 3



ANT 4



—THE END—