



RF Exposure Report

(Part 2: Test Under Dynamic Transmission Condition)

FCC ID : A4RG4S1M
Equipment : Phone
Model Name : GR0M2, G4S1M
Applicant : Google LLC
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Mountain View, California, 94043 USA

We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the test procedures and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC. EMC & Wireless Communications Laboratory, the test report shall not be reproduced except in full.

A handwritten signature in blue ink that reads "Cona Huang".

Approved by: Cona Huang / Deputy Manager

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History of this test report

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Contents

- 1 Introduction 4**
- 2 Tx Varying Transmission Test Cases and Test Proposal 5**
- 3 SAR Time Averaging Validation Test Procedures 8**
 - 3.1 Test sequence determination for validation8
 - 3.2 Test configuration selection criteria for validating Smart Transmit feature8
 - 3.2.1 Test configuration selection for time-varying Tx power transmission 9
 - 3.2.2 Test configuration selection for change in call 10
 - 3.2.3 Test configuration selection for change in technology/band 10
 - 3.2.4 Test configuration selection for change in DSI 10
 - 3.2.5 Test configuration selection for SAR exposure switching 10
 - 3.3 Test procedures for conducted power measurements 11
 - 3.3.1 Time-varying Tx power transmission scenario 11
 - 3.3.2 Change in call scenario 13
 - 3.3.3 Change in technology and band 14
 - 3.3.4 Change in antenna 15
 - 3.3.5 Change in DSI 16
 - 3.3.6 Change in time window 16
 - 3.3.7 SAR exposure switching 17
 - 3.4 Test procedure for time-varying SAR measurements 19
 - 3.5 Test Case Reduction for Multiple Filings 21
- 4 Test Configurations 22**
 - 4.1 WWAN (sub-6) transmission 22
- 5 Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation 28**
 - 5.1 Measurement setup 28
 - 5.2 P_{limit} and P_{max} measurement results 31
 - 5.3 Time-varying Tx power measurement results 32
 - 5.3.1 WCDMA B4 33
 - 5.3.2 LTE B7 35
 - 5.4 Change in Call Test Results 37
 - 5.5 Change in technology/band test results 38
 - 5.6 Change in DSI test results 39
 - 5.7 Change in Time window / antenna switch test results 40
 - 5.7.1 Test case 1: transition from LTE B7 to LTE B48 (i.e., 100s to 60s), then back to LTE B7 40
 - 5.7.2 Test case 2: transition from LTE B48 to LTE B7 (i.e., 60s to 100s), then back to LTE B48 42
- 6 Conclusions 44**



1 Introduction

The equipment under test (EUT) is a portable handset (FCC ID: A4RG4S1M), it contains the Qualcomm modem supporting 2G/3G/4G technologies and 5G NR bands. Both of these modems are enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The P_{limit} used in this report is determined in Part 1 report.

Refer to PART 1 SAR REPORT, for product description and terminology used in this report.

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2 Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
6. SAR vs. PD exposure switching during sub-6+mmW transmission: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance during transitions in SAR dominant exposure, SAR+PD exposure, and PD dominant exposure scenarios.
7. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR_radio1 only, SAR_radio1 + SAR_radio2, and SAR_radio2 only scenarios.

As described in Part 2 report, the RF exposure is proportional to the Tx power for a SAR- and PD-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for $f < 6\text{GHz}$) and radiated (for $f \geq 6\text{GHz}$) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR and PD measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR and PD measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
 - Measure conducted Tx power (for $f < 6\text{GHz}$) versus time, and radiated Tx power (EIRP for $f > 10\text{GHz}$) versus time.
 - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
 - Perform running time-averaging over FCC defined time windows.

- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

- For sub-6+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (2a)$$

$$4cm^2PD(t) = \frac{radiated_Tx_power(t)}{radiated_Tx_power_input.power.limit} * 4cm^2PD_input.power.limit$$

(2b)

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (2c)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured $1gSAR$ or $10gSAR$ values at P_{limit} corresponding to sub-6 transmission. Similarly, $radiated_Tx_power(t)$, $radiated_Tx_power_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous radiated Tx power, radiated Tx power at $input.power.limit$ (i.e., radiated power limit), and $4cm^2PD$ value at $input.power.limit$ corresponding to mmW transmission. Both P_{limit} and $input.power.limit$ are the parameters pre-defined in Part 1 and loaded via Embedded File System (EFS) onto the EUT. T_{SAR} is the FCC defined time window for sub-6 radio; T_{PD} is the FCC defined time window for mmW radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC’s SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
 - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+5G NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to 5G NR.

- For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.
- Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
- Perform time averaging over FCC defined time window.
- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_P_{limit}} * 1g_or_10gSAR(t)_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (3b)$$

- For LTE+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE_input.power.limit]^2} * 4cm^2PD_input.power.limit \quad (4b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2PD\ limit} \leq 1 \quad (4c)$$

where, $pointSAR(t)$, $pointSAR_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous point SAR, measured point SAR at P_{limit} , and measured 1gSAR or 10gSAR values at P_{limit} corresponding to sub-6 transmission. Similarly, $pointE(t)$, $pointE_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous E-field, E-field at $input.power.limit$, and $4cm^2PD$ value at $input.power.limit$ corresponding to mmW transmission.

NOTE: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides

ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ versus time.



3 SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating $f < 3\text{GHz}$ is used as an example to detail the test procedures in this chapter.

3.1 Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ($f < 6\text{GHz}$) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured P_{max}^{\dagger} , for 80s, then requesting for half of the maximum power, i.e., measured $P_{max}/2$, for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured P_{max} , measured P_{limit} and calculated $P_{reserve}$ (= measured P_{limit} in dBm - Reserve_power_margin in dB) of EUT based on measured P_{limit} .

The details for generating these two test sequences is described and listed in Appendix A.

NOTE: For test sequence generation, “measured P_{limit} ” and “measured P_{max} ” are used instead of the “ P_{limit} ” specified in EFS entry and “ P_{max} ” specified for the device, because Smart Transmit feature operates against the actual power level of the “ P_{limit} ” that was calibrated for the EUT. The “measured P_{limit} ” accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 1 report prior to determining P_{limit} .

3.2 Test configuration selection criteria for validating Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient.

Note this test is designed for single radio transmission scenario. If UE supports sub6 NR in both non-standalone (NSA) and standalone (SA) modes, then validation in time-varying Tx power transmission scenario described in this section needs to be performed in SA mode. Otherwise, it needs to be performed in NSA mode with LTE anchor set to low power. The choice between SA and NSA mode needs to also take into account the selection criteria described below. In general, one mode out of the two modes (NSA or SA) is sufficient for this test.

The criteria for the selection is based on the Plimit values determined in QRD SAR Char and PD Char for Qualcomm® Smart Transmit, Using Combination of Simulation and Measurement (80-W2112-2), select two bands* in each supported technology (i.e., LTE for QRD) that correspond to least** and highest*** Plimit values for validating Smart Transmit, where $P_{limit} < P_{max}$. Note:

1. P_{max} refers to maximum Tx power configured for this device in this technology/band (not rated P_{max}). This P_{max} definition applies throughout this Part 2 report.
2. If $P_{limit} > P_{max}$, the validation test with time-varying test sequences is not needed as no power enforcement will be required in this condition.

* If one Plimit level applies to all the bands within a technology or if only one band within a technology has $P_{limit} < P_{max}$, then only one band needs to be tested. In this case, select one band/radio configuration for this test. Use the highest measured 1g_or_10gSAR shown in RF Exposure Compliance Test Report for FCC Equipment Authorization of QRD (Part 1: Test Under Static Transmission Scenario) (80-W2112-4) for the selected tech/band/antenna/DSI out of all radio configurations and device positions in Equation (3a), (4a), (5a) and (6a) to calculate time-varying SAR.

** In case of multiple bands having the same least Plimit within the technology, then select any one band out of these bands .

*** The band having a higher Plimit (meaning lower SAR at P_{max}) needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest Plimit in a technology is too high (i.e., $> P_{max}$) where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level should be checked. This process should be continued within the technology until the second band for validation testing is determined.

3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least P_{limit} among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured* 1gSAR at P_{limit} listed in Part 1 report.
- In case of multiple bands having same least P_{limit} , then select the band having the highest *measured* 1gSAR at P_{limit} in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at $P_{reserve}$) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$). One test is sufficient as the feature operation is independent of technology and band.

3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest P_{limit} within the technology group (in case of multiple bands having the same P_{limits} , then select the band with highest *measured* 1gSAR at P_{limit}) to a technology/band with highest P_{limit} within the technology group, in case of multiple bands having the same P_{limits} , then select the band with lowest *measured* 1gSAR at P_{limit} in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

3.2.4 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is

- Select a technology/band having the $P_{limit} < P_{max}$ within any technology and DSI group, and for the same technology/band having a different P_{limit} in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

3.2.5 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows.
One test with two active radios in any two different time windows is sufficient

as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in Section 8.2.3 and 8.2.4.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. 5G NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + 5G NR transmission) is sufficient, where the SAR exposure varies among SAR_{radio1} only, $SAR_{radio1} + SAR_{radio2}$, and SAR_{radio2} only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+5G NR).
- Among all supported simultaneous transmission configurations, the selection order is
 1. select one configuration where both P_{limit} of radio1 and radio2 is less than their corresponding P_{max} , preferably, with different P_{limits} . If this configuration is not available, then,
 2. select one configuration that has P_{limit} less than its P_{max} for at least one radio. If this can not be found, then,
 3. select one configuration that has P_{limit} of radio1 and radio2 greater than P_{max} but with least $(P_{limit} - P_{max})$ delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

3.3 Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

3.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time-averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

Test procedure

1. Measure P_{max} , measure P_{limit} and calculate $P_{reserve}$ (= measured P_{limit} in dBm – *Reserve_power_margin* in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1

and test sequence 2 are created based on measured P_{max} and measured P_{limit} of the EUT. Test condition to measure P_{max} and P_{limit} is:

- Measure P_{max} with Smart Transmit disabled and callbox set to request maximum power.
 - Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured P_{limit} from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

NOTE: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

NOTE: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

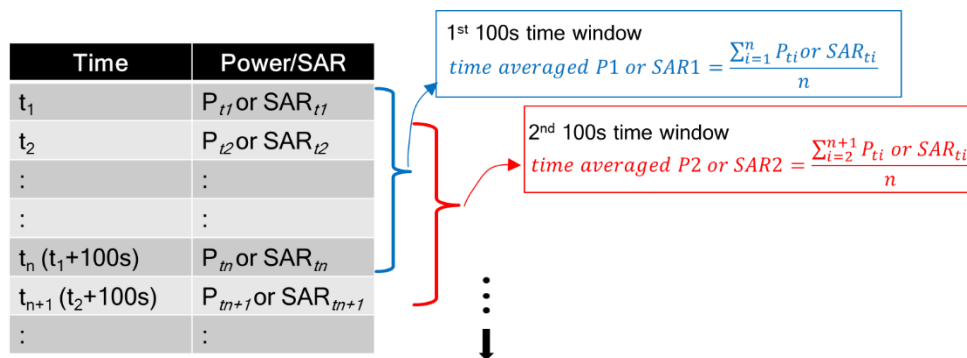


Figure 3-1 100s running average illustration

3. Make one plot containing:
- a. Instantaneous Tx power versus time measured in Step 2,
 - b. Requested Tx power used in Step 2 (test sequence 1),
 - c. Computed time-averaged power versus time determined in Step 2,

- d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1gSAR or 4.0W/kg for 10gSAR) given by

$$\text{Time averaged power limit} = \text{meas. } P_{\text{limit}} + 10 \times \log\left(\frac{\text{FCC SAR limit}}{\text{meas. SAR}_{\text{Plimit}}}\right) \quad (5a)$$

where $\text{meas. } P_{\text{limit}}$ and $\text{meas. SAR}_{\text{Plimit}}$ correspond to measured power at P_{limit} and measured SAR at P_{limit} .

4. Make another plot containing:
 - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
 - b. FCC $1\text{gSAR}_{\text{limit}}$ of 1.6W/kg or FCC $10\text{gSAR}_{\text{limit}}$ of 4.0W/kg.
5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnect and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at P_{reserve} level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Test procedure

1. Measure P_{limit} for the technology/band selected in Section 3.2.2. Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the

measured conducted Tx power into 1gSAR or 10gSAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

NOTE: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
6. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time-averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at $P_{reserve}$ level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous $P_{reserve}$ level to the new $P_{reserve}$ level (corresponding to new technology/band). Since the P_{limit} could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g_or_10gSAR_1(t) = \frac{conducted_Tx_power_1(t)}{conducted_Tx_power_P_{limit_1}} * 1g_or_10gSAR_P_{limit_1} \quad (6a)$$

$$1g_or_10gSAR_2(t) = \frac{conducted_Tx_power_2(t)}{conducted_Tx_power_P_{limit_2}} * 1g_or_10gSAR_P_{limit_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[\int_{t-T_{SAR}}^{t_1} \frac{1g_or_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g_or_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where, $conducted_Tx_power_1(t)$, $conducted_Tx_power_P_{limit_1}$, and $1g_or_10gSAR_P_{limit_1}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR or 10gSAR value at P_{limit} of technology1/band1; $conducted_Tx_power_2(t)$, $conducted_Tx_power_P_{limit_2}(t)$, and $1g_or_10gSAR_P_{limit_2}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR or 10gSAR value at P_{limit} of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant ' t_i '.

Test procedure

1. Measure P_{limit} for both the technologies and bands selected in Section 3.2.3. Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit
3. Establish radio link with callbox in first technology/band selected.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq. (6a) and (6b) and corresponding measured P_{limit} values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

NOTE: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band/antenna/DSI reported in Part 1 report.

6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
7. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (6c)).

3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

NOTE: If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz.

To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_1(t) = \frac{conducted_Tx_power_1(t)}{conducted_Tx_power_P_{limit_1}} * 1g_or\ 10g_SAR_P_{limit_1} \quad (7a)$$

$$1gSAR_2(t) = \frac{conducted_Tx_power_2(t)}{conducted_Tx_power_P_{limit_2}} * 1g_or\ 10g_SAR_P_{limit_2} \quad (7b)$$

$$\frac{1}{T1_{SAR}} \left[\int_{t-T1_{SAR}}^{t_1} \frac{1g_or\ 10g_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[\int_{t-T2_{SAR}}^t \frac{1g_or\ 10g_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (7c)$$

where, *conducted_Tx_power_1(t)*, *conducted_Tx_power_P_{limit_1}(t)*, and *1g_ or 10g_SAR_P_{limit_1}* correspond to the instantaneous Tx power, conducted Tx power at *P_{limit_1}* and compliance *1g_ or 10g_SAR* values at *P_{limit_1}* of band1 with time-averaging window '*T1_{SAR}*'; *conducted_Tx_power_2(t)*, *conducted_Tx_power_P_{limit_2}(t)*, and *1g_ or 10g_SAR_P_{limit_2}* correspond to the instantaneous Tx power, conducted Tx power at *P_{limit_2}* and compliance *1g_ or 10g_SAR* values at *P_{limit_2}* of band2 with time-averaging window '*T2_{SAR}*'. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window '*T1_{SAR}*' to the second band with time-averaging window '*T2_{SAR}*' happens at time-instant '*t_j*'.

Test procedure

8. Measure *P_{limit}* for both the technologies and bands selected in Section 3.2.6. Measure *P_{limit}* with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
9. Set *Reserve_power_margin* to actual (intended) value and enable Smart Transmit

Transition from 100s time window to 60s time window, and vice versa

10. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.
11. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
12. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at P_{limit} .
13. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.
14. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg.

Transition from 60s time window to 100s time window, and vice versa

15. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
 16. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.
 17. Repeat above Step 5~7 to generate the plots
- The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg

3.3.7 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure

complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, 5G NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+5G NR non-standalone mode transmission scenario is provided in Appendix B.2.

Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for radio1 and radio2 in selected band. Test condition to measure conducted P_{limit} is:
 - Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1 P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure conducted Tx power corresponding to radio2 P_{limit} . If radio2 is dependent on radio1 (for example, non-standalone mode of 5G NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 5G NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)
2. Set *Reserve_power_margin* to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1gSAR or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg or $10gSAR_{limit}$ of 4.0W/kg.

3.4 Test procedure for time-varying SAR measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the “path loss” between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 7.1.
2. Time averaging feature validation:
 - i For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set *Reserve_power_margin* to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, *pointSAR_{P_{limit}}*, corresponds to point SAR at the measured *P_{limit}* (i.e., measured *P_{limit}* from the EUT in Step 1 of Section 3.3.1).
 - ii Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if *Reserve_power_margin* cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, *pointSAR(t)*, and convert it into instantaneous 1gSAR or 10gSAR vs. time using Eq. (3a), re-written below:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g_or_10gSAR_{P_{limit}}$$



where, $pointSAR_{P_{limit}}$ is the value determined in Step 2.i, and $pointSAR(t)$ is the instantaneous point SAR measured in Step 2.ii, $1g_or_10gSAR_{P_{limit}}$ is the measured 1gSAR or 10gSAR value listed in Part 1 report.

- iii Perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time.
- iv Make one plot containing: (a) time-averaged 1gSAR or 10gSAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.
- v Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.
- vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (3b)).

3.5 Test Case Reduction for Multiple Filings

For devices enabled with Smart Transmit feature, the tests listed in Section 2.0 are essential to verify time-averaging operation in a new integrated platform/model/design and should NOT be avoided. As highlighted in Section 2.0, the compliance demonstration under dynamic transmission scenarios is done via two types of measurement:

- Power measurement
- RF exposure measurement

The tests via power measurement are essential while the tests through RF exposure measurement are added for the purpose of gaining high confidence level. Therefore, Part 2 test case reduction can be considered for other filings using same chipset post full Part 2 test on the first filing.

Typically, OEMs may have multiple variants which uses the same chipset. Even though the same chipset and Smart Transmit algorithm are used in the new model, the new design with latest SW build needs to be tested to verify the integration. However, the number of test cases in Part 2 can be reduced in the case of multiple filings using same chipset (post full part 2 test on the first filing), i.e., the essential test cases in power measurement are required to ensure the Smart Transmit performs as expected in the new design, but the RF exposure measurement can be excluded. Furthermore, as described in Section 3.2.1, In smart transmit testing which two bands per technology are selected for time-varying Tx transmission test to provide high confidence. In this case, one band per technology can be considered as well to reduce test cases further.

In summary, for multiple filings with same chipset, the test case reduction proposal for Part 2 testing is:

1. Full set of tests in the first filing, i.e., both **power measurement** and **RF exposure measurement**, are required.
2. For all subsequent filings with the same chipset, only **power measurement** is required. In the case of time-varying Tx transmission test, only one band (instead of two bands) per technology is sufficient.

The manufacturer's first filing is FCC ID: A4RG1F8F, both models are all use Qualcomm modem supporting 2G/3G/4G technologies and 5G NR bands.



4 Test Configurations

4.1 WWAN (sub-6) transmission

The P_{limit} values corresponding to SAR_design_target in operational description, for technologies and bands supported by EUT are derived in Table 4.1. Note all P_{limit} power levels entered in Table 4.1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & 5G NR TDD).

If the P_{limit} is > P_{max} , Smart transmit behavior validation is not required, the power would be limited at P_{max} level.

Table 4.1. P_{limit} for supported technologies and bands (P_{limit} in EFS file)

Config 0

Band	Config	Antenna	TDD duty cycle	Head		Hotspot	Body-worn/Extremity		PMax*
				Standalone	Simultaneous	Simultaneous	Standalone	Simultaneous	
				DSI 2	DSI 7	DSI 6	DSI 4	DSI 8	
GSM850(GPRS 4 Tx slots)**	0	0	50.00%	30.0	29.2	28.4	29.2	28.4	25.5
GSM1900(GPRS 4 Tx slots)**	0	2	50.00%	30.2	29.4	24.4	25.6	24.8	23.0
WCDMA B2	0	2	100.00%	31.0	30.2	24.1	25.0	24.2	24.7
WCDMA B4	0	2	100.00%	30.2	29.4	24.3	25.1	24.3	24.7
WCDMA B5	0	0	100.00%	29.3	28.5	28.0	28.8	28.0	24.0
LTE B7	0	2	100.00%	29.5	28.7	22.7	23.5	22.8	24.7
LTE B12/17	0	0	100.00%	30.7	29.9	27.3	28.1	27.3	24.7
LTE B13	0	0	100.00%	31.2	30.4	27.9	28.7	27.9	24.2
LTE B14	0	0	100.00%	30.8	30.0	27.9	28.7	27.9	24.7
LTE B25/2	0	2	100.00%	31.4	30.6	23.8	24.6	24.4	24.7
LTE B26/5	0	0	100.00%	29.3	28.5	28.2	29.0	28.2	24.7
LTE B30	0	2	100.00%	29.5	28.7	24.7	25.6	24.8	23.2
LTE B41/B38 PC3**	0	2	63.30%	30.4	29.6	23.3	24.1	23.3	22.7
LTE B38(HPUE) PC2**	0	2	43.30%	30.4	29.6	23.3	24.1	23.3	22.9
LTE B48**	0	7	63.30%	29.7	28.9	20.8	21.6	20.8	22.7
LTE B66/4	0	2	100.00%	30.5	29.7	23.0	23.8	23.0	24.7
LTE B71	0	0	100.00%	30.8	30.0	27.7	28.5	27.7	24.7
5G FR1 n5	0	0	100.00%	30.6	29.8	28.4	29.2	28.4	24.0
5G FR1 n77 PC3**	0	7	33.00%	30.9	30.1	27.3	28.1	27.3	19.9
5G FR1 n78 PC3**	0	7	33.00%	28.5	27.7	24.1	24.9	24.1	19.2
5G FR1 n78(HPUE) PC2**	0	7	33.00%	28.5	27.7	24.1	24.9	24.1	20.7



Config 1

Band	Config	Antenna	TDD duty cycle	Head		Hotspot	Body-worn/Extremity		PMax*
				Standalone	Simultaneous	Simultaneous	Standalone	Simultaneous	
				DSI 2	DSI 7	DSI 6	DSI 4	DSI 8	
GSM850(GPRS 4 Tx slots)**	1	1	50.00%	26.1	25.3	29.3	30.1	29.3	24.2
GSM1900(GPRS 4 Tx slots)**	1	0	50.00%	29.2	28.4	26.8	27.6	26.8	22.7
WCDMA B2	1	0	100.00%	29.4	28.6	24.2	25.0	24.2	24.5
WCDMA B4	1	0	100.00%	26.2	25.4	24.0	24.8	24.0	24.5
WCDMA B5	1	1	100.00%	26.4	25.6	28.1	28.8	28.1	24.0
LTE B7	1	0	100.00%	31.9	31.1	24.7	25.5	24.7	24.5
LTE B12	1	1	100.00%	26.9	26.1	28.4	30.4	29.6	24.7
LTE B13	1	1	100.00%	26.0	25.2	28.5	29.3	28.5	24.0
LTE B14	1	1	100.00%	25.9	25.2	28.4	29.2	28.4	24.0
LTE B17	1	1	100.00%	25.6	24.8	28.3	29.1	28.3	24.0
LTE B25/2	1	0	100.00%	27.3	26.6	24.2	25.9	25.1	24.5
LTE B26/5	1	1	100.00%	25.3	24.5	27.9	28.6	27.9	24.0
LTE B30	1	0	100.00%	30.5	29.7	25.1	25.9	25.1	24.7
LTE B38 PC3**	1	0	63.30%	32.7	31.9	26.5	27.3	26.5	22.2
LTE B41 PC3**	1	0	63.30%	32.4	31.6	26.3	27.0	26.3	22.5
LTE B38(HPUE) PC2**	1	0	43.30%	32.7	31.9	28.0	28.9	28.1	22.1
LTE B48**	1	2	63.30%	34.9	34.1	21.1	21.9	21.1	22.7
LTE B66/4	1	0	100.00%	28.2	27.4	23.6	24.3	23.6	24.5
LTE B71	1	1	100.00%	26.8	26.0	29.6	30.4	29.6	24.0
5G FR1 n5	1	1	100.00%	25.8	25.0	28.5	29.3	28.5	24.0
5G FR1 n77 PC3**	1	2	33.00%	31.0	30.3	27.2	27.9	27.2	19.7
5G FR1 n78 PC3**	1	2	33.00%	34.3	33.5	21.5	22.3	21.5	19.7
5G FR1 n78(HPUE) PC2**	1	2	33.00%	34.3	33.5	21.5	22.3	21.5	20.2

5G FR1 SA/NSA Mode

5G FR1 SA mode	5G FR1 NSA mode
n78	n5/77/78

*Pmax is used for RF tune up procedure. The maximum allowed output power is equal to Pmax + device uncertainty.

Maximum target power, Pmax, is configured in NV settings in EUT to “limit maximum transmitting power”. This power is converted into “peak power in NV settings for TDD schemes”. The EUT maximum allowed output power is equal to Pmax + device uncertainty. EFS file plimit level will compare to pmax, when plimit is high than pmax, the power will be limited to Pmax power level.

**All Plimit power levels entered in the Table correspond to average power levels after accounting for duty cycle in the case TDD modulation schemes (for e.g., GSM & LTE TDD & NR TDD).

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 4.1, the *Reserve_power_margin* (dB) for A4RG4S1M is set to 3dB in EFS, and is used in Part 2 test.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4.1. The corresponding worst-case radio configuration 1gSAR or 10gSAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4.1.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same.

Table 4.2.: Radio configurations selected for Part 2 test

Test case #	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	Part 1, SAR @Plimit 1-g SAR (W/kg)
1	Time-Varying Seq	WCMDA	4	2	6	1513	1752.6				RMC	Back	Hotspot, 10mm	0.535
2		LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot, 10mm	0.675
3	Call Drop	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot, 10mm	0.675
4	Tech/band switch	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot, 10mm	0.675
		WCMDA	4	2	6	1513	1752.6				RMC	Back	Hotspot, 10mm	0.535
5	DSI switch	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot, 10mm	0.675
		LTE	7	2	4	21350	2560	20	50	50	QPSK	Back	Body Worn, 10mm	0.615
6	Change in Time Window (100-60-100s)	LTE	7	2	6	21350	2560	20	50	50	QPSK	Bottom Side	Hotspot, 10mm	0.675
		LTE	48	7	6	55830	3609	20	50	0	QPSK	Back	Hotspot, 10mm	0.588
7	Change in Time Window (60-100-60s)	LTE	48	7	6	55830	3609	20	50	0	QPSK	Back	Hotspot, 10mm	0.588
		LTE	7	2	6	21350	2560	20	50	50	QPSK	Bottom Side	Hotspot, 10mm	0.675

Note that the EUT has a several DSI states to manage power for different RF exposure conditions, detail DSI states and trigger conditions shown on the operational description, the maximum 1gSAR/or 10gSAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation.



<Device uncertainty>

Total Uncertainty					
Config 0			Config 1		
Wireless Technology Band	Antenna	Uncertainty dB (k=2)	Wireless Technology Band	Antenna	Uncertainty dB (k=2)
GSM 850	ANT0	1.0	GSM 850	ANT1	0.7
GSM 1900	ANT2	1.0	GSM 1900	ANT0	0.7
WCDMA B2	ANT2	1.0	WCDMA B2	ANT0	1.5
WCDMA B4	ANT2	1.0	WCDMA B4	ANT0	1.5
WCDMA B5	ANT0	1.0	WCDMA B5	ANT1	1.5
LTE B2	ANT2	1.0	LTE B2	ANT0	1.5
LTE B4	ANT2	1.0	LTE B4	ANT0	1.5
LTE B5	ANT0	1.0	LTE B5	ANT1	1.5
LTE B7	ANT2	1.0	LTE B7	ANT0	1.5
LTE B12	ANT0	1.0	LTE B12	ANT1	1.0
LTE B13	ANT0	1.0	LTE B13	ANT1	1.5
LTE B14	ANT0	1.0	LTE B14	ANT1	1.5
LTE B17	ANT0	1.0	LTE B17	ANT1	1.5
LTE B25	ANT2	1.0	LTE B25	ANT0	1.5
LTE B26	ANT0	1.0	LTE B26	ANT1	1.5
LTE B30	ANT2	1.0	LTE B30	ANT0	1.0
LTE B38	ANT2	1.0	LTE B38	ANT0	1.2
LTE B41	ANT2	1.0	LTE B41	ANT0	1.5
LTE B48	ANT7	1.0	LTE B48	ANT2	1.0
LTE B66	ANT2	1.0	LTE B66	ANT0	1.5
LTE B71	ANT0	1.0	LTE B71	ANT1	1.5
NR n5	ANT0	1.0	NR n5	ANT1	1.5
NR n77	ANT7	1.0	NR n77	ANT2	1.5
NR n78	ANT7	1.0	NR n78	ANT2	1.5



Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1~2 listed in Table 4.2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. Technology and band for change in call test: The test case 3 listed in Table 4.2 are selected for performing the call drop test in conducted power setup. LTE B7 having the lowest P_{limit} among all technologies and bands
3. Technologies and bands for change in technology/band test: The test case 4 listed in Table 4.22 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
4. Technologies and bands for change in DSI: The test case 5 listed in Table 4.2 is selected for DSI switch test by establishing a call in LTE B7 in DSI=6, and then handing over to DSI = 4 exposure scenario in conducted power setup.
5. Technologies and bands for change in time-window/antenna: The test case 6~7 listed in Table 4.2 is selected for time window switch between 60s window (LTE B48) and 100s window (LTE B7) in conducted power setup. LTE B48 is using different antenna from LTE B7, so this test also address the antenna change.



5 Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

5.1 Measurement setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup schematic are shown in Figures 6-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

Sub6 NR test setup:

The Keysight UXME7515B callbox is used in this test. The test setup schematic are shown in Figures 6-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler.

LTE+5G NR test setup:

The Keysight UXME7515B callbox is used in this test. If LTE conducted port and 5G NR conducted port are same on this EUT (i.e., they share the same antenna), therefore, low-/high-pass filter are used to separate LTE and 5G NR signals for power meter measurement via directional couplers, as shown in below Figure 6-1 C (Appendix F – Test Setup Photo).

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

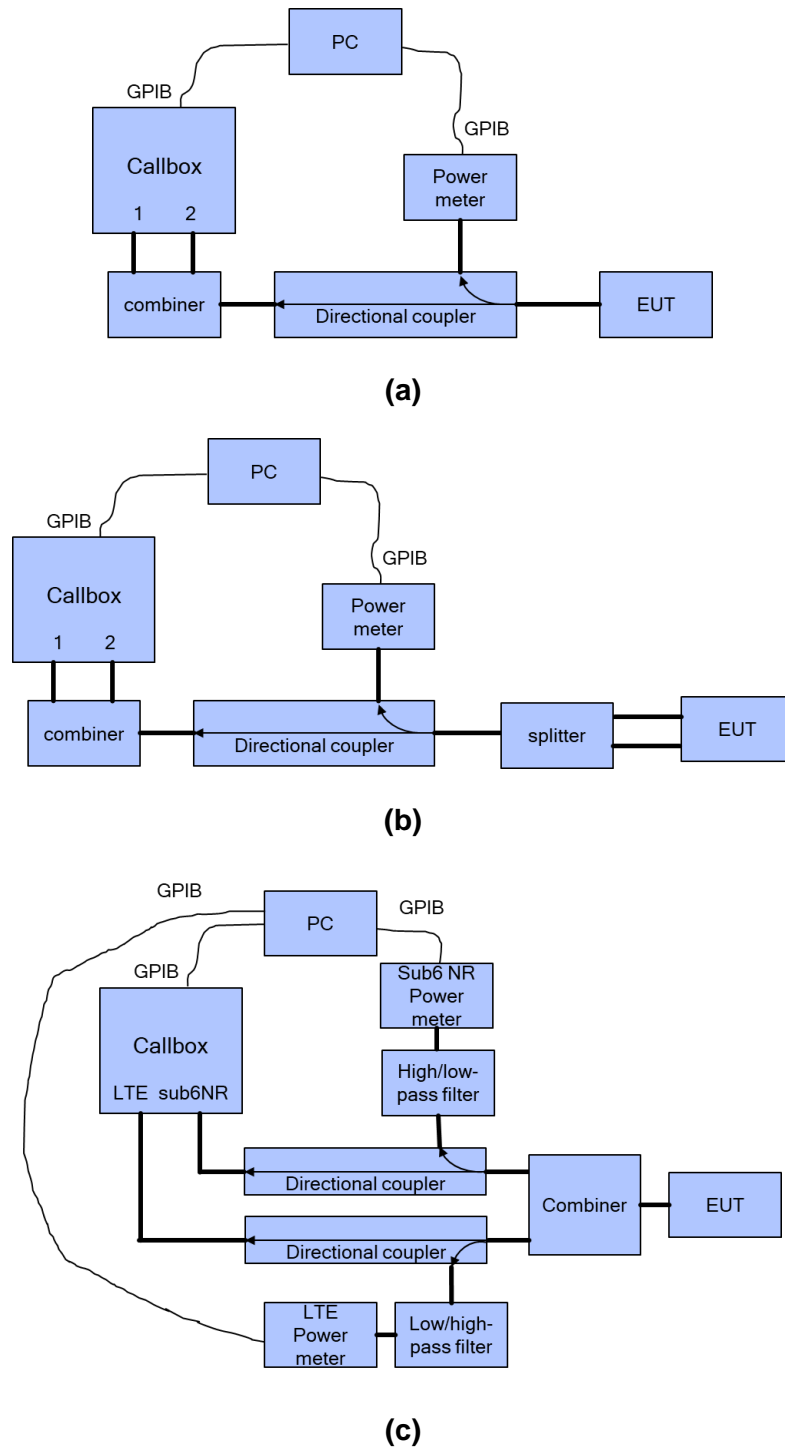


Figure 5-1 Conducted power measurement setup

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.



For time-varying Tx power measurement, the PC runs the 1st test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- stay at the last power level of test sequence 1 or test sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2nd test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at $P_{reserve}$ level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.



5.2 P_{limit} and P_{max} measurement results

The measured P_{limit} for all the selected radio configurations given in Table 5-2 are listed in below Table 6-1. P_{max} was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

Table 5-1: Measured P_{limit} and P_{max} of selected radio configurations

Test case #	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	Plimit EFS setting(dBm)	target pmax (dBm)	measured plimit (dBm)	measured pmax (dBm)
1	Time-Varying Seq1	WCMDA	4	2	6	1513	1752.6				RMC	Back	Hotspot,10mm	23.4	24.7	23.55	24.63
2		LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot,10mm	22.3	23.7	22.31	23.7
3	Call Drop	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot,10mm	22.3	23.7	22.31	23.7
4	Tech/band switch	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot,10mm	22.3	23.7	22.31	23.7
		WCMDA	4	2	6	1513	1752.6				RMC	Back	Hotspot,10mm	23.4	23.7	23.55	24.63
5	DSI switch	LTE	7	2	6	21350	2560	20	50	50	QPSK	Back	Hotspot,10mm	22.3	23.7	22.31	23.7
		LTE	7	2	4	21350	2560	20	50	50	QPSK	Back	Body Worn,10mm	23.1	24.7	23.2	23.75
6	Change in Time Window (100-60-100s)	LTE	7	2	6	21350	2560	20	50	50	QPSK	Bottom Side	Hotspot,10mm	22.3	24.7	22.31	23.7
		LTE	48	7	6	55830	3609	20	50	0	QPSK	Back	Hotspot,10mm	20.3	21.7	20.15	21.37
7	Change in Time Window (60-100-60s)	LTE	48	7	6	55830	3609	20	50	0	QPSK	Back	Hotspot,10mm	20.3	21.7	20.15	21.37
		LTE	7	2	6	21350	2560	20	50	50	QPSK	Bottom Side	Hotspot,10mm	22.3	24.7	22.31	23.7

Note: the device uncertainty of P_{max} is +1dB/-1dB as provided by manufacturer.

5.3 Time-varying Tx power measurement results

The measurement setup is shown in Figures 6-1(a) and 6-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g_or_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit} , and measured 1gSAR and 10gSAR values at P_{limit} reported in Part 1 test (listed in Table 5-2 of this report as well).

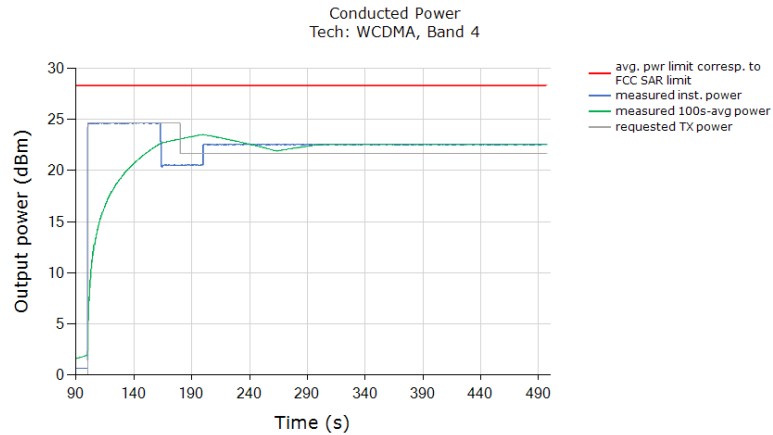
Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

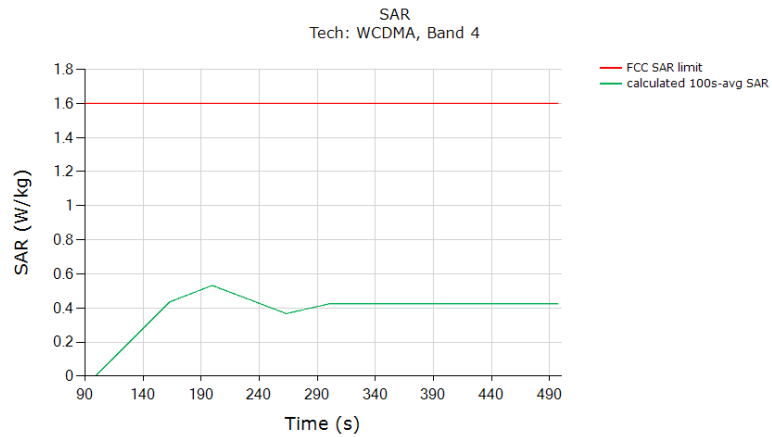


5.3.1 WCDMA B4

Test result for test sequence 1:



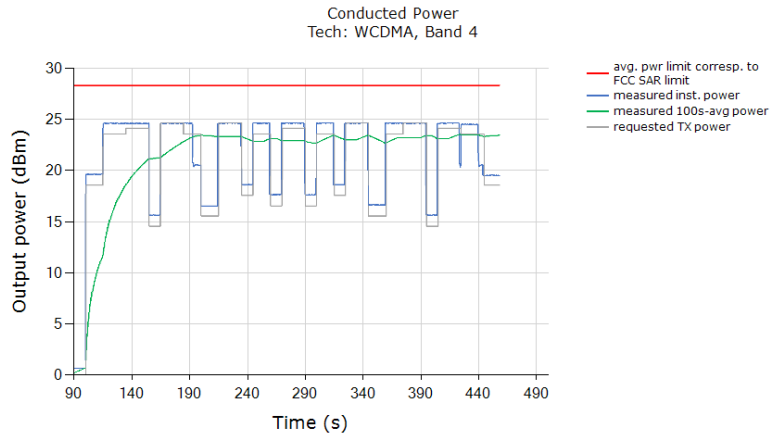
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



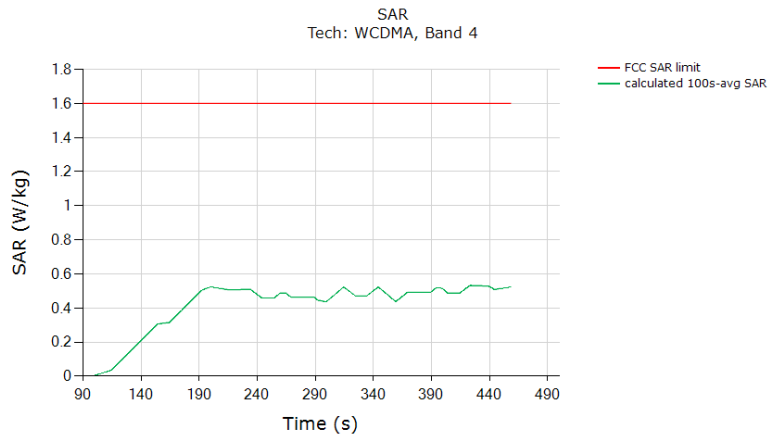
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.532
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



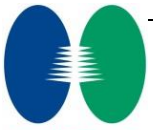
Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

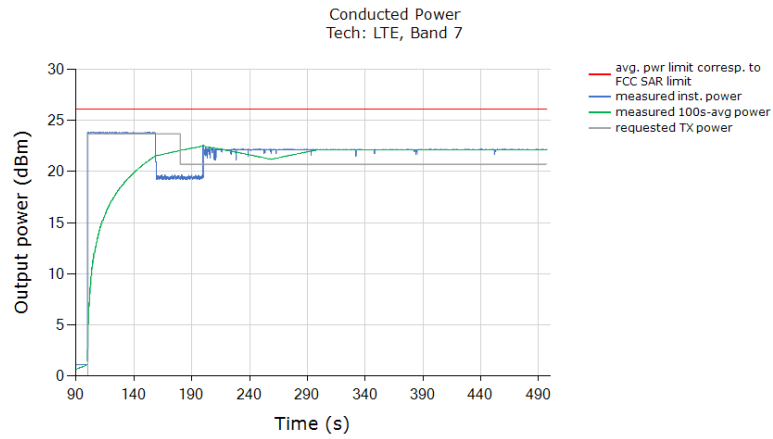


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.532
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

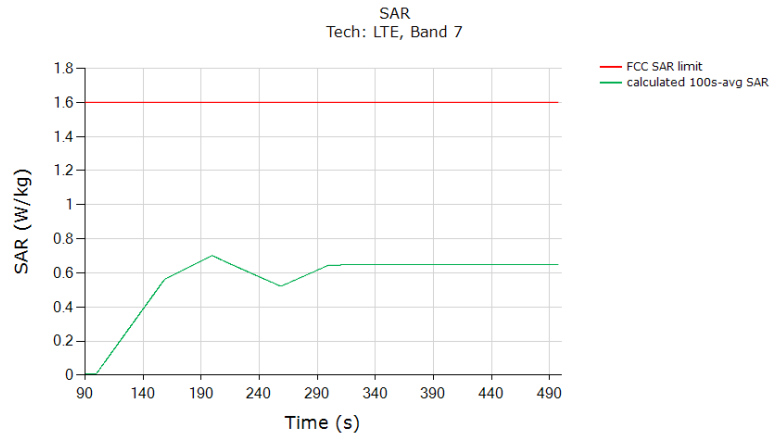


5.3.2 LTE B7

Test result for test sequence 1:



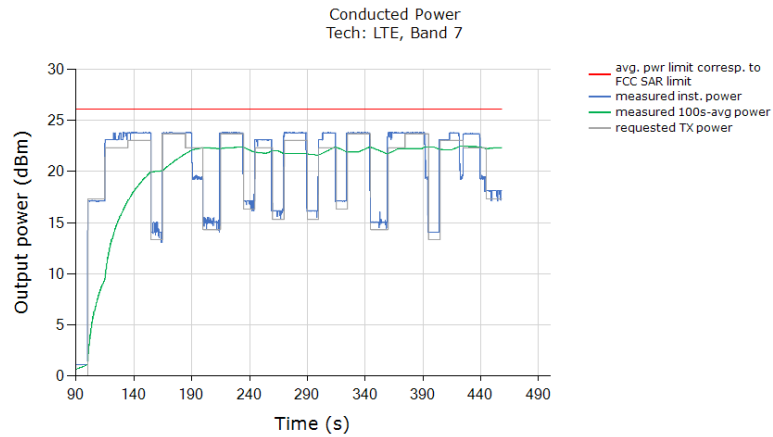
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



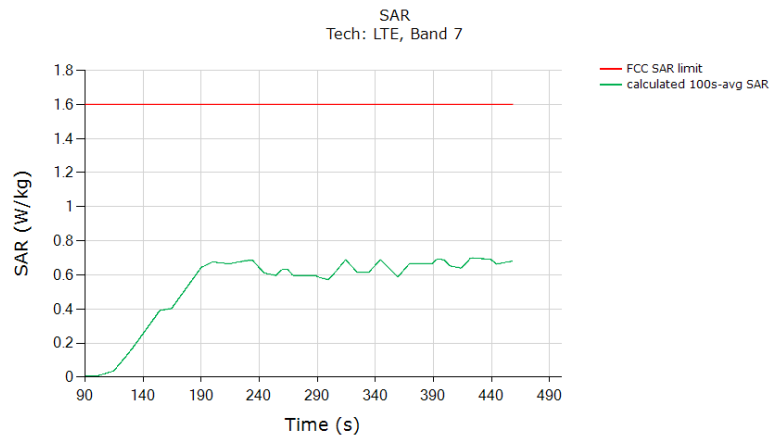
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.701
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.699
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	

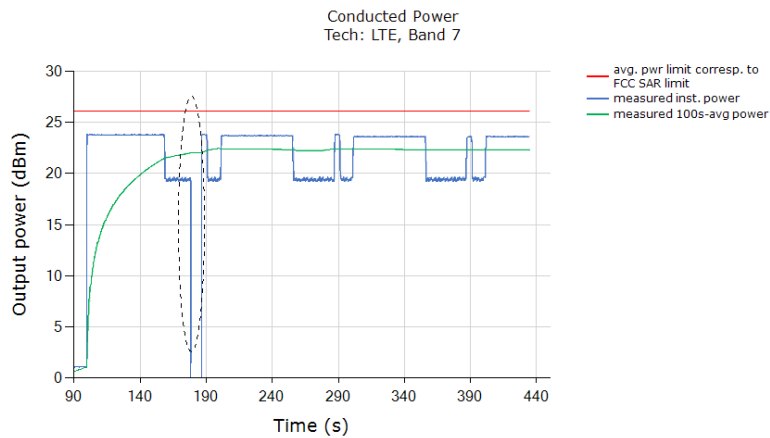


5.4 Change in Call Test Results

This test was measured with LTE B7, DSI=1, and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 6-1. The detailed test procedure is described in Section 3.3.2.

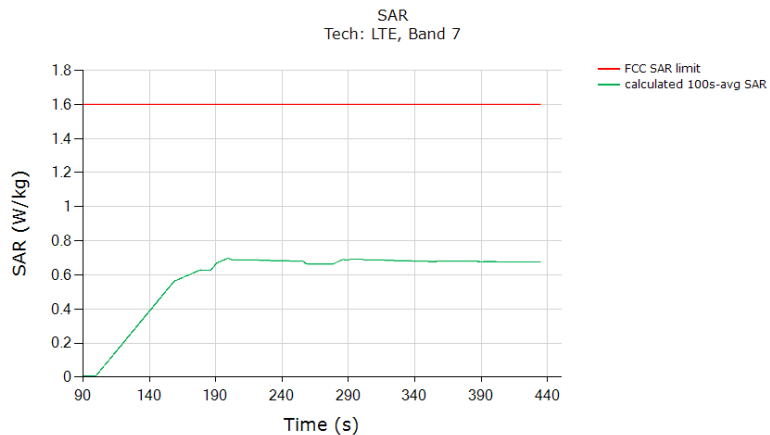
Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same $P_{reserve}$ level of LTE B7 after the call was re-established:



Plot Notes: ... The conducted power plot shows expected Tx transition.

Plot 2: Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

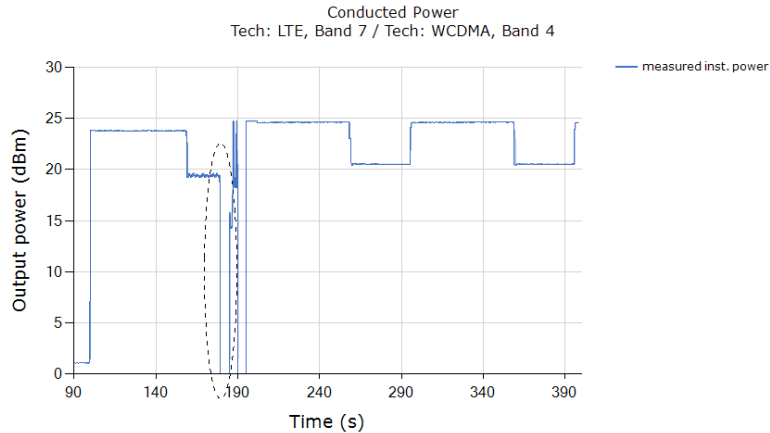


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.698
Validated	

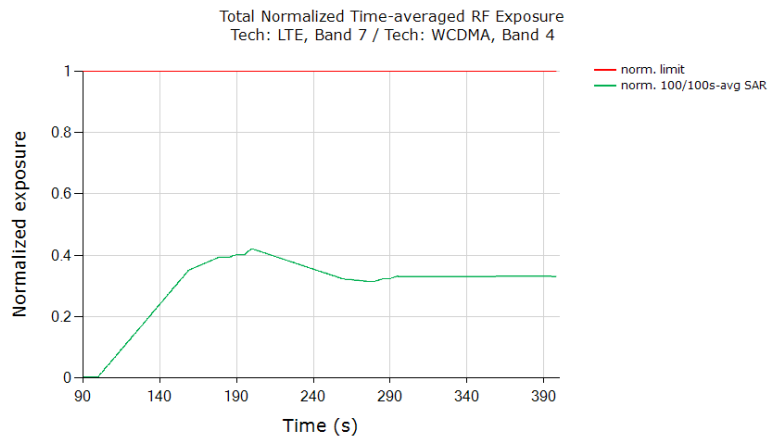
5.5 Change in technology/band test results

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE B7, DSI = 6 to WCDMA B4, DSI = 6. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 6-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region).

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from WCDMA B4, DSI = 6 $P_{reserve}$ level to WCDMA B4, DSI = 6



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.422
Validated	

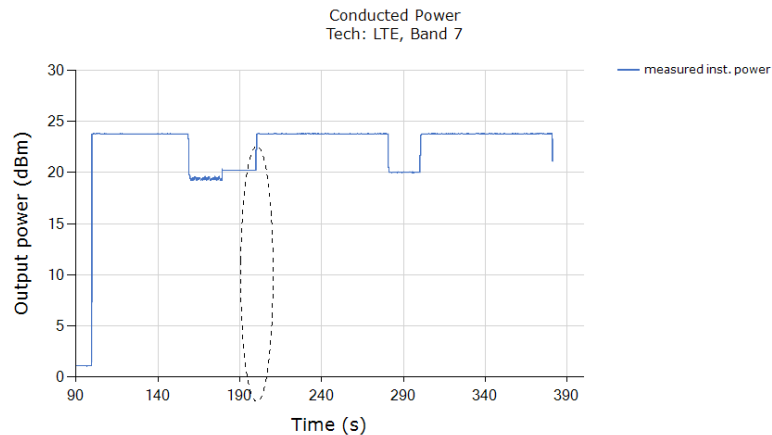


5.6 Change in DSI test results

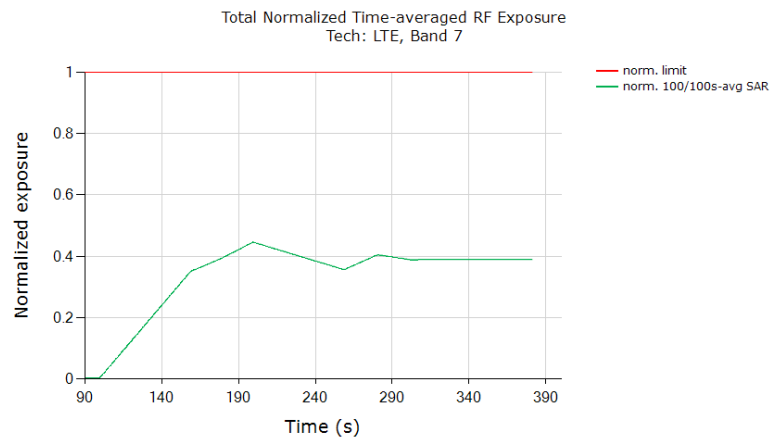
This test was conducted with callbox requesting maximum power, and with DSI switch from LTE B7 DSI=6 to DSI = 4. Following procedure detailed in Section 3.3.5 using the measurement setup shown in Figure 6-1(a) and (c), the DSI switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black circle).

Test result for change in DSI:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when DSI=6 switches to DSI = 4.



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized Exposure versus time does not exceed the FCC limit of 1 unit.



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.446
Validated	

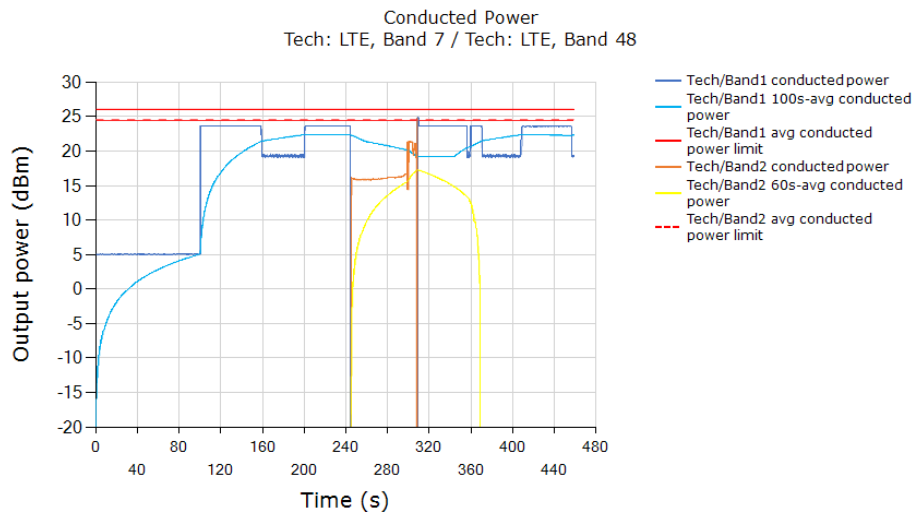


5.7 Change in Time window / antenna switch test results

5.7.1 Test case 1: transition from LTE B7 to LTE B48 (i.e., 100s to 60s), then back to LTE B7

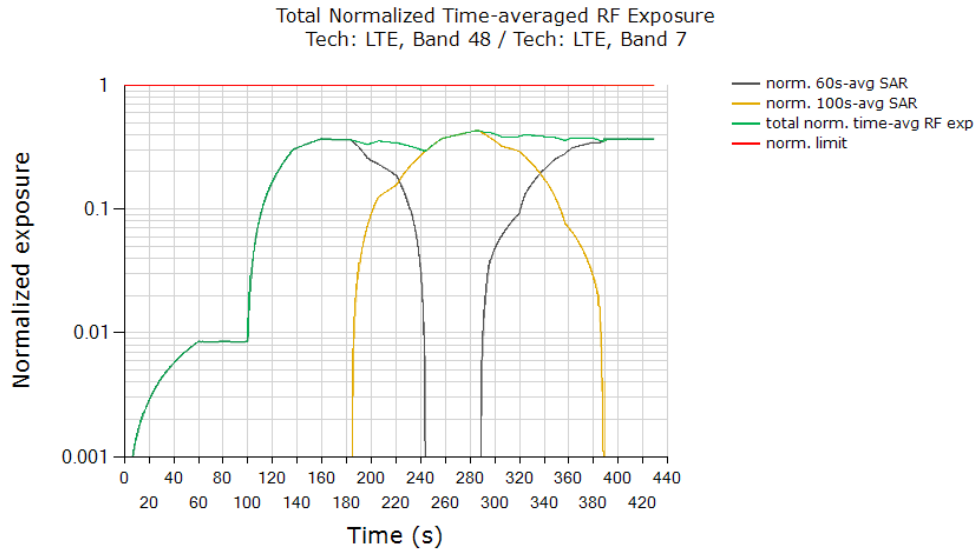
Test result for change in time-window (from 100s to 60s to 100s):

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE B7 switches to LTE B48 (~245 seconds timestamp) and switches back to LTE Band 48 (~310 seconds timestamp):



Plot Notes: The conducted power plot shows expected transitions in Tx power at ~245 seconds (100s-to-60s transition) and at ~310 seconds (60s-to-100s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as show in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in LTE B7 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE Band 48 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	Exposure Ratio
FCC normalized Exposure Ratio	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.429
Validated	

Plot Notes:

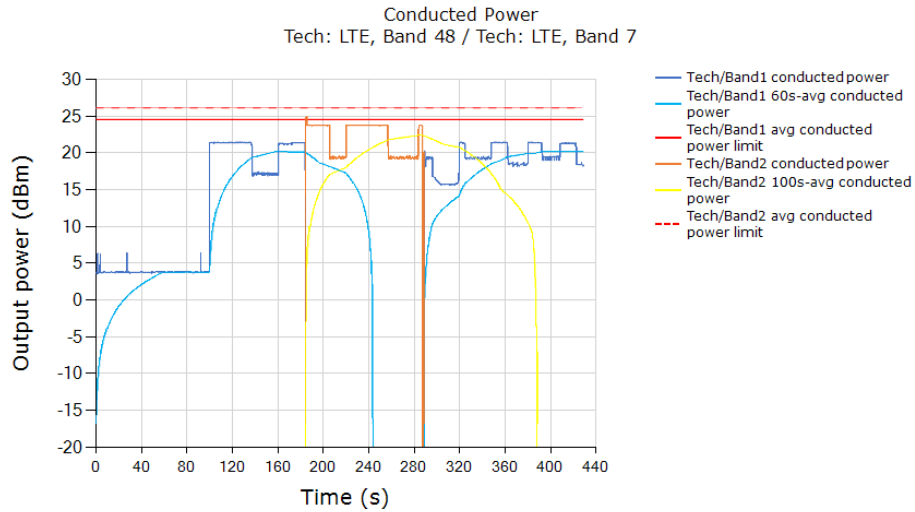
Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 100s-to-60s window at ~245s time stamp, and from 60s-to-100s window at ~310s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time averaged normalized RF exposure (green curve) should not exceed normalized SAR_{design_target} +1dB device uncertainty. In this test, with a maximum normalized SAR of 0.429 being ≤ 0.79 ($=1/1.6 +1\text{dB device uncertainty}$), the above test result validated the continuity of power limiting in time-window switch scenario.



5.7.2 Test case 2: transition from LTE B48 to LTE B7 (i.e., 60s to 100s), then back to LTE B48

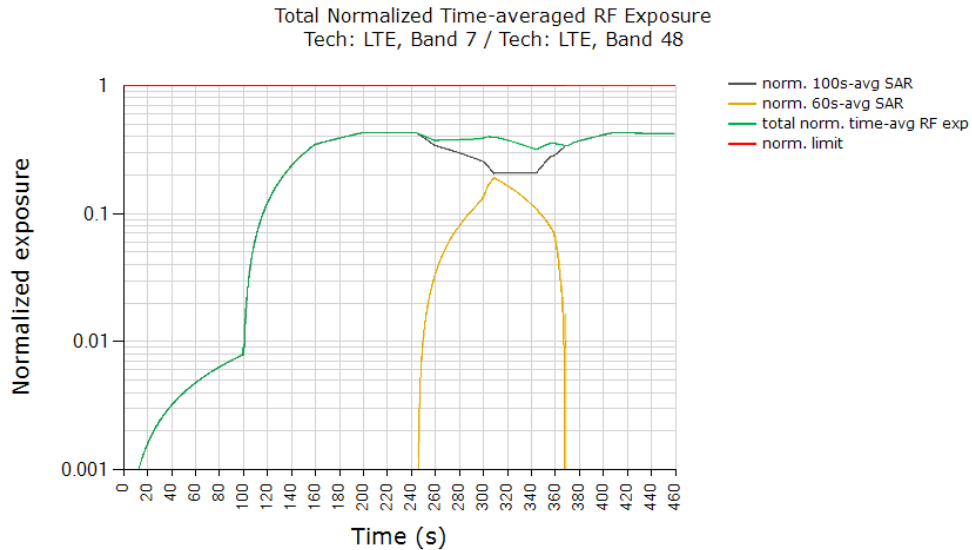
Test result for change in time-window (from 60s to 100s to 60s):

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE Band 48 switches to LTE B7 (~185 seconds timestamp) and switches back to LTE B48 (~290 seconds timestamp):



Plot Notes: ... The conducted power plot shows expected transitions in Tx power at ~185 seconds (60s-to-100s transition) and at ~290 seconds (100s-to-60s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as show in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 60s-averaged normalized SAR in LTE B48 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in LTE B7 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.430
Validated	

Plot Notes:

Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 60s-to-100s window at ~185s time stamp, and from 100s-to-60s window at ~290s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time averaged normalized RF exposure (green curve) should not exceed normalized SAR_design_target +1dB device uncertainty. In this test, with a maximum normalized SAR of 0.430 being ≤ 0.79 ($=1/1.6 +1\text{dB device uncertainty}$), the above test result validated the continuity of power limiting in time-window switch scenario.



6 Conclusions

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR and PD measurement
As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement

Appendix A. Test Sequences

1. Test sequence is generated based on below parameters of the EUT:
 - a. Measured maximum power (P_{max})
 - b. Measured Tx_power_at_SAR_design_target (P_{limit})
 - c. Reserve_power_margin (dB)
 - $P_{reserve} \text{ (dBm)} = \text{measured } P_{limit} \text{ (dBm)} - \text{Reserve_power_margin (dB)}$
 - d. SAR_time_window (100s for FCC)
2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power = P_{max} ; low power = $P_{max}/2$, and the transition occurs after 80 seconds at high power P_{max} . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower P_{limit} for this test. The Test sequence 1 waveform is shown below:

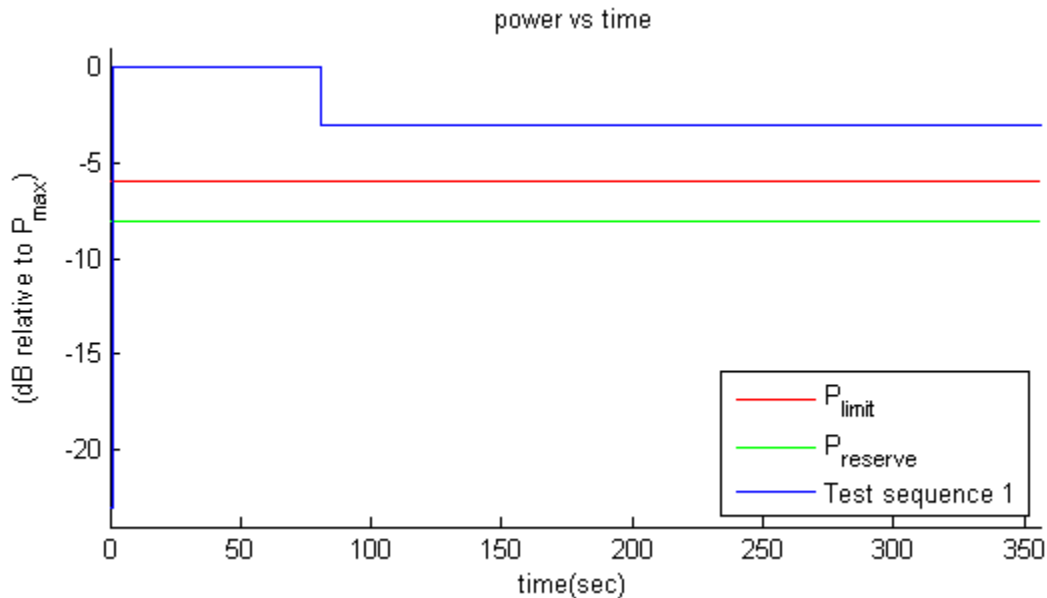


Figure 0-1 Test sequence 1 waveform



3. Test Sequence 2 Waveform:

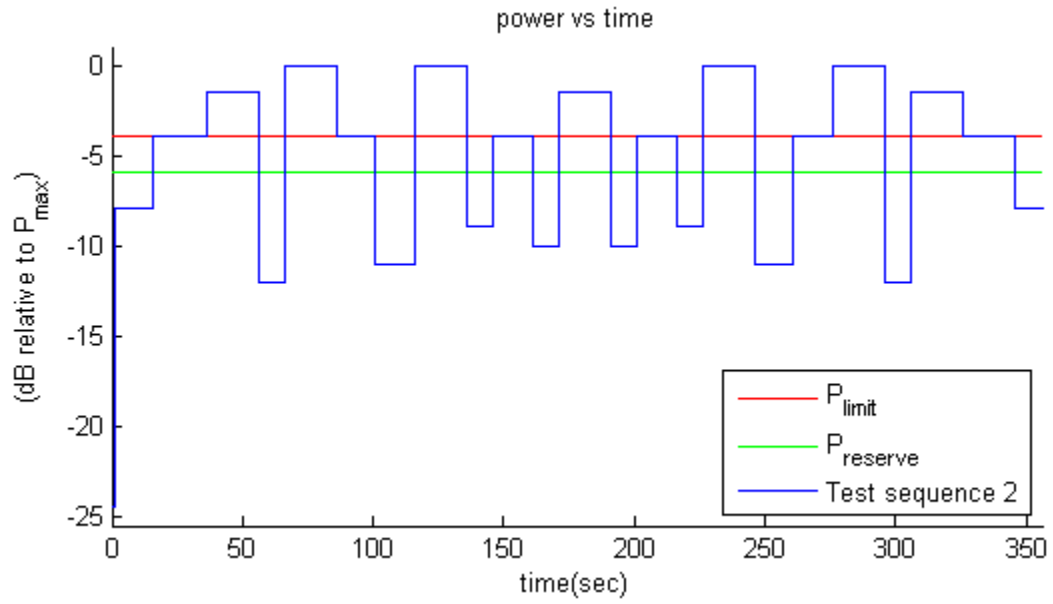
Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

Table 0-1 Test Sequence 2

Time duration (seconds)	dB relative to P_{limit} or $P_{reserve}$
15	$P_{reserve} - 2$
20	P_{limit}
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 6$
20	P_{max}
15	P_{limit}
15	$P_{reserve} - 5$
20	P_{max}
10	$P_{reserve} - 3$
15	P_{limit}
10	$P_{reserve} - 4$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 4$
15	P_{limit}
10	$P_{reserve} - 3$
20	P_{max}
15	$P_{reserve} - 5$
15	P_{limit}
20	P_{max}
10	$P_{reserve} - 6$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	P_{limit}
15	$P_{reserve} - 2$



The Test Sequence 2 waveform is shown in Figure A-2.



Appendix B. Test Procedures for 5G NR + LTE Radio

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + 5G NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

1 Time-varying Tx power test for 5G NR in NSA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to 5G NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+5G NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of 5G NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). 5G NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

2 Switch in SAR exposure between LTE vs. 5G NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and 5G NR, and SAR from 5G NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for LTE and 5G NR in selected band. Test condition to measure conducted P_{limit} is:
 - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure conducted Tx power corresponding to 5G NR P_{limit} . If testing LTE+5G NR in non-standalone mode, then establish LTE+5G NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from 5G NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)
2. Set *Reserve_power_margin* to actual (intended) value with EUT setup for LTE + 5G NR call. First, establish LTE connection in all-up bits with the callbox, and then 5G NR connection is added with callbox requesting UE to transmit at maximum power in 5G NR. As soon as the 5G NR connection is established, request all-down bits on LTE link (otherwise, 5G NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+5G NR transmission for

- more than one time-window duration to test predominantly 5G NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and 5G NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) 5G NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and 5G NR for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and 5G NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1.
 4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
 5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg.

Appendix C. Test Procedures for inter-band UL CA

Appendix C provides the test procedures for validating Qualcomm Smart Transmit feature for Switch in SAR exposure between PCC vs. SCC during inter-band ULCA transmission mode transmission scenario.

1 Switch in SAR exposure between PCC vs. SCC during inter-band ULCA transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for PCC radio only, SAR from both PCC & SCC radios, and SAR from SCC radio only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

Test procedure:

1. Measure *Plimit* for PCC and SCC in selected band. Test condition to measure conducted *Plimit* is:
 - Establish a LTE call with single active Tx in desired PCC band. Measure conducted Tx power corresponding to LTE *Plimit* with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.
 - Repeat above step to measure *Plimit* corresponding to LTE SCC band under single active Tx scenario.
2. Set *Reserve_power_margin* to actual (intended) value, with EUT setup for interband ULCA call. First, establish interband ULCA connection with the callbox, and as soon as the connection is established, request all-down bits (or low power) on PCC link and then request UE to transmit at maximum power in SCC link. Continue PCC (all-down bits)+SCC transmission for more than one time-window duration to test predominantly SCC SAR exposure scenario (as SAR exposure from PCC is negligible from all-down bits). After at least one time-window, request PCC to go all-up bits to test PCC SAR and SCC SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) SCC transmission to test predominantly PCC SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both PCC and SCC for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both PCC and SCC links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1g_or_10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band *Plimit* measured in Step 1, and then perform 100s running average to determine time-averaged 1g_or_10gSAR versus time as illustrated in Figure 5-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise,



60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory 1g_or_10gSARlimit limit.
The validation criteria is, at all times, the time-averaged 1g_or_10gSAR versus time shall not exceed the regulatory 1g_or_10gSARlimit limit.