## Calibration Laboratory of Schmid & Partner

Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



Schweizerischer Kalibrierdienst Service suisse d'étalonnage

Servizio svizzero di taratura

S Servizio svizzero di taratu Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client UL CCS USA

Certificate No: EX3-3901\_Jan15

S

С

## CALIBRATION CERTIFICATE

| Object                         | EX3DV4 - SN:39                 | 901   |                          |
|--------------------------------|--------------------------------|---|--------------------------|
| Calibration procedure(s)       | QA CAL-25.v6                   | QA CAL-12.v9, QA CAL-14.v4,<br>edure for dosimetric E-field prot                            | 1.4                      |
| Calibration date:              | January 27, 201                | 5   |                          |
|                                |                                | ional standards, which realize the physical<br>probability are given on the following pages |                          |
| All calibrations have been cor | nducted in the closed laborate | bry facility: environment temperature (22 ± 3   | 3)°C and humidity < 70%. |
| Calibration Equipment used (I  | M&TE critical for calibration) |   |                          |
| Primony Stondarda              |                                | Cal Data (Cartificata Na.)  | Scheduled Calibration    |

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Power sensor E4412A        | MY41498087      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 03-Apr-14 (No. 217-01915)         | Apr-15                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 03-Apr-14 (No. 217-01919)         | Apr-15                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 03-Apr-14 (No. 217-01920)         | Apr-15                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|  | Name                                   | Function                           | Signature                |
|--|--|------------------------------------|--------------------------|
| Calibrated by:                         | Claudio Leubler                        | Laboratory Technician              | VD                       |
|  |  |                                    | ma                       |
| Approved by:                           | Katja Pokovic                          | Technical Manager                  | for the                  |
|  |  |                                    | Issued: January 27, 2015 |
| This calibration certificate shall not | be reproduced except in full without w | ritten approval of the laboratory. |                          |

Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst

- C Service suisse d'étalonnage
- Servizio svizzero di taratura
- Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates Accreditation No.: SCS 0108

| Glossary:       |  |
|-----------------|--|
| TSL             | tissue simulating liquid   |
| NORMx,y,z       | sensitivity in free space  |
| ConvF           | sensitivity in TSL / NORMx,y,z   |
| DCP             | diode compression point  |
| CF              | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D      | modulation dependent linearization parameters  |
| Polarization φ  | φ rotation around probe axis   |
| Polarization 9  | 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), |
|                 | i.e., $\vartheta = 0$ is normal to probe axis  |
| Connector Angle | information used in DASY system to align probe sensor X to the robot coordinate system       |

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx, y, z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- *NORM(f)x,y,z* = *NORMx,y,z* \* *frequency\_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCPx,y,z*: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3901

Manufactured: Calibrated:

October 9, 2012 January 27, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.42     | 0.41     | 0.41     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 102.1    | 104.4    | 100.5    |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>E</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 149.7    | ±2.7 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 144.2    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 145.2    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 450                  | 43.5                                  | 0.87                               | 10.86   | 10.86   | 10.86   | 0.20               | 1.50                       | ± 13.3 %       |
| 750                  | 41.9                                  | 0.89                               | 10.08   | 10.08   | 10.08   | 0.32               | 1.00                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 9.59    | 9.59    | 9.59    | 0.33               | 0.98                       | ± 12.0 %       |
| 1450                 | 40.5                                  | 1.20                               | 8.53    | 8.53    | 8.53    | 0.17               | 1.44                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 8.19    | 8.19    | 8.19    | 0.77               | 0.56                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.91    | 7.91    | 7.91    | 0.63               | 0.63                       | ± 12.0 %       |
| 2300                 | 39.5                                  | 1.67                               | 7.48    | 7.48    | 7.48    | 0.43               | 0.74                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 7.14    | 7.14    | 7.14    | 0.43               | 0.76                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.97    | 6.97    | 6.97    | 0.38               | 0.84                       | ± 12.0 %       |
| 3500                 | 37.9                                  | 2.91                               | 6.78    | 6.78    | 6.78    | 0.27               | 1.34                       | ± 13.1 %       |
| 3700                 | 37.7                                  | 3.12                               | 6.20    | 6.20    | 6.20    | 0.21               | 1.93                       | ± 13.1 %       |
| 4950                 | 36.3                                  | 4.40                               | 5.27    | 5.27    | 5.27    | 0.35               | 1.80                       | ± 13.1 %       |
| 5250                 | 35.9                                  | 4.71                               | 4.76    | 4.76    | 4.76    | 0.35               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.27    | 4.27    | 4.27    | 0.40               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.47    | 4.47    | 4.47    | 0.40               | 1.80                       | ± 13.1 %       |

#### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>C</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the CorvF uncertainty for indicated target tissue parameters. <sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

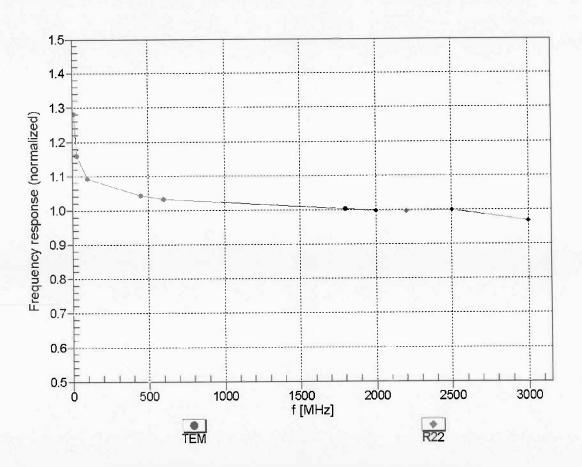
| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 450                  | 56.7                                  | 0.94                               | 11.57   | 11.57   | 11.57   | 0.10               | 1.20                       | ± 13.3 %       |
| 750                  | 55.5                                  | 0.96                               | 9.68    | 9.68    | 9.68    | 0.27               | 1.21                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 9.50    | 9.50    | 9.50    | 0.30               | 1.03                       | ± 12.0 %       |
| 1450                 | 54.0                                  | 1.30                               | 8.36    | 8.36    | 8.36    | 0.80               | 0.59                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.93    | 7.93    | 7.93    | 0.35               | 0.89                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.68    | 7.68    | 7.68    | 0.50               | 0.74                       | ± 12.0 %       |
| 2300                 | 52.9                                  | 1.81                               | 7.45    | 7.45    | 7.45    | 0.40               | 0.84                       | ± 12.0 9       |
| 2450                 | 52.7                                  | 1.95                               | 7.26    | 7.26    | 7.26    | 0.70               | 0.61                       | ± 12.0 9       |
| 2600                 | 52.5                                  | 2.16                               | 6.97    | 6.97    | 6.97    | 0.80               | 0.50                       | ± 12.0 9       |
| 3500                 | 51.3                                  | 3.31                               | 6.30    | 6.30    | 6.30    | 0.26               | 1.60                       | ± 13.1 9       |
| 3700                 | 51.0                                  | 3.55                               | 6.25    | 6.25    | 6.25    | 0.26               | 1.94                       | ± 13.1 9       |
| 4950                 | 49.4                                  | 5.01                               | 4.58    | 4.58    | 4.58    | 0.40               | 1.90                       | ± 13.1 °       |
| 5250                 | 48.9                                  | 5.36                               | 4.21    | 4.21    | 4.21    | 0.45               | 1.90                       | ± 13.1 °       |
| 5600                 | 48.5                                  | 5.77                               | 3.80    | 3.80    | 3.80    | 0.45               | 1.90                       | ± 13.1 °       |
| 5750                 | 48.3                                  | 5.94                               | 3.90    | 3.90    | 3.90    | 0.50               | 1.90                       | ± 13.1 °       |

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to

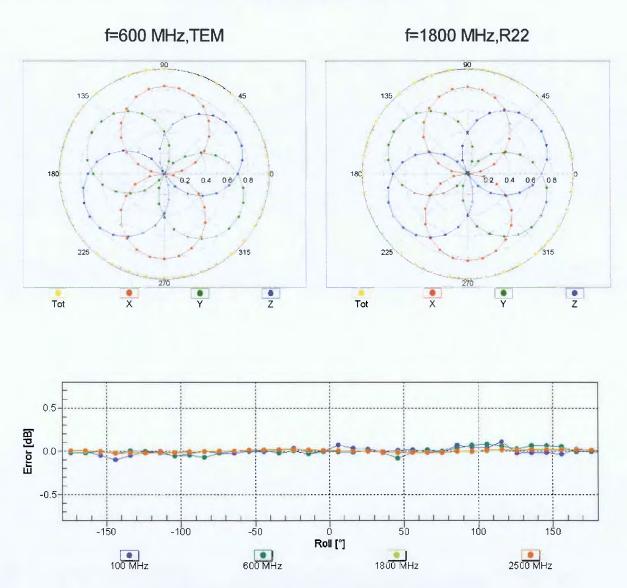
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



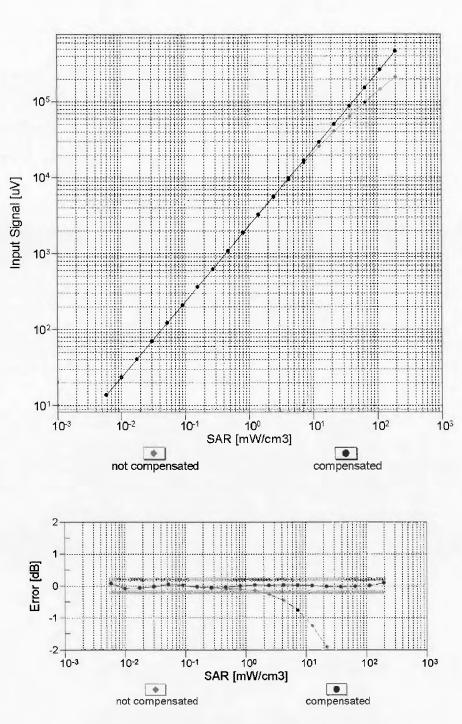
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



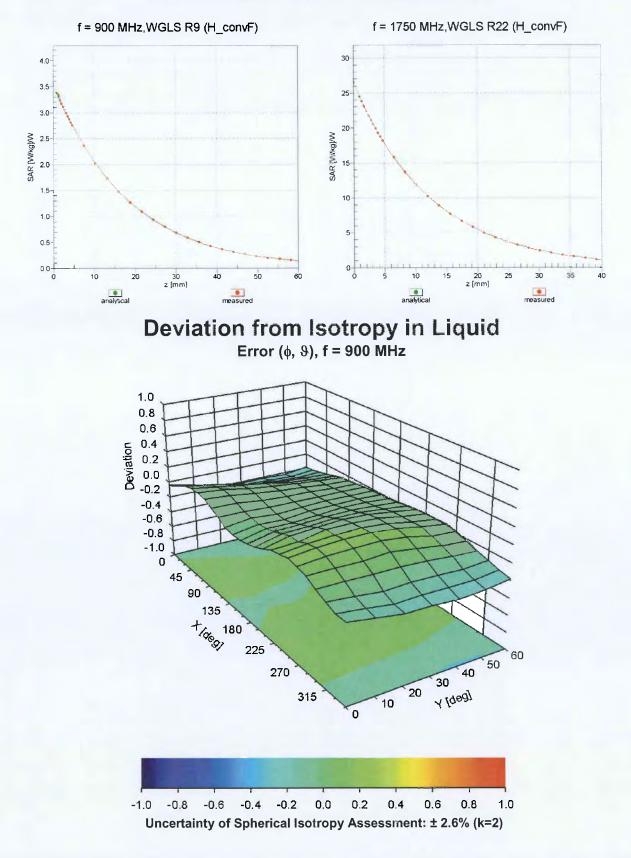
## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



### **Conversion Factor Assessment**

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | 5.8        |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





Schweizerischer Kalibrierdienst Service suisse d'étalonnage Servizio svizzero di taratura Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client UL CCS USA

Certificate No: EX3-3751\_Nov14

Accreditation No.: SCS 108

## CALIBRATION CERTIFICATE

| Object                         | EX3DV4 - SN:3751  |
|--------------------------------|---|
| Calibration procedure(s)       | QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes   |
| Calibration date:              | November 14, 2014   |
|                                | uments the traceability to national standards, which realize the physical units of measurements (SI).<br>ncertainties with confidence probability are given on the following pages and are part of the certificate. |
| All calibrations have been con | ducted in the closed laboratory facility: environment temperature $(22 \pm 3)^{\circ}$ C and humidity < 70%.  |

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Power sensor E4412A        | MY41498087      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 03-Apr-14 (No. 217-01915)         | Apr-15                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 03-Apr-14 (No. 217-01919)         | Apr-15                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 03-Apr-14 (No. 217-01920)         | Apr-15                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-13 (No. ES3-3013_Dec13)    | Dec-14                 |
| DAE4                       | SN: 660         | 13-Dec-13 (No. DAE4-660_Dec13)    | Dec-14                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|  | Name                                   | Function                           | Signature                 |
|--|--|------------------------------------|---------------------------|
| Calibrated by:                         | Leif Klysner                           | Laboratory Technician              | Seef Mly                  |
|  |  |                                    |                           |
| Approved by:                           | Katja Pokovic                          | Technical Manager                  | Kelly                     |
|  |  |                                    | Issued: November 14, 2014 |
| This calibration certificate shall not | be reproduced except in full without w | ritten approval of the laboratory. |                           |

#### **Calibration Laboratory of**

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





S

S

Schweizerischer Kalibrierdienst

C Service suisse d'étalonnage

Servizio svizzero di taratura

Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates Accreditation No.: SCS 108

| Glossary:       |  |
|-----------------|--|
| TSL             | tissue simulating liquid   |
| NORMx,y,z       | sensitivity in free space  |
| ConvF           | sensitivity in TSL / NORMx,y,z   |
| DCP             | diode compression point  |
| CF              | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D      | modulation dependent linearization parameters  |
| Polarization φ  | φ rotation around probe axis   |
| Polarization 9  | 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), |
|                 | i.e., $\vartheta = 0$ is normal to probe axis  |
| Connector Angle | information used in DASY system to align probe sensor X to the robot coordinate system       |

#### **Calibration is Performed According to the Following Standards:**

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx, y, z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- *DCPx,y,z:* DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx, y, z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3751

Manufactured: Calibrated: March 26, 2010 November 14, 2014

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |  |
|--------------------------|----------|----------|----------|-----------|--|
| Norm $(\mu V/(V/m)^2)^A$ | 0.51     | 0.53     | 0.52     | ± 10.1 %  |  |
| DCP (mV) <sup>B</sup>    | 100.6    | 96.8     | 96.6     |           |  |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | Α   | B    | С   | D    | VR    | Unc <sup>E</sup> |
|-----|---------------------------|---|-----|------|-----|------|-------|------------------|
|     |                           |   | dB  | dBõV |     | dB   | mV    | (k=2)            |
| 0   | CW                        | X | 0.0 | 0.0  | 1.0 | 0.00 | 144.3 | ±3.8 %           |
|     |                           | Y | 0.0 | 0.0  | 1.0 |      | 144.0 |                  |
|     |                           | Z | 0.0 | 0.0  | 1.0 |      | 138.4 |                  |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 9.31    | 9.31    | 9.31    | 0.39               | 0.89                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 8.73    | 8.73    | 8.73    | 0.76               | 0.62                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 7.41    | 7.41    | 7.41    | 0.59               | 0.71                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.14    | 7.14    | 7.14    | 0.76               | 0.59                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 6.61    | 6.61    | 6.61    | 0.80               | 0.59                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.37    | 6.37    | 6.37    | 0.50               | 0.78                       | ± 12.0 %       |
| 5250                 | 35.9                                  | 4.71                               | 4.89    | 4.89    | 4.89    | 0.30               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.42    | 4.42    | 4.42    | 0.35               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.40    | 4.40    | 4.40    | 0.40               | 1.80                       | ± 13.1 %       |

#### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

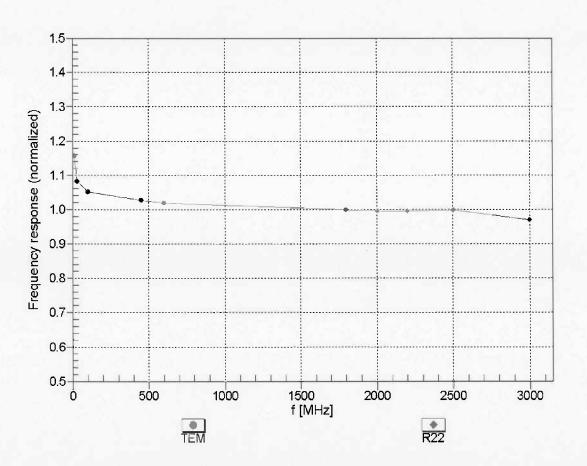
| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 8.68    | 8.68    | 8.68    | 0.37               | 0.94                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 8.47    | 8.47    | 8.47    | 0.59               | 0.77                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.24    | 7.24    | 7.24    | 0.34               | 0.93                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 6.90    | 6.90    | 6.90    | 0.36               | 0.87                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 6.47    | 6.47    | 6.47    | 0.76               | 0.57                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 6.28    | 6.28    | 6.28    | 0.80               | 0.57                       | ± 12.0 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.22    | 4.22    | 4.22    | 0.40               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 3.69    | 3.69    | 3.69    | 0.45               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 4.12    | 4.12    | 4.12    | 0.50               | 1.90                       | ± 13.1 %       |

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>C</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to  $\pm$  110 MHz.

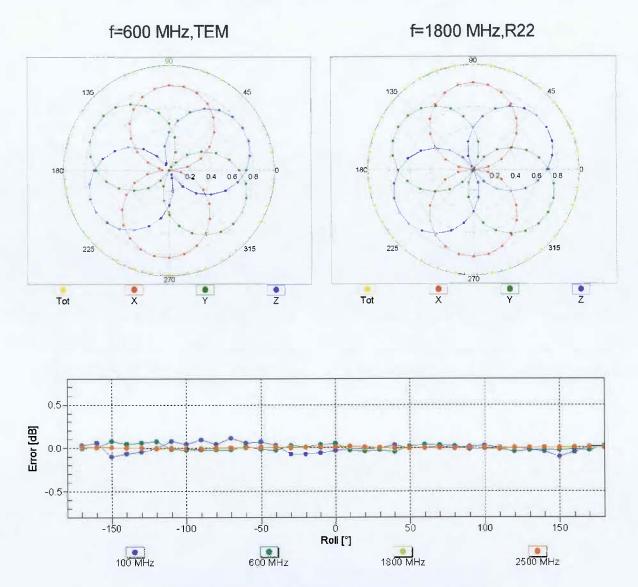
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

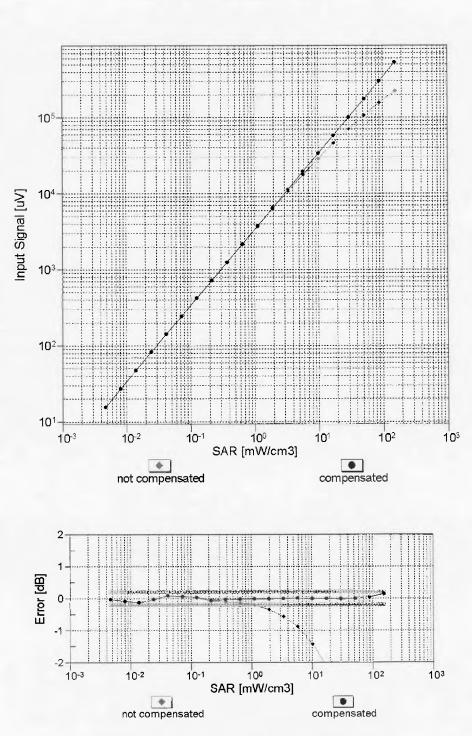
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

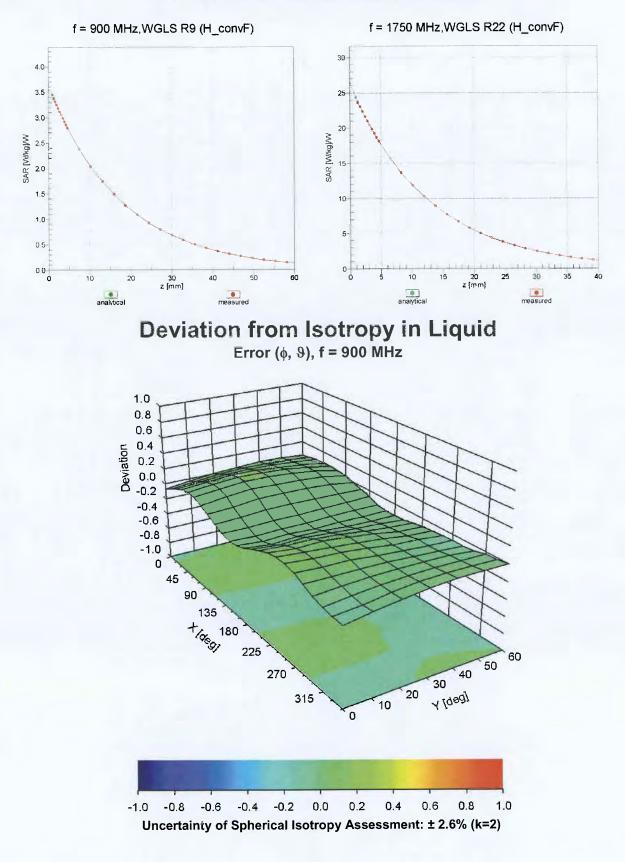
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

November 14, 2014



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



### **Conversion Factor Assessment**

#### **Other Probe Parameters**

| 9.8      |
|----------|
| enabled  |
| disabled |
| 337 mm   |
| 10 mm    |
| 9 mm     |
| 2.5 mm   |
| 1 mm     |
| 1 mm     |
| 1 mm     |
| 1.4 mm   |
|          |

#### **Calibration Laboratory of** Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland



Schweizerischer Kalibrierdienst

Service suisse d'étalonnage

In house check: Oct-14

Servizio svizzero di taratura

S Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

**UL CCS USA** Client

Certificate No: EX3-3885\_Sep14

Accreditation No.: SCS 108

S

С

## **CALIBRATION CERTIFICATE**

US37390585

|   | EX3DV4 - SN:38   | 85   |  |
|---|--|--|--|
| Calibration procedure(s)  |  | A CAL-14.v4, QA CAL-23.v5, QA<br>dure for dosimetric E-field probes  | CAL-25.v6  |
| Calibration date:   | September 15, 20   | 014  | e au Banna Merrire   |
|   | •  | onal standards, which realize the physical units   |  |
| The measurements and the unc  | renammes with confidence pr  | obability are given on the following pages and a   | are part of the certificate.   |
| O-liberting Environment and (M  |  |  |  |
|   | &TE critical for calibration)  | Cal Date (Certificate No.)   | Scheduled Calibration  |
| Primary Standards   | ID   | Cal Date (Certificate No.)   | Scheduled Calibration  |
| Primary Standards<br>Power meter E4419B   | ID<br>GB41293874   | 03-Apr-14 (No. 217-01911)  | Apr-15   |
| Primary Standards   | ID   |  |  |
| Primary Standards<br>Power meter E4419B<br>Power sensor E4412A  | ID<br>GB41293874<br>MY41498087   | 03-Apr-14 (No. 217-01911)<br>03-Apr-14 (No. 217-01911)   | Apr-15<br>Apr-15   |
| Primary Standards<br>Power meter E4419B<br>Power sensor E4412A<br>Reference 3 dB Attenuator   | ID<br>GB41293874<br>MY41498087<br>SN: S5054 (3c)   | 03-Apr-14 (No. 217-01911)<br>03-Apr-14 (No. 217-01911)<br>03-Apr-14 (No. 217-01915)  | Apr-15<br>Apr-15<br>Apr-15   |
| Primary Standards<br>Power meter E4419B<br>Power sensor E4412A<br>Reference 3 dB Attenuator<br>Reference 20 dB Attenuator   | ID<br>GB41293874<br>MY41498087<br>SN: S5054 (3c)<br>SN: S5277 (20x)                                | 03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01915)           03-Apr-14 (No. 217-01915)           03-Apr-14 (No. 217-01919)  | Apr-15           Apr-15           Apr-15           Apr-15           Apr-15           Apr-15                  |
| Primary Standards<br>Power meter E4419B<br>Power sensor E4412A<br>Reference 3 dB Attenuator<br>Reference 20 dB Attenuator<br>Reference 30 dB Attenuator                           | ID<br>GB41293874<br>MY41498087<br>SN: S5054 (3c)<br>SN: S5277 (20x)<br>SN: S5129 (30b)             | 03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01915)           03-Apr-14 (No. 217-01919)           03-Apr-14 (No. 217-01920)  | Apr-15           Apr-15           Apr-15           Apr-15           Apr-15           Apr-15           Apr-15 |
| Primary Standards<br>Power meter E4419B<br>Power sensor E4412A<br>Reference 3 dB Attenuator<br>Reference 20 dB Attenuator<br>Reference 30 dB Attenuator<br>Reference Probe ES3DV2 | ID<br>GB41293874<br>MY41498087<br>SN: S5054 (3c)<br>SN: S5277 (20x)<br>SN: S5129 (30b)<br>SN: 3013 | 03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01911)           03-Apr-14 (No. 217-01915)           03-Apr-14 (No. 217-01919)           03-Apr-14 (No. 217-01920)           30-Dec-13 (No. ES3-3013_Dec13) | Apr-15           Apr-15           Apr-15           Apr-15           Apr-15           Dec-14                  |

|                              | Name                                     | Function                                | Signature                          |
|------------------------------|--|---|------------------------------------|
| Calibrated by:               | Israe El-Naouq                           | Laboratory Technician                   | Meen Chilcourag                    |
| Approved by:                 | Katja Pokovic                            | Technical Manager                       | for the                            |
| This calibration certificate | e shall not be reproduced except in full | without written approval of the laborat | Issued: September 15, 2014<br>ory. |

18-Oct-01 (in house check Oct-13)

Network Analyzer HP 8753E

#### **Calibration Laboratory of**

Schmid & Partner **Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland



S

S

Schweizerischer Kalibrierdienst Service suisse d'étalonnage

С Servizio svizzero di taratura

Swiss Calibration Service

Accreditation No.: SCS 108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

| Glossary:           |  |
|---------------------|--|
| TSL                 | tissue simulating liquid   |
| NORMx,y,z           | sensitivity in free space  |
| ConvF               | sensitivity in TSL / NORMx,y,z   |
| DCP                 | diode compression point  |
| CF                  | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D          | modulation dependent linearization parameters  |
| Polarization $\phi$ | φ rotation around probe axis   |
| Polarization 9      | $\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\vartheta = 0$ is normal to probe axis |
| Connector Angle     | information used in DASY system to align probe sensor X to the robot coordinate system   |

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx, y, z: Assessed for E-field polarization  $\vartheta = 0$  (f  $\leq 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- $NORM(f)x, y, z = NORMx, y, z * frequency_response$  (see Frequency Response Chart). This linearization is . implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx, y, z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on . the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \le 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx, y, z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$ MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3885

Manufactured: Calibrated: April 30, 2012 September 15, 2014

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.42     | 0.41     | 0.28     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 101.7    | 98.1     | 102.2    |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>⊭</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 147.5    | ±3.5 %                    |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 145.5    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 152.9    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required. <sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>C</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 9.58    | 9.58    | 9.58    | 0.18               | 1.61                       | ± 12.0 %       |
| 835                  | 41.5                                  | 0.90                               | 9.26    | 9.26    | 9.26    | 0.16               | 1.65                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 9.07    | 9.07    | 9.07    | 0.22               | 1.16                       | ± 12.0 %       |
| 1640                 | 40.3                                  | 1.29                               | 7.96    | 7.96    | 7.96    | 0.48               | 0.69                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 7.89    | 7.89    | 7.89    | 0.76               | 0.58                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.68    | 7.68    | 7.68    | 0.61               | 0.62                       | ± 12.0 %       |
| 1950                 | 40.0                                  | 1.40                               | 7.42    | 7.42    | 7.42    | 0.64               | 0.62                       | ± 12.0 %       |
| 2000                 | 40.0                                  | 1.40                               | 7.69    | 7.69    | 7.69    | 0.43               | 0.75                       | ± 12.0 %       |
| 2300                 | 39.5                                  | 1.67                               | 7.35    | 7.35    | 7.35    | 0.48               | 0.66                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 7.06    | 7.06    | 7.06    | 0.23               | 1.12                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.86    | 6.86    | 6.86    | 0.33               | 0.87                       | ± 12.0 %       |
| 5200                 | 36.0                                  | 4.66                               | 4.95    | 4.95    | 4.95    | 0.35               | 1.80                       | ± 13.1 %       |
| 5300                 | 35.9                                  | 4.76                               | 4.76    | 4.76    | 4.76    | 0.35               | 1.80                       | ± 13.1 %       |
| 5500                 | 35.6                                  | 4.96                               | 4.73    | 4.73    | 4.73    | 0.35               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.50    | 4.50    | 4.50    | 0.35               | 1.80                       | ± 13.1 %       |
| 5800                 | 35.3                                  | 5.27                               | 4.48    | 4.48    | 4.48    | 0.40               | 1.80                       | ± 13.1 %       |

#### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>C</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

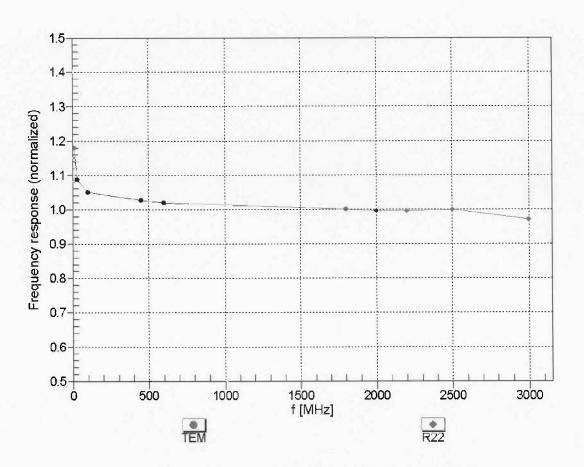
| f (MHz) <sup>c</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 9.29    | 9.29    | 9.29    | 0.20               | 1.46                       | ± 12.0 %       |
| 835                  | 55.2                                  | 0.97                               | 9.14    | 9.14    | 9.14    | 0.22               | 1.43                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 8.86    | 8.86    | 8.86    | 0.16               | 1.82                       | ± 12.0 %       |
| 1640                 | 53.8                                  | 1.40                               | 8.06    | 8.06    | 8.06    | 0.35               | 0.88                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.61    | 7.61    | 7.61    | 0.42               | 0.81                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.32    | 7.32    | 7.32    | 0.58               | 0.67                       | ± 12.0 %       |
| 1950                 | 53.3                                  | 1.52                               | 7.59    | 7.59    | 7.59    | 0.22               | 1.13                       | ± 12.0 %       |
| 2000                 | 53.3                                  | 1.52                               | 7.55    | 7.55    | 7.55    | 0.23               | 1.12                       | ± 12.0 %       |
| 2300                 | 52.9                                  | 1.81                               | 7.17    | 7.17    | 7.17    | 0.75               | 0.58                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 6.97    | 6.97    | 6.97    | 0.80               | 0.55                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 6.87    | 6.87    | 6.87    | 0.74               | 0.60                       | ± 12.0 9       |
| 5200                 | 49.0                                  | 5.30                               | 4.47    | 4.47    | 4.47    | 0.40               | 1.90                       | ± 13.1 %       |
| 5300                 | 48.9                                  | 5.42                               | 4.29    | 4.29    | 4.29    | 0.40               | 1.90                       | ± 13.1 9       |
| 5500                 | 48.6                                  | 5.65                               | 3.98    | 3.98    | 3.98    | 0.45               | 1.90                       | ± 13.1 9       |
| 5600                 | 48.5                                  | 5.77                               | 3.81    | 3.81    | 3.81    | 0.45               | 1.90                       | ± 13.1 9       |
| 5800                 | 48.2                                  | 6.00                               | 4.13    | 4.13    | 4.13    | 0.50               | 1.90                       | ± 13.1 9       |

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

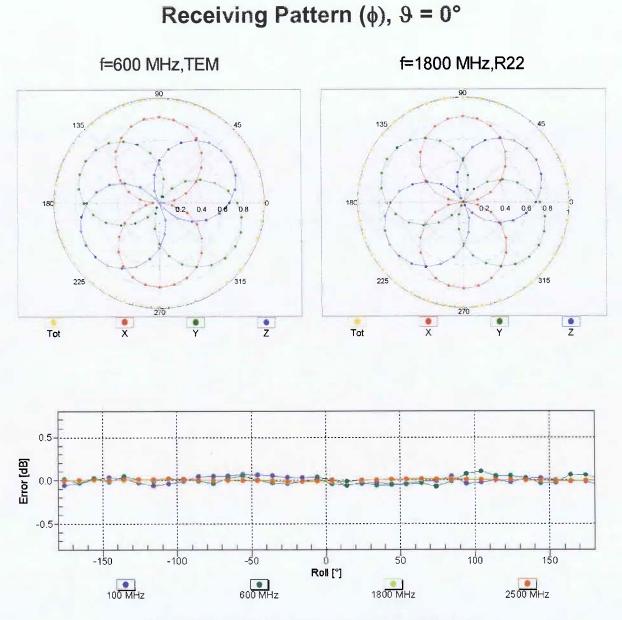
<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



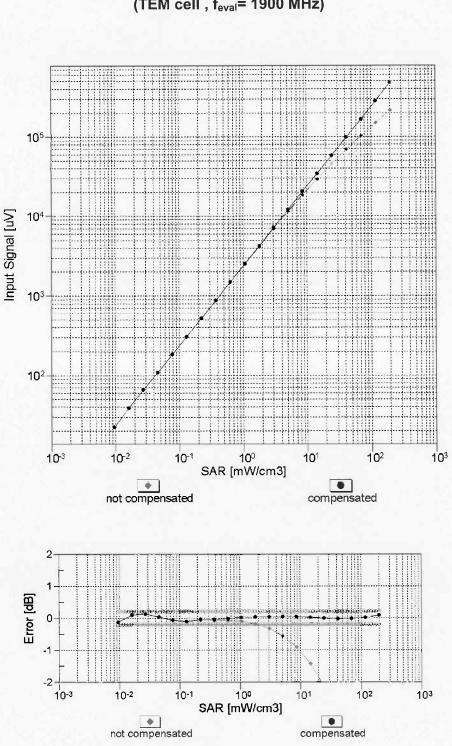
#### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



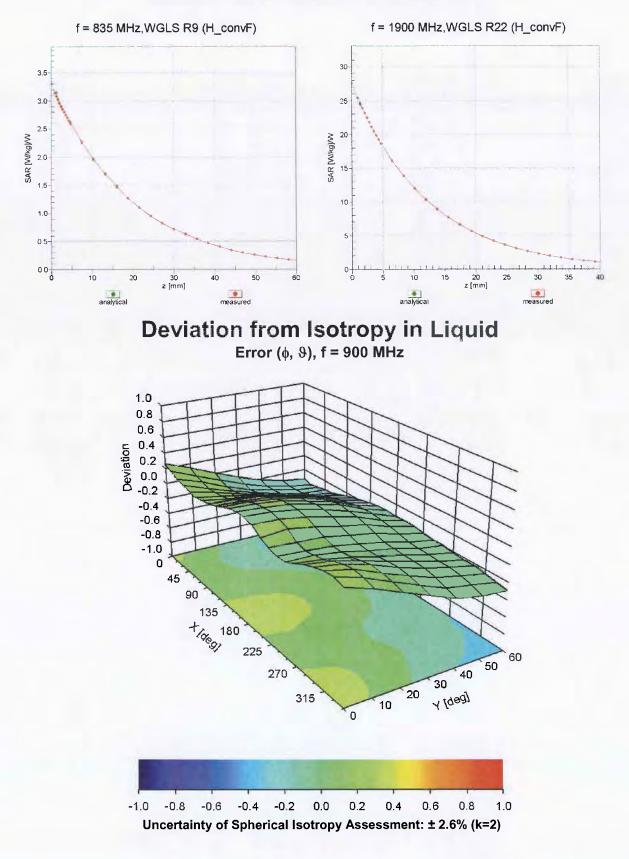
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

## Certificate No: EX3-3885\_Sep14



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



### **Conversion Factor Assessment**

#### **Other Probe Parameters**

| Sensor Arrangement                            | Triangular |
|---|------------|
| Connector Angle (°)                           | -65.8      |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 9 mm       |
| Tip Diameter                                  | 2.5 mm     |
| Probe Tip to Sensor X Calibration Point       | 1 mm       |
| Probe Tip to Sensor Y Calibration Point       | 1 mm       |
| Probe Tip to Sensor Z Calibration Point       | 1 mm       |
| Recommended Measurement Distance from Surface | 1.4 mm     |
|   |            |

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



Schweizerischer Kalibrierdienst

- C Service suisse d'étalonnage
  - Servizio svizzero di taratura
- Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

Client UL CCS USA

Certificate No: EX3-3772\_Feb15

S

## CALIBRATION CERTIFICATE

| Object                             | EX3DV4 - SN:3772   |
|------------------------------------|--|
| Calibration procedure(s)           | QA CAL-01.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6<br>Calibration procedure for dosimetric E-field probes  |
| Calibration date:                  | February 23, 2015  |
|                                    | nts the traceability to national standards, which realize the physical units of measurements (SI).<br>tainties with confidence probability are given on the following pages and are part of the certificate. |
| All calibrations have been conduct | ted in the closed laboratory facility: environment temperature ( $22 \pm 3$ )°C and humidity < 70%.  |

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | iD              | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|-----------------|-----------------------------------|------------------------|
| Power meter E4419B         | GB41293874      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Power sensor E4412A        | MY41498087      | 03-Apr-14 (No. 217-01911)         | Apr-15                 |
| Reference 3 dB Attenuator  | SN: S5054 (3c)  | 03-Apr-14 (No. 217-01915)         | Apr-15                 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 03-Apr-14 (No. 217-01919)         | Apr-15                 |
| Reference 30 dB Attenuator | SN: S5129 (30b) | 03-Apr-14 (No. 217-01920)         | Apr-15                 |
| Reference Probe ES3DV2     | SN: 3013        | 30-Dec-14 (No. ES3-3013_Dec14)    | Dec-15                 |
| DAE4                       | SN: 660         | 14-Jan-15 (No. DAE4-660_Jan15)    | Jan-16                 |
| Secondary Standards        | ID              | Check Date (in house)             | Scheduled Check        |
| RF generator HP 8648C      | US3642U01700    | 4-Aug-99 (in house check Apr-13)  | In house check: Apr-16 |
| Network Analyzer HP 8753E  | US37390585      | 18-Oct-01 (in house check Oct-14) | In house check: Oct-15 |

|                              | Name                                    | Function                                  | Signature                         |
|------------------------------|---|---|-----------------------------------|
| Calibrated by:               | Israe Elnaouq                           | Laboratory Technician                     | Atren Elaarg                      |
| Approved by:                 | Katja Pokovic                           | Technical Manager                         | belle                             |
| This calibration certificate | e shall not be reproduced except in ful | l without written approval of the laborat | Issued: February 23, 2015<br>ory. |

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst

C Service suisse d'étalonnage

Servizio svizzero di taratura

S Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

| Glossary:           |  |
|---------------------|--|
| TSL                 | tissue simulating liquid   |
| NORMx,y,z           | sensitivity in free space  |
| ConvF               | sensitivity in TSL / NORMx,y,z   |
| DCP                 | diode compression point  |
| CF                  | crest factor (1/duty_cycle) of the RF signal   |
| A, B, C, D          | modulation dependent linearization parameters  |
| Polarization $\phi$ | φ rotation around probe axis   |
| Polarization 9      | 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), |
|                     | i.e., $\vartheta = 0$ is normal to probe axis  |
| Connector Angle     | information used in DASY system to align probe sensor X to the robot coordinate system       |

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is
  implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
  in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3772

Manufactured: Calibrated: January 10, 2011 February 23, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

|                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------|----------|----------|----------|-----------|
| Norm $(\mu V/(V/m)^2)^A$ | 0.50     | 0.55     | 0.54     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>    | 98.7     | 99.9     | 102.2    |           |

#### **Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB√μV | С   | D<br>dB | VR<br>mV | Unc <sup>⊏</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 153.7    | ±2.7 %                    |
| _   |                           | Y | 0.0     | 0.0        | 1.0 |         | 151.6    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 144.1    | 1.201                     |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

| f (MHz) <sup>c</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 41.9                                  | 0.89                               | 8.92    | 8.92    | 8.92    | 0.23               | 1.34                       | ± 12.0 %       |
| 900                  | 41.5                                  | 0.97                               | 8.58    | 8.58    | 8.58    | 0.21               | 1.43                       | ± 12.0 %       |
| 1750                 | 40.1                                  | 1.37                               | 7.64    | 7.64    | 7.64    | 0.51               | 0.72                       | ± 12.0 %       |
| 1900                 | 40.0                                  | 1.40                               | 7.45    | 7.45    | 7.45    | 0.41               | 0.80                       | ± 12.0 %       |
| 2450                 | 39.2                                  | 1.80                               | 6.74    | 6.74    | 6.74    | 0.42               | 0.79                       | ± 12.0 %       |
| 2600                 | 39.0                                  | 1.96                               | 6.44    | 6.44    | 6.44    | 0.32               | 0.92                       | ± 12.0 %       |
| 5250                 | 35.9                                  | 4.71                               | 4.82    | 4.82    | 4.82    | 0.35               | 1.80                       | ± 13.1 %       |
| 5600                 | 35.5                                  | 5.07                               | 4.24    | 4.24    | 4.24    | 0.40               | 1.80                       | ± 13.1 %       |
| 5750                 | 35.4                                  | 5.22                               | 4.55    | 4.55    | 4.55    | 0.45               | 1.80                       | ± 13.1 %       |

#### Calibration Parameter Determined in Head Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

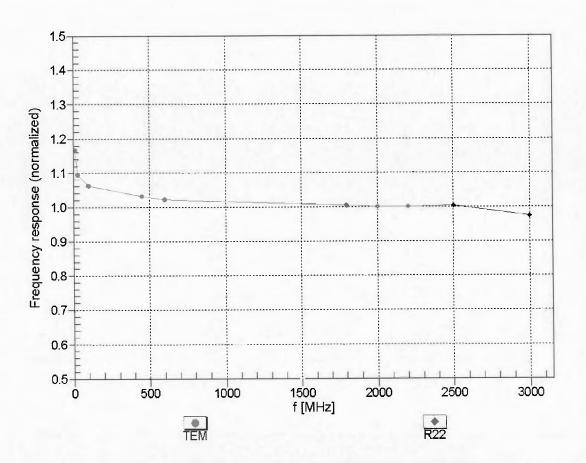
| f (MHz) <sup>c</sup> | Relative<br>Permittivity <sup>F</sup> | Conductivity<br>(S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup><br>(mm) | Unct.<br>(k=2) |
|----------------------|---------------------------------------|------------------------------------|---------|---------|---------|--------------------|----------------------------|----------------|
| 750                  | 55.5                                  | 0.96                               | 8.82    | 8.82    | 8.82    | 0.55               | 0.74                       | ± 12.0 %       |
| 900                  | 55.0                                  | 1.05                               | 8.46    | 8.46    | 8.46    | 0.35               | 0.97                       | ± 12.0 %       |
| 1750                 | 53.4                                  | 1.49                               | 7.21    | 7.21    | 7.21    | 0.58               | 0.69                       | ± 12.0 %       |
| 1900                 | 53.3                                  | 1.52                               | 7.03    | 7.03    | 7.03    | 0.28               | 1.09                       | ± 12.0 %       |
| 2450                 | 52.7                                  | 1.95                               | 6.58    | 6.58    | 6.58    | 0.66               | 0.63                       | ± 12.0 %       |
| 2600                 | 52.5                                  | 2.16                               | 6.35    | 6.35    | 6.35    | 0.80               | 0.50                       | ± 12.0 %       |
| 5250                 | 48.9                                  | 5.36                               | 4.14    | 4.14    | 4.14    | 0.45               | 1.90                       | ± 13.1 %       |
| 5600                 | 48.5                                  | 5.77                               | 3.60    | 3.60    | 3.60    | 0.50               | 1.90                       | ± 13.1 %       |
| 5750                 | 48.3                                  | 5.94                               | 3.85    | 3.85    | 3.85    | 0.55               | 1.90                       | ± 13.1 %       |

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to ± 110 MHz.

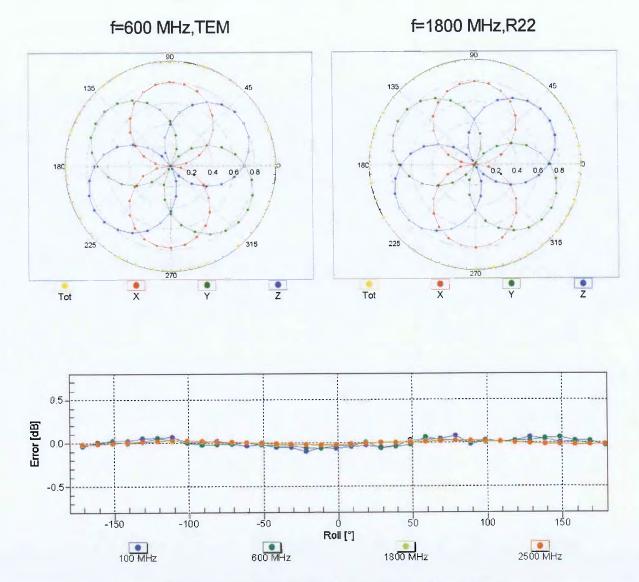
<sup>5</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\varepsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

the ConvF uncertainty for indicated target tissue parameters. <sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm$  1% for frequencies below 3 GHz and below  $\pm$  2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

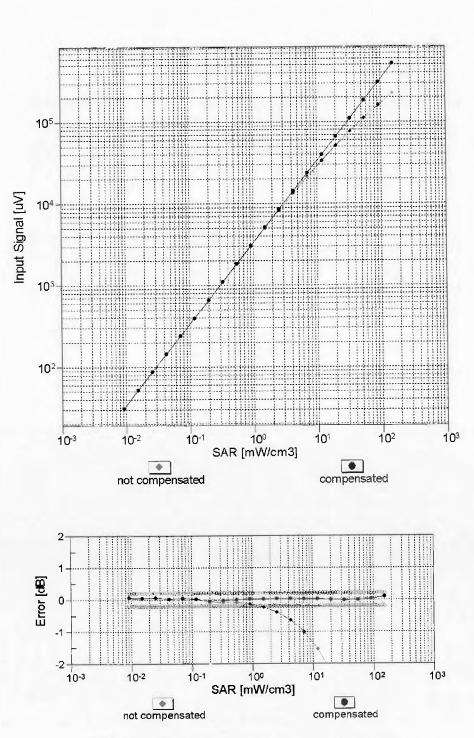
Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



## Receiving Pattern ( $\phi$ ), $\vartheta = 0^{\circ}$

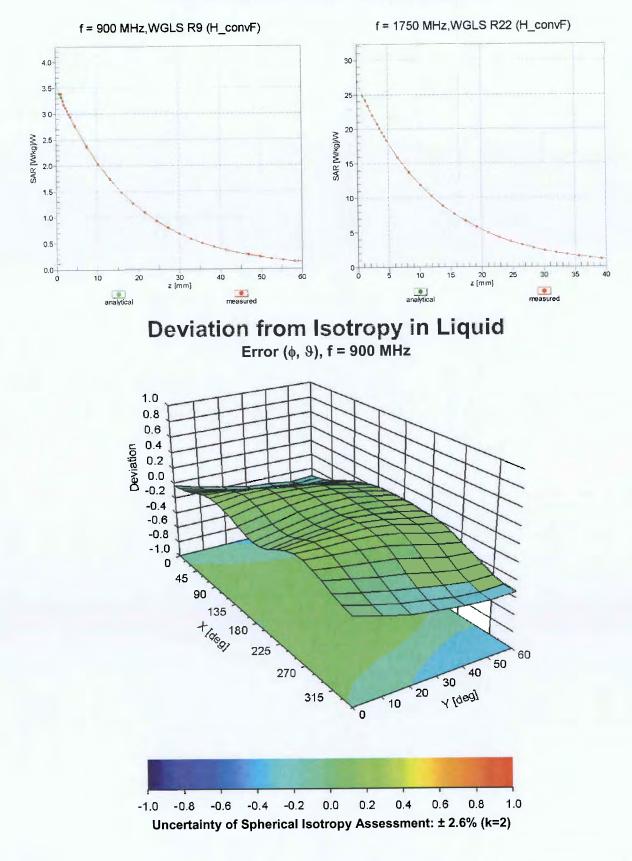
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

February 23, 2015



Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



## **Conversion Factor Assessment**

#### **Other Probe Parameters** Triangular Sensor Arrangement -101.3 Connector Angle (°) enabled Mechanical Surface Detection Mode disabled **Optical Surface Detection Mode** 337 mm Probe Overall Length 10 mm Probe Body Diameter 9 mm Tip Length 2.5 mm **Tip Diameter** 1 mm Probe Tip to Sensor X Calibration Point 1 mm Probe Tip to Sensor Y Calibration Point 1 mm Probe Tip to Sensor Z Calibration Point 1.4 mm Recommended Measurement Distance from Surface