

ELEMENT MATERIALS TECHNOLOGY

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COMPLIANCE SUMMARY REPORT

Applicant Name:

Samsung Electronics Co., Ltd. 129, Samsung-ro, Maetan dong, Yeongtong-gu, Suwon-si Gyeonggi-do, 16677, Korea Test Site/Location: Element, Columbia, MD, USA Document Serial No.: 1M2405140039-21.A3L

FCC ID:

A3LSMX828U

APPLICANT:

SAMSUNG ELECTRONICS CO., LTD

Report Type: DUT Type: Model: Compliance Summary Portable Computing Device SM-X828U



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1 STRATEGY FOR COMPLIANCE DEMONSTRATION

1.1 **RF Exposure Evaluation Strategy**

The FCC RF exposure limits defined based on time-averaged RF exposure. The device under test (DUT) uses the MediaTek TAS feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement for 2G/3G/4G/5G NR operations. Additionally, this device supports WLAN/BT technologies but the output power of these modems is not controlled by the TAS algorithm.

Demonstrating compliance of DUT enabled with the MediaTek TAS feature is completed in three parts:

0. RF Exposure Compliance Test Report Part 0: SAR Characterization and PD Characterization

The SAR and PD Characterization, denoted as SAR Char and PD Char, determines the power limit that meets FCC exposure requirement after accounting for device design related uncertainties for each supported radio configuration and RF exposure usage scenario. The determined power limits will be loaded and stored in the EUT and then used as inputs for TAS to operate.

For 2G/3G/4G/5G Sub6, SAR Char is derived from SAR test measurements and conducted power measurements to determine P_{Limit} for each technology/band. For 5G mmW NR, PD Char is derived using simulation in combination with measurement as validation to determine the *input.power.limit* for each radio/antenna configuration (each beam). The P_{Limit} and *input.power.limit* represents the maximum time-averaged power level for the corresponding radio/antenna configuration.

1. RF Exposure Compliance Test Report Part 1: Test in Static Transmission Condition

Part 1 demonstrates that DUT meets FCC SAR and PD limits when transmitting at pre-determined maximum time-averaged power level: P_{Limit} for 2G/3G/4G/5G Sub6 NR and *input.power.limit* for 5G mmW NR. The SAR and PD measurement in Part 1 is under static transmission condition.

The compliance for WLAN/BT radio is demonstrated at a fixed power level (fixed = maximum RF tune-up level or power-back off level).

The exposure from the simultaneous transmission of WWAN and WLAN/BT is evaluated in Part 1 report.

2. RF Exposure Compliance Test Report Part 2: Test in Dynamic Transmission Condition

Part 2 demonstrates compliance in Tx varying transmission conditions and validates MediaTek TAS. The test results reported in Part 2 demonstrates that DUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of MediaTek TAS.

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1.2 Nomenclature

Applicable Technologies	Term	Description
	PLimit	Power level that corresponds to the exposure design target (SAR_design_target) after accounting for all device design related uncertainties
2G/3G/4G/5G	P _{Max}	Maximum tune up output power
Sub6	T _{SAR}	Defined time averaging window for $f < 6$ GHz
	SAR_design_target	Target SAR level resulting in maximum time-averaged exposure optimized from total uncertainty
	SAR Char	Table containing <i>Plimit</i> for all technologies
	input.power.limit	Power level at antenna element for each beam corresponding to the exposure design target (<i>PD_design_target</i>)
5G mmW NR	T _{PD}	Defined time averaging window for $f > 6$ GHz
	PD_design_target	Target PD level resulting in maximum time-averaged exposure optimized from total uncertainty
	PD Char	Table containing input.power.limit for all beams
2G/3G/4G/5G	regulatory body	Regulatory body that the algorithm is designed to comply. Algorithm's time averaging window is dependent on either FCC or ICNIRP requirements.
Sub6/5G mmW NR	reserve_power_margin	Margin below P _{Limit} reserved for future transmission
	P _{reserve}	Minimum transmit power with a designated margin below <i>P</i> _{Limit}

1.3 Bibliography

Report Type	Report Serial Number
RF Exposure Report (Part 0)	1M2405140039-22.A3L
RF Exposure Report (Part 1)	1M2405140039-20.A3L
PD Evaluation Report (Part 0)	
Near Field PD Report (Part 1)	1M2405140039-23.A3L
RF Exposure Part 2 Test Report	TESA2406000425ES

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2 TIME AVERAGING ALGORITHM

2.1 Algorithm Description

The FCC RF exposure limit is defined based on time-averaged RF exposure. When running in a wireless device, the TAS algorithm enables more elegant power control mechanisms for RF exposure management. It ensures at all times the wireless device is in compliance with the FCC limit of RF exposure time averaged over a defined time window, denoted as T_{SAR} and T_{PD} for specific absorption rate (SAR for transmit frequency < 6 GHz) and power density (PD for transmit frequency > 6 GHz) time windows, respectively.

The TAS algorithm not only ensures the wireless device complies with RF exposure requirement, but also improves the user experience and network performance.

For a given wireless device, RF exposure is proportional to the transmitting power.

- Once the SAR and PD of the wireless device is characterized at a transmit power level, RF exposure at a different power level for the characterized configurations can be scaled by the change in the corresponding power level.
- Therefore, for a characterized device, RF exposure compliance can be achieved through transmit power control and management.

The TAS algorithm embedded in MTK modems reliably controls the transmit power of the wireless device in real time to maintain the time-averaged transmit power, in turn, time-averaged RF exposure, below the predefined time-averaged power limit for each characterized technology and band.

- This predefined time-averaged power limit is denoted as *P*_{Limit} corresponding SAR limit (frequency < 6 GHz) and *input.power.limit* corresponding PD limit (frequency > 6 GHz) in this report.
- The wireless device continuously transmitting at *P*_{Limit} level or *input.power.limit* level complies with the FCC RF exposure requirement.

In a simultaneous transmission scenario, the algorithm manages all active transmitters and make sure the total exposure ratio from each transmitter not exceeding to 1.

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2.2 Basic concept of the algorithm

The TAS algorithm controls and manages the instantaneous transmit power (Tx) to maintain the time-averaged Tx power and therefore, time-averaged RF exposure in compliance with FCC limits.

- If time-averaged transmit power approaches *P*_{Limit} or *input.power.limit*, then the modem needs to limit instantaneous transmit power to ensure the time-averaged transmit power does not exceed *P*_{Limit} or *input.power.limit* in any *T*_{SAR} and *T*_{PD} time windows since the time-averaged RF exposure is required to comply with the FCC RF exposure limit in any *T*_{SAR} or *T*_{PD} time window.
- The wireless device can instantaneously transmit at high transmit powers and exceed the P_{Limit} or input.power.limit level for a short duration before limiting the power to maintain the time-averaged transmit power under P_{Limit} or input.power.limit.
- If the wireless device transmits at high power for a long time, then the radio link needs to be dropped to be compliant with time-averaged Tx power requirement (see Figure 2-1).
- To avoid dropping the radio link, TAS algorithm starts the power limiting enforcement earlier in time to back off the Tx power to a reserve level (denoted as Preserve), so the wireless device can maintain the radio link at a minimum reserve power level for as long as needed, and at the same time ensure the time-averaged Tx power over any defined time window is less than P_{Limit} at all times (see Figure 2-2). At all times, TAS meets the below equation:

time. avg.
$$Tx power = \frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} inst. Tx power(t) dt \le P_{limit}$$

Equation 2-1

where, *time.avg.Tx power* is the transmit power averaged between *t*- T_{SAR} and *t* time period; T_{SAR} is the time window defined by FCC for time-averaging RF exposure for Tx frequency less than 6GHz (sub6); *inst. Tx power (t)* is the instantaneous transmit power at *t* time instant; P_{Limit} is the predefined time-averaged power limit. Similarly, TAS meets the below equation for mmW transmission:

 $mmW_time.avg.Tx\ power = \frac{1}{T_{PD}} \int_{t-T_{PD}}^{t} mmW_Tx\ power(t)\ dt \le input.power.limit$ Equation 2-2

where, $mmW_time.avg.Tx \ power$ is the mmW transmit power averaged between $t-T_{PD}$ and t time period; T_{PD} is the time window defined by FCC for time-averaging RF exposure for mmW bands; $mmW_Tx \ power \ (t)$ is the instantaneous mmW transmit power at t time instant; input. power. limit is the predefined time-averaged power limit for the beam under test.

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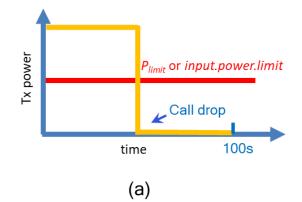


Figure 2-1 Transmit at high power when needed and permitted

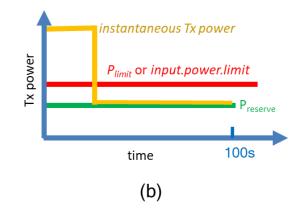


Figure 2-2 Transmit with reserve power to support continuous transmission at a minimum power level (*P*_{reserve})

 In the case of simultaneous transmission, TAS manages all active transmitters and make sure the total exposure ratio is less than 1

$$\sum \frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} SAR(t) dt}{FCC SAR \ limit} + \sum \frac{\frac{1}{T_{PD}} \int_{t-T_{pSPD}}^{t} 4cm^2 psPD(t) dt}{FCC \ psPD \ limit} \le 1$$

Equation 2-3

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2.3 Configurable Parameters

The following input parameters are required for functionality of MediaTek TAS algorithm. These parameters cannot be accessed by the end user, because at the factory they are entered by the OEM.

Input Parameter	Description
regulatory body	 Inputs of "0" and "1" corresponding to FCC and ICNIRP requirements for the averaging time windows. For FCC, algorithm uses an averaging window of 100 seconds for <i>f</i> < 3 GHz, 60 seconds for 3 GHz < <i>f</i> < 6 GHz, and 4 seconds for 24 GHz < <i>f</i> < 42 GHz.
Tx_power_at_SAR_design_target (P _{Limit} in dBm) f < 6 GHz	The maximum time-averaged transmit power, in dBm, corresponding to the <i>SAR_design_target</i> .
	SAR_design_target is pre-determined for this DUT and it is less than regulatory SAR limit after accounting for all design related tolerances. The time-averaged SAR is assessed against this SAR_design_target in real time to determine the compliance.
	P_{Limit} could vary with technology, band and Exposure Condition Index (ECI) and therefore, it has the unique value for each technology, band and DSI.
reserve_power_margin (P _{reserve} in dBm)	The margin below P_{Limit} reserved for future transmission with a minimum transmit power $P_{reserve}$
	$P_{reserve}$ (dBm) = P_{limit} (dBm) – $Reserve_power_margin$ (dB)
	When the <i>Reserve_power_margin</i> is set to 0 dB, TAS effectively limits the upper bound of the transmit power to P_{limit} and the DUT transmits continuously at P_{limit} without utilizing TAS dynamic control feature.
<i>input.power.limit</i> in <i>dBm</i> f≥6 GHz	Maximum time-averaged power at the input of antenna element port at which each antenna configuration/beam meets <i>PD_design_target</i> .

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3 DUT DESCRIPTION

3.1 Device Overview

	1	1	
Band & Mode	Operating Modes	Tx Frequency	
UMTS 850	Data	826.40 - 846.60 MHz	
UMTS 1750	Data	1712.4 - 1752.6 MHz	
UMTS 1900	Data	1852.4 - 1907.6 MHz	
LTE Band 71	Data	665.5 - 695.5 MHz	
LTE Band 12	Data	699.7 - 715.3 MHz	
LTE Band 13	Data	779.5 - 784.5 MHz	
LTE Band 14	Data	790.5 - 795.5 MHz	
LTE Band 26	Data	814.7 - 848.3 MHz	
LTE Band 5	Data	824.7 - 848.3 MHz	
LTE Band 66	Data	1710.7 - 1779.3 MHz	
LTE Band 4	Data	1710.7 - 1754.3 MHz	
LTE Band 25	Data	1850.7 - 1914.3 MHz	
LTE Band 2	Data	1850.7 - 1909.3 MHz	
LTE Band 30	Data	2307.5 - 2312.5 MHz	
LTE Band 7	Data	2502.5 - 2567.5 MHz	
LTE Band 41	Data	2498.5 - 2687.5 MHz	
LTE Band 38	Data	2572.5 - 2617.5 MHz	
LTE Band 48	Data	3552.5 - 3697.5 MHz	
NR Band n71	Data	665.5 - 695.5 MHz	
NR Band n12	Data	701.5 - 713.5 MHz	
NR Band n26	Data	816.5 - 846.5 MHz	
NR Band n5	Data	826.5 - 846.5 MHz	
NR Band n70	Data	1697.5 - 1707.5 MHz	
NR Band n66	Data	1712.5 - 1777.5 MHz	
NR Band n25	Data		
NR Band n2	Data	1852.5 - 1912.5 MHz 1852.5 - 1907.5 MHz	
NR Band n30	Data	2307.5 - 2312.5 MHz	
NR Band n7	Data		
NR Band n41	Data	2502.5 - 2567.5 MHz 2501.01 - 2685 MHz	
NR Band n38	Data	2575 - 2615 MHz	
NR Band n48	Data	3555 - 3694.98 MHz	
		3455.01 - 3544.98 MHz;	
NR Band n77	Data	3705 - 3975 MHz	
NR Band n258	Data	24250 - 24450 MHz; 24750	
INR Dahu H230	Dala	25250 MHz	
NR Band n260	Data	37000 - 40000 MHz	
NR Band n261	Data	27500 - 28350 MHz	
2.4 GHz WIFI	Data	2412 - 2472 MHz	
5 GHz WIFI	Data	U-NII-1: 5180 - 5240 MHz U-NII-2A: 5260 - 5320 MHz U-NII-2C: 5500 - 5720 MHz U-NII-3: 5745 - 5825 MHz U-NII-4: 5845 - 5885 MHz	
6 GHz WIFI	Data	U-NII-5: 5945 - 6415 MHz U-NII-6: 6435 - 6515 MHz U-NII-7: 6535 - 6875 MHz U-NII-8: 6895 - 7115 MHz	
2.4 GHz Bluetooth	Data	2402 - 2480 MHz	

This device uses the MediaTek TAS feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement for 2G/3G/4G/5G operations. Additionally, this device supports WLAN/BT technologies but the output power of these modems is not controlled by the TAS algorithm.

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6 COMPLIANCE SUMMARY

6.1 RF Exposure Compliance Summary

All transmission scenarios that the DUT supports comply with FCC time-averaged RF exposure requirements, as shown in Table 4-1.

Reported RF Exposure Levels							
	RFx Evaluation	Power Level	FCC Limit	<u>Reported</u> RF Exposure Level	Test Report		
SAR	Standalone 1g SAR	Plimit	1.6	0.91	FCC SAR		
(W/kg)	Simultaneous Tx 1g SAR	P _{limit}	1.6	1.59	Evaluation Report (Part 1)		
psPD (mW/cm ²)	4cm ² psPD	input.power.limit	1.0	0.74	FCC PD Evaluation Report (Part 1)		
TER	Total Exposure Ratio	P _{limit} for SAR, input.power.limit for psPD	1.0	0.996	FCC PD Evaluation Report (Part 1)		

Table 4-1 Reported RF Exposure Levels

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