

EXHIBIT 9: TEST REPORT

Applicant: Alcatel-Lucent USA, Inc.

Equipment: UMTS 9341 RRH 60W 1900MHz

FCC ID: AS5ONEBTS-22

Rule Part: Part 24, Subpart E – Broadband PCS

Frequency Range: 1930 - 1990 MHz

Power: 60 Watts Total Composite

Frequency Tolerance: ± 0.05 ppm Emission Designator: $\pm 4M10F9W$

Carrier Configurations: 1. 1S1C at 60W Power.

2. 1S2C Adjacent at 30W per Carrier

3. 1S2C 101 Configuration at 30W per Carrier

4. 1S3C Adjacent at 20W per Carrier

Michael P. Farina Alcatel-Lucent USA, Inc. 67 Whippany Road Whippany, NJ 07981

June 18, 2009

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: ASSONEBTS-22 TEST REPORT



Subject: Application for Class II Permissive Change Authorization under FCC ID: AS5ONEBTS-22, Covering the UMTS 9341 RRH 60W 1900MHz System Operating with 3 Adjacent Carriers and with 2 Carriers Separated by 1 Carrier Bandwidth. 67 Whippany Road Whippany, NJ 07981

Michael P. Farina Telephone: 973-386-4344 mpfarina@alcatel-lucent.com

June 18, 2009

TEST REPORT

INTRODUCTION:

The exhibits presented in this Test Report demonstrate that the Alcatel-Lucent *UMTS 9341 RRH 60W 1900 MHz System* (UMTS Distributed Base Station Transceiver System) remains in full compliance with all requirements of the Rules of the Commission as specified in the Code of Federal Regulations (CFR), Title 47 – Telecommunication; Part 24, Subpart E – Broadband PCS; Section 24.238 - Emission Limitations for Broadband PCS Equipment; effective October 1, 2008. All testing was performed in accordance with CFR 47, Part 2, Subpart J – Equipment Authorization Procedures; effective October 1, 2008. Compliance is also demonstrated with the spurious emissions limitations specified in ETSI TS 125 141 V7.4.0 (2006-06): Universal Mobile Telecommunications System (UMTS); Base Station Conformance Testing (FDD), (3GPP TS 25.141, Version 7.4.0, Release 7). This standard was the guideline used in the design of the Distributed Base Station transceiver system. The initial Grant authorized a single carrier (1S1C) at 60W (3-second average) and 2 adjacent carriers (1S2C) at 30W per carrier for a total 60W composite power. The objective of this application is to obtain FCC Class II Permissive Change authorization, under FCC ID: AS5ONEBTS-22, for operation covering the following carrier configurations:

- 1. 1S1C Single carrier at 60W power.
- 2. 1S2C Two adjacent carriers at 30W per carrier and 60W total composite power.
- 3. 1S2C Two carriers separated by one carrier bandwidth in a 101 configuration at 30W per carrier and 60W total composite power.
- 4. 1S3C Three adjacent carriers at 20W per carrier and 60W total composite power.

In accordance with Sec. 2.1043 *Changes In Certificated Equipment*, only the characteristics affected by the change need to be reported. As such, the applicable measurements affected are contained in the Test Report Exhibit, and all other Exhibits submitted with the initial filing that remain unchanged will not be repeated for brevity. All initial exhibits that were granted permanent confidentiality are unchanged and continue to remain confidential, and will not be repeated with this submission for brevity.

Alcatel-Lucent's wireless *UMTS 9341 RRH 60W 1900 MHz System* is designed to operate in the North America Region (NAR) Broadband PCS Frequency Spectrum 1930-1990 MHz, with bandwidth of 60 MHz. The Distributed Base Station (DBS) can be software configured for single carrier (1S1C) operation at 60 Watts (+47.8 dBm), for two carrier (1S2C) operation at 30 Watts (+44.8 dBm) per carrier and for three carrier (1S3C) operation at 20W (+43.0 dBm) with a total composite power of 60 Watts, as cited above. The RF power rating is based the 3-second average, employing the Aggregate Overload Control (AOC) algorithm. Enhanced Digital Pre-Distortion (EDPD) and Closed Loop Gain Control (CLGC) are features that are enabled for each carrier. The carrier power level and frequency are remotely controlled by software. The single UMTS carrier has a 5 MHz bandwidth, with an emission designator at 4M10F9W, based on measurement of the Necessary Bandwidth. UMTS modulation capability demonstrated includes 1) TM1-16 with up to 20 active channels, consisting of 16 voice + 4 control, 2) TM1-64 with up to 68 active channels, consisting of 64 voice + 4 control, and 3) TM5-44 with up to 44 active channels, which include 8 High Speed Downlink Packet Access (HSDPA) channels.

The *UMTS 9341 RRH 60W 1900 MHz System* is comprised of two separate modules interconnected by fiber optic cable: 1) the digital Base Band Unit (BBU), and 2) the RF Remote Radio Head (RRH). They have the flexibility of being installed either in close proximity to or remotely located from each other. The BBU has the capability of controlling up to 3 remotely located RRH units, via fiber optic cable, and incorporates the digital channel cards, reference oscillator module, T1/E1 and alarm interface, and the RF-to-Optical and Optical-to-RF conversion circuitry. The 1900 MHz RRH incorporates the Future Technology Radio (FTR1900), power amplifier (PA) and passive filter with single transmit (Tx) and diversity receive functionality (Rx0, Rx1). This system complies both with the Federal Communication Commission (FCC) Rules and Regulations (47 CFR Part 24), and with the European Telecommunications Standards Institute (ETSI) 3rd Generation Partnership Project (3GPP) Technical Specifications TS 25.104 and TS 25.141.

As a Transceiver System, all conducted RF characteristics and emissions measurements were performed at the transmit antenna terminal, using a production equipment frame. All testing was performed in the Alcatel-Lucent, Whippany, NJ, compliance laboratory by F. E. Chetwynd and M. P. Farina during the period April 24 - May 14, 2009; in adherence to a test plan generated by M. P. Farina, in accordance with Alcatel-Lucent's ISO/TL9000 Registration. All measurement instrumentation utilized were also calibrated in compliance with Alcatel-Lucent's ISO/TL9000 Registration. The Whippany 3 & 10 Meter Open Area Test Site (OATS) is authorized by the Federal Communications Commission (FCC) under Registration Number: 90770, in compliance with the requirements of Section 2.948 of the Rules of the Commission. Frequency stability measurements were not repeated, since there were no changes made to the frequency determining and stabilization circuitry.

This report fully documents all required tests and the test results, sufficient to show full compliance with the Rules of the Commission.

APPLICABLE FCC RULES AND INDUSTRY STANDARDS:

The exhibits presented in this test report demonstrate that Alcatel-Lucent's Broadband PCS Frequency *UMTS 9341 RRH 60W 1900 MHz System* continues to be in full compliance with all requirements of the Rules of the Commission, as specified in the Code of Federal Regulations (CFR), Title 47 – Telecommunication; Part 24, Subpart E – Broadband PCS; Section 24.238 - Emission Limitations for Broadband PCS Equipment; effective October 1, 2008. All testing was performed in accordance with CFR 47, Part 2, Subpart J – Equipment Authorization Procedures; effective October 1, 2008. It also demonstrates compliance with the spurious emission limitations specified in TSI TS 125 141 V7.4.0 (2006-06): Universal Mobile Telecommunications System (UMTS); Base Station Conformance Testing (FDD), (3GPP TS 25.141, Version 7.4.0, Release 7).

In accordance with Sec. 2.1043 *Changes In Certificated Equipment*, only the characteristics affected by the change need to be reported. As such, the applicable measurements affected are contained in this Test Report Exhibit, and all other Exhibits submitted with the initial filing that remain unchanged will not be repeated for brevity.

The specific test procedures that are both required for and are applicable to the UMTS Distributed Base Station Transceiver System are:

Part 2.1046	RF Power Output	Pages	4 - 5
Part 2.1047	Modulation Characteristics	Pages	6-8
Part 2.1049	Occupied Bandwidth - Single Carrier	Pages	9-19
Part 2.1049	Occupied Bandwidth - Two Carriers	Pages	20-26
Part 2.1049	Occupied Bandwidth - Three Carriers	Pages	20-26
Part 2.1051	Spurious Emissions at the Antenna Terminals.	Pages	27-40
Part 2.1053	Field Strength of Spurious Radiation	Pages	41
Part 2.1055	Frequency Stability - Not Repeated	Pages	42-43
Part 2.1057	Frequency Spectrum to be Investigated		
Part 24	Personal Communications Services; Subpart E – Bro	oadband	PCS
Part 24.238	Emission Limitations for Broadband PCS Equipmer	nt	

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

ETSI TS 125 141 V7.4.0 (2006-06): Universal Mobile Telecommunications System (UMTS); Base

Station (BS) Conformance Testing (FDD), (3GPP TS 25.141, Version 7.4.0, Release 7).

ETSI TS 125 104 V7.4.0 (2006-06): Universal Mobile Telecommunications System (UMTS); Base

Station (BS) Radio Transmission and Reception (FDD), (3GPP TS 25.104, Version 7.4.0,

Release 7).

ANSI C63.4-2003 American National Standard for Methods of Measurement of Radio-Noise Emissions

from Low-Voltage Electrical and Electronic in the Range of 9 kHz to 40 GHz;

January 30, 2004

PART 2.1046 MEASUREMENTS REQUIRED: RF POWER OUTPUT

The *UMTS 9341 RRH 40W 1900 MHz*, Distributed Base Station Transceiver System, subject of this application for Class II Permissive Change authorization, is designed to provide a maximum RF power level, per single 5 MHz carrier emission bandwidth, of 60 Watts (+47.8 dBm) at the Equipment Antenna Terminal (EAC). The RF power rating is based the 3-second average, employing the Aggregate Overload Control (AOC) algorithm. Enhanced Digital Pre-Distortion (EDPD) and Closed Loop Gain Control (CLGC) are features that are enabled for each carrier. This System is designed to operate in a 60 MHz bandwidth, over the Broadband PCS frequency spectrum: 1930-1990 MHz. This system is also designed to transmit the following carrier configurations at a total composite power at 60 Watts (+47.8 dBm):

- 1. 1S1C Single carrier at 60W power.
- 2. 1S2C Two adjacent carriers at 30W per carrier and 60W total composite power.
- 3. 1S2C Two carriers separated by one carrier bandwidth in a 101 configuration at 30W per carrier and 60W total composite power.
- 4. 1S3C Three adjacent carriers at 20W per carrier and 60W total composite power.

All conducted emission measurements are performed at the EAC, with measurements being made at the lowest and the highest settable carrier frequencies in Broadband PCS Blocks A, B and C and at the center frequency of Blocks D, E and F. These 9 carrier channels were used throughout this test procedure, as tabulated below. Each time the carrier is set to each of the channels, and to each of 2 ETSI Test Modulation schemes, the power level is adjusted, by software control, to +47.8 dBm (60 Watts at 3-second average) before performing each emission measurement.

PCS Frequency	PCS Frequency Block	UARFCN Channel	Carrier Center	Measured Power
		Number	Frequency	Level
A1	Lowest Settable Channel	12	1932.5 MHz	+47.8 dBm
A3	Highest Settable Channel	62	1942.5 MHz	+47.8 dBm
D	Block Center	87	1947.5 MHz	+47.8 dBm
B1	Lowest Settable Channel	112	1952.5 MHz	+47.8 dBm
В3	Highest Settable Channel	162	1962.5 MHz	+47.8 dBm
E	Block Center	187	1967.5 MHz	+47.8 dBm
F	Block Center	212	1972.5 MHz	+47.8 dBm
C3	Lowest Settable Channel	237	1977.5 MHz	+47.8 dBm
C5	Highest Settable Channel	287	1987.5 MHz	+47.8 dBm

Note: UARFCN = UTRA Absolute Radio Frequency Channel Number

Results: The 5 MHz *UMTS 9341 RRH 60W 1900 MHz* Transceiver System is compliant with the manufacturer's rated power level at the transmit antenna terminal for the above listed carrier frequencies.

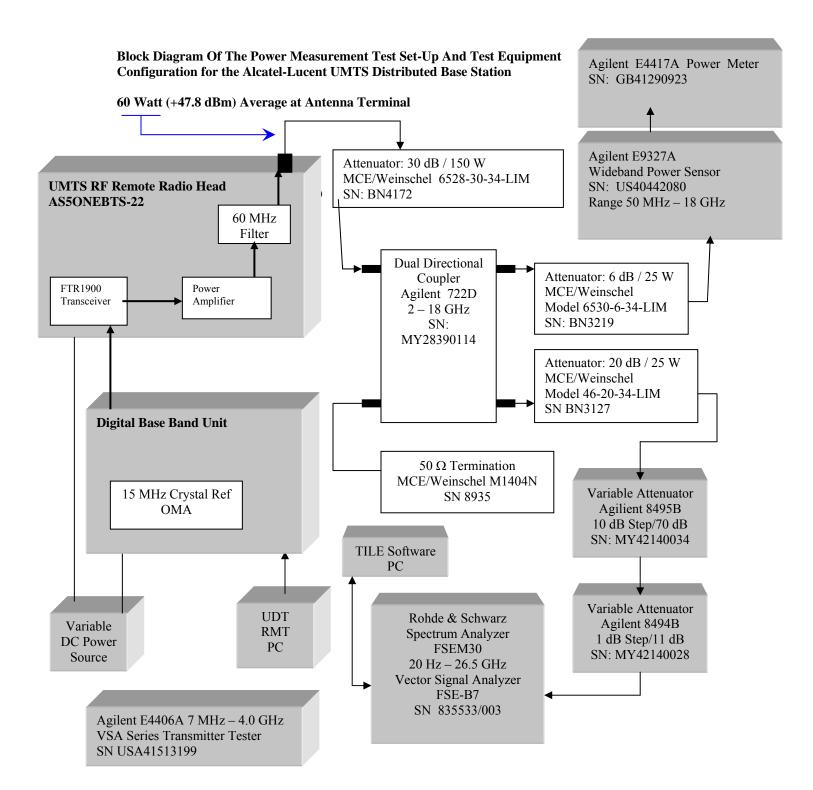


Exhibit 9
TEST REPORT

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

PART 2.1047 MEASUREMENTS REQUIRED: MODULATION CHARACTERISTICS

The modulation accuracy was measured at the Equipment Antenna Terminal (EAC) for the lowest settable, the midband and the highest settable carriers over the spectrum 1930-1990 MHz, as previously cited. In accordance with ETSI TS 25.141, the Error Vector Magnitude (EVM) was measured for a single modulation scheme:

Test Model 5-44 modulation with 44 active channels that include 8 HSDPA channels. TM5-44 with 44 active channels (16QAM) and the power level set to Pmax (+47.8 dBm). The Error Vector Magnitude limit is EVM < 12.5% for 16QAM.

TM5-44: with 44 Active Channels, Including 8 HSDPA (High Speed Downlink Packet Access) ETSI TS 25.141 Rel 7, Table 6.6A: Test Model 5 Active Channels

Type	Number of Channels	Fraction of Power (%)	
P-CCPCH+SCH	1	7.9	
Primary CPICH	1	7.9	
PICH	1	1.3	
S-CCPCH containing PCH (SF=256)	1	1.3	
DPCH (SF=128)	30	14	
HS-SCCH	2	4	
HS-PDSCH (16 QAM)	8	63.6	

Minimum Standard Requirement: The minimum standard requirement is that the RMS Error Vector Magnitude (EVM) average shall be less than 12.5% for TM5-44.

Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement, with exception that the FSEM30 Spectrum Analyzer is replaced by:

- 1) Agilent E4406A VSA Series Transmitter Tester, 7 MHz 4.0 GHz, SN US41513199
- 2) The VSA measurement set up was for Composite Modulation Accuracy with 10 sweeps for test.

TEST RESULTS:

RMS Error Vector Magnitude (EVM) Measurement Summary at the Antenna Terminal:

UMTS PCS Frequency Block	Broadband PCS Frequency Block	Power Level at Antenna Terminal	UMTS1900 Channel No.	UMTS 1900 Carrier Center Frequency MHz	RMS EVM TM5-44 <12.5 % Average	RMS EVM TM5-44 <12.5 % Peak Hold
A1	Lowest Settable	47.8 dBm	12	1932.5	6.66 %	8.14 %
В3	Mid Band	47.8 dBm	162	1962.5	6.48 %	7.97 %
C5	Highest Settable	47.8 dBm	287	1987.5	6.39 %	7.96 %

RESULTS: The *UMTS 9341 RRH 60W 1900 MHz* Transceiver System demonstrated full compliance with the modulation accuracy requirements specified in ETSI TS 25.141. All EVM measurements were less than the 12.5% RMS limitation, respectively, as tabulated above.

Lowest Settable Carrier - TM5-44 Modulation

TM5-44 Modulation Characteristics: UARFCN Channel Number 12 @ 1932.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier

BTS Ch Freq 1.93 Mod Accuracy	250 GHZ	Completed Src:In Averages: 10 PASS	<u> </u>
			Measur Single Cor
	Peak/Average		<u>omgio</u> coi
	Average	Peak Hold	_
Rho:	0.99555	0.99342	Paus
RMS EVM:	6.66 %	8.14 %	
Peak EVM:	98.08 %	142.15 %	
Pk CDE:	-43.94 dB	-41.28 dB at C8(0)	
Pk Active CDE:	-34.92 dB	-32.49 dB at C4(7)	
RMS Mag Error:	6.15 %	7.31 %	
RMS Phase Error:	4.22 °	6.34 °	
Freg Error:	-12.43 Hz	-22.27 Hz	
I/Q Origin Offset:	-56.49 dB	-46.37 dB	
Time Offset:			
CPICH Power:			
Total Power:			

Mid-Band Carrier - TM5-44 Modulation

TM5-44 Modulation Characteristics: UARFCN Channel Number 162 @ 1962.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier

BTS Ch Freq 1.96 Mod Accuracy	250 GHz	Completed Src:Input Averages: 10 PASS	Code Doma
	Book /Overes	o Motrico	Mod Accurac
	Peak/Average Average	Peak Hold	
Rho:	0.99578	0.99368	QPSK EV
RMS EVM:	6.48 %	7.97 %	
Peak EVM:	99.20 %	142.66 %	Power Sta
Pk CDE:	-44.18 dB	-41.43 dB at C8(0)	CCD
Pk Active CDE:	-35.16 dB	-33.43 dB at C4(7)	
RMS Mag Error:	6.09 %	7.22 %	Spectru
RMS Phase Error:	3.65 °	5.79 °	(Freq Domai
Freq Error:	-13.44 Hz	-22.03 Hz	Wavefor
I/Q Origin Offset:	-57.86 dB	-48.83 dB	wavетог (Time Domai
Time Offset:	953.58 chip	-17406.24 chip	
CPICH Power:	-11.00 dB	-10.82 dB	Mor
Total Power:	47.94 dBm	48.04 dBm	(2 of

Highest Settable Carrier - TM5-44 Modulation

TM5-44 Modulation Characteristics: UARFCN Channel Number 287 @ 1987.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier

BTS Ch Freq 1.98 Mod Accuracy	750 002	Completed Src:Inpu Averages: 10 PASS	t Code Doma
			Mod Accurac
	Peak/Average	Metrics	(Composite EV
	Average	Peak Hold	
Rho:	0.99590	0.99370	QPSK EV
RMS EVM:	6.39 %	7.96 %	
Peak EVM:	97.95 %	142.35 %	Power St
Pk CDE:	-44.32 dB	-41.19 dB at C8(0)	CCI
Pk Active CDE:	-35.29 dB	-33.48 dB at C4(12)	
RMS Mag Error:	6.00 %	7.16 %	Spectri
RMS Phase Error:	3.43 °	5.54 °	(Freq Doma
Freq Error:	-14.24 Hz	-22.34 Hz	Wavefo
I/Q Origin Offset:	-57.78 dB	-48.43 dB	(Time Doma
Time Offset:	-3503.19 chip	16821.06 chip	
CPICH Power:	-10.99 dB	-10.82 dB	Mo
Total Power:	47.87 dBm	47.95 dBm	(2 of

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

PART 2.1049 MEASUREMENTS REQUIRED: OCCUPIED BANDWIDTH - SINGLE CARRIER

The occupied bandwidth was measured at the Equipment Antenna Terminal (EAC) for each of the nine, UMTS 1900 MHz, 5 MHz carriers. The power level was set to 60 Watts (+47.8 dBm). Two ETSI Test Modulation schemes were utilized:

- 1) TM1-64 with up to 68 active channels, consisting of 64 Voice + 4 Control channels, for 9 carriers measured as previously cited, and
- 2) TM5-44 with up to 44 active channels, consisting of 30 Voice + 8 HSDPA + 6 control channels, where HSDPA = High Speed Downlink Packet Access. Since the test results were consistent from carrier to carrier between the two test modulations (TM), three carriers were sufficient for this modulation: the lowest settable, the mid-band and the highest settable.

The occupied bandwidth was measured by two methods:

- 1. The carrier 99% power bandwidth, which is also the necessary bandwidth, using an Agilent E4406A VSA Series Transmitter Tester (SN US41513199).
- 2. Emission mask limitation using a Rohde & Schwarz: Spectrum Analyzer FSEM30 (SN 835533/003), to demonstrate compliance with the ETSI TS 25.141 emission mask requirements and with Part 24.238.

Method 1: The carrier 99% power bandwidth was measured at the Equipment Antenna Terminal (EAC) with the carrier set to +47.8 dBm. For the initial filing, measurements were made for 9 carriers at TM1-64 modulation and for 3 carriers at TM5-44 modulation. Since this characteristic was not affected by this Class II Permissive Change, only the 3 carriers at TM5-44 were repeated to demonstrate continued compliance. The necessary bandwidth measurement results displayed below confirm that the 4M10F9W emission designator remains valid.

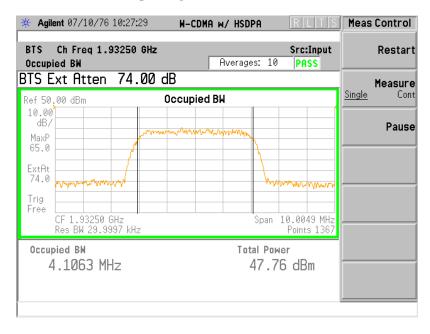
99% POWER BANDWIDTH

UMTS PCS Carrier	Broadband PCS Frequency Block	Power Level at Antenna Terminal	UMTS1900 Channel No.	UMTS 1900 Carrier Center Frequency MHz	99% Bandwidth TM5-44
A1	Lowest Settable	+47.8 dBm	12	1932.5	4.1063 MHz
В3	Mid-Band	+47.8 dBm	162	1962.5	4.1034 MHz
C5	Highest Settable	+47.8 dBm	287	1987.5	4.1005 MHz

Results: For each of the above UMTS 1900 MHz channels, , the carrier does not exceed 5.0 MHz. The necessary bandwidth and emission designator is consistently **4M10F9W**. The data plots for the above tabulated carriers A1, B3 and C5 are attached for the TM5-44 modulation scheme.

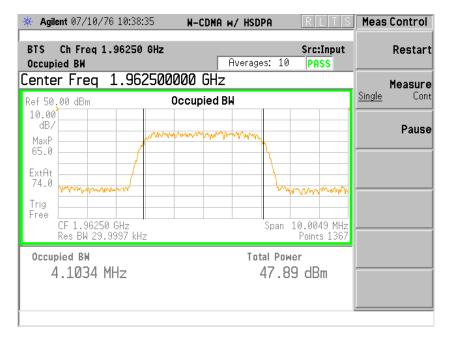
LOWEST SETTABLE CARRIER - TM5-44

TM5-44 99% Bandwidth Characteristics: UARFCN Channel Number 12 @ 1932.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



MID-BAND CARRIER - TM5-44

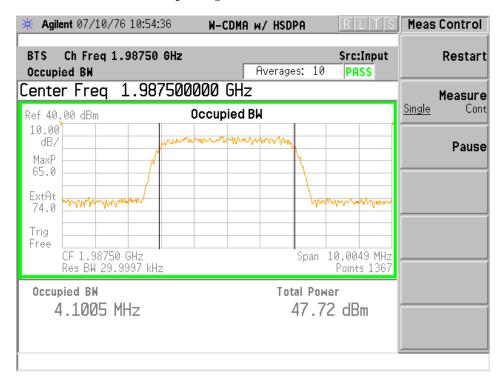
TM5-44 99% Bandwidth Characteristics: UARFCN Channel Number 162 @ 1962.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



TEST REPORT

HIGHEST SETTABLE CARRIER - TM5-44

TM5-44 99% Bandwidth Characteristics: UARFCN Channel Number 287 @ 1987.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



TEST REPORT

PART 2.1049 MEASUREMENTS REQUIRED: OCCUPIED BANDWIDTH - SINGLE CARRIER

- CONTINUED -

Method 2. Emission mask limitation using a Rohde & Schwarz: Spectrum Analyzer FSEM30 (SN 835533/003) with Total Integrated Laboratory Environment (TILE) test software.

Measurement of the occupied bandwidth emission characteristics was performed at the Equipment Antenna Terminal (EAC) with the 5 MHz carrier set to +47.8 dBm for each of the 9 carriers previously cited, and for the TM1-64 modulation scheme and to the lowest settable, mid-band and the highest settable for TM5-44. The emission mask used to demonstrate compliance was as specified in ETSI TS 25.141 for $P \ge +43$ dBm. The mask attenuation values were based on a 30 kHz resolution bandwidth, which made the modulated 5 MHz carrier to be offset from +47.8 dBm by -22.218 dB, in accordance with the equation:

Carrier Offset = $10 \log (30 \text{ kHz/5 MHz}) = -22.218 \text{ dB}$

This series of measurements were performed using the EMC software:

Total Integrated Laboratory Environment (TILE), by ETS-Lindgren

.

For TM1-64, measurements were made at the lowest settable and highest settable carriers for Blocks A, B and C; and at band center for Blocks D, E and F. For TM5-44, measurements were made at the spectrum lowest settable, mid-band and highest settable carriers. There was no detectable difference between the two test modulations. For brevity, attached are the data plots for the lowest settable, mid-band and highest settable carriers for both TM1-64 and TM5-44 modulations.

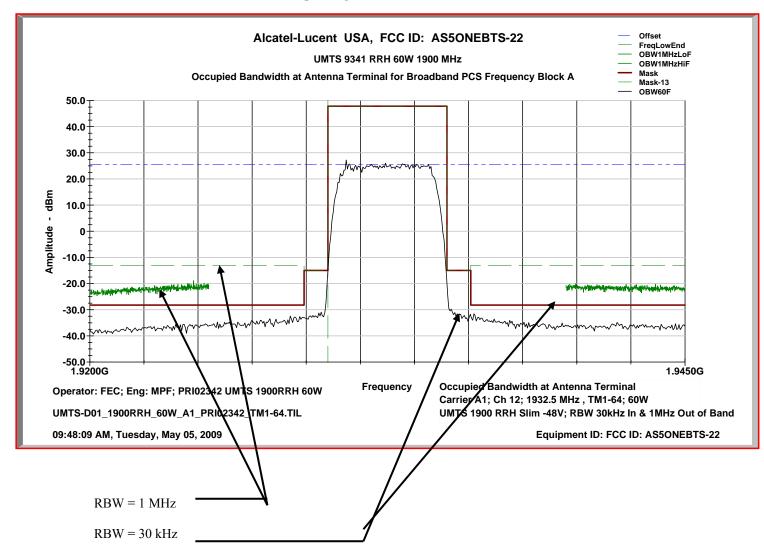
Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement.

RESULTS: The UARFCN 12 (lowest settable), 112 (mid-band), and 287 (highest settable) channels all demonstrate compliance with the emission mask specified by ETSI TS 25.141 for both TM1-64 and TM5-44 test modulations. In each test, the carriers do not exceed the mask limitation.

The data plots are attached below for both the TM1-64 test modulation scheme and the TM5-44 modulation. All nine carriers were evaluated, however, for brevity the lowest settable, mid-band and the highest settable are attached, for each of the two modulation schemes. The remaining tests are retained as a permanent record.

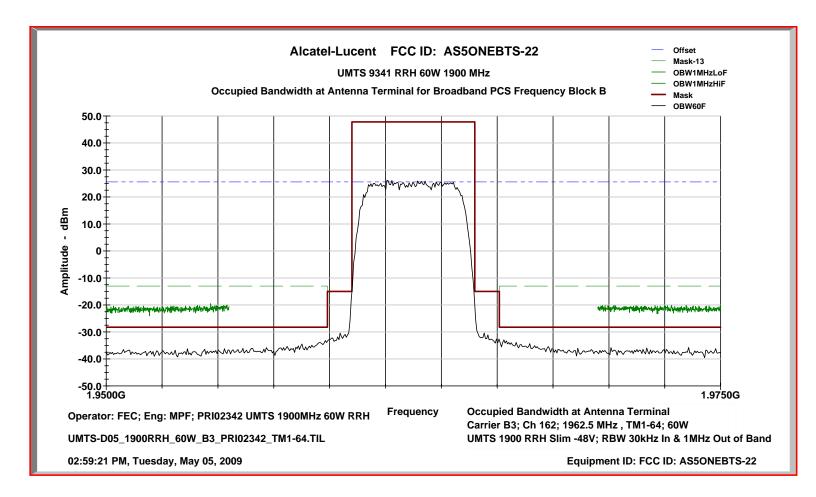
LOWEST SETTABLE CARRIER - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 12 @ 1932.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



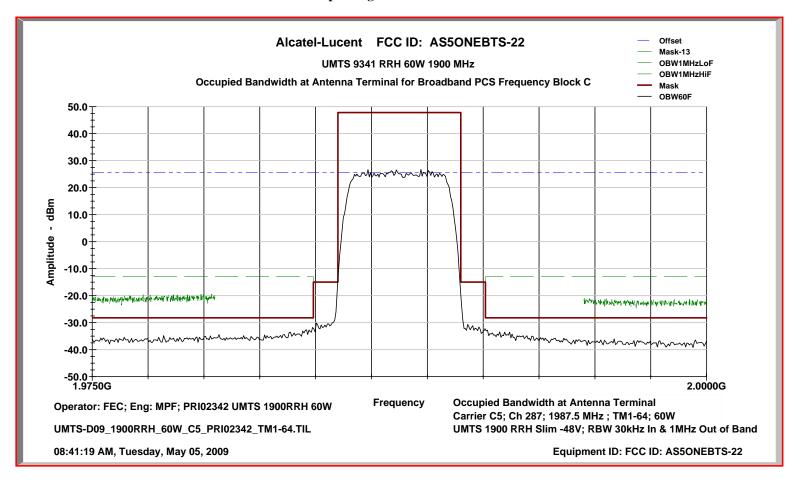
MID-BAND CARRIER - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 162 @ 1962.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



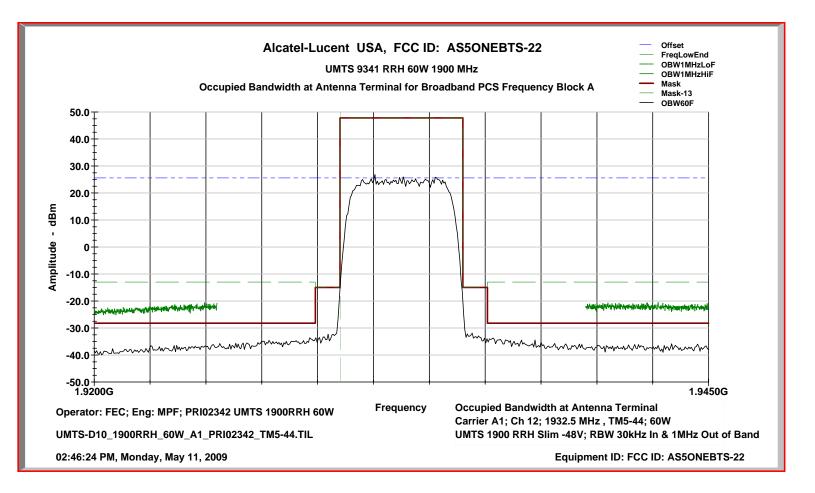
HIGHEST SETTABLE CARRIER - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 287 @ 1987.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



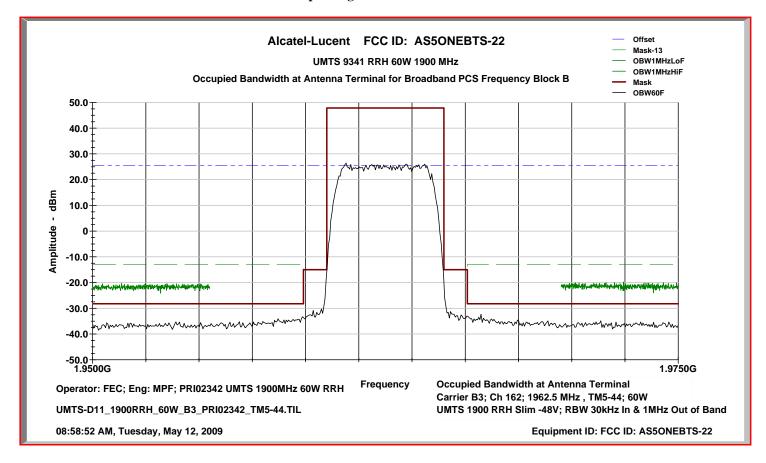
LOWEST SETTABLE CARRIER - TM5-44 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 12 @ 1932.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



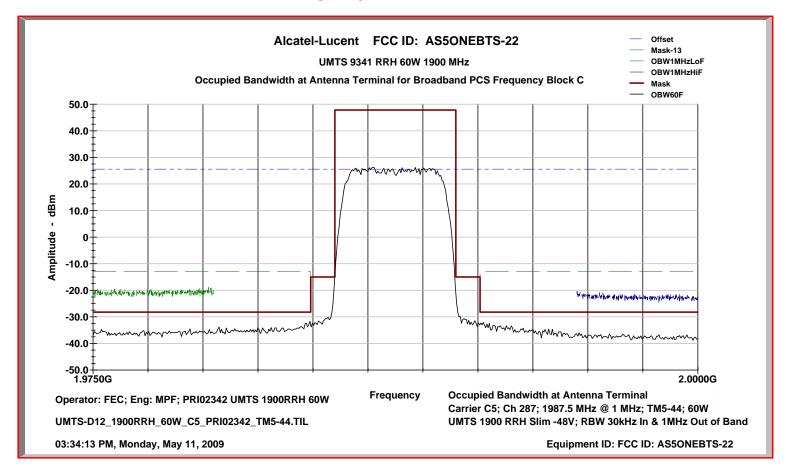
MID-BAND CARRIER - TM5-44 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 162 @ 1962.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



HIGHEST SETTABLE CARRIER - TM5-44 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN Channel Number 287 @ 1987.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



TEST REPORT

PART 2.1049 MEASUREMENTS REQUIRED: OCCUPIED BANDWIDTH - TWO ADJACENT CARRIERS

- CONTINUED -

Method 2. Emission mask limitation using a Rohde & Schwarz: Spectrum Analyzer FSEM30 (SN 835533/003) with Total Integrated Laboratory Environment (TILE) test software.

In addition to single carrier operation at 60W, the *UMTS 9341 RRH 60W 1900 MHz System* can also operate with two adjacent carriers at 30W per carrier with a total composite power of 60W. As with the single carrier measurements, the 2-carrier occupied bandwidth emission characteristics was performed at the Equipment Antenna Terminal (EAC) with each carrier set to 30W/C (+44.8 dBm/Carrier) and to the TM1-64 modulation scheme. The two adjacent carriers were measured and recorded at the lowest settable and the highest settable carrier pairs for the A, B and C Blocks. The emission mask used to demonstrate compliance was as specified in ETSI TS 25.141 for $P \ge +43$ dBm. The mask attenuation values were based on a 30 kHz resolution bandwidth, which made the modulated 5 MHz carrier to be offset from +44.8 dBm by -22.218 dB, in accordance with the equation:

Carrier Offset = $10 \log (30 \text{ kHz/5 MHz}) = -22.218 \text{ dB}$

This series of measurements were performed using the EMC software:

Total Integrated Laboratory Environment (TILE), by ETS-Lindgren

Since the *two adjacent carrier* performance characteristics are unchanged from the initial filing, the attached six data plots, showing the lowest settable and the highest settable carrier pairs for the Broadband PCS frequency blocks A, B and C, are the same data initially submitted and the measurements were not repeated.

Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement.

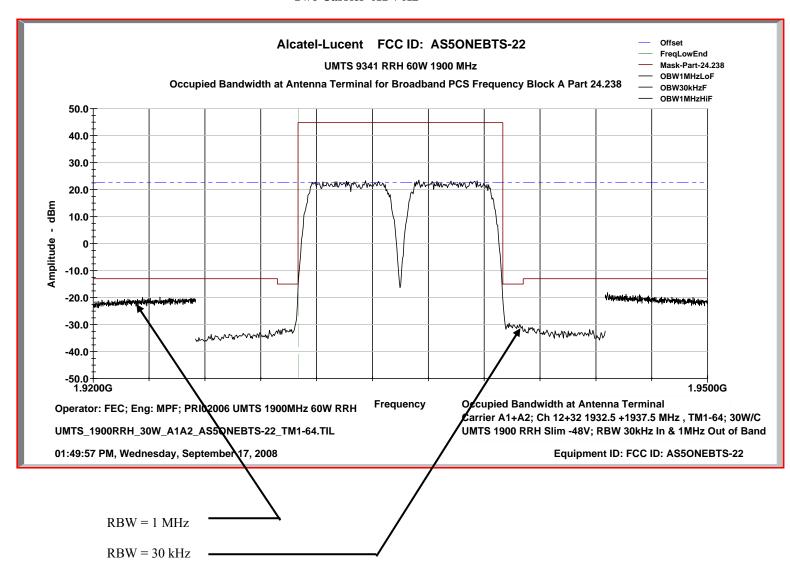
RESULTS: In all 2-carrier tests, compliance was demonstrated with the emission mask specified by ETSI TS 25.141. In each test, the carrier pairs do not exceed the mask limitation.

The data plots attached below show the lowest settable and the highest settable carrier pairs for the Broadband PCS frequency blocks A, B and C.

LOWEST SETTABLE CARRIER PAIR - A BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.50 MHz + CN 32 @ 1937.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

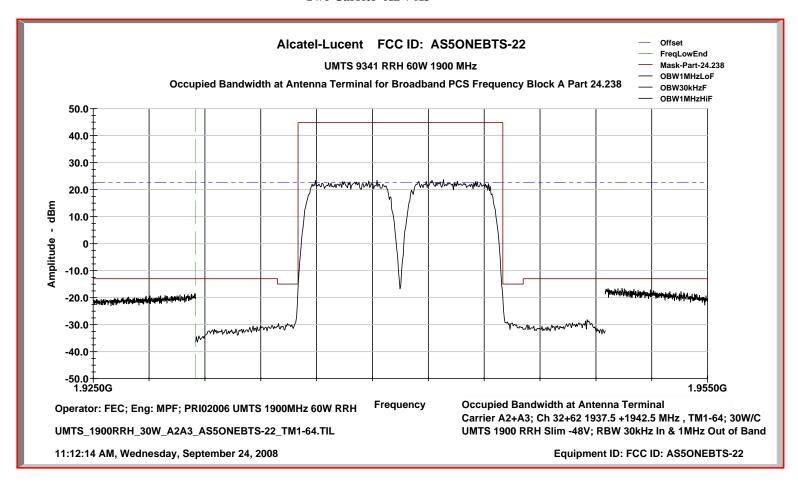
Two Carrier A1 + A2



HIGHEST SETTABLE CARRIER PAIR - A BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 32 @ 1937.5 MHz + CN 62 @ 1942.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

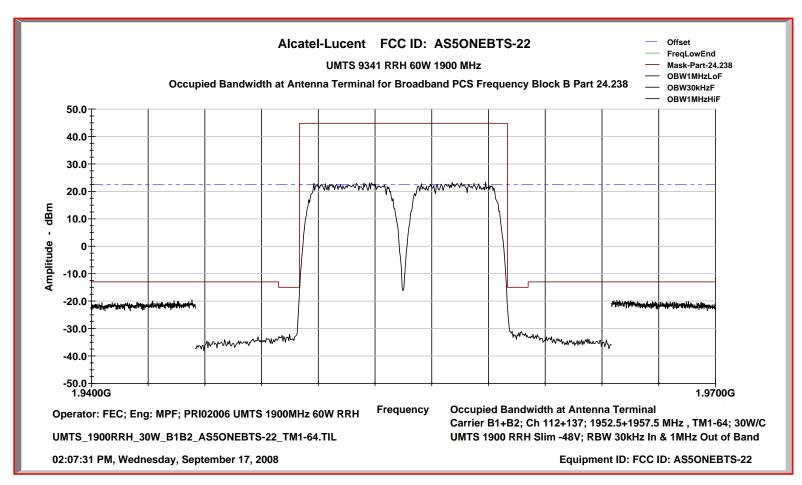
Two Carrier A2 + A3



LOWEST SETTABLE CARRIER PAIR - B BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + CN 137 @ 1957.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

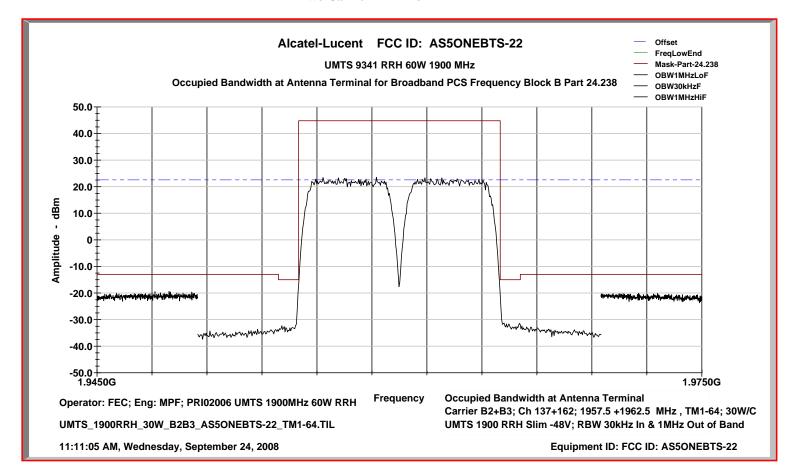
Two Carrier B1 + B2



HIGHEST SETTABLE CARRIER PAIR - B BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 137 @ 1957.5 MHz + CN 162 @ 1962.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

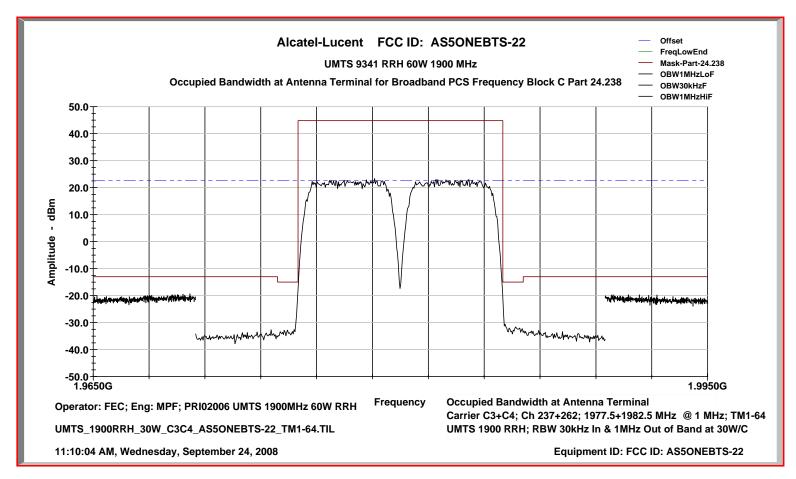
Two Carrier B2 + B3



LOWEST SETTABLE CARRIER PAIR - C BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + CN 262 @ 1982.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

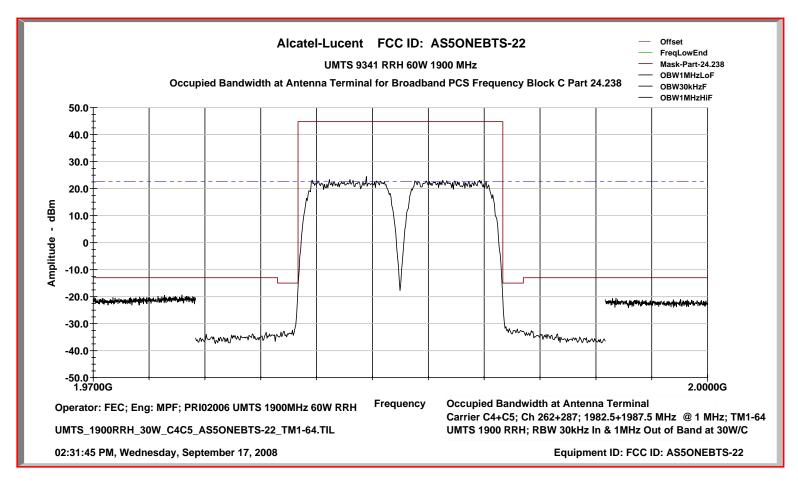
Two Carrier C3 + C4



HIGHEST SETTABLE CARRIER PAIR - C BLOCK - TM1-64 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 262 @ 1982.5 MHz + CN 287 @ 1987.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

Two Carrier C4 + C5



TEST REPORT

PART 2.1049 MEASUREMENTS REQUIRED: OCCUPIED BANDWIDTH - TWO ALTERNATE CARRIERS IN A 101 CONFIGURATION

- CONTINUED -

Method 2. Emission mask limitation using a Rohde & Schwarz: Spectrum Analyzer FSEM30 (SN 835533/003) with Total Integrated Laboratory Environment (TILE) test software.

This is a new feature. The two alternate carriers are 2 carriers separated by a single carrier bandwidth in a 101 configuration. Carrier power for the 101 configuration is set the same as for 2 adjacent carriers: 30W per carrier with a total composite power of 60W. As with the single carrier measurements, the 2-carrier 101 occupied bandwidth emission characteristics were performed at the Equipment Antenna Terminal (EAC) with each carrier set to 30W/C (+44.8 dBm/Carrier). The test modulation scheme utilized was ETSI TM1-16 with 20 active channels. Measurements were made and recorded at the lowest settable and the highest settable carriers for the A, B and C Blocks. The emission mask used to demonstrate compliance was as specified in ETSI TS 25.141 for $P \ge +43$ dBm. The mask attenuation values were based on a 30 kHz resolution bandwidth, which made the modulated 5 MHz carrier to be offset from +44.8 dBm by -22.218 dB, in accordance with the equation:

Carrier Offset = $10 \log (30 \text{ kHz/5 MHz}) = -22.218 \text{ dB}$

This series of measurements were performed using the EMC software:

Total Integrated Laboratory Environment (TILE), by ETS-Lindgren

Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement.

RESULTS: In all 2 alternate carrier 101 tests, compliance was demonstrated with the emission mask specified by ETSI TS 25.141. In each test, the carrier pairs do not exceed the mask limitation.

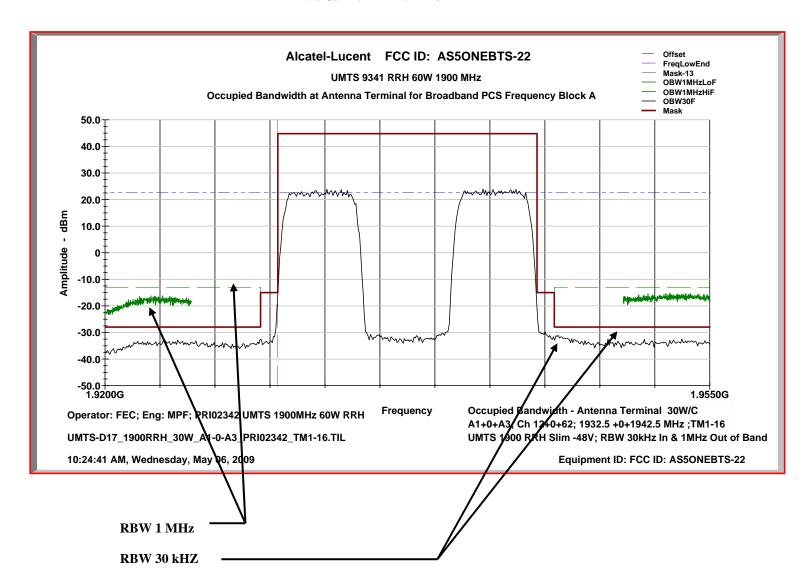
The data plots attached below show the lowest settable and the highest settable carrier pairs for the Broadband PCS frequency blocks A, B and C.

TEST REPORT

LOWEST SETTABLE ALTERNATE CARRIER PAIR - A BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.5 MHz + 0 +CN 62 @ 1942..5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

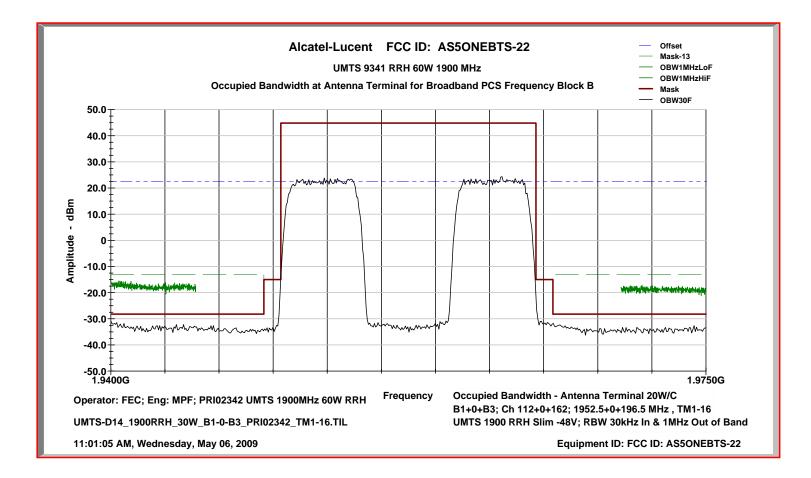
Two Carrier A1+0+A3



MID BAND ALTERNATE CARRIER PAIR - B BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + 0 + CN 162 @ 1962.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

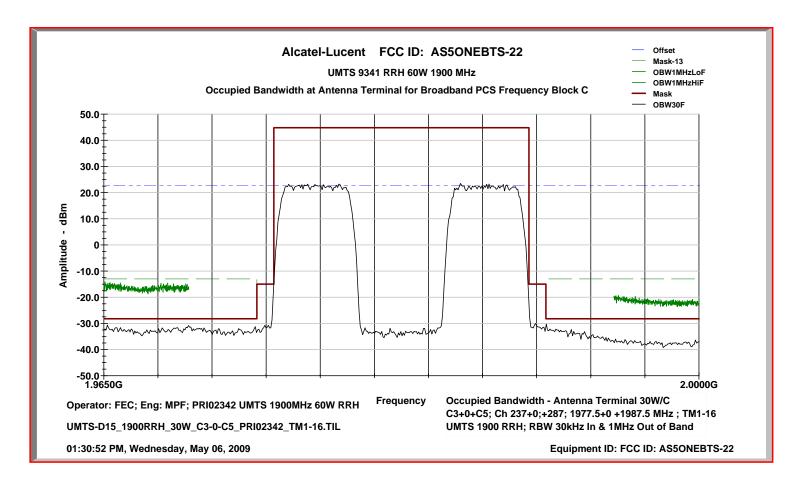
Two Carrier B1 + 0 + B3



HIGHEST SETTABLE ALTERNATE CARRIER PAIR - C BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + 0 + CN 287 @ 1987.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

Two Carrier C3 + 0 + C5



APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

PART 2.1049 MEASUREMENTS REQUIRED: OCCUPIED BANDWIDTH – THREE ADJACENT CARRIERS

- CONTINUED -

Method 2. Emission mask limitation using a Rohde & Schwarz: Spectrum Analyzer FSEM30 (SN 835533/003) with Total Integrated Laboratory Environment (TILE) test software.

This is a new feature. The three adjacent are set to 20W (+43.0 dBm) per carrier with a total composite power of 60W at the Equipment Antenna Terminal (EAC). The test modulation scheme utilized was ETSI TM1-16 with 20 active channels. Measurements were made and recorded at the lowest settable, the mid-block and the highest settable carriers for the A, B and C Blocks. The emission mask used to demonstrate compliance was as specified in ETSI TS 25.141 for $P \ge +43$ dBm. The mask attenuation values were based on a 30 kHz resolution bandwidth, which made the modulated 5 MHz carrier to be offset from +43.08 dBm by -22.218 dB, in accordance with the equation:

Carrier Offset = $10 \log (30 \text{ kHz/5 MHz}) = -22.218 \text{ dB}$

This series of measurements were performed using the EMC software:

Total Integrated Laboratory Environment (TILE), by ETS-Lindgren

Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement.

RESULTS: In all *3 adjacent carrier* tests, compliance was demonstrated with the emission mask specified by ETSI TS 25.141. In each test, the carriers do not exceed the mask limitation.

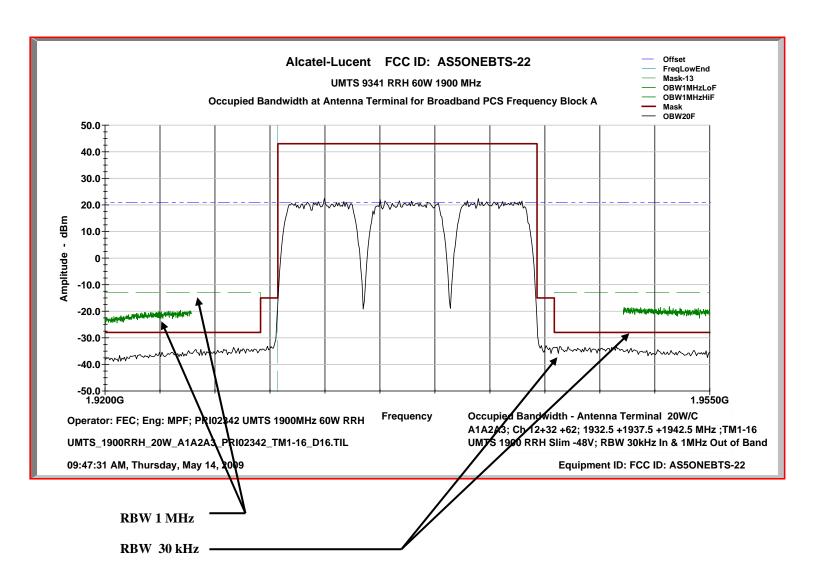
The data plots attached below show the lowest settable, the mid-block and the highest settable carrier combinations for the Broadband PCS frequency blocks A, B and C.

LOWEST SETTABLE THREE CARRIERS PAIR - A BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.5 MHz + CN 32 @ 1937.5 MHz + CN 62 @ 1942..5 MHz

Tx Antenna Terminal at +43.0 dBm per 5 MHz carrier

Three Carrier A1+A2+A3

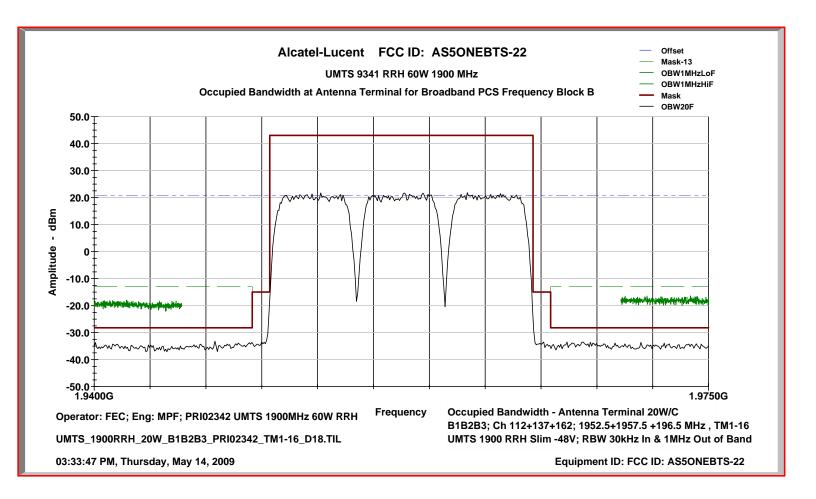


MID BAND THREE CARRIERS - B BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + CN 137 @ 1957.5 MHz + CN 162 @ 1962.5 MHz

Tx Antenna Terminal at +43.0 dBm per 5 MHz carrier

Three Carrier B1 + B2 + B3

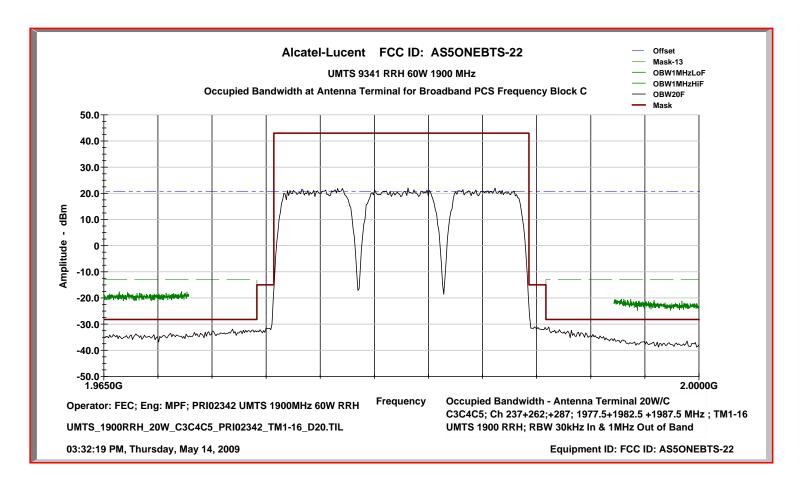


HIGHEST SETTABLE THREE CARRIERS - C BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + CN 262 @ 1982.5 MHz + CN 287 @ 1987.5 MHz

Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

Three Carrier C3 + C4 + C5



APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS5ONEBTS-22

TEST REPORT

PART 2.1051 MEASUREMENTS REQUIRED: SPURIOUS EMISSIONS AT THE ANTENNA TERMINALS.

This test procedure is an extension of the occupied bandwidth measurements at the Equipment Antenna Connector (EAC) terminal, using the same carrier frequencies, power level setting procedure and modulated carrier offset procedure. The four carrier combinations previously evaluated for occupied bandwidth will be repeated for conducted emissions:

1. 1S1C - Single carrier at 60W power.

- 2. 1S2C Two adjacent carriers at 30W per carrier and 60W total composite power.
- 3. 1S2C Two carriers separated by one carrier bandwidth in a 101 configuration at 30W per carrier and 60W total composite power.
- 4. 1S3C Three adjacent carriers at 20W per carrier and 60W total composite power.

In accordance with Part 2.1057(a), the required frequency spectrum to be investigated extends from the lowest RF signal generated to the 10^{th} harmonic of the carrier at the EAC terminal. The emission limits at the antenna terminal are specified in Part 24.238 (a) ... the power of any emission shall be attenuated below the transmitter power (P) by at least $43 + 10 \log (P)$ dBc. The power P is the average carrier power measured at the EAC (antenna) terminal in Watts. Setting the power level at EAC to 60 Watts average, produces an emission attenuation below the carrier of 60.78 dBc. Part 24.238 (b) specifies the required Resolution Bandwidth (RBW) to be 1 MHz or greater. In accordance with Part 2.1051, "the magnitude of spurious emissions which are attenuated more than 20 dB below the permissible value need not be specified"; i.e., these are not reportable. Hence, the measurement equipment must be adjusted and configured to provide an instrumentation noise floor that is at least 20 dB or more below the $43 + 10 \log (P)$ dBc limit, which equates to 80.78 dBc. The pertinent test parameters are:

1. Frequency Spectrum: 10 MHz to 20 GHz

2. Resolution Bandwidth: 1 MHz or greater (Part 24.238)

3. Emission Limitation: $43 + 10 \log (P) dBc = 43 + 10 \log (60 \text{ Watts}) = 60.78 dBc$ 4. Instrumentation Noise Floor: at least 20 dB greater than "43 + 10 log (P) dBc" = 80.78 dBc

Minimum Standard Requirement:

The emission limits at the antenna terminal are specified in Part 24.238 (a) ... the power of any emission shall be attenuated below the transmitter power (P) by at least $43 + 10 \log (P)$ dBc (i.e., attenuation below the unmodulated carrier). The power P is the average carrier power measured at the J4 antenna terminal in Watts. The measurement equipment must be adjusted and configured to provide an instrumentation noise floor that is 20 dB or more below the $43 + 10 \log (P)$ dBc limit. In summary:

- 1. Carrier Power Level = 47.78 dBm
- 2. Emission Limitation = 47.78 dBm 60.78 dBc = -13.0 dBm
- 3. Reportable Emission Limit = -13.0 dBm 20 dBc = -33.0 dBm
- 4. Emission power levels less than -33.0 dBm are not reportable; i.e., at ≥ 80.78 dBc

Test Set-up and Configuration: Same as previously used for Part 2.1046 RF Power Measurement.

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS5ONEBTS-22 TEST REPORT

Method of Measurement:

In order to suppress the instrumentation noise floor sufficient to detect and measure spurious signals that have power levels as low as 20 dB below the required limit, or as low as –33.0 dBm (i.e., 80.8 dBc), an EMC software package was employed to drive the spectrum analyzer, collect and compile the acquired data, perform mathematical corrections to the data by incorporating (i.e., programming) pre-measured path losses into the software, and then generate a graphical display as shown in this exhibit. The software package is: TILE/IC (Total Integrated Laboratory Environment/Instrument Control System); purchased and licensed from ETS-Lindgren. The instrumentation noise floor is suppressed by the software's ability to split the spectrum being measured into many small segments, perform the mathematical corrections to each segment, and then sequentially compile all the segments into a continuous graphical display.

Part 24.238 requires that emissions over the required spectrum 10 MHz to 20 GHz be measured using an instrumentation resolution bandwidth of 1 MHz or greater. The TILE/IC software was able to sufficiently suppress the normally high noise floor by measuring the spectrum in a sequential series of short segments using a peak detector, in combination with an appropriate low-pass filter and then with an appropriate high-pass filter, installed at the input terminal of the spectrum analyzer, to prevent the carrier from over driving the spectrum analyzer. The spectrum portion 1930 MHz – 1990 MHz, in close proximity to the carrier, was measured without filters.

The specific EMC test filters used were manufactured by TRILITHIC, Inc., Indianapolis, IN:

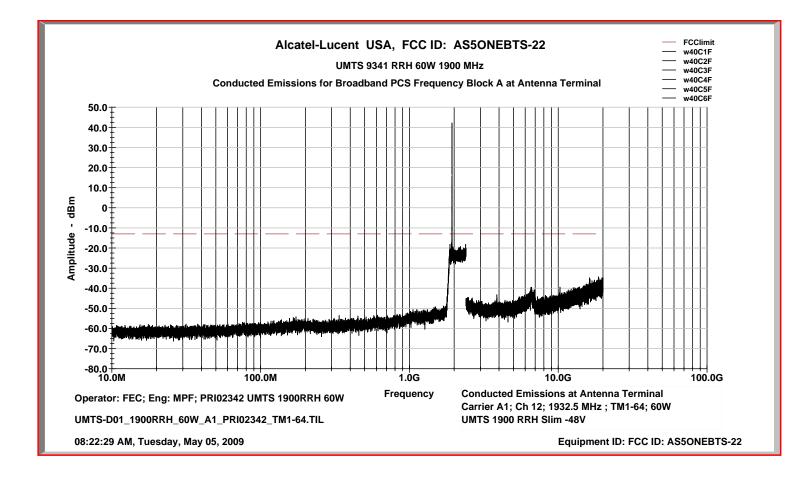
- 1. Low Pass Filter: Model 10LC800-3-AA; Product No. 23042
- 2. High Pass Filter: Model 4HC1400/8000-1-KK; Product No. 23042

This test procedure was conducted in conjunction with the previously cited occupied bandwidth tests for single carrier at 60W, for 2 adjacent carriers at 30W per carrier, for 2 alternate carriers at 30W per carrier, and for 3 adjacent carriers at 20w per carrier as previously cited, plus the same two test modulations: TM1-64, TM5-44 and TM1-16, as previously used for the occupied bandwidth tests.

Results: For each UMTS carrier combination previously cited, there were no reportable emissions. Data plots for the lowest settable, mid-band and the highest settable carrier combinations with each of the two test modulations: TM1-64, TM5-44 and TM1-16, are attached to this exhibit. The remaining carrier tests and data plots are retained as a permanent record.

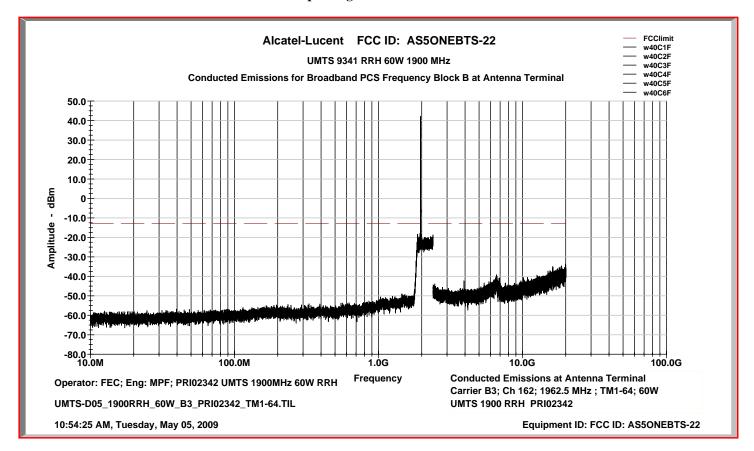
Lowest Settable Carrier - TM1-64 Test Modulation

Conducted Emissions Characteristics: UARFCN Channel Number 12 @ 1932.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



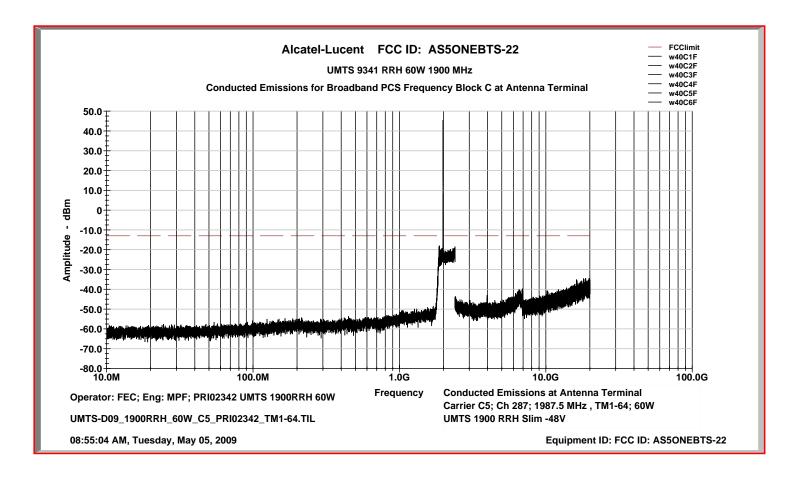
Mid-Band Carrier - TM1-64 Test Modulation

Conducted Emissions Characteristics: UARFCN Channel Number 162 @ 1962.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



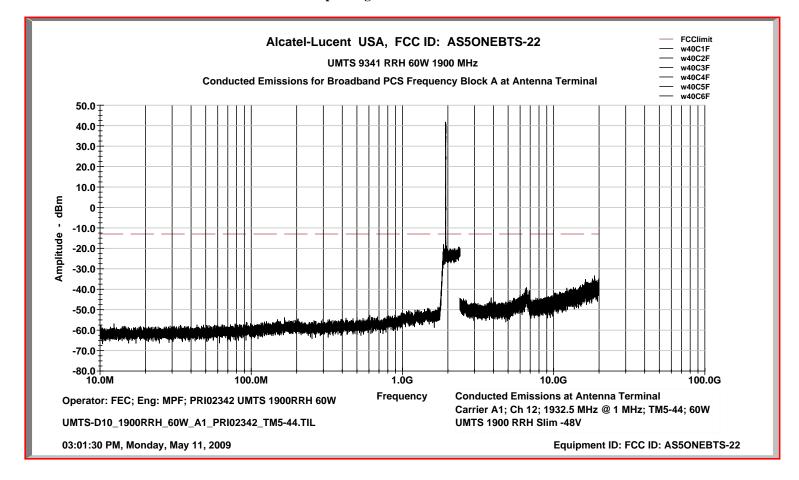
Highest Settable Carrier - TM1-64 Test Modulation

Conducted Emissions Characteristics: UARFCN Channel Number 287 @ 1987.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



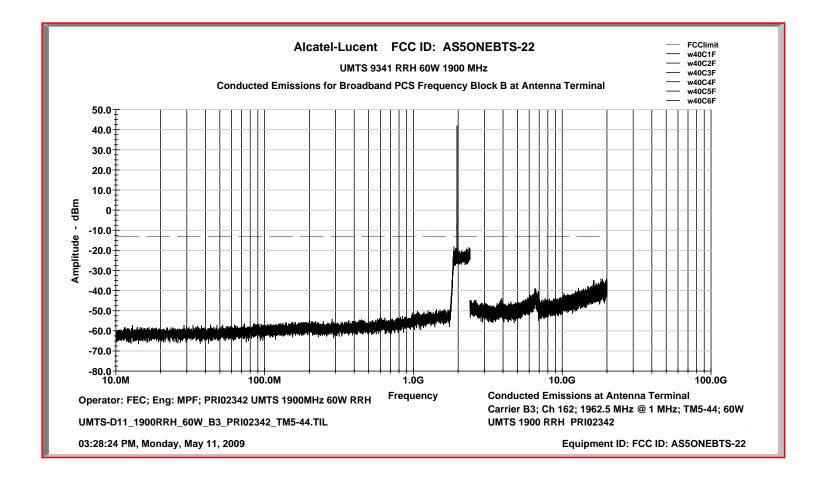
Lowest Settable Carrier - TM5-44 Test Modulation

Conducted Emissions Characteristics: UARFCN Channel Number 12 @ 1932.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



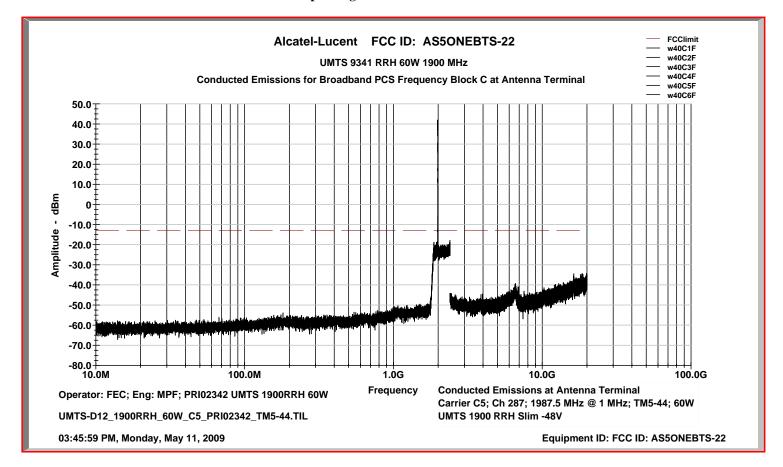
Mid-Band Carrier - TM5-44 Test Modulation

Conducted Emissions Characteristics: UARFCN Channel Number 162 @ 1962.5 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



Highest Settable Carrier - TM5-44 Test Modulation

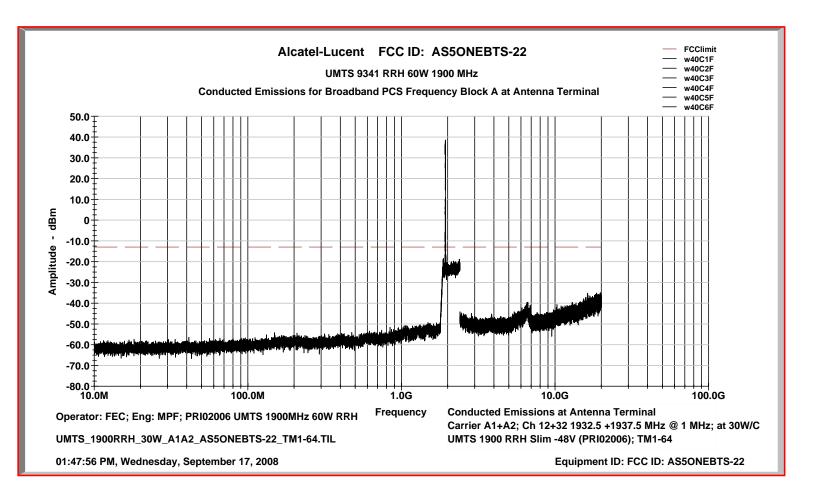
Conducted Emissions Characteristics: UARFCN Channel Number 287 @ 1987.50 MHz Tx Antenna Terminal at +47.8 dBm per single 5 MHz carrier



Lowest Settable Carrier Pair - A Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.5 MHz + CN 32 @ 1937.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

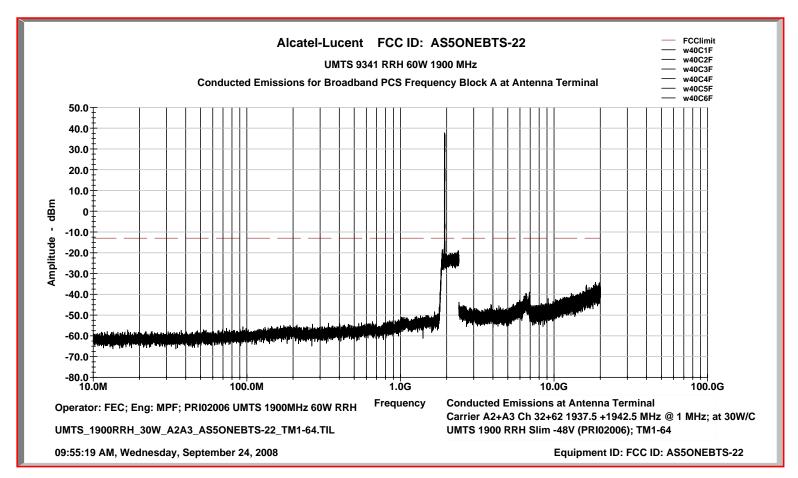
Two Carrier A1 + A2



Highest Settable Carrier Pair - A Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 32 @ 1937.5 MHz + CN 62 @ 1942.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

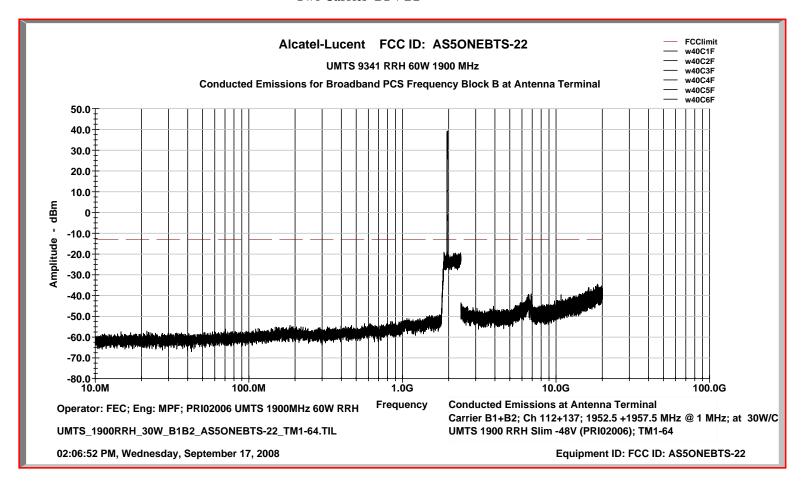
Two Carrier A2 + A3



Lowest Settable Carrier Pair - B Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + CN 137 @ 1957.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

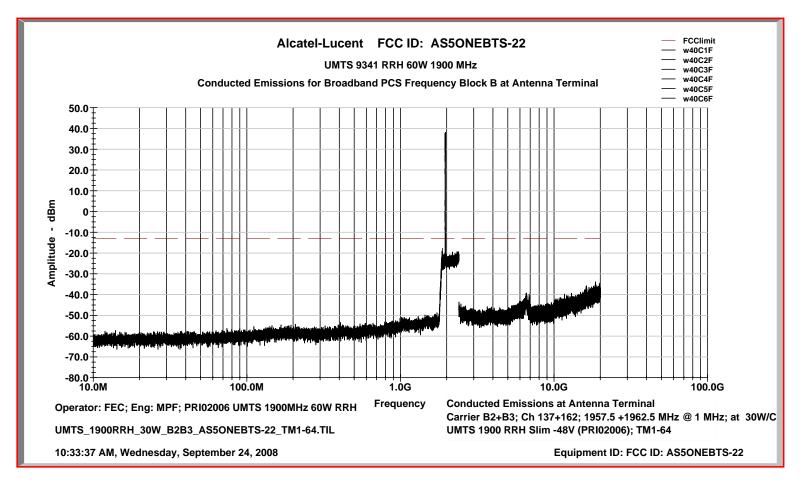
Two Carrier B1 + B2



Highest Settable Carrier Pair - B Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 137 @ 1957.5 MHz + CN 162 @ 1962.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

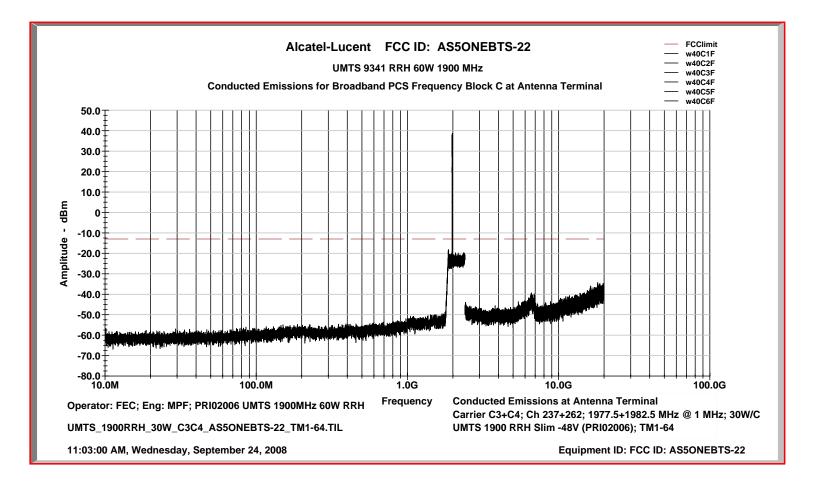
Two Carrier B2 + B3



Lowest Settable Carrier Pair - C Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + CN 262 @ 1982.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

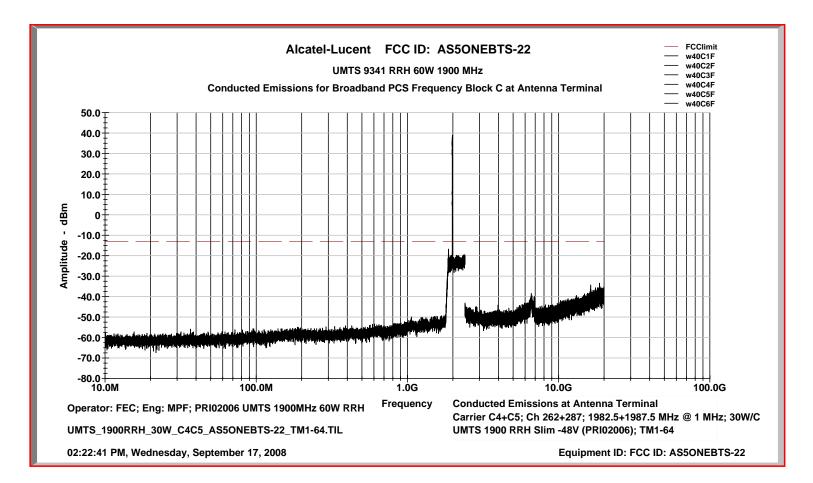
Two Carrier C3 + C4



Highest Settable Carrier Pair - C Block - TM1-64 Test Modulation

Occupied Bandwidth Characteristics: UARFCN 262 @ 1982.5 MHz + CN 287 @ 1987.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

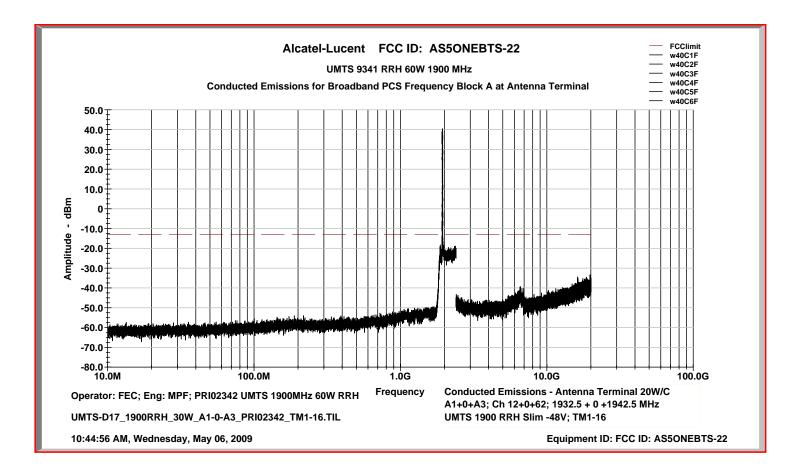
Two Carrier C4 + C5



LOWEST SETTABLE ALTERNATE CARRIER PAIR - A BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.5 MHz + 0 +CN 62 @ 1942..5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

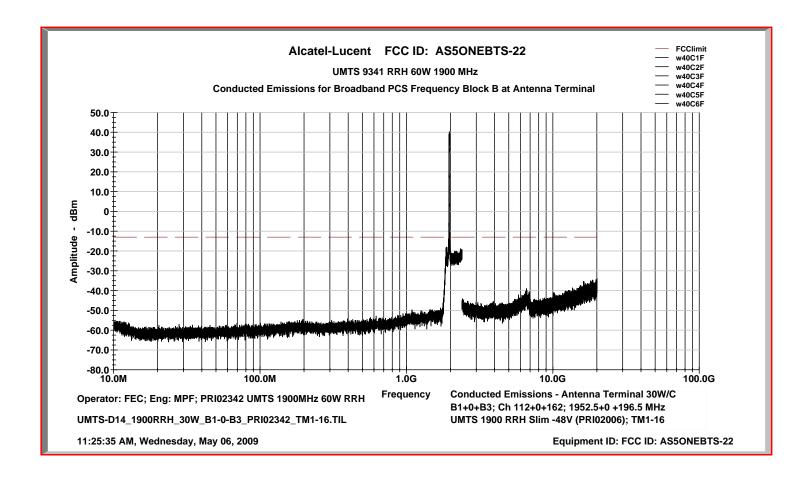
Two Carrier A1+0+A3



MID BAND ALTERNATE CARRIER PAIR - B BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + 0 + CN 162 @ 1962.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

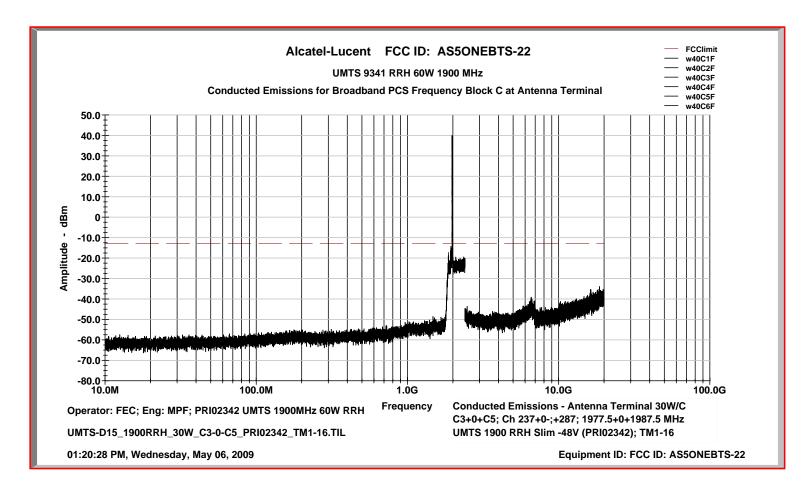
Two Carrier B1 + 0 + B3



HIGHEST SETTABLE ALTERNATE CARRIER PAIR - C BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + 0 + CN 287 @ 1987.5 MHz Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

Two Carrier C3 + 0 + C5

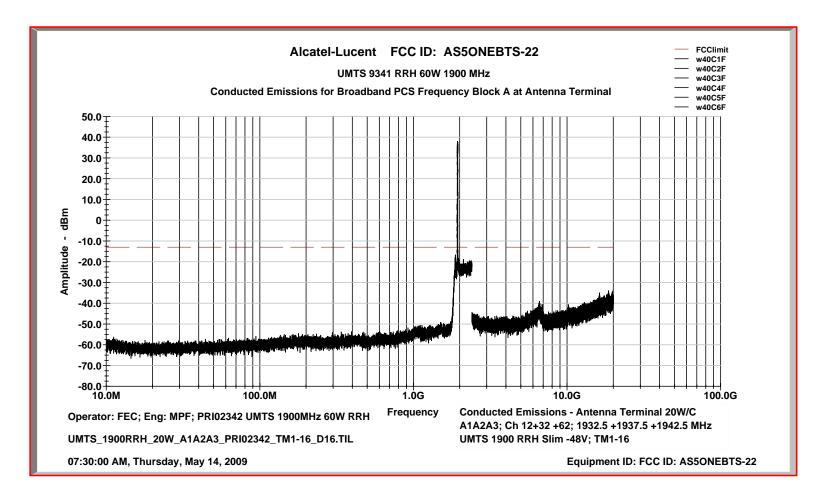


LOWEST SETTABLE THREE CARRIERS PAIR - A BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 12 @ 1932.5 MHz + CN 32 @ 1937.5 MHz + CN 62 @ 1942..5 MHz

Tx Antenna Terminal at +43.0 dBm per 5 MHz carrier

Three Carrier A1+A2+A3

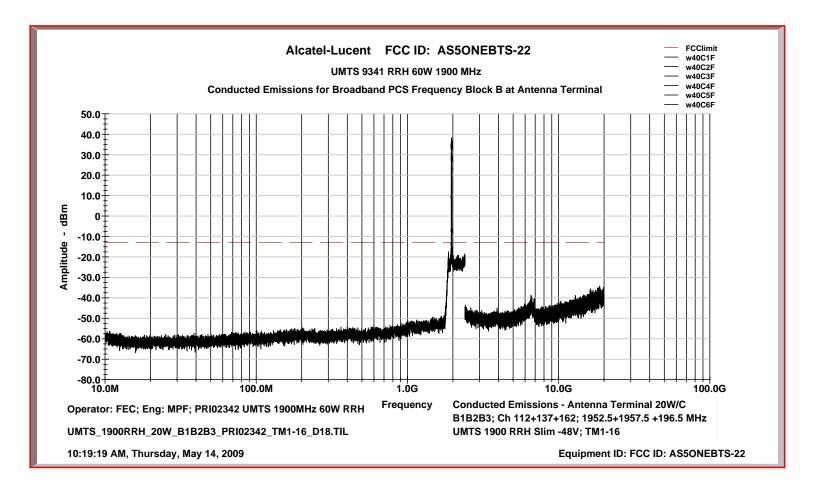


MID BAND THREE CARRIERS - B BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 112 @ 1952.5 MHz + CN 137 @ 1957.5 MHz + CN 162 @ 1962.5 MHz

Tx Antenna Terminal at +43.0 dBm per 5 MHz carrier

Three Carrier B1 + B2 + B3

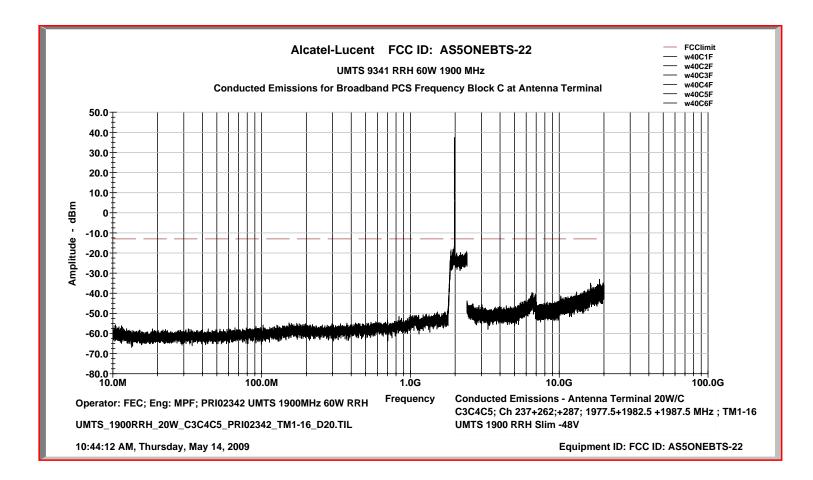


HIGHEST SETTABLE THREE CARRIERS - C BLOCK - TM1-16 TEST MODULATION

Occupied Bandwidth Characteristics: UARFCN 237 @ 1977.5 MHz + CN 262 @ 1982.5 MHz + CN 287 @ 1987.5 MHz

Tx Antenna Terminal at +44.8 dBm per 5 MHz carrier

Three Carrier C3 + C4 + C5



APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS5ONEBTS-22 TEST REPORT

PART 2.1053 MEASUREMENTS REQUIRED: FIELD STRENGTH OF SPURIOUS RADIATION

This test was performed first with a single carrier at maximum rated power 60 Watts, and then followed with 2 adjacent carriers at 30W per carrier, 2 alternate carriers 101 at 30W per carrier, and 3 adjacent carriers at 20W per carrier transmitting into a non-radiating dummy load. The equipment under test (EUT) is configured for 1 sector - 1 carrier (1S1C), for 1 sector - 2 carriers (1S2C) and for 1 sector - 3 carriers (1S3C). As required, the frequency range investigated was from 10 MHz to 20 GHz (10th harmonic of the carrier) as in the previous conducted spurious emissions test procedure. The single carrier tests were performed with the 60W carrier set to the lowest settable and to the highest settable carriers of Blocks A, B and C, and to mid-band of Blocks D, E and F. The 2-carrier tests were performed with the paired carriers set to 30W/C and to the lowest settable and to the highest settable carriers of Blocks A, B and C, and 3-carrioers at 20W/C for Blocks A, B and C. The test modulation was TM1-64 for single carriers and TM1-16 for 2 & 3 carriers.

In compliance with the guidelines of ANSI C63.4-2003, the equipment under test (EUT) was configured as recommended for *floor standing equipment*. The EUT was installed and operated as in the *normal mode of operation* with external alarm and T1 cables connected to the EUT and routed as prescribed in ANSI C63.4-2003. Field strength measurements of radiated spurious emissions were evaluated in a 3m semi-anechoic pre-compliance chamber and verified as required at the ten meter Open Area Test Site (OATS) maintained by Alcatel-Lucent FCC Compliance Laboratory in Whippany, New Jersey. A complete description and full measurement data for the site have been placed on file with the Commission.

The spectrum from 10 MHz to the tenth harmonic of the carrier was searched for spurious radiation. Measurements were made using both horizontally and vertically polarized broadband antennas. Per FCC regulations, the comparison of out-of-band spurious emissions directly to the limit is appropriately made using the substitution method. However, when the emissions are more than 20 dB below the specification limit, the use of field strength measurements for compliance determination is acceptable and those emissions are considered not reportable (Section 2.1053 and the FCC Interpretive database for 2.1053). For this case the evaluation of acceptable radiated field strength is as follows.

The calculated emission levels were found by:

Pmeas (dBm) + Cable Loss(dB) + Antenna Factor(dB) + 107 (dB
$$\mu$$
V/dBm) - Amplifier Gain (dB) = Field Strength (dB μ V/m)

Section 24.238 and 2.1053 contains the requirements for the levels of spurious radiation as a function of the EIRP of the unmodulated carrier. The reference level for the unmodulated carrier is calculated as the field produced by an isotropic radiator excited by the transmitter output power according to the following relation taken from Reference Data for Radio Engineers, page 27-7, 6th edition, IT&T Corp.

$$E = (120\pi P)^{1/2} = [(30*P)^{1/2}] / R$$

$$20 \log (E*10^6) - (43 + 10 \log P) = 71.77 \text{ dB } \mu\text{V/meter}$$

Where: E = Field Intensity in Volts/meter R = Distance in meters = 10 m

P = Transmitted Power in watts = 40 W/ Carrier

Results:

For this particular test, the field strength of any spurious radiation is required to be less than 71.8 dB μ V/meter. Emissions equal to or less than 51.8 dB μ V/meter are not reportable and may be verified using field strength measurements. Over the out-of-band spectrum investigated from 30 MHz to tenth harmonic of the carrier, no reportable spurious emissions were detected. This demonstrates that the *UMTS 9341 RRH 60W 1900 MHz System*, the subject of this Class II Permissive Change application, complies with Sections 2.1053, 24.238 and 2.1057 of the Rules.

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

PART 2.1055 MEASUREMENTS REQUIRED: FREQUENCY STABILITY

Since the frequency determining and stabilization circuitry were not changed and not affected by this Class II Permissive Change, the frequency stability measurements were not repeated. The measurement exhibit from the initial filing is re-stated for completeness.

The frequency stability was measured both at the Equipment Antenna Terminal (EAC) of the RF Remote Radio Head (RRH) and at the reference frequency output terminal of the digital Base Band Unit (BBU) for a single carrier set to 1960.0 MHz, which corresponds to mid Broadband PCS frequency band. Frequency stability measurements were performed by N. Hussain, Alcatel-Lucent, Swindon, United Kingdom, under the direction of M. P. Farina, and in adherence to the previously cited ISO/TL9000 test plan. The complete test report is attached, which shows the test results, test equipment configuration and photographs of the test set-up.

The procedure required by the FCC is specified in CFR 47, Part 2, Subpart J – Equipment Authorization Procedures, Section 2.1055 – Measurements Required: Frequency Stability, Effective: October 01, 2007. The requirements for base station/land station equipment, are summarized as:

Section 2.1055(a)(1): The frequency stability shall be measured with variation of ambient temperature from -30 °C to +50 °C

Section 2.1055(b): Frequency measurements shall be made at the extremes of the specified temperature range and at intervals of not more than 10 °C through the range. A period of time sufficient to stabilize all of the components of the oscillator circuit at each temperature level shall be allowed prior to frequency measurement. The short term transient effects on the frequency of the transmitter due to keying (except for broadcast transmitters) and any heating element cycling normally occurring at each ambient temperature level also shall be shown. (Note: The term "keying" does not apply to base station/land station equipment. "Heating element" applies to "heat cartridges" if used .) Only the portion or portions of the transmitter containing the frequency determining and stabilizing circuitry need be subjected to the temperature variation test.

Section 2.1055(d)(1): The frequency stability shall be measured with variation of primary supply voltage from 85% to 115% of the nominal value.

Frequency Stability Limitation:

The frequency stability is the measurement of the carrier center frequency deviation from its assigned value as a function of (1) temperature variation from -30° C to $+50^{\circ}$ C, in $+10^{\circ}$ C increments, and (2) variation of supply voltage, at the equipment frame power input terminals, from 85% to 115% of the nominal value. This is a lengthy procedure and is performed one time with a single UMTS 1900 carrier set to 1960.0 MHz. The required tolerance limit for UMTS 1900 base station/land station equipment is specified in ETSI TS 25.141 as ± 0.05 ppm.

Exception:

The FCC requires testing over the temperature range -30C to +50C, in 10C increments. This would apply to equipment installed and operated in an outdoor, non-controlled environment. Equipment installed in an indoor, controlled environment should be compliant with Telcordia, GR-63-CORE, Issue 3, March 2006 - NEBSTM Requirements: Physical Protection. Equipment installed and operated in an indoor, controlled environment are required to demonstrate frequency stability compliance over the temperature range -5C to +50C. This would apply to the Indoor BBU, which is the subject of this certification.

Results:

The UMTS Distributed Base Station Transceiver System (1900 MHz), subject of this application for certification under FCC ID: AS50NEBTS-22, demonstrated full compliance with the requirements of FCC Rule Part 2.1055. The frequency stability for all measurements were well within the required \pm 0.05 ppm, as shown in detail in the attached Test Report. The measurement results are summarized below.

APPLICANT: Alcatel-Lucent USA, Inc. Exhibit 9 FCC ID: AS50NEBTS-22

TEST REPORT

Frequency stability testing for 1900 RRH with BBU.

TEST FREQUENCY: 1960.0 MHz (Middle channel)

P_out max: 40W(46dBm)

Note:

Test Model 4 used to check RF Output frequency, Trace on Max hold and part per million calculated. On 15 MHz reading deviation from 15MHz noted and PPM calculated.

RF Remote Radio Head (RRH)

Stabilized		TEST: TRANSMIT FREQUENCY ERROR Spec: F_tx ± 50ppb = 1960.0MHz ± 98.0MHz							
temperature (°C)		Supply voltage: @85% of nominal		Supply voltage: @100% of nominal		Supply voltage: @115% of nominal			
		(-48V-15%= -40.8V)		(i.e48. 0V)		(-48V+15%= -55.2V)			
		Measured	Deviation	Measured	Deviation	Measured	Deviation		
Outdoor	Outdoor	Tx Freq	[Note 1]	Tx Freq	[Note 1]	Tx Freq	[Note 1]		
BBU	RRH	Error		Error		Error			
		(Hz)	(ppb)	(Hz)	(ppb)	(Hz)	(ppb)		
-30 C	-30 C	7.77	4.0	7.69	3.9	9.60	4.9		
–20 C	–20 C	9.21	4.7	9.44	4.8	9.70	4.9		
-10 C	–10 C	7.32	3.7	6.26	3.2	7.18	3.7		
0 C	0 C	7.77	4.0	4.86	2.5	8.61	4.4		
+10 C	+10 C	7.48	3.8	7.07	3.6	6.66	3.4		
+20C	+20 C	13.4	6.8	4.70	2.4	6.75	3.4		
+30 C	+30 C	7.22	3.7	6.95	3.5	8.25	4.2		
+40 C	+40 C	4.27	2.2	5.07	2.6	5.42	2.8		
+50 C	+50 C	3.23	1.6	5.9	3.0	3.81	1.9		

Digital Base Band Unit (BBU)

Stabilized		TEST: STABILITY OF 15MHz REFERENCE FREQUENCY Spec: 15MHz ± 0.05ppm = 15MHz ± 0.75Hz							
temperature (°C)		Supply voltage: @85% of nominal		Supply voltage: @100% of nominal		Supply voltage: @115% of nominal			
		(–48V–15%= – 40.8V)		(i.e48. 0V)		(-48V+15%= -55.2V)			
		Measured	Deviation	Measured	Deviation	Measured	Deviation		
Outdoor	Outdoor	Ref freq	[Note 2]	Ref freq	[Note 2]	Ref freq	[Note 2]		
BBU	RRH	stability		stability		stability			
		(Hz)	(ppm)	(Hz)	(ppm)	(Hz)	(ppm)		
-30 C	-30 C	0.04	0.00267	0.04	0.00267	0.04	0.00267		
-20 C	–20 C	0.04	0.00267	0.04	0.00267	0.04	0.00267		
-10 C	-10 C	0.03	0.00200	0.03	0.00200	0.03	0.00200		
0 C	0 C	0.04	0.00267	0.04	0.00267	0.04	0.00267		
+10 C	+10 C	0.03	0.00200	0.03	0.00200	0.03	0.00200		
+20C	+20 C	0.03	0.00200	0.03	0.00200	0.03	0.00200		
+30 C	+30 C	0.03	0.00200	0.03	0.00200	0.03	0.00200		
+40 C	+40 C	0.02	0.00133	0.02	0.00133	0.02	0.00133		
+50 C	+50 C	0.02	0.00133	0.02	0.00133	0.02	0.00133		