

# SAR Test Report

Report No. : SFBGTL-WTW-P22070227

Applicant : SHARP Corporation Mobile Communication BU

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Manufacturer : Sharp Corporation

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Product : Smart Phone

FCC ID : APYHRO00316

Brand : SHARP

FCC Rule Part : CFR §2.1093

Standards : IEC/IEEE 66209-1528:2020  
KDB 865664 D01 v01r04, KDB 865664 D02 v01r02,  
KDB 248227 D01 v02r02, KDB 447498 D01 v06, KDB 648474 D04 v01r03,  
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## 1. Summary of Maximum SAR Value

Equipment Class	Mode	Highest SAR <sub>1g</sub> Head (W/kg)	Highest SAR <sub>1g</sub> Body-worn Tested at 10 mm (W/kg)	Highest SAR <sub>1g</sub> Hotspot Tested at 10 mm (W/kg)	Highest SAR <sub>10g</sub> Product Specific Tested at 0 mm (W/kg)
PCE	GSM850	0.15	0.90	0.90	N/A
	GSM1900	0.06	0.24	0.55	N/A
	WCDMA II	0.11	0.30	0.66	N/A
	WCDMA IV	0.11	0.40	1.13	N/A
	WCDMA V	0.16	0.63	0.63	N/A
	LTE 2	0.07	0.28	0.71	N/A
	LTE 4	0.10	0.33	0.85	N/A
	LTE 5	0.14	0.51	0.51	N/A
	LTE 7	0.12	0.44	1.03	N/A
	LTE 12 / 17	0.03	0.18	0.18	N/A
	LTE 13	0.12	0.51	0.51	N/A
LTE 41 / 38	0.09	0.35	0.65	N/A	
DTS	2.4G WLAN	0.22	0.03	0.06	N/A
NII	5.3G WLAN	0.09	0.03	N/A	0.38
	5.6G WLAN	0.26	0.05	N/A	0.45
	5.8G WLAN	0.23	0.04	N/A	0.43
DSS	Bluetooth	0.09	0.02	0.03	N/A
DXX	NFC	N/A	N/A	N/A	N/A

Highest Simultaneous Transmission SAR	Highest SAR <sub>1g</sub> Head (W/kg)	Highest SAR <sub>1g</sub> Body-worn Tested at 10 mm (W/kg)	Highest SAR <sub>1g</sub> Hotspot Tested at 10 mm (W/kg)	Highest SAR <sub>10g</sub> Product Specific Tested at 0 mm (W/kg)
	0.58	0.96	1.13	N/A

### Note:

- The SAR criteria (**Head & Body: SAR-1g1.6 W/kg, and Extremity: SAR-10g 4.0 W/kg**) for general population/uncontrolled exposure is specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992.
- This device supports both LTE band 12 and band 17. The frequency span of LTE band 12 can completely cover LTE band 17, and they has the same tune-up power. SAR was tested for LTE band 12 only.
- This device supports both LTE band 41 and band 38. The frequency span of LTE band 41 can completely cover LTE band 38, and tune-up power of LTE 41 is greater than LTE 38. SAR was tested for LTE band 41 only.

**Test Reference Guidance:** IEEE C95.1:1992

## 2. Description of Equipment Under Test

<b>EUT Type</b>	Smart Phone
<b>FCC ID</b>	APYHRO00316
<b>Brand Name</b>	SHARP
<b>Tx Frequency Bands (Unit: MHz)</b>	GSM850 : 824.2 ~ 848.8 GSM1900 : 1850.2 ~ 1909.8 WCDMA Band II : 1852.4 ~ 1907.6 WCDMA Band IV : 1712.4 ~ 1752.6 WCDMA Band V : 826.4 ~ 846.6 LTE Band 2 : 1850.7 ~ 1909.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 4 : 1710.7 ~ 1754.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 5 : 824.7 ~ 848.3 (BW: 1.4M, 3M, 5M, 10M) LTE Band 7 : 2502.5 ~ 2567.5 (BW: 5M, 10M, 15M, 20M) LTE Band 12 : 699.7 ~ 715.3 (BW: 1.4M, 3M, 5M, 10M) LTE Band 13 : 779.5 ~ 784.5 (BW: 5M, 10M) LTE Band 17 : 706.5 ~ 713.5 (BW: 5M, 10M) LTE Band 38 : 2572.5 ~ 2617.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41 : 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) WLAN : 2412 ~ 2462, 5180 ~ 5240, 5260 ~ 5320, 5500 ~ 5720, 5745 ~ 5825 Bluetooth : 2402 ~ 2480 NFC : 13.56
<b>Uplink Modulations</b>	GSM & GPRS : GMSK WCDMA : QPSK LTE : QPSK, 16QAM, 64QAM 802.11b : DSSS 802.11a/g/n/ac : OFDM 802.11ax : OFDMA Bluetooth : GFSK, $\pi/4$ -DQPSK, 8DPSK NFC : ASK
<b>Maximum Tune-up Conducted Power (Unit: dBm)</b>	Please refer to Annex D.
<b>Antenna Type</b>	PIFA Antenna
<b>EUT Stage</b>	Engineering Sample

**Note:**

- The above EUT information is declared by manufacturer and for more detailed features description please refers to the manufacturer's specifications or User's Manual.

**List of Accessory:**

<b>Battery</b>	<b>Power Rating</b>	3.87Vdc, Rated 4870mAh (18.9Wh), Typ. 5000mAh (19.4Wh)
	<b>Type</b>	Li-ion

**List of support unit:**

<b>Headset</b>	<b>Brand Name</b>	Ambibio
	<b>Model Name</b>	AB-HI02JS

### **3. SAR Measurement System**

#### **3.1 Definition of Specific Absorption Rate (SAR)**

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density ( $\rho$ ). The equation description is as below:

$$\text{SAR} = \frac{d}{dt} \left( \frac{dW}{dm} \right) = \frac{d}{dt} \left( \frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be related to the electrical field in the tissue by

$$\text{SAR} = \frac{\sigma |E|^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of the tissue and E is the RMS electrical field strength.

#### **3.2 SPEAG DASY6 System**

DASY6 system consists of high precision robot, probe alignment sensor, phantom, robot controller, controlled measurement server and near-field probe. The robot includes six axes that can move to the precision position of the DASY6 software defined. The DASY6 software can define the area that is detected by the probe. The robot is connected to controlled box. Controlled measurement server is connected to the controlled robot box. The DAE includes amplifier, signal multiplexing, AD converter, offset measurement and surface detection. It is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC.

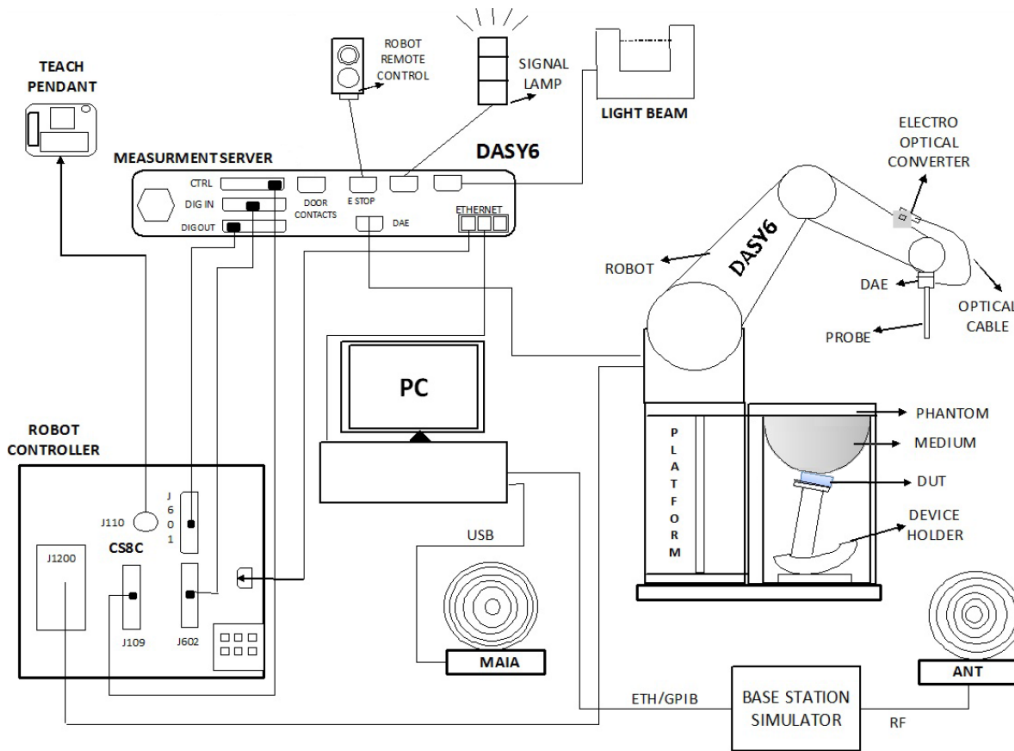


Fig-3.1 SPEAG DASY6 System Setup

### 3.2.1 Robot

The DASY6 systems use the high precision robots from Stäubli SA (France). For the 6-axis controller system, the robot controller version of CS8c from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability  $\pm 0.035$  mm)
- High reliability (industrial design)
- Jerk-free straight movements
- Low ELF interference (the closed metallic construction shields against motor control fields)




Fig-3.2 SPEAG DASY6 System


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### 3.2.2 Probes

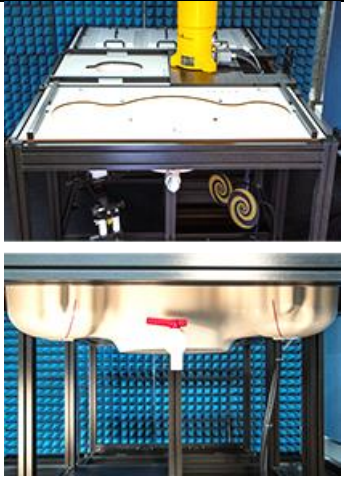
The SAR measurement is conducted with the dosimetric probe. The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency.

<b>Model</b>	EX3DV4	
<b>Construction</b>	Symmetrical design with triangular core. Built-in shielding against static charges. PEEK enclosure material (resistant to organic solvents, e.g., DGBE).	
<b>Frequency</b>	4 MHz to 10 GHz Linearity: $\pm 0.2$ dB	
<b>Directivity</b>	$\pm 0.1$ dB in TSL (rotation around probe axis) $\pm 0.3$ dB in TSL (rotation normal to probe axis)	
<b>Dynamic Range</b>	10 $\mu$ W/g to 100 mW/g Linearity: $\pm 0.2$ dB (noise: typically $< 1$ $\mu$ W/g)	
<b>Dimensions</b>	Overall length: 337 mm (Tip: 20 mm) Tip diameter: 2.5 mm (Body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

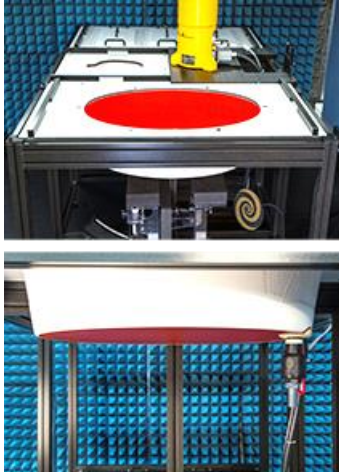
### 3.2.3 Data Acquisition Electronics (DAE)

<b>Model</b>	DAE3, DAE4	
<b>Construction</b>	Signal amplifier, multiplexer, A/D converter and control logic. Serial optical link for communication with DASY embedded system (fully remote controlled). Two step probe touch detector for mechanical surface detection and emergency robot stop.	
<b>Measurement Range</b>	-100 to +300 mV (16 bit resolution and two range settings: 4mV, 400mV)	
<b>Input Offset Voltage</b>	$< 5$ $\mu$ V (with auto zero)	
<b>Input Bias Current</b>	$< 50$ fA	
<b>Dimensions</b>	60 x 60 x 68 mm	


### 3.2.4 Phantoms


<b>Model</b>	SAM-Twin Phantom	
<b>Construction</b>	The shell corresponds to the specifications of the Specific Anthropomorphic Mannequin (SAM) phantom defined in IEEE Std 1528 and IEC 62209-1. It enables the dosimetric evaluation of left and right hand phone usage as well as body-mounted usage at the flat phantom region. A cover prevents evaporation of the liquid. Reference markings on the phantom allow the complete setup of all predefined phantom positions and measurement grids by teaching three points with the robot.	
<b>Material</b>	Vinylester, fiberglass reinforced (VE-GF)	
<b>Shell Thickness</b>	$2 \pm 0.2$ mm ( $6 \pm 0.2$ mm at ear point)	
<b>Dimensions</b>	Length: 1000 mm Width: 500 mm Height: adjustable feet	
<b>Filling Volume</b>	approx. 25 liters	




<b>Model</b>	ELI	
<b>Construction</b>	The ELI phantom is used for compliance testing of handheld and body-mounted wireless devices. ELI is fully compatible with the IEC 62209-2 standard and all known tissue simulating liquids. ELI has been optimized regarding its performance and can be integrated into our standard phantom tables. A cover prevents evaporation of the liquid. Reference markings on the phantom allow installation of the complete setup, including all predefined phantom positions and measurement grids, by teaching three points. The phantom is compatible with all SPEAG dosimetric probes and dipoles.	
<b>Material</b>	Vinylester, fiberglass reinforced (VE-GF)	
<b>Shell Thickness</b>	2.0 ± 0.2 mm (bottom plate)	
<b>Dimensions</b>	Major axis: 600 mm Minor axis: 400 mm	
<b>Filling Volume</b>	approx. 30 liters	


### 3.2.5 Device Holder

<b>Model</b>	MD4HHTV5 - Mounting Device for Hand-Held Transmitters	
<b>Construction</b>	In combination with the Twin SAM or ELI phantoms, the Mounting Device for Hand-Held Transmitters enables rotation of the mounted transmitter device to specified spherical coordinates. At the heads, the rotation axis is at the ear opening. Transmitter devices can be easily and accurately positioned according to IEC 62209-1, IEEE 1528, FCC, or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat).	
<b>Material</b>	Polyoxymethylene (POM)	


<b>Model</b>	MDA4WTV5 - Mounting Device Adaptor for Ultra Wide Transmitters	
<b>Construction</b>	An upgrade kit to Mounting Device to enable easy mounting of wider devices like big smart-phones, e-books, small tablets, etc. It holds devices with width up to 140 mm.	
<b>Material</b>	Polyoxymethylene (POM)	

<b>Model</b>	MDA4SPV6 - Mounting Device Adaptor for Smart Phones	
<b>Construction</b>	The solid low-density MDA4SPV6 adaptor assuring no impact on the DUT radiation performance and is conform with any DUT design and shape.	
<b>Material</b>	ROHACELL	


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<b>Model</b>	MD4LAPV5 - Mounting Device for Laptops and other Body-Worn Transmitters	
<b>Construction</b>	In combination with the Twin SAM or ELI phantoms, the Mounting Device (Body-Worn) enables testing of transmitter devices according to IEC 62209-2 specifications. The device holder can be locked for positioning at a flat phantom section.	
<b>Material</b>	Polyoxymethylene (POM), PET-G, Foam	

### 3.2.6 System Validation Dipoles

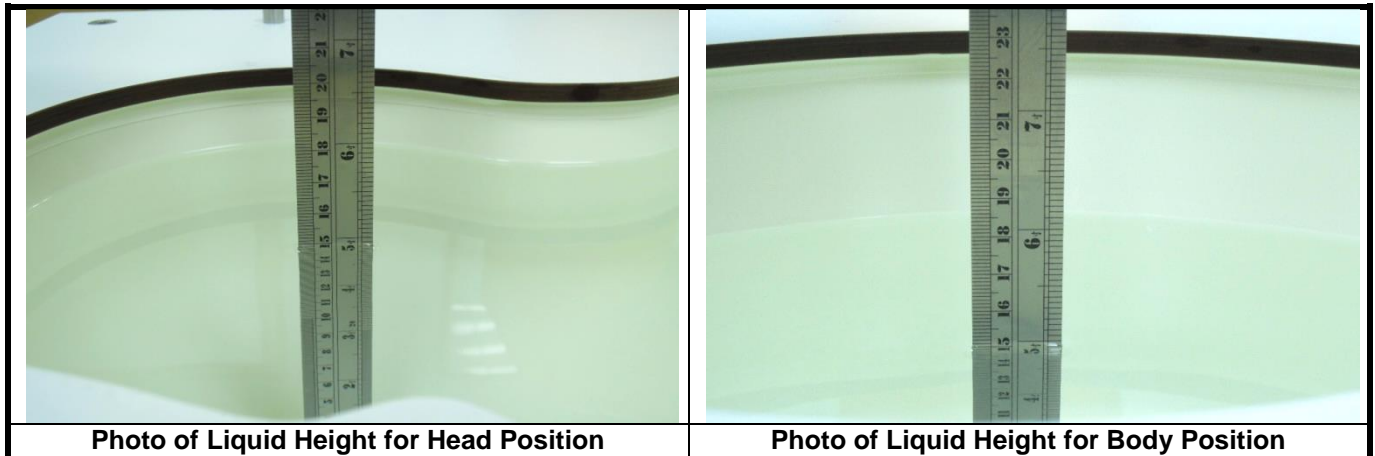
<b>Model</b>	D-Serial	
<b>Construction</b>	Symmetrical dipole with 1/4 balun. Enables measurement of feed point impedance with NWA. Matched for use near flat phantoms filled with tissue simulating solutions.	
<b>Frequency</b>	750 MHz to 5800 MHz	
<b>Return Loss</b>	> 20 dB	
<b>Power Capability</b>	> 100 W (f < 1GHz), > 40 W (f > 1GHz)	

### 3.2.7 Power Source

<b>Model</b>	Powersource1	
<b>Signal Type</b>	Continuous Wave	
<b>Operating Frequencies</b>	600 MHz to 5850 MHz	
<b>Output Power</b>	-5.0 dBm to +17.0 dBm	
<b>Power Supply</b>	5V DC, via USB jack	
<b>Power Consumption</b>	<3 W	
<b>Applications</b>	System performance check and validation with a CW signal.	

**3.2.8 Tissue Simulating Liquids**

For SAR measurement of the field distribution inside the phantom, the phantom must be filled with homogeneous tissue simulating liquid to a depth of at least 15 cm. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm. The nominal dielectric values of the tissue simulating liquids in the phantom and the tolerance of 10 % are listed in Table-3.1.



**Table-3.1 Targets of Tissue Simulating Liquid**

Frequency (MHz)	Target Permittivity	Range of ±10 %	Target Conductivity	Range of ±10 %
450	43.5	39.2 ~ 47.9	0.87	0.78 ~ 0.96
750	41.9	37.7 ~ 46.1	0.89	0.80 ~ 0.98
835	41.5	37.4 ~ 45.7	0.90	0.81 ~ 0.99
900	41.5	37.4 ~ 45.7	0.97	0.87 ~ 1.07
1450	40.5	36.5 ~ 44.6	1.20	1.08 ~ 1.32
1500	40.4	36.4 ~ 44.4	1.23	1.11 ~ 1.35
1640	40.2	36.2 ~ 44.2	1.31	1.18 ~ 1.44
1750	40.1	36.1 ~ 44.1	1.37	1.23 ~ 1.51
1800	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
1900	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
2000	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
2100	39.8	35.8 ~ 43.8	1.49	1.34 ~ 1.64
2300	39.5	35.6 ~ 43.5	1.67	1.50 ~ 1.84
2450	39.2	35.3 ~ 43.1	1.80	1.62 ~ 1.98
2600	39.0	35.1 ~ 42.9	1.96	1.76 ~ 2.16
3000	38.5	34.7 ~ 42.4	2.40	2.16 ~ 2.64
3500	37.9	34.1 ~ 41.7	2.91	2.62 ~ 3.20
4000	37.4	33.7 ~ 41.1	3.43	3.09 ~ 3.77
4500	36.8	33.1 ~ 40.5	3.94	3.55 ~ 4.33
5000	36.2	32.6 ~ 39.8	4.45	4.01 ~ 4.90
5200	36.0	32.4 ~ 39.6	4.66	4.19 ~ 5.13
5400	35.8	32.2 ~ 39.4	4.86	4.37 ~ 5.35
5600	35.5	32.0 ~ 39.1	5.07	4.56 ~ 5.58
5800	35.3	31.8 ~ 38.8	5.27	4.74 ~ 5.80
6000	35.1	31.6 ~ 38.6	5.48	4.93 ~ 6.03

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The dielectric properties of the tissue simulating liquids are defined in IEC 62209-1 and IEC 62209-2. The dielectric properties of the tissue simulating liquids were verified prior to the SAR evaluation using a dielectric assessment kit and a network analyzer.

Since the range of  $\pm 10\%$  of the required target values is used to measure relative permittivity and conductivity, the SAR correction procedure is applied to correct measured SAR for the deviations in permittivity and conductivity. Only positive correction has been used to scale up the measured SAR, and SAR result would not be corrected if the correction  $\Delta$  SAR has a negative sign.

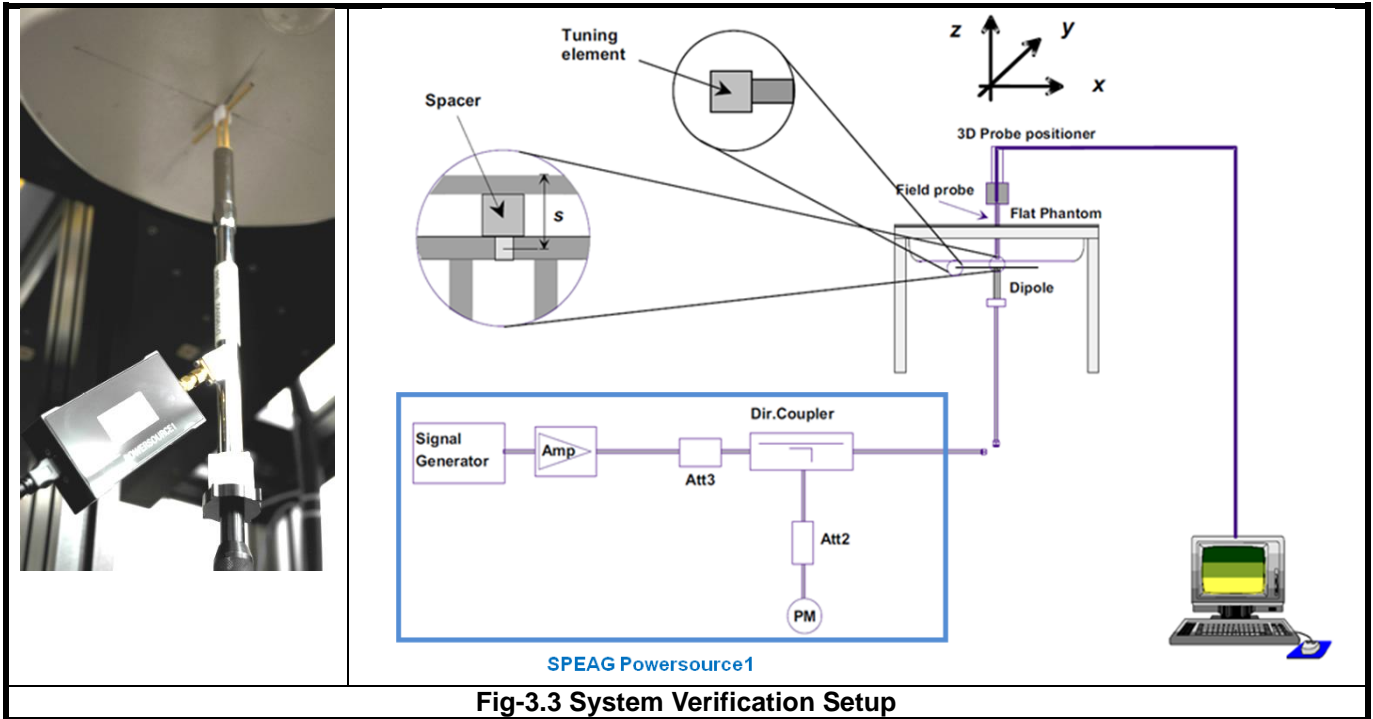
The following table gives the recipes for tissue simulating liquids.

**Table-3.2 Recipes of Tissue Simulating Liquid**

Tissue Type	Bactericide	DGBE	HEC	NaCl	Sucrose	Triton X-100	Water	Diethylene Glycol Mono-hexylether
H750	0.2	-	0.2	1.5	56.0	-	42.1	-
H835	0.2	-	0.2	1.5	57.0	-	41.1	-
H900	0.2	-	0.2	1.4	58.0	-	40.2	-
H1450	-	43.3	-	0.6	-	-	56.1	-
H1640	-	45.8	-	0.5	-	-	53.7	-
H1750	-	47.0	-	0.4	-	-	52.6	-
H1800	-	44.5	-	0.3	-	-	55.2	-
H1900	-	44.5	-	0.2	-	-	55.3	-
H2000	-	44.5	-	0.1	-	-	55.4	-
H2300	-	44.9	-	0.1	-	-	55.0	-
H2450	-	45.0	-	0.1	-	-	54.9	-
H2600	-	45.1	-	0.1	-	-	54.8	-
H3500	-	8.0	-	0.2	-	20.0	71.8	-
H5G	-	-	-	-	-	17.2	65.5	17.3

**3.3 SAR System Verification**

The system check verifies that the system operates within its specifications. It is performed daily or before every SAR measurement. The system check uses normal SAR measurements in the flat section of the phantom with a matched dipole at a specified distance. The system verification setup is shown as below.



**Fig-3.3 System Verification Setup**

The SPEAG Powersource1 is a portable and very stable RF source providing a continuous wave (CW) signal. It is designed for conducting SAR system checks and SAR system validation of DASYS and is compatible with IEC 62209-1, IEC 62209-2 and IEEE Std 1528 standards. The Powersource1 has been calibrated by SPEAG's ISO/IEC 17025-accredited calibration center. When using Powersource1, the setup can be simplified, as shown in Fig-3.3. The signal purity is warranted by design. Since the Powersource1 is calibrated, no additional equipment is needed and the Powersource1 can directly be connected to the SMA connector of the dipole without a cable as all separate components (signal generator, amplifier, coupler and power meter) are built into the unit.

The validation dipole is placed beneath the flat phantom with the specific spacer in place. The distance spacer is touched to the phantom surface with a light pressure at the reference marking and is oriented parallel to the long side of the phantom. The Powersource1 is adjusted for the desired forward power of 17 dBm at the dipole connector and the RF output power would be turned on. After system check testing, the SAR result will be normalized to 1W forward input power and compared with the reference SAR value derived from validation dipole certificate report. The deviation of system check should be within 10 %.

**3.4 SAR Measurement Procedure**

According to the SAR test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

The SAR measurement procedures for each of test conditions are as follows:

- (a) Make EUT to transmit maximum output power
- (b) Measure conducted output power through RF cable
- (c) Place the EUT in the specific position of phantom
- (d) Perform SAR testing steps on the DASY system
- (e) Record the SAR value

**3.4.1 Area Scan and Zoom Scan Procedure**

First area scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an area scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, zoom scan is required. The zoom scan is performed around the highest E-field value to determine the averaged SAR-distribution.

Measure the local SAR at a test point at 1.4 mm of the inner surface of the phantom recommended by SEPAG. The area scan (two-dimensional SAR distribution) is performed cover at least an area larger than the projection of the EUT or antenna. The measurement resolution and spatial resolution for interpolation shall be chosen to allow identification of the local peak locations to within one-half of the linear dimension of the corresponding side of the zoom scan volume. Following table provides the measurement parameters required for the area scan.

Parameter	$f \leq 3 \text{ GHz}$	$3 \text{ GHz} < f \leq 6 \text{ GHz}$
Maximum distance from closest measurement point to phantom surface	$5 \pm 1$	$\delta \ln(2)/2 \pm 0.5$
Maximum probe angle from probe axis to phantom surface normal at the measurement location	$30^\circ \pm 1^\circ$	$20^\circ \pm 1^\circ$
Maximum area scan spatial resolution: $\Delta x_{Area}, \Delta y_{Area}$	$\leq 2 \text{ GHz: } \leq 15 \text{ mm}$ $2 - 3 \text{ GHz: } \leq 12 \text{ mm}$	$3 - 4 \text{ GHz: } \leq 12 \text{ mm}$ $4 - 6 \text{ GHz: } \leq 10 \text{ mm}$

From the scanned SAR distribution, identify the position of the maximum SAR value, in addition identify the positions of any local maxima with SAR values within 2 dB of the maximum value that will not be within the zoom scan of other peaks. Additional peaks shall be measured only when the primary peak is within 2 dB of the SAR compliance limit (e.g. 1 W/kg for 1.6 W/kg, 1 g limit; or 1.26 W/kg for 2 W/kg, 10 g limit).

The zoom scan (three-dimensional SAR distribution) is performed at the local maxima locations identified in previous area scan procedure. The zoom scan volume must be larger than the required minimum dimensions. When graded grids are used, which only applies in the direction normal to the phantom surface, the initial grid separation closest to the phantom surface and subsequent graded grid increment ratios must satisfy the required protocols. The 1-g SAR averaging volume must be fully contained within the zoom scan measurement volume boundaries; otherwise, the measurement must be repeated by shifting or expanding the zoom scan volume. The similar requirements also apply to 10-g SAR measurements. Following table provides the measurement parameters required for the zoom scan.

Parameter		$f \leq 3$ GHz	$3 \text{ GHz} < f \leq 6$ GHz
Maximum zoom scan spatial resolution: $\Delta x_{\text{zoom}}, \Delta y_{\text{zoom}}$		$\leq 2$ GHz: $\leq 8$ mm 2 – 3 GHz: $\leq 5$ mm	3 – 4 GHz: $\leq 5$ mm 4 – 6 GHz: $\leq 4$ mm
Maximum zoom scan spatial resolution, normal to phantom surface	<i>uniform grid:</i> $\Delta z_{\text{zoom}}(n)$	$\leq 5$ mm	3 – 4 GHz: $\leq 4$ mm 4 – 5 GHz: $\leq 3$ mm 5 – 6 GHz: $\leq 2$ mm
	<i>graded grids:</i> $\Delta z_{\text{zoom}}(1)$	$\leq 4$ mm	3 – 4 GHz: $\leq 3.0$ mm 4 – 5 GHz: $\leq 2.5$ mm 5 – 6 GHz: $\leq 2.0$ mm
	$\Delta z_{\text{zoom}}(n>1)$	$\leq 1.5 \cdot \Delta z_{\text{zoom}}(n-1)$ mm	
Minimum zoom scan volume (x, y, z)		$\geq 30$ mm	3 – 4 GHz: $\geq 28$ mm 4 – 5 GHz: $\geq 25$ mm 5 – 6 GHz: $\geq 22$ mm

Per IEC 62209-2 AMD1, the successively higher resolution zoom scan is required if the zoom scan measured as defined above complies with both of the following criteria, or if the peak spatial-average SAR is below 0.1 W/kg, no additional measurements are needed:

- (1) The smallest horizontal distance from the local SAR peaks to all points 3 dB below the SAR peak shall be larger than the horizontal grid steps in both x and y directions ( $\Delta x, \Delta y$ ). This shall be checked for the measured zoom scan plane conformal to the phantom at the distance  $z_{M1}$ .
- (2) The ratio of the SAR at the second measured point (M2) to the SAR at the closest measured point (M1) at the x-y location of the measured maximum SAR value shall be at least 30 %.

If one or both of the above criteria are not met, the zoom scan measurement shall be repeated using a finer resolution. New horizontal and vertical grid steps shall be determined from the measured SAR distribution so that the above criteria are met. Compliance with the above two criteria shall be demonstrated for the new measured zoom scan.

### 3.4.2 Volume Scan Procedure

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

### 3.4.3 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drift more than 5%, the SAR will be retested.

### 3.4.4 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values from the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

### 3.4.5 SAR Averaged Methods

In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5 mm.



### **4. SAR Measurement Evaluation**

#### **4.1 EUT Configuration and Setting**

##### **<Connections between EUT and System Simulator>**

For WWAN SAR testing, the EUT was linked and controlled by base station emulator. Communication between the EUT and the emulator was established by air link. The distance between the EUT and the communicating antenna of the emulator is larger than 50 cm and the output power radiated from the emulator antenna is at least 30 dB smaller than the output power of EUT. The EUT was set from the emulator to radiate maximum output power during SAR testing.

##### **<Considerations Related to GSM / GPRS for Setup and Testing>**

The maximum multi-slot capability supported by this device is as below.

1. This EUT is class B device
2. This EUT supports GPRS multi-slot class 12 (max. uplink: 4, max. downlink: 4, total timeslots: 5)

For GSM850 frequency band, the power control level is set to 5 for GSM mode and GPRS (GMSK: CS1),. For GSM1900 frequency band, the power control level is set to 0 for GSM mode and GPRS (GMSK: CS1).

SAR test reduction for GPRS modes is determined by the source-based time-averaged output power specified for production units, including tune-up tolerance. The data mode with highest specified time-averaged output power should be tested for SAR compliance in the applicable exposure conditions. For modes with the same specified maximum output power and tolerance, the higher number time-slot configuration should be tested.

## SAR Test Report

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### <Considerations Related to WCDMA for Setup and Testing>

#### WCDMA Handsets Head SAR

SAR for next to the ear head exposure is measured using a 12.2 kbps RMC with TPC bits configured to all "1's". The 3G SAR test reduction procedure is applied to AMR configurations with 12.2 kbps RMC as the primary mode.

#### WCDMA Handsets Body-worn SAR

SAR for body-worn configurations is measured using a 12.2 kbps RMC with TPC bits configured to all "1's". The 3G SAR test reduction procedure is applied to other spreading codes and multiple DPDCH<sub>n</sub> configurations supported by the handset with 12.2 kbps RMC as the primary mode.

#### Handsets with Release 5 HSDPA

The 3G SAR test reduction procedure is applied to HSDPA body-worn configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSDPA using the HSDPA body SAR procedures in the "Release 5 HSDPA Data Devices", for the highest reported SAR body-worn exposure configuration in 12.2 kbps RMC. Handsets with both HSDPA and HSUPA are tested according to Release 6 HSPA test procedures.

#### Handsets with Release 6 HSUPA

The 3G SAR test reduction procedure is applied to HSPA (HSUPA/HSDPA with RMC) body-worn configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSPA using the HSPA body SAR procedures in the "Release 6 HSPA Data Devices", for the highest reported body-worn exposure SAR configuration in 12.2 kbps RMC. When VOIP is applicable for next to the ear head exposure in HSPA, the 3G SAR test reduction procedure is applied to HSPA with 12.2 kbps RMC as the primary mode; otherwise, the same HSPA configuration used for body-worn measurements is tested for next to the ear head exposure.

#### Release 5 HSDPA Data Devices

The 3G SAR test reduction procedure is applied to body SAR with 12.2 kbps RMC as the primary mode. Otherwise, body SAR for HSDPA is measured using an FRC with H-Set 1 in Sub-test 1 and a 12.2 kbps RMC configured in Test Loop Mode 1, for the highest reported SAR configuration in 12.2 kbps RMC without HSDPA. HSDPA is configured according to the applicable UE category of a test device. The number of HS-DSCH/HS-PDSCHs, HARQ processes, minimum inter-TTI interval, transport block sizes and RV coding sequence are defined by the H-set. To maintain a consistent test configuration and stable transmission conditions, QPSK is used in the H-set for SAR testing. HS-DPCCH should be configured with a CQI feedback cycle of 4 ms and a CQI repetition factor of 2 to maintain a constant rate of active CQI slots. DPCCH and DPDCH gain factors ( $\beta_c$ ,  $\beta_d$ ), and HS-DPCCH power offset parameters ( $\Delta_{ACK}$ ,  $\Delta_{NACK}$ ,  $\Delta_{CQI}$ ) are set according to values indicated in below. The CQI value is determined by the UE category, transport block size, number of HS-PDSCHs and modulation used in the H-set.

# SAR Test Report

Sub-test	$\beta_c$	$\beta_d$	$\beta_d$ (SF)	$\beta_c/\beta_d$	$\beta_{HS}^{(1)(2)}$	CM <sup>(3)</sup> (dB)	MPR <sup>(3)</sup> (dB)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15 <sup>(4)</sup>	15/15 <sup>(4)</sup>	64	12/15 <sup>(4)</sup>	24/15	1.0	0.0
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	15/15	4/15	64	15/4	30/15	1.5	0.5

Note 1:  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 30/15$  with  $\beta_{HS} = 30/15 * \beta_c$ .

Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA,  $\Delta_{ACK}$  and  $\Delta_{NACK} = 30/15$  with  $\beta_{HS} = 30/15 * \beta_c$ , and  $\Delta_{CQI} = 24/15$  with  $\beta_{HS} = 24/15 * \beta_c$ .

Note 3: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{HS}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the  $\beta_c/\beta_d$  ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 11/15$  and  $\beta_d = 15/15$ .

## Release 6 HSUPA Data Devices

The 3G SAR test reduction procedure is applied to body SAR with 12.2 kbps RMC as the primary mode. Otherwise, body SAR for HSPA is measured with E-DCH Sub-test 5, using H-Set 1 and QPSK for FRC and a 12.2 kbps RMC configured in Test Loop Mode 1 and power control algorithm 2, according to the highest reported body SAR configuration in 12.2 kbps RMC without HSPA. When VOIP applies to head exposure, the 3G SAR test reduction procedure is applied with 12.2 kbps RMC as the primary mode. Otherwise, the same HSPA configuration used for body SAR measurements are applied to head exposure testing. Due to inner loop power control requirements in HSPA, a communication test set is required for output power and SAR tests. The 12.2 kbps RMC, FRC H-set 1 and E-DCH configurations for HSPA are configured according to the  $\beta$  values indicated in below.

Sub-test	$\beta_c$	$\beta_d$	$\beta_d$ (SF)	$\beta_c/\beta_d$	$\beta_{HS}^{(1)}$	$\beta_{ec}$	$\beta_{ed}^{(4)(5)}$	$\beta_{ed}$ (SF)	$\beta_{ed}$ (Codes)	CM <sup>(2)</sup> (dB)	MPR <sup>(2)(6)</sup> (dB)	AG <sup>(5)</sup> Index	E-TFCI
1	11/15 <sup>(3)</sup>	15/15 <sup>(3)</sup>	64	11/15 <sup>(3)</sup>	22/15	209/225	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15	30/15	$\beta_{ed1}: 47/15$ $\beta_{ed2}: 47/15$	4	2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15	0	-	-	5/15	5/15	47/15	4	1	1.0	0.0	12	67

Note 1: For sub-test 1 to 4,  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 30/15$  with  $\beta_{HS} = 30/15 * \beta_c$ . For sub-test 5,  $\Delta_{ACK}$ ,  $\Delta_{NACK}$  and  $\Delta_{CQI} = 5/15$  with  $\beta_{HS} = 5/15 * \beta_c$ .

Note 2: CM = 1 for  $\beta_c/\beta_d = 12/15$ ,  $\beta_{HS}/\beta_c = 24/15$ . For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.

Note 3: For subtest 1 the  $\beta_c/\beta_d$  ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to  $\beta_c = 10/15$  and  $\beta_d = 15/15$ .

Note 4: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.

Note 5:  $\beta_{ed}$  can not be set directly; it is set by Absolute Grant Value.

Note 6: For subtests 2, 3 and 4, UE may perform E-DPDCH power scaling at max power which could results in slightly smaller MPR values.

**<Considerations Related to LTE for Setup and Testing>**

This device contains LTE transmitter which follows 3GPP standards, is category 3, supports both QPSK and QAM modulations, and supported LTE band and channel bandwidth is listed in below. The output power was tested per 3GPP TS 36.521-1 maximum transmit procedures for both QPSK and QAM modulation. The results please refer to section 4.6 of this report.

EUT Supported LTE Band and Channel Bandwidth						
LTE Band	BW 1.4 MHz	BW 3 MHz	BW 5 MHz	BW 10 MHz	BW 15 MHz	BW 20 MHz
2	V	V	V	V	V	V
4	V	V	V	V	V	V
5	V	V	V	V		
7			V	V	V	V
12	V	V	V	V		
13			V	V		
17			V	V		
38			V	V	V	V
41			V	V	V	V

The LTE maximum power reduction (MPR) in accordance with 3GPP TS 36.101 is active all times during LTE operation. The allowed MPR for the maximum output power is specified in below.

Modulation	Channel Bandwidth / RB Configurations						LTE MPR Setting (dB)
	BW 1.4 MHz	BW 3 MHz	BW 5 MHz	BW 10 MHz	BW 15 MHz	BW 20 MHz	
QPSK	> 5	> 4	> 8	> 12	> 16	> 18	1
16QAM	<= 5	<= 4	<= 8	<= 12	<= 16	<= 18	1
16QAM	> 5	> 4	> 8	> 12	> 16	> 18	2
64QAM	<= 5	<= 4	<= 8	<= 12	<= 16	<= 18	2
64QAM	> 5	> 4	> 8	> 12	> 16	> 18	3

**Note:** MPR is according to the standard and implemented in the circuit (mandatory).

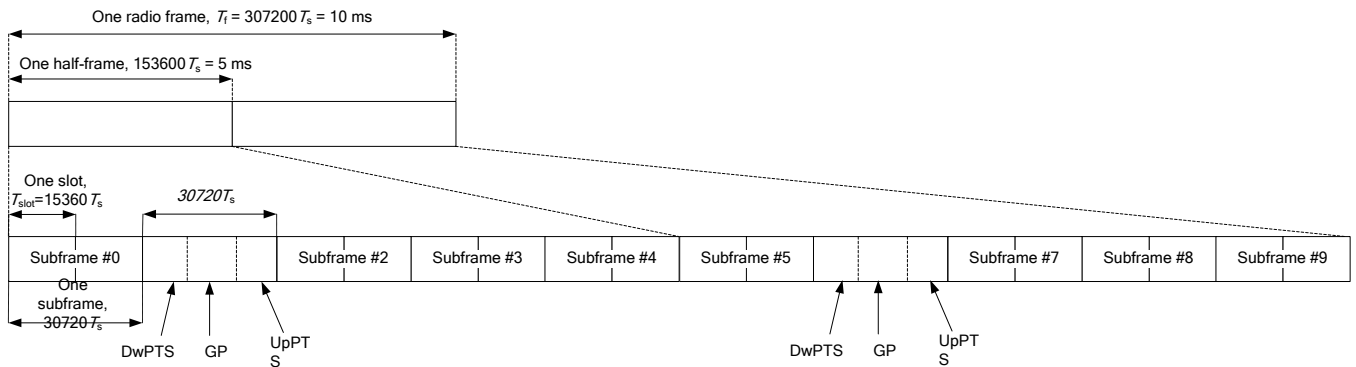
In addition, the device is compliant with additional maximum power reduction (A-MPR) requirements defined in 3GPP TS 36.101 section 6.2.4 that was disabled for all FCC compliance testing.

During LTE SAR testing, the related parameters of operating band, channel bandwidth, uplink channel number, modulation type, and RB was set in base station simulator. When the EUT has registered and communicated to base station simulator, the simulator set to make EUT transmitting the maximum radiated power.

# SAR Test Report

## TDD-LTE Setup Configurations

According to KDB 941225 D05, SAR testing for TDD-LTE device must be tested using a fixed periodic duty factor according to the highest transmission duty factor implemented for the device and supported by the defined 3GPP TDD-LTE configurations. The TDD-LTE of this device supports frame structure type 2 defined in 3GPP TS 36.211 section 4.2, and the frame structure configuration can be referred to below.



3GPP TS 36.211 Figure 4.2-1: Frame Structure Type 2

Special Subframe Configuration	Normal Cyclic Prefix in Downlink			Extended Cyclic Prefix in Downlink		
	DwPTS	UpPTS		DwPTS	UpPTS	
		Normal Cyclic Prefix in Uplink	Extended Cyclic Prefix in Uplink		Normal Cyclic Prefix in Uplink	Extended Cyclic Prefix in Uplink
0	$6592 \cdot T_s$	$2192 \cdot T_s$	$2560 \cdot T_s$	$7680 \cdot T_s$	$2192 \cdot T_s$	$2560 \cdot T_s$
1	$19760 \cdot T_s$			$20480 \cdot T_s$		
2	$21952 \cdot T_s$			$23040 \cdot T_s$		
3	$24144 \cdot T_s$			$25600 \cdot T_s$		
4	$26336 \cdot T_s$			$7680 \cdot T_s$		
5	$6592 \cdot T_s$	$4384 \cdot T_s$	$5120 \cdot T_s$	$20480 \cdot T_s$	$4384 \cdot T_s$	$5120 \cdot T_s$
6	$19760 \cdot T_s$			$23040 \cdot T_s$		
7	$21952 \cdot T_s$			$12800 \cdot T_s$		
8	$24144 \cdot T_s$	-	-	-	-	-
9	$13168 \cdot T_s$	-	-	-	-	-

3GPP TS 36.211 Table 4.2-1: Configuration of Special Subframe

Uplink-Downlink Configuration	Downlink-to-Uplink Switch-Point Periodicity	Subframe Number									
		0	1	2	3	4	5	6	7	8	9
0	5 ms	D	S	U	U	U	D	S	U	U	U
1	5 ms	D	S	U	U	D	D	S	U	U	D
2	5 ms	D	S	U	D	D	D	S	U	D	D
3	10 ms	D	S	U	U	U	D	D	D	D	D
4	10 ms	D	S	U	U	D	D	D	D	D	D
5	10 ms	D	S	U	D	D	D	D	D	D	D
6	5 ms	D	S	U	U	U	D	S	U	U	D

3GPP TS 36.211 Table 4.2-2: Uplink-Downlink Configurations

The variety of different TD-LTE uplink-downlink configurations allows a network operator to allocate the network's capacity between uplink and downlink traffic to meet the needs of the network. The uplink duty cycle of these seven configurations can readily be computed and shown in below.

UL-DL Configuration	0	1	2	3	4	5	6
Highest Duty-Cycle	63.33%	43.33%	23.33%	31.67%	21.67%	11.67%	53.33%

Considering the highest transmission duty cycle, TDD-LTE was tested using Uplink-Downlink Configuration 0 with 6 uplink subframe and 2 special subframe. The special subframe was set to special subframe configuration 7 using extended cyclic prefix uplink. Therefore, SAR testing for TDD-LTE was performed at the maximum output power with highest transmission duty cycle of 63.33%.

### <Considerations Related to WLAN for Setup and Testing>

In general, various vendor specific external test software and chipset based internal test modes are typically used for SAR measurement. These chipset based test mode utilities are generally hardware and manufacturer dependent, and often include substantial flexibility to reconfigure or reprogram a device. A Wi-Fi device must be configured to transmit continuously at the required data rate, channel bandwidth and signal modulation, using the highest transmission duty factor supported by the test mode tools for SAR measurement. The test frequencies established using test mode must correspond to the actual channel frequencies. When 802.11 frame gaps are accounted for in the transmission, a maximum transmission duty factor of 92 - 96% is typically achievable in most test mode configurations. A minimum transmission duty factor of 85% is required to avoid certain hardware and device implementation issues related to wide range SAR scaling. In addition, a periodic transmission duty factor is required for current generation SAR systems to measure SAR correctly. The reported SAR must be scaled to 100% transmission duty factor to determine compliance at the maximum tune-up tolerance limit.

According to KDB 248227 D01, this device has installed WLAN engineering testing software which can provide continuous transmitting RF signal. During WLAN SAR testing, this device was operated to transmit continuously at the maximum transmission duty with specified transmission mode, operating frequency, lowest data rate, and maximum output power.

### Initial Test Configuration

An initial test configuration is determined for OFDM transmission modes in 2.4 GHz and 5 GHz bands according to the channel bandwidth, modulation and data rate combination(s) with the highest maximum output power specified for production units in each standalone and aggregated frequency band. When the same maximum power is specified for multiple transmission modes in a frequency band, the largest channel bandwidth, lowest order modulation, lowest data rate and lowest order 802.11a/g/n/ac mode is used for SAR measurement, on the highest measured output power channel in the initial test configuration, for each frequency band.

### Subsequent Test Configuration

SAR measurement requirements for the remaining 802.11 transmission mode configurations that have not been tested in the initial test configuration are determined separately for each standalone and aggregated frequency band, in each exposure condition, according to the maximum output power specified for production units. Additional power measurements may be required to determine if SAR measurements are required for subsequent highest output power channels in a subsequent test configuration. When the highest reported SAR for the initial test configuration according to the initial test position or fixed exposure position requirements, is adjusted by the ratio of the subsequent test configuration to initial test configuration specified maximum output power and the adjusted SAR is  $\leq 1.2$  W/kg, SAR is not required for that subsequent test configuration.

### SAR Test Configuration and Channel Selection

When multiple channel bandwidth configurations in a frequency band have the same specified maximum output power, the initial test configuration is using largest channel bandwidth, lowest order modulation, lowest data rate, and lowest order 802.11 mode (i.e., 802.11a is chosen over 802.11n then 802.11ac or 802.11g is chosen over 802.11n). After an initial test configuration is determined, if multiple test channels have the same measured maximum output power, the channel chosen for SAR measurement is determined according to the following.

- 1) The channel closest to mid-band frequency is selected for SAR measurement.
- 2) For channels with equal separation from mid-band frequency; for example, high and low channels or two mid-band channels, the higher frequency (number) channel is selected for SAR measurement.

### Test Reduction for U-NII-1 (5.2 GHz) and U-NII-2A (5.3 GHz) Bands

For devices that operate in both U-NII bands using the same transmitter and antenna(s), SAR test reduction is determined according to the following.

- 1) When the same maximum output power is specified for both bands, begin SAR measurement in U-NII-2A band by applying the OFDM SAR requirements. If the highest reported SAR for a test configuration is  $\leq 1.2$  W/kg, SAR is not required for U-NII-1 band for that configuration (802.11 mode and exposure condition).
- 2) When different maximum output power is specified for the bands, begin SAR measurement in the band with higher specified maximum output power. The highest reported SAR for the tested configuration is adjusted by the ratio of lower to higher specified maximum output power for the two bands. When the adjusted SAR is  $\leq 1.2$  W/kg, SAR is not required for the band with lower maximum output power in that test configuration.

### <Considerations Related to Bluetooth for Setup and Testing>

Refer to Annex K.

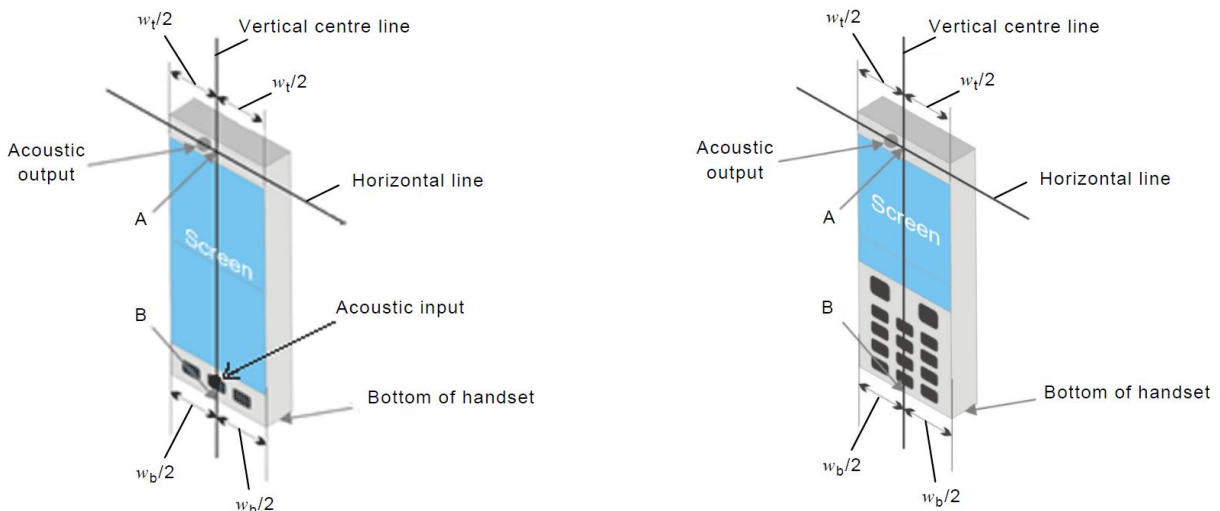
**4.2 EUT Testing Position**

According to KDB 648474 D04, handsets are tested for SAR compliance in head, body-worn accessory and other use configurations described in the following subsections.

**4.2.1 Head Exposure Conditions**

Head exposure is limited to next to the ear voice mode operations. Head SAR compliance is tested according to the test positions defined in IEEE Std 1528-2003 using the SAM phantom illustrated as below.

1. Define two imaginary lines on the handset
  - (a) The vertical centerline passes through two points on the front side of the handset - the midpoint of the width  $w_t$  of the handset at the level of the acoustic output, and the midpoint of the width  $w_b$  of the bottom of the handset.
  - (b) The horizontal line is perpendicular to the vertical centerline and passes through the center of the acoustic output. The horizontal line is also tangential to the face of the handset at point A.
  - (c) The two lines intersect at point A. Note that for many handsets, point A coincides with the center of the acoustic output; however, the acoustic output may be located elsewhere on the horizontal line. Also note that the vertical centerline is not necessarily parallel to the front face of the handset, especially for clamshell handsets, handsets with flip covers, and other irregularly shaped handsets.



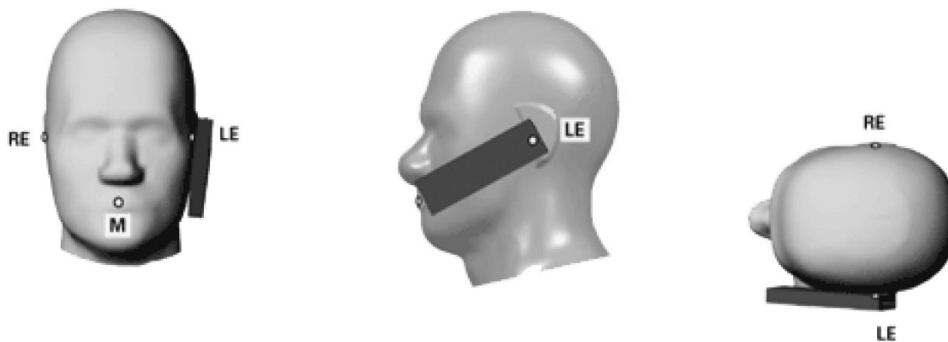
**Fig-4.1 Illustration for Handset Vertical and Horizontal Reference Lines**



## SAR Test Report

### 2. Cheek Position

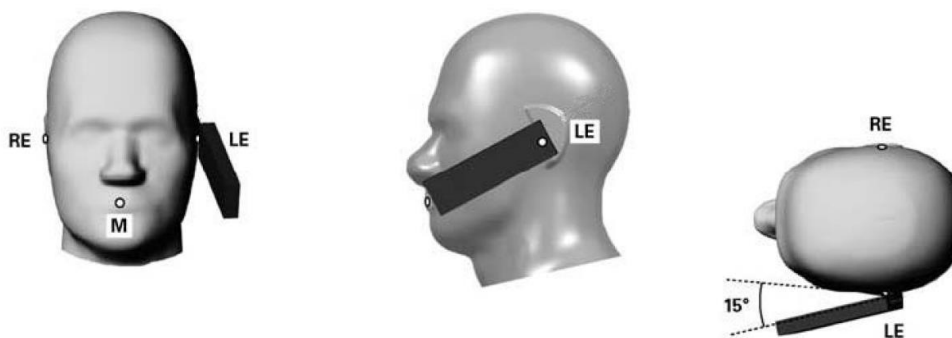
- (a) To position the device with the vertical center line of the body of the device and the horizontal line crossing the center piece in a plane parallel to the sagittal plane of the phantom. While maintaining the device in this plane, align the vertical center line with the reference plane containing the three ear and mouth reference point (M: Mouth, RE: Right Ear, and LE: Left Ear) and align the center of the ear piece with the line RE-LE.
- (b) To move the device towards the phantom with the ear piece aligned with the line LE-RE until the phone touched the ear. While maintaining the device in the reference plane and maintaining the phone contact with the ear, move the bottom of the phone until any point on the front side is in contact with the cheek of the phantom or until contact with the ear is lost (see Fig-4.2).



**Fig-4.2 Illustration for Cheek Position**

### 3. Tilted Position

- (a) To position the device in the "cheek" position described above.
- (b) While maintaining the device the reference plane described above and pivoting against the ear, moves it outward away from the mouth by an angle of 15 degrees or until contact with the ear is lost (see Fig-4.3).



**Fig-4.3 Illustration for Tilted Position**

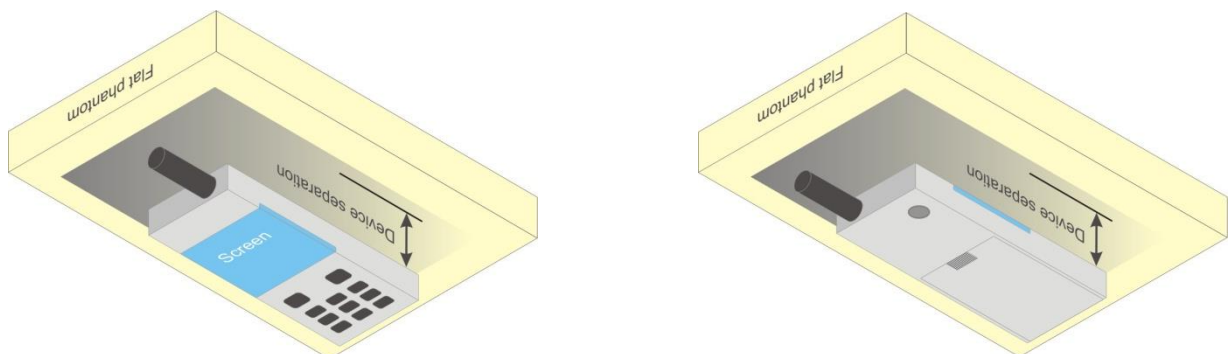
**4.2.2 Body-worn Accessory Exposure Conditions**

Body-worn accessory exposure is typically related to voice mode operations when handsets are carried in body-worn accessories. The body-worn accessory procedures in KDB 447498 D01 are used to test for body-worn accessory SAR compliance, without a headset connected to it. This enables the test results for such configuration to be compatible with that required for hotspot mode when the body-worn accessory test separation distance is greater than or equal to that required for hotspot mode. When the reported SAR for a body-worn accessory, measured without a headset connected to the handset, is  $> 1.2 \text{ W/kg}$ , the highest reported SAR configuration for that wireless mode and frequency band should be repeated for that body-worn accessory with a headset attached to the handset.

Body-worn accessories that do not contain metallic or conductive components may be tested according to worst-case exposure configurations, typically according to the smallest test separation distance required for the group of body-worn accessories with similar operating and exposure characteristics. All body-worn accessories containing metallic components are tested in conjunction with the host device.

Body-worn accessory SAR compliance is based on a single minimum test separation distance for all wireless and operating modes applicable to each body-worn accessory used by the host, and according to the relevant voice and/or data mode transmissions and operations. If a body-worn accessory supports voice only operations in its normal and expected use conditions, testing of data mode for body-worn compliance is not required.

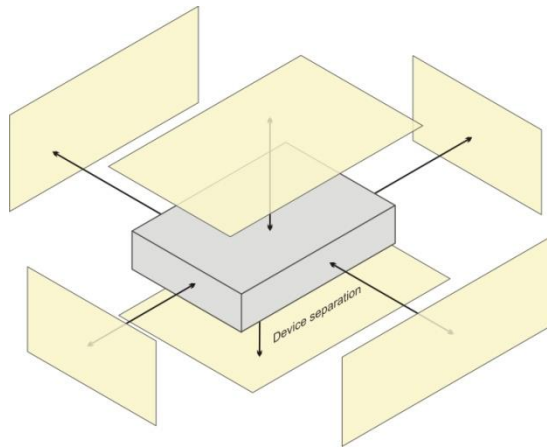
A conservative minimum test separation distance for supporting off-the-shelf body-worn accessories that may be acquired by users of consumer handsets is used to test for body-worn accessory SAR compliance. This distance is determined by the handset manufacturer, according to the requirements of Supplement C 01-01. Devices that are designed to operate on the body of users using lanyards and straps, or without requiring additional body-worn accessories, will be tested using a conservative minimum test separation distance  $\leq 5 \text{ mm}$  to support compliance.



**Fig-4.4 Illustration for Body Worn Position**

**4.2.3 Hotspot Mode Exposure Conditions**

For handsets that support hotspot mode operations, with wireless router capabilities and various web browsing functions, the relevant hand and body exposure conditions are tested according to the hotspot SAR procedures in KDB 941225 D06. A test separation distance of 10 mm is required between the phantom and all surfaces and edges with a transmitting antenna located within 25 mm from that surface or edge. When the form factor of a handset is smaller than 9 cm x 5 cm, a test separation distance of 5 mm (instead of 10 mm) is required for testing hotspot mode. When the separation distance required for body-worn accessory testing is larger than or equal to that tested for hotspot mode, in the same wireless mode and for the same surface of the phone, the hotspot mode SAR data may be used to support body-worn accessory SAR compliance for that particular configuration (surface).



Based on the antenna location shown on appendix, the SAR testing required for hotspot mode is listed as below.

Antenna	Front Face	Rear Face	Left Side	Right Side	Top Side	Bottom Side
WWAN Ant-1	✓	✓	✓			✓
WWAN Ant-3	✓	✓		✓		✓
WLAN Ant 4+8	✓	✓	✓	✓	✓	
BT Ant 8	✓	✓	✓		✓	

### 4.2.4 Product Specific (Phablet) Exposure Conditions

For smart phones with a display diagonal dimension  $> 15$  cm or an overall diagonal dimension  $> 16$  cm that provide similar mobile web access and multimedia support found in mini-tablets or UMPC mini-tablets that support voice calls next to the ear, the following Phablet procedures should be applied to evaluate SAR compliance for each applicable wireless mode and frequency band. Devices marketed as Phablets, regardless of form factors and operating characteristics must be tested as a Phablet to determine SAR compliance.

1. The normally required head and body-worn accessory SAR test procedures for handsets, including hotspot mode, must be applied.
2. The UMPC mini-tablet procedures must also be applied to test the SAR of all surfaces and edges with an antenna located at  $\leq 25$  mm from that surface or edge, in direct contact with a flat phantom, for 10-g extremity SAR according to the body-equivalent tissue dielectric parameters in KDB 865664 to address interactive hand use exposure conditions. The UMPC mini-tablet 1-g SAR at 5 mm is not required. When hotspot mode applies, 10-g extremity SAR is required only for the surfaces and edges with hotspot mode 1-g SAR  $> 1.2$  W/kg. The normal tablet procedures in KDB 616217 are required when the over diagonal dimension of the device is  $> 20$  cm. Hotspot mode SAR is not required when normal tablet procedures are applied. Extremity 10-g SAR is also not required for the front (top) surface of large form factor full size tablets. The more conservative tablet SAR results can be used to support the 10-g extremity SAR for Phablet mode.
3. The simultaneous transmission operating configurations applicable to voice and data transmissions for both phone and mini-tablet modes must be taken into consideration separately for 1-g and 10-g SAR to determine the simultaneous transmission SAR test exclusion and measurement requirements for the relevant wireless mode and exposure conditions.

## **4.3 Tissue Verification**

Refer to Annex C.

## **4.4 System Validation**

Refer to Annex C.

## **4.5 System Verification**

Refer to Annex C.

## **4.6 Maximum Output Power**

### **4.6.1 Maximum Target Conducted Power**

Refer to Annex D.

### **4.6.2 Measured Conducted Power Result**

Refer to Annex E.

## 4.7 SAR Testing Results

### 4.7.1 SAR Test Reduction Considerations

#### <KDB 447498 D01, General RF Exposure Guidance>

Testing of other required channels within the operating mode of a frequency band is not required when the reported SAR for the mid-band or highest output power channel is:

- (1)  $\leq 0.8$  W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\leq 100$  MHz
- (2)  $\leq 0.6$  W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
- (3)  $\leq 0.4$  W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is  $\geq 200$  MHz

When SAR is not measured at the maximum power level allowed for production units, the measured SAR will be scaled to the maximum tune-up tolerance limit to determine compliance. The scaling factor for the tune-up power is defined as maximum tune-up limit (mW) / measured conducted power (mW). The reported SAR would be calculated by measured SAR x tune-up power scaling factor.

The SAR has been measured with highest transmission duty factor supported by the test mode tools for WLAN and/or Bluetooth. When the transmission duty factor could not achieve 100%, the reported SAR will be scaled to 100% transmission duty factor to determine compliance at the maximum tune-up power. The scaling factor for the duty factor is defined as 100% / transmission duty cycle (%). The reported SAR would be calculated by measured SAR x tune-up power scaling factor x duty cycle scaling factor.

#### <KDB 941225 D01, 3G SAR Measurement Procedures>

The mode tested for SAR is referred to as the primary mode. The equivalent modes considered for SAR test reduction are denoted as secondary modes. Both primary and secondary modes must be in the same frequency band. When the maximum output power and tune-up tolerance specified for production units in a secondary mode is  $\leq 1/4$  dB higher than the primary mode or when the highest reported SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is  $\leq 1.2$  W/kg, SAR measurement is not required for the secondary mode.

### <KDB 941225 D05, SAR Evaluation Considerations for LTE Devices>

(1) QPSK with 1 RB and 50% RB allocation

Start with the largest channel bandwidth and measure SAR, using the RB offset and required test channel combination with the highest maximum output power among RB offsets at the upper edge, middle and lower edge of each required test channel. When the reported SAR is  $\leq 0.8$  W/kg, testing of the remaining RB offset configurations and required test channels is not required; otherwise, SAR is required for the remaining required test channels and only for the RB offset configuration with the highest output power for that channel. When the reported SAR of a required test channel is  $> 1.45$  W/kg, SAR is required for all three RB offset configurations for that required test channel.

(2) QPSK with 100% RB allocation

SAR is not required when the highest maximum output power for 100% RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are  $\leq 0.8$  W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is  $> 1.45$  W/kg, the remaining required test channels must also be tested.

(3) Higher order modulations

SAR is required only when the highest maximum output power for the configuration in the higher order modulation is  $> 1/2$  dB higher than the same configuration in QPSK or when the reported SAR for the QPSK configuration is  $> 1.45$  W/kg.

(4) Other channel bandwidth

SAR is required when the highest maximum output power of the smaller channel bandwidth is  $> 1/2$  dB higher than the equivalent channel configurations in the largest channel bandwidth configuration or the reported SAR of a configuration for the largest channel bandwidth is  $> 1.45$  W/kg.

### <KDB 248227 D01, SAR Guidance for Wi-Fi Transmitters>

- (1) For handsets operating next to ear, hotspot mode or mini-tablet configurations, the initial test position procedures were applied. The test position with the highest extrapolated peak SAR will be used as the initial test position. When the reported SAR of initial test position is  $\leq 0.4$  W/kg, SAR testing for remaining test positions is not required. Otherwise, SAR is evaluated at the subsequent highest peak SAR positions until the reported SAR result is  $\leq 0.8$  W/kg or all test positions are measured.
- (2) For WLAN 2.4 GHz, the highest measured maximum output power channel for DSSS was selected for SAR measurement. When the reported SAR is  $\leq 0.8$  W/kg, no further SAR testing is required. Otherwise, SAR is evaluated at the next highest measured output power channel. When any reported SAR is  $> 1.2$  W/kg, SAR is required for the third channel. For OFDM modes (802.11g/n), SAR is not required when the highest reported SAR for DSSS is adjusted by the ratio of OFDM to DSSS specified maximum output power and it is  $\leq 1.2$  W/kg.
- (3) For WLAN 5GHz, the initial test configuration was selected according to the transmission mode with the highest maximum output power. When the reported SAR of initial test configuration is  $> 0.8$  W/kg, SAR is required for the subsequent highest measured output power channel until the reported SAR result is  $\leq 1.2$  W/kg or all required channels are measured. For other transmission modes, SAR is not required when the highest reported SAR for initial test configuration is adjusted by the ratio of subsequent test configuration to initial test configuration specified maximum output power and it is  $\leq 1.2$  W/kg.
- (4) For WLAN MIMO mode, the power-based standalone SAR test exclusion or the sum of SAR provision in KDB 447498 to determine simultaneous transmission SAR test exclusion should be applied. Otherwise, SAR for MIMO mode will be measured with all applicable antennas transmitting simultaneously at the specified maximum output power of MIMO operation.

### 4.7.2 SAR Results for Head Exposure Condition

Refer to Annex F.

### 4.7.3 SAR Results for Body-worn Exposure Condition (Test Separation Distance is 10 mm)

Refer to Annex F.

### 4.7.4 SAR Results for Hotspot Exposure Condition (Test Separation Distance is 10 mm)

Refer to Annex F.

### 4.7.5 SAR Results for Product Specific (Phablet) Exposure Condition (Test Separation Distance is 0 mm)

Refer to Annex F.

### 4.7.6 SAR Measurement Variability

According to KDB 865664 D01, SAR measurement variability was assessed for each frequency band, which is determined by the SAR probe calibration point and tissue-equivalent medium used for the device measurements. When both head and body tissue-equivalent media are required for SAR measurements in a frequency band, the variability measurement procedures should be applied to the tissue medium with the highest measured SAR, using the highest measured SAR configuration for that tissue-equivalent medium. Alternatively, if the highest measured SAR for both head and body tissue-equivalent media are  $\leq 1.45$  W/kg and the ratio of these highest SAR values, i.e., largest divided by smallest value, is  $\leq 1.10$ , the highest SAR configuration for either head or body tissue-equivalent medium maybe used to perform the repeated measurement. These additional measurements are repeated after the completion of all measurements requiring the same head or body tissue-equivalent medium in a frequency band. The test device should be returned to ambient conditions (normal room temperature) with the battery fully charged before it is re-mounted on the device holder for the repeated measurement(s) to minimize any unexpected variations in the repeated results.

SAR repeated measurement procedure:

1. When the highest measured SAR is  $< 0.80$  W/kg, repeated measurement is not required.
2. When the highest measured SAR is  $\geq 0.80$  W/kg, repeat that measurement once.
3. If the ratio of largest to smallest SAR for the original and first repeated measurements is  $> 1.20$ , or when the original or repeated measurement is  $\geq 1.45$  W/kg, perform a second repeated measurement.
4. If the ratio of largest to smallest SAR for the original, first and second repeated measurements is  $> 1.20$ , and the original, first or second repeated measurement is  $\geq 1.5$  W/kg, perform a third repeated measurement.

Refer to Annex G for the SAR repeated measurement.



#### 4.7.7 Simultaneous Multi-band Transmission Evaluation

##### <SAR Summation Analysis>

Simultaneous transmission SAR test exclusion is determined for each operating configuration and exposure condition according to the reported standalone SAR of each applicable simultaneous transmitting antenna. When the sum of SAR<sub>1g</sub> of all simultaneously transmitting antennas in an operating mode and exposure condition combination is within the SAR limit (SAR<sub>1g</sub> 1.6 W/kg), the simultaneous transmission SAR is not required. When the sum of SAR<sub>1g</sub> is greater than the SAR limit (SAR<sub>1g</sub> 1.6 W/kg), SAR test exclusion is determined by the SPLSR.

Refer to Annex H for the detail of summation analysis for this device.

##### <SAR to Peak Location Separation Ratio Analysis>

The simultaneous transmitting antennas in each operating mode and exposure condition combination are considered one pair at a time to determine the SPLSR. When SAR is measured for both antennas in the pair, the peak location separation distance is computed by the following formula.

$$\text{Peak Location Separation Distance} = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2}$$

Where (x<sub>1</sub>, y<sub>1</sub>, z<sub>1</sub>) and (x<sub>2</sub>, y<sub>2</sub>, z<sub>2</sub>) are the coordinates of the extrapolated peak SAR locations in the area or zoom scans.

When standalone test exclusion applies, SAR is estimated; the peak location is assumed to be at the feed-point or geometric center of the antenna. Due to curvatures on the SAM phantom, when SAR is estimated for one of the antennas in an antenna pair, the measured peak SAR location will be translated onto the test device to determine the peak location separation for the antenna pair.

The SPLSR is determined by the following formula.

$$\text{SPLSR} = \frac{(\text{SAR}_1 + \text{SAR}_2)^{1.5}}{R_i}$$

Where SAR<sub>1</sub> and SAR<sub>2</sub> are the highest reported or estimated SAR for each antenna in the pair, and R<sub>i</sub> is the separation distance between the peak SAR locations for the antenna pair in mm.

When the SPLSR is ≤ 0.04, the simultaneous transmission SAR is not required. Otherwise, the enlarged zoom scan and volume scan post-processing procedures will be performed.

Refer to Annex I for the detail of SAR to Peak Location Separation Ratio.

**Test Engineer** : Ray Lo and Herry Chiu

## **5. Calibration of Test Equipment**

Refer to Annex J.

## **6. Measurement Uncertainty**

According to KDB 865664 D01, SAR measurement uncertainty analysis is required in SAR reports only when the highest measured SAR in a frequency band is  $\geq 1.5$  W/kg for 1-g SAR, and  $\geq 3.75$  W/kg for 10-g SAR. The procedures described in IEEE Std 1528-2013 should be applied. The expanded SAR measurement uncertainty must be  $\leq 30\%$ , for a confidence interval of  $k = 2$ . When the highest measured SAR within a frequency band is  $< 1.5$  W/kg for 1-g and  $< 3.75$  W/kg for 10-g, the extensive SAR measurement uncertainty analysis described in IEEE Std 1528-2013 is not required in SAR reports submitted for equipment approval. Hence, the measurement uncertainty analysis is not required in this SAR report because the test result met the condition.

## **7. Information of the Testing Laboratories**

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are accredited and approved according to ISO/IEC 17025.

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The road map of all our labs can be found in our web site also.

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