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## PART 0 SAR CHAR REPORT

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**Date of Testing:**  
 12/28/20-01/21/21  
**Test Site/Location:**  
 PCTEST Lab, Columbia, MD, USA  
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**APPLICANT: SAMSUNG ELECTRONICS CO., LTD**



**Report Type:** Part 0 SAR Characterization  
**DUT Type:** Portable Handset  
**Model(s):** SM-G996U  
**Additional Model(s):** SM-G996U1

I attest to the accuracy of data. All measurements reported herein were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

Test results reported herein relate only to the item(s) tested.




  
 Randy Ortanez  
 President



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# 1 DEVICE UNDER TEST




## 1.1 Device Overview

Band & Mode	Operating Modes	Tx Frequency
CDMA/EVDO BC10 (§90S)	Voice/Data	817.90 - 823.10 MHz
CDMA/EVDO BC0 (§22H)	Voice/Data	824.70 - 848.31 MHz
PCS CDMA/EVDO	Voice/Data	1851.25 - 1908.75 MHz
GSM/GPRS/EDGE 850	Voice/Data	824.20 - 848.80 MHz
GSM/GPRS/EDGE 1900	Voice/Data	1850.20 - 1909.80 MHz
UMTS 850	Voice/Data	826.40 - 846.60 MHz
UMTS 1750	Voice/Data	1712.4 - 1752.6 MHz
UMTS 1900	Voice/Data	1852.4 - 1907.6 MHz
LTE Band 71	Voice/Data	665.5 - 695.5 MHz
LTE Band 12	Voice/Data	699.7 - 715.3 MHz
LTE Band 13	Voice/Data	779.5 - 784.5 MHz
LTE Band 14	Voice/Data	790.5 - 795.5 MHz
LTE Band 5 (Cell)	Voice/Data	824.7 - 848.3 MHz
LTE Band 26 (Cell)	Voice/Data	814.7 - 848.3 MHz
LTE Band 66 (AWS)	Voice/Data	1710.7 - 1779.3 MHz
LTE Band 4 (AWS)	Voice/Data	1710.7 - 1754.3 MHz
LTE Band 25 (PCS)	Voice/Data	1850.7 - 1914.3 MHz
LTE Band 2 (PCS)	Voice/Data	1850.7 - 1909.3 MHz
LTE Band 30	Voice/Data	2307.5 - 2312.5 MHz
LTE Band 7	Voice/Data	2502.5 - 2567.5 MHz
LTE Band 48	Voice/Data	3552.5 - 3697.5 MHz
LTE Band 41	Voice/Data	2498.5 - 2687.5 MHz
LTE Band 38	Voice/Data	2572.5 - 2617.5 MHz
NR Band n71	Data	665.5 - 695.5 MHz
NR Band n12	Data	701.5 - 713.5 MHz
NR Band n5 (Cell)	Data	826.5 - 846.5 MHz
NR Band n66 (AWS)	Data	1712.5 - 1777.5 MHz
NR Band n25 (PCS)	Data	1852.5 - 1912.5 MHz
NR Band n2 (PCS)	Data	1852.5 - 1907.5 MHz
NR Band n30	Data	2307.5 - 2312.5 MHz
NR Band n41	Data	2506.02 - 2679.99 MHz
NR Band n77	Data	3710.01 - 3969.99 MHz
2.4 GHz WLAN	Voice/Data	2412 - 2462 MHz
U-NII-1	Voice/Data	5180 - 5240 MHz
U-NII-2A	Voice/Data	5260 - 5320 MHz
U-NII-2C	Voice/Data	5500 - 5720 MHz
U-NII-3	Voice/Data	5745 - 5825 MHz
2.4 GHz Bluetooth	Data	2402 - 2480 MHz
NFC	Data	13.56 MHz
NR Band n260	Data	37000 - 40000 MHz
NR Band n261	Data	27500 - 28350 MHz

This device uses the Qualcomm® Smart Transmit feature to control and manage transmitting power in real time and to ensure the time-averaged RF exposure is in compliance with the FCC requirement at all times for 2G/3G/4G/5G WWAN operations. Additionally, this device supports WLAN/BT/NFC technologies, but the output power of these modems is not controlled by the Smart Transmit algorithm.

## 1.2 Time-Averaging for SAR and Power Density




This device is enabled with Qualcomm® Smart Transmit algorithm to control and manage transmitting power in real time and to ensure that the time-averaged RF exposure from 2G/3G/4G/5G Sub-6 NR WWAN is in compliance with FCC requirements. This Part 0 report shows SAR characterization of WWAN radios for 2G/3G/4G/5G Sub-6 NR. Characterization is achieved by determining  $P_{Limit}$  for 2G/3G/4G/5G Sub-6 NR that corresponds to the exposure design targets after accounting for all device design related uncertainties, i.e., SAR\_design\_target (< FCC SAR limit) for sub-6 radio. The SAR characterization is denoted as SAR Char in this report. Section 1.3 includes a nomenclature of the specific terms used in this report.

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The compliance test under the static transmission scenario and simultaneous transmission analysis are reported in Part 1 report. The validation of the time-averaging algorithm and compliance under the dynamic (time- varying) transmission scenario for WWAN technologies are reported in Part 2 report .

### 1.3 Nomenclature for Part 0 Report

Technology	Term	Description
2G/3G/4G/5G Sub-6 NR	$P_{limit}$	Power level that corresponds to the exposure design target ( $SAR_{design\_target}$ ) after accounting for all device design related uncertainties
	$P_{max}$	Maximum tune up output power
	$SAR_{design\_target}$	Target SAR level < FCC SAR limit after accounting for all device design related uncertainties
	$SAR_{Char}$	Table containing $P_{limit}$ for all technologies and bands

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## 2.1 SAR Definition

Specific Absorption Rate is defined as the time derivative (rate) of the incremental energy (dU) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dV) of a given density ( $\rho$ ). It is also defined as the rate of RF energy absorption per unit mass at a point in an absorbing body (see Equation 2-1).

**Equation 2-1**  
**SAR Mathematical Equation**

$$SAR = \frac{d}{dt} \left( \frac{dU}{dm} \right) = \frac{d}{dt} \left( \frac{dU}{\rho dv} \right)$$

SAR is expressed in units of Watts per Kilogram (W/kg).

$$SAR = \frac{\sigma \cdot E^2}{\rho}$$

where:

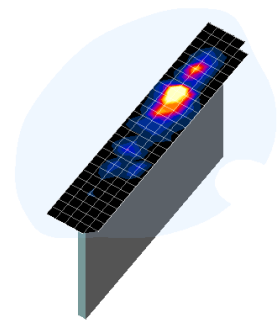
$\sigma$	=	conductivity of the tissue-simulating material (S/m)
$\rho$	=	mass density of the tissue-simulating material (kg/m <sup>3</sup> )
E	=	Total RMS electric field strength (V/m)

NOTE: The primary factors that control rate of energy absorption were found to be the wavelength of the incident field in relation to the dimensions and geometry of the irradiated organism, the orientation of the organism in relation to the polarity of field vectors, the presence of reflecting surfaces, and whether conductive contact is made by the organism with a ground plane.[6]




## 2.2 SAR Measurement Procedure

The evaluation was performed using the following procedure compliant to FCC KDB Publication 865664 D01v01r04 and IEEE 1528-2013:

1. The SAR distribution at the exposed side of the head or body was measured at a distance no greater than 5.0 mm from the inner surface of the shell. The area covered the entire dimension of the device-head and body interface and the horizontal grid resolution was determined per FCC KDB Publication 865664 D01v01r04 (See Table 2-1) and IEEE 1528-2013.
2. Table 2-1) and IEEE 1528-2013.
3. The point SAR measurement was taken at the maximum SAR region determined from Step 1 to enable the monitoring of SAR fluctuations/drifts during the 1g/10g cube evaluation. SAR at this fixed point was measured and used as a reference value.



**Figure 2-1**  
**Sample SAR Area Scan**




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4. Based on the area scan data, the peak of the region with maximum SAR was determined by spline interpolation. Around this point, a volume was assessed according to the measurement resolution and volume size requirements of FCC KDB Publication 865664 D01v01r04 (See
5. Table 2-1) and IEEE 1528-2013. On the basis of this data set, the spatial peak SAR value was evaluated with the following procedure (see references or the DASY manual online for more details):
  - a. SAR values at the inner surface of the phantom are extrapolated from the measured values along the line away from the surface with spacing no greater than that in
  - b. Table 2-1. The extrapolation was based on a least-squares algorithm. A polynomial of the fourth order was calculated through the points in the z-axis (normal to the phantom shell).
  - c. After the maximum interpolated values were calculated between the points in the cube, the SAR was averaged over the spatial volume (1g or 10g) using a 3D-Spline interpolation algorithm. The 3D-spline is composed of three one-dimensional splines with the “Not a knot” condition (in x, y, and z directions). The volume was then integrated with the trapezoidal algorithm. One thousand points (10 x 10 x 10) were obtained through interpolation, in order to calculate the averaged SAR.
  - d. All neighboring volumes were evaluated until no neighboring volume with a higher average value was found.
6. The SAR reference value, at the same location as step 2, was re-measured after the zoom scan was complete to calculate the SAR drift. If the drift deviated by more than 5%, the SAR test and drift measurements were repeated.

**Table 2-1**  
**Area and Zoom Scan Resolutions per FCC KDB Publication 865664 D01v01r04\***

Frequency	Maximum Area Scan Resolution (mm) ( $\Delta x_{area}, \Delta y_{area}$ )	Maximum Zoom Scan Resolution (mm) ( $\Delta x_{zoom}, \Delta y_{zoom}$ )	Maximum Zoom Scan Spatial Resolution (mm)			Minimum Zoom Scan Volume (mm) (x,y,z)
			Uniform Grid	Graded Grid		
				$\Delta z_{zoom}(n)$	$\Delta z_{zoom}(1)^*$	
≤ 2 GHz	≤ 15	≤ 8	≤ 5	≤ 4	≤ 1.5* $\Delta z_{zoom}(n-1)$	≥ 30
2-3 GHz	≤ 12	≤ 5	≤ 5	≤ 4	≤ 1.5* $\Delta z_{zoom}(n-1)$	≥ 30
3-4 GHz	≤ 12	≤ 5	≤ 4	≤ 3	≤ 1.5* $\Delta z_{zoom}(n-1)$	≥ 28
4-5 GHz	≤ 10	≤ 4	≤ 3	≤ 2.5	≤ 1.5* $\Delta z_{zoom}(n-1)$	≥ 25
5-6 GHz	≤ 10	≤ 4	≤ 2	≤ 2	≤ 1.5* $\Delta z_{zoom}(n-1)$	≥ 22

\*Also compliant to IEEE 1528-2013 Table 6

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### 3 SAR CHARACTERIZATION

#### 3.1 DSI and SAR Determination

This device uses different Device State Index (DSI) to configure different time averaged power levels based on certain exposure scenarios. Depending on the detection scheme implemented in the smartphone, the worst-case SAR was determined by measurements for the relevant exposure conditions for that DSI. Detailed descriptions of the detection mechanisms are included in the operational description.

When 1g SAR and 10g SAR exposure comparison is needed, the worst-case was determined from SAR normalized to 1g or 10g SAR limit.

The device state index (DSI) conditions used in Table 3-1 represent different exposure scenarios.

**Table 3-1  
DSI and Corresponding Exposure Scenarios**




Scenario	Description	SAR Test Cases
Head (DSI = 2)	<ul style="list-style-type: none"> <li>Device positioned next to head</li> <li>Receiver Active</li> </ul>	Head SAR per KDB Publication 648474 D04
Hotspot mode (DSI = 3)	<ul style="list-style-type: none"> <li>Device transmits in hotspot mode near body</li> <li>Hotspot Mode Active</li> </ul>	Hotspot SAR per KDB Publication 941225 D06
Phablet Grip (DSI=1 or 4)	<ul style="list-style-type: none"> <li>Device is held with hand and grip sensor is triggered</li> <li>Grip sensor triggered or earjack is active</li> </ul>	Phablet SAR per KDB Publication 648474 D04 & KDB Publication 616217 D04
Phablet (DSI = 0)	<ul style="list-style-type: none"> <li>Device is held with hand and grip sensor is not triggered</li> <li>Distance grip sensor not triggered</li> </ul>	Phablet SAR per KDB Publication 648474 D04 & KDB Publication 616217 D04
Body-worn (DSI = 0)	<ul style="list-style-type: none"> <li>Device being used with a body-worn accessory</li> </ul>	Body-worn SAR per KDB Publication 648474 D04

#### 3.2 SAR Design Target

SAR\_design\_target is determined by ensuring that it is less than FCC SAR limit after accounting for total device designed related uncertainties specified by the manufacturer (see Table 3-2).

**Table 3-2  
SAR\_design\_target Calculations**

SAR_design_target			
$SAR\_design\_target < SAR\_regulatory\_limit \times 10^{\frac{-Total\ Uncertainty}{10}}$			
1g SAR (W/kg)		10g SAR (W/kg)	
Total Uncertainty	1.0 dB	Total Uncertainty	1.0 dB
SAR_regulatory_limit	1.6 W/kg	SAR_regulatory_limit	4.0 W/kg
SAR_design_target	1.0 W/kg	SAR_design_target	2.5 W/kg

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### 3.3 SAR Char

SAR test results corresponding to  $P_{max}$  for each antenna/technology/band/DSI can be found in Appendix A. For this permissive change, only bands/modes relevant to the change in the device were newly evaluated in this test report.

$P_{limit}$  is calculated by linearly scaling with the measured SAR at the  $P_{part0}$  to correspond to the  $SAR_{design\_target}$ . When  $P_{limit} < P_{max}$ ,  $P_{part0}$  was used as  $P_{limit}$  in the Smart Transmit EFS. When  $P_{limit} > P_{max}$  and  $P_{part0}=P_{max}$ , calculated  $P_{limit}$  was used in the Smart Transmit EFS. All reported SAR obtained from the  $P_{part0}$  SAR tests was less than  $SAR_{Design\_target} + 1$  dB Uncertainty. The final  $P_{limit}$  determination for each exposure scenario corresponding to  $SAR_{design\_target}$  are shown in Table 3-3.




**Table 3-3  
PLimit Determination**

Device State Index (DSI)	PLimit Determination Scenarios
0	The worst-case SAR exposure is determined as maximum SAR normalized to the limit among: 1. Body Worn SAR 2. Extremity SAR measured at 5, 4 and 10 mm spacing for back, front, bottom respectively 3. Extremity SAR measured at 0 mm for left and right surfaces
1 or 4	$P_{limit}$ is calculated based on 10g Extremity SAR at 0 mm for back, front, and bottom surfaces
2	$P_{limit}$ is calculated based on 1g Head SAR
3	$P_{limit}$ is calculated based on 1g Hotspot SAR at 10 mm

**Note:**

For DSI = 0,  $P_{limit}$  is calculated by:

$$P_{limit} = \min\{ P_{limit} \text{ corresponding to 1g Body Worn SAR evaluation at 15 mm spacing, } P_{limit} \text{ corresponding to 10g Extremity SAR evaluation at 4~10 mm spacing, } P_{limit} \text{ corresponding to 10g Extremity SAR evaluation at 0 mm for left and right surfaces} \}$$

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**Table 3-4  
SAR Characterizations**

Exposure Scenario:	Body-Worn	Phablet	Phablet	Head	Hotspot	Earjack	Maximum Tune-up Output Power*
Averaging Volume:	1g	10g	10g	1g	1g	10g	
Spacing:	15 mm	5, 4, 10 mm	0 mm	0 mm	10 mm	0 mm	
DSI:	0	0	1	2	3	4	
Technology/Band	Plimit corresponding to 1mW/g (SAR_design_target)						Pmax
CDMA/EVDO BC10	30.1		26.6	30.9	26.6	26.6	24.8
CDMA/EVDO BC0	29.7		26.5	31.7	26.4	26.5	24.5
CDMA/EVDO BC1	25.6		20.0	31.8	18.0	20.0	23.5
GSM/GPRS/EDGE 850 MHz	28.8		29.1	32.2	26.8	29.1	25.3
GSM/GPRS/EDGE 1900 MHz	25.6		20.1	31.9	18.1	20.1	22.3
UMTS B5	29.5		26.5	32.2	26.5	26.5	24.8
UMTS B4	24.8		20.5	31.6	18.0	20.5	23.5
UMTS B2	25.6		20.5	31.9	18.0	20.5	23.5
LTE FDD B71	32.1		26.9	35.5	26.9	26.9	24.8
LTE FDD B12	31.2		26.9	33.8	26.9	26.9	24.8
LTE FDD B13	30.9		27.5	33.6	27.5	27.5	24.8
LTE FDD B14	30.7		27.3	32.8	27.3	27.3	24.8
LTE FDD B26	29.8		26.9	32.2	26.6	26.9	24.8
LTE FDD B5	29.8		26.9	32.6	26.9	26.9	24.8
LTE FDD B66/4	24.9		19.5	30.6	19.0	19.5	23.5
LTE FDD B25/2	26.2		19.5	32.4	17.5	19.5	23.5
LTE FDD B30	26.8		19.0	37.0	19.0	19.0	23.0
LTE FDD B7	27.3		19.0	36.2	19.0	19.0	23.5
LTE TDD B48	20.0		20.0	15.0	20.0	20.0	21.5
LTE TDD B38/41 PC3	27.6		20.0	34.7	19.0	20.0	22.0
LTE TDD B41 PC2	27.6		20.0	34.7	19.0	20.0	23.4
NR FDD n71	32.1		27.9	36.1	27.9	27.9	24.8
NR FDD n12	30.6		28.6	34.5	28.6	28.6	24.8
NR FDD n5	30.6		27.3	33.4	26.3	27.3	24.8
NR FDD n66 Ant A	25.1		19.5	31.0	19.0	19.5	24.0
NR FDD n66 Ant I	22.0		22.0	17.0	19.0	22.0	23.5
NR FDD n25/2 Ant A	26.7		19.5	33.2	17.5	19.5	24.3
NR FDD n25/2 Ant I	24.3		23.5	17.0	19.0	23.5	23.5
NR FDD n30	26.2		19.0	38.1	19.0	19.0	23.0
NR TDD n41 Ant B	18.0		14.0	18.0	13.0	14.0	24.0
NR TDD n41 Ant I PC3	20.0		20.0	16.0	18.0	20.0	24.3
NR TDD n41 Ant I PC2	20.0		20.0	16.0	18.0	20.0	26.3
NR TDD n77 PC3 Ant G	19.0		19.0	14.0	17.5	19.0	23.5
NR TDD n77 PC2 Ant G	19.0		19.0	14.0	17.5	19.0	25.5
NR TDD n77 PC3 Ant H	18.5		18.5	13.5	17.0	18.5	23.0
NR TDD n77 PC2 Ant H	18.5		18.5	13.5	17.0	18.5	25.0
NR TDD n77 PC3 Ant B	16.0		16.0	11.0	14.5	16.0	20.5
NR TDD n77 PC2 Ant B	16.0		16.0	11.0	14.5	16.0	22.5
NR TDD n77 PC3 Ant D	15.5		15.5	10.5	14.0	15.5	20.0
NR TDD n77 PC2 Ant D	15.5		15.5	10.5	14.0	15.5	22.0

**Notes:**

- For all modes/bands, when Hotspot Mode (DSI=3) and Extremity sensor (DSI=1) are triggered at the same time, DSI=3 takes priority, thus the  $P_{limit}$  for DSI=3 is set to be less or equal to  $P_{limit}$  for DSI=1.
- When  $P_{max} < P_{limit}$ , the DUT will operate at a power level up to  $P_{max}$ .
- $P_{limit}$  for DSI=1 and DSI =4 are the same.
- For LTE Band 48, NR Band n77, and NR Band n66, n25, n2, and n41 Ant I, when RCV is active, DSI=2 takes priority over all levels.
- Extremity SAR for NR TDD n77 Ant B was not included for SAR Characterization at DSI = 0/1/4 because its 1-g SAR was extremely low. It was determined NR TDD n77 Ant B 10-g SAR will not exceed SAR\_design\_target + 1dB Uncertainty.
- Extremity SAR for NR FDD n66 Ant I was not included for SAR Characterization for DSI=0/1/4 because Plimit reduction would not affect extremity SAR compliance certified through original certification.

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# 4




# EQUIPMENT LIST

## For SAR measurements

Manufacturer	Model	Description	Cal Date	Cal Interval	Cal Due	Serial Number
Agilent	85033E	3.5mm Standard Calibration Kit	6/6/2020	Annual	6/6/2021	MY53402352
Agilent	8594A	(9kHz-2.9GHz) Spectrum Analyzer	N/A	N/A	N/A	3051A00187
Agilent	8753ES	Network Analyzer	3/5/2020	Annual	3/5/2021	MY40001472
Agilent	8753ES	S-Parameter Network Analyzer	1/16/2020	Annual	1/16/2021	US39170118
Agilent	E4438C	ESG Vector Signal Generator	3/8/2019	Biennial	3/8/2021	MY42082385
Agilent	E5515C	Wireless Communications Test Set	2/26/2020	Annual	2/26/2021	GB44400860
Agilent	N5182A	MXG Vector Signal Generator	2/19/2020	Annual	2/19/2021	MY47420651
Agilent	N9020A	MXA Signal Analyzer	3/26/2020	Annual	3/26/2021	MY56470202
Amplifier Research	15S1G6	Amplifier	CBT	N/A	CBT	433972
Anritsu	MA24106A	USB Power Sensor	9/15/2020	Annual	9/15/2021	1244515
Anritsu	MA2411B	Pulse Power Sensor	9/22/2020	Annual	9/22/2021	1315051
Anritsu	ML2495A	Power Meter	11/3/2020	Annual	11/3/2021	1039008
Anritsu	ML2495A	Power Meter	1/15/2020	Annual	1/15/2021	1328004
Control Company	4040	Therm./ Clock/ Humidity Monitor	2/17/2020	Biennial	2/17/2022	200113269
Control Company	4352	Long Stem Thermometer	6/26/2019	Biennial	6/26/2021	192282744
Keysight	772D	Dual Directional Coupler	CBT	N/A	CBT	MY52180215
KEYSIGHT	E4438C	VECTOR SIGNAL GENERATOR	6/22/2020	Annual	6/22/2021	MY45092078
Keysight Technologies	AT/N6705B	DC Power Supply	N/A	N/A	N/A	MY53001315
Keysight Technologies	N6705B	DC Power Analyzer	4/27/2019	Biennial	4/27/2021	MY53004059
Keysight Technologies	U3401A	Digital Multimeter	5/14/2020	Biennial	5/14/2022	MY57201470
Insize	1108-150	Digital Caliper	1/17/2020	Biennial	1/17/2022	409193536
MCL	BW-N6W5+	6dB Attenuator	CBT	N/A	CBT	1139
MiniCircuits	SLP-2400+	Low Pass Filter	CBT	N/A	CBT	R8979500903
MiniCircuits	VLF-6000+	Low Pass Filter	CBT	N/A	CBT	N/A
Mini-Circuits	BW-N20W5	Power Attenuator	CBT	N/A	CBT	1226
Mini-Circuits	BW-N20W5+	DC to 18 GHz Precision Fixed 20 dB Attenuator	CBT	N/A	CBT	N/A
Mini-Circuits	NLP-2950+	Low Pass Filter DC to 2700 MHz	CBT	N/A	CBT	N/A
Narda	4772-3	Attenuator (3dB)	CBT	N/A	CBT	9406
Narda	BW-S3W2	Attenuator (3dB)	CBT	N/A	CBT	120
Pasternack	NC-100	Torque Wrench	8/4/2020	Biennial	8/4/2022	N/A
Pasternack	PE2208-6	Bidirectional Coupler	CBT	N/A	CBT	N/A
Rohde & Schwarz	ZNLE6	Vector Network Analyzer	9/29/2020	Annual	9/29/2021	101307
SPEAG	DAK-3.5	Dielectric Assessment Kit	5/12/2020	Annual	5/12/2021	1070
SPEAG	D1765V2	1765 MHz SAR Dipole	5/23/2018	Triennial	5/23/2021	1008
SPEAG	D3700V2	3700 MHz SAR Dipole	1/21/2020	Annual	1/21/2021	1067
SPEAG	D3900V2	SAR Dipole	10/9/2020	Annual	10/9/2021	1056
SPEAG	DAE4	Dasy Data Acquisition Electronics	5/20/2020	Annual	5/20/2021	728
SPEAG	DAE4	Dasy Data Acquisition Electronics	10/16/2020	Annual	10/16/2021	1333
SPEAG	DAE4	Dasy Data Acquisition Electronics	4/15/2020	Annual	4/15/2021	1407
SPEAG	EX3DV4	SAR Probe	4/21/2020	Annual	4/21/2021	7357
SPEAG	EX3DV4	SAR Probe	10/20/2020	Annual	10/20/2021	7539
SPEAG	EX3DV4	SAR Probe	10/20/2020	Annual	10/20/2021	7551

Note:



1. CBT (Calibrated Before Testing). Prior to testing, the measurement paths containing a cable, amplifier, attenuator, coupler or filter were connected to a calibrated source (i.e. a signal generator) to determine the losses of the measurement path. The power meter offset was then adjusted to compensate for the measurement system losses. This level offset is stored within the power meter before measurements are made. This calibration verification procedure applies to the system verification and output power measurements. The calibrated reading is then taken directly from the power meter after compensation of the losses for all final power measurements.
2. Each equipment item was used solely within its respective calibration period.

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## 5 MEASUREMENT UNCERTAINTIES

### For SAR Measurements

a	c	d	e= f(d,k)	f	g	h = c x f/e	i = c x g/e	k
Uncertainty Component	Tol. (± %)	Prob. Dist.	Div.	c <sub>i</sub> 1gm	c <sub>i</sub> 10 gms	1gm u <sub>i</sub> (± %)	10gms u <sub>i</sub> (± %)	v <sub>i</sub>
<b>Measurement System</b>								
Probe Calibration	6.55	N	1	1.0	1.0	6.6	6.6	∞
Axial Isotropy	0.25	N	1	0.7	0.7	0.2	0.2	∞
Hemishperical Isotropy	1.3	N	1	0.7	0.7	0.9	0.9	∞
Boundary Effect	2.0	R	1.73	1.0	1.0	1.2	1.2	∞
Linearity	0.3	N	1	1.0	1.0	0.3	0.3	∞
System Detection Limits	0.25	R	1.73	1.0	1.0	0.1	0.1	∞
Readout Electronics	0.3	N	1	1.0	1.0	0.3	0.3	∞
Response Time	0.8	R	1.73	1.0	1.0	0.5	0.5	∞
Integration Time	2.6	R	1.73	1.0	1.0	1.5	1.5	∞
RF Ambient Conditions - Noise	3.0	R	1.73	1.0	1.0	1.7	1.7	∞
RF Ambient Conditions - Reflections	3.0	R	1.73	1.0	1.0	1.7	1.7	∞
Probe Positioner Mechanical Tolerance	0.4	R	1.73	1.0	1.0	0.2	0.2	∞
Probe Positioning w/ respect to Phantom	6.7	R	1.73	1.0	1.0	3.9	3.9	∞
Extrapolation, Interpolation & Integration algorithms for Max. SAR Evaluation	4.0	R	1.73	1.0	1.0	2.3	2.3	∞
<b>Test Sample Related</b>								
Test Sample Positioning	2.7	N	1	1.0	1.0	2.7	2.7	35
Device Holder Uncertainty	1.67	N	1	1.0	1.0	1.7	1.7	5
Output Power Variation - SAR drift measurement	5.0	R	1.73	1.0	1.0	2.9	2.9	∞
SAR Scaling	0.0	R	1.73	1.0	1.0	0.0	0.0	∞
<b>Phantom &amp; Tissue Parameters</b>								
Phantom Uncertainty (Shape & Thickness tolerances)	7.6	R	1.73	1.0	1.0	4.4	4.4	∞
Liquid Conductivity - measurement uncertainty	4.2	N	1	0.78	0.71	3.3	3.0	10
Liquid Permittivity - measurement uncertainty	4.1	N	1	0.23	0.26	1.0	1.1	10
Liquid Conductivity - Temperature Uncertainty	3.4	R	1.73	0.78	0.71	1.5	1.4	∞
Liquid Permittivity - Temperature Uncertainty	0.6	R	1.73	0.23	0.26	0.1	0.1	∞
Liquid Conductivity - deviation from target values	5.0	R	1.73	0.64	0.43	1.8	1.2	∞
Liquid Permittivity - deviation from target values	5.0	R	1.73	0.60	0.49	1.7	1.4	∞
<b>Combined Standard Uncertainty (k=1)</b>	RSS					11.5	11.3	60
<b>Expanded Uncertainty (95% CONFIDENCE LEVEL)</b>	k=2					23.0	22.6	

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# APPENDIX A: SAR TEST RESULTS FOR $P_{LIMIT}$ CALCULATIONS




**Table A-1**  
**DSI = 2  $P_{Limit}$  Calculations – NR Band n77 Antenna B Head SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Side	Test Position	Modulation	RB Size	RB Offset	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.	(W/kg)											[dBm]	[dBm]	
3930.00	662000	High	NR Band n77	100	11.17	0	Right	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.000	61.17	60.52
3930.00	662000	High	NR Band n77	100	11.04	0	Right	Cheek	DFT-s-OFDM QPSK	135	138	1:1	0.000	61.04	
3930.00	662000	High	NR Band n77	100	11.17	0	Right	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.000	61.17	
3930.00	662000	High	NR Band n77	100	11.04	0	Right	Tilt	DFT-s-OFDM QPSK	135	138	1:1	0.000	61.04	
3930.00	662000	High	NR Band n77	100	11.17	0	Left	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.000	61.17	
3930.00	662000	High	NR Band n77	100	11.04	0	Left	Cheek	DFT-s-OFDM QPSK	135	138	1:1	0.000	61.04	
3930.00	662000	High	NR Band n77	100	11.17	0	Left	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.000	61.17	
3930.00	662000	High	NR Band n77	100	11.04	0	Left	Tilt	DFT-s-OFDM QPSK	135	138	1:1	0.000	61.04	
3750.00	650000	Low	NR Band n77	100	10.52	0	Left	Cheek	CP-OFDM QPSK	1	1	1:1	0.000	60.52	

**Table A-2**  
**DSI = 2  $P_{Limit}$  Calculations – NR Band n77 Antenna H Head SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Side	Test Position	Modulation	RB Size	RB Offset	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.	(W/kg)											[dBm]	[dBm]	
3930.00	662000	High	NR Band n77	100	13.79	0	Right	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.004	37.77	34.25
3930.00	662000	High	NR Band n77	100	13.63	0	Right	Cheek	DFT-s-OFDM QPSK	135	69	1:1	0.003	38.86	
3930.00	662000	High	NR Band n77	100	13.79	0	Right	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.007	35.34	
3930.00	662000	High	NR Band n77	100	13.63	0	Right	Tilt	DFT-s-OFDM QPSK	135	69	1:1	0.007	35.18	
3930.00	662000	High	NR Band n77	100	13.79	0	Left	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.002	40.78	
3930.00	662000	High	NR Band n77	100	13.63	0	Left	Cheek	DFT-s-OFDM QPSK	135	69	1:1	0.003	38.86	
3930.00	662000	High	NR Band n77	100	13.79	0	Left	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.009	34.25	
3930.00	662000	High	NR Band n77	100	13.63	0	Left	Tilt	DFT-s-OFDM QPSK	135	69	1:1	0.006	35.85	
3930.00	662000	High	NR Band n77	100	13.41	0	Left	Tilt	CP-OFDM QPSK	1	1	1:1	0.006	35.63	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

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**Table A-3**  
**DSI = 2  $P_{Limit}$  Calculations – NR Band n77 Antenna D Head SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Side	Test Position	Modulation	RB Size	RB Offset	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	10.85	0	Right	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.000	60.85	40.85
3930.00	662000	High	NR Band n77	100	10.86	0	Right	Cheek	DFT-s-OFDM QPSK	135	69	1:1	0.000	60.86	
3930.00	662000	High	NR Band n77	100	10.85	0	Right	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.000	60.85	
3930.00	662000	High	NR Band n77	100	10.86	0	Right	Tilt	DFT-s-OFDM QPSK	135	69	1:1	0.000	60.86	
3930.00	662000	High	NR Band n77	100	10.85	0	Left	Cheek	DFT-s-OFDM QPSK	1	137	1:1	0.000	60.85	
3930.00	662000	High	NR Band n77	100	10.86	0	Left	Cheek	DFT-s-OFDM QPSK	135	69	1:1	0.000	60.86	
3930.00	662000	High	NR Band n77	100	10.85	0	Left	Tilt	DFT-s-OFDM QPSK	1	137	1:1	0.001	40.85	
3930.00	662000	High	NR Band n77	100	10.86	0	Left	Tilt	DFT-s-OFDM QPSK	135	69	1:1	0.001	40.86	
3750.00	650000	Low	NR Band n77	100	10.41	0	Left	Tilt	CP-OFDM QPSK	1	1	1:1	0.000	60.41	

**Table A-4**  
**DSI = 0  $P_{Limit}$  Calculations – NR Band n66 Ant I Body-Worn SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
1745.00	349000	Mid	NR Band n66	40	22.55	0	DFT-s-OFDM QPSK	1	108	15	Back	1:1	0.248	28.61	28.16
1745.00	349000	Mid	NR Band n66	40	22.51	0	DFT-s-OFDM QPSK	108	108	15	Back	1:1	0.272	28.16	
1745.00	349000	Mid	NR Band n66	40	22.35	0	CP-OFDM QPSK	1	1	15	Back	1:1	0.251	28.35	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

**Table A-5**  
**DSI = 0  $P_{Limit}$  Calculations – NR Band n77 Antenna B Body-Worn SAR**




MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	16.28	0	DFT-s-OFDM QPSK	1	137	15	Back	1:1	0.026	32.13	31.96
3930.00	662000	High	NR Band n77	100	16.11	0	DFT-s-OFDM QPSK	135	69	15	Back	1:1	0.026	31.96	
3930.00	662000	High	NR Band n77	100	14.36	1.5	CP-OFDM QPSK	1	1	15	Back	1:1	0.017	32.06	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

**Table A-6**  
**DSI = 0  $P_{Limit}$  Calculations – NR Band n77 Antenna H Body-Worn SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	19.18	0	DFT-s-OFDM QPSK	1	137	15	Back	1:1	0.201	26.15	25.69
3930.00	662000	High	NR Band n77	100	19.05	0	DFT-s-OFDM QPSK	135	69	15	Back	1:1	0.200	26.04	
3930.00	662000	High	NR Band n77	100	17.15	1.5	CP-OFDM QPSK	1	1	15	Back	1:1	0.140	25.69	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

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**Table A-7**  
**DSI = 0  $P_{Limit}$  Calculations – NR Band n77 Antenna D Body-Worn SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	16.15	0	DFT-s-OFDM QPSK	1	137	15	Back	1:1	0.184	23.50	23.26
3930.00	662000	High	NR Band n77	100	15.99	0	DFT-s-OFDM QPSK	135	69	15	Back	1:1	0.179	23.46	
3930.00	662000	High	NR Band n77	100	14.12	1.5	CP-OFDM QPSK	1	1	15	Back	1:1	0.122	23.26	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

**Table A-8**  
**DSI = 3  $P_{Limit}$  Calculations – NR Band n77 Antenna B Hotspot SAR**




MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	14.78	0	DFT-s-OFDM QPSK	1	137	10	Back	1:1	0.042	28.55	27.84
3930.00	662000	High	NR Band n77	100	14.72	0	DFT-s-OFDM QPSK	135	69	10	Back	1:1	0.041	28.59	
3930.00	662000	High	NR Band n77	100	14.78	0	DFT-s-OFDM QPSK	1	137	10	Front	1:1	0.029	30.16	
3930.00	662000	High	NR Band n77	100	14.72	0	DFT-s-OFDM QPSK	135	69	10	Front	1:1	0.029	30.10	
3930.00	662000	High	NR Band n77	100	14.78	0	DFT-s-OFDM QPSK	1	137	10	Bottom	1:1	0.031	29.87	
3930.00	662000	High	NR Band n77	100	14.72	0	DFT-s-OFDM QPSK	135	69	10	Bottom	1:1	0.030	29.95	
3930.00	662000	High	NR Band n77	100	14.78	0	DFT-s-OFDM QPSK	1	137	10	Left	1:1	0.013	33.64	
3930.00	662000	High	NR Band n77	100	14.72	0	DFT-s-OFDM QPSK	135	69	10	Left	1:1	0.013	33.58	
3750.00	650000	Low	NR Band n77	100	14.27	0	CP-OFDM QPSK	1	1	10	Back	1:1	0.044	27.84	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

**Table A-9**  
**DSI = 3  $P_{Limit}$  Calculations – NR Band n77 Antenna H Hotspot SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	17.61	0	DFT-s-OFDM QPSK	1	137	10	Back	1:1	0.245	23.72	23.32
3930.00	662000	High	NR Band n77	100	17.51	0	DFT-s-OFDM QPSK	135	69	10	Back	1:1	0.239	23.73	
3930.00	662000	High	NR Band n77	100	17.61	0	DFT-s-OFDM QPSK	1	137	10	Front	1:1	0.003	42.84	
3930.00	662000	High	NR Band n77	100	17.51	0	DFT-s-OFDM QPSK	135	69	10	Front	1:1	0.003	42.74	
3930.00	662000	High	NR Band n77	100	17.61	0	DFT-s-OFDM QPSK	1	137	10	Top	1:1	0.035	32.17	
3930.00	662000	High	NR Band n77	100	17.51	0	DFT-s-OFDM QPSK	135	69	10	Top	1:1	0.033	32.32	
3930.00	662000	High	NR Band n77	100	17.61	0	DFT-s-OFDM QPSK	1	137	10	Left	1:1	0.011	37.20	
3930.00	662000	High	NR Band n77	100	17.51	0	DFT-s-OFDM QPSK	135	69	10	Left	1:1	0.012	36.72	
3930.00	662000	High	NR Band n77	100	17.25	0	CP-OFDM QPSK	1	1	10	Back	1:1	0.247	23.32	




For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

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**Table A-10**  
**DSI = 3  $P_{Limit}$  Calculations – NR Band n77 Antenna D Hotspot SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (1g)	PLimit	Minimum PLimit
MHz	Ch.												(W/kg)	[dBm]	[dBm]
3930.00	662000	High	NR Band n77	100	14.63	0	DFT-s-OFDM QPSK	1	137	10	Back	1:1	0.223	21.15	20.74
3930.00	662000	High	NR Band n77	100	14.64	0	DFT-s-OFDM QPSK	135	69	10	Back	1:1	0.220	21.22	
3930.00	662000	High	NR Band n77	100	14.63	0	DFT-s-OFDM QPSK	1	137	10	Front	1:1	0.005	37.64	
3930.00	662000	High	NR Band n77	100	14.64	0	DFT-s-OFDM QPSK	135	69	10	Front	1:1	0.004	38.62	
3930.00	662000	High	NR Band n77	100	14.63	0	DFT-s-OFDM QPSK	1	137	10	Bottom	1:1	0.049	27.73	
3930.00	662000	High	NR Band n77	100	14.64	0	DFT-s-OFDM QPSK	135	69	10	Bottom	1:1	0.049	27.74	
3930.00	662000	High	NR Band n77	100	14.63	0	DFT-s-OFDM QPSK	1	137	10	Left	1:1	0.007	36.18	
3930.00	662000	High	NR Band n77	100	14.64	0	DFT-s-OFDM QPSK	135	69	10	Left	1:1	0.007	36.19	
3930.00	662000	High	NR Band n77	100	14.26	0	CP-OFDM QPSK	1	1	10	Back	1:1	0.225	20.74	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

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**Table A-11**  
**DSI = 0/1  $P_{Limit}$  Calculations – NR Band n77 Antenna H Phablet SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (10g)	PLimit	Minimum PLimit
MHz	Ch.	(W/kg)											[dBm]	[dBm]	
3750.00	650000	Low	NR Band n77	100	18.35	0	DFT-s-OFDM QPSK	1	271	0	Back	1:1	1.190	21.57	21.42
3930.00	662000	High	NR Band n77	100	19.18	0	DFT-s-OFDM QPSK	1	137	0	Back	1:1	1.270	22.12	
3750.00	650000	Low	NR Band n77	100	18.20	0	DFT-s-OFDM QPSK	135	69	0	Back	1:1	1.190	21.42	
3930.00	662000	High	NR Band n77	100	19.05	0	DFT-s-OFDM QPSK	135	69	0	Back	1:1	1.250	22.06	
3930.00	662000	High	NR Band n77	100	17.74	1	DFT-s-OFDM QPSK	270	0	0	Back	1:1	0.967	21.87	
3930.00	662000	High	NR Band n77	100	17.15	1.5	CP-OFDM QPSK	1	1	0	Back	1:1	0.893	21.62	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.




Note: Only extremity SAR for the worst case 1-g SAR test position is included because 1-g SAR from other edges are significantly lower. Based on the low 1-g SAR of other required edges, their extremity SAR will not exceed SAR\_design\_target + 1dB Uncertainty. It was determined that the worst-case 10-g SAR is sufficient to represent SAR characterization for DSI= 0 and 1.

**Table A-12**  
**DSI = 0/1  $P_{Limit}$  Calculations – NR Band n77 Antenna D Phablet SAR**

MEASUREMENT RESULTS															
FREQUENCY			Mode	Bandwidth [MHz]	Conducted Power [dBm]	MPR [dB]	Modulation	RB Size	RB Offset	Spacing (mm)	Side	Duty Cycle	SAR (10g)	PLimit	Minimum PLimit
MHz	Ch.	(W/kg)											[dBm]	[dBm]	
3750.00	650000	Low	NR Band n77	100	15.62	0	DFT-s-OFDM QPSK	1	1	0	Back	1:1	1.130	19.07	18.26
3930.00	662000	High	NR Band n77	100	16.15	0	DFT-s-OFDM QPSK	1	137	0	Back	1:1	1.310	18.96	
3750.00	650000	Low	NR Band n77	100	15.61	0	DFT-s-OFDM QPSK	135	69	0	Back	1:1	1.240	18.66	
3930.00	662000	High	NR Band n77	100	15.99	0	DFT-s-OFDM QPSK	135	69	0	Back	1:1	1.250	19.00	
3750.00	650000	Low	NR Band n77	100	14.59	1	DFT-s-OFDM QPSK	270	0	0	Back	1:1	0.973	18.69	
3930.00	662000	High	NR Band n77	100	14.12	1.5	CP-OFDM QPSK	1	1	0	Back	1:1	0.964	18.26	

For some bands/modes, a lower  $P_{Limit}$  was selected as a more conservative evaluation.

Note: Only extremity SAR for the worst case 1-g SAR test position is included because 1-g SAR from other edges are significantly lower. Based on the low 1-g SAR of other required edges, their extremity SAR will not exceed SAR\_design\_target + 1dB Uncertainty. It was determined that the worst-case 10-g SAR is sufficient to represent SAR characterization for DSI= 0 and 1.

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Test Dates: 12/28/20-01/21/21	DUT Type: Portable Handset			APPENDIX A: Page 5 of 5