

## **CERTIFICATION TEST REPORT**

**Report Number.**: 12204447-E14V3

Applicant: APPLE, INC.

1 APPLE PARK WAY

CUPERTINO, CA 95014, U.S.A.

**Model** : A2097

FCC ID : BCG-E3232A

**IC**: 579C-E3232A

**EUT Description**: SMARTPHONE

Test Standard(s): FCC PART 1 SUBPART I AND PART 2 SUBPART J

IC RSS-102 ISSUE 5

Date Of Issue: JULY 30, 2018

### Prepared by:

UL Verification Services Inc. 47173 Benicia Street Fremont, CA 94538, U.S.A. TEL: (510) 771-1000

FAX: (510) 661-0888



### **Revision History**

Rev.	Issue Date	Revisions	Revised By
V1	7/18/2018	Initial Review	Mengistu Mekuria
	7/27/2018	Address TCB's Question	Mengistu Mekuria
V3	7/30/2018	Address TCB's Question	Mengistu Mekuria

## **TABLE OF CONTENTS**

1.	AT.	TESTATION OF TEST RESULTS	4
2.	TE:	ST METHODOLOGY	5
		FERENCES	
		CILITIES AND ACCREDITATION	
		UIPMENT UNDER TEST	
Ę	5.1.	DESCRIPTION OF EUT	<i>6</i>
Ę	5.2.	WORST-CASE CONFIGURATION AND MODE	6
		AXIMUM PERMISSIBLE RF EXPOSURE	
6	5.1.	FCC RULES	ε
6	5.2.	IC RULES	g
		EQUATIONS	
6	6.4.	IC EXEMPTION	12
7	RF	EXPOSURE RESULTS	13

## 1. ATTESTATION OF TEST RESULTS

Applicant Name and Address	APPLE, INC. 1 APPLE PARK WAY CUPERTINO, CA 95014, U.S.A.
Model	A2097
FCC ID	BCG-E3232A
IC	579C-E3232A
EUT Description	SMARTPHONE
Serial Number	C39WP04UK95F
Applicable Standards	FCC PART 1 SUBPART I AND PART 2 SUBPART J
Applicable Staridards	IC RSS-102 ISSUE 5
Test Results	COMPLIES

UL Verification Services Inc. tested the above equipment in accordance with the requirements set forth in the above standards. All indications of Pass/Fail in this report are opinions expressed by UL Verification Services Inc. based on interpretations and/or observations of test results. Measurement Uncertainties were not taken into account and are published for informational purposes only. The test results show that the equipment tested is capable of demonstrating compliance with the requirements as documented in this report.

**Note:** The results documented in this report apply only to the tested sample, under the conditions and modes of operation as described herein. This document may not be altered or revised in any way unless done so by UL Verification Services Inc. and all revisions are duly noted in the revisions section. Any alteration of this document not carried out by UL Verification Services Inc. will constitute fraud and shall nullify the document. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST, any agency of the Federal Government, or any agency of any government (NIST Handbook 150, Annex A). This report is written to support regulatory compliance of the applicable standards stated above.

Approved & Released By:	Prepared By:
menyish mekensu.	Manyantine
Mengistu Mekuria	Lieu Nguyen
Lead Test Engineer	Laboratory Engineer
UL Verification Services Inc.	UL Verification Services Inc.

### 2. TEST METHODOLOGY

All calculations were made in accordance with FCC OET Bulletin 65 Edition 97-01 and IC Safety Code 6.

### 3. REFERENCES

All transmit characteristics used in this evaluations were documented in the UL Verification Services Inc. document 12204447-S1V1 (SAR report) and Antenna Gain exhibit

Output power and Duty cycle is excerpted from the SAR report. Antenna gain data is excerpted from Antenna Gain exhibit.

### 4. FACILITIES AND ACCREDITATION

The test sites and measurement facilities used to collect data are located at 47173 Benicia Street, Fremont, California, USA.

The above test sites and facilities are covered under FCC Test Firm Registration # 208313. UL Verification Services Inc. is accredited by NVLAP, Laboratory Code 200065-0. The full scope of accreditation can be viewed at NVLAP Lab Search.

### 5. EQUIPMENT UNDER TEST

### 5.1. DESCRIPTION OF EUT

The Apple iPhone, is a smartphone with multimedia functions (music, application support, and video), cellular GSM, GPRS, EGPRS, UMTS, LTE, TD-SCDMA, CDMA, IEEE 802.11a/b/g/n/ac, Bluetooth, GPS and NFC. All models support at least one UICC based SIM. The second SIM is either UICC based, electronic SIM (e-SIM), or second SIM is not present. The device has a built-in inductive charging receiver which is not user accessible. The rechargeable battery is not user accessible.

The MPE analysis performed in this report evaluates a unique mobile use case where the handset is placed on a Apple proprietary wireless charger. The cellular, WiFi and Bluetooth transmitters can be active while being charged. The power levels used and reported in this analysis are specific for this use condition only. Simultaneous transmission for these transmitters are analyzed herein without the wireless charger. The simultaneous transmission use condition and permitted configuration together with the charger will be addressed in the applicable wireless charger certification application

### 5.2. WORST-CASE CONFIGURATION AND MODE

The worst-case is when the EUT is transmitting on the highest power allowed for each of the transmit bands, as specified in the SAR reports

The table below is used to determine the worst-case co-located simultaneous transmission for evaluation.

Simultaneous Transmission	Technology	WLAN 2.4	BT 2.4	WLAN 5G
Technology	Output Power (dBm)	22.0	20.0	22.5
GSM 850 1 Slot	33.0	**	**	**
GSM 850 2 Slot	32.0	**	**	**
GSM 1900	31.5	**	**	**
WCDMA Band II	25.5	**	**	**
WCDMA Band IV	25.5	**	**	**
WCDMA Band V	25.5	**	**	**
CDMA/EVDO BCO	25.5	**	**	**
CDMA/EVDO BC1	25.5	**	**	**
CDMA/EVDO BC10	25.5	**	**	**
LTE Band 2	25.5	**	**	**
LTE Band 5	25.5	**	**	**
LTE Band 7	25.5	**	**	**
LTE Band 12	25.5	**	**	**
LTE Band 13	25.5	*	*	*
LTE Band 14	25.5	**	**	**
LTE Band 17	25.5	**	**	**
LTE Band 25	25.5	**	**	**
LTE Band 26	25.5	**	**	**
LTE Band 30	23.5	**	**	**
LTE Band 41	27.0	**	**	**
LTE Band 66	25.5	**	**	**

Note: \* Worst Case for the Co-located MPE Calculation based on the output powers.

The LTE Band 13 may have been used because it does provide the lowest margin of power density to the FCC limit.

<sup>\*\*</sup> Not the worst case

### 6. MAXIMUM PERMISSIBLE RF EXPOSURE

### 6.1. FCC RULES

§1.1310 The criteria listed in Table 1 shall be used to evaluate the environmental impact of human exposure to radio-frequency (RF) radiation as specified in §1.1307(b), except in the case of portable devices which shall be evaluated according to the provisions of §2.1093 of this chapter.

TABLE 1—LIMITS FOR MAXIMUM PERMISSIBLE EXPOSURE (MPE)

Frequency range (MHz)	Electric field strength (V/m)	Magnetic field strength (A/m)	Power density (mW/cm²)	Averaging time (minutes)					
(A) Limits for Occupational/Controlled Exposure									
0.3-3.0	614	1.63	*100	6					
3.0-30	1842/f	4.89/f	*900/f <sup>2</sup>	6					
30-300	61.4	0.163	1.0	6					
300-1,500			f/300	6					
1,500-100,000			5	6					
	(B) Limits for Gener	ral Population/Uncontrolled	Exposure						
0.3-1.34	614	1.63	*100	30					
1.34-30	824/f	2.19/f	*180/f <sup>2</sup>	30					
30-300	27.5	0.073	0.2	30					
300-1,500			f/1500	30					
1,500-100,000			1.0	30					

f = frequency in MHz

### Notes:

- (1) Occupational/controlled exposure limits apply in situations in which persons are exposed as a consequence of their employment provided those persons are fully aware of the potential for exposure and can exercise control over their exposure. Limits for occupational/controlled exposure also apply in situations when a person is transient through a location where occupational/controlled limits apply provided he or she is made aware of the potential for exposure.
- (2) General population/uncontrolled exposure limits apply in situations in which the general public may be exposed, or in which persons who are exposed as a consequence of their employment may not be fully aware of the potential for exposure or cannot exercise control over their exposure

<sup>\* =</sup> Plane-wave equivalent power density

### 6.2. IC RULES

IC Safety Code 6 (2015), Section 2.2.2: To ensure compliance with the basic restrictions outlined in Section 2.1, at frequencies between 10 MHz and 300 GHz, the reference levels for electric- and magnetic-field strength and power density must be complied with.

**TABLE 2:** Reference Levels for Electric Field Strength, Magnetic Field Strength and Power Density in Uncontrolled Environments

# Table 2: RF Field Strength Limits for Devices Used by the General Public (Uncontrolled Environment)

**Note:** f is frequency in MHz.

Frequency Range (MHz)	Electric Field (V/m rms)	Magnetic Field (A/m rms)	Power Density (W/m²)	Reference Period (minutes)
10-20	27.46	0.0728	-2	6
20-48	58.07/ f <sup>0.25</sup>	0.1540/ f <sup>0.25</sup>	8.944/ f <sup>0.5</sup>	6
48-300	22.06	0.05852	1.291	6
300-6000	3.142 f 0.3417	0.008335 f 0.3417	$0.02619 f$ $^{0.6834}$	6
6000-15000	61.4	0.163	10	6
15000-150000	61.4	0.163	10	616000/ f <sup>1.2</sup>
150000-300000	0.158 f 0.5	$4.21 \times 10^{-4} f^{0.5}$	6.67 x 10 <sup>-5</sup> <i>f</i>	$616000/f^{1.2}$

**TABLE 3:** Reference Levels for Electric Field Strength, Magnetic Field Strength and Power Density in Controlled Environments

## Table 3: RF Field Strength Limits for Controlled Use Devices (Controlled Environment)

**Note:** *f* is frequency in MHz.

Frequency Range (MHz)	Electric Field (V/m rms)	Magnetic Field (A/m rms)	Power Density (W/m²)	Reference Period (minutes)
10-20	61.4	0.163	-10	6
20-48	129.8/ f 0.25	0.3444/ f 0.25	44.72/ f 0.5	6
48-100	49.33	0.1309	6.455	6
100-6000	15.60 f 0.25	0.04138 f 0.25	0.6455f <sup>0.5</sup>	6
6000-15000	137	0.364	50	6
15000-150000	137	0.364	50	616000/f <sup>1.2</sup>
150000-300000	0.354 f <sup>0.5</sup>	9.40 x 10 <sup>-4</sup> f <sup>0.5</sup>	3.33 x 10 <sup>-4</sup> f	616000

### 6.3. EQUATIONS

### **POWER DENSITY**

Power density is given by:

 $S = EIRP / (4 * Pi * D^2)$ 

Where

S = Power density in mW/cm^2 EIRP = Equivalent Isotropic Radiated Power in mW D = Separation distance in cm

Power density in units of mW/cm<sup>2</sup> is converted to units of W/m<sup>2</sup> by multiplying by 10.

### **DISTANCE**

Distance is given by:

D = SQRT (EIRP / (4 \* Pi \* S))

Where

D = Separation distance in cm EIRP = Equivalent Isotropic Radiated Power in mW S = Power density in mW/cm<sup>2</sup>

### **SOURCE-BASED DUTY CYCLE**

Where applicable (for example, multi-slot cell phone applications) a duty cycle factor may be applied.

Source-based time-averaged EIRP = (DC / 100) \* EIRP

Where

DC = Duty Cycle in %, as applicable EIRP = Equivalent Isotropic Radiated Power in W

### MIMO AND CO-LOCATED TRANSMITTERS (Identical limits for Transmitters)

For multiple chain devices, and colocated transmitters operating simultaneously in frequency bands where the limit is identical, the total power density is calculated using the total EIRP obtained by summing the EIRP (in linear units) of each transmitter.

Total EIRP = (EIRP1) + (EIRP2) + ... + (EIRPn)

where

EIRPx = Source-based time-averaged EIRP of chain x or transmitter x

The total EIRP is then used to calculate the Power Density or the Distance as applicable.

### MIMO AND COLOCATED TRANSMITTERS (Different limits for Transmitter)

For multiple colocated transmitters operating simultaneously in frequency bands where different limits apply:

The Power Density at the specified separation distance is calculated for each transmitter chain or transmitter.

The fraction of the exposure limit is calculated for each chain or transmitter as (Power Density of chain or transmitter) / (Limit applicable to that chain or transmitter).

The fractions are summed.

Compliance is established if the sum of the fractions is less than or equal to one.

#### 6.4. IC EXEMPTION

### **INDUSTRY CANADA EXEMPTION**

RSS-102 Clause 2.5.2 RF exposure evaluation is required if the separation distance between the user and the device's radiating element is greater than 20 cm, except when the device operates as follows:

at or above 300 MHz and below 6 GHz and the source-based, time-averaged maximum e.i.r.p. of the device is equal to or less than 1.31 x 10-2 f0.6834 W (adjusted for tune-up tolerance), where f is in MHz;

### 7. RF EXPOSURE RESULTS

In the table(s) below, Power and Gain are entered in units of dBm and dBi respectively and conversions to linear forms are used for the calculations.

(Single chain transmitters, no co-location, 20 cm MPE distance)

These all use Mode A maximum power ratings (Mode B is when body detect power tables are enabled and device is being body-worn or hand-held)

	WWAN - worst case EIRP in each operating band across all supoprted cellular protocols								
Mode / Band	LTE 12	LTE 13	LTE 14	LTE 26	GSM 2 slot	LTE 66	LTE 2	LTE 30	LTE 7
Frequency (MHz)	698	776	788	814	824	1710	1850	2305	2500
Output power (dBm)	25.50	25.50	25.50	25.50	32.00	25.50	25.50	23.50	25.50
Gain (dBi)	-5.7	-4.2	-5	-5.5	-5.5	-4.2	-3.6	-1.6	-1.5
Duty Cycle	100%	100%	100%	100%	25%	100%	100%	100%	100%
EIRP (mW) (RF exposure)	95	135	112	100	112	135	155	155	251
Distance (cm)	20	20	20	20	20	20	20	20	20
Power density (mW/cm <sup>2</sup> )	0.019	0.027	0.022	0.020	0.022	0.027	0.031	0.031	0.050
FCC Limit (mW/cm <sup>2</sup> )	0.47	0.52	0.53	0.54	0.55	1.00	1.00	1.00	1.00
% of FCC limit	4.1%	5.2%	4.2%	3.7%	4.0%	2.7%	3.1%	3.1%	5.0%
Power density (W/m²)	0.19	0.27	0.22	0.20	0.22	0.27	0.31	0.31	0.50
Industry Canada Limit (W/m²)	2.30	2.47	2.50	2.55	2.58	4.24	4.48	5.20	5.50
% of ISED limit	8.3%	10.9%	8.9%	7.8%	8.6%	6.3%	6.9%	5.9%	9.1%

Based on the Power density calculations, all values meet the IC limits specified in section 6.4 and are exempt from routine RF exposure evaluation.

(MIMO and/or Co-located transmitters all with same Power Density limit, 20 cm MPE distance)

### Notes:

- 1) For MPE the new KDB 447498 requires the calculations to use the maximum rated power; that power should be declared by the manufacturer, and should not be lower than the measured power. If the power has a tolerance then we also need to check that the measured power is within the tolerance.
- 2) The manufacturer configures output power so that the maximum power, after accounting for manufacturing tolerances, will never exceed the maximum power level measured.
- 3) The output power in the tables above is the maximum power per chain among various channels and various modes within the specific band.
- 4) The antenna gain in the tables above is the maximum antenna gain among various channels within the specified band.

(MIMO and/or Co-located transmitters with different Power Density limits among bands)

For simultaneous conditions sum the % for the active tranmsitters, result must be less than 100%. For simultaneous conditions check total eirp does not exceed thresholds that might require routine evaluation (measurement)

Mode	Standalone SISO		Standalo	Standalone MIMO		Cellular On SISO		Cellular on MIMO	
Band	2.4G Wifi	5Ghz WiFi	2.4G Wifi	5Ghz WiFi	2.4G Wifi	5Ghz WiFi	2.4G Wifi	5Ghz WiFi	
Frequency (MHz)	2412	5180	2412	5180	2412	5180	2412	5180	
EIRP dBm	20.1	19.8	20.1	21.6	19	16.8	19	19.5	
Duty Cycle	100%	100%	100%	100%	100%	100%	100%	100%	
EIRP (mW) (RF exposure)	102	95	102	145	79	48	79	89	
Distance (cm)	20	20	20	20	20	20	20	20	
Power density (mW/cm <sup>2</sup> )	0.02	0.02	0.02	0.03	0.02	0.01	0.02	0.02	
FCC Limit (mW/cm <sup>2</sup> )	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	
% of FCC limit	2.0%	1.9%	2.0%	2.9%	1.6%	1.0%	1.6%	1.8%	
Power density (W/m²)	0.20	0.19	0.20	0.29	0.16	0.10	0.16	0.18	
Industry Canada Limit (W/m²)	5.37	9.05	5.37	9.05	5.37	9.05	5.37	9.05	
% of ISED limit	3.8%	2.1%	3.8%	3.2%	2.9%	1.1%	2.9%	2.0%	

	Bluetooth				
Mode	Alone	Cell or WiFi	Cell + Wifi		
Frequency (MHz)	2402	2402	2402		
Output power (dBm)	20	20	11		
Gain (dBi)	-4.1	-4.1	-2.8		
Duty Cycle	100%	100%	100%		
EIRP (mW) (RF exposure)	39	39	7		
Distance (cm)	20	20	20		
Power density (mW/cm²)	0.01	0.01	0.00		
FCC Limit (mW/cm <sup>2</sup> )	1.00	1.00	1.00		
% of FCC limit	0.8%	0.8%	0.1%		
Power density (W/m²)	0.08	0.08	0.01		
Industry Canada Limit (W/m²)	5.35	5.35	5.35		
% of ISED limit	1.4%	1.4%	0.2%		

Simultaneous conditions				
	Cellular	WiFi	Bluetooth	Total
WiFi + Cellular + Bluetooth	5.2%	1.8%	0.2%	7.2%
WiFi + Bluetooth	0.0%	2.9%	1.4%	4.3%
Cellular + Bluetooth	5.2%	0.0%	1.4%	6.6%

## **END OF REPORT**