#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

Accreditation No.: SCS 0108

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Client

**Apple USA** 

Certificate No: EX3-7582\_Mar21

## **CALIBRATION CERTIFICATE**

Object

EX3DV4 - SN:7582

Calibration procedure(s)

QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v6, QA CAL-23.v5,

**QA CAL-25.v7** 

Calibration procedure for dosimetric E-field probes

Calibration date:

March 1, 2021

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	01-Apr-20 (No. 217-03100/03101)	Apr-21
Power sensor NRP-Z91	SN: 103244	01-Apr-20 (No. 217-03100)	Apr-21
Power sensor NRP-Z91	SN: 103245	01-Apr-20 (No. 217-03101)	Apr-21
Reference 20 dB Attenuator	SN: CC2552 (20x)	31-Mar-20 (No. 217-03106)	Apr-21
DAE4	SN: 660	23-Dec-20 (No. DAE4-660_Dec20)	Dec-21
Reference Probe ES3DV2	SN: 3013	30-Dec-20 (No. ES3-3013_Dec20)	Dec-21
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-20)	In house check: Jun-22
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-20)	In house check: Jun-22
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-20)	In house check: Jun-22
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-20)	In house check: Jun-22
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-20)	In house check: Oct-21

Calibrated by:

Name
Function
Signature
Laboratory Technician

Approved by:

Katja Pokovic
Technical Manager

Issued: March 6, 2021

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: EX3-7582\_Mar21

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#### Glossary:

TSL tissue simulating liquid NORMx,y,z sensitivity in free space sensitivity in TSL / NORMx,y,z ConvF DCP diode compression point

CF crest factor (1/duty\_cycle) of the RF signal A, B, C, D modulation dependent linearization parameters

Polarization φ φ rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center).

i.e., 9 = 0 is normal to probe axis

information used in DASY system to align probe sensor X to the robot coordinate system Connector Angle

#### Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, ", "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from handheld and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- *NORMx*, y, z: Assessed for E-field polarization  $\vartheta = 0$  ( $f \le 900$  MHz in TEM-cell; f > 1800 MHz: R22 wavequide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- $NORM(f)x,y,z = NORMx,y,z * frequency_response$  (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7582

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.65	0.74	0.73	± 10.1 %
DCP (mV) <sup>B</sup>	103.1	102.6	104.0	

Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dBõV	С	D dB	VR mV	Max dev.	Max Unc <sup>E</sup>
0	CW	X	0.00	0.00	1.00	0.00	135.5	± 3.3 %	(k=2)
Ü		Y	0.00	0.00	1.00	0.00	135.2	± 3.5 %	± 4.7 %
		Z	0.00	0.00	1.00	-	128.6		
10352-	Pulse Waveform (200Hz, 10%)	X	19.01	92.90	25.02	10.00	60.0	± 1.7 %	± 9.6 %
AAA	1. 4.00 17470101111 (200112, 1070)	Y	20.00	92.52	24.48	10.00	60.0	1.7 76	2 9.0 /0
		Ż	20.00	94.10	24.94	1	60.0	•	
10353-	Pulse Waveform (200Hz, 20%)	X	20.00	93.46	23.60	6.99	80.0	± 1.2 %	± 9.6 %
AAA	(2001.12, 2070)	Y	20.00	92.28	22.96	0.55	80.0	- 1.2 /0	1 9.0 /0
	V.	Z	20.00	93.97	23.46		80.0	1	
10354-	Pulse Waveform (200Hz, 40%)	X	20.00	94.85	22.50	3.98	95.0	± 1.9 %	± 9.6 %
AAA	(=====, ==, ==, ==, ==, ==, ==, ==, ==,	Y	20.00	93.77	22.07	0.00	95.0		
		Z	20.00	95.41	22.50		95.0		
10355-	Pulse Waveform (200Hz, 60%)	X	20.00	97.60	22.34	2.22	120.0	± 1.9 %	± 9.6 %
AAA	, , , , , , , , , , , , , , , , , , , ,	Y	20.00	96.93	22.19		120.0		
		Z	20.00	98.28	22.44		120.0		
10387-	QPSK Waveform, 1 MHz	X	1.57	63.70	13.62	1.00	150.0	± 1.8 %	± 9.6 %
AAA		Y	1.62	64.81	14.19		150.0	,0	_ 0.0 /0
		Z	1.63	64.60	14.03		150.0		
10388-	QPSK Waveform, 10 MHz	X	1.99	65.48	14.18	0.00	150.0	± 1.2 %	± 9.6 %
AAA		Y	2.09	66.51	14.83		150.0	/*	_ 0.0 /0
		Z	2.11	66.46	14.66		150.0		
10396-	64-QAM Waveform, 100 kHz	X	3.15	69.68	17.97	3.01	150.0	± 0.7 %	± 9.6 %
AAA		Y	3.46	72.13	19.47		150.0		_ 5.5 /6
		Z	3.13	70.35	18.51		150.0		
10399-	64-QAM Waveform, 40 MHz	X	3.36	66.04	14.97	0.00	150.0	± 0.9 %	± 9.6 %
AAA		Υ	3.44	66.54	15.33		150.0		
		Z	3.29	65.78	14.88		150.0		
10414-	WLAN CCDF, 64-QAM, 40MHz	X	4.82	65.16	15.05	0.00	150.0	± 2.2 %	± 9.6 %
AAA		Υ	4.84	65.41	15.27		150.0		
		Z	4.72	64.89	14.94		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

A The uncertainties of Norm X,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5, 6 and 7).

B Numerical linearization parameter: uncertainty not required.

E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7582

#### **Sensor Model Parameters**

	C1 fF	C2 fF	α V <sup>-1</sup>	T1 ms.V <sup>-2</sup>	T2 ms.V <sup>-1</sup>	T3 ms	T4 V <sup>-2</sup>	T5 V <sup>-1</sup>	Т6
X	50.7	367.74	33.56	29.73	2.14	5.08	1.33	0.38	1.01
Υ	46.1	337.37	34.10	38.29	1.72	5.10	1.69	0.28	1.01
Z	48.8	355.99	33.94	28.82	1.53	5.10	1.05	0.34	1.01

#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	-132.6
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

Note: Measurement distance from surface can be increased to 3-4 mm for an Area Scan job.

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## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7582

#### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
600	42.7	0.88	11.03	11.03	11.03	0.10	1.20	± 13.3 %
750	41.9	0.89	10.51	10.51	10.51	0.67	0.80	± 12.0 %
835	41.5	0.90	10.36	10.36	10.36	0.51	0.89	± 12.0 %
900	41.5	0.97	10.15	10.15	10.15	0.54	0.80	± 12.0 %
1450	40.5	1.20	9.62	9.62	9.62	0.34	0.80	± 12.0 %
1640	40.2	1.31	9.49	9.49	9.49	0.31	0.86	± 12.0 %
1750	40.1	1.37	9.36	9.36	9.36	0.33	0.86	± 12.0 %
1900	40.0	1.40	9.03	9.03	9.03	0.29	0.86	± 12.0 %
2000	40.0	1.40	8.72	8.72	8.72	0.30	0.86	± 12.0 %
2300	39.5	1.67	8.53	8.53	8.53	0.33	0.90	± 12.0 %
2450	39.2	1.80	8.21	8.21	8.21	0.39	0.90	± 12.0 %
2600	39.0	1.96	7.95	7.95	7.95	0.37	0.90	± 12.0 %
3300	38.2	2.71	7.47	7.47	7.47	0.30	1.30	± 13.1 %
3500	37.9	2.91	7.40	7.40	7.40	0.30	1.30	± 13.1 %
3700	37.7	3.12	7.30	7.30	7.30	0.30	1.30	± 13.1 %
3900	37.5	3.32	7.01	7.01	7.01	0.40	1.60	± 13.1 %
4100	37.2	3.53	6.87	6.87	6.87	0.40	1.60	± 13.1 %
4200	37.1	3.63	6.83	6.83	6.83	0.40	1.60	± 13.1 %
4400	36.9	3.84	6.55	6.55	6.55	0.40	1.70	± 13.1 %
4600	36.7	4.04	6.43	6.43	6.43	0.40	1.70	± 13.1 %
4800	36.4	4.25	6.40	6.40	6.40	0.40	1.80	± 13.1 %
4950	36.3	4.40	6.10	6.10	6.10	0.40	1.80	± 13.1 %
5200	36.0	4.66	5.74	5.74	5.74	0.40	1.80	± 13.1 %
5300	35.9	4.76	5.50	5.50	5.50	0.40	1.80	± 13.1 %
5500	35.6	4.96	5.10	5.10	5.10	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.89	4.89	4.89	0.40	1.80	± 13.1 %
5800	35.3	5.27	5.05	5.05	5.05	0.40	1.80	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

EX3DV4- SN:7582

#### March 1, 2021

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7582

#### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
600	56.1	0.95	11.08	11.08	11.08	0.10	1.20	± 13.3 %
750	55.5	0.96	10.67	10.67	10.67	0.40	0.87	± 12.0 %
835	55.2	0.97	10.44	10.44	10.44	0.36	0.92	± 12.0 %
900	55.0	1.05	10.29	10.29	10.29	0.40	0.84	± 12.0 %
1450	54.0	1.30	9.17	9.17	9.17	0.39	0.80	± 12.0 %
1640	53.7	1.42	8.98	8.98	8.98	0.42	0.86	± 12.0 %
1750	53.4	1.49	8.72	8.72	8.72	0.33	0.86	± 12.0 %
1900	53.3	1.52	8.53	8.53	8.53	0.24	0.86	± 12.0 %
2000	53.3	1.52	8.39	8.39	8.39	0.31	0.86	± 12.0 %
2300	52.9	1.81	8.36	8.36	8.36	0.33	0.90	± 12.0 %
2450	52.7	1.95	8.19	8.19	8.19	0.31	0.90	± 12.0 %
2600	52.5	2.16	8.02	8.02	8.02	0.22	0.90	± 12.0 %
3300	51.6	3.08	7.22	7.22	7.22	0.35	1.35	± 13.1 %
3500	51.3	3.31	7.15	7.15	7.15	0.35	1.35	± 13.1 %
3700	51.0	3.55	7.13	7.13	7.13	0.35	1.35	± 13.1 %
3900	50.8	3.78	6.91	6.91	6.91	0.40	1.60	± 13.1 %
4100	50.5	4.01	6.64	6.64	6.64	0.40	1.60	± 13.1 %
4200	50.4	4.13	6.60	6.60	6.60	0.40	1.70	± 13.1 %
4400	50.1	4.37	6.40	6.40	6.40	0.40	1.70	± 13.1 %
4600	49.8	4.60	6.21	6.21	6.21	0.40	1.70	± 13.1 %
4800	49.6	4.83	6.00	6.00	6.00	0.50	1.90	± 13.1 %
4950	49.4	5.01	5.65	5.65	5.65	0.50	1.90	± 13.1 %
5200	49.0	5.30	5.30	5.30	5.30	0.50	1.90	± 13.1 %
5300	48.9	5.42	5.08	5.08	5.08	0.50	1.90	± 13.1 %
5500	48.6	5.65	4.56	4.56	4.56	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.47	4.47	4.47	0.50	1.90	± 13.1 %
5800	48.2	6.00	4.60	4.60	4.60	0.50	1.90	± 13.1 %

 $<sup>^{\</sup>rm C}$  Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

F At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

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<sup>&</sup>lt;sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7582

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
6500	34.5	6.07	5.80	5.80	5.80	0.20	2.50	± 18.6 %

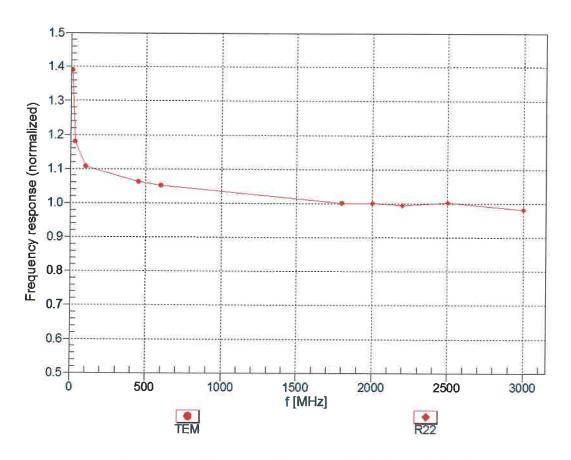
<sup>&</sup>lt;sup>c</sup> Frequency validity above 6GHz is ± 700 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band.

F At frequencies 6-10 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

G Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz; below ± 2% for frequencies between 3-6 GHz; and below ± 4% for frequencies between 6-10 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

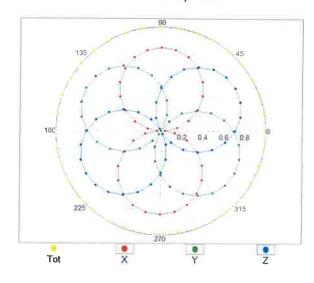


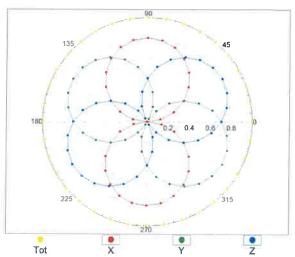
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

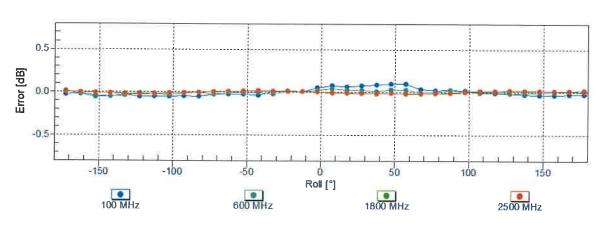
# Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

f=600 MHz,TEM

f=1800 MHz,R22

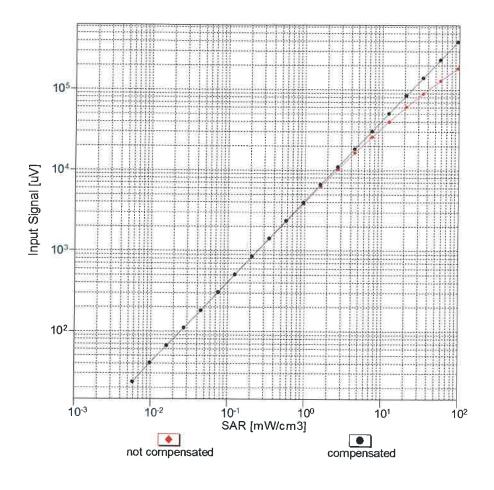


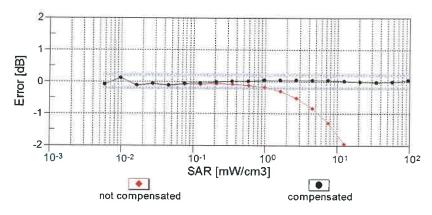




Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

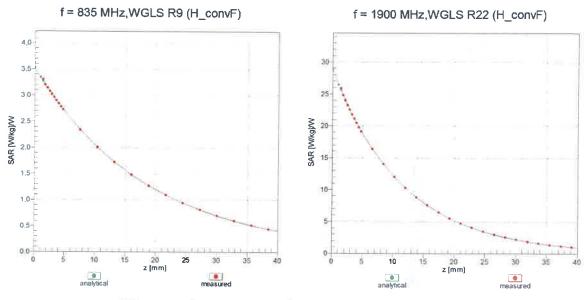
# Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)





Uncertainty of Linearity Assessment: ± 0.6% (k=2)

# **Conversion Factor Assessment**



# **Deviation from Isotropy in Liquid**

Error ( $\phi$ ,  $\vartheta$ ), f = 900 MHz

