

# APPENDIX I: LTE DOWNLINK ONLY CARRIER AGGREGATION TEST REDUCTION METHODOLOGY

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number of component carriers (CCs) supported by the product implementation. Per April 2018 TCBC Workshop Notes, the following test reduction methodology was applied to determine the combinations required for conducted power measurements.

## LTE DLCA Test Reduction Methodology:

- The supported combinations were arranged by the number of component carriers in columns.
- Any limitations on the PCC or SCC for each combination were identified alongside the combination (e.g. CA\_2A-2A-4A-12A, but B12 can only be configured as a SCC).
- Power measurements were performed for "supersets" (LTE CA combinations with multiple components carriers) and any "subsets" (LTE CA combinations with fewer component carriers) that were not completely covered by the supersets.
- Only subsets that have the exact same components as a superset were excluded for measurement.
- When there were certain restrictions on component carriers that existed in the superset that were not applied for the subset, the subset configuration was additionally evaluated.
- Both inter-band and intra-band downlink carrier aggregation scenarios were considered.
- Downlink CA combinations for SISO and 4x4 Downlink MIMO operations were measured independently, per May 2017 TCBC Workshop notes.



Table I-1 – Example of Exclusion Table for SISO Configurations

Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset	Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset	Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset
CC#01	CA_2A	5, 10, 15, 20		Yes	CC#01	CA_2A	5, 10, 15, 20		Yes	CC#01	CA_2A	5, 10, 15, 20		Yes
CC#02	CA_2A-5A	5, 10, 15, 20		Yes	CC#02	CA_2A-5A	5, 10, 15, 20		Yes	CC#02	CA_2A-5A	5, 10, 15, 20		Yes
CC#03	CA_2A-10A	5, 10, 15, 20		Yes	CC#03	CA_2A-10A	5, 10, 15, 20		Yes	CC#03	CA_2A-10A	5, 10, 15, 20		Yes
CC#04	CA_2A-15A	5, 10, 15, 20		Yes	CC#04	CA_2A-15A	5, 10, 15, 20		Yes	CC#04	CA_2A-15A	5, 10, 15, 20		Yes
CC#05	CA_2A-20A	5, 10, 15, 20		Yes	CC#05	CA_2A-20A	5, 10, 15, 20		Yes	CC#05	CA_2A-20A	5, 10, 15, 20		Yes
CC#06	CA_2A-5A-10A	5, 10, 15, 20		Yes	CC#06	CA_2A-5A-10A	5, 10, 15, 20		Yes	CC#06	CA_2A-5A-10A	5, 10, 15, 20		Yes
CC#07	CA_2A-5A-15A	5, 10, 15, 20		Yes	CC#07	CA_2A-5A-15A	5, 10, 15, 20		Yes	CC#07	CA_2A-5A-15A	5, 10, 15, 20		Yes
CC#08	CA_2A-5A-20A	5, 10, 15, 20		Yes	CC#08	CA_2A-5A-20A	5, 10, 15, 20		Yes	CC#08	CA_2A-5A-20A	5, 10, 15, 20		Yes
CC#09	CA_2A-10A-15A	5, 10, 15, 20		Yes	CC#09	CA_2A-10A-15A	5, 10, 15, 20		Yes	CC#09	CA_2A-10A-15A	5, 10, 15, 20		Yes
CC#10	CA_2A-10A-20A	5, 10, 15, 20		Yes	CC#10	CA_2A-10A-20A	5, 10, 15, 20		Yes	CC#10	CA_2A-10A-20A	5, 10, 15, 20		Yes
CC#11	CA_2A-15A-20A	5, 10, 15, 20		Yes	CC#11	CA_2A-15A-20A	5, 10, 15, 20		Yes	CC#11	CA_2A-15A-20A	5, 10, 15, 20		Yes
CC#12	CA_2A-5A-10A-15A	5, 10, 15, 20		Yes	CC#12	CA_2A-5A-10A-15A	5, 10, 15, 20		Yes	CC#12	CA_2A-5A-10A-15A	5, 10, 15, 20		Yes
CC#13	CA_2A-5A-10A-20A	5, 10, 15, 20		Yes	CC#13	CA_2A-5A-10A-20A	5, 10, 15, 20		Yes	CC#13	CA_2A-5A-10A-20A	5, 10, 15, 20		Yes
CC#14	CA_2A-5A-15A-20A	5, 10, 15, 20		Yes	CC#14	CA_2A-5A-15A-20A	5, 10, 15, 20		Yes	CC#14	CA_2A-5A-15A-20A	5, 10, 15, 20		Yes
CC#15	CA_2A-10A-15A-20A	5, 10, 15, 20		Yes	CC#15	CA_2A-10A-15A-20A	5, 10, 15, 20		Yes	CC#15	CA_2A-10A-15A-20A	5, 10, 15, 20		Yes
CC#16	CA_2A-5A-10A-15A-20A	5, 10, 15, 20		Yes	CC#16	CA_2A-5A-10A-15A-20A	5, 10, 15, 20		Yes	CC#16	CA_2A-5A-10A-15A-20A	5, 10, 15, 20		Yes

Table I-2 – Example of Exclusion Table for 4x4 Downlink MIMO Configurations

Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset	Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset	Index	CC	Supported Channel Bandwidth (MHz)	Restriction	Completely Covered by Measurement Superset
CC#M01	CA_12C1	5, 10, 15, 20		Yes	CC#M01	CA_12C1	5, 10, 15, 20		Yes	CC#M01	CA_12C1	5, 10, 15, 20		Yes
CC#M02	CA_12A-2A	5, 10, 15, 20		Yes	CC#M02	CA_12A-2A	5, 10, 15, 20		Yes	CC#M02	CA_12A-2A	5, 10, 15, 20		Yes
CC#M03	CA_12A1-12A2	5, 10, 15, 20		Yes	CC#M03	CA_12A1-12A2	5, 10, 15, 20		Yes	CC#M03	CA_12A1-12A2	5, 10, 15, 20		Yes
CC#M04	CA_12A1-4A-12A2	5, 10, 15, 20		Yes	CC#M04	CA_12A1-4A-12A2	5, 10, 15, 20		Yes	CC#M04	CA_12A1-4A-12A2	5, 10, 15, 20		Yes
CC#M05	CA_12A1-10A-12A2	5, 10, 15, 20		Yes	CC#M05	CA_12A1-10A-12A2	5, 10, 15, 20		Yes	CC#M05	CA_12A1-10A-12A2	5, 10, 15, 20		Yes
CC#M06	CA_12A1-5A	5, 10, 15, 20		Yes	CC#M06	CA_12A1-5A	5, 10, 15, 20		Yes	CC#M06	CA_12A1-5A	5, 10, 15, 20		Yes
CC#M07	CA_12A1-10A-15A	5, 10, 15, 20		Yes	CC#M07	CA_12A1-10A-15A	5, 10, 15, 20		Yes	CC#M07	CA_12A1-10A-15A	5, 10, 15, 20		Yes
CC#M08	CA_12A1-10A-20A	5, 10, 15, 20		Yes	CC#M08	CA_12A1-10A-20A	5, 10, 15, 20		Yes	CC#M08	CA_12A1-10A-20A	5, 10, 15, 20		Yes
CC#M09	CA_12A1-15A-20A	5, 10, 15, 20		Yes	CC#M09	CA_12A1-15A-20A	5, 10, 15, 20		Yes	CC#M09	CA_12A1-15A-20A	5, 10, 15, 20		Yes
CC#M10	CA_12A1-5A-10A-15A	5, 10, 15, 20		Yes	CC#M10	CA_12A1-5A-10A-15A	5, 10, 15, 20		Yes	CC#M10	CA_12A1-5A-10A-15A	5, 10, 15, 20		Yes
CC#M11	CA_12A1-5A-10A-20A	5, 10, 15, 20		Yes	CC#M11	CA_12A1-5A-10A-20A	5, 10, 15, 20		Yes	CC#M11	CA_12A1-5A-10A-20A	5, 10, 15, 20		Yes
CC#M12	CA_12A1-5A-15A-20A	5, 10, 15, 20		Yes	CC#M12	CA_12A1-5A-15A-20A	5, 10, 15, 20		Yes	CC#M12	CA_12A1-5A-15A-20A	5, 10, 15, 20		Yes
CC#M13	CA_12A1-10A-15A-20A	5, 10, 15, 20		Yes	CC#M13	CA_12A1-10A-15A-20A	5, 10, 15, 20		Yes	CC#M13	CA_12A1-10A-15A-20A	5, 10, 15, 20		Yes
CC#M14	CA_12A1-5A-10A-15A-20A	5, 10, 15, 20		Yes	CC#M14	CA_12A1-5A-10A-15A-20A	5, 10, 15, 20		Yes	CC#M14	CA_12A1-5A-10A-15A-20A	5, 10, 15, 20		Yes
CC#M15	CA_12A1-10A-15A-20A-5A	5, 10, 15, 20		Yes	CC#M15	CA_12A1-10A-15A-20A-5A	5, 10, 15, 20		Yes	CC#M15	CA_12A1-10A-15A-20A-5A	5, 10, 15, 20		Yes
CC#M16	CA_12A1-10A-15A-20A-5A-10A	5, 10, 15, 20		Yes	CC#M16	CA_12A1-10A-15A-20A-5A-10A	5, 10, 15, 20		Yes	CC#M16	CA_12A1-10A-15A-20A-5A-10A	5, 10, 15, 20		Yes
CC#M17	CA_12A1-10A-15A-20A-5A-15A	5, 10, 15, 20		Yes	CC#M17	CA_12A1-10A-15A-20A-5A-15A	5, 10, 15, 20		Yes	CC#M17	CA_12A1-10A-15A-20A-5A-15A	5, 10, 15, 20		Yes
CC#M18	CA_12A1-10A-15A-20A-5A-10A-15A	5, 10, 15, 20		Yes	CC#M18	CA_12A1-10A-15A-20A-5A-10A-15A	5, 10, 15, 20		Yes	CC#M18	CA_12A1-10A-15A-20A-5A-10A-15A	5, 10, 15, 20		Yes
CC#M19	CA_12A1-10A-15A-20A-5A-15A-10A	5, 10, 15, 20		Yes	CC#M19	CA_12A1-10A-15A-20A-5A-15A-10A	5, 10, 15, 20		Yes	CC#M19	CA_12A1-10A-15A-20A-5A-15A-10A	5, 10, 15, 20		Yes
CC#M20	CA_12A1-10A-15A-20A-5A-10A-15A-15A	5, 10, 15, 20		Yes	CC#M20	CA_12A1-10A-15A-20A-5A-10A-15A-15A	5, 10, 15, 20		Yes	CC#M20	CA_12A1-10A-15A-20A-5A-10A-15A-15A	5, 10, 15, 20		Yes
CC#M21	CA_12A1-10A-15A-20A-5A-10A-15A-10A	5, 10, 15, 20		Yes	CC#M21	CA_12A1-10A-15A-20A-5A-10A-15A-10A	5, 10, 15, 20		Yes	CC#M21	CA_12A1-10A-15A-20A-5A-10A-15A-10A	5, 10, 15, 20		Yes
CC#M22	CA_12A1-10A-15A-20A-5A-10A-15A-15A-10A	5, 10, 15, 20		Yes	CC#M22	CA_12A1-10A-15A-20A-5A-10A-15A-15A-10A	5, 10, 15, 20		Yes	CC#M22	CA_12A1-10A-15A-20A-5A-10A-15A-15A-10A	5, 10, 15, 20		Yes
CC#M23	CA_12A1-10A-15A-20A-5A-10A-15A-10A-15A	5, 10, 15, 20		Yes	CC#M23	CA_12A1-10A-15A-20A-5A-10A-15A-10A-15A	5, 10, 15, 20		Yes	CC#M23	CA_12A1-10A-15A-20A-5A-10A-15A-10A-15A	5, 10, 15, 20		Yes

Note: [CC] indicates component carrier with 4x4 DL MIMO antenna configuration

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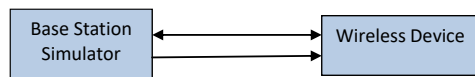
## I.1 LTE Downlink Only Carrier Aggregation Test Selection and Setup

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number component carriers (CCs) supported by the product implementation. For those configurations required by April 2018 TCBC Workshop Notes, conducted power measurements with LTE Carrier Aggregation (CA) (downlink only) active are made in accordance to KDB Publication 941225 D05Av01r02. The RRC connection is only handled by one cell, the primary component carrier (PCC) for downlink and uplink communications. After making a data connection to the PCC, the UE device adds secondary component carrier(s) (SCC) on the downlink only. All uplink communications and acknowledgements remain identical to specifications when downlink carrier aggregation is inactive on the PCC. Additional conducted output powers are measured with the downlink carrier aggregation active for the configuration with highest measured maximum conducted power with downlink carrier aggregation inactive measured among the channel bandwidth, modulation, and RB combinations in each frequency band.

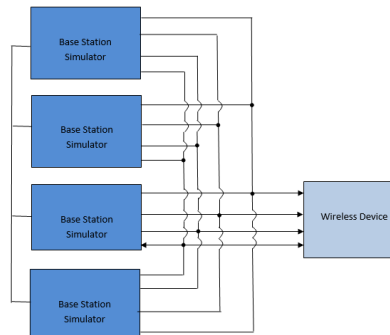
Per FCC KDB Publication 941225 D05Av01r02, no SAR measurements are required for carrier aggregation configurations when the maximum average output power with downlink only carrier aggregation active is not more than 0.25 dB higher than the average output power with downlink only carrier aggregation inactive. All bands required for SAR testing per FCC KDB procedures were considered. Based on the measured maximum powers below, no additional SAR tests were required for DLCA SAR configurations.

General PCC and SCC configuration selection procedure




- PCC uplink channel, channel bandwidth, modulation and RB configurations were selected based on section C)3)b)ii) of KDB 941225 D05 V01r02. All LTE bandwidth conducted powers needed for PCC uplink configuration selection can be found in Section 9.3 and appendix F. The downlink PCC channel was paired with the selected PCC uplink channel according to normal configurations without carrier aggregation.
- To maximize aggregated bandwidth, highest channel bandwidth available for that CA combination was selected for SCC. For inter-band CA, the SCC downlink channels were selected near the middle of their transmission bands. For contiguous intra-band CA, the downlink channel spacing between the component carriers was set to multiple of 300 kHz less than the nominal channel spacing defined in section 5.4.1A of 3GPP TS 36.521. For non-contiguous intra-band CA, the downlink channel spacing between the component carriers was set to be larger than the nominal channel spacing and provided maximum separation between the component carriers.
- All selected PCC and SCC(s) remained fully within the uplink/downlink transmission band of the respective component carrier.



**Figure I-1**  
**DL CA Power Measurement Setup**



**Figure I-2**  
**DL CA with DL 4x4 MIMO Power Measurement Setup**

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## I.2 Downlink Carrier Aggregation RF Conducted Powers

### I.2.1 LTE Band 41 as PCC

**Table I-3**  
Maximum Output Powers

Combination	PCC									SCC 1				Power	
	PCC Band	PCC BW [MHz]	PCC (UL) Ch.	PCC (UL) Freq. [MHz]	Mod.	PCC UL# RB	PCC UL RB Offset	PCC (DL) Channel	PCC (DL) Freq. [MHz]	SCC Band	SCC BW [MHz]	SCC (DL) Channel	SCC (DL) Freq. [MHz]	LTE Tx.Power with DL CA Enabled (dBm)	LTE Single Carrier Tx Power (dBm)
CA [41C] (1)	LTE B41	5	41055	2636.5	QPSK	1	12	41055	2636.5	LTE B41	20	40938	2624.8	24.48	24.30

## I.3 DL CA with DL 4x4 MIMO RF Conduction Powers

This device supports downlink 4x4 MIMO operations for some LTE bands. Uplink transmission is limited to a single output stream. When carrier aggregation was applicable, the general test selection and setup procedures described in Section I.1 were applied.

Per May 2017 TCB Workshop Notes, SAR for 4x4 DL MIMO was not needed since the maximum average output power in 4x4 DL MIMO mode was not more than 0.25 dB higher than the maximum output power with 4x4 DL MIMO inactive. Additionally, SAR for 4x4 MIMO Downlink Carrier Aggregation was not needed since the maximum average output power in 4x4 MIMO Downlink Carrier Aggregation mode was not more than 0.25 dB higher than the maximum output power with 4x4 MIMO Downlink and downlink carrier aggregation inactive.

### I.3.1 LTE 4x4 MIMO DL Standalone Powers




**Table I-4**  
Maximum Output Powers

LTE Band	Bandwidth [MHz]	Channel	Frequency [MHz]	Modulation	RB Size	RB Offset	4x4 DL MIMO Tx. Power [dBm]	Single Antenna Tx. Power [dBm]	Target Power [dBm]
41	5	41055	2636.5	QPSK	1	12	24.38	24.30	24.0

### I.3.2 LTE Band 41 as PCC

**Table I-5**  
Maximum Output Powers

Combination	PCC										SCC 1				Power		
	PCC Band	PCC BW [MHz]	PCC (UL) Ch.	PCC (UL) Freq. [MHz]	Mod.	PCC UL# RB	PCC UL RB Offset	PCC (DL) Ch.	PCC (DL) Freq. [MHz]	DL Ant. Config.	SCC Band	SCC BW [MHz]	SCC (DL) Ch.	SCC (DL) Freq. [MHz]	DL Ant. Config.	LTE Tx.Power with DL CA Enabled (dBm)	LTE Single Carrier Tx Power (dBm)
CA [41C] (1)	LTE B41	5	41055	2636.5	QPSK	1	12	41055	2636.5	4x4	LTE B41	20	40938	2624.8	4x4	24.39	24.30

FCC ID A3LSMS908JPN	 PCTEST Proud to be part of  element	SAR EVALUATION REPORT		Approved by: Quality Manager
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## I.4 Additional Downlink Carrier Aggregation with Uplink Carrier Aggregation Enabled

This device supports uplink carrier aggregation (ULCA) with additional Carrier Aggregation configurations active in the downlink. Power measurements were performed with ULCA active and additional CA configurations active in the downlink for the configuration per Fall 2017 TCB Workshop Notes.



Per FCC Guidance, additional SAR measurements for these configurations were not required since their maximum output power was not more than 0.25 dB higher than the maximum output power for with only CA\_41C ULCA active.

### I.4.1 Additional 4x4 MIMO DL Carrier Aggregation RF Conducted Powers with Uplink Carrier Aggregation Enabled

Note: 4x4 DL MIMO is only operating in the downlink. Uplink transmission is limited to a single output stream for each component carrier of ULCA.

**Table I-6  
Maximum Output Powers**

Combination	PCC											SCC 1						Power				
	PCC Band	PCC BW [MHz]	PCC (UL) Ch.	PCC (UL) Freq. [MHz]	Mod.	PCC UL# RB	PCC UL RB Offset	PCC (DL) Ch.	PCC (DL) Freq. [MHz]	DL Ant. Config.	SCC Band	SCC BW [MHz]	SCC (UL) Ch.	SCC (UL) Freq. [MHz]	Mod.	SCC UL# RB	SCC UL RB Offset	SCC (DL) Ch.	SCC (DL) Freq. [MHz]	DL Ant. Config.	ULCA Tx Power with add'l CA config. active	ULCA Tx Power (dBm)
CA_41C	LTE B41	20	41055	2636.5	QPSK	1	0	41055	2636.5	4x4	LTE B41	20	40857	2616.7	QPSK	1	99	40857	2616.7	4x4	23.79	23.85

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<b>Test Dates:</b> 12/21/21 – 01/17/22	<b>DUT Type:</b> Portable Handset		APPENDIX I: Page 4 of 4	