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# PART 0 SAR CHAR REPORT

Applicant Name: Apple, Inc. One Apple ark Way Cupertino, CA 95014 Date of Testing: 07/13/2021 Test Report Date: 06/08/2023 Test Site/Location: Element, Morgan Hill, CA, USA Document Serial No.: 1C2305300034-03.BCG

FCC ID:

# **BCGA2568**

**APPLICANT:** 

# APPLE, INC.

Report Type: DUT Type: Model(s): Part 0 SAR Characterization Tablet Device A2568, A2569

Only operations relevant to this permissive change were evaluated for compliance. Please see the original compliance evaluation in RF Exposure Technical Report S/N: 1C2106080049-27.BCG for complete evaluation of all other operating modes. The operation description includes a description of all changed items.

I attest to the accuracy of data. All measurements reported herein were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

Test results reported herein relate only to the item(s) tested.

RI Ortanez

Executive Vice President



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# 1 DEVICE UNDER TEST

#### 1.1 Device Overview

Band & Mode	Operating Modes	Tx Frequency
UMTS 850	Data	826.40 - 846.60 MHz
UMTS 1750	Data	1712.4 - 1752.6 MHz
UMTS 1900	Data	1852.4 - 1907.6 MHz
LTE Band 71	Data	665.5 - 695.5 MHz
LTE Band 12	Data	699.7 - 715.3 MHz
LTE Band 17	Data	706.5 - 713.5 MHz
LTE Band 13	Data	779.5 - 784.5 MHz
LTE Band 14	Data	790.5 - 795.5 MHz
LTE Band 26 (Cell)	Data	814.7 - 848.3 MHz
LTE Band 5 (Cell)	Data	824.7 - 848.3 MHz
LTE Band 4 (AWS)	Data	1710.7 - 1754.3 MHz
LTE Band 66 (AWS)	Data	1710.7 - 1779.3 MHz
LTE Band 2 (PCS)	Data	1850.7 - 1909.3 MHz
LTE Band 25 (PCS)	Data	1850.7 - 1914.3 MHz
LTE Band 30	Data	2307.5 - 2312.5 MHz
LTE Band 7	Data	2502.5 - 2567.5 MHz
LTE Band 41	Data	2498.5 - 2687.5 MHz
LTE Band 48	Data	3552.5 - 3697.5 MHz
NR Band n71	Data	665.5 - 695.5 MHz
NR Band n12	Data	701.5 - 713.5 MHz
NR Band n5 (Cell)	Data	826.5 - 846.5 MHz
NR Band n66 (AWS)	Data	1712.5 - 1777.5 MHz
NR Band n2 (PCS)	Data	1852.5 - 1907.5 MHz
NR Band n25 (PCS)	Data	1852.5 - 1912.5 MHz
NR Band n30	Data	2307.5 - 2312.5 MHz
NR Band n7	Data	2502.5 - 2567.5 MHz
NR Band n41	Data	2506.02 - 2679.99 MHz
NR Band n48	Data	3555.00 - 3694.98 MHz
NR Band n77 DoD	Data	3460.02 - 3540 MHz
NR Band n77 C	Data	3710.01 - 3969.99 MHz
2.4 GHz WLAN	Voice/Data	2412 - 2472 MHz
U-NII-1	Voice/Data	5180 - 5240 MHz
U-NII-2A	Voice/Data	5260 - 5320 MHz
U-NII-2C	Voice/Data	5500 - 5720 MHz
U-NII-3	Voice/Data	5745 - 5825 MHz
Bluetooth	Data	2402 - 2480 MHz

This device uses the Qualcomm<sup>®</sup> Smart Transmit feature to control and manage transmitting power in real time and to ensure the time-averaged RF exposure is in compliance with the FCC requirement at all times for 2G/3G/4G/5G WWAN operations. Additionally, this device supports WLAN/BT technologies, but the output power of these modems is not controlled by the Smart Transmit algorithm.

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## 1.2 Time-Averaging for SAR

This device is enabled with Qualcomm<sup>®</sup> Smart Transmit algorithm to control and manage transmitting power in real time and to ensure that the time-averaged RF exposure from 3G/4G/5G Sub-6 NR WWAN is in compliance with FCC requirements. This Part 0 report shows SAR characterization of WWAN radios for 5G Sub-6 NR. Characterization is achieved by determining P<sub>Limit</sub> for 3G/4G/5G Sub-6 NR that corresponds to the exposure design targets after accounting for all device design related uncertainties, i.e., SAR\_design\_target (< FCC SAR limit) for sub-6 radio. The SAR characterization is denoted as SAR Char in this report. Section 1.3 includes a nomenclature of the specific terms used in this report.

The compliance test under the static transmission scenario and simultaneous transmission analysis are reported in Part 1 report. The validation of the time-averaging algorithm and compliance under the dynamic (time- varying) transmission scenario for WWAN technologies are reported in Part 2 report (report SN could be found in Section 1.4 – Bibliography).

Technology	Term	Description	
	Plimit	Power level that corresponds to the exposure design	
3G/4G/5G Sub-6 NR		target (SAR_design_target) after accounting for all device	
		design related uncertainties	
	P <sub>max</sub>	Maximum tune up output power	
	SAR_design_target	Target SAR level < FCC SAR limit after accounting for all	
		device design related uncertainties	
	SAR Char	Table containing <i>Plimit</i> for all technologies and bands	

## 1.3 Nomenclature for Part 0 Report

## 1.4 Bibliography

Report Type	Report Serial Number	
FCC SAR Evaluation Report (Part 1)	1C2305300034-02.BCG	
Original RF Exposure Part 1 Test Report	Original Filing	

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## **2** SAR AND POWER DENSITY MEASUREMENTS

#### 2.1 SAR Definition

Specific Absorption Rate is defined as the time derivative (rate) of the incremental energy (dU) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density ( $\rho$ ). It is also defined as the rate of RF energy absorption per unit mass at a point in an absorbing body (see Equation 2-1).

Equation 2-1 SAR Mathematical Equation

SAP = 0	$d \left( dU \right)$	d	dU
$SAR = \frac{a}{a}$	lt(dm)	$-\overline{dt}$	$\left( \overline{\rho dv} \right)$

#### SAR is expressed in units of Watts per Kilogram (W/kg).

$$SAR = \frac{\sigma \cdot E^2}{\rho}$$

where:

σ	=	conductivity of the tissue-simulating material (S/m)
ρ	=	mass density of the tissue-simulating material (kg/m <sup>3</sup> )
Е	=	Total RMS electric field strength (V/m)

NOTE: The primary factors that control rate of energy absorption were found to be the wavelength of the incident field in relation to the dimensions and geometry of the irradiated organism, the orientation of the organism in relation to the polarity of field vectors, the presence of reflecting surfaces, and whether conductive contact is made by the organism with a ground plane.[6]

## 2.2 SAR Measurement Procedure

The evaluation was performed using the following procedure compliant to FCC KDB Publication 865664 D01v01r04 and IEEE 1528-2013:

- 1. The SAR distribution at the exposed side of the head or body was measured at a distance no greater than 5.0 mm from the inner surface of the shell. The area covered the entire dimension of the device-head and body interface and the horizontal grid resolution was determined per FCC KDB Publication 865664 D01v01r04 (See
- 2. Table 2-1) and IEEE 1528-2013.
- 3. The point SAR measurement was taken at the maximum SAR region determined from Step 1 to enable the monitoring of SAR fluctuations/drifts during the 1g/10g cube evaluation. SAR at this fixed point was measured and used as a reference value.

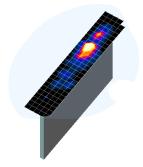


Figure 2-1 Sample SAR Area Scan

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4. Based on the area scan data, the peak of the region with maximum SAR was determined by spline interpolation. Around this point, a volume was assessed according to the measurement resolution and volume size requirements of FCC KDB Publication 865664 D01v01r04 (See Table 2 1) and IEEE 1528-2013. On the basis of this data set, the spatial peak SAR value was evaluated with the following procedure (see references or the DASY manual online for more details):

a. SAR values at the inner surface of the phantom are extrapolated from the measured values along the line away from the surface with spacing no greater than that in

b. Table 2-1. The extrapolation was based on a least-squares algorithm. A polynomial of the fourth order was calculated through the points in the z-axis (normal to the phantom shell).

c. After the maximum interpolated values were calculated between the points in the cube, the SAR was averaged over the spatial volume (1g or 10g) using a 3D-Spline interpolation algorithm. The 3D-spline is composed of three one-dimensional splines with the "Not a knot" condition (in x, y, and z directions). The volume was then integrated with the trapezoidal algorithm. One thousand points ( $10 \times 10 \times 10$ ) were obtained through interpolation, in order to calculate the averaged SAR.

d. All neighboring volumes were evaluated until no neighboring volume with a higher average value was found.

5. The SAR reference value, at the same location as step 2, was re-measured after the zoom scan was complete to calculate the SAR drift. If the drift deviated by more than 5%, the SAR test and drift measurements were repeated.

 Table 2-1

 Area and Zoom Scan Resolutions per FCC KDB Publication 865664 D01v01r04\*

	Maximum Area Scan Desclution (mm) Desclution (mm)			Minimum Zoom Scan		
Frequency	ency Resolution (mm) (Δx <sub>area</sub> , Δy <sub>area</sub> )	Resolution (mm) (Δx <sub>200</sub> , Δy <sub>200</sub> )	Uniform Grid	Graded Grid		Volume (mm) (x,y,z)
			∆z <sub>zoom</sub> (n)	$\Delta z_{zoom}(1)^*$	∆z <sub>zoom</sub> (n>1)*	
≤2 GHz	≤ 15	≤8	≤5	≤4	≤ 1.5*Δz <sub>zoom</sub> (n-1)	≥ 30
2-3 GHz	≤12	≤ 5	≤5	≤4	$\leq 1.5^*\Delta z_{zoom}(n-1)$	≥ 30
3-4 GHz	≤12	≤5	≤ 4	≤3	$\leq 1.5^*\Delta z_{zoom}(n-1)$	≥ 28
4-5 GHz	≤ 10	≤ 4	≤3	≤2.5	≤ 1.5*Δz <sub>zoom</sub> (n-1)	≥ 25
5-6 GHz	≤ 10	≤4	≤2	≤2	≤ 1.5*Δz <sub>room</sub> (n-1)	≥ 22

\*Also compliant to IEEE 1528-2013 Table 6

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# **3** SAR CHARACTERIZATION

### 3.1 DSI and SAR Determination

This device uses different Device State Index (DSI) to configure different time averaged power levels based on certain exposure scenarios. Depending on the detection scheme implemented in the tablet, the worst-case SAR was determined by measurements for the relevant exposure conditions for that DSI. Detailed descriptions of the detection mechanisms are included in the operational description.

The device state index (DSI) conditions used in Table 3-1 represent different exposure scenarios.

DSI and Corresponding Exposure Scenarios							
Scenario	Description	SAR Test Cases					
(DSI = 1)	<ul> <li>Detect Mode Activated</li> </ul>	Tablet SAR per KDB Publication 616217 D04					

# Table 3-1 DSI and Corresponding Exposure Scenarios

## 3.2 SAR Design Target

SAR\_design\_target is determined by ensuring that it is less than FCC SAR limit after accounting for total device designed related uncertainties specified by the manufacturer (see Table 3-2).

Table 3-2 SAR_design_target Calculations						
SAR_design_target						
SAR_design_target< SAR_regul	$SAR\_design\_target < SAR\_regulatory\_limit \times 10^{\frac{-Total Uncertainty}{10}}$					
1g SAR (W/kg)						
Total Uncertainty	1.0 dB					
SAR_regulatory_limit	1.6 W/kg					
SAR_design_target	0.8 W/kg					

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## 3.3 SAR Char

SAR test results corresponding to Pmax for each antenna/technology/band/DSI can be found in Appendix A.

*Plimit* is calculated by linearly scaling with the measured SAR at the Ppart0 to correspond to the *SAR\_design\_target*. When *Plimit < Pmax*, *Ppart0* was used as Plimit in the Smart Transmit EFS. When *Plimit > Pmax* and *Ppart0*=Pmax, calculated *Plimit* was used in the Smart Transmit EFS. All reported SAR obtained from the Ppart0 SAR tests was less than *SAR\_Design\_target*+1 dB Uncertainty. The final *Plimit* determination for each exposure scenario corresponding to *SAR\_design\_target* are shown in Table 3-3.

PLimit Determination						
Device State Index (DSI)	PLimit Determination Scenarios					
1	The worst-case SAR exposure is determined as maximum SAR normalized to the limit among: 1. Tablet SAR measured at 0 mm for Back, Top, Bottom, Right, Left surfaces					

#### Table 3-3 Limit Determination

#### Note:

For DSI = 1,  $P_{limit}$  is calculated by:

*P*<sub>limit</sub> corresponding to 1g Tablet SAR evaluation at 0 mm for back, top, bottom, left and right surfaces

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#### Table 3-4 SAR Characterizations

Exposure Scenario:	Ant 1a Body	Ant 1a	Ant 2 Body	Ant 2	Ant 3a Body	Ant 3a	Ant 4 Body	Ant 4		
Averaging Volume:	1g	Maximum Tune- up	1g	Maximum Tune- up	1g	Maximum Tune- up	1g	Maximum Tune up		
Spacing:	0 mm	Output	0 mm	Output	0 mm	Output	0 mm	Output	Manufacture's	Plimit target
DSI:	1	Power*	1	Power*	1	Power*	1	Power*	Smart Transmit	Toloropoo (dB)
Technology/Band	Plimit corresponding to 0.8 W/kg	Pmax	Plimit corresponding to 0.8 W/kg	Pmax	Plimit corresponding to 0.8 W/kg	Pmax	Plimit corresponding to 0.8 W/kg		Uncertainty (dB)	loioianoo (ab)
NR Band n48 ( <= 20 MHz BW)	8.80	18.40	10.70	19.30	8.90	18.40	9.30	18.90	+/- 1.0	+/- 1.0
NR Band n48 (> 20 Mhz BW)	8.80	15.70	10.70	16.20	8.90	18.40	9.30	18.20	+/- 1.0	+/- 1.0

#### Notes:

- 1. \*Maximum tune up output power Pmax is used to configure EUT during RF tune up procedure. The maximum allowed output power is equal to maximum Tune up output power +1.0/-1.0 dB tolerance for UHB.
- 2. All *P*<sub>limit</sub> EFS and maximum tune up output power *P*<sub>max</sub> levels entered in above Table correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., LTE TDD).
- 3. See the original filing for all other operations that were not evaluated in this permissive change.

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## 4 EQUIPMENT LIST

#### For SAR measurements

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	8753ES	S-Parameter Network Analyzer	09/16/2020	Annual	09/16/2021	MY40000670
Agilent	E4438C	ESG Vector Signal Generator	12/02/2020	Annual	12/02/2021	MY42081752
Agilent	N5182A	MXG Vector Signal Generator	09/25/2020	Annual	09/25/2021	US46240505
Agilent	N9020A	MXA Signal Analyzer	12/21/2020	Annual	12/21/2021	MY50200571
Amplifier Research	15S1G6	Amplifier	CBT	N/A	CBT	343971
Anritsu	MA24106A	USB Power Sensor	02/25/2021	Annual	02/25/2022	1520503
Anritsu	MA24106A	USB Power Sensor	02/25/2021	Annual	02/25/2022	1520501
Control Company	4040	Therm./Clock/Humidity Monitor	03/06/2020	Biennial	03/06/2022	200170296
Control Company	4040	Therm./Clock/Humidity Monitor	03/06/2020	Biennial	03/06/2022	200170289
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670646
Control Company	4353	Long Stem Thermometer	10/28/2020	Biennial	10/28/2022	200670653
Insize	1108-150	Digital Caliper	01/17/2020	Biennial	01/17/2022	409193536
MCL	BW-N10W5+	10dB Attenuator	CBT	N/A	CBT	1611
MCL	BW-N3W5+	3dB Attenuator	CBT	N/A	CBT	1812
MCL	BW-N6W5+	6dB Attenuator	CBT	N/A	CBT	1311
Mini-Circuits	NLP-2950+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	VLF-6000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	ZHDC-16-63-S+	50-6000MHz Bidirectional Coupler	CBT	N/A	CBT	N/A
Pasternack	PE2208-6	Bidirectional Coupler	CBT	N/A	CBT	N/A
Rohde & Schwarz	FSP-7	Spectrum Analyzer	01/09/2020	Biennial	01/09/2022	100990
Rosenberger	32W1006-016	Torque Wrench	12/01/2020	Annual	12/01/2021	N/A
SPEAG	DAKS-3.5	Portable DAK	09/09/2020	Annual	09/09/2021	1045
SPEAG	D3500V2	3500 MHz SAR Dipole	08/16/2019	Biennial	08/16/2021	1055
SPEAG	D3700V2	3700 MHz SAR Dipole	10/17/2019	Biennial	10/17/2021	1002
SPEAG	EX3DV4	SAR Probe	02/17/2021	Annual	02/17/2022	7427
SPEAG	DAE4	Dasy Data Acquisition Electronics	02/11/2021	Annual	02/11/2022	1403

Note:

- CBT (Calibrated Before Testing). Prior to testing, the measurement paths containing a cable, amplifier, attenuator, coupler or filter were connected to a calibrated source (i.e. a signal generator) to determine the losses of the measurement path. The power meter offset was then adjusted to compensate for the measurement system losses. This level offset is stored within the power meter before measurements are made. This calibration verification procedure applies to the system verification and output power measurements. The calibrated reading is then taken directly from the power meter after compensation of the losses for all final power measurements.
- 2. Each equipment item was used solely within its respective calibration period.

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#### 5 **MEASUREMENT UNCERTAINTIES**

#### **For SAR Measurements**

Measurements		1	1						
а	b	с	d	e=	f	g	h =	i =	k
				f(d,k)			c x f/e	c x g/e	
	IEEE	Tol.	Prob.		Ci	Ci	1gm	10gms	
Uncertainty Component	1528 Sec.	(± %)	Dist.	Div.	1gm	10 gms	ui	u <sub>i</sub>	vi
	000.	( )			0	Ũ	(± %)	(± %)	
Measurement System			•						
Probe Calibration	E.2.1	7	Ν	1	1	1	7.0	7.0	∞
Axial Isotropy	E.2.2	0.25	Ν	1	0.7	0.7	0.2	0.2	∞
Hemishperical Isotropy	E.2.2	1.3	Ν	1	0.7	0.7	0.9	0.9	∞
Boundary Effect	E.2.3	2	R	1.73	1	1	1.2	1.2	∞
Linearity	E.2.4	0.3	Ν	1	1	1	0.3	0.3	8
System Detection Limits	E.2.4	0.25	R	1.73	1	1	0.1	0.1	∞
Modulation Response	E.2.5	4.8	R	1.73	1	1	2.8	2.8	∞
Readout Electronics	E.2.6	0.3	Ν	1	1	1	0.3	0.3	∞
Response Time	E.2.7	0.8	R	1.73	1	1	0.5	0.5	∞
Integration Time	E.2.8	2.6	R	1.73	1	1	1.5	1.5	∞
RF Ambient Conditions - Noise	E.6.1	3	R	1.73	1	1	1.7	1.7	∞
RF Ambient Conditions - Reflections	E.6.1	3	R	1.73	1	1	1.7	1.7	∞
Probe Positioner Mechanical Tolerance	E.6.2	0.8	R	1.73	1	1	0.5	0.5	∞
Probe Positioning w/ respect to Phantom	E.6.3	6.7	R	1.73	1	1	3.9	3.9	∞
Extrapolation, Interpolation & Integration algorithms for Max. SAR Evaluation	E.5	4	R	1.73	1	1	2.3	2.3	∞
Test Sample Related									
Test Sample Positioning	E.4.2	3.12	Ν	1	1	1	3.1	3.1	35
Device Holder Uncertainty	E.4.1	1.67	Ν	1	1	1	1.7	1.7	5
Output Power Variation - SAR drift measurement	E.2.9	5	R	1.73	1	1	2.9	2.9	∞
SAR Scaling	E.6.5	0	R	1.73	1	1	0.0	0.0	∞
Phantom & Tissue Parameters			1						
Phantom Uncertainty (Shape & Thickness tolerances)	E.3.1	7.6	R	1.73	1.0	1.0	4.4	4.4	∞
Liquid Conductivity - measurement uncertainty	E.3.3	4.3	Ν	1	0.78	0.71	3.3	3.0	76
Liquid Permittivity - measurement uncertainty	E.3.3	4.2	Ν	1	0.23	0.26	1.0	1.1	75
Liquid Conductivity - Temperature Uncertainty	E.3.4	3.4	R	1.73	0.78	0.71	1.5	1.4	8
Liquid Permittivity - Temperature Unceritainty	E.3.4	0.6	R	1.73	0.23	0.26	0.1	0.1	∞
Liquid Conductivity - deviation from target values	E.3.2	5.0	R	1.73	0.64	0.43	1.8	1.2	∞
Liquid Permittivity - deviation from target values	E.3.2	5.0	R	1.73	0.60	0.49	1.7	1.4	∞
Combined Standard Uncertainty (k=1)			RSS			•	12.2	12.0	191
Expanded Uncertainty			k=2				24.4	24.0	

The above measurement uncertainties are according to IEEE Std. 1528-2013

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