### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



Schweizerischer Kalibrierdienst

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Accreditation No.: SCS 0108

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Client UL CCS USA

Certificate No: EX3-3773\_Apr15

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## CALIBRATION CERTIFICATE

| Object                   | EX3DV4 - SN:3773  |
|--------------------------|---|
| Calibration procedure(s) | QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes   |
| Calibration date:        | April 22, 2015  |
|                          | uments the traceability to national standards, which realize the physical units of measurements (SI).<br>ncertainties with confidence probability are given on the following pages and are part of the certificate. |

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | 1D              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|                              | Name                                   | Function                                    | Signature                     |
|------------------------------|--|---|-------------------------------|
| Calibrated by:               | Israe Elnaouq                          | Laboratory Technician                       | Heren Charles                 |
| Approved by:                 | Katja Pokovic                          | Technical Manager                           | flitty '                      |
| This calibration certificate | e shall not be reproduced except in fu | ll without written approval of the laborato | Issued: April 23, 2015<br>ry. |

### **Calibration Laboratory of**

Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland



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Multilateral Agreement for the recognition of calibration certificates Glossary: tissue simulating liquid TSL NORMx,y,z sensitivity in free space sensitivity in TSL / NORMx,y,z ConvF diode compression point DCP crest factor (1/duty\_cycle) of the RF signal CF modulation dependent linearization parameters A, B, C, D φ rotation around probe axis Polarization o 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), Polarization 9 i.e.,  $\vartheta = 0$  is normal to probe axis information used in DASY system to align probe sensor X to the robot coordinate system Connector Angle

### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORMX, v.z. Assessed for E-field polarization  $\vartheta = 0$  (f  $\leq 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx, y, z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW • signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax, y, z; Bx, y, z; Cx, y, z; Dx, y, z; VRx, y, z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f < 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx.v.z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset; The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3773

Manufactured: Calibrated: January 10, 2011 April 22, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.58     | 0.56     | 0.52     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 101.7    | 98.2     | 98.6     |           |

### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dBõV | С   | D<br>dB | VR<br>mV | Unc <sup>⊨</sup><br>(k=2) |
|-----|---------------------------|---|---------|-----------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0       | 1.0 | 0.00    | 167.7    | ±3.5 %                    |
|     |                           | Y | 0.0     | 0.0       | 1.0 |         | 151.4    |                           |
|     |                           | Z | 0.0     | 0.0       | 1.0 |         | 163.8    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6). <sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>c</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 9.08    | 9.08    | 9.08    | 0.23               | 1.26                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 8.48    | 8.48    | 8.48    | 0.25               | 1.15                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 7.40    | 7.40    | 7.40    | 0.37               | 0.85                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.31    | 7.31    | 7.31    | 0.35               | 0.98                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 6.68    | 6.68    | 6.68    | 0.32               | 0.88                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.49    | 6.49    | 6.49    | 0.40               | 0.80                       | ± 12.0 %       |
| 5250                 | 35.9                                  | 4.71                               | 4.76    | 4.76    | 4.76    | 0.30               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.46    | 4.46    | 4.46    | 0.35               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.63    | 4.63    | 4.63    | 0.35               | 1.80                       | ± 13.1 %       |

### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

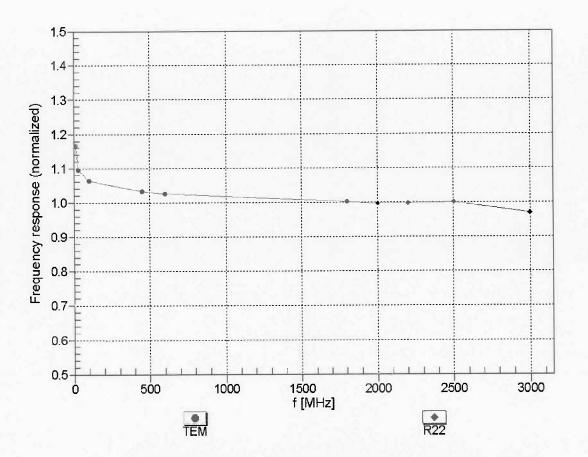
| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 8.60    | 8.60    | 8.60    | 0.30               | 1.20                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 8.26    | 8.26    | 8.26    | 0.26               | 1.33                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.13    | 7.13    | 7.13    | 0.41               | 0.80                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 6.96    | 6.96    | 6.96    | 0.43               | 0.80                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 6.66    | 6.66    | 6.66    | 0.30               | 0.90                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 6.44    | 6.44    | 6.44    | 0.25               | 0.95                       | ± 12.0 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.22    | 4.22    | 4.22    | 0.40               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 3.71    | 3.71    | 3.71    | 0.45               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 3.92    | 3.92    | 3.92    | 0.50               | 1.90                       | ± 13.1 %       |

### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to ± 110 MHz.

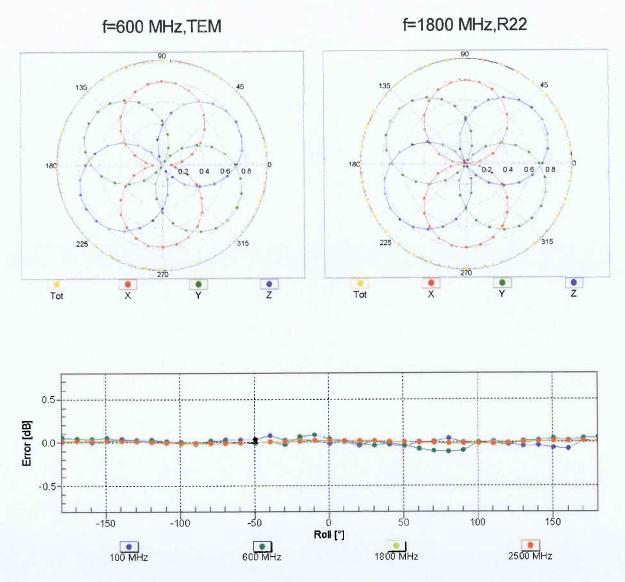
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



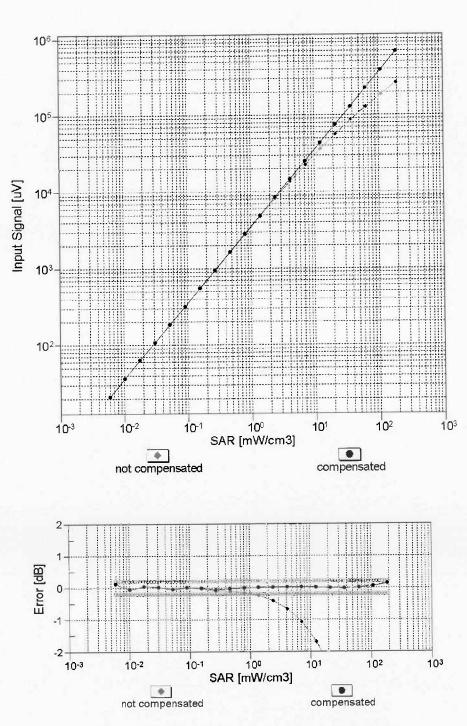
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



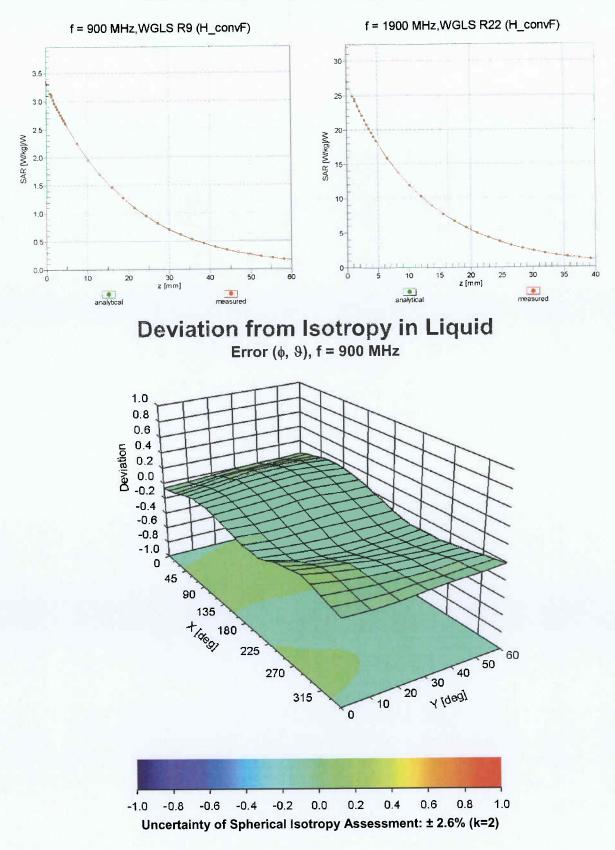
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



## **Conversion Factor Assessment**

### **Other Probe Parameters**

| Triangular |
|------------|
| -19.3      |
| enabled    |
| disabled   |
| 337 mm     |
| 10 mm      |
| 9 mm       |
| 2.5 mm     |
| 1 mm       |
| 1 mm       |
| 1 mm       |
| 1.4 mm     |
|            |

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**UL CCS USA** Client

Certificate No: EX3-3929\_Apr15

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## **CALIBRATION CERTIFICATE**

| Object                   | EX3DV4 - SN:3929  |
|--------------------------|---|
| Calibration procedure(s) | QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5,<br>QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes  |
| Calibration date:        | April 22, 2015  |
|                          | uments the traceability to national standards, which realize the physical units of measurements (SI).<br>ncertainties with confidence probability are given on the following pages and are part of the certificate. |

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|                               | Name                                  | Function                              | Signature              |
|-------------------------------|---------------------------------------|---------------------------------------|------------------------|
| Calibrated by:                | Israe Elnaouq                         | Laboratory Technician                 | Man Alaceng            |
| Approved by:                  | Katja Pokovic                         | Technical Manager                     | fletty-                |
|                               | shall not be concidured event in ful  | without written approval of the labor | Issued: April 24, 2015 |
| I his calibration certificate | shall not be reproduced except in ful | without written approval of the labor | ratory.                |

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| Glossary:           |  |
|---------------------|--|
| TSL                 | tissue simulating liquid   |
| NORMx,y,z           | sensitivity in free space  |
| ConvF               | sensitivity in TSL / NORMx,y,z   |
| DCP                 | diode compression point  |
| CF                  | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D          | modulation dependent linearization parameters  |
| Polarization $\phi$ | φ rotation around probe axis   |
| Polarization 9      | $\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis |
| Connector Angle     | information used in DASY system to align probe sensor X to the robot coordinate system   |

### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- *NORM(f)x,y,z* = *NORMx,y,z* \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCPx, y, z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3929

Manufactured: Calibrated: March 8, 2013 April 22, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.55     | 0.50     | 0.40     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 102.1    | 99.5     | 100.2    |           |

### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>E</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 199.8    | ±2.5 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 181.6    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 187.4    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 450                  | 43.5                                  | 0.87                               | 9.85    | 9.85    | 9.85    | 0.15               | 1.70                       | ± 13.3 %       |
| 750                  | 41.9                                  | 0.89                               | 9.35    | 9.35    | 9.35    | 0.31               | 1.07                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 8.67    | 8.67    | 8.67    | 0.36               | 0.96                       | ± 12.0 %       |
| 1450                 | 40.5                                  | 1.20                               | 7.93    | 7.93    | 7.93    | 0.37               | 0.84                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 7.73    | 7.73    | 7.73    | 0.38               | 0.80                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.53    | 7.53    | 7.53    | 0.33               | 0.89                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 6.86    | 6.86    | 6.86    | 0.37               | 0.83                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.68    | 6.68    | 6.68    | 0.35               | 0.89                       | ± 12.0 %       |
| 3500                 | 37.9                                  | 2.91                               | 6.55    | 6.55    | 6.55    | 0.40               | 1.05                       | ± 13.1 %       |
| 3700                 | 37.7                                  | 3.12                               | 6.38    | 6.38    | 6.38    | 0.38               | 1.05                       | ± 13.1 %       |
| 4950                 | 36.3                                  | 4.40                               | 5.25    | 5.25    | 5.25    | 0.30               | 1.80                       | ± 13.1 %       |
| 5250                 | 35.9                                  | 4.71                               | 4.93    | 4.93    | 4.93    | 0.30               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.53    | 4.53    | 4.53    | 0.30               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.52    | 4.52    | 4.52    | 0.35               | 1.80                       | ± 13.1 %       |

### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

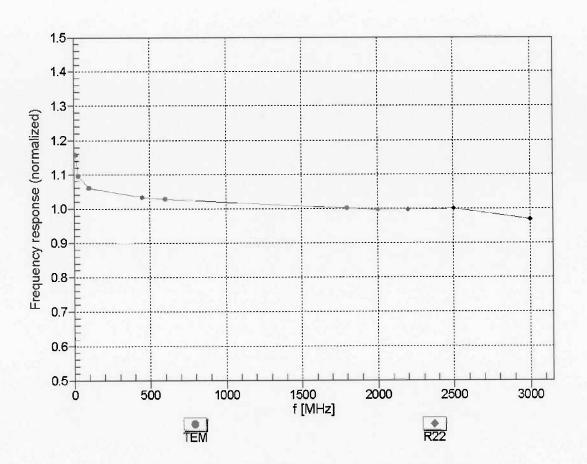
| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 450                  | 56.7                                  | 0.94                               | 9.89    | 9.89    | 9.89    | 0.10               | 1.20                       | ± 13.3 %       |
| 750                  | 55.5                                  | 0.96                               | 8.86    | 8.86    | 8.86    | 0.25               | 1.33                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 8.57    | 8.57    | 8.57    | 0.24               | 1.42                       | ± 12.0 %       |
| 1450                 | 54.0                                  | 1.30                               | 7.73    | 7.73    | 7.73    | 0.37               | 0.94                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.47    | 7.47    | 7.47    | 0.39               | 0.80                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.26    | 7.26    | 7.26    | 0.43               | 0.84                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 7.01    | 7.01    | 7.01    | 0.38               | 0.90                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 6.72    | 6.72    | 6.72    | 0.29               | 0.80                       | ± 12.0 %       |
| 3500                 | 51.3                                  | 3.31                               | 6.11    | 6.11    | 6.11    | 0.21               | 2.13                       | ± 13.1 %       |
| 3700                 | 51.0                                  | 3.55                               | 6.03    | 6.03    | 6.03    | 0.23               | 2.15                       | ± 13.1 %       |
| 4950                 | 49.4                                  | 5.01                               | 4.62    | 4.62    | 4.62    | 0.40               | 1.90                       | ± 13.1 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.41    | 4.41    | 4.41    | 0.40               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 3.63    | 3.63    | 3.63    | 0.50               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 4.00    | 4.00    | 4.00    | 0.50               | 1.90                       | ± 13.1 %       |

### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to

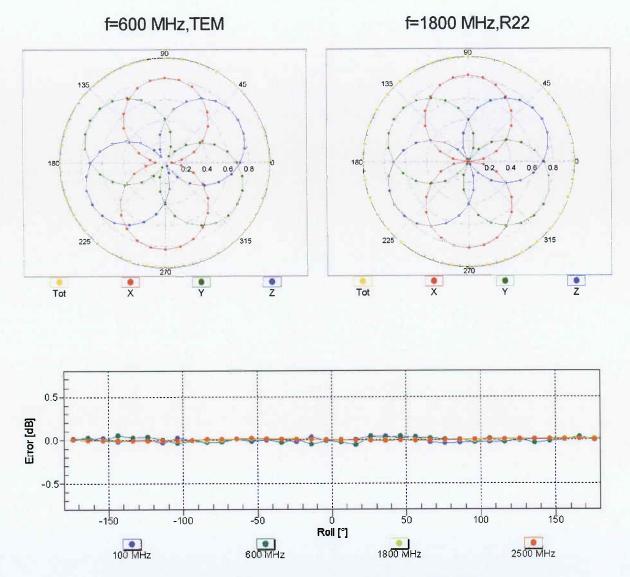
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



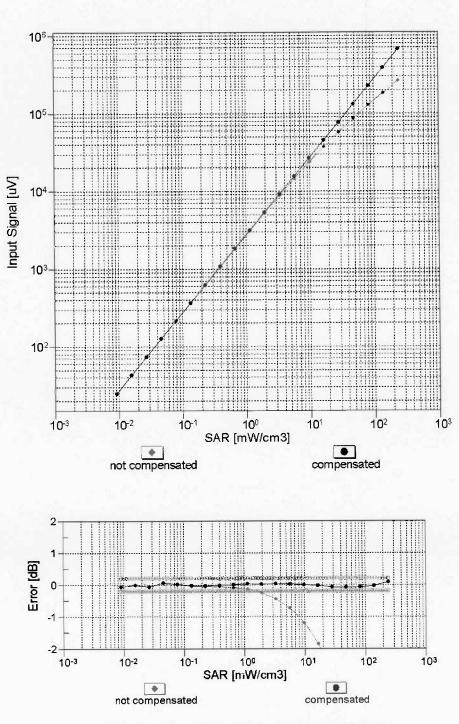
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



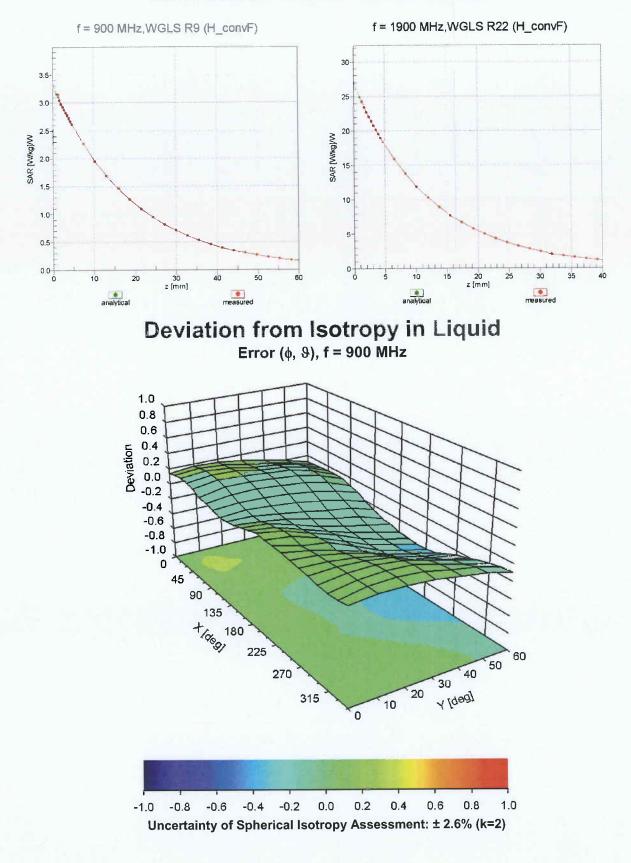
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



### **Conversion Factor Assessment**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | -13.8      |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura

Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client UL CCS USA

Certificate No: EX3-3991\_May15

S

С

## CALIBRATION CERTIFICATE

| Object   | EX3DV4 - SN:3991  |
|--|---|
| Calibration procedure(s)                                       | QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes   |
| Calibration date:  | May 19, 2015  |
| This calibration certificate doo<br>The measurements and the u | cuments the traceability to national standards, which realize the physical units of measurements (SI).<br>Incertainties with confidence probability are given on the following pages and are part of the certificate. |

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Power sensor E4412A        | MY41498087      | 01-Apr-15 (No. 217-02128)         | Mar-16                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 01-Apr-15 (No. 217-02129)         | Mar-16                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 01-Apr-15 (No. 217-02132)         | Mar-16                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 01-Apr-15 (No. 217-02133)         | Mar-16                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | 1D              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|                                | Name                                 | Function                                      | Signature            |
|--------------------------------|--------------------------------------|---|----------------------|
| Calibrated by:                 | Leif Klysner                         | Laboratory Technician                         | Sel Man              |
| Approved by:                   | Katja Pokovic                        | Technical Manager                             | Lelly                |
|                                |                                      |   | Issued: May 20, 2015 |
| This calibration certificate s | shall not be reproduced except in fu | Il without written approval of the laboratory |                      |

### **Calibration Laboratory of**

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The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

| Glossary:           |  |
|---------------------|--|
| TSL                 | tissue simulating liquid   |
| NORMx,y,z           | sensitivity in free space  |
| ConvF               | sensitivity in TSL / NORMx,y,z   |
| DCP                 | diode compression point  |
| CF                  | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D          | modulation dependent linearization parameters  |
| Polarization $\phi$ | φ rotation around probe axis   |
| Polarization 9      | $\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta$ = 0 is normal to probe axis |
| Connector Angle     | information used in DASY system to align probe sensor X to the robot coordinate system   |

### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx, y, z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3991

Manufactured: Calibrated:

January 21, 2014 May 19, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |  |
|--------------------------|----------|----------|----------|-----------|--|
| Norm $(\mu V/(V/m)^2)^A$ | 0.48     | 0.50     | 0.60     | ± 10.1 %  |  |
| DCP (mV) <sup>B</sup>    | 100.7    | 101.4    | 100.2    |           |  |

### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>⊨</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 142.5    | ±3.3 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 144.1    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 154.8    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required. <sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 10.03   | 10.03   | 10.03   | 0.36               | 1.02                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 9.35    | 9.35    | 9.35    | 0.36               | 1.01                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 8.55    | 8.55    | 8.55    | 0.36               | 0.90                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 8.28    | 8.28    | 8.28    | 0.44               | 0.80                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 7.33    | 7.33    | 7.33    | 0.30               | 1.01                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 7.20    | 7.20    | 7.20    | 0.42               | 0.80                       | ± 12.0 %       |
| 5250                 | 35.9                                  | 4.71                               | 5.35    | 5.35    | 5.35    | 0.30               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.91    | 4.91    | 4.91    | 0.30               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.88    | 4.88    | 4.88    | 0.40               | 1.80                       | ± 13.1 9       |

### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

validity can be extended to  $\pm$  110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvE uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

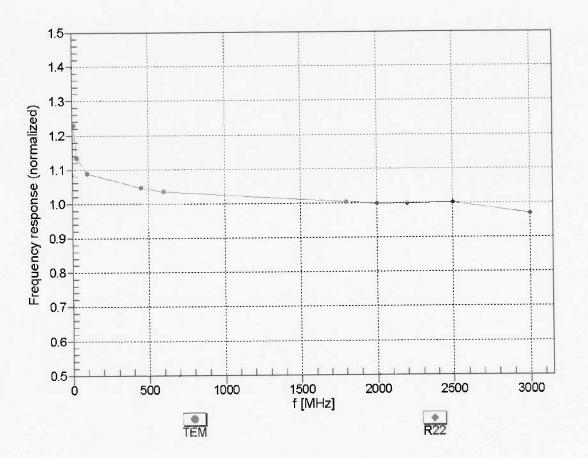
| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 9.71    | 9.71    | 9.71    | 0.29               | 1.07                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 9.26    | 9.26    | 9.26    | 0.29               | 1.08                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 8.01    | 8.01    | 8.01    | 0.45               | 0.80                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.73    | 7.73    | 7.73    | 0.40               | 0.80                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 7.43    | 7.43    | 7.43    | 0.25               | 0.90                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 7.20    | 7.20    | 7.20    | 0.15               | 0.95                       | ± 12.0 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.56    | 4.56    | 4.56    | 0.40               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 4.12    | 4.12    | 4.12    | 0.45               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 4.23    | 4.23    | 4.23    | 0.50               | 1.90                       | ± 13.1 %       |

### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to ± 110 MHz.

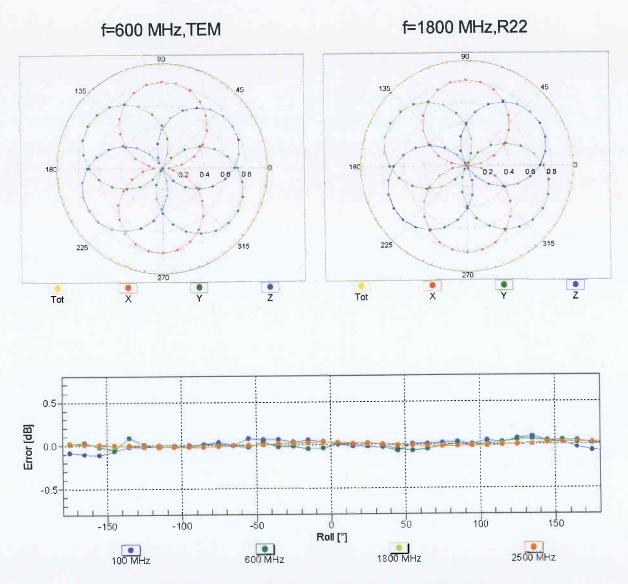
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



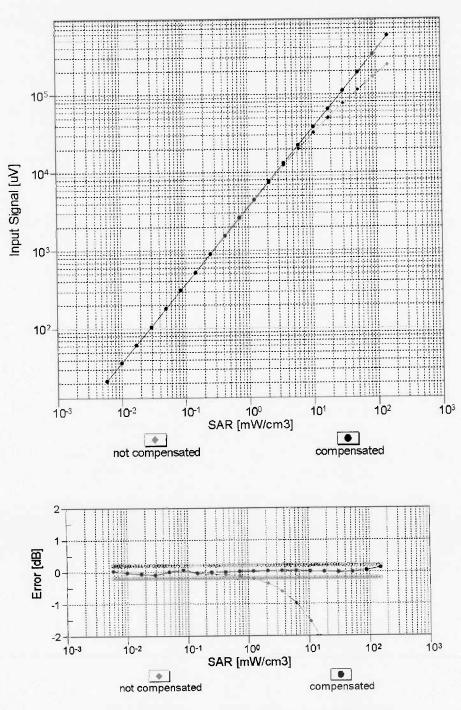
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



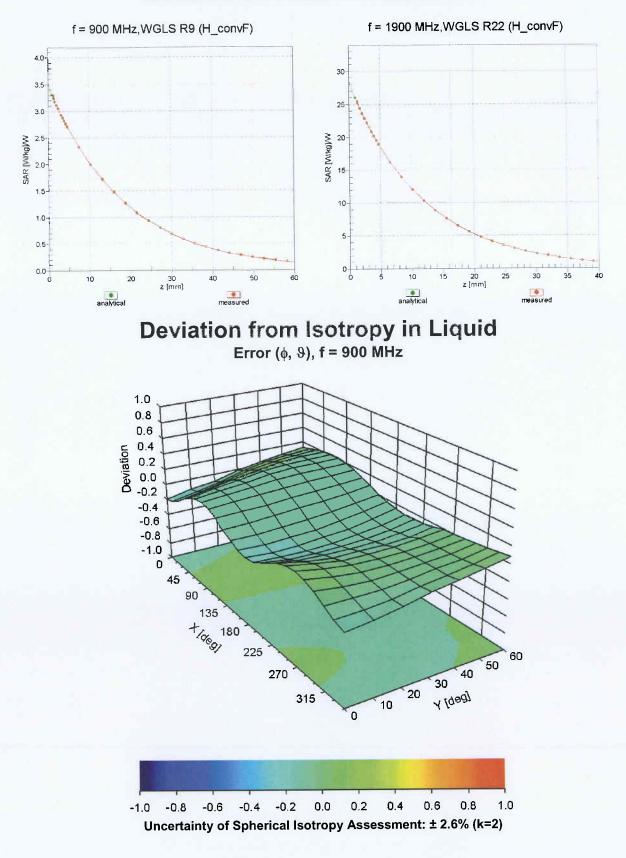
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)





Uncertainty of Linearity Assessment: ± 0.6% (k=2)



## **Conversion Factor Assessment**

#### **Other Probe Parameters** Triangular Sensor Arrangement 4.8 Connector Angle (°) enabled Mechanical Surface Detection Mode disabled **Optical Surface Detection Mode** 337 mm Probe Overall Length 10 mm Probe Body Diameter 9 mm Tip Length 2.5 mm **Tip Diameter** 1 mm Probe Tip to Sensor X Calibration Point 1 mm Probe Tip to Sensor Y Calibration Point 1 mm Probe Tip to Sensor Z Calibration Point 1.4 mm Recommended Measurement Distance from Surface

### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



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Accreditation No.: SCS 0108

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Client UL CCS USA

Certificate No: EX3-7335\_Mar15

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## CALIBRATION CERTIFICATE

| Object                               | EX3DV4 - SN:7335  |
|--------------------------------------|---|
| Calibration procedure(s)             | QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes   |
| Calibration date:                    | March 13, 2015  |
|                                      | nts the traceability to national standards, which realize the physical units of measurements (SI).<br>ainties with confidence probability are given on the following pages and are part of the certificate. |
| All calibrations have been conducted | ed in the closed laboratory facility: environment temperature $(22 \pm 3)^{\circ}$ C and humidity < 70%.  |

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Power sensor E4412A        | MY41498087      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 03-Apr-14 (No. 217-01915)         | Apr-15                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 03-Apr-14 (No. 217-01919)         | Apr-15                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 03-Apr-14 (No. 217-01920)         | Apr-15                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|                              | Name                                   | Function                                  | Signature                       |
|------------------------------|--|---|---------------------------------|
| Calibrated by:               | Israe Elnaouq                          | Laboratory Technician                     | Isreen Chang                    |
| Approved by:                 | Katja Pokovic                          | Technical Manager                         | fol they                        |
| This calibration certificate | e shall not be reproduced except in fu | ll without written approval of the labora | Issued: March 18, 2015<br>tory. |

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Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



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- S Servizio svizzero di taratura
  - Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

| Glossary:       |  |
|-----------------|--|
| TSL             | tissue simulating liquid   |
| NORMx,y,z       | sensitivity in free space  |
| ConvF           | sensitivity in TSL / NORMx,y,z   |
| DCP             | diode compression point  |
| CF              | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D      | modulation dependent linearization parameters  |
| Polarization φ  | φ rotation around probe axis   |
| Polarization 9  | 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), |
|                 | i.e., $\vartheta = 0$ is normal to probe axis  |
| Connector Angle | information used in DASY system to align probe sensor X to the robot coordinate system       |

### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 
   <sup>9</sup> = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCPx,y,z*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- *PAR:* PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:7335

Manufactured: Calibrated:

December 11, 2014 March 13, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.39     | 0.43     | 0.53     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 99.2     | 102.1    | 95.3     |           |

### **Modulation Calibration Parameters**

| UID  | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>±</sup><br>(k=2) |
|------|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0 CW | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 145.5    | ±3.3 %                    |
|      |                           | Y | 0.0     | 0.0        | 1.0 |         | 139.1    |                           |
|      |                           | Z | 0.0     | 0.0        | 1.0 |         | 146.5    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 10.07   | 10.07   | 10.07   | 0.29               | 1.06                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 9.22    | 9.22    | 9.22    | 0.17               | 1.96                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 8.51    | 8.51    | 8.51    | 0.37               | 0.80                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 8.21    | 8.21    | 8.21    | 0.34               | 0.80                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 7.55    | 7.55    | 7.55    | 0.36               | 0.80                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 7.23    | 7.23    | 7.23    | 0.34               | 0.92                       | ± 12.0 %       |
| 5250                 | 35.9                                  | 4.71                               | 5.33    | 5.33    | 5.33    | 0.35               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.80    | 4.80    | 4.80    | 0.40               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.80    | 4.80    | 4.80    | 0.45               | 1.80                       | ± 13.1 %       |

### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>C</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

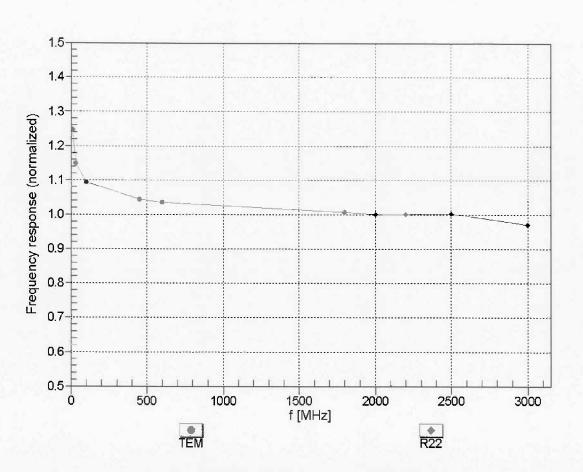
| f (MHz) <sup>c</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 9.86    | 9.86    | 9.86    | 0.21               | 1.54                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 9.51    | 9.51    | 9.51    | 0.22               | 1.43                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 8.18    | 8.18    | 8.18    | 0.39               | 0.91                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.90    | 7.90    | 7.90    | 0.39               | 0.89                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 7.54    | 7.54    | 7.54    | 0.37               | 0.80                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 7.11    | 7.11    | 7.11    | 0.30               | 0.80                       | ± 12.0 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.35    | 4.35    | 4.35    | 0.50               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 3.88    | 3.88    | 3.88    | 0.55               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 4.07    | 4.07    | 4.07    | 0.55               | 1.90                       | ± 13.1 %       |

### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to

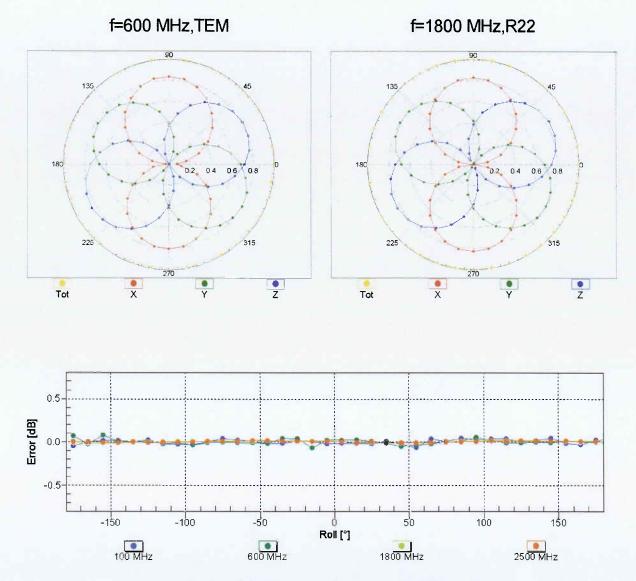
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



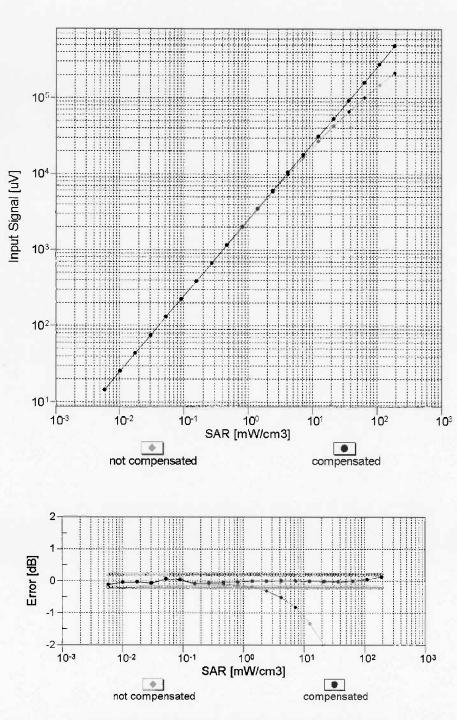
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

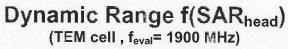
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



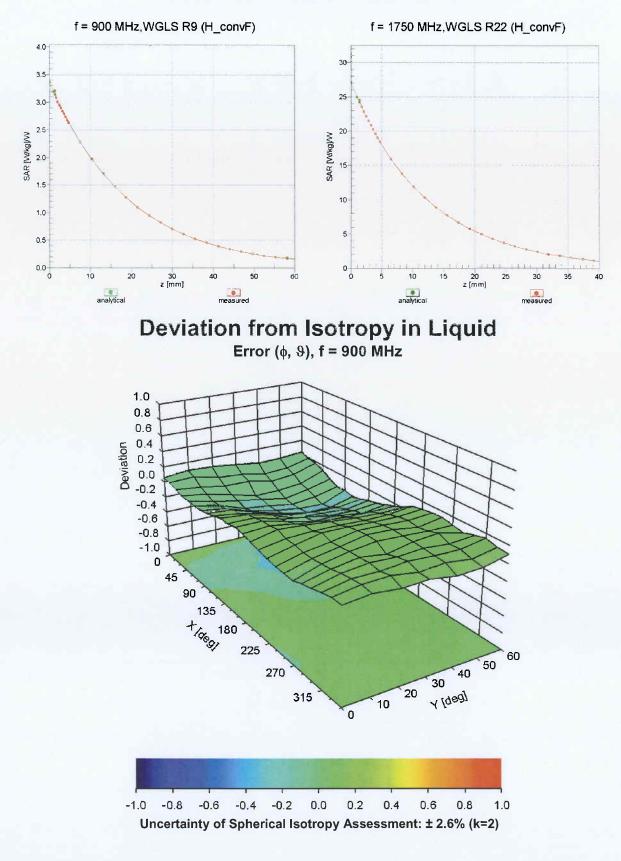
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)





Uncertainty of Linearity Assessment: ± 0.6% (k=2)



## **Conversion Factor Assessment**

### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | -5.1       |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

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Zeughausstrasse 43, 8004 Zurich, Switzerland Phone +41 44 245 9700, Fax +41 44 245 9779 info@speag.com, http://www.speag.com

### PHANTOM MATERIAL COMPATIBILTIY WITH SPEAG LIQUIDS

#### INTRODUCTION

SPEAG offers a wide range of tissue simulating liquids. These liquids are based on various ingredients depending on their frequency range. The below table shows compatibility of these tissue simulating liquids and various phantom materials.

### **COMPATIBILITY TABLE**

- Y= fully compatible with the tissue simulating liquid. Long time exposure is not critical.
- **P**= **partially compatible**. It is essential to keep the exposure time to a minimum and to rinse and clean the item after exposure to the respective tissue simulating liquid. Liquids can have a softening effect on the material. Fiber reinforced material may reduce this effect. Continuous exposure will reduce the item life-time considerably.
- R= restricted compatibility with the respective tissue simulating liquid. Liquids can enter and damage the material structure. Short time exposure (e.g. few hours) is possible given that the item is thoroughly rinsed and dried after each exposure.
- N= not compatible with the respective tissue simulating liquid. Short time exposure can cause irreparable damage to the item exposed.

| SPEAG MSDS                            | 772   | 2-SLAAx                 | Оуу                     | 772-SL                    | AAx1yy                    | 772-SL          | AAx4yy          | 772-SL                                | ААхбуу                                | 772-SL       | ААхбуу        |
|---------------------------------------|-------|-------------------------|-------------------------|---------------------------|---------------------------|-----------------|-----------------|---------------------------------------|---------------------------------------|--------------|---------------|
| Liquid<br>Type<br>Phantom<br>Material | B 900 | HSL175V2 to<br>HSL900V2 | MSL300V2 to<br>MSL900V2 | HSL1450V2 to<br>HSL2450V2 | MSL1450V2 to<br>MSL2450V2 | HBBL3500-5800V5 | MBBL3500-5800V5 | HBBL1350-1850V3 to<br>HBBL1900-3800V3 | MBBL1350-1850V3 to<br>MBBL1900-3800V3 | HBBL30-250V3 | MBBL125-250V3 |
| PEEK                                  | Y     | Y -                     | Y                       | Y                         | Y                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| РОМ                                   | Y     | Ŷ                       | Y                       | Y                         | Y                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| PTFE                                  | Y     | Y                       | Y                       | Y                         | Y                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Glass                                 | Y     | Y                       | Y                       | Y                         | Y                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Phenol resin plates                   | Y     | Y                       | Y                       | Y                         | Y                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Silicone *                            | Y     | Y                       | Y                       | Y*                        | Y*                        | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Acrylic resin *                       | Y     | Y                       | Y                       | Y*                        | Y*                        | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Polyethylene                          | Y     | Y                       | Y                       | Р                         | Р                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Vinylester, glass fiber (VE-GF)       | Y     | Y                       | Y                       | Р                         | Р                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Polypropylene, glass fiber (PP-GF)    | Y     | Y                       | Y                       | Р                         | Р                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| Epoxy resin, glass fiber reinforced   | Y     | Y                       | Y                       | R                         | R                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |
| PMMA (Acrylic glass, Plexiglass) **   | Y     | Y                       | Y                       | N                         | N                         | Y               | Y               | Y                                     | Y                                     | Y            | Y             |

### NOTES:

\* Liquids may cause damage by entering adhesive joints or bonding surfaces. \*\* Damage of material macro structure possible.