

SAR TEST REPORT



The following samples were submitted and identified on behalf of the client as:

| | |
|------------------------|--|
| Product Name | Bluetooth USB adapter |
| Marketing Name | BT700 USB-C |
| Brand Name |  poly |
| Model No. | BT700C |
| Prepared for | Plantronics Inc 345 Encinal Street Santa Cruz, CA 95060 USA |
| Standards | IEEE/ANSI C95.1-1992, IEEE 1528-2013 |
| FCC ID | AL8-BT700C |
| Date of Receipt | Sep. 11, 2020 |
| Date of Test(s) | Sep. 26, 2020 |
| Date of Issue | Oct. 12, 2020 |

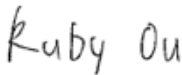
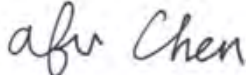
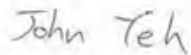
In the configuration tested, the EUT complied with the standards specified above.

Remarks:

This report details the results of the testing carried out on one sample, the results contained in this test report do not relate to other samples of the same product. The manufacturer should ensure that all products in series production are in conformity with the product sample detailed in this report.

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Signed on behalf of SGS

| Clerk / Ruby Ou | Supervisor / Afu Chen | Asst. Manager / John Yeh |
|---|---|---|
|  |  |  |

Date: Oct. 12, 2020

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Revision History

| Report Number | Revision | Description | Issue Date |
|---------------|----------|------------------------------|---------------|
| EN/2020/90007 | Rev.00 | Initial creation of document | Oct. 12, 2020 |
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0. Guidance applied

The SAR testing method and procedure for this device is in accordance with the following standards:

IEEE/ANSI C95.1-1992

IEEE 1528-2013

KDB447498D01v06

KDB447498D02v02r01

KDB865664D01v01r04

KDB865664D02v01r02

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1. General Information

1.1 Testing Laboratory

| | |
|--|---|
| SGS Taiwan Ltd. Central RF Lab | |
| 1F, No. 8, Alley 15, Lane 120, Sec. 1, NeiHu Road, Neihu District, Taipei City, 11493, Taiwan. | |
| Tel | +886-2-2299-3279 |
| Fax | +886-2-2298-0488 |
| Internet | http://www.tw.sgs.com/ |

1.2 Details of Applicant


| | |
|-----------------|---|
| Company Name | Plantronics Inc |
| Company Address | 345 Encinal Street Santa Cruz, CA 95060 USA |

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1.3 Description of EUT

General Information of Host:

| | | | | |
|-----------------------------------|---|-------------|---------|---------------|
| Equipment Under Test | Bluetooth USB adapter | | | |
| Marketing Name | BT700 USB-C | | | |
| Brand Name |  | | | |
| Model No. | BT700C | | | |
| FCC ID | AL8-BT700C | | | |
| Mode of Operation | <input checked="" type="checkbox"/> Bluetooth | | | |
| Duty Cycle | Bluetooth | 94.24% | | |
| TX Frequency Range (MHz) | Bluetooth | 2402 — 2480 | | |
| Channel Number (ARFCN) | Bluetooth | 0 — 78 | | |
| Max. SAR (1g) (Unit: W/Kg) | | | | |
| Band | Measured | Reported | Channel | Position |
| Bluetooth(GFSK) | 0.11 | 0.15 | 0 | Horizontal-Up |

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Bluetooth conducted power table:

| Without Cable | | | | | | | | |
|---------------|---------|-----------------|--|---------------------|--|---------------------|--|---------------------|
| Mode | Channel | Frequency (MHz) | 1Mbps | | 2Mbps | | 3Mbps | |
| | | | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) |
| BR/EDR | CH 00 | 2402 | 9.00 | 7.96 | 9.00 | 7.82 | 9.00 | 7.59 |
| | CH 39 | 2441 | | 8.00 | | 7.94 | | 7.75 |
| | CH 78 | 2480 | | 8.11 | | 8.04 | | 7.80 |

| With Cable | | | | | | | | |
|------------|---------|-----------------|--|---------------------|--|---------------------|--|---------------------|
| Mode | Channel | Frequency (MHz) | 1Mbps | | 2Mbps | | 3Mbps | |
| | | | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average power (dBm) |
| BR/EDR | CH 00 | 2402 | 9.00 | 7.97 | 9.00 | 7.84 | 9.00 | 7.56 |
| | CH 39 | 2441 | | 8.02 | | 7.93 | | 7.71 |
| | CH 78 | 2480 | | 8.11 | | 8.06 | | 7.82 |

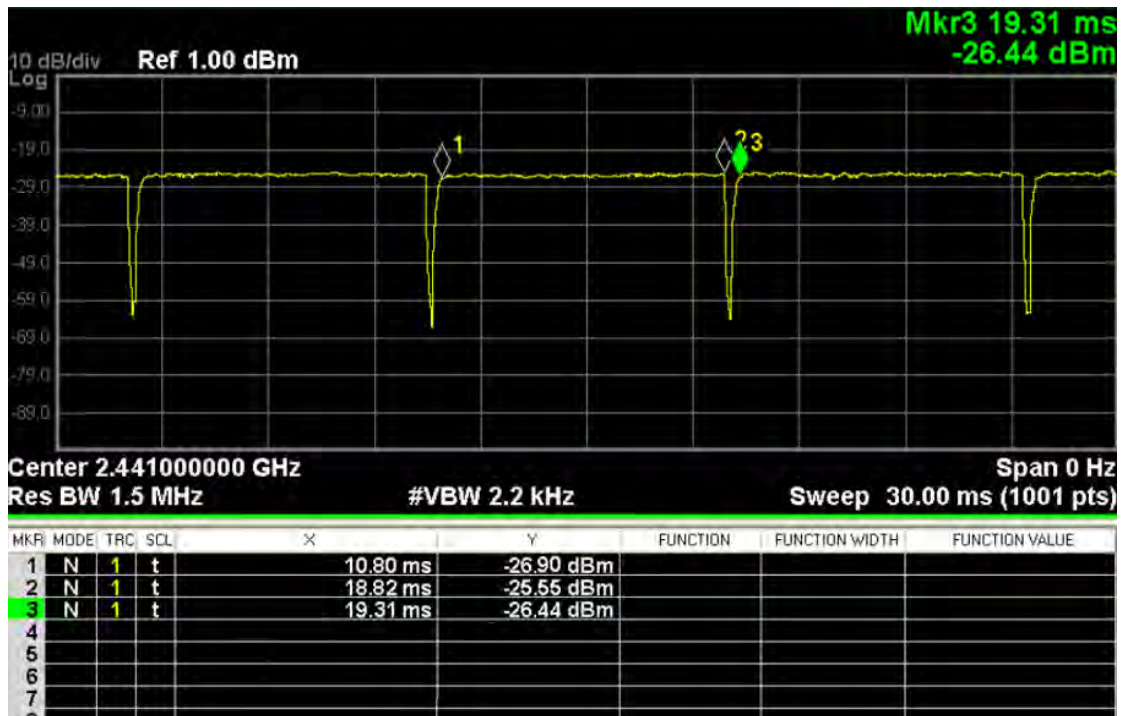
| Without cable | | | | |
|---------------|---------|-----------------|--|----------------------------|
| Mode | Channel | Frequency (MHz) | GFSK | |
| | | | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average Output Power (dBm) |
| LE | CH 00 | 2402 | 9 | -0.22 |
| | CH 19 | 2440 | | -0.15 |
| | CH 39 | 2480 | | -0.10 |

| With cable | | | | |
|------------|---------|-----------------|--|----------------------------|
| Mode | Channel | Frequency (MHz) | GFSK | |
| | | | Max. Rated Avg. Power + Max. Tolerance (dBm) | Average Output Power (dBm) |
| LE | CH 00 | 2402 | 9 | -0.23 |
| | CH 19 | 2440 | | -0.14 |
| | CH 39 | 2480 | | -0.11 |

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BT CH 39
 Total time
 8.02ms
 Operating time
 8.51ms
 Duty cycle
 $(8.02/8.51) \times 100\% = 94.24\%$

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1.4 Test Environment

Ambient Temperature: $22\pm 2^{\circ}\text{C}$

Tissue Simulating Liquid: $22\pm 2^{\circ}\text{C}$

1.5 Operation Description

Use chipset specific software to control the EUT, and makes it transmit in maximum power. Measurements are performed respectively on the lowest, middle and highest channels of the operating band(s). The EUT is set to maximum power level during all tests, and at the beginning of each test the battery is fully charged.

The device is a BT dongle which follows KDB447498D02v02r01 procedure to proceed the test.

Note:

1. According to KDB447498 D01, testing of other required channels is not required when the reported 1-g SAR for the highest output channel is $\leq 0.8\text{ W/kg}$, when the transmission band is $\leq 100\text{ MHz}$.
2. According to KDB865664 D01, SAR measurement variability must be assessed for each frequency band. When the original highest measured SAR is $\geq 0.8\text{ W/kg}$, repeated that measurement once. Perform a second repeated measurement only if the ratio of largest to smallest SAR for the original and first repeated measurements is > 1.20 or when the original or repeated measurement is $\geq 1.45\text{ W/kg}$ (~10% from the 1-g SAR limit)

1.6 The SAR Measurement System

A block diagram of the SAR measurement System is given in Fig. a. This SAR Measurement System uses a Computer-controlled 3-D stepper motor system (SPEAG DASY 5 professional system). The model EX3DV4 field probe is used to

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determine the internal electric fields. The SAR can be obtained from the equation $SAR = \sigma (|E_i|^2) / \rho$ where σ and ρ are the conductivity and mass density of the tissue-simulant.

The DASY 5 system for performing compliance tests consists of the following items:

1. A standard high precision 6-axis robot (Staubli RX family) with controller, teach pendant and software. An arm extension is for accommodating the data acquisition electronics (DAE).
2. A dosimetric probe, i.e., an isotropic E-field probe optimized and calibrated for usage in tissue simulating liquid. The probe is equipped with an optical surface detector system.
3. A data acquisition electronics (DAE) which performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. The unit is battery powered with standard or rechargeable batteries. The signal is optically transmitted to the EOC.

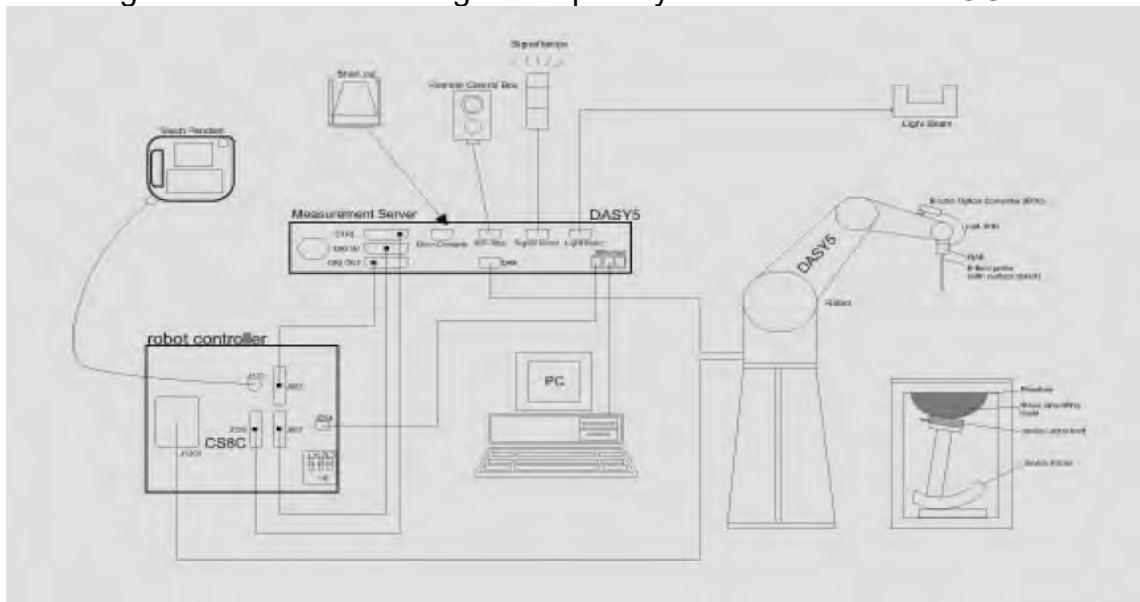


Fig. a The block diagram of SAR system

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4. The Electro-optical converter (EOC) performs the conversion between optical and electrical of the signals for the digital communication to the DAE and for the analog signal from the optical surface detection. The EOC is connected to the measurement server.
5. The function of the measurement server is to perform the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
6. A probe alignment unit which improves the (absolute) accuracy of the probe positioning.
7. A computer operating Windows 7.
8. DASY 5 software.
9. Remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.
10. Tissue simulating liquid mixed according to the given recipes.
11. Validation dipole kits allowing to validate the proper functioning of the system.

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1.7 System Components

EX3DV4 E-Field Probe


| | | |
|---------------|--|---|
| Construction | Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE) |  |
| Calibration | Basic Broad Band Calibration in air Conversion Factors (CF) for HSL 2450 MHz Additional CF for other liquids and frequencies upon request | |
| Frequency | 10 MHz to > 6 GHz | |
| Directivity | ± 0.3 dB in HSL (rotation around probe axis) ± 0.5 dB in tissue material (rotation normal to probe axis) | |
| Dynamic Range | 10 µW/g to > 100 mW/g Linearity: ± 0.2 dB (noise: typically < 1 µW/g) | |
| Dimensions | Tip diameter: 2.5 mm | |
| Application | High precision dosimetric measurements in any exposure scenario (e.g., very strong gradient fields). Only probe which enables compliance testing for frequencies up to 6 GHz with precision of better 30%. | |

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PHANTOM

| | | |
|-----------------|---|---|
| Model | ELI | |
| Construction | The ELI phantom is used for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30 MHz to 6 GHz. ELI is fully compatible with the IEC 62209-2 standard and all known tissue simulating liquids. ELI has been optimized regarding its performance and can be integrated into our standard phantom tables. A cover prevents evaporation of the liquid. Reference markings on the phantom allow installation of the complete setup, including all predefined phantom positions and measurement grids, by teaching three points. The phantom is compatible with all SPEAG dosimetric probes and dipoles. | |
| Shell Thickness | 2 ± 0.2 mm |  |
| Filling Volume | Approx. 30 liters | |
| Dimensions | Major axis: 600 mm Minor axis: 400 mm | |

DEVICE HOLDER

| | | |
|--------------|---|--|
| Construction | The device holder (Supporter) for Notebook is made by POM (polyoxymethylene resin), which is non-metal and non-conductive. The height can be adjusted to fit various kind of notebooks. |  |
| | | Device Holder |

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1.8 SAR System Verification

The microwave circuit arrangement for system verification is sketched in Fig. b. The daily system accuracy verification occurs within the flat section of the SAM phantom. A SAR measurement was performed to see if the measured SAR was within +/- 10% from the target SAR values. These tests were done at 2450 MHz. The tests were conducted on the same days as the measurement of the DUT. The obtained results from the system accuracy verification are displayed in the table 1 (SAR values are normalized to 1W forward power delivered to the dipole). During the tests, the liquid depth above the ear reference points was $\geq 15 \text{ cm} \pm 5 \text{ mm}$ (frequency $\leq 3 \text{ GHz}$) or $\geq 10 \text{ cm} \pm 5 \text{ mm}$ (frequency $> 3 \text{ GHz}$) in all the cases. It is seen that the system is operating within its specification, as the results are within acceptable tolerance of the reference values.

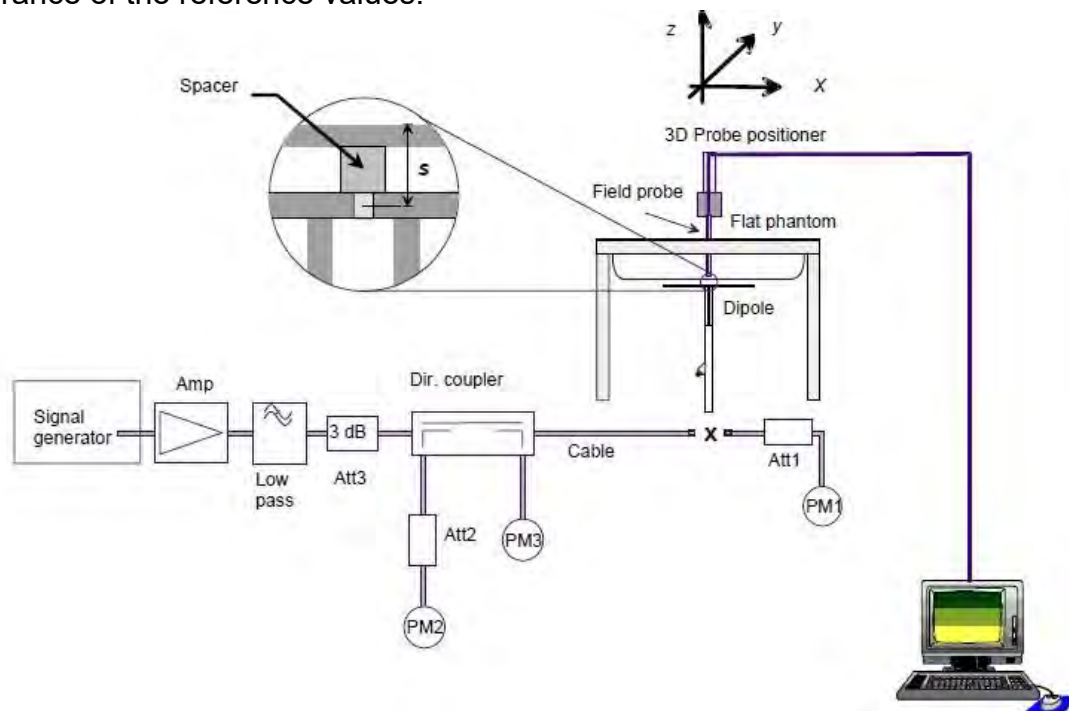


Fig. b The block diagram of system verification

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| Validation Kit | S/N | Frequency (MHz) | | 1W Target SAR-1g (mW/g) | pin=250mW Measured SAR-1g (mW/g) | Measured SAR-1g normalized to 1W (mW/g) | Deviation (%) | Measured Date |
|----------------|-----|-----------------|------|-------------------------|----------------------------------|---|---------------|---------------|
| D2450V2 | 727 | 2450 | Head | 52.6 | 13.60 | 54.4 | 3.42% | Sep. 26, 2020 |

Table 1. Results of system validation

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1.9 Tissue Simulant Fluid for the Frequency Band

The dielectric properties for this Head-simulant fluid were measured by using the Agilent Model 85070E Dielectric Probe (rates frequency band 200 MHz to 20 GHz) in conjunction with Network Analyzer.

All dielectric parameters of tissue simulates were measured within 24 hours of SAR measurements. The measured conductivity and permittivity are all within $\pm 5\%$ of the target values.

The depth of the tissue simulant in the flat section of the phantom was $\geq 15 \text{ cm} \pm 5 \text{ mm}$ (Frequency $\leq 3\text{G}$) or $\geq 10 \text{ cm} \pm 5 \text{ mm}$ (Frequency $>3\text{G}$) during all tests. (Fig. 2)

| Tissue Type | Measurement Date | Measured Frequency (MHz) | Target Dielectric Constant, ϵ_r | Target Conductivity, σ (S/m) | Measured Dielectric Constant, ϵ_r | Measured Conductivity, σ (S/m) | % dev ϵ_r | % dev σ |
|-------------|------------------|--------------------------|--|-------------------------------------|--|---------------------------------------|--------------------|----------------|
| Head | Sep, 26. 2020 | 2402 | 39.285 | 1.757 | 38.367 | 1.757 | -2.34% | -0.02% |
| | | 2441 | 39.216 | 1.792 | 38.175 | 1.796 | -2.65% | 0.22% |
| | | 2450 | 39.200 | 1.800 | 38.145 | 1.813 | -2.69% | 0.72% |
| | | 2480 | 39.147 | 1.827 | 38.026 | 1.845 | -2.86% | 1.00% |

Table 2. Dielectric Parameters of Tissue Simulant Fluid

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The composition of the tissue simulating liquid:

| Frequency (MHz) | Mode | Ingredient | | | | | | Total amount |
|--------------------|------|------------|-------|------|------------------|-----------|-------|-----------------|
| | | DGMBE | Water | Salt | Preventol D-7 | Cellulose | Sugar | |
| 2450M | Head | 550ml | 450ml | — | — | — | — | 1.0L(Kg) |

Table 3. Recipes for Tissue Simulating Liquid

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1.10 Evaluation Procedures

The entire evaluation of the spatial peak values is performed within the Post-processing engine (SEMCAD). The system always gives the maximum values for the 1 g and 10 g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

1. The extraction of the measured data (grid and values) from the Zoom Scan.
2. The calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
3. The generation of a high-resolution mesh within the measured volume
4. The interpolation of all measured values from the measurement grid to the high-resolution grid
5. The extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
6. The calculation of the averaged SAR within masses of 1g and 10g.

The probe is calibrated at the center of the dipole sensors that is located 1 to 2.7mm away from the probe tip. During measurements, the probe stops shortly above the phantom surface, depending on the probe and the surface detecting system. Both distances are included as parameters in the probe configuration file. The software always knows exactly how far away the measured point is from the surface. As the probe cannot directly measure at the surface, the values between the deepest measured point and the surface must be extrapolated. The angle between the probe axis and the surface normal line is less than 30 degree.

In the Area Scan, the gradient of the interpolation function is evaluated to find all the extreme of the SAR distribution. The uncertainty on the locations of the extreme is less than 1/20 of the grid size. Only local maximum within -2 dB of the global maximum are searched and passed for the Cube Scan measurement. In the Cube Scan, the interpolation function is used to extrapolate the Peak SAR from the lowest measurement points to the inner phantom surface (the extrapolation distance). The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5mm.

The maximum search is automatically performed after each area scan measurement. It is based on splines in two or three dimensions. The procedure can find the maximum for most SAR distributions even with relatively large grid spacing. After the area scanning measurement, the probe is automatically moved to a position at the interpolated maximum. The following scan can directly use this position for reference, e.g., for a finer resolution grid or the cube evaluations. The 1g and 10g peak evaluations are only available for the predefined cube 7x7x7 scans. The routines are verified and optimized for the grid dimensions used in these cube measurements.

The measured volume of 30x30x30mm contains about 30g of tissue.

The first procedure is an extrapolation (incl. Boundary correction) to get the points between the lowest measured plane and the surface. The next step uses 3D

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interpolation to get all points within the measured volume. In the last step, a 1g cube is placed numerically into the volume and its averaged SAR is calculated. This cube is moved around until the highest averaged SAR is found. If the highest SAR is found at the edge of the measured volume, the system will issue a warning: higher SAR values might be found outside of the measured volume. In that case the cube measurement can be repeated, using the new interpolated maximum as the center.

1.11 Probe Calibration Procedures

For the calibration of E-field probes in lossy liquids, an electric field with an accurately known field strength must be produced within the measured liquid. For standardization purposes it would be desirable if all measurements which are necessary to assess the correct field strength would be traceable to standardized measurement procedures. In the following two different calibration techniques are summarized:

1.11.1 Transfer Calibration with Temperature Probes

In lossy liquids the specific absorption rate (SAR) is related both to the electric field (E) and the temperature gradient ($\delta T / \delta t$) in the liquid.

$$SAR = C \frac{\delta T}{\delta t},$$

whereby σ is the conductivity, ρ the density and c the heat capacity of the liquid.

Hence, the electric field in lossy liquid can be measured indirectly by measuring the temperature gradient in the liquid. Non-disturbing temperature probes (optical probes or thermistor probes with resistive lines) with high spatial resolution (<1-2 mm) and fast reaction time (<1 s) are available and can be easily calibrated with high precision [1]. The setup and the exciting source have no influence on the calibration; only the relative positioning uncertainties of the standard temperature probe and the E-field probe to be calibrated must be considered. However, several problems limit the available accuracy of probe calibrations with temperature probes:

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- The temperature gradient is not directly measurable but must be evaluated from temperature measurements at different time steps. Special precaution is necessary to avoid measurement errors caused by temperature gradients due to energy equalizing effects or convection currents in the liquid. Such effects cannot be completely avoided, as the measured field itself destroys the thermal equilibrium in the liquid. With a careful setup these errors can be kept small.
- The measured volume around the temperature probe is not well defined. It is difficult to calculate the energy transfer from a surrounding gradient temperature field into the probe. These effects must be considered, since temperature probes are calibrated in liquid with homogeneous temperatures. There is no traceable standard for temperature rise measurements.
- The calibration depends on the assessment of the specific density, the heat capacity and the conductivity of the medium. While the specific density and heat capacity can be measured accurately with standardized procedures ($\sim 2\%$ for c ; much better for ρ), there is no standard for the measurement of the conductivity. Depending on the method and liquid, the error can well exceed $\pm 5\%$.
- Temperature rise measurements are not very sensitive and therefore are often performed at a higher power level than the E-field measurements. The nonlinearities in the system (e.g., power measurements, different components, etc.) must be considered.

Considering these problems, the possible accuracy of the calibration of E-field probes with temperature gradient measurements in a carefully designed setup is about $\pm 10\%$ (RSS) [2]. Recently, a setup which is a combination of the waveguide techniques and the thermal measurements was presented in [3]. The estimated uncertainty of the setup is $\pm 5\%$ (RSS) when the same liquid is used for the calibration and for actual measurements and $\pm 7-9\%$ (RSS) when not, which is in good agreement with the estimates given in [2].

1.11.2 Calibration with Analytical Fields

In this method a technical setup is used in which the field can be calculated analytically from measurements of other physical magnitudes (e.g., input power). This corresponds to the standard field method for probe calibration in air; however, there is no standard defined for fields in lossy liquids.

When using calculated fields in lossy liquids for probe calibration, several points must be considered in the assessment of the uncertainty:

- The setup must enable accurate determination of the incident power.
- The accuracy of the calculated field strength will depend on the assessment of the dielectric parameters of the liquid.
- Due to the small wavelength in liquids with high permittivity, even small

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setups might be above the resonant cutoff frequencies. The field distribution in the setup must be carefully checked for conformity with the theoretical field distribution.

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3. K. Jokela, P. Hyysalo, and L. Puranen, "Calibration of specific absorption rate (SAR) probes in waveguide at 900 MHz", *IEEE Transactions on Instrumentation and Measurements*, vol. 47, no. 2, pp. 432-438, Apr. 1998.

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1.12 Test Standards and Limits

According to FCC 47CFR §2.1093(d) The limits to be used for evaluation are based generally on criteria published by the American National Standards Institute (ANSI) for localized specific absorption rate (“SAR”) in Section 4.2 of “IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz,” ANSI/IEEE C95.1, By the Institute of Electrical and Electronics Engineers, Inc., New York, New York 10017. These criteria for SAR evaluation are similar to those recommended by the National Council on Radiation Protection and Measurements (NCRP) in “Biological Effects and Exposure Criteria for Radio frequency Electromagnetic Fields,” NCRP Report No. 86, Section 17.4.5. Copyright NCRP, 1986, Bethesda, Maryland 20814. SAR is a measure of the rate of energy absorption due to exposure to an RF transmitting source. SAR values have been related to threshold levels for potential biological hazards. The criteria to be used are specified in paragraphs (d)(1) and (d)(2) of this section and shall apply for portable devices transmitting in the frequency range from 100 kHz to 6 GHz. Portable devices that transmit at frequencies above 6 GHz are to be evaluated in terms of the MPE limits specified in § 1.1310 of this chapter. Measurements and calculations to demonstrate compliance with MPE field strength or power density limits for devices operating above 6 GHz should be made at a minimum distance of 5 cm from the radiating source.

- (1) Limits for Occupational/Controlled exposure: 0.4 W/kg as averaged over the whole-body and spatial peak SAR not exceeding 8 W/kg as averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube). Exceptions are the hands, wrists, feet and ankles where the spatial peak SAR shall not exceed 20 W/kg, as averaged over an 10 grams of tissue (defined as a tissue volume in the shape of a cube).
- (2) Occupational/Controlled limits apply when persons are exposed as a consequence of their employment provided these persons are fully aware of and exercise control over their exposure. Awareness of exposure can be accomplished by use of warning labels or by specific training or education through appropriate means, such as an RF safety program in a work environment.
- (3) Limits for General Population/Uncontrolled exposure: 0.08 W/kg as averaged over the whole-body and spatial peak SAR not exceeding 1.6 W/kg as averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube). Exceptions are the hands, wrists, feet and ankles where the spatial peak SAR shall not exceed 4 W/kg, as averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube). General Population/Uncontrolled limits apply when the general public may be exposed, or when persons that are exposed as a consequence of their employment may not be fully aware of the potential for exposure or do not exercise control over their exposure. Warning labels placed on consumer

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devices such as cellular telephones will not be sufficient reason to allow these devices to be evaluated subject to limits for occupational/controlled exposure in paragraph (d)(1) of this section. (Table 4.)

| Human Exposure | Uncontrolled Environment General Population | Controlled Environment Occupational |
|--|--|--|
| Spatial Peak SAR (Brain) | 1.60 W/kg | 8.00 W/kg |
| Spatial Average SAR (Whole Body) | 0.08 W/kg | 0.40 W/kg |
| Spatial Peak SAR (Hands/Feet/Ankle/Wrist) | 4.00 W/kg | 20.00 W/kg |

Table 4. RF exposure limits

Notes:

1. Uncontrolled environments are defined as locations where there is potential exposure of individuals who have no knowledge or control of their potential exposure.
2. Controlled environments are defined as locations where there is potential exposure of individuals who have knowledge of their potential exposure and can exercise control over their exposure.

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2. Summary of Results

2.1 Decision rules

Reported measurement data comply with IEEE 1528-2013:
Determining compliance shall be based on the results of the compliance measurement, not taking into account measurement instrumentation uncertainty.

2.2 Summary of Results

| Mode | Position | Distance (mm) | CH | Freq. (MHz) | Max. Rated Avg. Power + Max. Tolerance | Measured Avg. Power (dBm) | Duty cycle scaling | Power scaling | Averaged SAR over 1g (W/kg) | | Plot page |
|------------------|----------------------------|---------------|----|-------------|--|---------------------------|--------------------|---------------|-----------------------------|----------|-----------|
| | | | | | | | | | Measured | Reported | |
| Bluetooth (GFSK) | Tip_without cable | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.095 | 0.123 | - |
| | Tip_with cable | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.096 | 0.125 | - |
| | Horizontal-Up | 5 | 0 | 2402 | 9.00 | 7.96 | 1.061 | 127.06% | 0.109 | 0.147 | 26 |
| | Horizontal-Up* | 5 | 0 | 2402 | 9.00 | 7.96 | 1.061 | 127.06% | 0.107 | 0.144 | - |
| | Horizontal-Up | 5 | 39 | 2441 | 9.00 | 8.00 | 1.061 | 125.89% | 0.095 | 0.127 | - |
| | Horizontal-Up | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.101 | 0.132 | - |
| | Horizontal-Down_with cable | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.079 | 0.103 | - |
| | Vertical-Front_with cable | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.067 | 0.088 | - |
| | Vertical-Back_with cable | 5 | 78 | 2480 | 9.00 | 8.11 | 1.061 | 122.74% | 0.056 | 0.073 | - |

* - repeated at the highest SAR measurement according to the KDB 865664 D01

Note:

$$\text{Scaling} = \frac{\text{reported SAR}}{\text{measured SAR}} = \frac{P_2(\text{mW})}{P_1(\text{mW})} = 10^{\left(\frac{P_2 - P_1}{10}\right)} (\text{dBm})$$

Reported SAR = measured SAR * (scaling)

Where P2 is maximum specified power, P1 is measured conducted power

2.3 Reporting statements of conformity

The conformity statement in this report is based solely on the test results, measurement uncertainty is excluded.

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SGS Taiwan Ltd. | No.134,Wu Kung Road, New Taipei Industrial Park, Wuku District, New Taipei City, Taiwan/新北市五股區新北產業園區五工路 134 號

3. Instruments List

| Manufacturer | Device | Type | Serial number | Date of last calibration | Date of next calibration |
|--------------|------------------------------|--------------------|---------------|--------------------------|--------------------------|
| SPEAG | Dosimetric E-Field Probe | EX3DV4 | 7509 | Mar.25,2020 | Mar.24,2021 |
| SPEAG | System Validation Dipole | D2450V2 | 727 | Apr.22,2020 | Apr.21,2021 |
| SPEAG | Data acquisition Electronics | DAE4 | 877 | Mar.17,2020 | Mar.16,2021 |
| SPEAG | Software | DASY 52 52.10.4 | N/A | Calibration not required | Calibration not required |
| SPEAG | Phantom | ELI | N/A | Calibration not required | Calibration not required |
| Agilent | Network Analyzer | E5071C | MY46100433 | Dec.13,2019 | Dec.12,2020 |
| Agilent | Dielectric Probe Kit | 85070E | MY44300677 | Calibration not required | Calibration not required |
| Agilent | Dual-directional coupler | 772D | MY46151242 | Aug.17,2020 | Aug.16,2021 |
| | | 778D | MY48220468 | Aug.17,2020 | Aug.16,2021 |
| Agilent | Signal Generator | N5181A | MY50144142 | Dec.12,2019 | Dec.11,2020 |
| Agilent | Power Meter | E4417A | MY51410006 | Mar.09,2020 | Mar.08,2021 |
| Agilent | Power Sensor | E9301H | MY51470001 | Mar.09,2020 | Mar.08,2021 |
| | | | MY51470002 | Mar.09,2020 | Mar.08,2021 |
| TECPEL | Digital thermometer | DTM-303A | TP190085 | Dec.16,2019 | Dec.15,2020 |
| Agilent | EXA Signal Analyzer | N9019A | MY50060104 | Nov.11,2019 | Nov.10,2020 |

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4. Measurements

Date: 2020/9/26

Report No. :EN/2020/90007

Bluetooth(GFSK)_Body_Horizontal-Up_CH 0_5mm

Communication System: Bluetooth; Frequency: 2402 MHz; Duty cycle= 1:0.9424

Medium parameters used: $f = 2402$ MHz; $\sigma = 1.757$ S/m; $\epsilon_r = 38.367$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Ambient temperature: 22.1°C; Liquid temperature: 22.4°C

DASY5 Configuration:

- Probe: EX3DV4 - SN7509; ConvF(7.51, 7.51, 7.51) @ 2402 MHz; Calibrated: 2020/3/25
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn877; Calibrated: 2020/3/17
- Phantom: ELI
- DASY52 52.10.4(1527); SEMCAD X 14.6.14(7483)

Unnamed procedure/Area Scan (51x51x1): Interpolated grid: dx=12 mm, dy=12 mm

Maximum value of SAR (interpolated) = 0.162 W/kg

Unnamed procedure/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 5.319 V/m; Power Drift = -0.18 dB

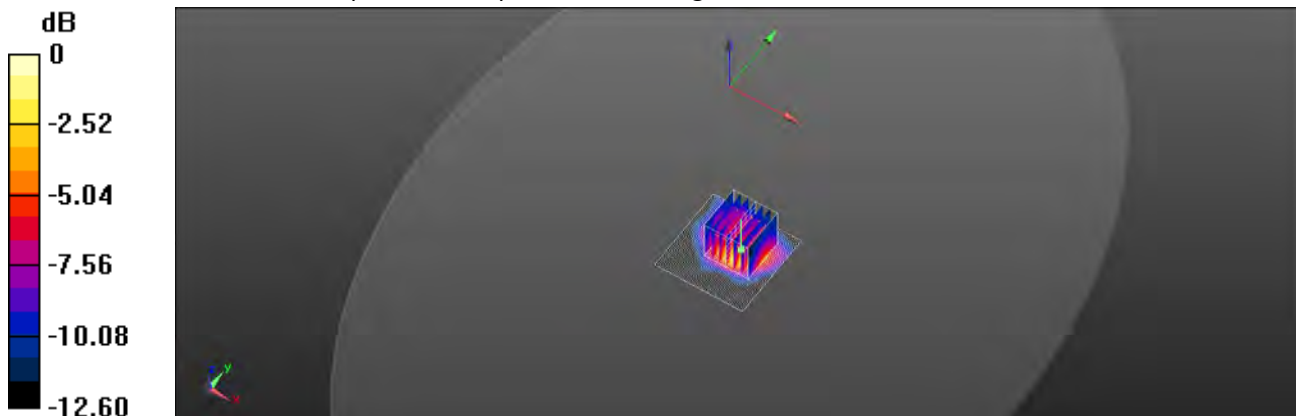
Peak SAR (extrapolated) = 0.176 W/kg

SAR(1 g) = 0.109 W/kg; SAR(10 g) = 0.059 W/kg

Smallest distance from peaks to all points 3 dB below = 9.8 mm

Ratio of SAR at M2 to SAR at M1 = 66.1%

Maximum value of SAR (measured) = 0.150 W/kg



0 dB = 0.150 W/kg = -8.24 dBW/kg

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5. SAR System Performance Verification

Date: 2020/9/26

Report No. : EN/2020/90007

Dipole 2450 MHz_SN:727

Communication System: CW; Frequency: 2450 MHz; Duty cycle= 1:1

Medium parameters used: $f = 2450$ MHz; $\sigma = 1.813$ S/m; $\epsilon_r = 38.145$; $\rho = 1000$ kg/m³

Phantom section: Flat Section

Ambient temperature: 22.1°C; Liquid temperature: 22.4°C

DASY5 Configuration:

- Probe: EX3DV4 - SN7509; ConvF(7.51, 7.51, 7.51) @ 2450 MHz; Calibrated: 2020/3/25
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn877; Calibrated: 2020/3/17
- Phantom: ELI
- DASY52 52.10.4(1527); SEMCAD X 14.6.14(7483)

Pin=250mW/Area Scan (51x61x1): Interpolated grid: dx=12 mm, dy=12 mm

Maximum value of SAR (interpolated) = 21.7 W/kg

Pin=250mW/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 107.1 V/m; Power Drift = 0.04 dB

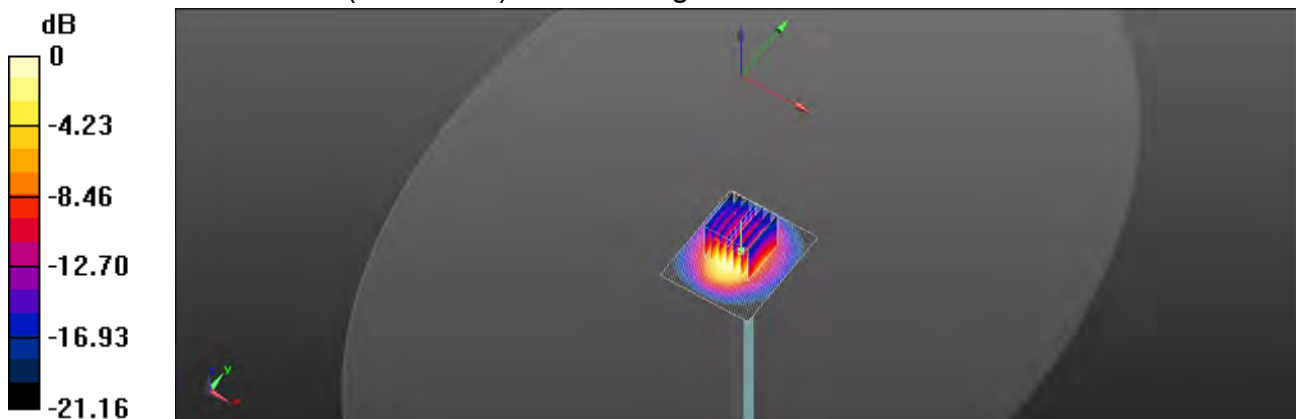
Peak SAR (extrapolated) = 27.0 W/kg

SAR(1 g) = 13.6 W/kg; SAR(10 g) = 6.49 W/kg

Smallest distance from peaks to all points 3 dB below = 9 mm

Ratio of SAR at M2 to SAR at M1 = 51.2%

Maximum value of SAR (measured) = 20.3 W/kg



0 dB = 20.3 W/kg = 13.07 dBW/kg

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6. Uncertainty Budget

Measurement Uncertainty evaluation template for DUT SAR test (0.3-3G)

| A | c | D | e | | f | g | h=c * f / e | i=c * g / e | k |
|---|---------------------------|----------------------------|-----|-----------|---------|----------|-------------------------|-------------------------|-------------|
| Source of Uncertainty | Tolerance/ Uncertainty | Probability Distributio | Div | Div Value | ci (1g) | ci (10g) | Standard uncertainty | Standard uncertainty | vi, or Veff |
| Measurement system | | | | | | | | | |
| Probe calibration | 6.00% | N | 1 | 1 | 1 | 1 | 6.00% | 6.00% | ∞ |
| <i>Isotropy, Axial</i> | 3.50% | R | √3 | 1.732 | 1 | 1 | 2.02% | 2.02% | ∞ |
| <i>Isotropy, Hemispherical</i> | 9.60% | R | √3 | 1.732 | 1 | 1 | 5.54% | 5.54% | ∞ |
| Modulation Response | 2.40% | R | √3 | 1.732 | 1 | 1 | 1.40% | 1.40% | ∞ |
| Boundary Effect | 1.00% | R | √3 | 1.732 | 1 | 1 | 0.58% | 0.58% | ∞ |
| Linearity | 4.70% | R | √3 | 1.732 | 1 | 1 | 2.71% | 2.71% | ∞ |
| Detection Limits | 1.00% | R | √3 | 1.732 | 1 | 1 | 0.58% | 0.58% | ∞ |
| Readout Electronics | 0.30% | N | 1 | 1 | 1 | 1 | 0.30% | 0.30% | ∞ |
| Response time | 0.80% | R | √3 | 1.732 | 1 | 1 | 0.46% | 0.46% | ∞ |
| Integration Time | 2.60% | R | √3 | 1.732 | 1 | 1 | 1.50% | 1.50% | ∞ |
| Measurement drift (class A evaluation) | 1.75% | R | √3 | 1.732 | 1 | 1 | 1.01% | 1.01% | ∞ |
| RF ambient condition - noise | 3.00% | R | √3 | 1.732 | 1 | 1 | 1.73% | 1.73% | ∞ |
| RF ambient conditions - reflections | 3.00% | R | √3 | 1.732 | 1 | 1 | 1.73% | 1.73% | ∞ |
| Probe positioner | 0.40% | R | √3 | 1.732 | 1 | 1 | 0.23% | 0.23% | ∞ |
| Mechanical restrictions | | | | | | | | | |
| Probe Positioning with respect to phantom shell | 2.90% | R | √3 | 1.732 | 1 | 1 | 1.67% | 1.67% | ∞ |
| Post-processing | 1.00% | R | √3 | 1.732 | 1 | 1 | 0.58% | 0.58% | ∞ |
| Max SAR Eval | 1.00% | R | √3 | 1.732 | 1 | 1 | 0.58% | 0.58% | ∞ |
| Test Sample related | | | | | | | | | |
| Test sample positioning | 2.90% | N | 1 | 1 | 1 | 1 | 2.90% | 2.90% | M-1 |
| Device Holder Uncertainty | 3.60% | N | 1 | 1 | 1 | 1 | 3.60% | 3.60% | M-1 |
| Drift of output power | 5.00% | R | √3 | 1.732 | 1 | 1 | 2.89% | 2.89% | ∞ |
| Phantom and Setup | | | | | | | | | |
| Phantom Uncertainty | 4.00% | R | √3 | 1.732 | 1 | 1 | 2.31% | 2.31% | ∞ |
| Liquid permittivity (mea.) | 2.86% | N | 1 | 1 | 0.64 | 0.43 | 1.83% | 1.23% | M |
| Liquid Conductivity (mea.) | 1.00% | N | 1 | 1 | 0.6 | 0.49 | 0.60% | 0.49% | M |
| Combined standard uncertainty | | RSS | | | | | 11.58% | 11.48% | |
| Expant uncertainty (95% confidence interval), K=2 | | | | | | | 23.16% | 22.97% | |

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Appendixes

Refer to separated files for the following appendixes.

EN202090007 SAR_Appendix A Photographs

EN202090007 SAR_Appendix B DAE & Probe Cal. Certificate

EN202090007 SAR_Appendix C Phantom Description & Dipole Cal. Certificate

- End of report -

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Appendix B - DAE & Probe Calibration Certificate

Calibration Laboratory of
 Schmid & Partner
 Engineering AG
 Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst
 S Service suisse d'étalonnage
 C Servizio svizzero di taratura
 S Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)
 The Swiss Accreditation Service is one of the signatories to the EA
 Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

Client SGS-TW (Auden)

Certificate No: DAE4-877_Mar20

| CALIBRATION CERTIFICATE | | | |
|--|---|-----------------------------------|------------------------|
| Object | DAE4 - SD 000 D04 BN - SN: 877 | | |
| Calibration procedure(s) | QA CAL-06.v30 Calibration procedure for the data acquisition electronics (DAE) | | |
| Calibration date: | March 17, 2020 | | |
| This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate. | | | |
| All calibrations have been conducted in the closed laboratory facility; environment temperature (22 ± 3)°C and humidity < 70%. | | | |
| Calibration Equipment used (M&E critical for calibration) | | | |
| Primary Standards | ID # | Cal Date (Certificate No.) | Scheduled Calibration |
| Keithley Multimeter Type 2001 | SN: 0810278 | 03-Sep-19 (No:25949) | Sep-20 |
| Secondary Standards | ID # | Check Date (in house) | Scheduled Check |
| Auto DAE Calibration Unit | SE UWS 053 AA 1001 | 09-Jan-20 (in house check) | In house check; Jan-21 |
| Calibrator Box V2.1 | SE UMS 006 AA 1002 | 09-Jan-20 (in house check) | In house check; Jan-21 |
| Calibrated by: | Name Adrian Gehring | Function Laboratory Technician | Signature |
| Approved by: | Name Sven Kühn | Function Deputy Manager | Signature |
| This calibration certificate shall not be reproduced except in full without written approval of the laboratory. | | | Issued: March 18, 2020 |

Certificate No: DAE4-877_Mar20

Page 1 of 5

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Zeughausstrasse 43, 8004 Zurich, Switzerland



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Accreditation No.: SCS 0108

Glossary

DAE data acquisition electronics
Connector angle information used in DASY system to align probe sensor X to the robot coordinate system.

Methods Applied and Interpretation of Parameters

- **DC Voltage Measurement:** Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- **Connector angle:** The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
 - **DC Voltage Measurement Linearity:** Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement.
 - **Common mode sensitivity:** Influence of a positive or negative common mode voltage on the differential measurement.
 - **Channel separation:** Influence of a voltage on the neighbor channels not subject to an input voltage.
 - **AD Converter Values with inputs shorted:** Values on the internal AD converter corresponding to zero input voltage
 - **Input Offset Measurement:** Output voltage and statistical results over a large number of zero voltage measurements.
 - **Input Offset Current:** Typical value for information; Maximum channel input offset current, not considering the input resistance.
 - **Input resistance:** Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
 - **Low Battery Alarm Voltage:** Typical value for information. Below this voltage, a battery alarm signal is generated.
 - **Power consumption:** Typical value for information. Supply currents in various operating modes.

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DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 μ V , full range = -100...+300 mV

Low Range: 1LSB = 61nV , full range = -1...+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| Calibration Factors | X | Y | Z |
|---------------------|---------------------------|---------------------------|---------------------------|
| High Range | 405.010 \pm 0.02% (k=2) | 404.578 \pm 0.02% (k=2) | 405.015 \pm 0.02% (k=2) |
| Low Range | 3.98182 \pm 1.50% (k=2) | 3.98256 \pm 1.50% (k=2) | 3.97085 \pm 1.50% (k=2) |

Connector Angle

| | |
|---|-------------------------------------|
| Connector Angle to be used in DASY system | 324.5 $^{\circ}$ \pm 1 $^{\circ}$ |
|---|-------------------------------------|

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Appendix (Additional assessments outside the scope of SCS0108)
1. DC Voltage Linearity

| High Range | Reading (μV) | Difference (μV) | Error (%) |
|-------------------|---------------------------|------------------------------|-----------|
| Channel X + Input | 199994.99 | 1.01 | 0.00 |
| Channel X + Input | 20004.59 | 3.10 | 0.02 |
| Channel X - Input | -19997.61 | 4.07 | -0.02 |
| Channel Y + Input | 199995.27 | 1.92 | 0.00 |
| Channel Y + Input | 20003.49 | 2.17 | 0.01 |
| Channel Y - Input | -20001.56 | 0.25 | -0.00 |
| Channel Z + Input | 199996.44 | 2.69 | 0.00 |
| Channel Z + Input | 20003.98 | 2.57 | 0.01 |
| Channel Z - Input | -20002.02 | -0.26 | 0.00 |

| Low Range | Reading (μV) | Difference (μV) | Error (%) |
|-------------------|---------------------------|------------------------------|-----------|
| Channel X + Input | 2000.98 | -0.02 | -0.00 |
| Channel X + Input | 201.04 | -0.39 | -0.19 |
| Channel X - Input | -198.61 | -0.21 | 0.11 |
| Channel Y + Input | 2001.45 | 0.50 | 0.02 |
| Channel Y + Input | 200.09 | -1.21 | -0.60 |
| Channel Y - Input | -199.84 | -1.30 | 0.65 |
| Channel Z + Input | 2001.94 | 0.99 | 0.05 |
| Channel Z + Input | 199.79 | -1.52 | -0.76 |
| Channel Z - Input | -199.14 | -0.53 | 0.27 |

2. Common mode sensitivity

DASy measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| | Common mode Input Voltage (mV) | High Range Average Reading (μV) | Low Range Average Reading (μV) |
|-----------|--------------------------------|--|---|
| Channel X | 200 | 15.06 | 13.17 |
| | -200 | -11.97 | -13.80 |
| Channel Y | 200 | -19.28 | -19.62 |
| | -200 | 18.28 | 17.70 |
| Channel Z | 200 | 21.01 | 20.77 |
| | -200 | -22.03 | -22.76 |

3. Channel separation

DASy measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| | Input Voltage (mV) | Channel X (μV) | Channel Y (μV) | Channel Z (μV) |
|-----------|--------------------|-----------------------------|-----------------------------|-----------------------------|
| Channel X | 200 | - | 0.57 | -2.27 |
| Channel Y | 200 | 7.16 | - | 2.07 |
| Channel Z | 200 | 9.34 | 3.85 | - |

Certificate No: DAE4-877_Mar20

Page 4 of 5

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4. AD-Converter Values with inputs shorted

DASy measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| | High Range (LSB) | Low Range (LSB) |
|-----------|------------------|-----------------|
| Channel X | 16005 | 16461 |
| Channel Y | 15882 | 17075 |
| Channel Z | 15740 | 17303 |

5. Input Offset Measurement

DASy measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

 Input 10M Ω

| | Average (μ V) | min. Offset (μ V) | max. Offset (μ V) | Std. Deviation (μ V) |
|-----------|--------------------|------------------------|------------------------|---------------------------|
| Channel X | 1.20 | -0.28 | 3.03 | 0.57 |
| Channel Y | 0.18 | -1.82 | 1.39 | 0.56 |
| Channel Z | 0.60 | -1.35 | 2.37 | 0.60 |

6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

| | Zeroing (kOhm) | Measuring (MOhm) |
|-----------|----------------|------------------|
| Channel X | 200 | 200 |
| Channel Y | 200 | 200 |
| Channel Z | 200 | 200 |

8. Low Battery Alarm Voltage (Typical values for information)

| Typical values | Alarm Level (VDC) |
|----------------|-------------------|
| Supply (+ Vcc) | +7.9 |
| Supply (- Vcc) | -7.6 |

9. Power Consumption (Typical values for information)

| Typical values | Switched off (mA) | Stand by (mA) | Transmitting (mA) |
|----------------|-------------------|---------------|-------------------|
| Supply (+ Vcc) | +0.01 | +6 | +14 |
| Supply (- Vcc) | -0.01 | -8 | -9 |

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Calibration Laboratory of
 Schmid & Partner
 Engineering AG
 Zeughausstrasse 43, 8004 Zurich, Switzerland



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Accreditation No.: SCS 0108

Client **SGS-TW (Auden)**

Certificate No: EX3-7509_Mar20

CALIBRATION CERTIFICATE

Object: EX3DV4 - SN:7509

Calibration procedure(s): QA CAL-01.v9, QA CAL-14.v5, QA CAL-23.v5, QA CAL-25.v7
 Calibration procedure for dosimetric E-field probes

Calibration date: March 25, 2020

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
 The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards | ID | Cal Date (Certificate No.) | Scheduled Calibration |
|----------------------------|------------------|-----------------------------------|------------------------|
| Power meter NRP | SN: 104778 | 03-Apr-19 (No. 217-02892/02893) | Apr-20 |
| Power sensor NRP-Z91 | SN: 103244 | 03-Apr-19 (No. 217-02892) | Apr-20 |
| Power sensor NRP-Z91 | SN: 103245 | 03-Apr-19 (No. 217-02893) | Apr-20 |
| Reference 20 dB Attenuator | SN: S5277 (20x) | 04-Apr-19 (No. 217-02894) | Apr-20 |
| DAE4 | SN: 660 | 27-Dec-19 (No. DAE4-660 Dec19) | Dec-20 |
| Reference Probe ES3DV2 | SN: 3013 | 31-Dec-19 (No. ES3-3013 Dec19) | Dec-20 |
| Secondary Standards | ID | Check Date (in house) | Scheduled Check |
| Power meter E4419B | SN: GB41293874 | 06-Apr-16 (in house check Jun-16) | In house check: Jun-20 |
| Power sensor E4412A | SN: MY41498087 | 06-Apr-16 (in house check Jun-16) | In house check: Jun-20 |
| Power sensor E4412A | SN: 030110210 | 06-Apr-16 (in house check Jun-16) | In house check: Jun-20 |
| RF generator HP 8648C | SN: US3642101700 | 04-Aug-99 (in house check Jun-16) | In house check: Jun-20 |
| Network Analyzer E8368A | SN: US41080477 | 31-Mar-14 (in house check Oct-19) | In house check: Oct-20 |

| Name | Function | Signature |
|-------------------------------|-----------------------|-----------|
| Calibrated by: Jeton Kastrati | Laboratory Technician | |
| Approved by: Kajsa Pokovic | Technical Manager | |

Issued: March 28, 2020

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: EX3-7509_Mar20

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Accreditation No.: SCS 0108

Glossary:

| | |
|-----------------------|---|
| TSL | tissue simulating liquid |
| NORM _{x,y,z} | sensitivity in free space |
| ConvF | sensitivity in TSL / NORM _{x,y,z} |
| DCP | diode compression point |
| CF | crest factor (1/duty_cycle) of the RF signal |
| A, B, C, D | modulation dependent linearization parameters |
| Polarization ϕ | ϕ rotation around probe axis |
| Polarization θ | θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., $\theta = 0$ is normal to probe axis |
| Connector Angle | information used in DASY system to align probe sensor X to the robot coordinate system |

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Methods Applied and Interpretation of Parameters:

- **NORM_{x,y,z}**: Assessed for E-field polarization $\theta = 0$ ($f \leq 900$ MHz in TEM-cell; $f > 1800$ MHz: R22 waveguide). NORM_{x,y,z} are only intermediate values, i.e., the uncertainties of NORM_{x,y,z} does not affect the E²-field uncertainty inside TSL (see below ConvF).
- **NORM(f)_{x,y,z} = NORM_{x,y,z} * frequency_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- **DCP_{x,y,z}**: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- **PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- **A_{x,y,z}; B_{x,y,z}; C_{x,y,z}; D_{x,y,z}; VR_{x,y,z}**: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- **ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for $f \leq 800$ MHz) and inside waveguide using analytical field distributions based on power measurements for $f > 800$ MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM_{x,y,z} * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- **Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- **Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- **Connector Angle**: The angle is assessed using the information gained by determining the NORM_x (no uncertainty required).

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EX3DV4 - SN:7509

March 25, 2020

DASY/EASY - Parameters of Probe: EX3DV4 - SN:7509
Basic Calibration Parameters

| | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|---|----------|----------|----------|-----------|
| Norm ($\mu\text{V}/(\text{V}/\text{m})^2$) ^a | 0.51 | 0.55 | 0.55 | ± 10.1 % |
| DCP (mV) ^b | 97.8 | 99.8 | 94.6 | |

Calibration Results for Modulation Response

| UID | Communication System Name | | A dB | B dBV μV | C | D dB | VR mV | Max dev. | Unc ^c (k=2) |
|-----|---------------------------|---|---------|------------------------|-----|---------|----------|-------------|---------------------------|
| 0 | CW | X | 0.0 | 0.0 | 1.0 | 0.00 | 192.3 | ± 3.3 % | ± 4.7 % |
| | | Y | 0.0 | 0.0 | 1.0 | | 173.6 | | |
| | | Z | 0.0 | 0.0 | 1.0 | | 174.8 | | |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

^a The uncertainties of Norm X,Y,Z do not affect the E²-field uncertainty inside TSL (see Page 5).

^b Numerical linearization parameter; uncertainty not required.

^c Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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EX3DV4- SN:7509

March 25, 2020

DASY/EASY - Parameters of Probe: EX3DV4 - SN:7509
Other Probe Parameters

| | |
|---|------------|
| Sensor Arrangement | Triangular |
| Connector Angle (°) | -17.6 |
| Mechanical Surface Detection Mode | enabled |
| Optical Surface Detection Mode | disabled |
| Probe Overall Length | 337 mm |
| Probe Body Diameter | 10 mm |
| Tip Length | 9 mm |
| Tip Diameter | 2.5 mm |
| Probe Tip to Sensor X Calibration Point | 1 mm |
| Probe Tip to Sensor Y Calibration Point | 1 mm |
| Probe Tip to Sensor Z Calibration Point | 1 mm |
| Recommended Measurement Distance from Surface | 1.4 mm |

Certificate No: EX3-7509_Mar20

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EX3DV4-SN:7509

March 25, 2020

DASY/EASY - Parameters of Probe: EX3DV4 - SN:7509

Calibration Parameter Determined in Head Tissue Simulating Media

| f (MHz) ^c | Relative Permittivity ^f | Conductivity (S/m) ^f | ConvF X | ConvF Y | ConvF Z | Alpha ^g | Depth ^g (mm) | Unc (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-----------|
| 750 | 41.9 | 0.89 | 9.94 | 9.94 | 9.94 | 0.49 | 0.80 | ± 12.0 % |
| 835 | 41.5 | 0.90 | 9.73 | 9.73 | 9.73 | 0.35 | 0.98 | ± 12.0 % |
| 900 | 41.5 | 0.97 | 9.53 | 9.53 | 9.53 | 0.33 | 1.00 | ± 12.0 % |
| 1750 | 40.1 | 1.37 | 8.34 | 8.34 | 8.34 | 0.32 | 0.86 | ± 12.0 % |
| 1900 | 40.0 | 1.40 | 8.07 | 8.07 | 8.07 | 0.34 | 0.86 | ± 12.0 % |
| 2000 | 40.0 | 1.40 | 7.98 | 7.98 | 7.98 | 0.36 | 0.86 | ± 12.0 % |
| 2300 | 39.5 | 1.67 | 7.76 | 7.76 | 7.76 | 0.31 | 0.90 | ± 12.0 % |
| 2450 | 39.2 | 1.80 | 7.51 | 7.51 | 7.51 | 0.32 | 0.90 | ± 12.0 % |
| 2600 | 39.0 | 1.96 | 7.23 | 7.23 | 7.23 | 0.39 | 0.90 | ± 12.0 % |
| 3300 | 38.2 | 2.71 | 6.80 | 6.80 | 6.80 | 0.30 | 1.35 | ± 13.1 % |
| 3500 | 37.9 | 2.91 | 6.73 | 6.73 | 6.73 | 0.35 | 1.35 | ± 13.1 % |
| 3700 | 37.7 | 3.12 | 6.67 | 6.67 | 6.67 | 0.35 | 1.35 | ± 13.1 % |
| 3900 | 37.5 | 3.32 | 6.50 | 6.50 | 6.50 | 0.40 | 1.60 | ± 13.1 % |
| 4100 | 37.2 | 3.53 | 6.30 | 6.30 | 6.30 | 0.40 | 1.60 | ± 13.1 % |
| 4200 | 37.1 | 3.63 | 6.10 | 6.10 | 6.10 | 0.40 | 1.60 | ± 13.1 % |
| 4400 | 36.9 | 3.84 | 6.05 | 6.05 | 6.05 | 0.40 | 1.60 | ± 13.1 % |
| 4600 | 36.7 | 4.04 | 6.02 | 6.02 | 6.02 | 0.40 | 1.60 | ± 13.1 % |
| 4800 | 36.4 | 4.25 | 5.97 | 5.97 | 5.97 | 0.40 | 1.80 | ± 13.1 % |
| 4950 | 36.3 | 4.40 | 5.75 | 5.75 | 5.75 | 0.40 | 1.80 | ± 13.1 % |
| 5200 | 36.0 | 4.66 | 5.33 | 5.33 | 5.33 | 0.40 | 1.80 | ± 13.1 % |
| 5300 | 35.9 | 4.76 | 5.23 | 5.23 | 5.23 | 0.40 | 1.80 | ± 13.1 % |
| 5600 | 35.5 | 5.07 | 4.64 | 4.64 | 4.64 | 0.40 | 1.80 | ± 13.1 % |
| 5800 | 35.3 | 5.27 | 4.85 | 4.85 | 4.85 | 0.40 | 1.80 | ± 13.1 % |

^c Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 50, 84, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.
^f At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.
^g Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

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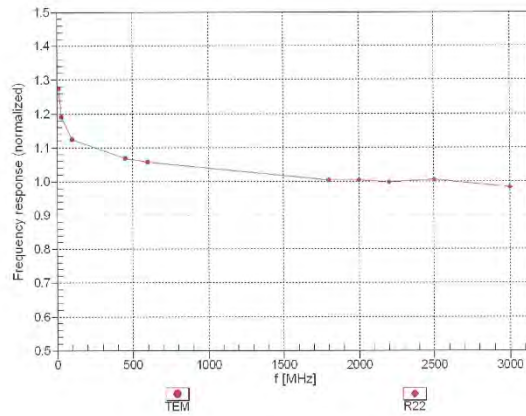
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EX3DV4-SN:7509

March 25, 2020

Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: $\pm 6.3\%$ (k=2)

Certificate No: EX3-7509_Mar20

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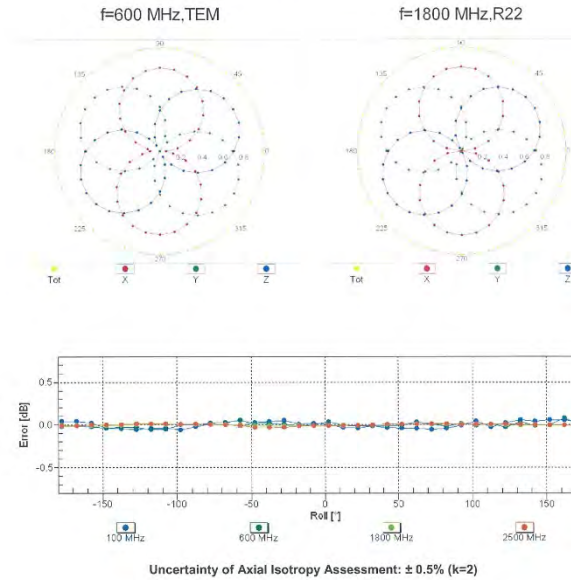
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EX3DV4-SN:7509

March 25, 2020

Receiving Pattern (ϕ), $\theta = 0^\circ$



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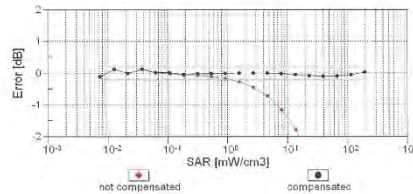
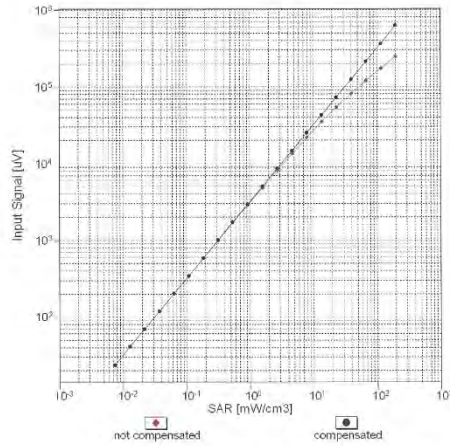
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EX3DV4-SN:7509

March 25, 2020

Dynamic Range f(SAR_{head})
 (TEM cell, f_{eval}= 1900 MHz)



Uncertainty of Linearity Assessment: ± 0.6% (k=2)

Certificate No: EX3-7509_Mar20

Page 8 of 9

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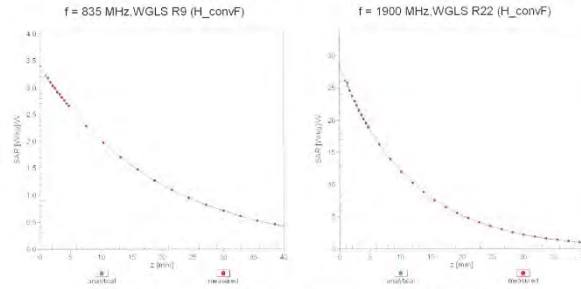
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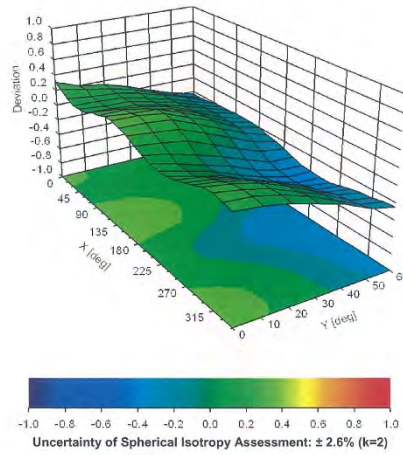
EX3DV4-SN:7509

March 25, 2020

Conversion Factor Assessment



Deviation from Isotropy in Liquid Error (ϕ , θ), $f = 900$ MHz



Certificate No: EX3-7509_Mar20

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- End of report -

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Appendix C

Phantom Description

Schmid & Partner Engineering AG

s p e a g

 Zeughausstrasse 43, 8004 Zurich, Switzerland
 Phone +41 44 245 9700, Fax +41 44 245 9779
 info@speag.com, http://www.speag.com

Certificate of Conformity / First Article Inspection

| | |
|--------------|---|
| Item | Oval Flat Phantom ELI 5.0 |
| Type No | QD OVA 002 A |
| Series No | 1108 and higher |
| Manufacturer | Untersee Composites Knebelstrasse 8, CH-8268 Mannenbach, Switzerland |

Tests

Complete tests were made on the prototype units QD OVA 001 A, pre-series units QD OVA 001 B as well as on some series units QD OVA 001 B. Some tests are made on all series units QD OVA 002 A.

| Test | Requirement | Details | Units tested |
|----------------------|---|--|---------------------------|
| Shape | Internal dimensions, depth and sagging are compatible with standards | Bottom elliptical 600 x 400 mm, Depth 190 mm, dimension compliant with [1] for f > 375 MHz | Prototypes |
| Material thickness | Bottom: 2.0mm +/- 0.2mm | dimension compliant with [3] for f > 800 MHz | all |
| Material parameters | rel. permittivity 2 – 5, loss tangent ≤ 0.05, at f ≤ 6 GHz | rel. permittivity 3.5 +/- 0.5 loss tangent ≤ 0.05 | Material samples |
| Material resistivity | Compatibility with tissue simulating liquids . | Compatible with SPEAG liquids. ** | Phantoms, Material sample |
| Sagging | Sagging of the flat section in tolerance when filled with tissue simulating liquid. | within tolerance for filling height up to 155 mm | Prototypes, samples |

** Note: Compatibility restrictions apply certain liquid components mentioned in the standard, containing e.g. DGBE, DGMHE or Triton X-100. Observe technical note on material compatibility.

Standards

- [1] OET Bulletin 65, Supplement C, "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields", Edition 01-01
- [2] IEEE 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques, December 2003
- [3] IEC 62209-1 ed1.0, "Human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices - Human models, instrumentation, and procedures - Part 1: Procedure to determine the specific absorption rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", 2005-02-18
- [4] IEC 62209-2 ed1.0, "Human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices - Human models, instrumentation, and procedures - Part 2: Procedure to determine the specific absorption rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", 2010-03-30

Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of body-worn SAR measurements and system performance checks as specified in [1 – 4] and further standards.

Date 25.7.2011

Signature / Stamp

s p e a g

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Doc No 881 – QD OVA 002 A - A

Page 1 (1)

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S Swiss Calibration Service

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 The Swiss Accreditation Service is one of the signatories to the EA
 Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: **SCS 0108**

Client **SGS-TW (Auden)**

Certificate No: **D2450V2-727_Apr20**

CALIBRATION CERTIFICATE

| | | | |
|---|---|-----------------------------------|--|
| Object | D2450V2 - SN:727 | | |
| Calibration procedure(s) | QA CAL-05.v11 Calibration Procedure for SAR Validation Sources between 0.7-3 GHz | | |
| Calibration date: | April 22, 2020 | | |
| This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate. | | | |
| All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%. | | | |
| Calibration Equipment used (M&TE critical for calibration) | | | |
| Primary Standards | ID # | Cal Date (Certificate No.) | Scheduled Calibration |
| Power meter NRP | SN: 104778 | 01-Apr-20 (No. 217-03100/03101) | Apr-21 |
| Power sensor NRP-Z91 | SN: 103244 | 01-Apr-20 (No. 217-03100) | Apr-21 |
| Power sensor NRP-Z91 | SN: 103245 | 01-Apr-20 (No. 217-03101) | Apr-21 |
| Reference 20 dB Attenuator | SN: BH9394 (20k) | 31-Mar-20 (No. 217-03106) | Apr-21 |
| Type-N mismatch combination | SN: 310982 / 06327 | 31-Mar-20 (No. 217-03104) | Apr-21 |
| Reference Probe EX3DV4 | SN: 7349 | 31-Dec-19 (No. EX3-7349_Dec19) | Dec-20 |
| D4E4 | SN: 601 | 27-Dec-19 (No. D4E4-601_Dec19) | Dec-20 |
| Secondary Standards | ID # | Check Date (in house) | Scheduled Check |
| Power meter E4419B | SN: GB39512475 | 30-Oct-14 (in house check Feb-19) | In house check: Oct-20 |
| Power sensor HP 8481A | SN: US37292783 | 07-Oct-15 (in house check Oct-18) | In house check: Oct-20 |
| Power sensor HP 8481A | SN: MY41092317 | 07-Oct-15 (in house check Oct-18) | In house check: Oct-20 |
| RF generator R&S SMT-06 | SN: 100972 | 15-Jun-15 (in house check Oct-18) | In house check: Oct-20 |
| Network Analyzer Agilent E8358A | SN: US41080477 | 31-Mar-14 (in house check Oct-19) | In house check: Oct-20 |
| Calibrated by: | Name Jeffrey Katzman | Function Laboratory Technician | Signature  |
| Approved by: | Name Katja Pokovic | Function Technical Manager | Signature  |
| Issued: April 24, 2020 | | | |
| This calibration certificate shall not be reproduced except in full without written approval of the laboratory. | | | |

Certificate No: D2450V2-727_Apr20

Page 1 of 6

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Accreditation No.: **SCS 0108**

Glossary:

TSL tissue simulating liquid
 ConvF sensitivity in TSL / NORM x,y,z
 N/A not applicable or not measured

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Additional Documentation:

- e) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor $k=2$, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

| | | |
|------------------------------|------------------------|-------------|
| DASY Version | DASY5 | V52.10.4 |
| Extrapolation | Advanced Extrapolation | |
| Phantom | Modular Flat Phantom | |
| Distance Dipole Center - TSL | 10 mm | with Spacer |
| Zoom Scan Resolution | dx, dy, dz = 5 mm | |
| Frequency | 2450 MHz \pm 1 MHz | |

Head TSL parameters

The following parameters and calculations were applied.

| | Temperature | Permittivity | Conductivity |
|---|---------------------|----------------|----------------------|
| Nominal Head TSL parameters | 22.0 °C | 39.2 | 1.80 mho/m |
| Measured Head TSL parameters | (22.0 \pm 0.2) °C | 38.6 \pm 6 % | 1.86 mho/m \pm 6 % |
| Head TSL temperature change during test | < 0.5 °C | ---- | ---- |

SAR result with Head TSL

| | | |
|---|--------------------|------------------------------|
| SAR averaged over 1 cm ³ (1 g) of Head TSL | Condition | |
| SAR measured | 250 mW input power | 13.4 W/kg |
| SAR for nominal Head TSL parameters | normalized to 1W | 52.6 W/kg \pm 17.0 % (k=2) |
| SAR averaged over 10 cm ³ (10 g) of Head TSL | condition | |
| SAR measured | 250 mW input power | 6.23 W/kg |
| SAR for nominal Head TSL parameters | normalized to 1W | 24.7 W/kg \pm 16.5 % (k=2) |

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Appendix (Additional assessments outside the scope of SCS 0108)

Antenna Parameters with Head TSL

| | |
|--------------------------------------|-------------------------------|
| Impedance, transformed to feed point | $56.0 \Omega \pm 2.6 j\Omega$ |
| Return Loss | - 24.1 dB |

General Antenna Parameters and Design

| | |
|----------------------------------|----------|
| Electrical Delay (one direction) | 1.149 ns |
|----------------------------------|----------|

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

Additional EUT Data

| | |
|-----------------|-------|
| Manufactured by | SPEAG |
|-----------------|-------|

DASY5 Validation Report for Head TSL

Date: 22.04.2020

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 2450 MHz; Type: D2450V2; Serial: D2450V2 - SN:727

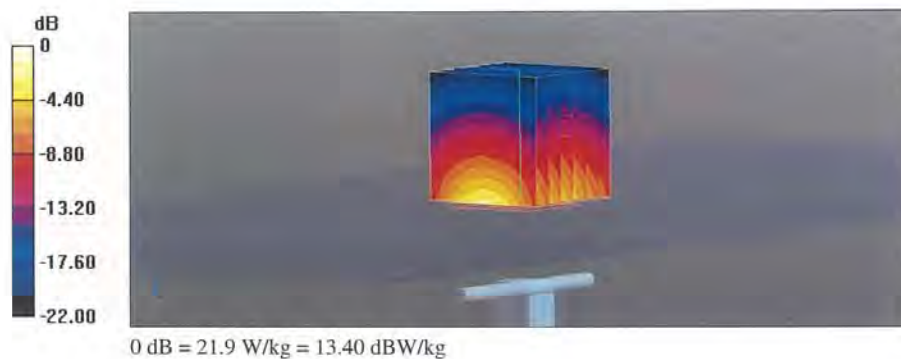
Communication System: UID 0 - CW; Frequency: 2450 MHz
 Medium parameters used: $f = 2450$ MHz; $\sigma = 1.86$ S/m; $\epsilon_r = 38.6$; $\rho = 1000$ kg/m³
 Phantom section: Flat Section
 Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

- Probe: EX3DV4 - SN7349; ConvF(7.98, 7.98, 7.98) @ 2450 MHz; Calibrated: 31.12.2019
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 27.12.2019
- Phantom: Flat Phantom 5.0 (front); Type: QD 000 P50 AA; Serial: 1001
- DASY52 52.10.4(1527); SEMCAD X 14.6.14(7483)

Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mm
 Reference Value = 116.9 V/m; Power Drift = -0.03 dB
 Peak SAR (extrapolated) = 26.1 W/kg
SAR(1 g) = 13.4 W/kg; SAR(10 g) = 6.23 W/kg
 Smallest distance from peaks to all points 3 dB below = 9 mm
 Ratio of SAR at M2 to SAR at M1 = 51.2%
 Maximum value of SAR (measured) = 21.9 W/kg

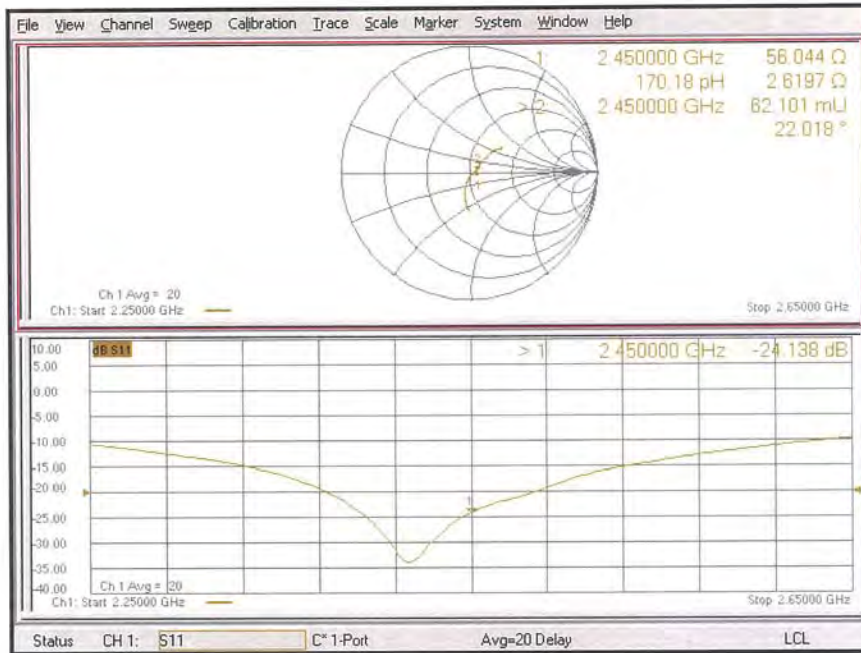


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Impedance Measurement Plot for Head TSL



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