



**FCC 47 CFR § 2.1093**

**RF EXPOSURE EVALUATION REPORT  
(Part 2: Test Under Dynamic Transmission Condition)**

**FOR**

**GSM/WCDMA/LTE/5G NR Phone + BT/BLE, DTS/UNII a/b/g/n/ac, NFC, WPT and UWB**

**MODEL NUMBER: SM-S916B/DS, SM-S916B**

**FCC ID: A3LSMS916B**

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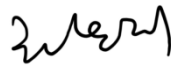
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**Attestation of Test Results**

Applicant Name	SAMSUNG ELECTRONICS CO.,LTD.		
FCC ID	A3LSMS916B		
Model Number	SM-S916B/DS, SM-S916B		
Applicable Standards	FCC 47 CFR § 2.1093		
Date Tested	10/4/2022 to 10/21/2022		
Test Results	Pass		
<p>UL Korea, Ltd. tested the above equipment in accordance with the requirements set forth in the above standards. All indications of Pass/Fail in this report are opinions expressed by UL Korea, Ltd. based on interpretations and/or observations of test results. Measurement Uncertainties were not taken into account and are published for informational purposes only. The test results show that the equipment tested is capable of demonstrating compliance with the requirements as documented in this report.</p> <p><b>Note:</b> The results documented in this report apply only to the tested sample, under the conditions and modes of operation as described herein. This document may not be altered or revised in any way unless done so by UL Korea, Ltd. and all revisions are duly noted in the revisions section. Any alteration of this document not carried out by UL Korea, Ltd. will constitute fraud and shall nullify the document. This report must not be used by the client to claim product certification, approval, or endorsement by IAS, any agency of the Federal Government, or any agency of any government.</p>			
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## 1. Introduction

The equipment under test (EUT) is SM-S916B (FCC ID : A3LSMS916B), it contains the Qualcomm modems supporting 2G/3G/4G technologies and 5G NR bands (Sub-6). these modems are enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

DUT contains embedded file system (EFS) version 19 configured for the Second generation (GEN2).

### EFS v19 Verification

Per Qualcomm's 80-w2112-5 document, embeded file system (EFS) version 19 products are required to be verified for Smart Tx generation for relevant MCC setting. It was confirmed that this DUT contains embedded file system (EFS) version 19 configured for Smart Tx Second generation (GEN2) for Sub6 with MCC settings for the US market.

EFS v19 Generation	MCC
GEN2_Sub.6	310

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization of A3LSMS916B.

The  $P_{limit}$  (For 2G/3G/4G and 5G NR Sub-6) used in this report is determined in Part 0 and Part 1 reports.

### 1.1 Part.2 Test Case Reduction for Multiple filings

The number of test cases in Part 2 can be reduced in the case of multiple filings using same chipset and same EFS version (post full part 2 test on the first filing), the essential test cases in power measurement are required to ensure the Smart Transmit performs as expected in the new design, but the RF exposure measurement can be excluded.

So, This model (SM-S916B) used a same chipset and EFS version that had fully tested to Part.2 on first filing. Therefore, This model follow Part.2 Test case reduction procedures according to Qualcomm document (80-W2112-5). Please refer to section.4.1 for test case reduction scenarios.

## 2. Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnected and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During DSI (Device State Index) change: To prove that The Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (Such as AsDiv scenario) or beams (different antenna array configurations).
6. During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized time-averaged RF exposure to be less than normalized FCC limit of 1.0 at all times.
7. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.

As described in Part 0 report, the RF exposure is proportional to the Tx power for a SAR-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for  $f < 6\text{GHz}$ ). Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted power measurement setup for transmission scenario 1 through 7.

To add confidence in the feature validation, the time-averaged SAR measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through time-averaged power measurements.
  - Measure conducted Tx power (for  $< 6\text{GHz}$ ) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios (i.e., transmission scenarios 1, 2, 3, 4, 5, 6 and 7) at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

Where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission.  $P_{limit}$  are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT,  $T_{SAR}$  is the FCC defined time window for sub-6 radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through time-averaged SAR measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
  - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
  - Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
  - Perform time averaging over FCC defined time window.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (3b)$$

Where,  $pointSAR(t)$ ,  $pointSAR\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$  and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission.



### 3. SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedures for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating  $f < 3\text{GHz}$  is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for operating  $f \geq 3\text{GHz}$ .

#### 3.1. Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ( $f < 6\text{GHz}$ ) validation:

- Test sequence 1 : request EUT's Tx power to be at maximum power, measured  $P_{max}$ , for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2 : request EUT's Tx power to vary with time. This sequence is generated relative to measured  $P_{max}$ , measured  $P_{limit}$  and Calculated  $P_{reserve}$  (= measured  $P_{limit}$  in dBm – Reserve\_power\_margin in dB) of EUT based on measured  $P_{limit}$ .

The details for generating these two test sequences is described and listed in Section A.

Note: For test sequence generation, “measured  $P_{limit}$ ” and “measured  $P_{max}$ ” are used instead of the “ $P_{limit}$ ” specified in EFS entry and “ $P_{max}$ ” specified for the device, because Smart Transmit feature operates against the actual power level of the “ $P_{limit}$ ” that was calibrated for the EUT. The “measured  $P_{limit}$ ” accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining  $P_{limit}$ .

#### 3.2. Test configuration selection criteria for validation Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provide.

##### 3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit on one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the  $P_{limit}$  values determined in Part 0 report. Select two bands\* in each supported technology that correspond to least\*\* and highest\*\*\*  $P_{limit}$  values that are less than  $P_{max}$  for validating Smart Transmit.

- \* If one  $P_{limit}$  level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same  $P_{limit}$ , the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest measured 1g or 10gSAR at  $P_{limit}$  shown in Part 1 report is selected.
- \*\* In case of multiple bands having the same least  $P_{limit}$  within the technology, then select the band having the highest measured 1g or 10gSAR at  $P_{limit}$ .

- \*\*\* The band having a higher  $P_{limit}$  needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest  $P_{limit}$  in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level is checked. This process is continued within the technology until second band for validation test is determined.

### 3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least  $P_{limit}$  among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured 1g or 10gSAR* at  $P_{limit}$  listed in Part 1 report.
- In case of multiple bands having same least  $P_{limit}$ , then select the band having the highest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ) for longest duration in one FCC defined window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ). One test is sufficient as the feature operation is independent of technology and band.

### 3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest  $P_{limit}$  within the technology group (in case of multiple bands having the same  $P_{limit}$ , then select the band with highest *measured 1g or 10gSAR* at  $P_{limit}$ ) to a technology/band with highest  $P_{limit}$  within the technology group, in case of multiple bands having the same  $P_{limit}$ , then select the band with lowest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.4 Test configuration selection for change in antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the EUT, first antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in  $P_{limit}$  among all supported antennas.
- In case of multiple bands having same difference in  $P_{limit}$  among supported antennas, then select the band having the highest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.5 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is:

- Select a technology/band having the  $P_{limit} < P_{max}$  within any technology and DSI group, and for same technology/band having a different  $P_{limit}$  in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.6 Test configuration selection for change in time window

FCC specifies different time window for time averaging based on operation frequency. The criteria to select a test configuration for validating Smart Transmit feature and demonstrating the compliance during the change in time window is:

- Select any technology/band that has operation frequency classified in a different time window defined by FCC (such as 100-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.
- Select the 2<sup>nd</sup> technology/band that has operation frequency classified in a different time window defined by FCC (such as 60-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.
- Note it is preferred both  $P_{limit}$  values of two selected technology/band less than corresponding  $P_{max}$ , but if not possible, at least one of technologies/bands has its  $P_{limit}$  less than  $P_{max}$ .

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band. Test for one pair of time windows selected is sufficient as the feature operation is the same.

### 3.2.7 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover:

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission, and one band combination for interband ULCA) is sufficient, where the SAR exposure varies among  $SAR_{radio1}$  only,  $SAR_{radio1} + SAR_{radio2}$ , and  $SAR_{radio2}$  only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is:

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE + Sub NR)
- Among all supported simultaneous transmission configurations, the selection order is
  1. Select one configuration where both  $P_{limit}$  of radio1 and radio2 is less than their corresponding  $P_{max}$ , preferably, with different  $P_{limits}$ . If this configuration is not available, then,
  2. Select one configuration that has  $P_{limit}$  less than its  $P_{max}$  for at least one radio. If this cannot be found, then.
  3. Select one configuration that has  $P_{limit}$  of radio1 and radio2 greater than  $P_{max}$  but with least  $(P_{limit} - P_{max})$  delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same. Additional details for testing for LTE+Sub6 NR non-standalone and ULCA transmission scenarios are provided in Section.B.

### 3.3. Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### 3.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

#### Test procedure:

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate Preserve (= measured  $P_{limit}$  in dBm – *Reserve\_power\_margin* in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured  $P_{max}$  and measured  $P_{limit}$  of the EUT. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - Measure  $P_{max}$  with Smart Transmit disable and callbox set to request maximum power.
  - Measure  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB; callbox set to request maximum power.

2. Set *Rerve\_power\_margin* to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured  $P_{limit}$  from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure A-1 where using 100-secnods time window as an example.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0 dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

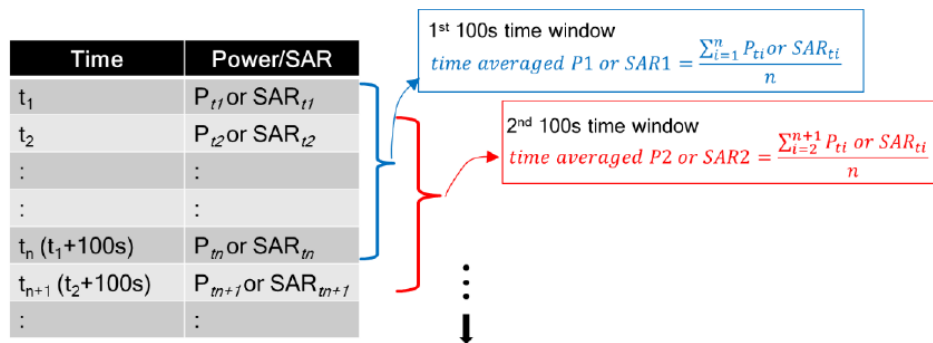


Figure A-1 100s running average illustration

3. Make one plot containing:
  - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
  - b. Corresponding regulatory  $1g$  or  $10gSAR_{limit}$  limit.
4. Repeated Steps 2 ~ 3 for pre-defined test sequence 2.
5. Repeat Steps 2 ~ 4 for all the selected technologies and bands.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shown in Step 2 (and plotted in Step 4) shall not exceed regulatory  $1g$  or  $10gSAR_{limit}$  limit.

### 3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnects and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at  $P_{reserve}$  level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed regulatory  $1g$  or  $10gSAR_{limit}$  limit.

#### Test procedure:

1. Measure  $P_{limit}$  for the technology/band selected in Section 3.2.2. measure  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB; callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1g or 10gSAR value using Step 1 result, and then perform one time window specified running average to determine time-averaged 1g or 10gSAR value versus time.
6. Make one plot containing: (a) computed time-averaged 1g or 10gSAR versus time determine in Step 4 for the first call, (b) computed time-averaged 1g or 10gSAR versus time determine in Step 4 for the second call, (c) computed time-averaged 1g or 10gSAR of the first call and second call versus time and (d) corresponding regulatory  $1g$  or  $10gSAR_{limit}$  limit.

The validation criteria are, at all times, the combined time-averaged 1gSAR or 10gSAR versus time determined in Step 6c shall not exceed the regulatory  $1g$  or  $10gSAR_{limit}$  limit.

### 3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at  $P_{reserve}$  level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous  $P_{reserve}$  level to the new  $P_{reserve}$  level (corresponding to new technology/band). Since the  $P_{limit}$  could vary with technology and band, Eq.(1a) can be written as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1} \quad (6a)$$

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where,  $conducted\_Tx\_power\_1(t)$ ,  $conducted\_Tx\_power\_P_{limit\_1}$ , and  $1g\_or\_10gSAR\_P_{limit\_1}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1g SAR or 10gSAR value at technology1/band1;  $conducted\_Tx\_power\_2(t)$ ,  $conducted\_Tx\_power\_P_{limit\_2}$ , and  $1g\_or\_10gSAR\_P_{limit\_2}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR value at  $P_{limit}$  of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant 't<sub>1</sub>'.

### Test procedure:

1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.3. Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB; callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual(intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in first technology/band selected.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 second, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq.(6a) and (6b) and corresponding measured  $P_{limit}$  values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.  
Note: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band reported in Part 1 report.
6. Make one plot containing: (a) computed time-averaged 1gSAR or 10gSAR of the first technology/band versus time determined in Step 5, (b) computed time-averaged 1gSAR or 10gSAR of the second technology/band versus time determined in Step 5, (c) combined time-averaged 1g or 10gSAR of the first technology/band and second technology/band versus time determined in Step 5 and (d) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

The validation criteria are, at all times, the combined time-averaged 1gSAR or 10gSAR versus time determined in Step 6c shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### 3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from primary to diversity. The test procedure is identical to Section 3.3.3, with switching antenna instead of technology/band. The validation criteria are, at all times, the time-average 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1g$  or  $10gSAR_{limit}$  limit.

Note: If the EUT does not support multiple transmitting WWAN antennas, the compliance plot for change in antenna should be similar to the plot for change in technology/band.

### 3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, with changing device state instead of technology/band. The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1g$  or  $10gSAR_{limit}$  limit.

Note: If the EUT does not support multiple device states, the compliance plot for change in device state should be similar to the plot for change in technology/band.

### 3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging window of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz. To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window:

$$1gSAR_1(t) = \frac{\text{conducted\_Tx\_power\_1}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_1}} * 1g\_or\ 10g\_SAR\_P_{limit\_1} \quad (7a)$$

$$1gSAR_2(t) = \frac{\text{conducted\_Tx\_power\_2}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_2}} * 1g\_or\ 10g\_SAR\_P_{limit\_2} \quad (7b)$$

$$\frac{1}{T_{1SAR}} \left[ \int_{t-T_{1SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T_{2SAR}} \left[ \int_{t-T_{2SAR}}^t \frac{1g\_or\ 10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (7c)$$

Where,  $\text{conducted\_Tx\_power\_1}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{limit\_1}(t)$ , and  $1g\_or\ 10g\_SAR\_P_{limit\_1}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or\ 10g\_SAR$  values at  $P_{limit\_1}$  of band1 with time-averaging window ' $T_{1SAR}$ ';  $\text{conducted\_Tx\_power\_2}(t)$ , Conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or\ 10g\_SAR$  values at  $P_{limit\_2}$  of Band2 with time-averaging window ' $T_{2SAR}$ '. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window ' $T_{1SAR}$ ' to the second band with time-averaging window ' $T_{2SAR}$ ' happens at time-instant ' $t_1$ '.



**Test procedure:**

1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.6 Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and enable Smart Transmit.

**Transition from 100s time window to 60s time window, and vice versa**

3. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.
4. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq.(7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7B), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at  $P_{limit}$ .
6. Make one plot containing: (a) computed time-averaged 1g or 10gSAR of the first technology/band (having 100s time window) versus time determined in Step 5, (c) computed time-averaged 1g or 10gSAR of the second technology/band (having 60s time window) versus time determined in Step 5, (c) combined time-averaged 1g or 10gSAR of (a) and (b), and (d) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

**Transition from 60s time window to 100s time window, and vice versa**

7. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
8. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to transmit at maximum power for about 100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT to transmit at maximum Tx power for at least another 140s. Measure and record Tx power versus time for the entire duration of the test.
9. Repeat above Step 5~6 procedures to generate the corresponding plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### 3.3.7 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. The detailed test procedure for SAR exposure switching in the case of LTE + Sub6 NR non-standalone mode & interband ULCA transmission scenarios are provided in Section.B.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for radio 1 and radio 2 in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio 1  $P_{limit}$  with Smart Transmit enable and *Reserve\_Power\_margin* set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to radio2  $P_{limit}$ . If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set *Reserve\_power\_margin* to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1 + radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1 + radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1gSAR or 10gSAR value (see Eq.(6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make one plot containing: (a) computed time-averaged 1g or 10gSAR versus time determined in Step 3, and combined time-averaged 1g or 10gSAR versus time, and (b) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

## 4. Test Configurations

### 4.1. WWAN (sub-6) transmission

This  $P_{limit}$  values, corresponding to 1.0 or 2.5 W/kg (1-g or 10-g respectively) of  $SAR_{design\_target}$ , for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 5-1. Note all  $P_{limit}$  power levels entered in Table 5-1 correspond to average power levels plus tolerance after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD).

Table 5-1 :  $P_{limit}$  for supported technologies and bands ( $P_{limit}$  in EFS file)

Exposure condition			Body-Worn	Product Specific 10-g Without triggering sensor	Product Specific 10-g With triggering sensor	Head (RCV)	Hotspot	Ear-jack	Pmax (Maximum tune-up Power) (dBm)
Spatial-average			1g	10g	10g	1g	1g	10g	
Test distance (mm)			15	12/8/7	0	0	10	0	
DSI:			0	0	1	2	3	4	
RF Air Interface	Antenna	Antenna Group	Plimit corresponding to 1.0 W/kg ( $SAR_{design\_target}$ ) (1g) / 2.5 W/kg ( $SAR_{design\_target}$ ) (10g)						
GSM 850	Main 1	AG0	31.33	30.02	27.25	31.05	28.57	27.25	24.98
GSM 1900	Main 1	AG0	26.21	27.75	18.99	32.25	18.99	18.99	21.98
WCDMA Band II	Main 1	AG0	24.87	26.72	21.00	31.44	18.00	21.00	23.00
WCDMA Band IV	Main 1	AG0	25.19	27.73	21.00	30.32	18.00	21.00	23.00
WCDMA Band V	Main 1	AG0	29.98	30.40	26.51	29.85	27.33	26.51	24.50
LTE Band 12(17)	Main 1	AG0	30.47	30.03	26.52	31.48	29.29	26.52	24.00
LTE Band 13	Main 1	AG0	29.28	31.33	27.29	31.26	27.03	27.29	24.00
LTE Band 5	Main 1	AG0	29.57	31.31	25.84	30.68	27.14	25.84	24.50
LTE Band 26	Main 1	AG0	29.95	31.02	26.81	30.87	27.31	26.81	24.00
LTE Band 66(4)	Main 1	AG0	24.98	26.61	20.00	31.18	19.00	20.00	23.00
LTE Band 4	Sub 2	AG1	20.00	20.00	20.00	16.50	19.00	20.00	23.00
LTE Band 25(2)	Main 1	AG0	25.83	27.05	20.00	31.69	18.00	20.00	23.00
LTE Band 41 -PC3-	Main 2	AG0	26.99	25.34	20.00	31.03	20.00	20.00	22.00
LTE Band 41 -PC2-	Main 2	AG0	28.41	25.65	20.40	30.68	20.40	20.40	21.90
NR Band n5	Main 1	AG0	29.19	31.56	26.79	31.11	26.11	26.79	24.00
NR Band n25(n2)	Main 1	AG0	25.36	27.46	20.00	32.04	18.00	20.00	23.00
NR Band n66	Main 1	AG0	24.03	26.78	20.00	30.18	19.00	20.00	23.00
NR Band n66	Sub 2	AG1	19.00	19.00	19.00	17.00	19.00	19.00	23.00
NR Band n41 -SRS0-	Sub 2	AG1	18.00	18.00	18.00	14.00	15.00	18.00	24.00
NR Band n41 -SRS1-	Main 2	AG0	15.00	15.00	15.00	12.00	12.00	15.00	21.00
NR Band n41 -SRS2-	Sub 1	AG1	15.00	15.00	15.00	12.00	12.00	15.00	19.50
NR Band n41 -SRS3-	Main 4	AG0	12.00	12.00	12.00	9.00	9.00	12.00	18.00
NR Band n77 -SRS0-	Sub 2	AG1	18.00	18.00	18.00	14.00	17.00	18.00	24.50
NR Band n77 -SRS1-	Main 3	AG1	15.00	15.00	15.00	10.00	14.00	15.00	21.50
NR Band n77 -SRS2-	Sub 5	AG1	14.00	14.00	14.00	10.00	13.00	14.00	20.50
NR Band n77 -SRS3-	Main 4	AG0	14.00	14.00	14.00	10.50	13.00	14.00	21.00

\* Maximum Tune-up Target Power,  $P_{max}$  is configured in NV settings in DUT to limit maximum average transmitting power. The DUT maximum allowed output power is equal to  $P_{max} + 1.0$  dB device uncertainty.

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are highlighted in Table 5-1. During Part 2 testing, the  $Reserve\_power\_margin$  (dB) is set to 3dB in EFS according to the manufacturer guide.

As Part 1 and Part 2 testing took place in parallel the selected technologies/bands were chosen based upon anticipated values encountered during pretesting before Tx powers were finalized.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 5-2. The corresponding worst-case radio configuration 1g SAR or 10g SAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 5-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstrate remains the same.

Table 5-2 : Radio configurations selected for Part 2 test

Test Case	Test Scenario	Tech	Band	Antenna	DSI	Channel	Freq. (MHz)	RB/RB Offset/Bandwidth (MHz)	Mode	SAR Exposure Scenario	Worst configurations	Part 1 Worst Case Measured SAR at P <sub>limit</sub> (W/kg)
1	Test Sequence 1	GSM	1900	Main.1	3	512	1850.2	-	GPRS 4Slots	Hotspot	Edge 3 - 10mm	0.597
	Test Sequence 2					512	1850.2	-	GPRS 4Slots			
2	Test Sequence 1	WCDMA	IV	Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894
	Test Sequence 2					1413	1732.6	-	RMC			
3	Test Sequence 1	LTE	25	Main.1	3	26365	1882.5	1/0/20 MHz	QPSK	Hotspot	Edge 3 - 10mm	0.854
	Test Sequence 2					26365	1882.5	1/0/20 MHz	QPSK			
4	Test Sequence 1	NR	n41 (PC3)	Sub.2	2	518598	2593.0	1/271/100MHz	DFT-s-OFDM QPSK	Head	Right Tilt	0.633
	Test Sequence 2					518598	2593.0	1/271/100MHz	DFT-s-OFDM QPSK			
5	Change in Call	NR	n41 (PC3)	Sub.2	2	518598	2593.0	1/271/100MHz	DFT-s-OFDM QPSK	Head	Right Tilt	0.633
6	Tech/Band/Antenna Switch	WCDMA	IV	Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894
		LTE	41	Main.2	3	41055	2636.5	1/0/20 MHz	QPSK	Hotspot	Rear - 10mm	0.467
7	Time Window Switch	NR	n41 (PC3)	Sub.2	3	518598	2593.0	1/271/100 MHz	DFT-s-OFDM QPSK	Hotspot	Edge 1 - 10mm	0.181
		NR	n77 (PC3)	Sub.2	3	662000	3930.0	1/271/100 MHz	DFT-s-OFDM QPSK	Hotspot	Rear - 10mm	0.544
8	DSI Switch	WCDMA	IV	Main.1	1	1413	1732.6	-	RMC	Product Specific 10-g	Edge 3 - 0mm	2.400
				Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894
9	SAR1 vs SAR2 (EN-DC)	LTE	12	Main.1	3	23095	707.5	1/49/10 MHz	QPSK	Hotspot	Rear - 10mm	0.283
		NR	n66	Main.1	3	354000	1770.0	1/104/20 MHz	DFT-s-OFDM QPSK	Hotspot	Edge 3 - 10mm	0.763
10	SAR1 vs SAR2 (UL-CA)	LTE	12	Main.1	3	23095	707.5	1/49/10 MHz	QPSK	Hotspot	Rear - 10mm	0.283
		LTE	66	Main.1	3	132322	1745.0	1/49/20 MHz	QPSK	Hotspot	Edge 3 - 10mm	0.864

**Notes:**

For multiple filings with same chipset, the test case reduction proposal for Part 2 testing is:

1. Full set of test the first filing, i.e., both power measurement and RF exposure measurement, are required.
2. For all subsequent filings with the same chipset and same EFS version, only power measurement (All required scenarios) is required. In the case of scenario (time-varying Tx transmission test), only one band (instead of two bands) per technology is sufficient.

Above guide are refer to Qualcomm guidance (Section.K in Qualcomm document\_80-W2115-5).

Reported SAR values in Part 1 SAR report are tested at  $P_{limit} + \text{tolerance}$ . Therefore, 100s(or 60s) average SAR is shown to be  $\pm 1.0$  dB from SAR design target.

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1 ~ 4 listed in Table 5-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. Technology and band for change in call test: NR Band n41 having the lowest  $P_{limit}$  among all technologies and bands (test case 5 in Table 5-2) is selected for performing the call drop test in conducted power setup.
3. Technologies and bands for change in technology/band/Antenna test: Following the guidelines in Section 3.2.3 and 3.2.4, test case 6 in Table 5-2 is selected for handover test from a technology/band/Antenna in Within one technology group (WCDMA Band IV, DSI=3, Main.1 Ant), to a technology/band/Antenna in the same DSI within another technology group (LTE Band 41, DSI=3, Main.2 Ant) in conducted power setup.
4. Technologies and bands for change in time-window: Based on selection criteria in Section 3.2.6 for a given DSI=3, test case 7 in Table 5-2 is selected for time window switch between 100s window (NR Band 41, Sub.2 Ant) and 60s window (NR Band 77, Sub.2 Ant) in conducted power setup.

5. Technologies and bands for change in DSI: Based on selection criteria in Section 3.2.5, for a given technology and band, test case 8 in Table 5-2 is selected for DSI switch test by establishing a call in WCDMA Band IV in DSI=1, and then handing over to DSI =3 exposure scenario in conducted power setup.
6. Technologies and bands for switch in SAR exposure: Based on selection criteria in Section 3.2.7 Scenario 1, test case 9 in Table 5-2 is selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR active in the same 100s time window, in conducted power setup.
7. Technologies and bands for switch in SAR exposure: Based on selection criteria in Section 3.2.7 Scenario 1, test case 10 in Table 5-2 is selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., interband ULCA in the same 100s time window, in conducted power setup.

## 5. Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

### 5.1. Measurement setup

#### GSM / WCDMA / LTE / Inter-band ULCA test setup using The Rohde & Schwarz CMW500 callbox

The Rohde & Schwarz CMW500 callbox is used in this test.

Test setup Schematic	Test item(s)	Description(s)	Test setup photo
Figure B-1(a)	Time-varying Tx power transmission test (Section 3.3.1)	Single antenna measurement, one port (RF1 COM) of callbox	A.1
	Change in DSI test (Section 3.3.5)		
Figure B-1(b)	Change in technology and band test (Section 3.3.3)	two different techs measurement, one port (RF1 COM) of callbox	A.2
	Change in antenna (Section 3.3.4)		
Figure B-1(c)	SAR exposure switch test (Section 3.3.7) interband UL CA	Single tech measurement, two ports (RF1 & RF3 COM) of callbox	A.3

#### NR SA mode & LTE + Sub6 NR(NSA mode) test setup using The UXM callbox

The UXM callbox is used in this test.

Test setup Schematic	Test item(s)	Description(s)	Test setup photo
Figure B-1(a)	Time-varying Tx power transmission test (Section 3.3.1)	Single antenna measurement, one port (RF1 COM) of callbox	A.4
	Change in Call test (Section 3.3.2)		
	Change in Time Window test (Section 3.3.6)		
Figure B-1(c)	SAR exposure switch test (Section 3.3.7) ENDC	two different techs measurement, two ports (RF1 & RF8 COM) of callbox	A.5

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

Setup photos of Test setup Schematic are list in Appendix A.

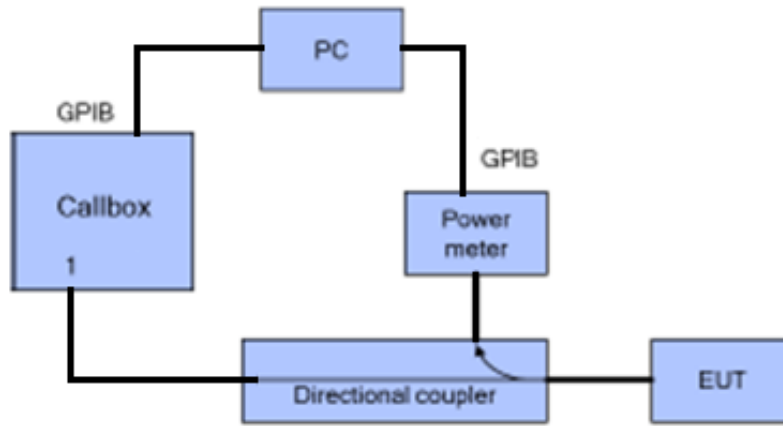


Figure B-1 (a)

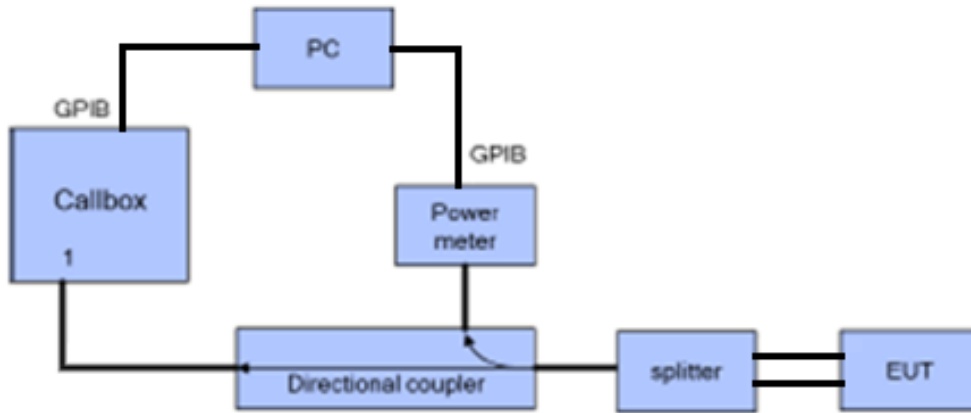


Figure B-1 (b)

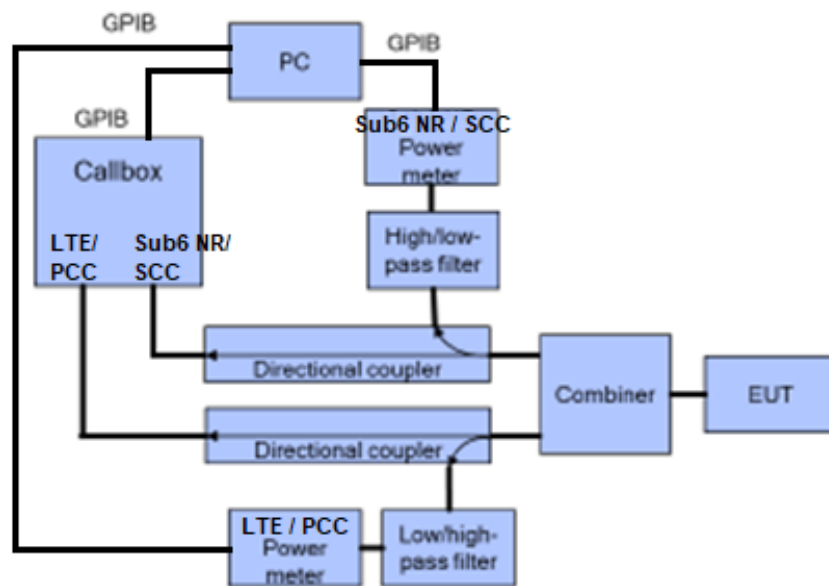


Figure B-1 (c)

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0 dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), For 360 seconds
- Stay at the last power level of test sequence 1 or sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2<sup>nd</sup> test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at  $P_{reserve}$  level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.



## 5.2. $P_{limit}$ and $P_{max}$ measurement results

This measured  $P_{limit}$  for all the selected radio configurations given in Table 5-2 are listed in below Table 6-1.  $P_{max}$  was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

**Table 6-1 : Measured  $P_{limit}$  and  $P_{max}$  of selected radio configurations**  
 Note: the device uncertainty of  $P_{max}$  is +1.0dB/-1.5dB as provided by manufacturer.

Test Case	Test Scenario	Tech	Band	Antenna	DSI	Channel	Freq. (MHz)	RB/RB Offset/Bandwidth (MHz)	Mode	SAR Exposure Scenario	Worst configurations	Part 1 Worst Case Measured SAR at $P_{limit}$ (W/kg)	$P_{limit}$ (dBm)	Measured $P_{limit}$ (dBm)	Tune-up $P_{max}$ (dBm)	Measured $P_{max}$ (dBm)
1	Test Sequence 1	GSM	1900	Main.1	3	512	1850.2	-	GPRS 4Slots	Hotspot	Edge 3 - 10mm	0.597	18.99	18.04	21.98	21.02
	512					1850.2	-	GPRS 4Slots								
2	Test Sequence 1	WCDMA	IV	Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894	18.00	18.12	23.00	23.21
	1413					1732.6	-	RMC								
3	Test Sequence 1	LTE	25	Main.1	3	26365	1882.5	1/0/20 MHz	QPSK	Hotspot	Edge 3 - 10mm	0.854	18.00	17.38	23.00	22.46
	26365					1882.5	1/0/20 MHz	QPSK								
4	Test Sequence 1	NR	n41 (PC3)	Sub.2	2	518598	2593.0	1/271/100MHz	DFT-s-OFDM QPSK	Head	Right Tilt	0.633	14.00	14.71	24.00	24.67
	518598					2593.0	1/271/100MHz	DFT-s-OFDM QPSK								
5	Change in Call	NR	n41 (PC3)	Sub.2	2	518598	2593.0	1/271/100MHz	DFT-s-OFDM QPSK	Head	Right Tilt	0.633	14.00	14.71	24.00	24.67
6	Tech/Band/Antenna Switch	WCDMA	IV	Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894	18.00	18.12	23.00	23.21
		LTE	41	Main.2	3	41055	2636.5	1/0/20 MHz	QPSK	Hotspot	Rear - 10mm	0.467	20.00	20.05	22.00	21.83
7	Time Window Switch	NR	n41 (PC3)	Sub.2	3	518598	2593.0	1/271/100 MHz	DFT-s-OFDM QPSK	Hotspot	Edge 1 - 10mm	0.181	15.00	15.22	24.00	24.67
		NR	n77 (PC3)	Sub.2	3	662000	3930.0	1/271/100 MHz	DFT-s-OFDM QPSK	Hotspot	Rear - 10mm	0.544	17.00	17.59	24.50	25.35
8	DSI Switch	WCDMA	IV	Main.1	1	1413	1732.6	-	RMC	Product Specific 10-g	Edge 3 - 0mm	2.400	21.00	21.13	23.00	23.21
				Main.1	3	1413	1732.6	-	RMC	Hotspot	Edge 3 - 10mm	0.894	18.00	18.12	23.00	23.21
9	SAR1 vs SAR2 (EN-DC)	LTE	12	Main.1	3	23095	707.5	1/49/10 MHz	QPSK	Hotspot	Rear - 10mm	0.283	24.00	23.82	24.00	23.82
		NR	n66	Main.1	3	354000	1770.0	1/104/20 MHz	DFT-s-OFDM QPSK	Hotspot	Edge 3 - 10mm	0.763	19.00	18.60	23.00	22.58
10	SAR1 vs SAR2 (UL-CA)	LTE	12	Main.1	3	23095	707.5	1/49/10 MHz	QPSK	Hotspot	Rear - 10mm	0.283	24.00	23.82	24.00	23.82
		LTE	66	Main.1	3	132322	1745.0	1/49/20 MHz	QPSK	Hotspot	Edge 3 - 10mm	0.864	19.00	18.77	23.00	22.94

**Notes:**

1. Tests including duty-cycle transmit are normalized to frame average.
2. Due to a limitation of the available test equipment, a modified procedure was used for Sub6 NR TDD Cases. The relevant parameters are shown below. On the above table, NR Band n41 measured  $P_{max}$  and Measured  $P_{limit}$  values represent  $P_{max\_sequence}$  and  $P_{limit\_sequence}$ . Section B.3 contains more details about the modified procedure used for NR Band n77 evaluation.

**Table 6-1-1 : Parameter for NR Band n41 & n77 Testing**

Modified Procedure lists	Output power (dBm)	
	NR Band n41	NR Band n77
$P_{max\_online\_avg\_dBm}$	23.67 dBm	24.33 dBm
$P_{limit\_ftm\_dBm}$	14.71 dBm	17.48 dBm
$P_{limit\_online\_avg\_dBm}$	13.71 dBm	16.57 dBm
DutyCycle_dB	1.0 dB	1.02 dB
<b><math>P_{max\_Sequence}</math></b>	<b>24.67 dBm</b>	<b>25.35 dBm</b>
<b><math>P_{limit\_Sequence}</math></b>	<b>14.71 dBm</b>	<b>17.59 dBm</b>

### 5.3. Time-varying Tx power measurement results (test case 1 - 4 in Table 5-2)

The measurement setup is shown in Figures B-1(a). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq.(1a) and (1b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

Where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR and 10gSAR value at *P<sub>limit</sub>* reported in Part 1 test (listed in Table 5-2 of this report as well). Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

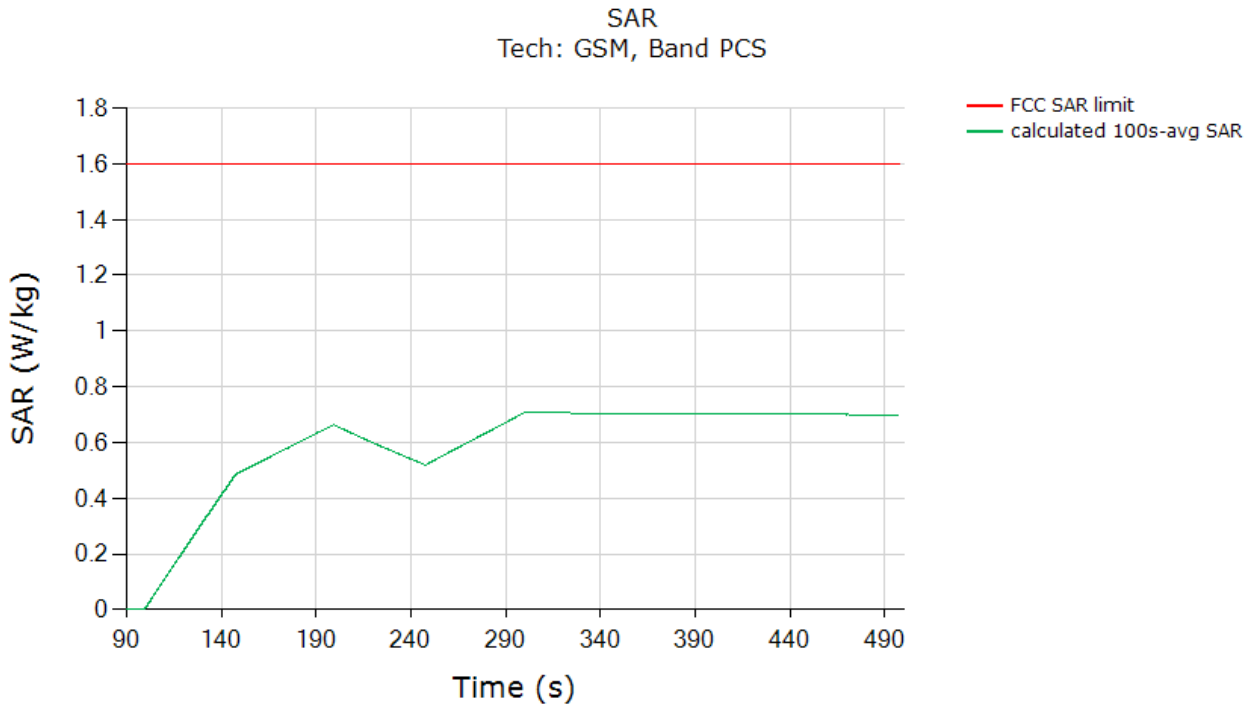
Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Time-varying Tx power measurements were conducted on test case #1 ~ #4 in Table 5-2, by generating test sequence 1 and test sequence 2 given in Section A using measured *P<sub>limit</sub>* and measured Pmax (last two columns of Table 6-1) for each of these test cases. Measurement results for test cases #1 ~ #4 are given in Sections 5.3.1 – 5.3.4.

### 5.3.1 GSM Band 1900

#### Test result for test sequence 1:

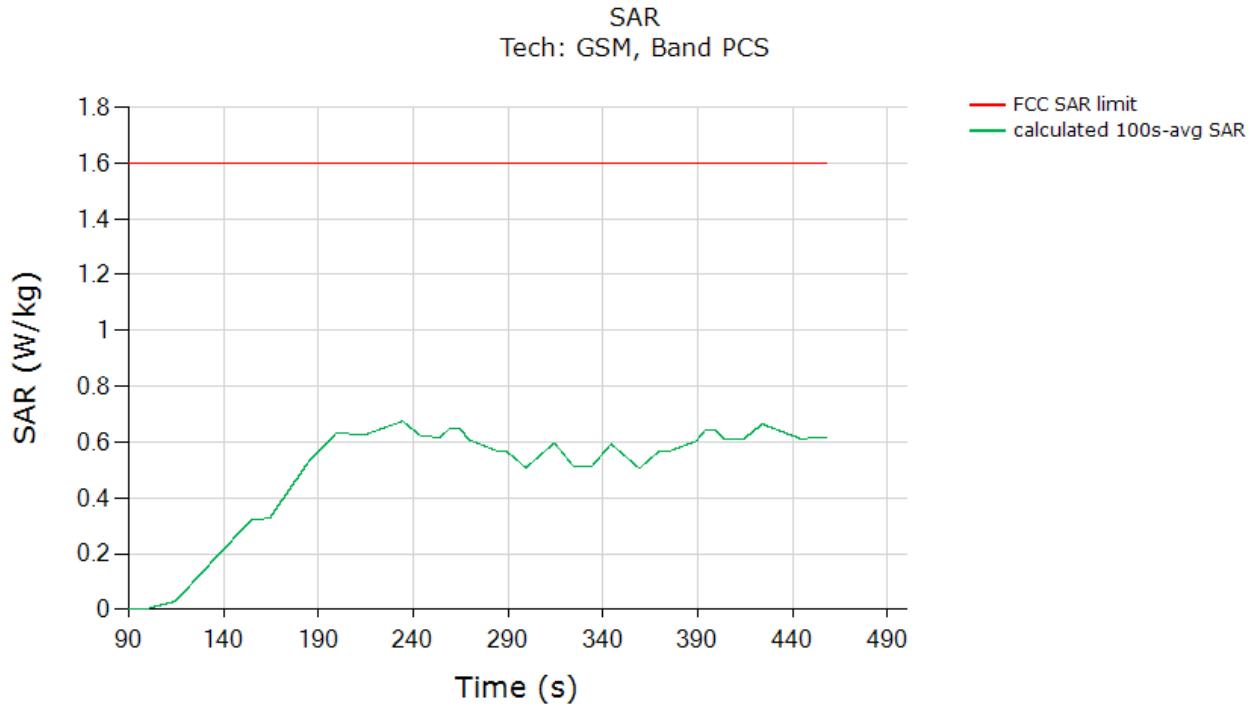
Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.707
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

Test result for test sequence 2:

Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):

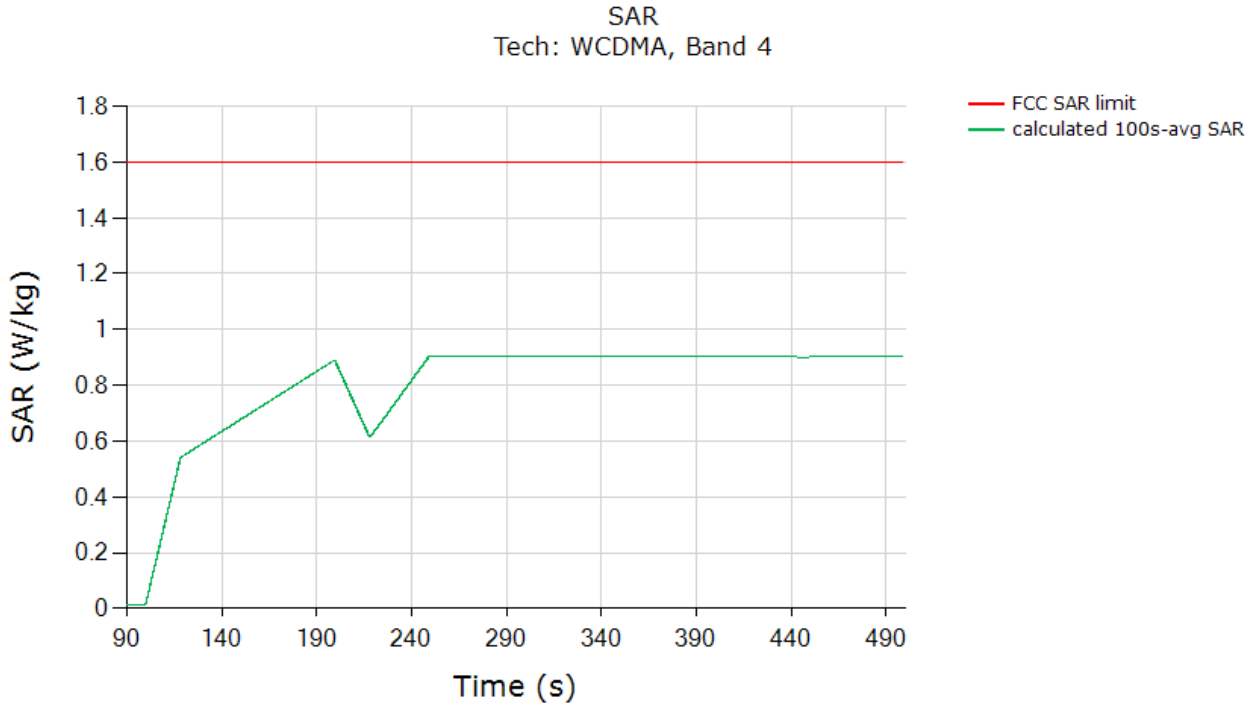


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.675
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

### 5.3.2 WCDMA Band IV

#### Test result for test sequence 1:

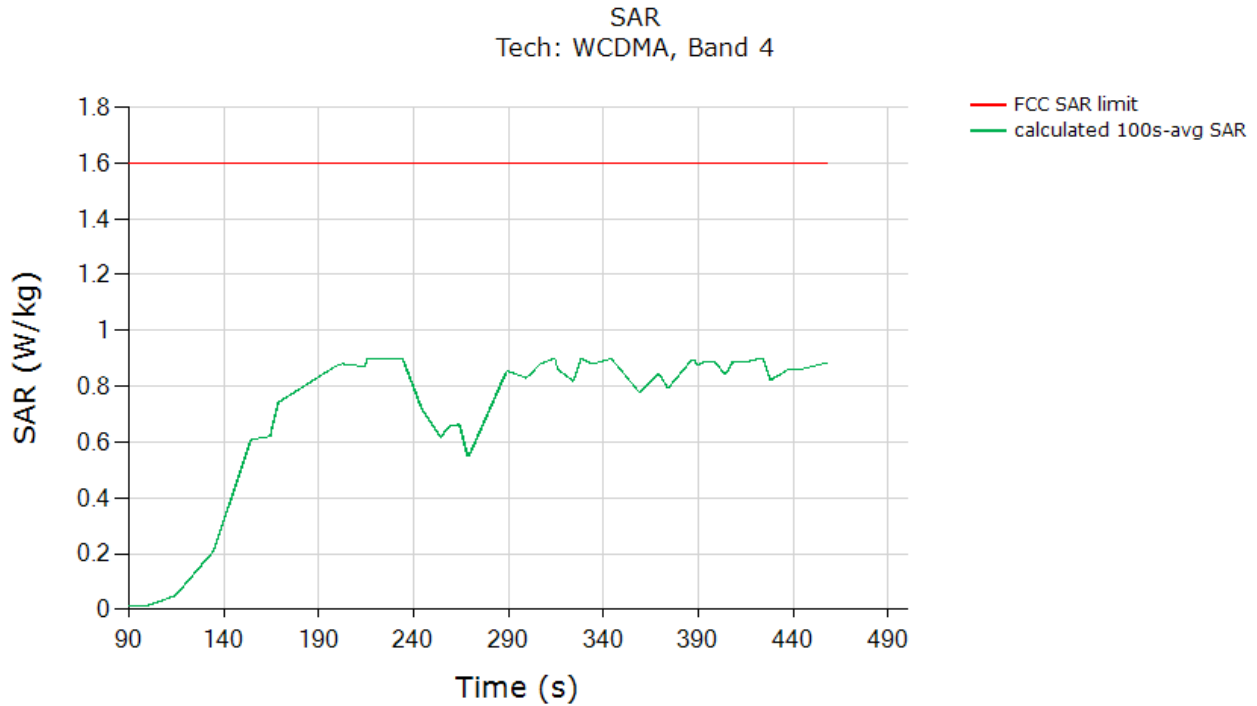
Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.906
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

Test result for test sequence 2:

Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):

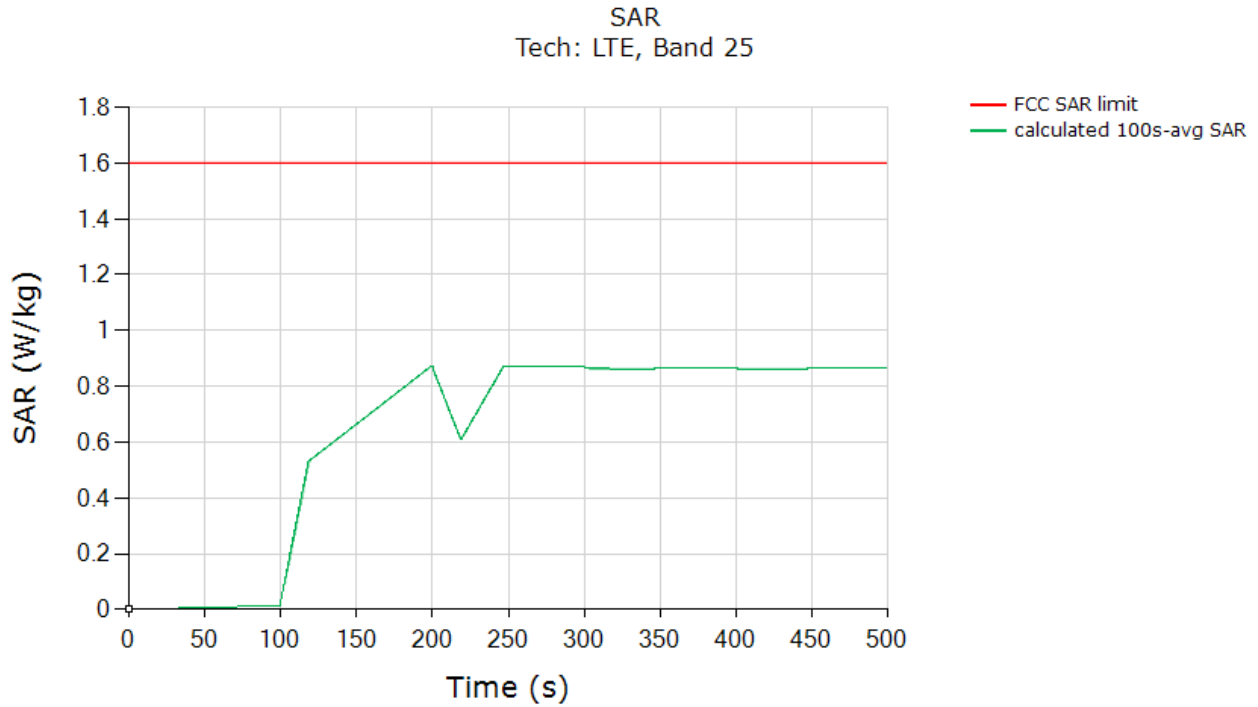


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.901
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

### 5.3.3 LTE Band 25

Test result for test sequence 1:

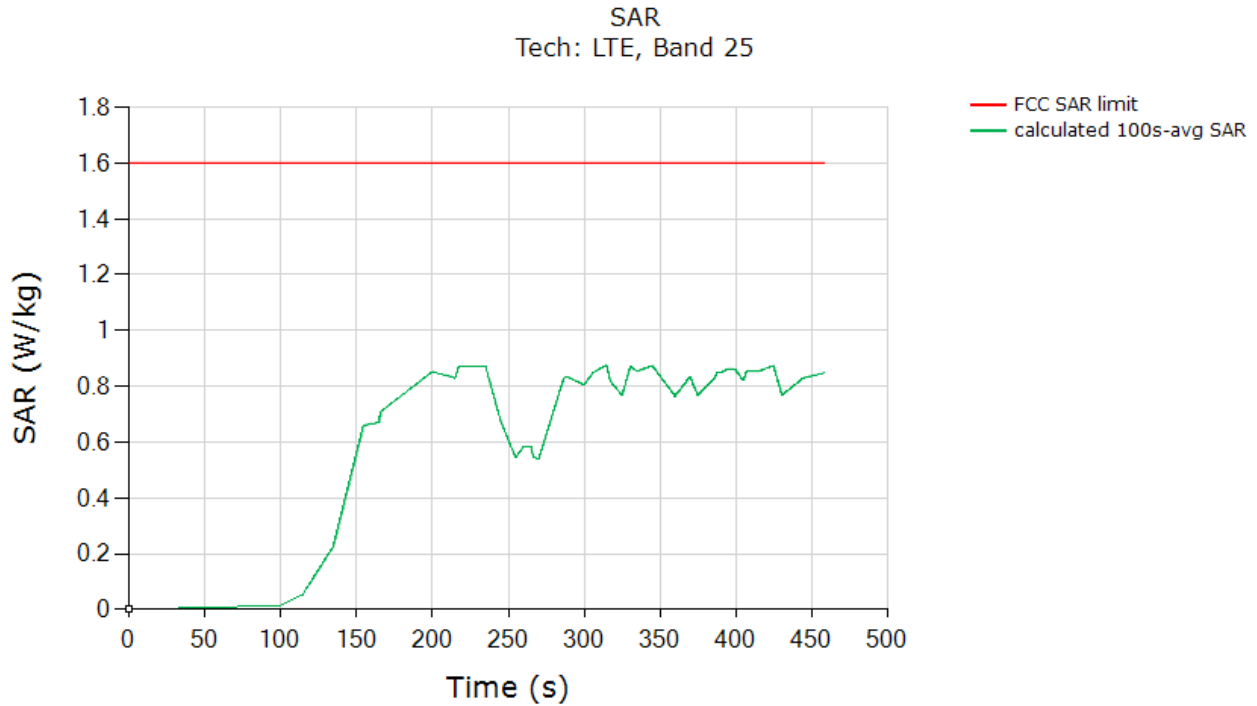
Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.873
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

Test result for test sequence 2:

Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



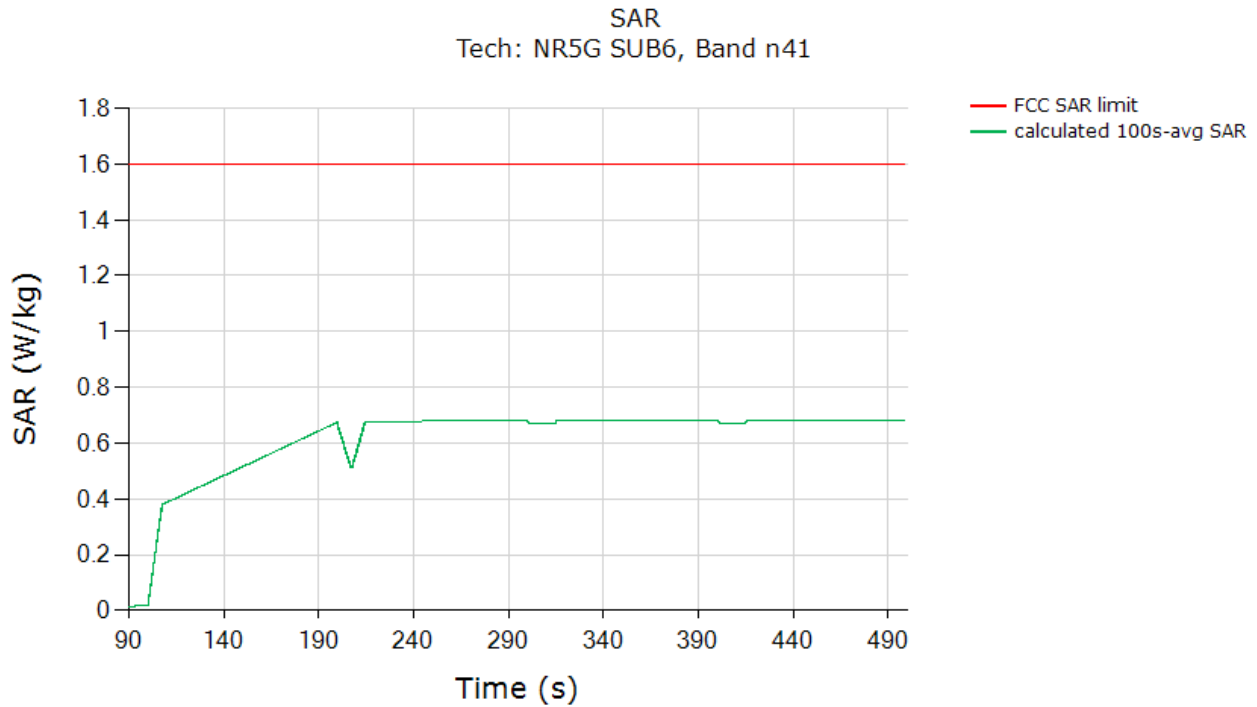
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.875
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	



### 5.3.4 NR Band n41

#### Test result for test sequence 1:

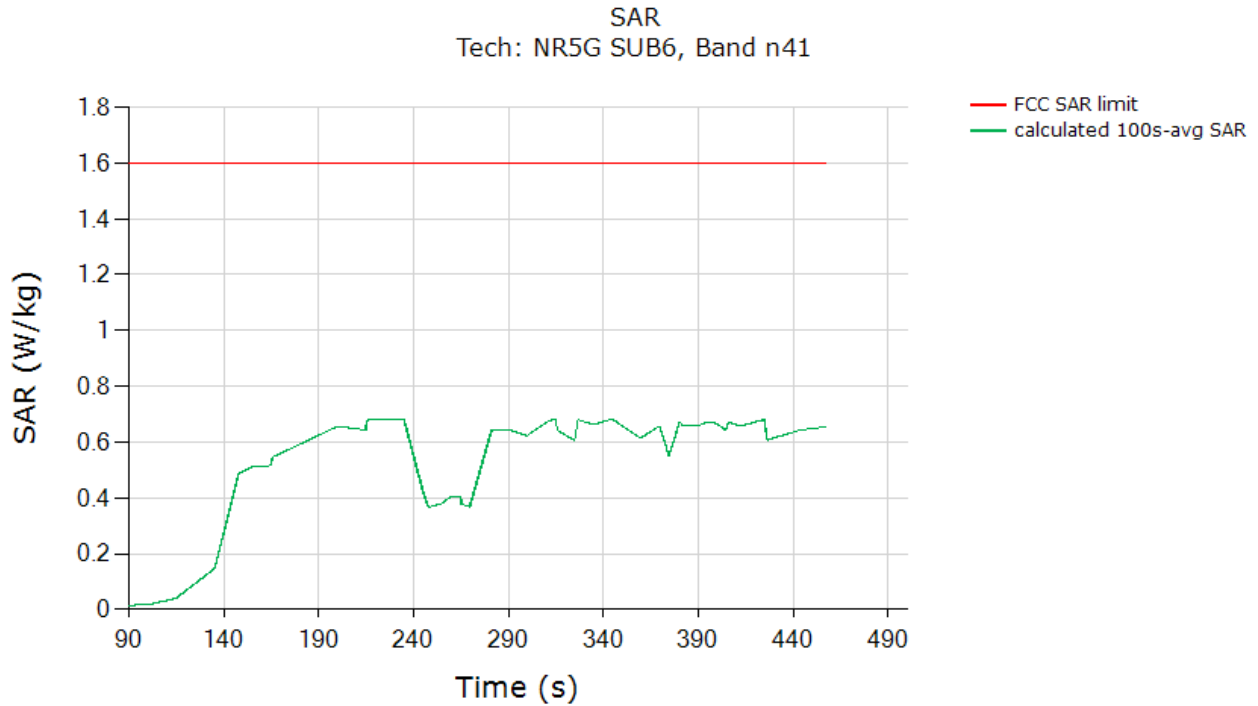
Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.681
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

Test result for test sequence 2:

Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR (1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



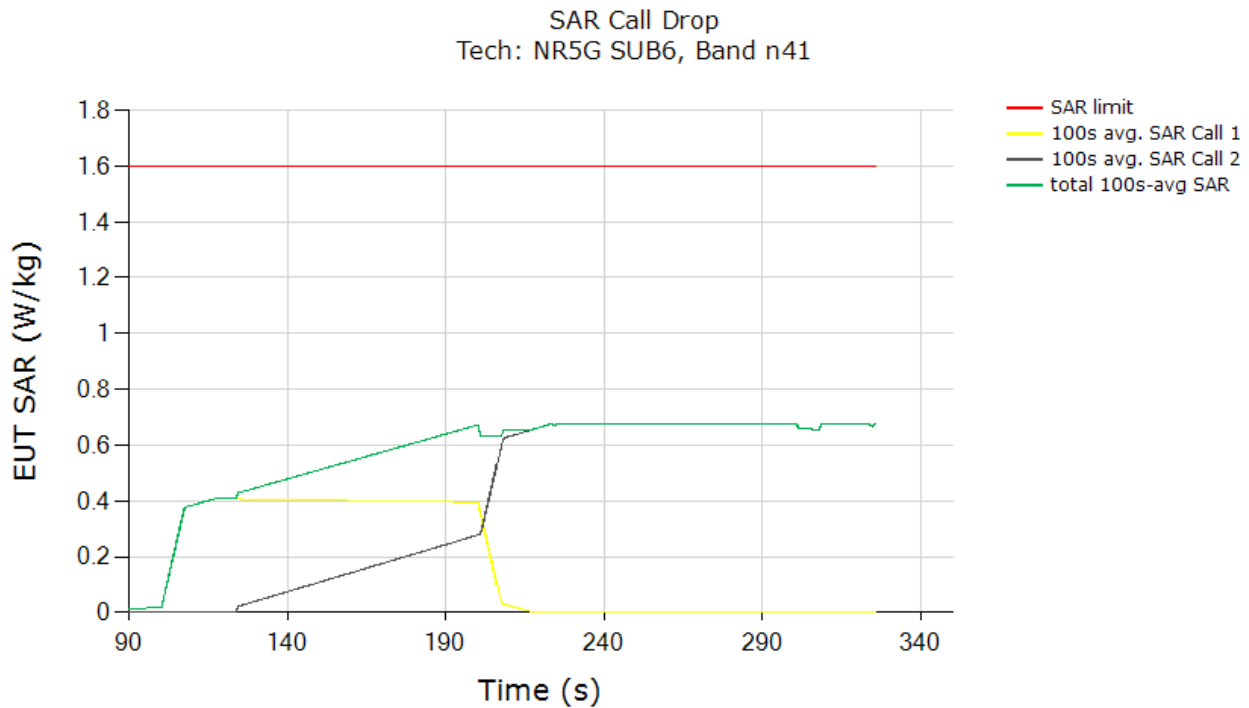
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.683
<b>Validated</b> : Max time averaged SAR (green curve) is within 1 dB device uncertainty of measured SAR at $P_{limit}$ (Table 5-2).	

### 5.4. Change in Call Test Results (test case 5 in Table 5-2)

This test was measured with NR Band n41, Sub 2 Ant, DSI =2, and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region). The measurement setup is shown in Figure B-1(a). The detailed test procedures is described in Section 3.3.2.

Call drop test result:

Time-averaged conducted Tx power is converted/calculated into time-averaged SAR using Equation (1a) and plotted below to demonstrate that the time-averaged SAR versus time does not exceed the FCC limit for SAR(1.6W/kg for 1g SAR or 4.0W/kg for 10g SAR):



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.677
<b>Validated</b>	

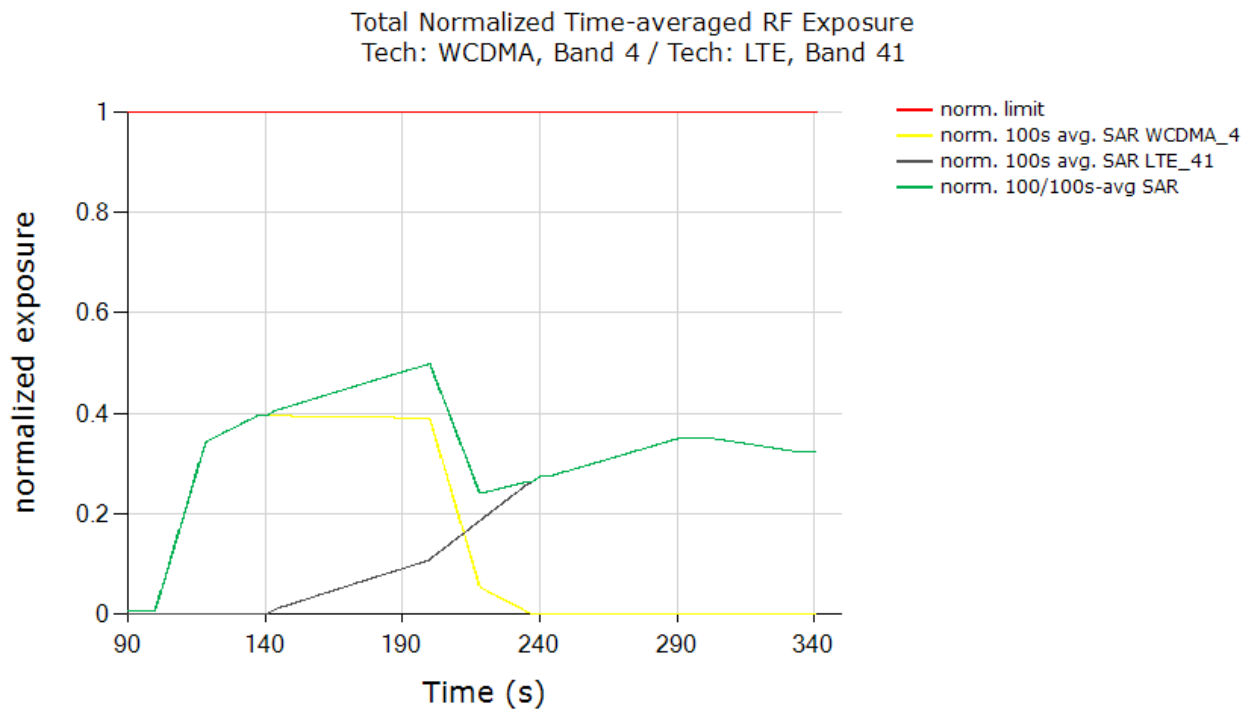
The test result validated the continuity of power limiting in call change scenario.

### 5.5. Change in technology/band/Antenna test results (test case 6 in Table 5-2)

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from WCDMA Band IV, Main.1 Ant, DSI = 3 to LTE Band 41, Main.2 Ant, DSI =3. Following procedure detailed in Section 3.3.3 and 3.3.4, and using the measurement setup shown in Figure B-1 (b), the technology/band switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region).

Test result for change in technology/band:

Time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-average normalized SAR versus time does not exceed the normalized FCC limit of 1.0:



	(W/kg)
FCC normalized SAR limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.500
<b>Validated</b>	

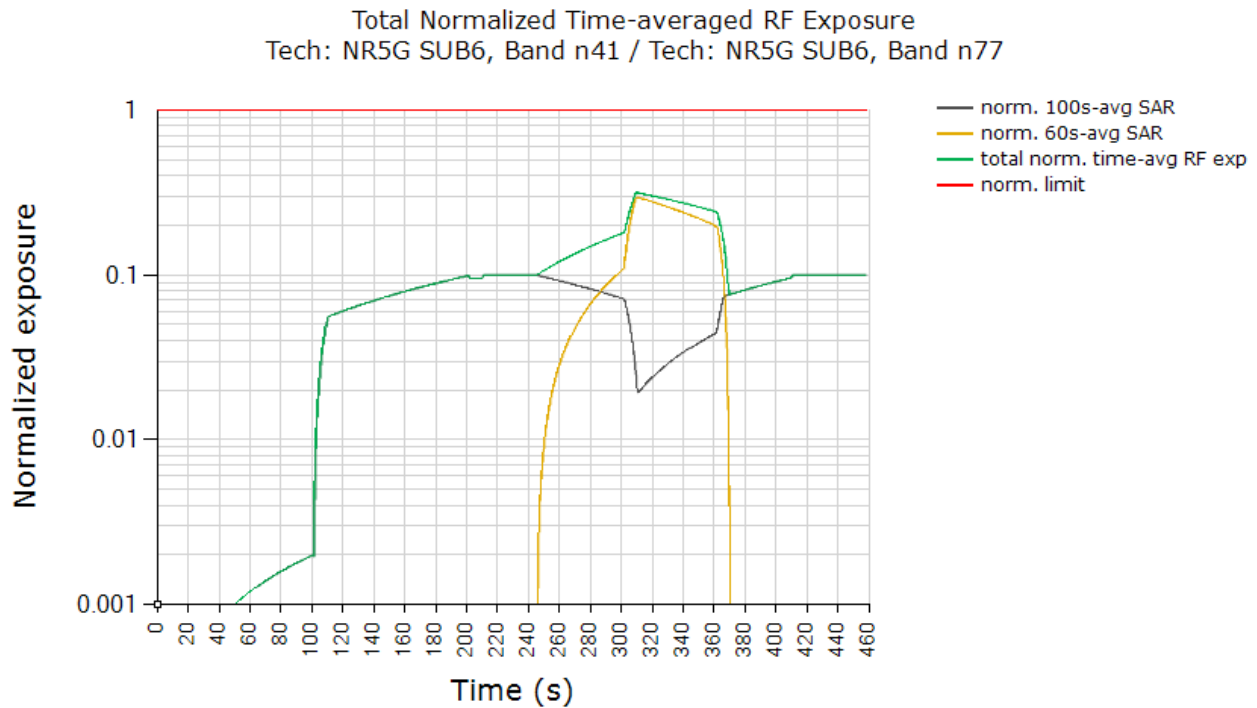
The test result validated the continuity of power limiting in technology/band switch scenario.

### 5.6 Change in Time Window test results (test case 7 in Table 5-2)

This test was conducted with callbox requesting maximum power, and with time-window/antenna switch between NR Band n41, Sub 2 Ant, DSI = 3 (100s window) and NR Band n77, Sub 2 Ant, DSI = 3 (60s window). Following procedure detailed in Section 3.3.6, and using the measurement setup shown in Figure B-1(a), the time-window switch via tech/band/antenna switch was performed when the EUT is transmitting at  $P_{reserve}$  level.

#### Test case.1) Test result for change in time-window (from 100s to 60s to 100s):

All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1.0 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s-averaged normalized SAR in NR Band n41 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in NR Band n77 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).

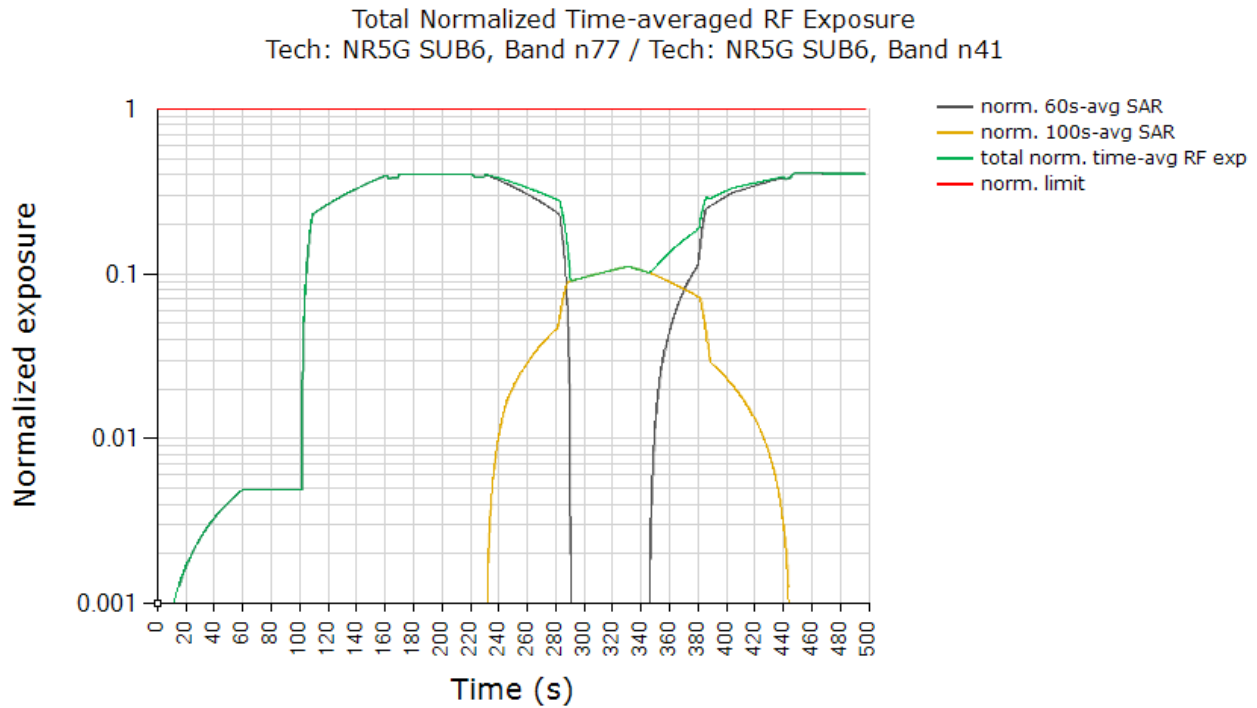


	(W/kg)
FCC normalized total exposure limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.317
Validated	

**Plot Notes:** Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 100s-to-60s window at ~245s time stamp, and from 60s-to-100s window at ~318s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized  $SAR_{design\_target} + 1.0$  dB device uncertainty. In this test, with a maximum normalized SAR of 0.317 being  $\leq 0.79 (=1.0/1.6 + 1.0$  dB device uncertainty), the above test result validated the continuity of power limiting in time=window switch scenario.

**Test case.2) Test result for change in time-window (from 60s to 100s to 60s):**

All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1.0 unit. Equation (7a) is used to convert the Tx power of device to obtain 60s-averaged normalized SAR in NR Band n77 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in NR Band n41 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	(W/kg)
FCC normalized total exposure limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.406
Validated	

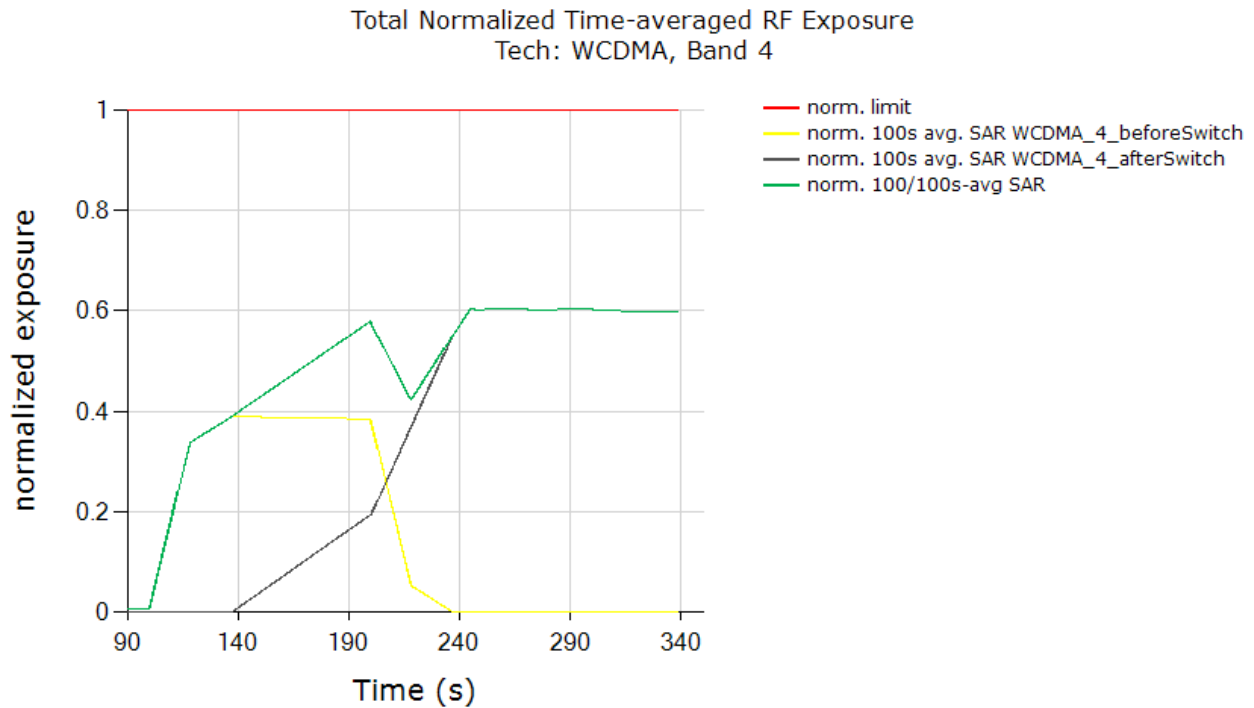
**Plot Notes:** Maximum power is requested by callbox for the entire duration of the test, with tech/band switches from 60s-to-100s window at ~245s time stamp, and from 100s-to-60s window at ~330s time stamp. Smart Transmit controls the Tx power during these time-window switches to ensure total time-averaged RF exposure, i.e., sum of black and orange curves given by equation (7c), is always compliant. In time-window switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR<sub>design\_target</sub> + 1.0 dB device uncertainty. In this test, with a maximum normalized SAR of 0.406 being ≤ 0.79 (=1.0/1.6 + 1.0 dB device uncertainty), the above test result validated the continuity of power limiting in time=window switch scenario.

### 5.7. Change in DSI test results (test case 8 in Table 5-2)

This test was conducted with callbox requesting maximum power, and with DSI switch from WCDMA Band IV DSI = 1 to DSI = 3. Following procedure detailed in Section 3.3.5 using the measurement setup shown in Figure B-1(a), the DSI switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black circle).

Test result for change in DSI:

All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-average normalized SAR versus time does not exceed the normalized FCC limit of 1.0 unit.

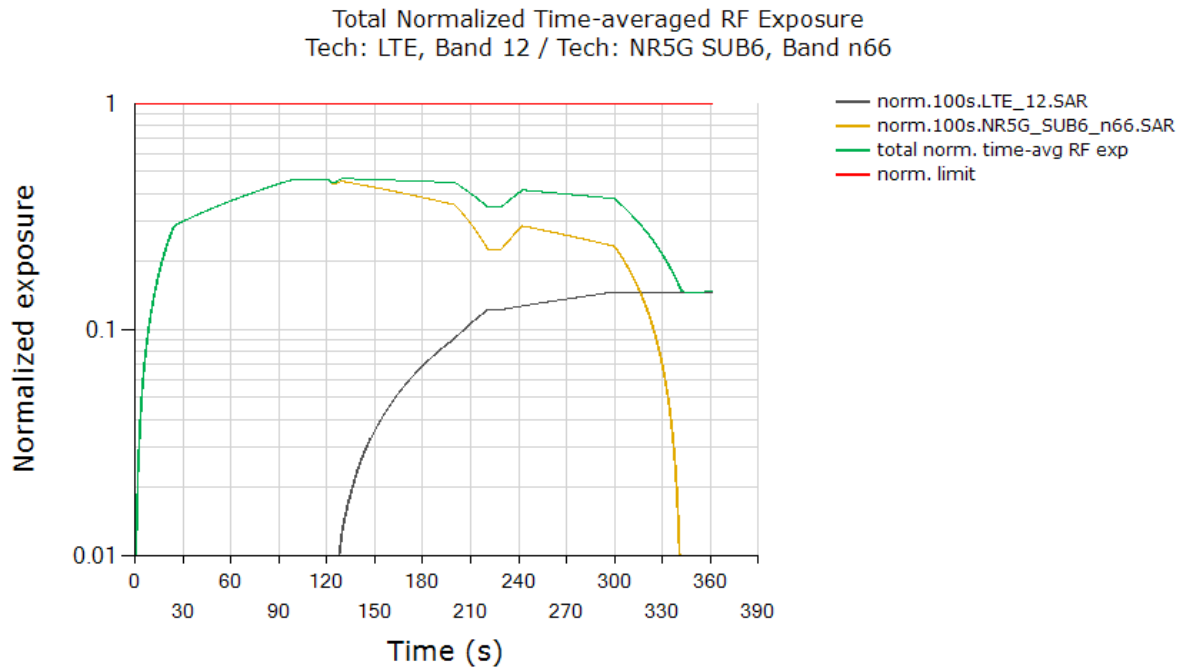


	(W/kg)
FCC normalized SAR limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.604
<b>Validated</b>	

The test result validated the continuity of power limiting in DSI scenario.

### 5.8. Switch in SAR exposure test result of LTE + NR (test case 9 in Table 5-2)

This test was conducted with callbox requesting maximum power, and with the EUT in LTE Band 12 + Sub6 NR Band n66 call. Following procedure detailed in Section 3.3.7 and Section B.2, and using the Measurement setup shown in Figure B-1(c) since LTE and Sub6 NR are sharing the same antenna port, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1.0 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE Band 5 as show in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in Sub6 NR Band n2 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



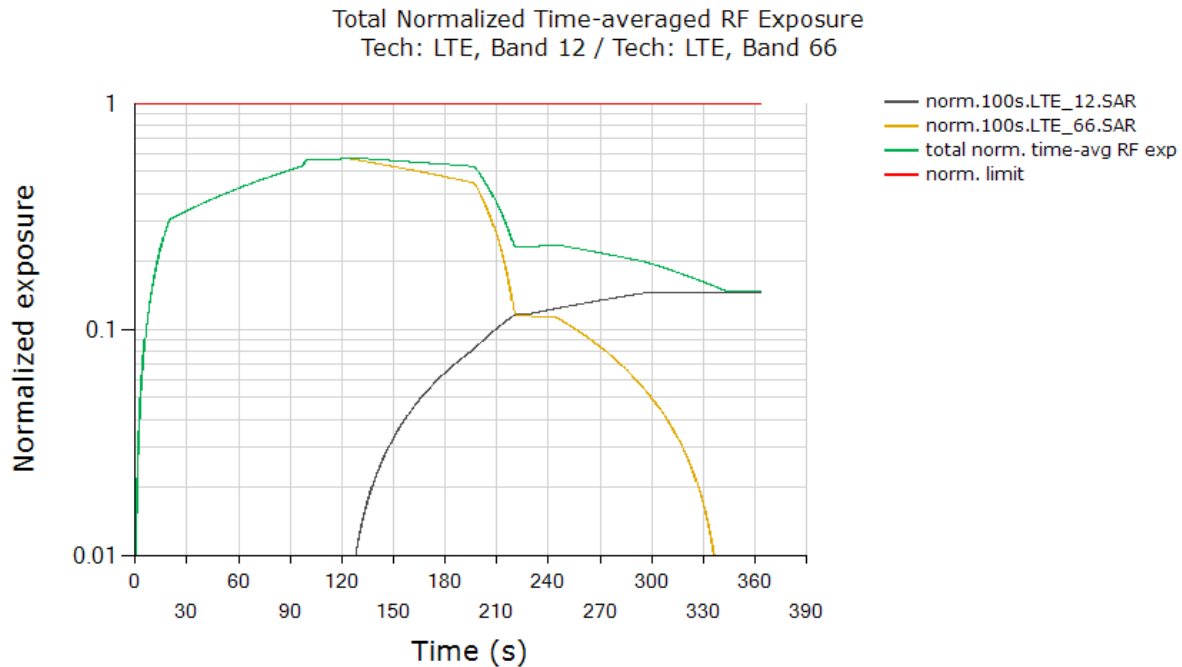
	(W/kg)
FCC normalized total exposure limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.466
<b>Validated</b>	

**Plot Notes:** Device starts predominantly in Sub6 NR SAR exposure scenario between 0s and 120s, and LTE SAR + Sub6 NR SAR exposure scenario between 120s and 240s, and in predominantly in LTE SAR exposure scenario after t=240s, Here, This corresponds to a normalized 1gSAR exposure value = 0.763 W/kg measured SAR at Sub6 NR  $P_{limit} / 1.6$  W/kg limit = 0.464 ± 1.0dB device related uncertainty (see orange curve between 0s~120s). For predominantly LTE SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin = 0.283 W/kg measured SAR at LTE  $P_{limit} / 1.6$  W/kg limit = 0.147 ± 1.0dB device related uncertainty (see black curve after t = 240s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1.0dB device uncertainty. In this test, with a maximum normalized SAR of 0.466 being ≤ 0.79 (= 1.0/1.6 + 1.0dB device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.



### 5.9. Switch in SAR exposure test result of ULCA (test case 10 in Table 5-2)

This test was conducted with callbox requesting maximum power, and with the EUT in LTE PCC (Band 12)+ LTE SCC (Band 66) call. Following procedure detailed in Section 3.3.7 and Section B.2, and using The Measurement setup shown in Figure B-1(c) since LTE PCC and LTE SCC are sharing the same antenna port, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, All the conducted Tx power measurement results were converted into time averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1.0 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE PCC as show in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in LTE SCC as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



	(W/kg)
FCC normalized total exposure limit	1.0
Max 100s-time averaged normalized SAR (green curve)	0.587
<b>Validated</b>	

**Plot Notes:** Device starts predominantly in LTE SCC exposure scenario between 0s and 120s, and LTE PCC + LTE SCC SAR exposure scenario between 120s and 240s, and in predominantly in LTE PCC SAR exposure scenario after t=240s, Here, This corresponds to a normalized 1gSAR exposure value =  $0.864 \text{ W/kg measured SAR at LTE SCC } P_{limit} / 1.6 \text{ W/kg limit} = 0.587 \pm 1.0\text{dB}$  device related uncertainty (see orange curve between 0s~120s). For predominantly LTE PCC SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin =  $0.283 \text{ W/kg measured SAR at LTE } P_{limit} / 1.6 \text{ W/kg limit} = 0.146 \pm 1.0\text{dB}$  device related uncertainty (see black curve after t = 240s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized  $SAR_{design\_target} + 1.0\text{dB}$  device uncertainty. In this test, with a maximum normalized SAR of 0.587 being  $\leq 0.79 (= 1.0/1.6 + 1.0\text{dB device uncertainty})$ , the above test result validated the continuity of power limiting in SAR exposure switch scenario.

## 7. Test Equipment

The measuring equipment used to perform the tests documented in this report has been calibrated in accordance with the manufacturers' recommendations, and is traceable to recognized national standards.

### Conducted test

#### System Check

Name of Equipment	Manufacturer	Type/Model	Serial No.	Cal. Due Date
Power Sensor	R & S	NRP8S	104520	8-3-2023
Power Sensor	R & S	NRP8S	104521	8-3-2023
Directional Coupler	NARDA	4216-10	02835	8-3-2023
Directional Coupler	NARDA	ZUDC20-183+	N/A	8-3-2023
Notch Filter	MICRO-TRONICS	BRM50707-02	G003	8-1-2023
High Pass Filter	MICRO-TRONICS	HPM50115-02	G003	8-1-2023
Power Divider	Weinschel	WA 1575-1313	N/A	8-2-2023

#### Others

Name of Equipment	Manufacturer	Type/Model	Serial No.	Cal. Due Date
Base Station Simulator	R & S	CMW500	150314	8-2-2023
UXM 5G Wireless Test Platform	Keysight	E7515B	MY59150850	12-13-2022

## 8. Conclusions

Qualcomm Smart Transmit feature employed in Samsung device (FCC ID: A3LSMF721B) has been validated through only the conducted power measurement. Because Radiated power measurement are not required under Test case reduction for multiple filing guide.

As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement.

## Section A. Test Sequences

1. Test sequence is generated based on below parameters of the EUT:
  - a. Measured maximum power ( $P_{max}$ )
  - b. Measured Tx\_power\_at\_SAR\_design\_target ( $P_{limit}$ )
  - c. Reserve\_power\_margin (dB)
    - $P_{reserve}$  (dBm) = measured  $P_{limit}$  (dBm) – Reserve\_power\_margin (dB)
  - d. SAR\_time\_window (100s for FCC)
2. **Test Sequence 1** Waveform:

Based on the parameter above, the Test Sequence 1 is generated with one transmission between high and low Tx powers. Here, high power =  $P_{max}$ ; low power =  $P_{max}/2$ , and the transition occurs after 80 seconds at high power  $P_{max}$ . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower  $P_{limit}$  for this test. The test sequence 1 waveform is shown below:

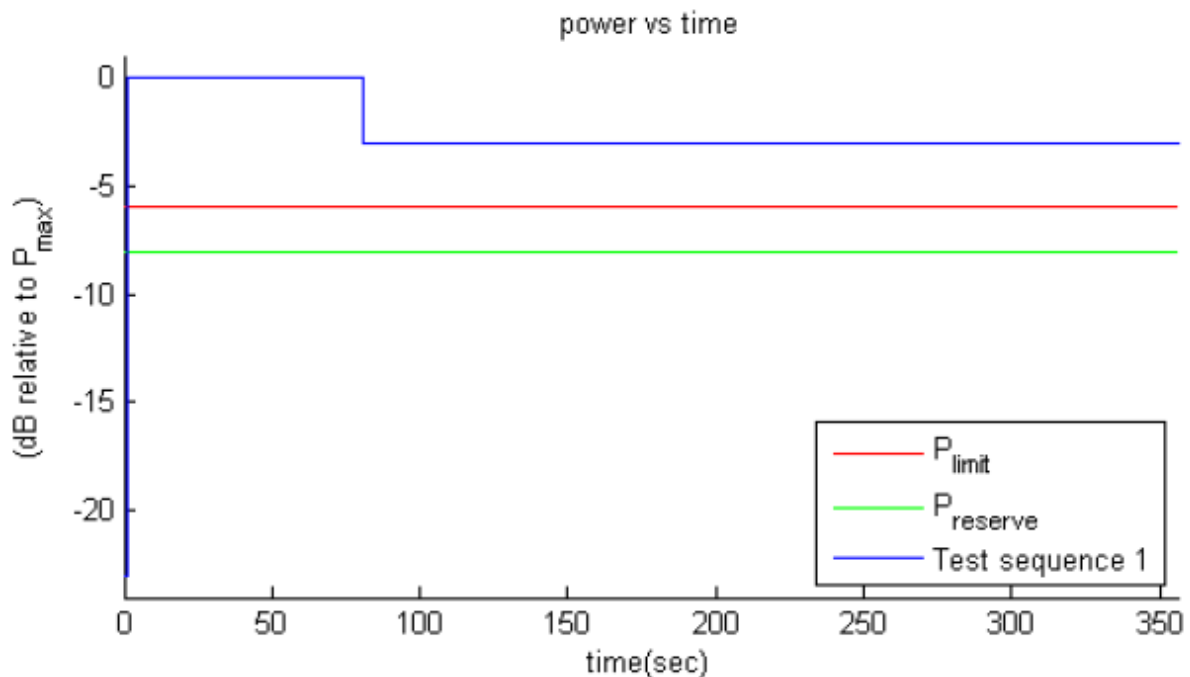


Figure A-1: Test sequence 1 waveform

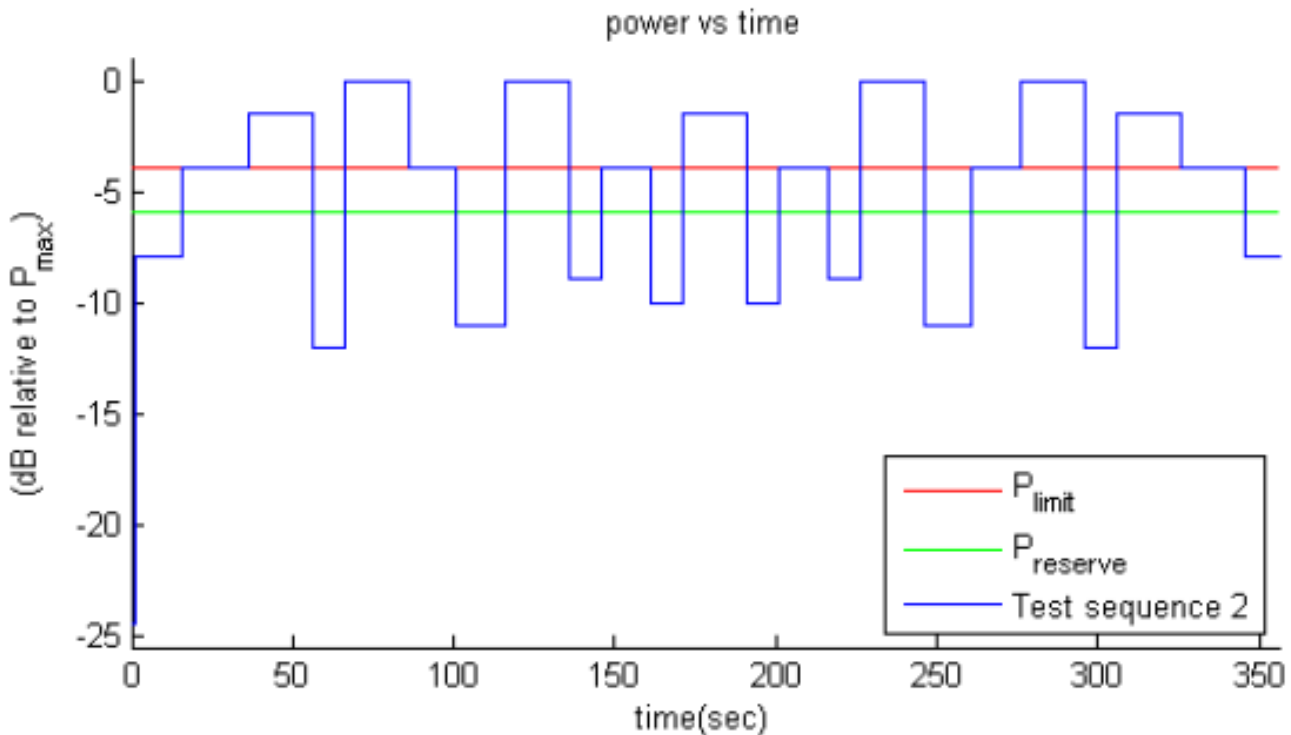
3. **Test Sequence 2** Waveform:

Based on the parameters in Figure A-1, the Test Sequence 2 is generated as described in Table A-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

**Table A-1: Test sequence 2**

Time duration (seconds)	dB relative to $P_{limit}$ or $P_{reserve}$
15	$P_{reserve} - 2$
20	$P_{limit}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 6$
20	$P_{max}$
15	$P_{limit}$
15	$P_{reserve} - 5$
20	$P_{max}$
10	$P_{reserve} - 3$
15	$P_{limit}$
10	$P_{reserve} - 4$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 4$
15	$P_{limit}$
10	$P_{reserve} - 3$
20	$P_{max}$
15	$P_{reserve} - 5$
15	$P_{limit}$
20	$P_{max}$
10	$P_{reserve} - 6$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	$P_{limit}$
15	$P_{reserve} - 2$

The test Sequence 2 waveform is shown in Figure A-2.



**Figure A-2: Test sequence 2 waveform**

## Section B. Test Procedures for LTE + Sub6 NR & Interband ULCA radio

Section B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor, and Sub6 NR standalone mode (SA) transmission scenario.

### B.1 Time-varying Tx power test for sub6 NR in NSA mode and SA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE + Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1g or 10gSAR values does not exceed the regulatory limit at all times (See Eq. (1a) and (1b)). Sub6 NR response to test sequence 1 and test sequence 2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

### B.2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and sub6 NR in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to Sub6 NR  $P_{limit}$ . If testing LTE + Sub6 NR in non-standalone mode, then establish LTE + Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits).
2. Set *Reserve\_power\_margin* to actual (intended) value with EUT setup for LTE\_Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all-down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE(all-down bits) + Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1g or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1g or 10gSAR versus time as illustrated in Figure A-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.
4. Make one plot containing: (a) instantaneous 1g or 10gSAR versus time determined in Step 3, (b) computed time-averaged 1g or 10gSAR versus time determined in Step 3, and (c) corresponding regulatory 1g or 10gSAR<sub>limit</sub> of 1.6 W/kg or 4.0 W/kg, and (d) corresponding normalized regulatory 1g or 10gSAR<sub>limit</sub> of 1.0.

The validation criteria is, at all times, the time-averaged 1g or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### B.3 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

For Sub6 NR TDD test cases, a modified procedure was used due to a limitation of the available test equipment.

#### Test procedure for Conducted Test Sequences:

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate  $P_{reserve}$  (= measured  $P_{limit}$  in dBm = *Reserve\_power\_margin* in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on generated Pmax\_sequences of the DUT as described below. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - a. Measure Pmax\_online\_avg\_dBm with Smart Transmit disabled and callbox set to request maximum power.
  - b. Measure Plimit\_online\_avg\_dBm with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
  - c. Measure Plimit\_ftm\_dBm in FTM Mode at 25% Duty Cycle.
  - d. Calculate the DutyCycle\_dB = Plimit\_ftm\_dBm – Plimit\_online\_avg\_dBm + 6 dB
  - e. Calculate Pmax\_sequence = Pmax\_online\_avg\_dBm + DutyCycle\_dB
  - f. Calculate Plimit\_sequence = Plimit\_online\_avg\_dBm + DutyCycle\_dB
2. Follow remaining steps in Section 3.3.1 to complete time-varying Tx test cases

For the SAR test cases, the procedure in Section 3.4 applies however the initial area scan as described in section 3.4 step 2) i) is performed with the device in FTM mode.

## B.4 Switch in SAR exposure between PCC vs. SCC during Interband ULCA transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for PCC radio only, SAR from both PCC & SCC radios, and SAR from SCC radio only scenarios, and ensure total time-averaged RF exposure compliance with FCC limit.

### Test procedure:

1. Measure  $P_{limit}$  for PCC and SCC in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish a LTE call with single active Tx in desired PCC band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure  $P_{limit}$  corresponding to LTE SCC band under single active Tx scenario.
2. Set *Reserve\_power\_margin* to actual (intended) value with EUT setup for interband ULCA call. First, establish interband ULCA connection with the callbox, and as soon as the connection is establish, request all-down bits (or low power) on PCC link and then request UE to transmit a maximum power in SCC link. Continue PCC (all-down bits) + SCC transmission for more than one time-window duration to test predominantly SCC SAR exposure scenario (as SAR exposure from PCC is negligible from all-down bits). After at least one time-window, request PCC to go all-up bits to test PCC SAR and SCC SAR exposure scenario. After as least one more time-window, drop (or request all-down bits) SCC transmission to test predominantly PCC SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both PCC and SCC for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both PCC and SCC links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1g or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1g or 10gSAR versus time as illustrated in Figure A-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.
4. Make one plot containing: (a) instantaneous 1g or 10gSAR versus time determined in Step 3, (b) computed time-averaged 1g or 10gSAR versus time determined in Step 3, and (c) corresponding regulatory *1g or 10gSAR<sub>limit</sub>* of 1.6 W/kg or 4.0 W/kg, and (d) corresponding normalized regulatory *1g or 10gSAR<sub>limit</sub>* of 1.0.

The validation criteria is, at all times, the time-averaged 1g or 10gSAR versus time shall not exceed the regulatory *1g or 10gSAR<sub>limit</sub>* limit.

## **Appendixes**

**Refer to separated files for the following appendixes.**

**4790541040-S1 FCC Report SAR Part2\_App A\_Test setup photos**

**End OF REPORT**