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Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-7356\_Apr19**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:7356**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v5, QA CAL-23.v5,  
QA CAL-25.v7  
Calibration procedure for dosimetric E-field probes**

Calibration date: **April 17, 2019**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-19 (No. 217-02894)	Apr-20
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

Calibrated by:	<b>Name</b> <b>Claudio Leubler</b>	<b>Function</b> <b>Laboratory Technician</b>	<b>Signature</b> 
Approved by:	<b>Katja Pokovic</b>	<b>Technical Manager</b>	

Issued: April 19, 2019

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### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7356

## Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.36	0.53	0.56	± 10.1 %
DCP (mV) <sup>B</sup>	102.3	96.6	101.0	

## Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Max dev.	Max Unc <sup>E</sup> (k=2)
0	CW	X	0.00	0.00	1.00	0.00	155.2	± 2.7 %	± 4.7 %
		Y	0.00	0.00	1.00		142.0		
		Z	0.00	0.00	1.00		149.4		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	2.31	64.04	9.41	10.00	60.0	± 3.3 %	± 9.6 %
		Y	7.64	77.58	15.25		60.0		
		Z	15.00	88.39	19.59		60.0		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	1.45	63.18	7.92	6.99	80.0	± 2.3 %	± 9.6 %
		Y	15.00	85.41	16.35		80.0		
		Z	15.00	93.51	21.07		80.0		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	0.57	60.69	5.74	3.98	95.0	± 1.2 %	± 9.6 %
		Y	15.00	85.78	14.87		95.0		
		Z	15.00	106.75	26.04		95.0		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	0.28	60.00	4.60	2.22	120.0	± 1.1 %	± 9.6 %
		Y	15.00	80.56	11.20		120.0		
		Z	15.00	134.31	36.92		120.0		
10387-AAA	QPSK Waveform, 1 MHz	X	0.44	60.00	6.28	0.00	150.0	± 2.7 %	± 9.6 %
		Y	0.52	60.00	6.86		150.0		
		Z	0.85	64.47	10.67		150.0		
10388-AAA	QPSK Waveform, 10 MHz	X	2.47	71.69	17.78	0.00	150.0	± 1.1 %	± 9.6 %
		Y	2.04	67.23	15.34		150.0		
		Z	2.57	71.08	17.48		150.0		
10396-AAA	64-QAM Waveform, 100 kHz	X	3.19	74.36	20.37	3.01	150.0	± 1.4 %	± 9.6 %
		Y	2.34	67.25	17.52		150.0		
		Z	3.22	72.90	20.07		150.0		
10399-AAA	64-QAM Waveform, 40 MHz	X	3.57	68.49	16.60	0.00	150.0	± 2.0 %	± 9.6 %
		Y	3.38	66.70	15.61		150.0		
		Z	3.67	68.27	16.56		150.0		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	4.76	66.48	16.03	0.00	150.0	± 3.9 %	± 9.6 %
		Y	4.71	65.39	15.48		150.0		
		Z	4.96	66.25	16.02		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7356

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ $V^{-1}$	T1 $ms.V^{-2}$	T2 $ms.V^{-1}$	T3 ms	T4 $V^{-2}$	T5 $V^{-1}$	T6
X	32.1	231.23	33.54	6.46	0.40	4.95	1.99	0.00	1.00
Y	38.6	296.05	37.25	5.45	0.18	5.05	0.00	0.36	1.01
Z	44.4	332.76	35.97	10.23	0.00	5.10	1.21	0.26	1.01

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-3.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7356

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	43.5	0.87	11.83	11.83	11.83	0.13	1.25	± 13.3 %
750	41.9	0.89	11.03	11.03	11.03	0.47	0.80	± 12.0 %
900	41.5	0.97	10.46	10.46	10.46	0.33	1.02	± 12.0 %
1450	40.5	1.20	9.41	9.41	9.41	0.40	0.80	± 12.0 %
1750	40.1	1.37	9.27	9.27	9.27	0.33	0.85	± 12.0 %
1900	40.0	1.40	8.89	8.89	8.89	0.28	0.85	± 12.0 %
2300	39.5	1.67	8.32	8.32	8.32	0.31	0.85	± 12.0 %
2450	39.2	1.80	7.98	7.98	7.98	0.34	0.85	± 12.0 %
2600	39.0	1.96	7.76	7.76	7.76	0.37	0.85	± 12.0 %
3500	37.9	2.91	7.40	7.40	7.40	0.30	1.25	± 13.1 %
3700	37.7	3.12	7.35	7.35	7.35	0.30	1.25	± 13.1 %
4950	36.3	4.40	6.05	6.05	6.05	0.40	1.80	± 13.1 %
5250	35.9	4.71	5.61	5.61	5.61	0.40	1.80	± 13.1 %
5600	35.5	5.07	5.09	5.09	5.09	0.40	1.80	± 13.1 %
5750	35.4	5.22	5.18	5.18	5.18	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7356

### Calibration Parameter Determined in Body Tissue Simulating Media

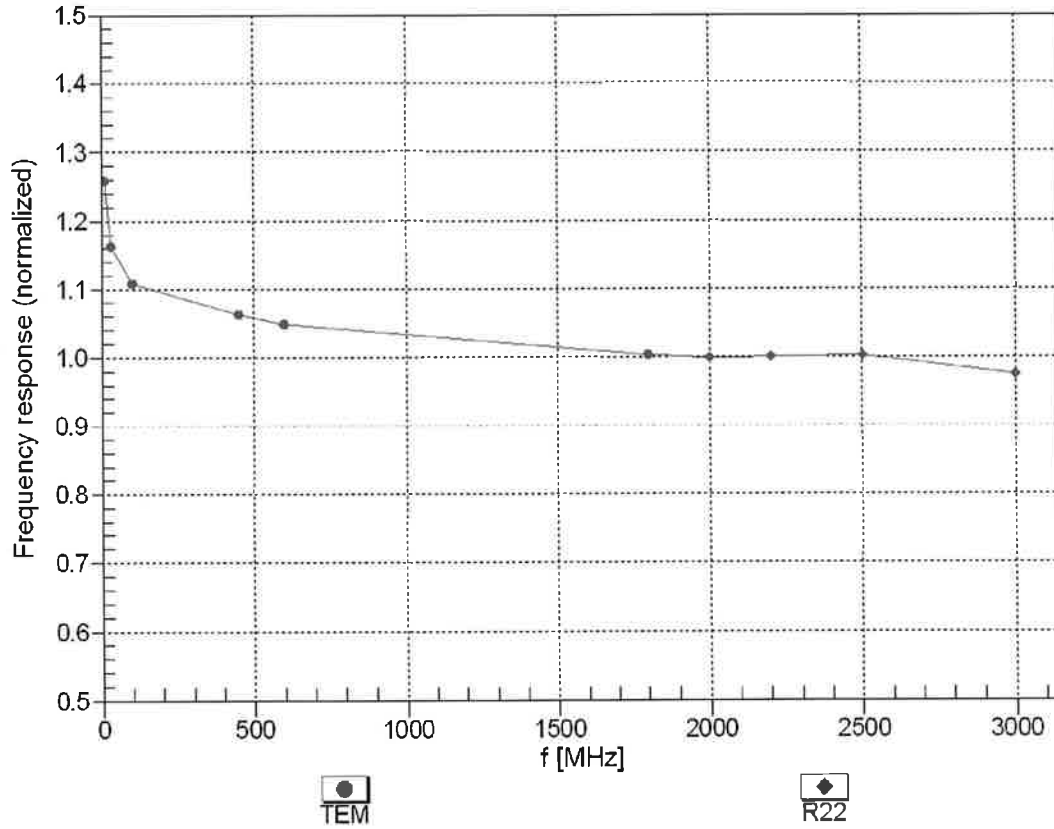
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	56.7	0.94	12.13	12.13	12.13	0.09	1.25	± 13.3 %
750	55.5	0.96	10.96	10.96	10.96	0.29	1.05	± 12.0 %
900	55.0	1.05	10.61	10.61	10.61	0.37	0.89	± 12.0 %
1450	54.0	1.30	9.13	9.13	9.13	0.36	0.85	± 12.0 %
1750	53.4	1.49	8.69	8.69	8.69	0.45	0.84	± 12.0 %
1900	53.3	1.52	8.36	8.36	8.36	0.48	0.84	± 12.0 %
2300	52.9	1.81	8.25	8.25	8.25	0.41	0.85	± 12.0 %
2450	52.7	1.95	8.09	8.09	8.09	0.36	0.87	± 12.0 %
2600	52.5	2.16	7.94	7.94	7.94	0.32	0.95	± 12.0 %
3500	51.3	3.31	7.09	7.09	7.09	0.40	1.25	± 13.1 %
3700	51.0	3.55	7.02	7.02	7.02	0.40	1.25	± 13.1 %
4950	49.4	5.01	5.51	5.51	5.51	0.50	1.90	± 13.1 %
5250	48.9	5.36	5.10	5.10	5.10	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.37	4.37	4.37	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.54	4.54	4.54	0.50	1.90	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

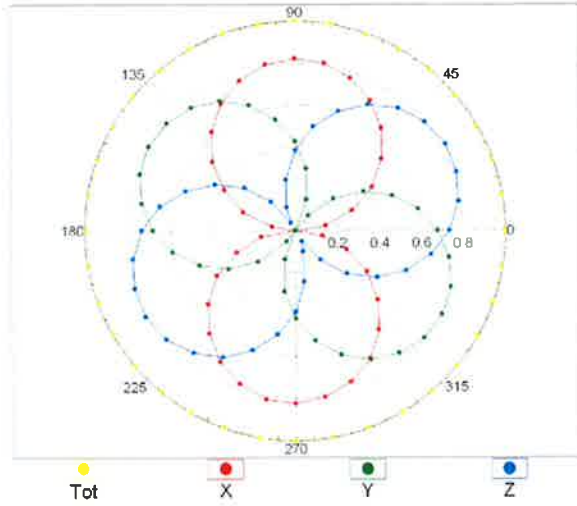
### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



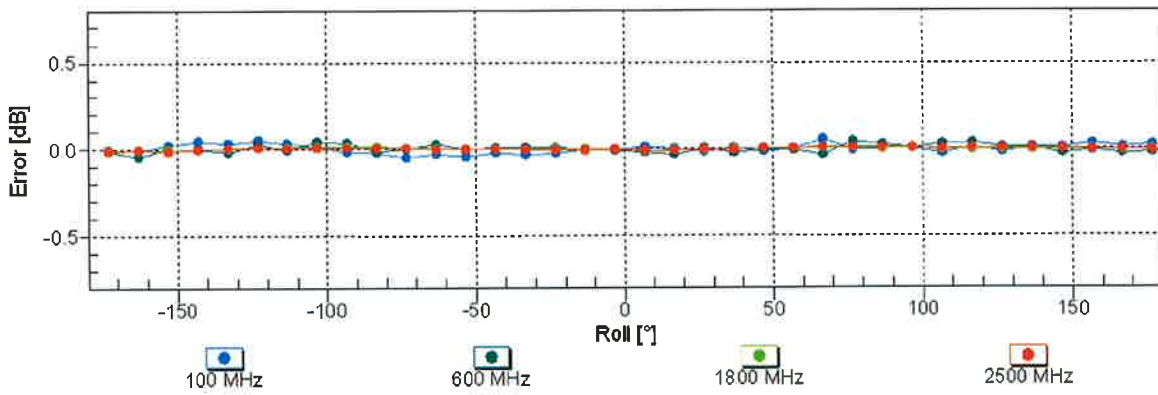
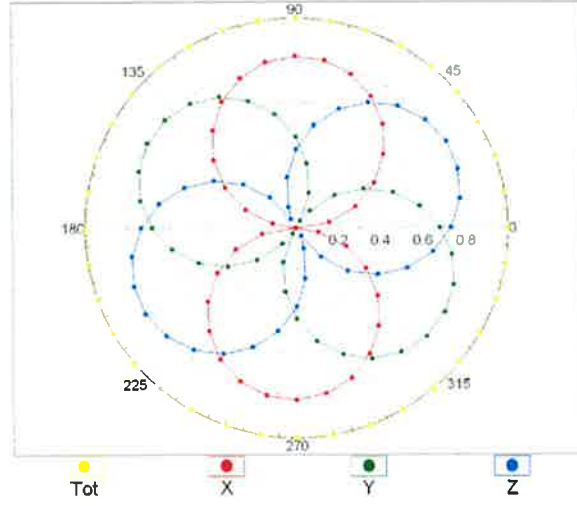
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

### Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM



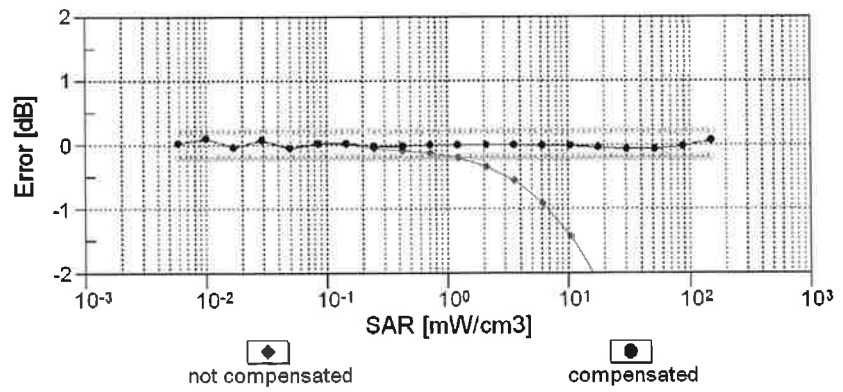
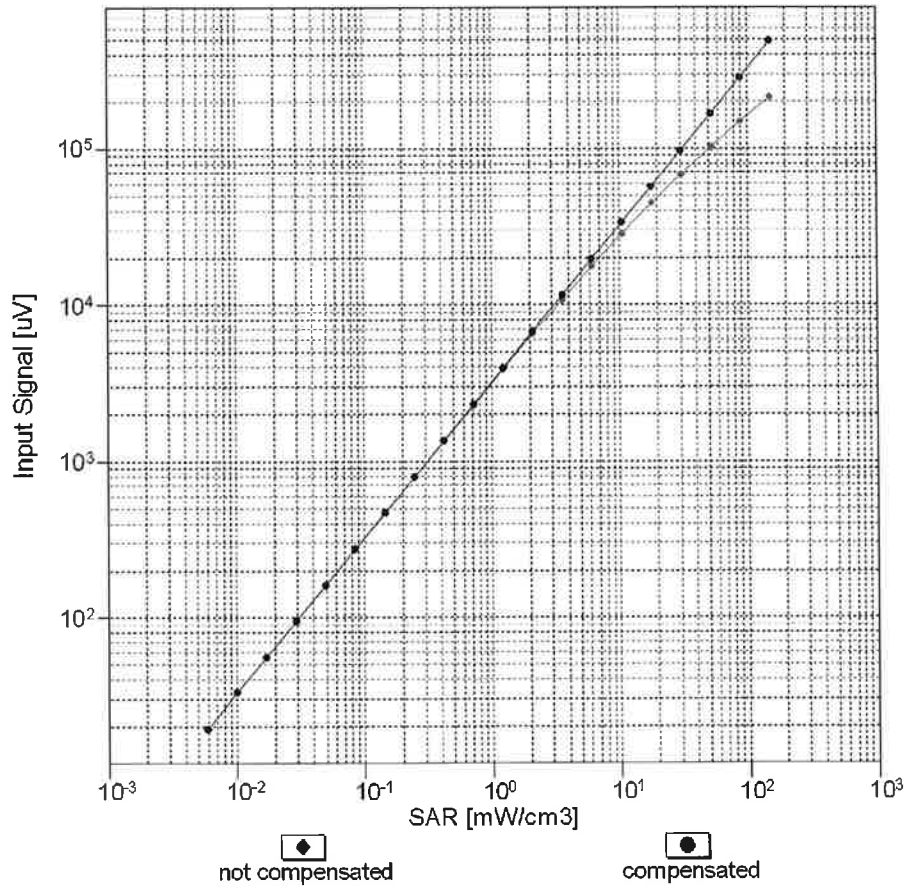
f=1800 MHz,R22



Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

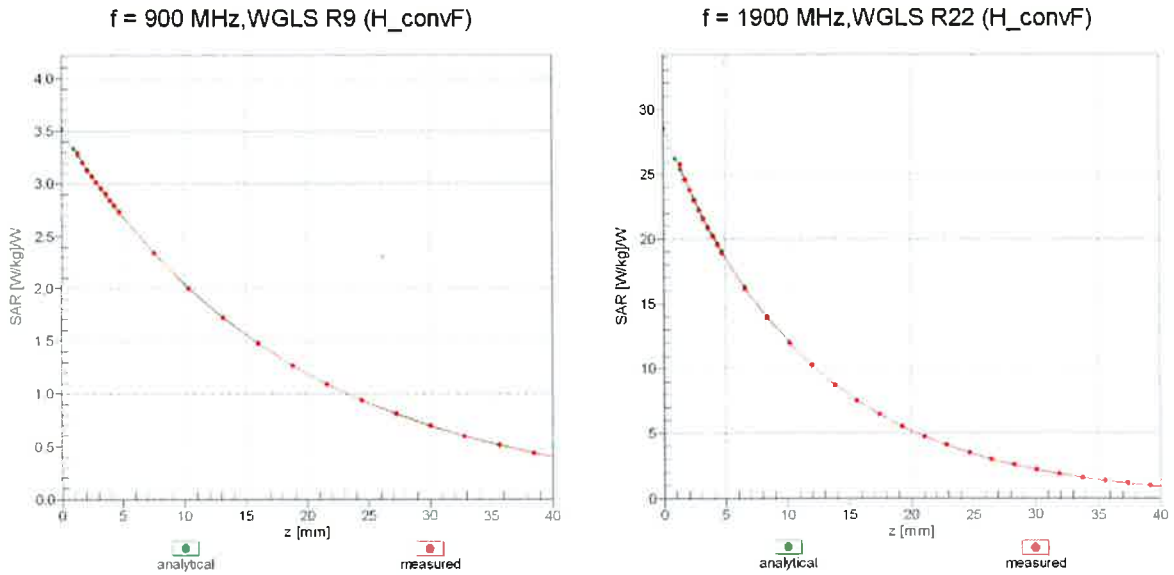


### Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

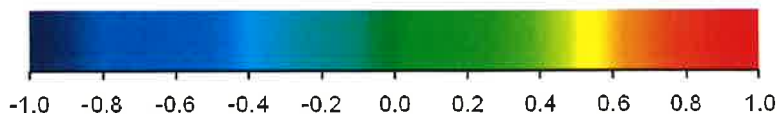
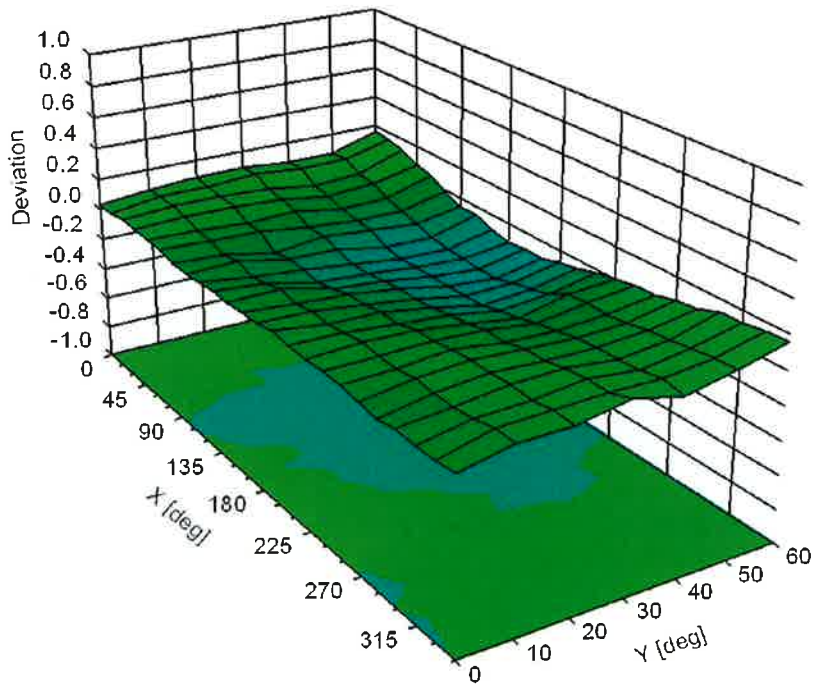


Uncertainty of Linearity Assessment: ± 0.6% (k=2)

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  (k=2)



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Client **UL CCS USA**

Certificate No: **EX3-7448\_Mar19**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:7448**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v5, QA CAL-23.v5, QA CAL-25.v7**  
Calibration procedure for dosimetric E-field probes

Calibration date: **March 27, 2019**

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Power sensor NRP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-18 (No. 217-02682)	Apr-19
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
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RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

Calibrated by:	Name <b>Leif Klysner</b>	Function Laboratory Technician	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function Technical Manager	Signature 
This calibration certificate shall not be reproduced except in full without written approval of the laboratory.			Issued: March 27, 2019



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- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7448

## Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.27	0.38	0.51	± 10.1 %
DCP (mV) <sup>B</sup>	98.2	96.1	101.8	

## Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB/μV	C	D dB	VR mV	Max dev.	Max Unc <sup>E</sup> (k=2)
0	CW	X	0.00	0.00	1.00	0.00	199.0	± 3.3 %	± 4.7 %
		Y	0.00	0.00	1.00		178.3		
		Z	0.00	0.00	1.00		189.4		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	3.89	70.35	12.80	10.00	60.0	± 3.5 %	± 9.6 %
		Y	1.33	60.25	7.51		60.0		
		Z	15.00	85.46	17.74		60.0		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	4.83	74.79	13.16	6.99	80.0	± 2.4 %	± 9.6 %
		Y	0.97	61.20	6.57		80.0		
		Z	15.00	87.93	17.76		80.0		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	15.00	83.63	13.90	3.98	95.0	± 1.5 %	± 9.6 %
		Y	0.41	60.00	4.42		95.0		
		Z	15.00	94.75	19.66		95.0		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	0.37	61.85	5.67	2.22	120.0	± 1.2 %	± 9.6 %
		Y	0.30	60.00	2.75		120.0		
		Z	15.00	110.53	25.50		120.0		
10387-AAA	QPSK Waveform, 1 MHz	X	0.89	64.32	11.07	0.00	150.0	± 3.7 %	± 9.6 %
		Y	0.40	60.00	4.58		150.0		
		Z	0.76	63.30	9.89		150.0		
10388-AAA	QPSK Waveform, 10 MHz	X	2.50	70.04	16.85	0.00	150.0	± 1.1 %	± 9.6 %
		Y	2.02	68.18	15.80		150.0		
		Z	2.48	70.37	17.11		150.0		
10396-AAA	64-QAM Waveform, 100 kHz	X	2.82	69.57	18.34	3.01	150.0	± 0.9 %	± 9.6 %
		Y	2.22	67.25	17.44		150.0		
		Z	3.14	72.38	19.82		150.0		
10399-AAA	64-QAM Waveform, 40 MHz	X	3.68	67.96	16.38	0.00	150.0	± 2.4 %	± 9.6 %
		Y	3.37	67.16	15.87		150.0		
		Z	3.64	68.07	16.43		150.0		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	5.06	66.13	15.98	0.00	150.0	± 4.3 %	± 9.6 %
		Y	4.63	65.84	15.75		150.0		
		Z	4.77	65.56	15.64		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7448

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ V <sup>-1</sup>	T1 ms.V <sup>-2</sup>	T2 ms.V <sup>-1</sup>	T3 ms	T4 V <sup>-2</sup>	T5 V <sup>-1</sup>	T6
X	51.9	400.46	37.75	5.81	0.37	5.02	0.31	0.45	1.00
Y	29.8	230.45	37.86	3.27	0.19	5.02	0.00	0.32	1.01
Z	42.6	318.44	35.80	8.51	0.00	5.06	1.23	0.24	1.01

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	52.6
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7448

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	10.39	10.39	10.39	0.32	1.06	± 12.0 %
900	41.5	0.97	9.72	9.72	9.72	0.45	0.86	± 12.0 %
1750	40.1	1.37	8.41	8.41	8.41	0.41	0.84	± 12.0 %
1900	40.0	1.40	7.99	7.99	7.99	0.34	0.86	± 12.0 %
2300	39.5	1.67	7.82	7.82	7.82	0.36	0.84	± 12.0 %
2450	39.2	1.80	7.40	7.40	7.40	0.39	0.86	± 12.0 %
2600	39.0	1.96	7.19	7.19	7.19	0.33	0.94	± 12.0 %
5250	35.9	4.71	4.99	4.99	4.99	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.52	4.52	4.52	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.70	4.70	4.70	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7448

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	10.12	10.12	10.12	0.33	0.95	± 12.0 %
900	55.0	1.05	9.73	9.73	9.73	0.34	0.87	± 12.0 %
1750	53.4	1.49	8.37	8.37	8.37	0.33	0.85	± 12.0 %
1900	53.3	1.52	8.08	8.08	8.08	0.39	0.86	± 12.0 %
2300	52.9	1.81	7.75	7.75	7.75	0.33	0.87	± 12.0 %
2450	52.7	1.95	7.58	7.58	7.58	0.32	0.87	± 12.0 %
2600	52.5	2.16	7.36	7.36	7.36	0.24	0.98	± 12.0 %
5250	48.9	5.36	4.48	4.48	4.48	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.78	3.78	3.78	0.50	1.90	± 13.1 %
5750	48.3	5.94	3.86	3.86	3.86	0.50	1.90	± 13.1 %

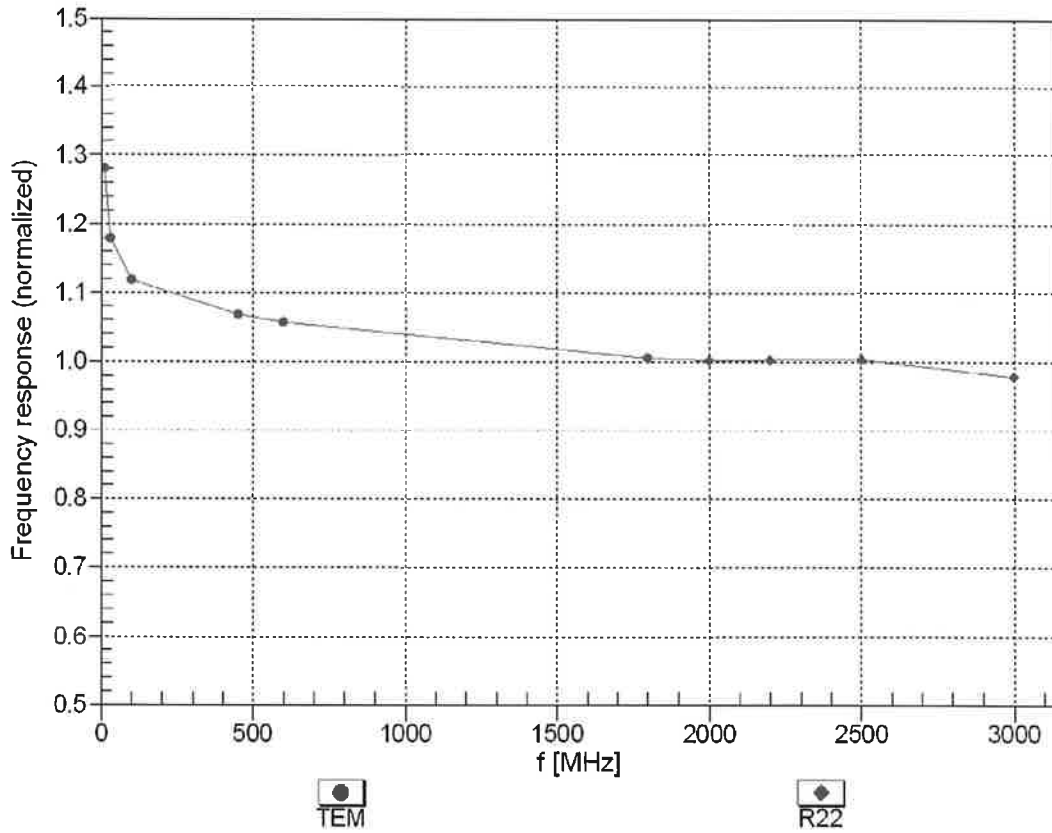
<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



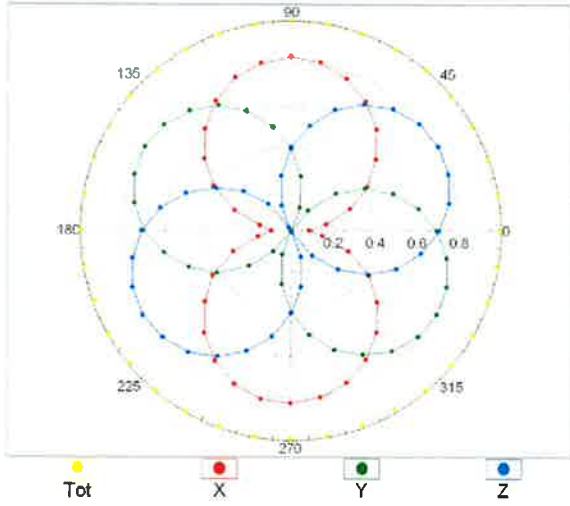
### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



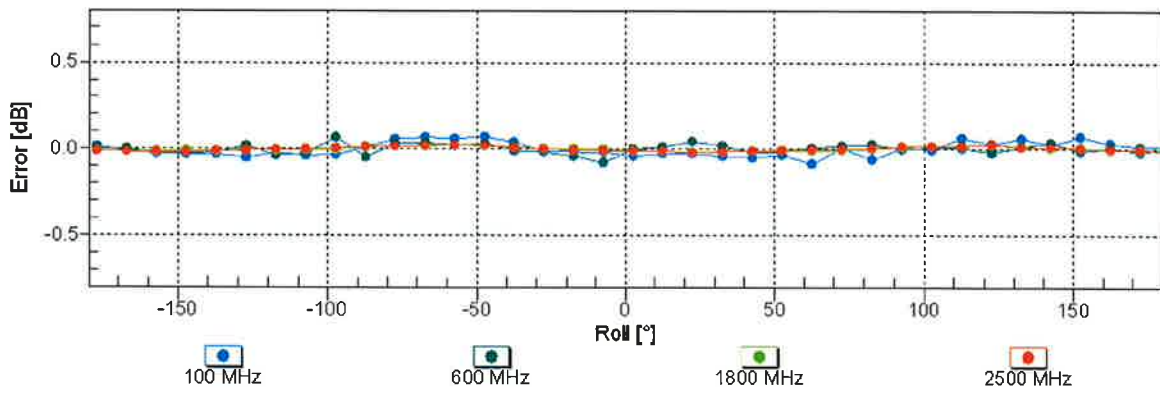
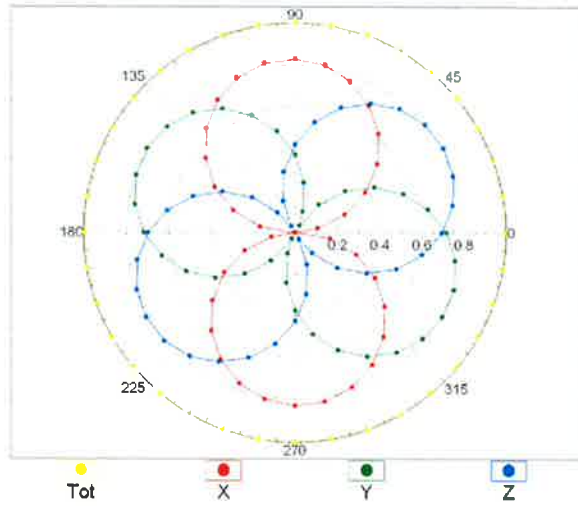
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

### Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

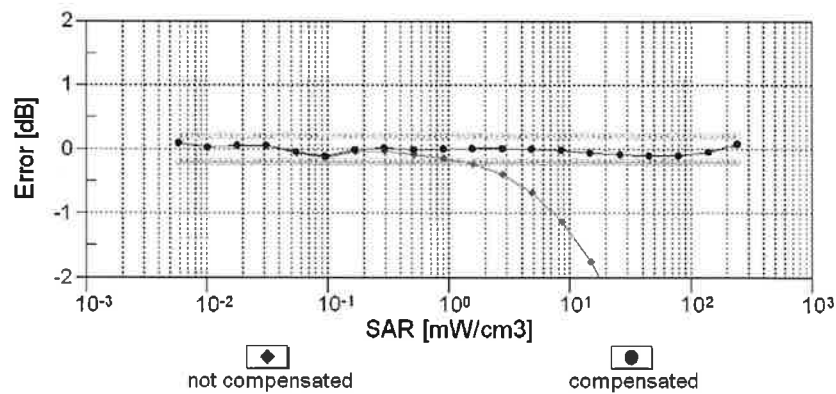
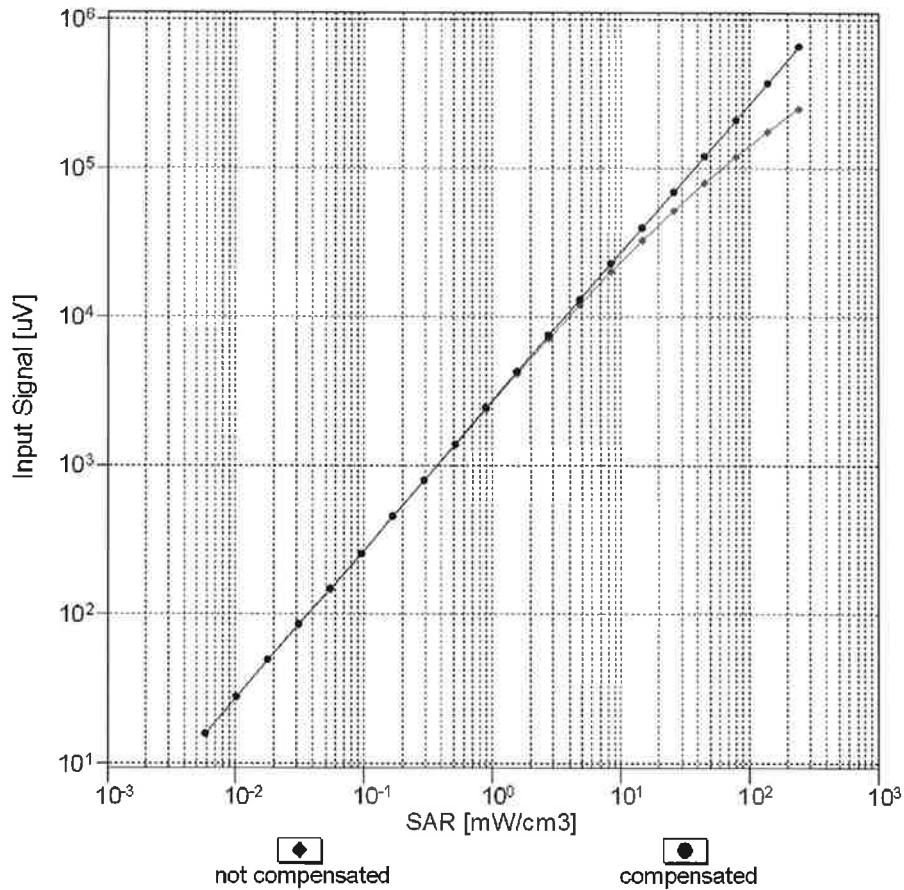


f=1800 MHz,R22



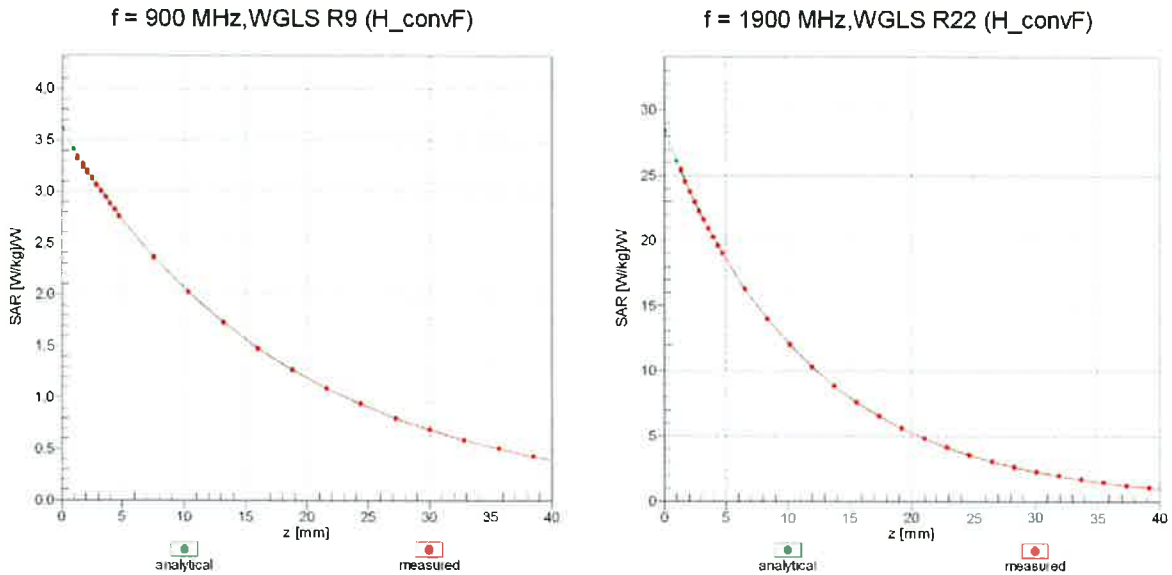
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

# Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

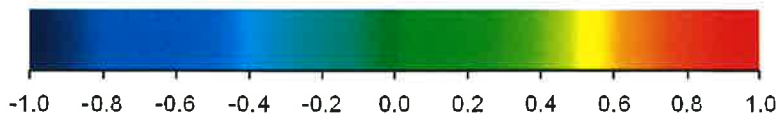
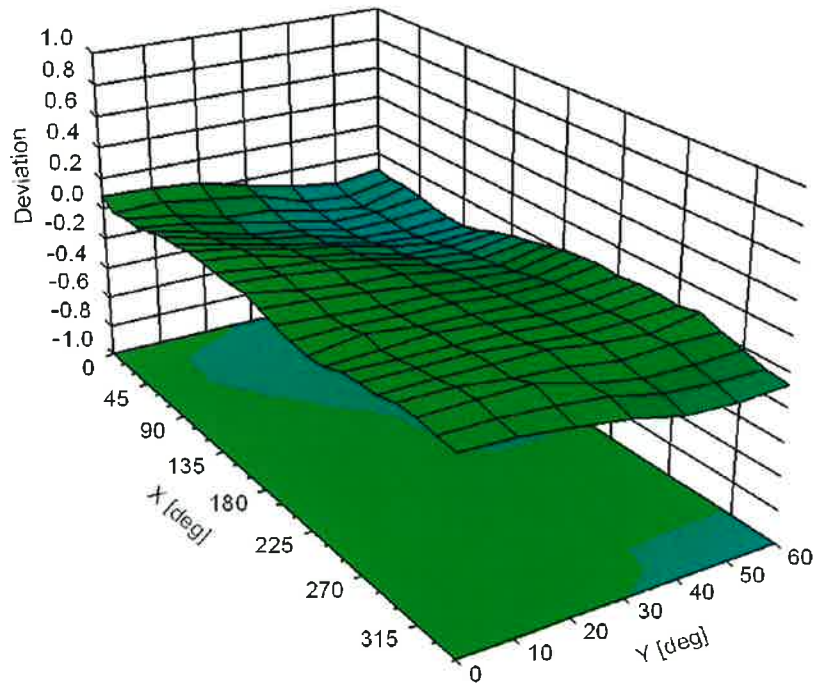


Uncertainty of Linearity Assessment: ± 0.6% (k=2)

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), f = 900 MHz



Uncertainty of Spherical Isotropy Assessment:  $\pm 2.6\%$  (k=2)



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The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-7498\_Apr19**

**CALIBRATION CERTIFICATE**

Object **EX3DV4 - SN:7498**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-12.v9, QA CAL-23.v5, QA CAL-25.v7  
Calibration procedure for dosimetric E-field probes**

Calibration date: **April 18, 2019**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-19 (No. 217-02894)	Apr-20
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

Calibrated by:	Name <b>Claudio Leubler</b>	Function <b>Laboratory Technician</b>	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function <b>Technical Manager</b>	

Issued: April 19, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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Accreditation No.: **SCS 0108**

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Multilateral Agreement for the recognition of calibration certificates

### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>; A, B, C, D** are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:7498

## Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.41	0.38	0.50	± 10.1 %
DCP (mV) <sup>B</sup>	101.8	103.0	90.5	

## Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Max dev.	Max Unc <sup>E</sup> (k=2)
0	CW	X	0.00	0.00	1.00	0.00	144.1	± 3.5 %	± 4.7 %
		Y	0.00	0.00	1.00		159.2		
		Z	0.00	0.00	1.00		159.1		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	1.32	60.16	7.23	10.00	60.0	± 2.5 %	± 9.6 %
		Y	2.41	65.43	9.76		60.0		
		Z	6.85	76.16	14.61		60.0		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	0.80	60.14	5.92	6.99	80.0	± 1.8 %	± 9.6 %
		Y	1.30	63.77	8.09		80.0		
		Z	15.00	84.48	15.70		80.0		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	0.41	60.00	4.41	3.98	95.0	± 1.2 %	± 9.6 %
		Y	0.89	64.77	7.52		95.0		
		Z	3.60	73.56	10.61		95.0		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	1.97	234.10	30.36	2.22	120.0	± 1.5 %	± 9.6 %
		Y	15.00	80.82	11.13		120.0		
		Z	0.24	60.00	3.81		120.0		
10387-AAA	QPSK Waveform, 1 MHz	X	0.44	60.00	4.81	0.00	150.0	± 3.5 %	± 9.6 %
		Y	0.45	60.00	6.02		150.0		
		Z	0.47	60.00	5.40		150.0		
10388-AAA	QPSK Waveform, 10 MHz	X	1.81	66.00	14.62	0.00	150.0	± 1.3 %	± 9.6 %
		Y	2.25	69.65	16.75		150.0		
		Z	1.92	66.87	15.15		150.0		
10396-AAA	64-QAM Waveform, 100 kHz	X	1.99	65.33	16.47	3.01	150.0	± 1.5 %	± 9.6 %
		Y	2.64	70.79	19.00		150.0		
		Z	2.24	67.25	17.71		150.0		
10399-AAA	64-QAM Waveform, 40 MHz	X	3.23	66.19	15.26	0.00	150.0	± 2.4 %	± 9.6 %
		Y	3.39	67.26	15.98		150.0		
		Z	3.28	66.40	15.49		150.0		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	4.50	65.19	15.30	0.00	150.0	± 4.2 %	± 9.6 %
		Y	4.60	65.69	15.64		150.0		
		Z	4.75	65.87	15.78		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7498

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ V <sup>-1</sup>	T1 ms.V <sup>-2</sup>	T2 ms.V <sup>-1</sup>	T3 ms	T4 V <sup>-2</sup>	T5 V <sup>-1</sup>	T6
X	29.0	221.94	37.02	2.68	0.11	4.99	0.00	0.24	1.01
Y	30.9	227.65	34.91	4.70	0.01	4.99	1.42	0.05	1.00
Z	33.9	265.60	38.57	4.42	0.17	5.08	0.00	0.33	1.01

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	58.9
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7498

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	10.42	10.42	10.42	0.38	0.85	± 12.0 %
900	41.5	0.97	9.89	9.89	9.89	0.43	0.85	± 12.0 %
1750	40.1	1.37	8.76	8.76	8.76	0.33	0.84	± 12.0 %
1900	40.0	1.40	8.48	8.48	8.48	0.26	0.85	± 12.0 %
2300	39.5	1.67	8.13	8.13	8.13	0.32	0.85	± 12.0 %
2450	39.2	1.80	7.84	7.84	7.84	0.33	0.90	± 12.0 %
2600	39.0	1.96	7.58	7.58	7.58	0.37	0.85	± 12.0 %
5250	35.9	4.71	5.40	5.40	5.40	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.67	4.67	4.67	0.40	1.80	± 13.1 %
5750	35.4	5.22	5.01	5.01	5.01	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7498

### Calibration Parameter Determined in Body Tissue Simulating Media

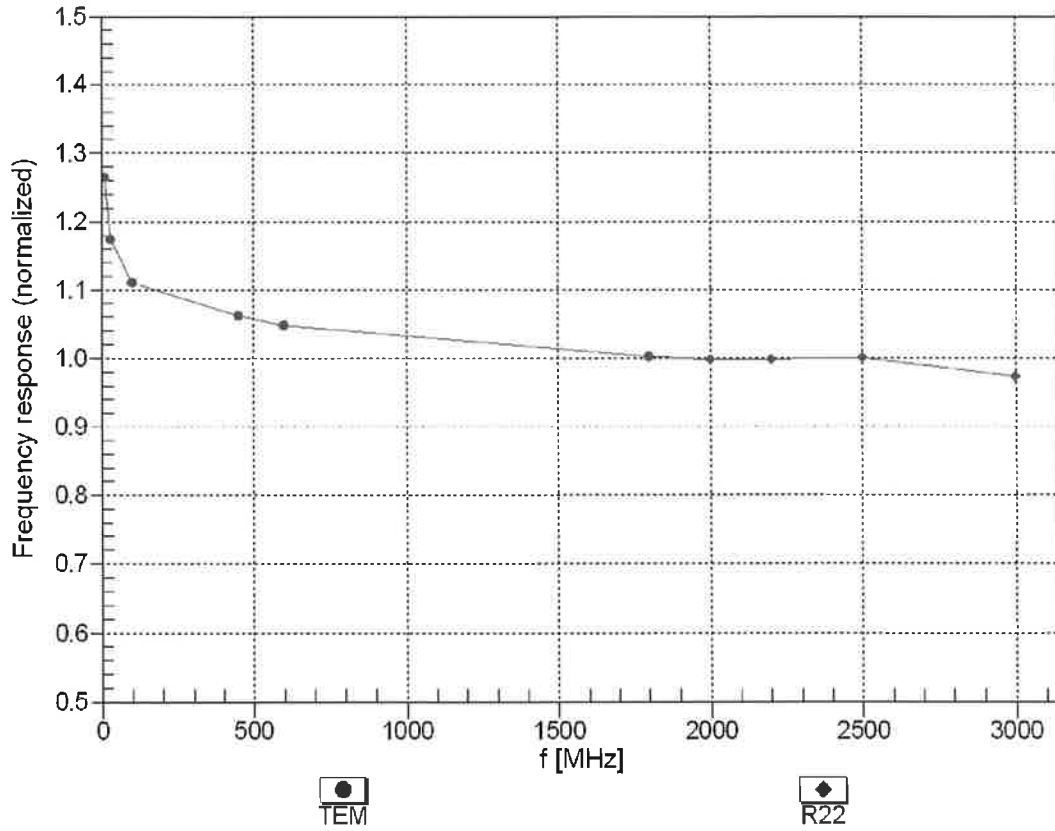
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	10.33	10.33	10.33	0.50	0.80	± 12.0 %
900	55.0	1.05	9.94	9.94	9.94	0.47	0.80	± 12.0 %
1750	53.4	1.49	8.50	8.50	8.50	0.36	0.80	± 12.0 %
1900	53.3	1.52	8.24	8.24	8.24	0.39	0.80	± 12.0 %
2300	52.9	1.81	8.02	8.02	8.02	0.35	0.85	± 12.0 %
2450	52.7	1.95	7.85	7.85	7.85	0.34	0.88	± 12.0 %
2600	52.5	2.16	7.60	7.60	7.60	0.32	0.93	± 12.0 %
5250	48.9	5.36	4.85	4.85	4.85	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.19	4.19	4.19	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.41	4.41	4.41	0.50	1.90	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

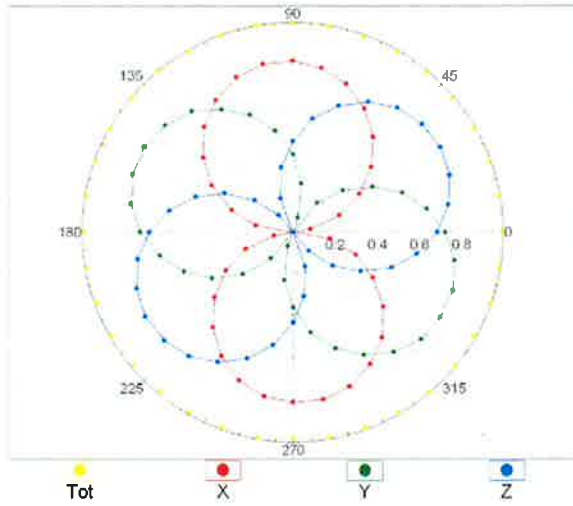
### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



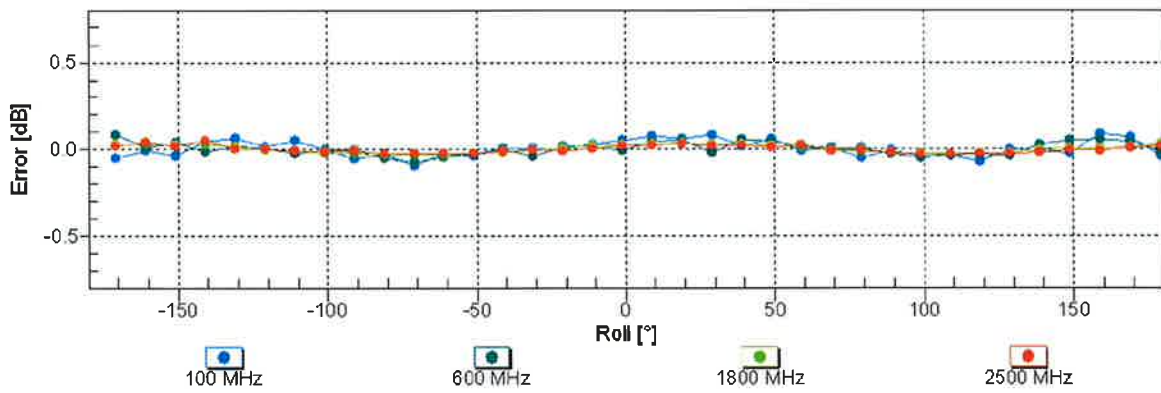
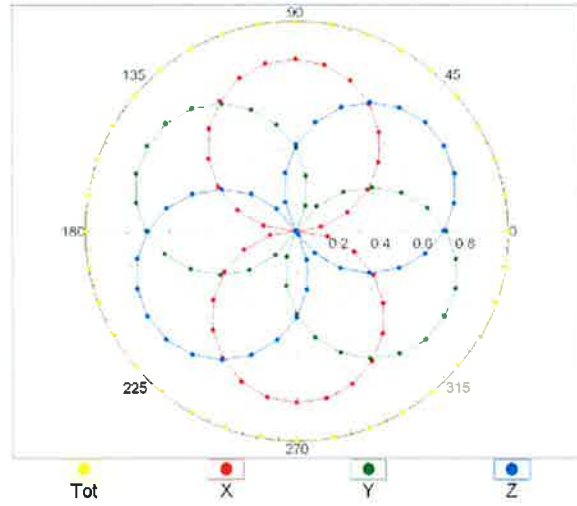
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

# Receiving Pattern ( $\phi$ ), $\vartheta = 0^\circ$

f=600 MHz,TEM

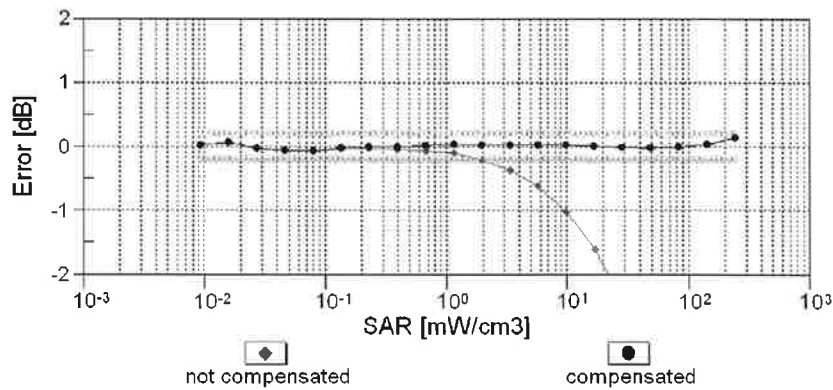
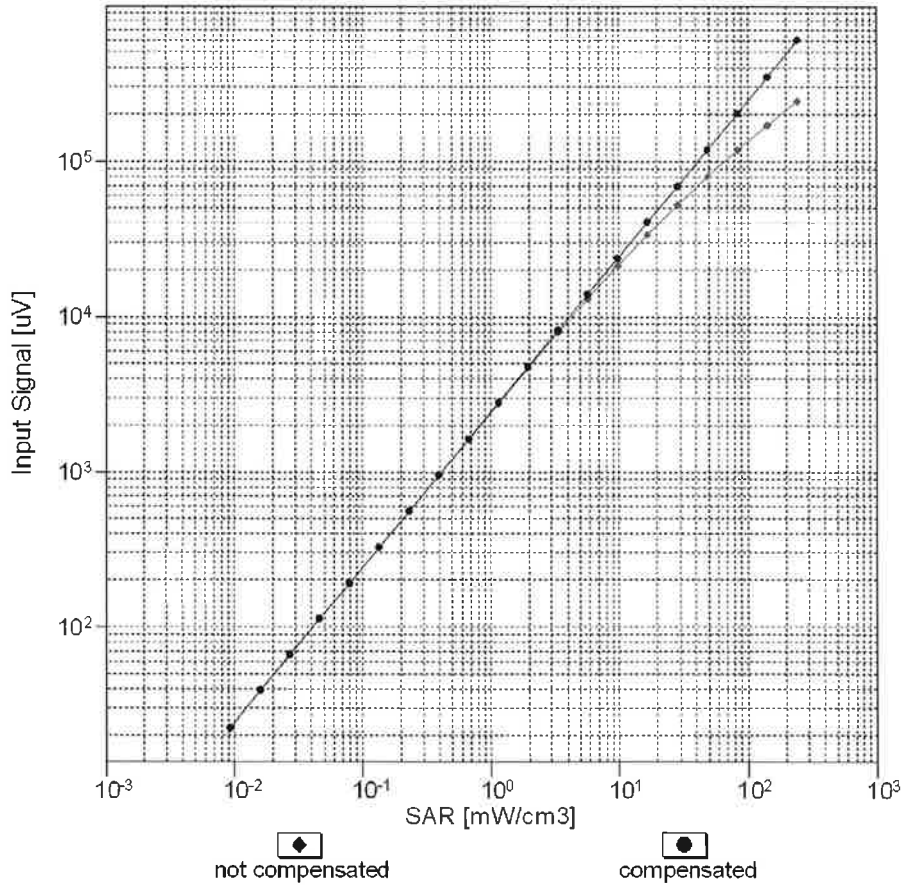


f=1800 MHz,R22



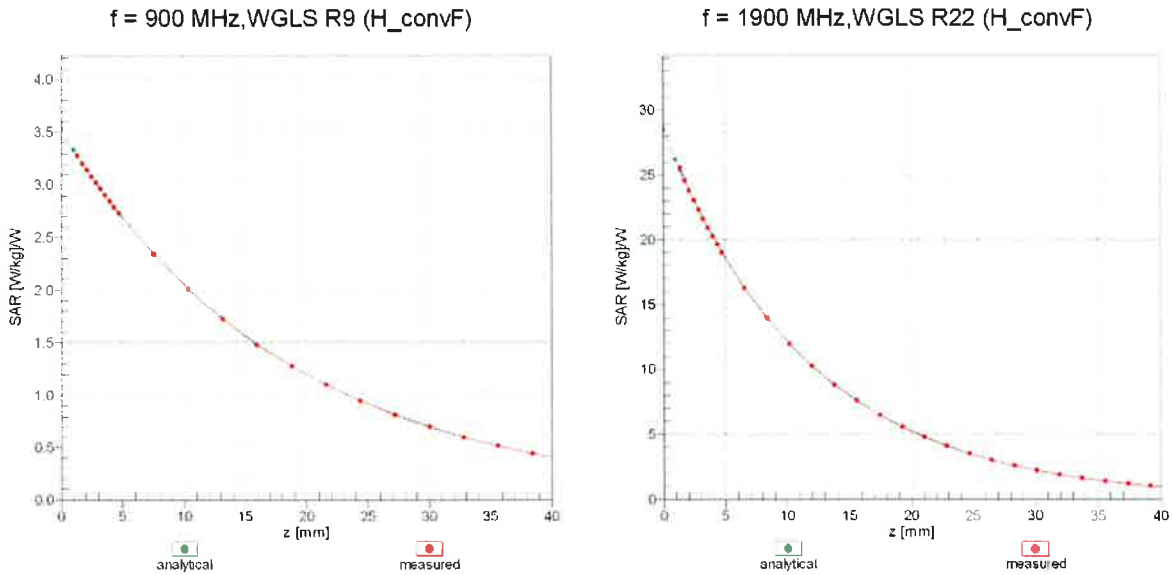
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

### Dynamic Range $f(\text{SAR}_{\text{head}})$ (TEM cell , $f_{\text{eval}}= 1900 \text{ MHz}$ )

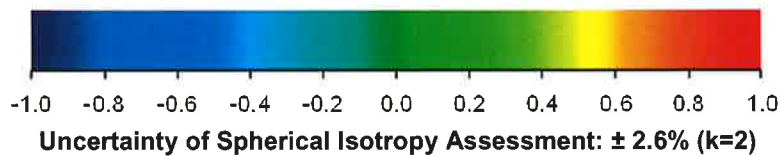
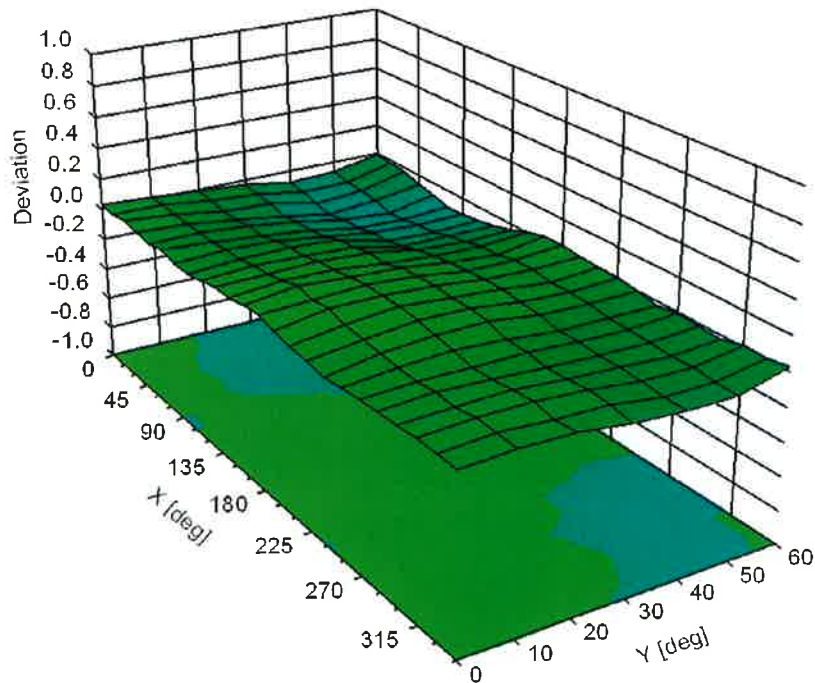


Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), f = 900 MHz





Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-3990\_Aug18**

## CALIBRATION CERTIFICATE

Object: **EX3DV4 - SN:3990**

Calibration procedure(s): **QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5,  
QA CAL-25.v6  
Calibration procedure for dosimetric E-field probes**

Calibration date: **August 17, 2018**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	04-Apr-18 (No. 217-02672/02673)	Apr-19
Power sensor NRP-Z91	SN: 103244	04-Apr-18 (No. 217-02672)	Apr-19
Power sensor NRP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-18 (No. 217-02682)	Apr-19
Reference Probe ES3DV2	SN: 3013	30-Dec-17 (No. ES3-3013_Dec17)	Dec-18
DAE4	SN: 660	21-Dec-17 (No. DAE4-660_Dec17)	Dec-18
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-17)	In house check: Oct-18

Calibrated by:	Name <b>Claudio Leubler</b>	Function Laboratory Technician	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function Technical Manager	Signature 

Issued: August 21, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



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Accreditation No.: SCS 0108

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Multilateral Agreement for the recognition of calibration certificates

### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>*: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). *NORM<sub>x,y,z</sub>* are only intermediate values, i.e., the uncertainties of *NORM<sub>x,y,z</sub>* does not affect the  $E^2$ -field uncertainty inside TSL (see below *ConvF*).
- NORM(f)<sub>x,y,z</sub>* = *NORM<sub>x,y,z</sub>* \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- DCP<sub>x,y,z</sub>*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR*: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>*; *B<sub>x,y,z</sub>*; *C<sub>x,y,z</sub>*; *D<sub>x,y,z</sub>*; *VR<sub>x,y,z</sub>*: *A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF* and *Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to *NORM<sub>x,y,z</sub>* \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle*: The angle is assessed using the information gained by determining the *NORM<sub>x</sub>* (no uncertainty required).



# Probe EX3DV4

SN:3990

Manufactured:	January 21, 2014
Repaired:	July 27, 2018
Calibrated:	August 17, 2018

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3990

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.59	0.62	0.58	$\pm 10.1 \%$
DCP (mV) <sup>B</sup>	103.2	103.7	102.3	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	180.3	$\pm 2.7 \%$
		Y	0.0	0.0	1.0		181.1	
		Z	0.0	0.0	1.0		183.5	

Note: For details on UID parameters see Appendix.

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ $\text{V}^{-1}$	T1 $\text{ms}\cdot\text{V}^{-2}$	T2 $\text{ms}\cdot\text{V}^{-1}$	T3 ms	T4 $\text{V}^{-2}$	T5 $\text{V}^{-1}$	T6
X	51.17	382.8	35.69	22.24	0.371	5.10	1.385	0.346	1.008
Y	49.91	381.9	37.00	17.99	0.557	5.10	0.000	0.553	1.011
Z	47.38	354.6	35.71	20.46	0.309	5.10	0.610	0.396	1.007

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the  $E^2$ -field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3990

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	43.5	0.87	11.46	11.46	11.46	0.15	1.20	± 13.3 %
750	41.9	0.89	10.55	10.55	10.55	0.48	0.80	± 12.0 %
900	41.5	0.97	9.77	9.77	9.77	0.40	0.95	± 12.0 %
1450	40.5	1.20	9.15	9.15	9.15	0.43	0.80	± 12.0 %
1750	40.1	1.37	8.79	8.79	8.79	0.36	0.80	± 12.0 %
1900	40.0	1.40	8.38	8.38	8.38	0.32	0.85	± 12.0 %
2300	39.5	1.67	8.10	8.10	8.10	0.34	0.80	± 12.0 %
2450	39.2	1.80	7.67	7.67	7.67	0.28	0.95	± 12.0 %
2600	39.0	1.96	7.46	7.46	7.46	0.49	0.80	± 12.0 %
3500	37.9	2.91	7.31	7.31	7.31	0.25	1.20	± 13.1 %
3700	37.7	3.12	7.26	7.26	7.26	0.25	1.20	± 13.1 %
4950	36.3	4.40	5.62	5.62	5.62	0.40	1.80	± 13.1 %
5250	35.9	4.71	5.51	5.51	5.51	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.87	4.87	4.87	0.40	1.80	± 13.1 %
5750	35.4	5.22	5.18	5.18	5.18	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3990

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	56.7	0.94	11.46	11.46	11.46	0.08	1.20	± 13.3 %
750	55.5	0.96	10.42	10.42	10.42	0.44	0.85	± 12.0 %
900	55.0	1.05	10.14	10.14	10.14	0.44	0.86	± 12.0 %
1450	54.0	1.30	8.90	8.90	8.90	0.31	0.80	± 12.0 %
1750	53.4	1.49	8.45	8.45	8.45	0.41	0.92	± 12.0 %
1900	53.3	1.52	8.11	8.11	8.11	0.39	0.90	± 12.0 %
2300	52.9	1.81	7.83	7.83	7.83	0.37	0.89	± 12.0 %
2450	52.7	1.95	7.79	7.79	7.79	0.32	0.90	± 12.0 %
2600	52.5	2.16	7.65	7.65	7.65	0.27	0.98	± 12.0 %
3500	51.3	3.31	7.20	7.20	7.20	0.23	1.25	± 13.1 %
3700	51.0	3.55	6.91	6.91	6.91	0.26	1.25	± 13.1 %
4950	49.4	5.01	5.00	5.00	5.00	0.50	1.90	± 13.1 %
5250	48.9	5.36	4.95	4.95	4.95	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.31	4.31	4.31	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.44	4.44	4.44	0.50	1.90	± 13.1 %

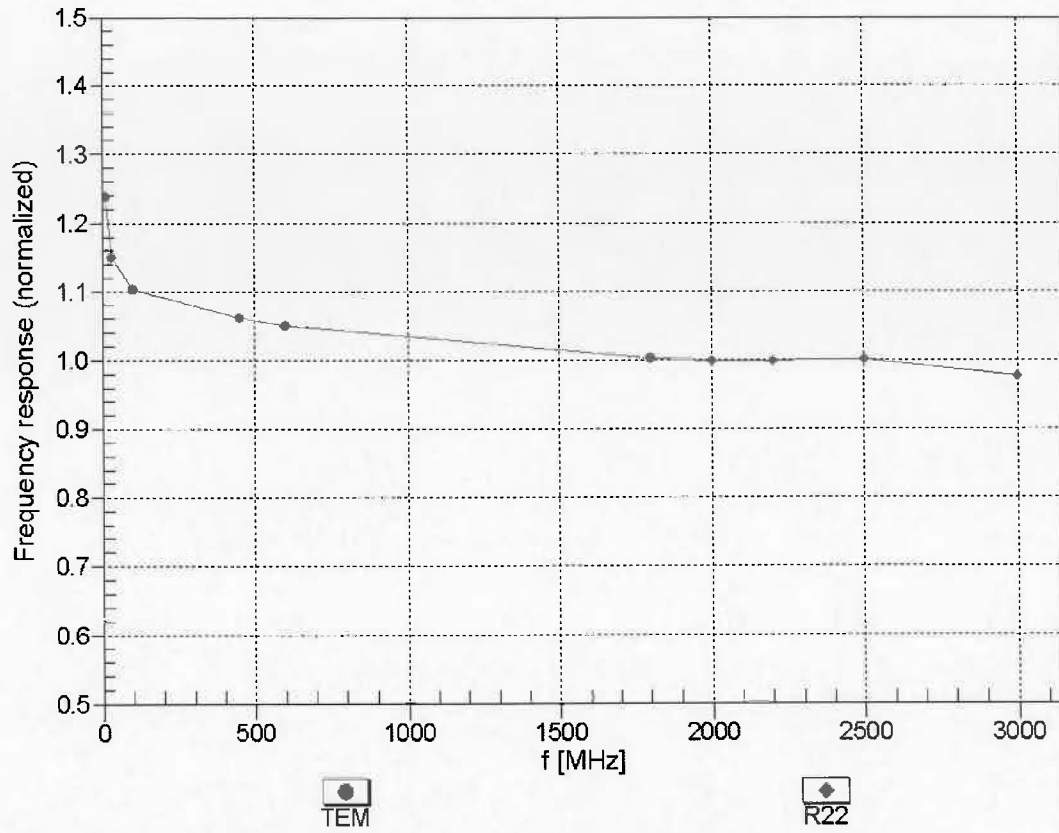
<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field

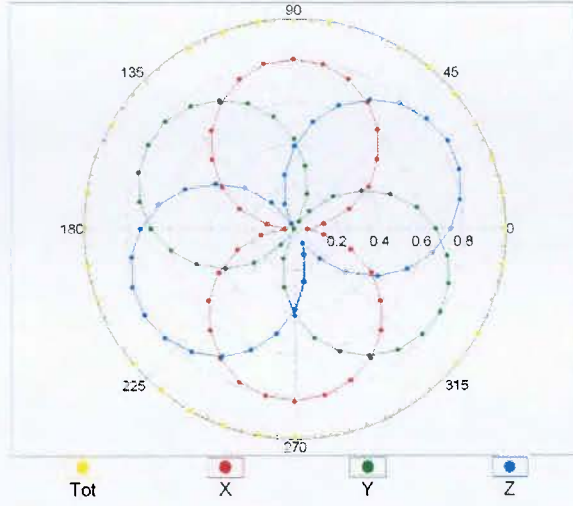
(TEM-Cell:ifi1110 EXX, Waveguide: R22)



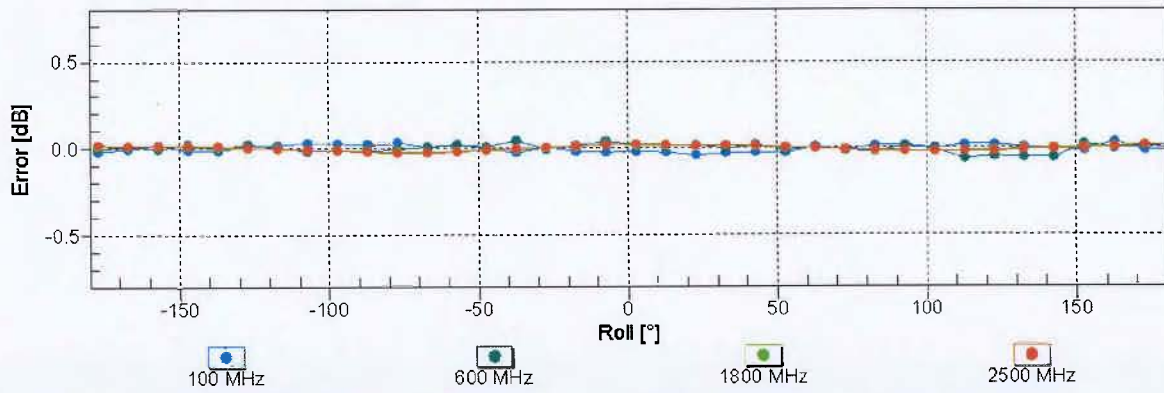
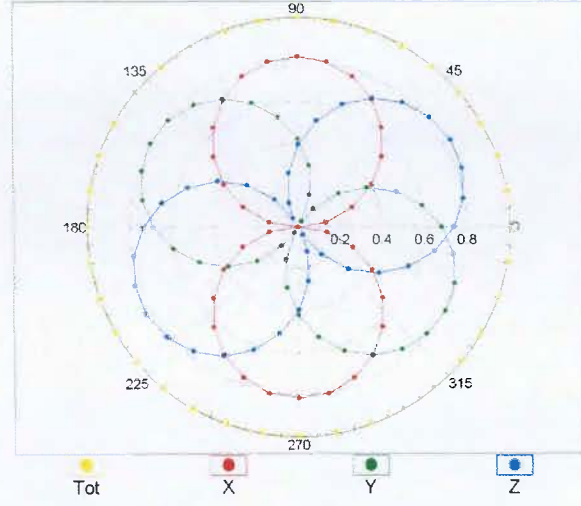
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

# Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

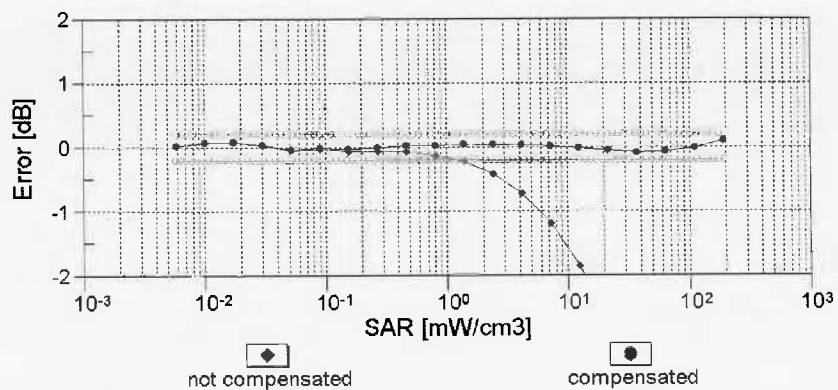
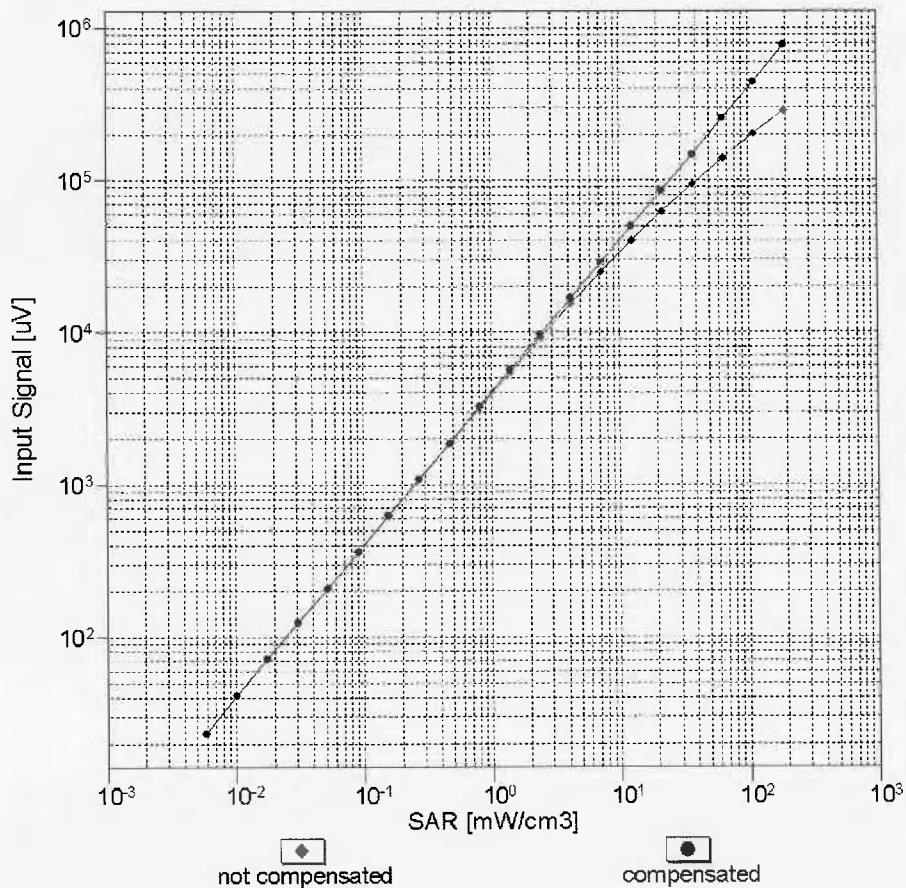


f=1800 MHz,R22



Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

### Dynamic Range $f(SAR_{head})$ (TEM cell , $f_{eval}= 1900$ MHz)

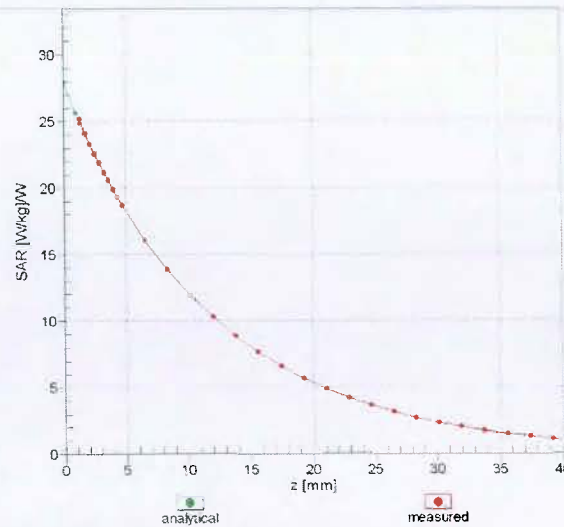
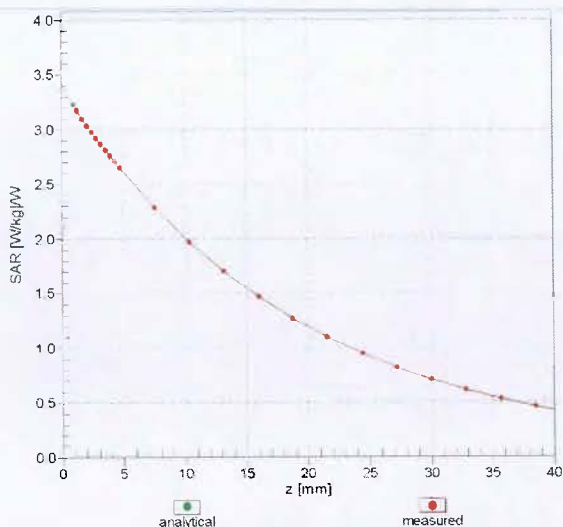


Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

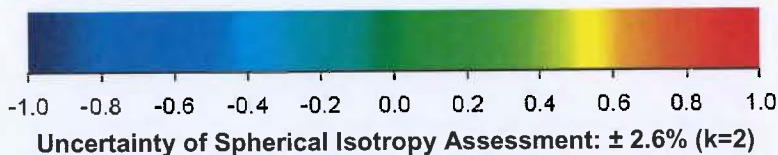
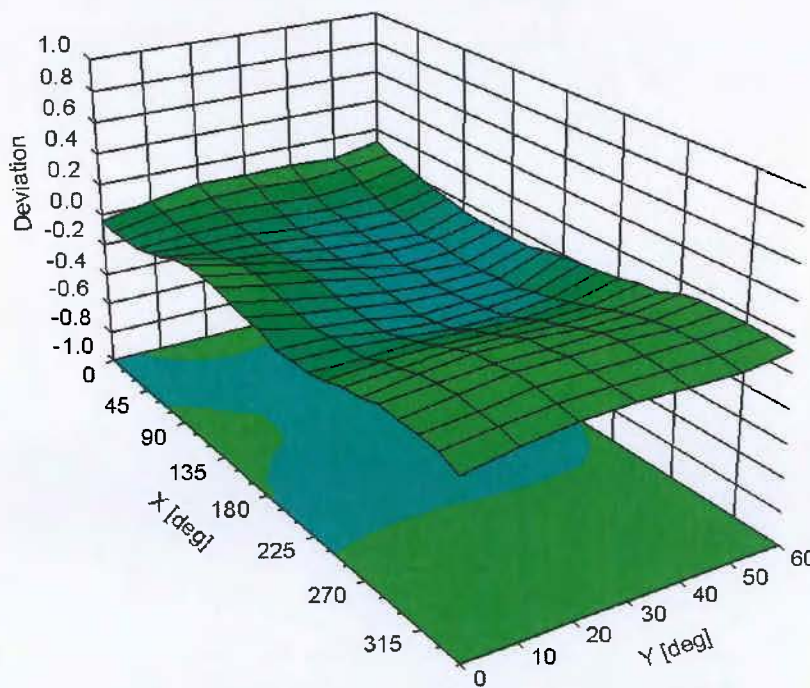
# Conversion Factor Assessment

f = 900 MHz, WGLS R9 (H\_convF)

f = 1900 MHz, WGLS R22 (H\_convF)



## Deviation from Isotropy in Liquid Error ( $\phi, \vartheta$ ), f = 900 MHz





## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3990

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-7.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm



Accredited by the Swiss Accreditation Service (SAS)  
The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-3773\_Mar19**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3773**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v5, QA CAL-23.v5, QA CAL-25.v7  
Calibration procedure for dosimetric E-field probes**

Calibration date: **March 27, 2019**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	04-Apr-18 (No. 217-02672/02673)	Apr-19
Power sensor NRP-Z91	SN: 103244	04-Apr-18 (No. 217-02672)	Apr-19
Power sensor NRP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-18 (No. 217-02682)	Apr-19
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

Calibrated by:	Name <b>Leif Klysner</b>	Function <b>Laboratory Technician</b>	Signature 
Approved by:	Name <b>Katja Pokovic</b>	Function <b>Technical Manager</b>	Signature 

Issued: March 27, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 0108**

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**Glossary:**

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Methods Applied and Interpretation of Parameters:**

- *NORM<sub>x,y,z</sub>*: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). *NORM<sub>x,y,z</sub>* are only intermediate values, i.e., the uncertainties of *NORM<sub>x,y,z</sub>* does not affect the  $E^2$ -field uncertainty inside TSL (see below *ConvF*).
- *NORM(f)<sub>x,y,z</sub>* = *NORM<sub>x,y,z</sub>* \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCP<sub>x,y,z</sub>*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- *PAR*: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *A<sub>x,y,z</sub>*; *B<sub>x,y,z</sub>*; *C<sub>x,y,z</sub>*; *D<sub>x,y,z</sub>*; *VR<sub>x,y,z</sub>*: *A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF* and *Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to *NORM<sub>x,y,z</sub>* \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- *Spherical isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the *NORM<sub>x</sub>* (no uncertainty required).

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

## Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.56	0.55	0.52	$\pm 10.1 \%$
DCP (mV) <sup>B</sup>	99.7	99.8	99.4	

## Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Max dev.	Max Unc <sup>E</sup> (k=2)
0	CW	X	0.00	0.00	1.00	0.00	165.3	$\pm 3.3 \%$	$\pm 4.7 \%$
		Y	0.00	0.00	1.00		172.2		
		Z	0.00	0.00	1.00		164.6		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	15.00	89.90	21.48	10.00	60.0	$\pm 3.3 \%$	$\pm 9.6 \%$
		Y	15.00	88.73	20.84		60.0		
		Z	15.00	90.07	21.84		60.0		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	15.00	91.09	20.90	6.99	80.0	$\pm 1.9 \%$	$\pm 9.6 \%$
		Y	15.00	89.28	19.77		80.0		
		Z	15.00	90.71	21.01		80.0		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	15.00	96.50	22.11	3.98	95.0	$\pm 1.1 \%$	$\pm 9.6 \%$
		Y	15.00	90.56	18.74		95.0		
		Z	15.00	95.46	21.95		95.0		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	15.00	101.61	23.01	2.22	120.0	$\pm 1.2 \%$	$\pm 9.6 \%$
		Y	15.00	88.87	16.38		120.0		
		Z	15.00	100.27	22.78		120.0		
10387-AAA	QPSK Waveform, 1 MHz	X	0.59	60.41	7.97	0.00	150.0	$\pm 2.9 \%$	$\pm 9.6 \%$
		Y	0.56	60.00	7.23		150.0		
		Z	0.68	61.44	9.11		150.0		
10388-AAA	QPSK Waveform, 10 MHz	X	2.15	67.80	15.63	0.00	150.0	$\pm 1.4 \%$	$\pm 9.6 \%$
		Y	2.00	66.38	14.63		150.0		
		Z	2.18	67.88	15.63		150.0		
10396-AAA	64-QAM Waveform, 100 kHz	X	2.98	70.52	18.85	3.01	150.0	$\pm 0.7 \%$	$\pm 9.6 \%$
		Y	2.81	68.67	17.86		150.0		
		Z	3.38	72.62	19.62		150.0		
10399-AAA	64-QAM Waveform, 40 MHz	X	3.46	67.00	15.73	0.00	150.0	$\pm 2.2 \%$	$\pm 9.6 \%$
		Y	3.37	66.42	15.29		150.0		
		Z	3.47	67.06	15.71		150.0		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	4.80	65.59	15.53	0.00	150.0	$\pm 4.4 \%$	$\pm 9.6 \%$
		Y	4.79	65.39	15.37		150.0		
		Z	4.83	65.60	15.48		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ V <sup>-1</sup>	T1 ms.V <sup>-2</sup>	T2 ms.V <sup>-1</sup>	T3 ms	T4 V <sup>-2</sup>	T5 V <sup>-1</sup>	T6
X	42.1	316.45	35.94	16.45	0.46	5.10	0.93	0.35	1.01
Y	43.6	335.47	37.37	14.69	0.62	5.10	0.00	0.57	1.01
Z	45.8	339.06	35.02	19.79	0.56	5.10	1.70	0.26	1.01

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-20.2
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.19	9.19	9.19	0.44	0.95	± 12.0 %
900	41.5	0.97	8.74	8.74	8.74	0.39	0.97	± 12.0 %
1750	40.1	1.37	7.57	7.57	7.57	0.42	0.85	± 12.0 %
1900	40.0	1.40	7.37	7.37	7.37	0.44	0.84	± 12.0 %
2300	39.5	1.67	6.98	6.98	6.98	0.37	0.86	± 12.0 %
2450	39.2	1.80	6.70	6.70	6.70	0.45	0.84	± 12.0 %
2600	39.0	1.96	6.47	6.47	6.47	0.38	0.88	± 12.0 %
5250	35.9	4.71	4.75	4.75	4.75	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.32	4.32	4.32	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.54	4.54	4.54	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3773

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	55.5	0.96	9.06	9.06	9.06	0.36	1.02	± 12.0 %
900	55.0	1.05	9.00	9.00	9.00	0.43	0.85	± 12.0 %
1750	53.4	1.49	7.30	7.30	7.30	0.45	0.85	± 12.0 %
1900	53.3	1.52	7.13	7.13	7.13	0.42	0.85	± 12.0 %
2300	52.9	1.81	6.97	6.97	6.97	0.39	0.86	± 12.0 %
2450	52.7	1.95	6.78	6.78	6.78	0.35	0.86	± 12.0 %
2600	52.5	2.16	6.62	6.62	6.62	0.23	0.98	± 12.0 %
5250	48.9	5.36	4.25	4.25	4.25	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.68	3.68	3.68	0.50	1.90	± 13.1 %
5750	48.3	5.94	3.90	3.90	3.90	0.50	1.90	± 13.1 %

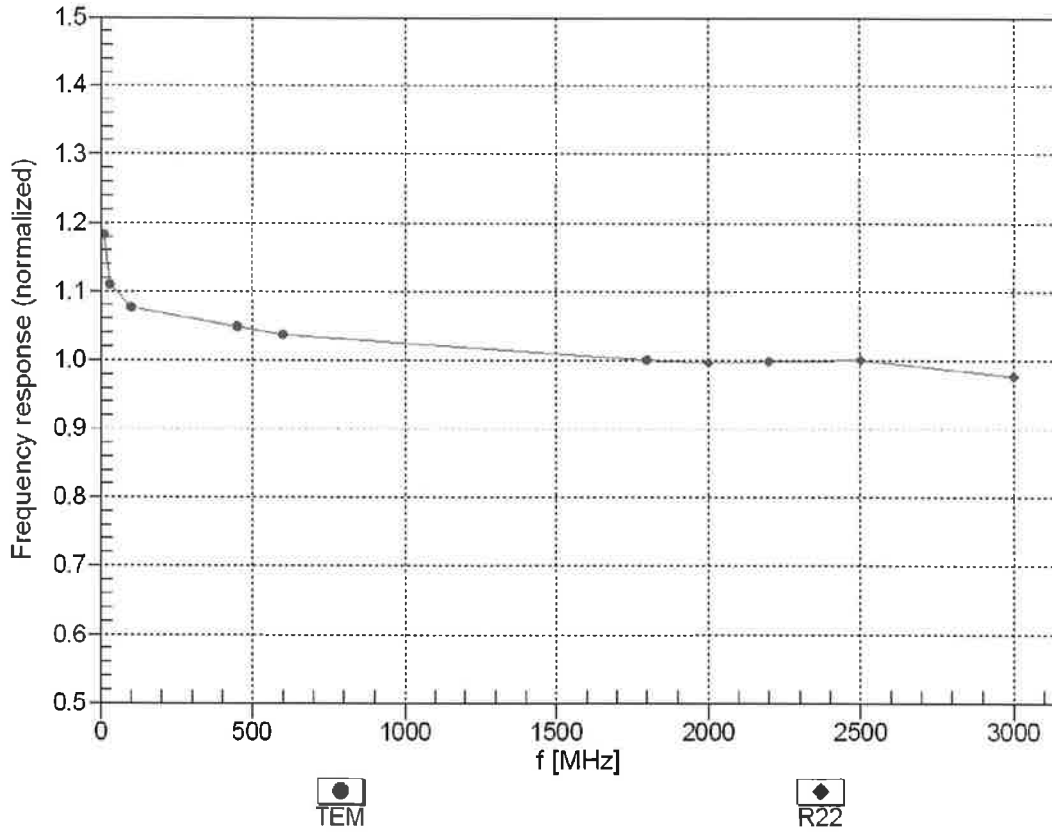
<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field

(TEM-Cell:ifi1110 EXX, Waveguide: R22)

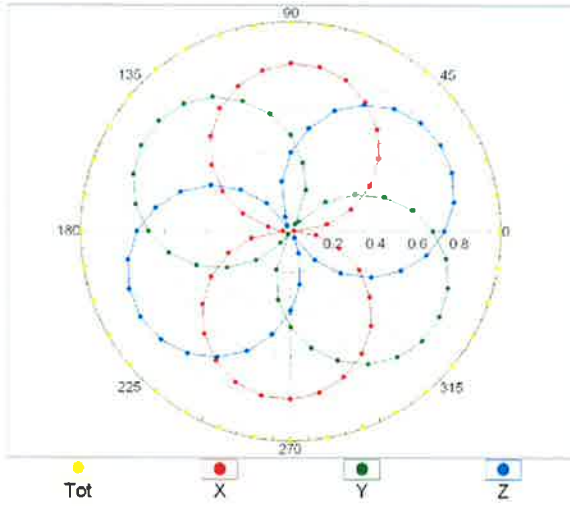


Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

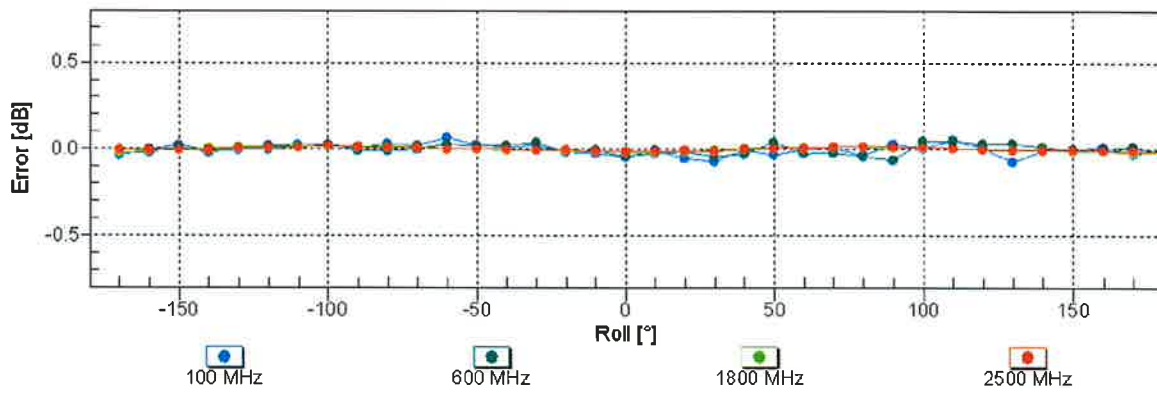
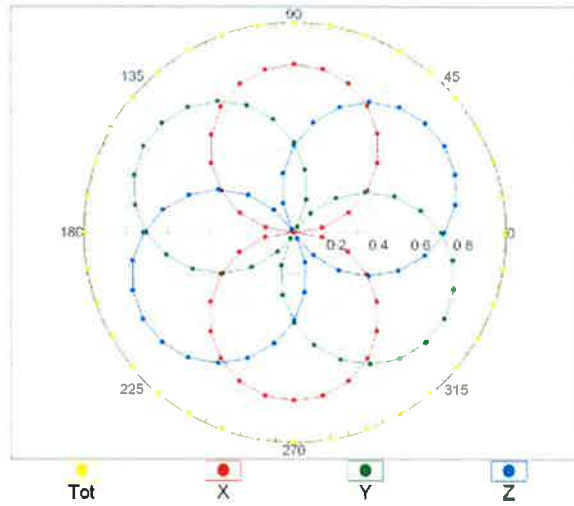


# Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

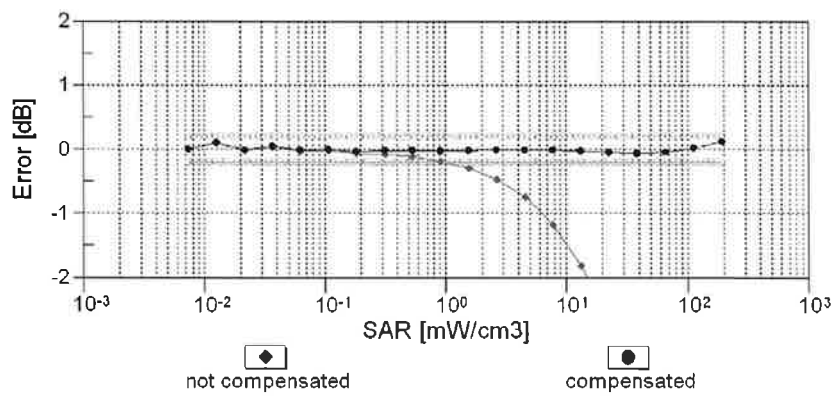
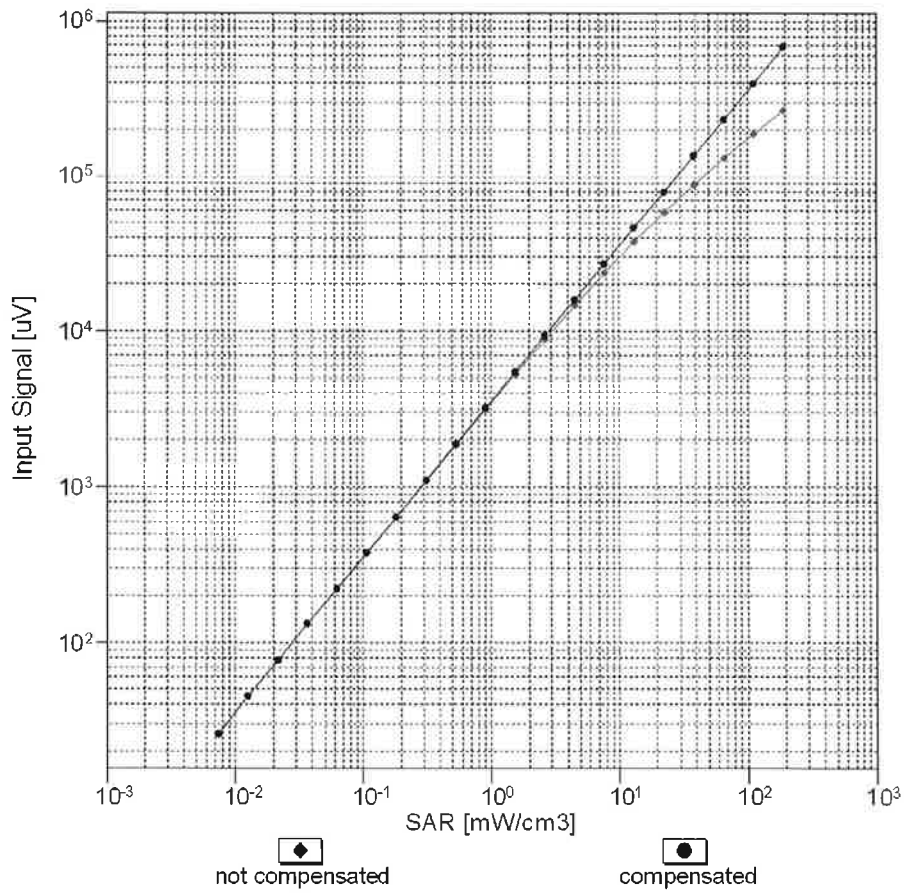


f=1800 MHz,R22



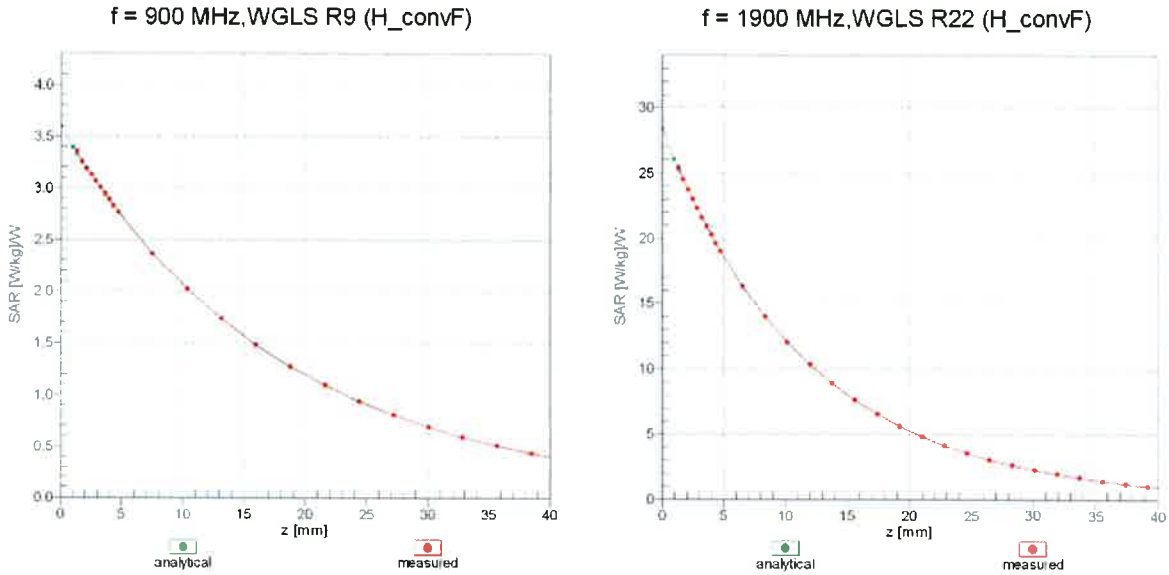
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

### Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

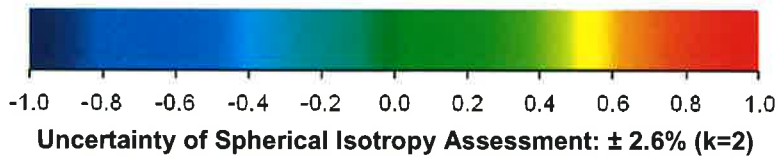
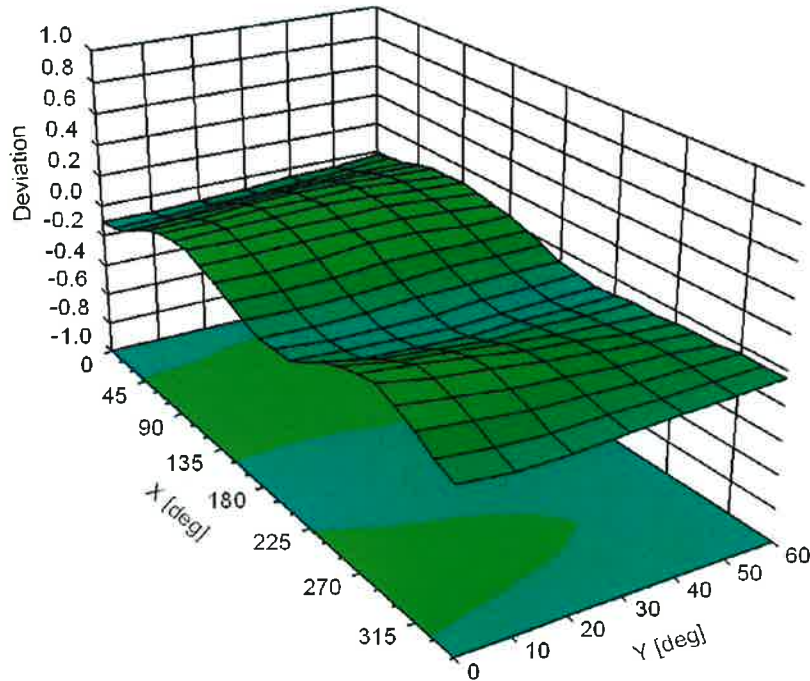


Uncertainty of Linearity Assessment: ± 0.6% (k=2)

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \vartheta$ ), f = 900 MHz





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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-3772\_Feb19**

## CALIBRATION CERTIFICATE

Object **EX3DV4 - SN:3772**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-14.v5, QA CAL-23.v5, QA CAL-25.v7  
Calibration procedure for dosimetric E-field probes**

Calibration date: **February 20, 2019**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

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Power sensor NRP-Z91	SN: 103244	04-Apr-18 (No. 217-02672)	Apr-19
Power sensor NRP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-18 (No. 217-02682)	Apr-19
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: February 26, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 0108**

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**Glossary:**

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

**Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Methods Applied and Interpretation of Parameters:**

- *NORM<sub>x,y,z</sub>*: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). *NORM<sub>x,y,z</sub>* are only intermediate values, i.e., the uncertainties of *NORM<sub>x,y,z</sub>* does not affect the  $E^2$ -field uncertainty inside TSL (see below *ConvF*).
- *NORM(f)<sub>x,y,z</sub>* = *NORM<sub>x,y,z</sub>* \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCP<sub>x,y,z</sub>*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- *PAR*: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>*: *A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters*: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to *NORM<sub>x,y,z</sub>* \* *ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- *Spherical isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the *NORM<sub>x</sub>* (no uncertainty required).

# DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

## Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.48	0.54	0.53	± 10.1 %
DCP (mV) <sup>B</sup>	100.5	99.6	100.8	

## Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB√μV	C	D dB	VR mV	Max dev.	Max Unc <sup>E</sup> (k=2)
0	CW	X	0.00	0.00	1.00	0.00	131.9	± 3.3 %	± 4.7 %
		Y	0.00	0.00	1.00		129.6		
		Z	0.00	0.00	1.00		147.3		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	15.00	88.92	20.73	10.00	60.0	± 3.0 %	± 9.6 %
		Y	15.00	88.70	21.02		60.0		
		Z	15.00	89.60	21.48		60.0		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	15.00	91.86	21.06	6.99	80.0	± 1.8 %	± 9.6 %
		Y	15.00	88.75	19.72		80.0		
		Z	15.00	90.69	20.93		80.0		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	15.00	97.89	22.54	3.98	95.0	± 1.1 %	± 9.6 %
		Y	15.00	89.73	18.57		95.0		
		Z	15.00	93.74	21.00		95.0		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	15.00	108.68	26.15	2.22	120.0	± 1.2 %	± 9.6 %
		Y	15.00	88.67	16.52		120.0		
		Z	15.00	98.42	21.82		120.0		
10387-AAA	QPSK Waveform, 1 MHz	X	0.67	62.44	9.00	0.00	150.0	± 2.9 %	± 9.6 %
		Y	0.63	60.66	8.08		150.0		
		Z	0.73	62.39	9.58		150.0		
10388-AAA	QPSK Waveform, 10 MHz	X	2.46	70.59	17.21	0.00	150.0	± 1.1 %	± 9.6 %
		Y	2.14	67.51	15.27		150.0		
		Z	2.31	68.98	16.20		150.0		
10396-AAA	64-QAM Waveform, 100 kHz	X	3.07	71.88	19.57	3.01	150.0	± 0.7 %	± 9.6 %
		Y	3.07	70.05	18.59		150.0		
		Z	3.50	73.36	19.95		150.0		
10399-AAA	64-QAM Waveform, 40 MHz	X	3.62	68.17	16.45	0.00	150.0	± 2.0 %	± 9.6 %
		Y	3.47	66.95	15.64		150.0		
		Z	3.55	67.57	16.00		150.0		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	4.73	65.69	15.67	0.00	150.0	± 4.2 %	± 9.6 %
		Y	4.89	65.67	15.58		150.0		
		Z	4.89	65.92	15.67		150.0		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ V <sup>-1</sup>	T1 ms.V <sup>-2</sup>	T2 ms.V <sup>-1</sup>	T3 ms	T4 V <sup>-2</sup>	T5 V <sup>-1</sup>	T6
X	39.6	292.61	35.02	13.59	0.41	5.08	0.89	0.30	1.01
Y	47.3	364.45	37.46	17.78	0.76	5.10	0.00	0.63	1.01
Z	45.7	336.95	34.83	20.25	0.53	5.10	1.52	0.29	1.01

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	82.5
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
750	41.9	0.89	9.62	9.62	9.62	0.55	0.88	± 12.0 %
900	41.5	0.97	9.00	9.00	9.00	0.67	0.84	± 12.0 %
1750	40.1	1.37	7.67	7.67	7.67	0.31	0.85	± 12.0 %
1900	40.0	1.40	7.38	7.38	7.38	0.28	0.87	± 12.0 %
2300	39.5	1.67	7.12	7.12	7.12	0.38	0.86	± 12.0 %
2450	39.2	1.80	6.82	6.82	6.82	0.36	0.90	± 12.0 %
2600	39.0	1.96	6.58	6.58	6.58	0.43	0.90	± 12.0 %
5250	35.9	4.71	4.70	4.70	4.70	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.47	4.47	4.47	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.63	4.63	4.63	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:3772

### Calibration Parameter Determined in Body Tissue Simulating Media

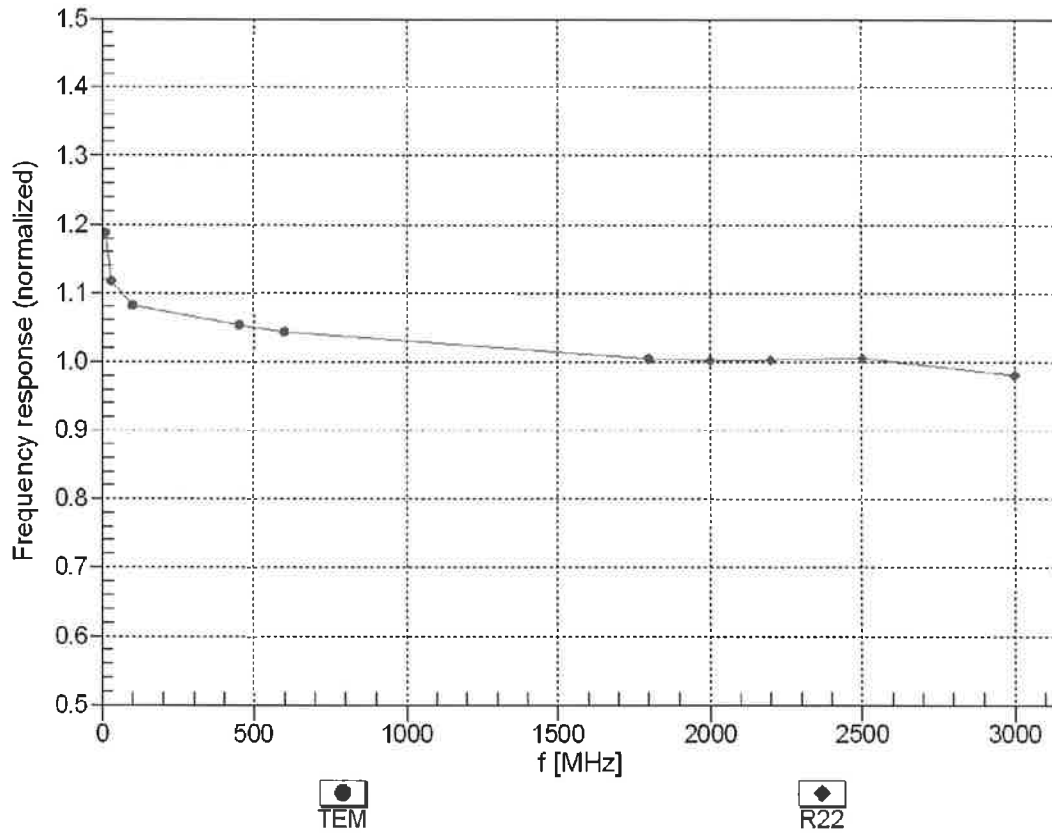
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth (mm) <sup>G</sup>	Unc (k=2)
750	55.5	0.96	9.28	9.28	9.28	0.47	0.84	± 12.0 %
900	55.0	1.05	8.90	8.90	8.90	0.37	1.02	± 12.0 %
1750	53.4	1.49	7.41	7.41	7.41	0.42	0.84	± 12.0 %
1900	53.3	1.52	7.12	7.12	7.12	0.40	0.87	± 12.0 %
2300	52.9	1.81	7.03	7.03	7.03	0.46	0.84	± 12.0 %
2450	52.7	1.95	6.86	6.86	6.86	0.43	0.85	± 12.0 %
2600	52.5	2.16	6.74	6.74	6.74	0.34	0.92	± 12.0 %
5250	48.9	5.36	4.15	4.15	4.15	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.74	3.74	3.74	0.50	1.90	± 13.1 %
5750	48.3	5.94	3.94	3.94	3.94	0.50	1.90	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

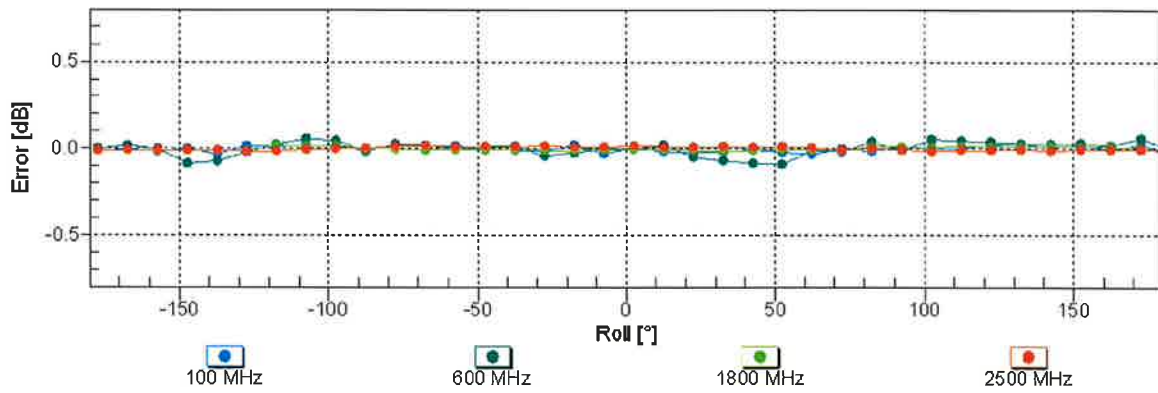
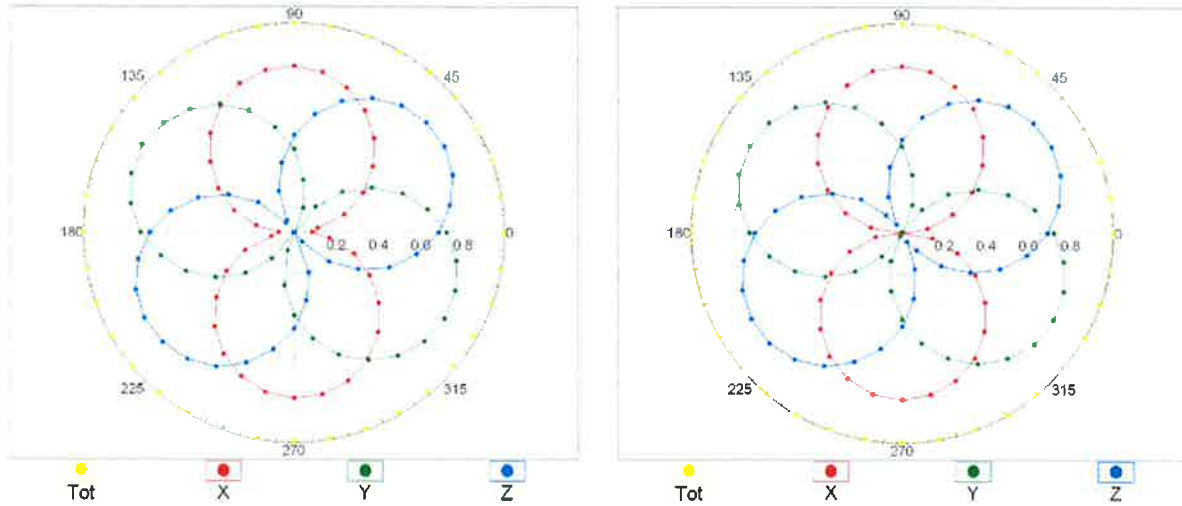


Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

## Receiving Pattern ( $\phi$ ), $\theta = 0^\circ$

f=600 MHz,TEM

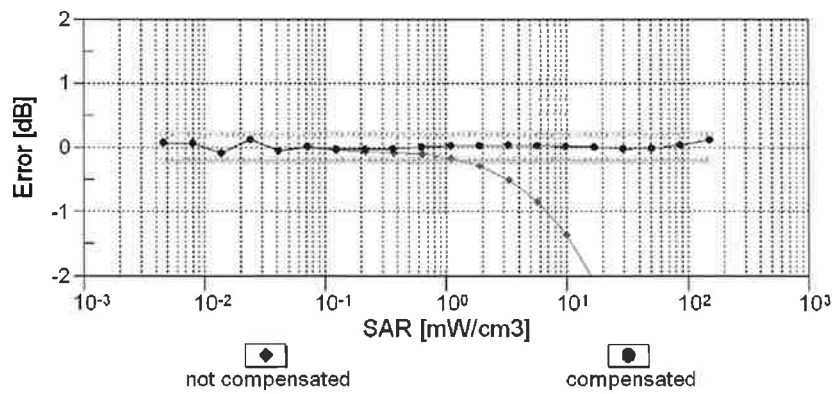
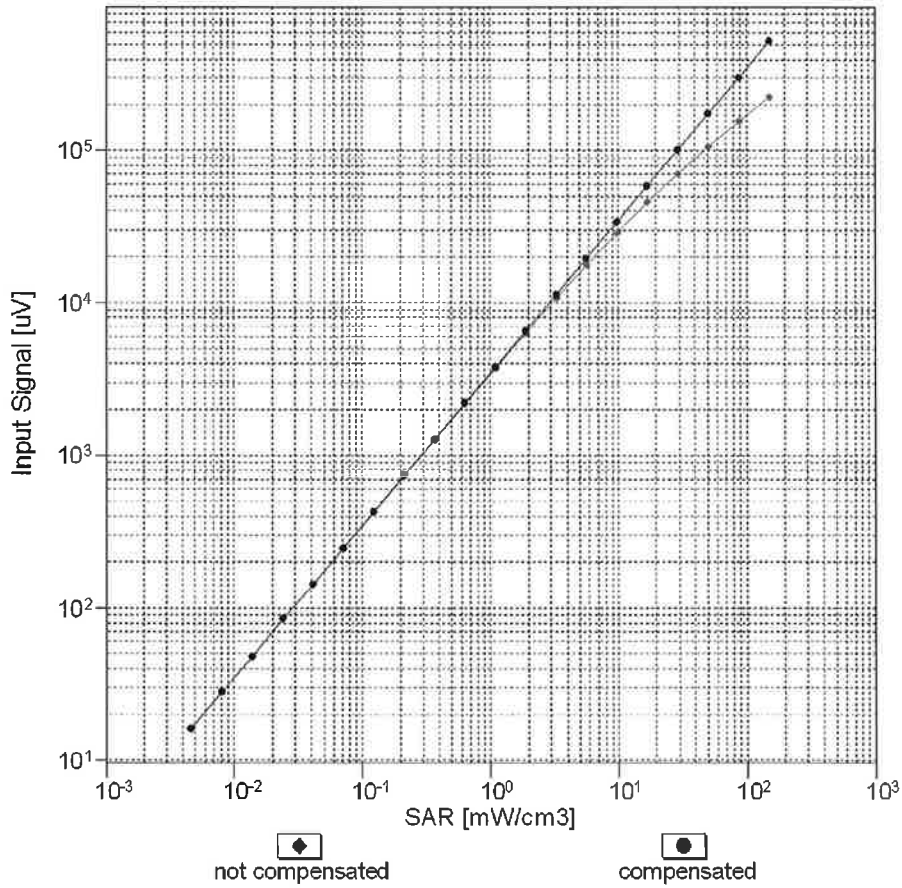
f=1800 MHz,R22



**Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)**

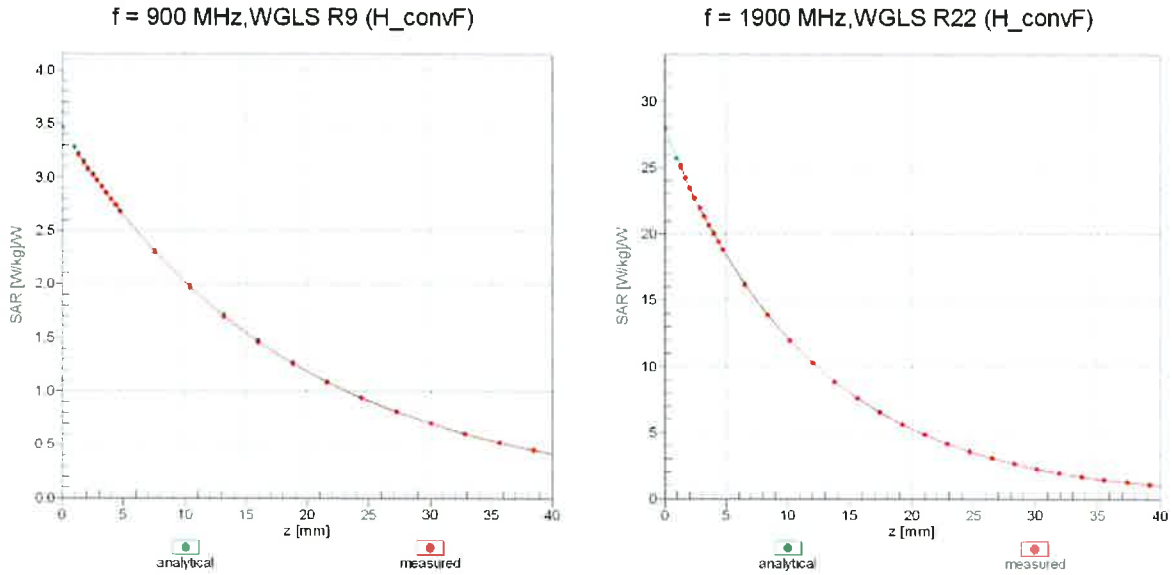
# Dynamic Range f(SAR<sub>head</sub>)

(TEM cell , f<sub>eval</sub>= 1900 MHz)

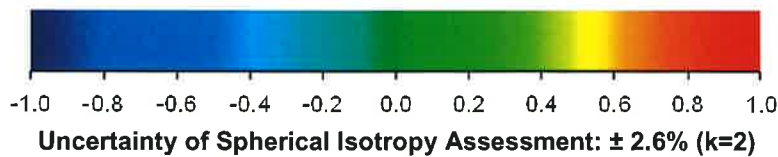
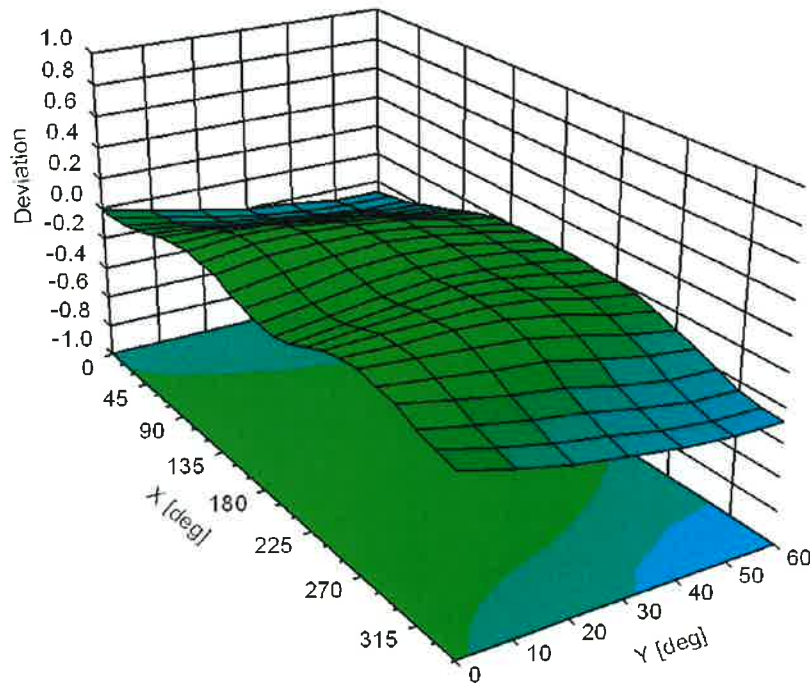


Uncertainty of Linearity Assessment: ± 0.6% (k=2)

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), f = 900 MHz





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The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **UL CCS USA**

Certificate No: **EX3-7463\_Jul18**

**CALIBRATION CERTIFICATE**

Object **EX3DV4 - SN:7463**

Calibration procedure(s) **QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5,  
QA CAL-25.v6  
Calibration procedure for dosimetric E-field probes**

Calibration date: **July 20, 2018**

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	04-Apr-18 (No. 217-02672/02673)	Apr-19
Power sensor NRP-Z91	SN: 103244	04-Apr-18 (No. 217-02672)	Apr-19
Power sensor NRP-Z91	SN: 103245	04-Apr-18 (No. 217-02673)	Apr-19
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-18 (No. 217-02682)	Apr-19
Reference Probe ES3DV2	SN: 3013	30-Dec-17 (No. ES3-3013_Dec17)	Dec-18
DAE4	SN: 660	21-Dec-17 (No. DAE4-660_Dec17)	Dec-18
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-17)	In house check: Oct-18

	Name	Function	Signature
Calibrated by:	Michael Weber	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: July 23, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.



Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: **SCS 0108**

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization $\varphi$	$\varphi$ rotation around probe axis
Polarization $\vartheta$	$\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).

# Probe EX3DV4

## SN:7463

Manufactured: September 6, 2016  
Calibrated: July 20, 2018

Calibrated for DASY/EASY Systems  
(Note: non-compatible with DASY2 system!)



## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7463

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.38	0.45	0.38	$\pm 10.1 \%$
DCP (mV) <sup>B</sup>	99.5	96.3	98.4	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	135.2	$\pm 3.3 \%$
		Y	0.0	0.0	1.0		125.2	
		Z	0.0	0.0	1.0		135.6	

Note: For details on UID parameters see Appendix.

### Sensor Model Parameters

	C1 fF	C2 fF	$\alpha$ $\text{V}^{-1}$	T1 $\text{ms}\cdot\text{V}^{-2}$	T2 $\text{ms}\cdot\text{V}^{-1}$	T3 ms	T4 $\text{V}^{-2}$	T5 $\text{V}^{-1}$	T6
X	43.76	325.2	35.85	9.050	0.564	5.028	1.684	0.198	1.009
Y	52.84	412.8	38.99	10.73	0.812	5.091	0.249	0.631	1.014
Z	42.86	318.5	35.51	8.639	0.477	5.039	1.548	0.203	1.008

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the  $E^2$ -field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7463

### Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
13	55.5	0.75	14.85	14.85	14.85	0.00	1.00	± 13.3 %
450	43.5	0.87	10.02	10.02	10.02	0.15	1.20	± 13.3 %
750	41.9	0.89	9.86	9.86	9.86	0.45	0.88	± 12.0 %
900	41.5	0.97	9.27	9.27	9.27	0.44	0.85	± 12.0 %
1450	40.5	1.20	8.55	8.55	8.55	0.37	0.80	± 12.0 %
1750	40.1	1.37	8.47	8.47	8.47	0.46	0.80	± 12.0 %
1900	40.0	1.40	7.94	7.94	7.94	0.42	0.86	± 12.0 %
2300	39.5	1.67	7.53	7.53	7.53	0.36	0.88	± 12.0 %
2450	39.2	1.80	7.22	7.22	7.22	0.35	0.95	± 12.0 %
2600	39.0	1.96	7.13	7.13	7.13	0.38	0.95	± 12.0 %
3500	37.9	2.91	6.85	6.85	6.85	0.30	1.20	± 13.1 %
3700	37.7	3.12	6.79	6.79	6.79	0.25	1.20	± 13.1 %
4950	36.3	4.40	5.72	5.72	5.72	0.40	1.80	± 13.1 %
5250	35.9	4.71	5.11	5.11	5.11	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.60	4.60	4.60	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.81	4.81	4.81	0.40	1.80	± 13.1 %

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

## DASY/EASY - Parameters of Probe: EX3DV4 - SN:7463

### Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unc (k=2)
450	56.7	0.94	10.41	10.41	10.41	0.10	1.20	± 13.3 %
750	55.5	0.96	9.49	9.49	9.49	0.45	0.84	± 12.0 %
900	55.0	1.05	9.22	9.22	9.22	0.41	0.87	± 12.0 %
1450	54.0	1.30	8.09	8.09	8.09	0.34	0.80	± 12.0 %
1750	53.4	1.49	7.85	7.85	7.85	0.41	0.84	± 12.0 %
1900	53.3	1.52	7.56	7.56	7.56	0.30	0.80	± 12.0 %
2300	52.9	1.81	7.25	7.25	7.25	0.44	0.88	± 12.0 %
2450	52.7	1.95	7.25	7.25	7.25	0.37	0.95	± 12.0 %
2600	52.5	2.16	7.04	7.04	7.04	0.25	0.99	± 12.0 %
3500	51.3	3.31	6.35	6.35	6.35	0.25	1.25	± 13.1 %
3700	51.0	3.55	6.20	6.20	6.20	0.28	1.20	± 13.1 %
4950	49.4	5.01	4.69	4.69	4.69	0.50	1.90	± 13.1 %
5250	48.9	5.36	4.57	4.57	4.57	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.90	3.90	3.90	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.17	4.17	4.17	0.50	1.90	± 13.1 %

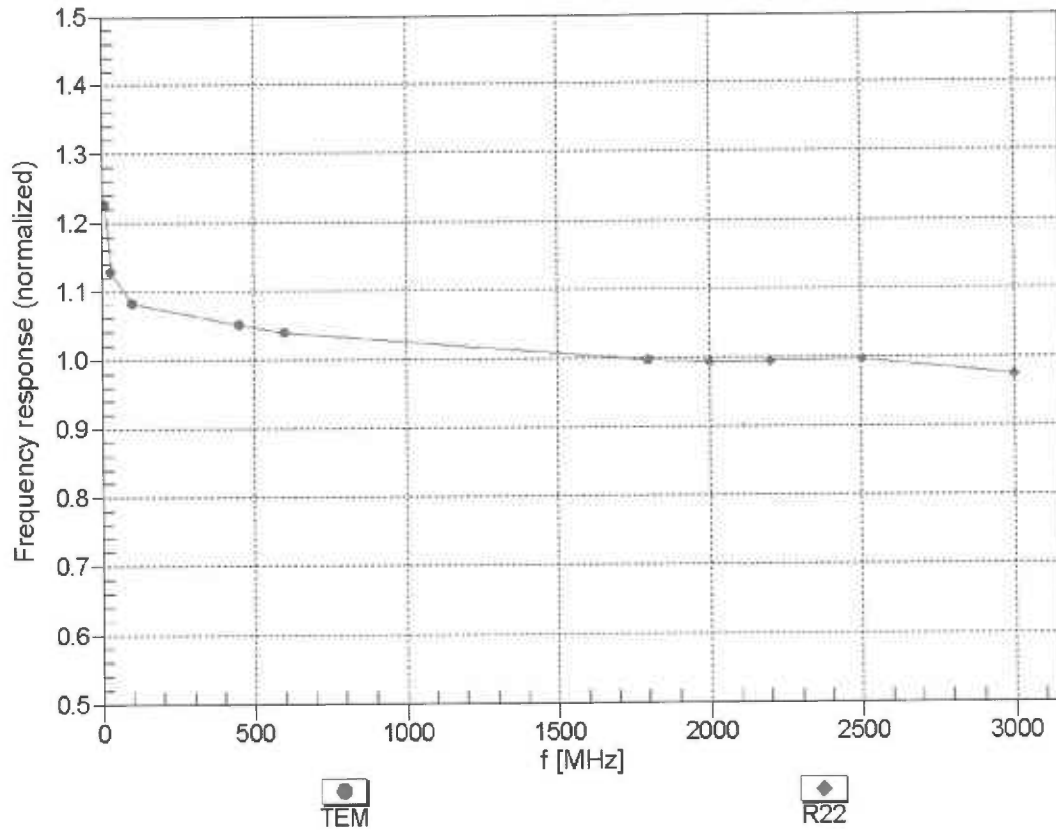
<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

# Frequency Response of E-Field

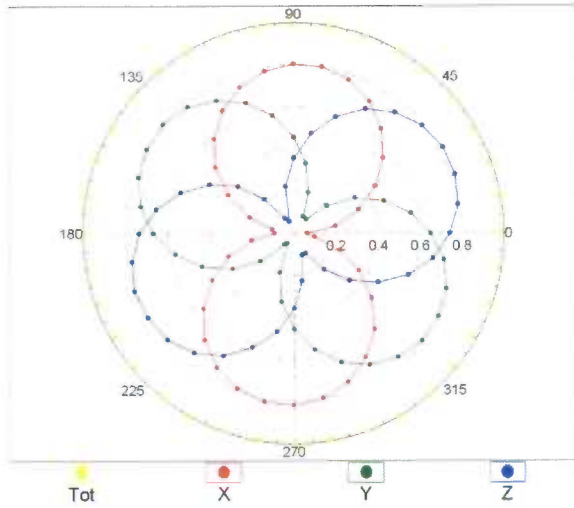
(TEM-Cell: ifi110 EXX, Waveguide: R22)



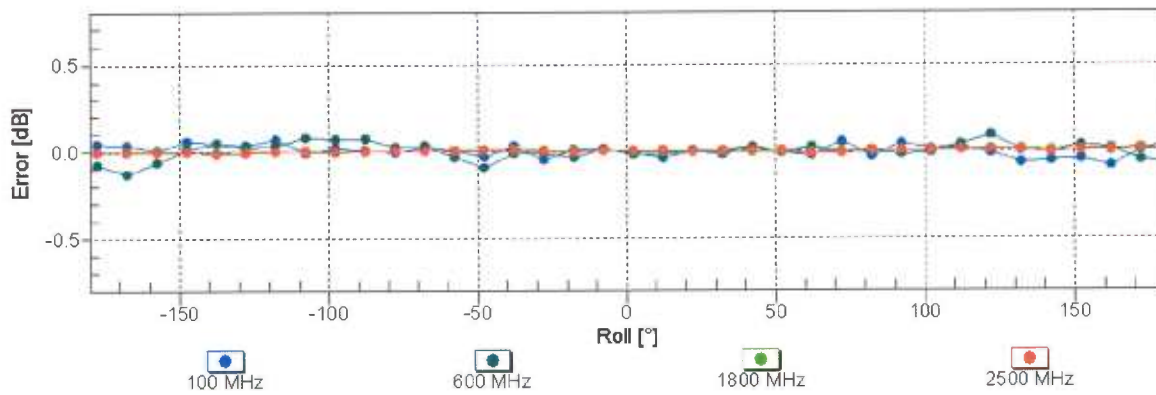
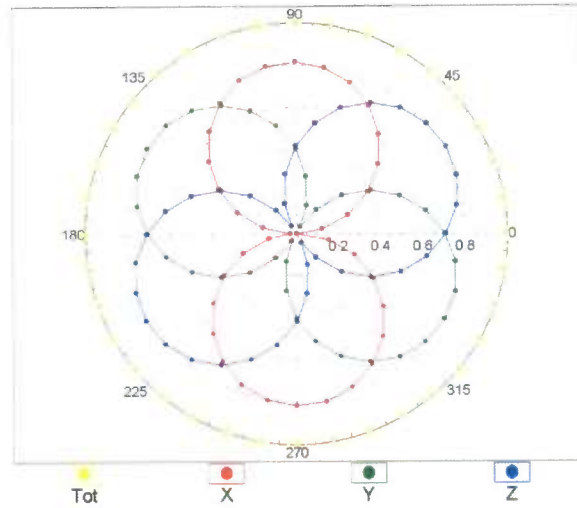
Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  (k=2)

# Receiving Pattern ( $\phi$ ), $\vartheta = 0^\circ$

f=600 MHz,TEM

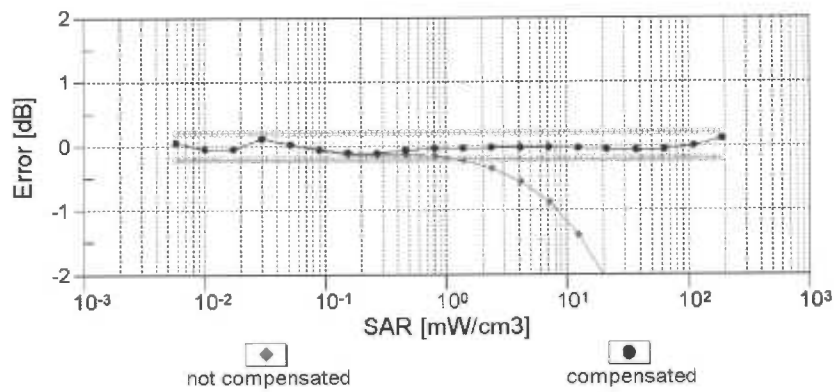
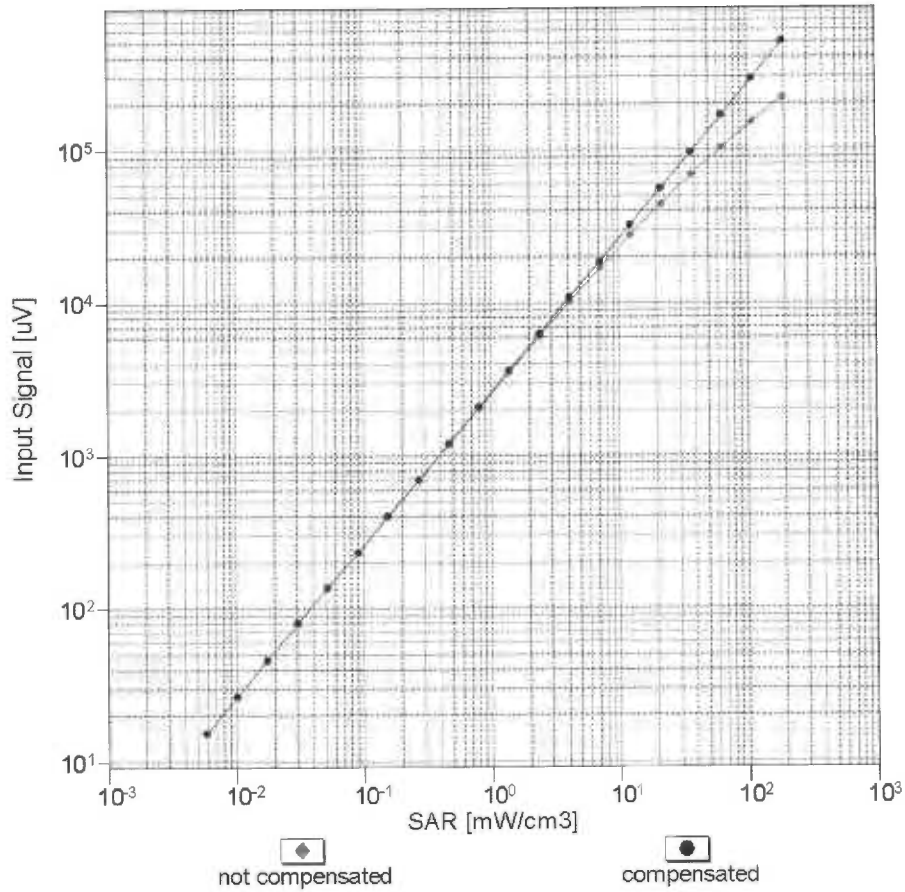


f=1800 MHz,R22



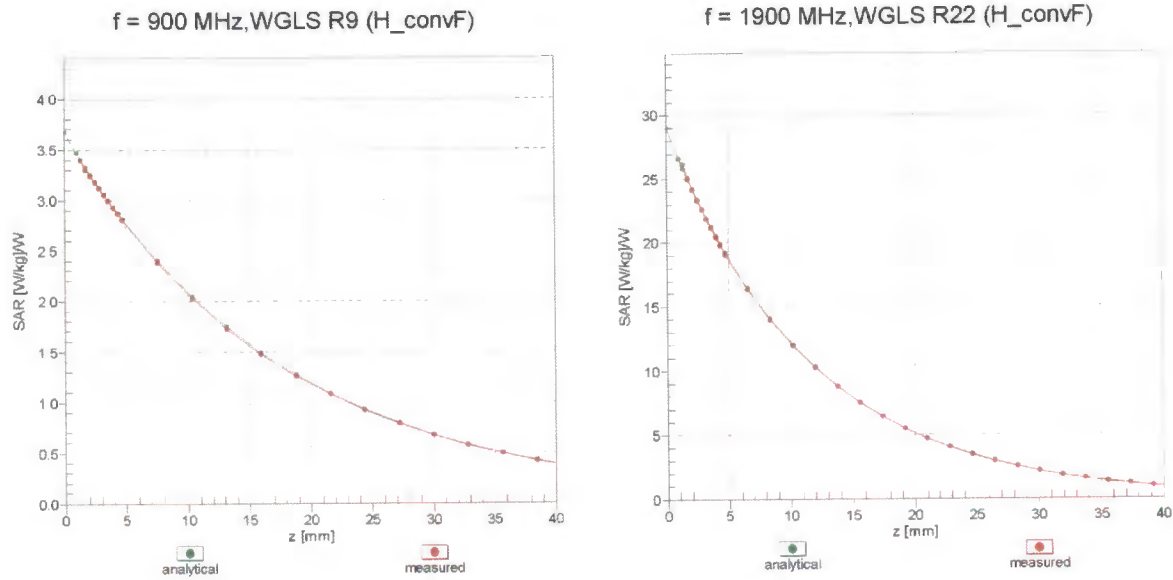
Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  (k=2)

## Dynamic Range $f(\text{SAR}_{\text{head}})$ (TEM cell , $f_{\text{eval}}= 1900 \text{ MHz}$ )

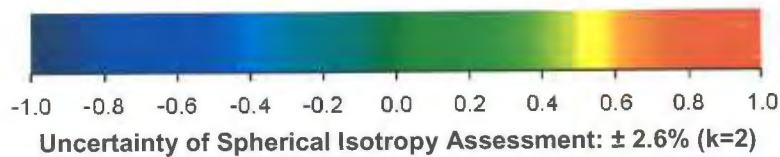
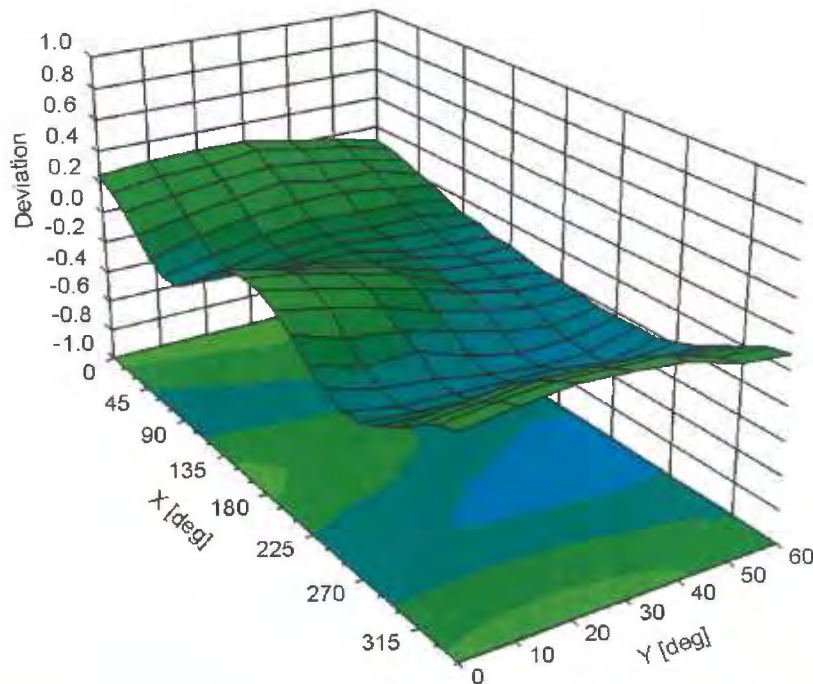


**Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )**

# Conversion Factor Assessment



## Deviation from Isotropy in Liquid Error ( $\phi, \theta$ ), f = 900 MHz



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### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-17.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm