DFS PORTION of FCC 47 CFR PART 15 SUBPART E

CERTIFICATION TEST REPORT

FOR

GSM/WCDMA/LTE phone with BT, DTS/UNII a/b/g/n/ac/11ax HE 20/40/80, ANT+ and NFC

MODEL NUMBER: SM-G970N

FCC ID: A3LSMG970KOR

REPORT NUMBER: 12563993-E6V2

ISSUE DATE: JANUARY 29, 2019

Prepared for
SAMSUNG ELECTRONICS CO., LTD.
129 SAMSUNG-RO, YEONGTONG-GU,
SUWON-SI, GYEONGGI-DO, 16677, KOREA

Prepared by
UL VERIFICATION SERVICES INC.
47173 BENICIA STREET
FREMONT, CA 94538, U.S.A.
TEL: (510) 771-1000
FAX: (510) 661-0888

NVLAP LAB CODE 200065-0

Revision History

Rev.	Issue Date	Revisions	Revised By
V1	1/25/19	Initial Issue	Conan Cheung
V2	1/29/19	Updated Section 3	Henry Lau

TABLE OF CONTENTS

1. ATTESTATION OF TEST RESULTS

COMPANY NAME: SAMSUNG ELECTRONICS CO., LTD.
129 SAMSUNG-RO, YEONGTONG-GU,
SUWON-SI, GYEONGGI-DO, 16677, KOREA

EUT DESCRIPTION: GSM/WCDMA/LTE Phone with BT, DTS/UNII a/b/g/n/ac/ax, ANT+ and NFC

MODEL: SM-G970N

SERIAL NUMBER: R39KA0FD4RJ

DATE TESTED: DECEMBER 11, 2018

APPLICABLE STANDARDS	
STANDARD	**TEST RESULTS**
DFS Portion of CFR 47 Part 15 Subpart E	Complies

UL Verification Services Inc. tested the above equipment in accordance with the requirements set forth in the above standards. The test results show that the equipment tested is capable of demonstrating compliance with the requirements as documented in this report.

The results documented in this report apply only to the tested sample, under the conditions and modes of operation as described herein. It is the manufacturer's responsibility to assure that additional production units of this model are manufactured with identical electrical and mechanical components. All samples tested were in good operating condition throughout the entire test program. Measurement Uncertainties are published for informational purposes only and were not taken into account unless noted otherwise.

This document may not be altered or revised in any way unless done so by UL Verification Services Inc. and all revisions are duly noted in the revisions section. Any alteration of this document not carried out by UL Verification Services Inc. will constitute fraud and shall nullify the document. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST, any agency of the Federal Government, or any agency of the U.S. government.

Approved & Released For
UL Verification Services Inc. By:

Conan Cheung
Lead Test Engineer
CONSUMER TECHNOLOGY DIVISION
UL Verification Services Inc.

Prepared By:

Henry Lau
Test Engineer
CONSUMER TECHNOLOGY DIVISION
UL Verification Services Inc.

2. TEST METHODOLOGY

The tests documented in this report were performed in accordance with the DFS portion of FCC CFR 47 Part 2, FCC CFR 47 Part 15, FCC 06-96, FCC KDB 789033, KDB 905462 D02 and D03.

3. REFERENCE DOCUMENTS

Measurements of transmitter parameters as referenced in this report are documented in UL Verification Services report number 12563993-E5V2.

4. FACILITIES AND ACCREDITATION

The test sites and measurement facilities used to collect data are located at 47173 and 47266 Benicia Street, and 47658 Kato Road, Fremont, California, USA. Specific facilities are also identified in the test results sections.

The test sites and facilities are covered under FCC Test Firm Registration # 208313. Chambers are covered under Industry Canada company address and respective code.

UL Verification Services Inc. is accredited by NVLAP, Laboratory Code 200065-0.

5. CALIBRATION AND UNCERTAINTY

5.1. MEASURING INSTRUMENT CALIBRATION

The measuring equipment utilized to perform the tests documented in this report has been calibrated in accordance with the manufacturer's recommendations, and is traceable to recognized national standards.

5.2. MEASUREMENT UNCERTAINTY

Where relevant, the following measurement uncertainty level has been estimated for tests performed on the apparatus:

PARAMETER	UNCERTAINTY
Time	± 0.02 %

The Uncertainty figure is valid to a confidence level of 95%.

6. DYNAMIC FREQUENCY SELECTION

6.1. OVERVIEW

6.1.1. LIMITS

FCC

§15.407 (h), FCC KDB 905462 D02 “COMPLIANCE MEASUREMENT PROCEDURES FOR UNLICENSED-NATIONAL INFORMATION INFRASTRUCTURE DEVICES OPERATING IN THE 5250-5350 MHz AND 5470-5725 MHz BANDS INCORPORATING DYNAMIC FREQUENCY SELECTION” and KDB 905462 D03 “U-NII CLIENT DEVICES WITHOUT RADAR DETECTION CAPABILITY”.

Table 1: Applicability of DFS requirements prior to use of a channel

Requirement	Operational Mode		
	Master	Client (without radar detection)	Client (with radar detection)
Non-Occupancy Period	Yes	Not required	Yes
DFS Detection Threshold	Yes	Not required	Yes
Channel Availability Check Time	Yes	Not required	Not required
U-NII Detection Bandwidth	Yes	Not required	Yes

Table 2: Applicability of DFS requirements during normal operation

Requirement	Operational Mode		
	Master	Client **(without DFS)**	Client **(with DFS)**
DFS Detection Threshold	Yes	Not required	Yes
Channel Closing Transmission Time	Yes	Yes	Yes
Channel Move Time	Yes	Yes	Yes
U-NII Detection Bandwidth	Yes	Not required	Yes

Additional requirements for devices with multiple bandwidth modes	Master Device or Client with Radar DFS	Client (without DFS)
U-NII Detection Bandwidth and Statistical Performance Check	All BW modes must be tested	Not required
Channel Move Time and Channel Closing Transmission Time	Test using widest BW mode available	Test using the widest BW mode available for the link
All other tests	Any single BW mode	Not required
Note: Frequencies selected for statistical performance check (Section 7.8.4) should include several frequencies within the radar detection bandwidth and frequencies near the edge of the radar detection bandwidth. For 802.11 devices it is suggested to select frequencies in all 20 MHz channel blocks and a null frequency between the bonded 20 MHz channel blocks.		

Table 3: Interference Threshold values, Master or Client incorporating In-Service Monitoring

Maximum Transmit Power	Value (see notes)
E.I.R.P. ≥ 200 mill watt	-64 dBm
E.I.R.P. < 200 mill watt and power spectral density < 10 dBm/MHz	-62 dBm
E.I.R.P. < 200 mill watt that do not meet power spectral density requirement	-64 dBm

Note 1: This is the level at the input of the receiver assuming a 0 dBi receive antenna
Note 2: Throughout these test procedures an additional 1 dB has been added to the amplitude of the test transmission waveforms to account for variations in measurement equipment. This will ensure that the test signal is at or above the detection threshold level to trigger a DFS response.
Note 3: E.I.R.P. is based on the highest antenna gain. For MIMO devices refer to KDB publication 662911 D01.

Table 4: DFS Response requirement values

Parameter	Value
Non-occupancy period	30 minutes
Channel Availability Check Time	60 seconds
Channel Move Time	10 seconds (See Note 1)
Channel Closing Transmission Time	200 milliseconds + approx. 60 milliseconds over remaining 10 second period. (See Notes 1 and 2)
U-NII Detection Bandwidth	Minimum 100% of the U-NII 99% transmission power bandwidth. (See Note 3)

Note 1: *Channel Move Time* and the *Channel Closing Transmission Time* should be performed with Radar Type 0. The measurement timing begins at the end of the Radar Type 0 burst.
Note 2: The *Channel Closing Transmission Time* is comprised of 200 milliseconds starting at the beginning of the *Channel Move Time* plus any additional intermittent control signals required to facilitate a *Channel* move (an aggregate of 60 milliseconds) during the remainder of the 10 second period. The aggregate duration of control signals will not count quiet periods in between transmissions.
Note 3: During the *U-NII Detection Bandwidth* detection test, radar type 0 should be used. For each frequency step the minimum percentage of detection is 90 percent. Measurements are performed with no data traffic.

Table 5 – Short Pulse Radar Test Waveforms

Radar Type	Pulse Width (usec)	PRI (usec)	Pulses	Minimum Percentage of Successful Detection	Minimum Trials
0	1	1428	18	See Note 1	See Note 1
1	1	Test A: 15 unique PRI values randomly selected from the list of 23 PRI values in table 5a	Roundup: {(1/360) x (19 x 10^6 PRI_{usec})}	60%	30
		Test B: 15 unique PRI values randomly selected within the range of 518-3066 usec. With a minimum increment of 1 usec, excluding PRI values selected in Test A			
2	1-5	150-230	23-29	60%	30
3	6-10	200-500	16-18	60%	30
4	11-20	200-500	12-16	60%	30
Aggregate (Radar Types 1-4)				80%	120

Note 1: Short Pulse Radar Type 0 should be used for the *Detection Bandwidth* test, *Channel Move Time*, and *Channel Closing Time* tests.

Table 6 – Long Pulse Radar Test Signal

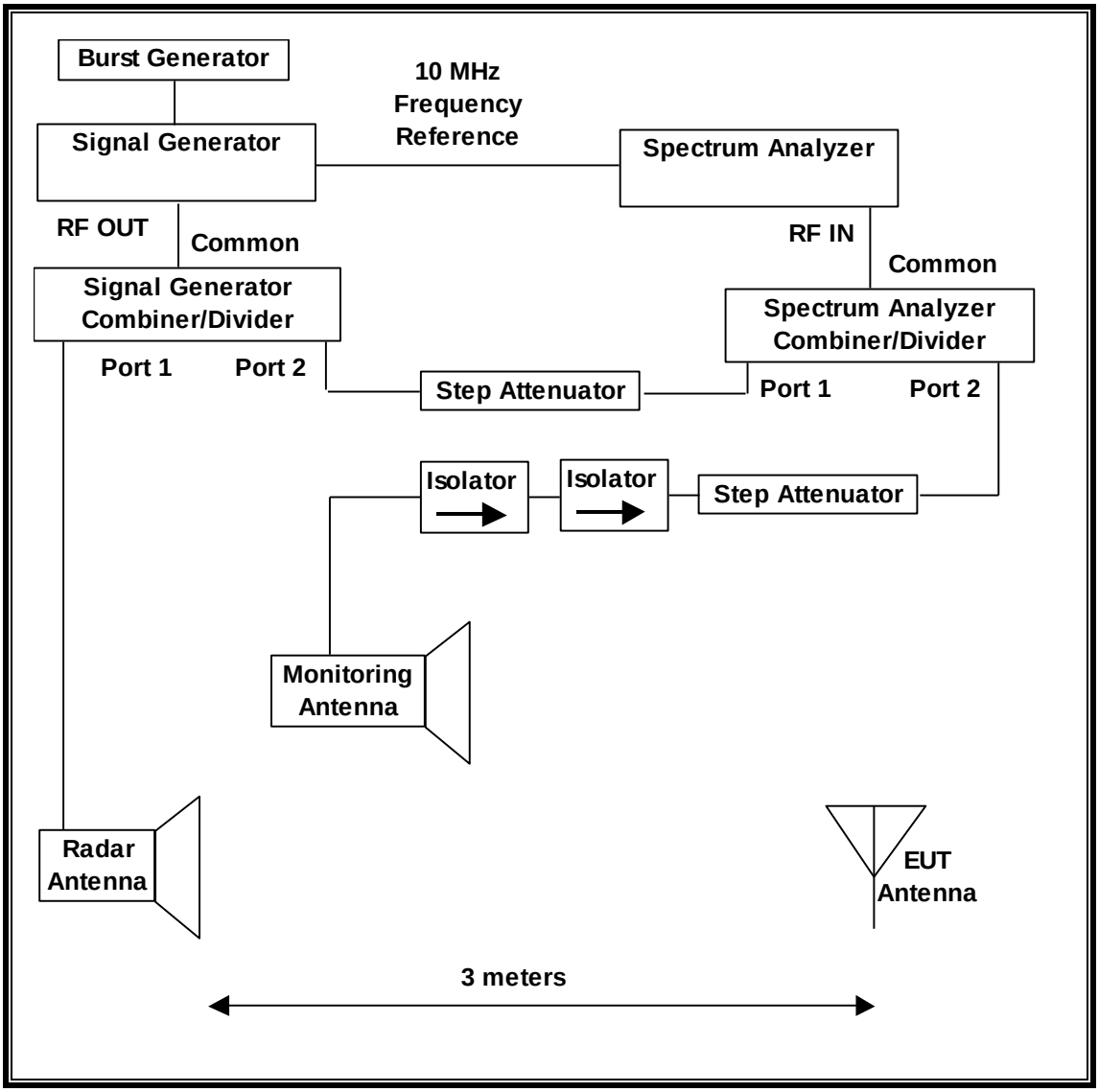
Radar Waveform Type	Pulse Width (µsec)	Chirp Width (MHz)	PRI (µsec)	Pulses per Burst	Number of Bursts	Minimum Percentage of Successful Detection	Minimum Trials
5	50-100	5-20	1000-2000	1-3	8-20	80%	30

Table 7 – Frequency Hopping Radar Test Signal

Radar Waveform Type	Pulse Width (µsec)	PRI (µsec)	Pulses per Hop	Hopping Rate (kHz)	Hopping Sequence Length (msec)	Minimum Percentage of Successful Detection	Minimum Trials
6	1	333	9	0.333	300	70%	30

6.1.2. TEST AND MEASUREMENT SYSTEM

RADIATED METHOD SYSTEM BLOCK DIAGRAM



SYSTEM OVERVIEW

The short pulse and long pulse signal generating system utilizes the NTIA software. The Vector Signal Generator has been validated by the NTIA. The hopping signal generating system utilizes the CCS simulated hopping method and system, which has been validated by the DoD, FCC and NTIA. The software selects waveform parameters from within the bounds of the signal type on a random basis using uniform distribution.

The short pulse types 1, 2, 3 and 4, and the long pulse type 5 parameters are randomized at run-time.

The hopping type 6 pulse parameters are fixed while the hopping sequence is based on the August 2005 NTIA Hopping Frequency List. The initial starting point randomized at run-time and each subsequent starting point is incremented by 475. Each frequency in the 100-length segment is compared to the boundaries of the EUT Detection Bandwidth and the software creates a hopping burst pattern in accordance with Section 7.4.1.3 Method #2 Simulated Frequency Hopping Radar Waveform Generating Subsystem of KDB 905462 D02. The frequency of the signal generator is incremented in 1 MHz steps from F_L to F_H for each successive trial. This incremental sequence is repeated as required to generate a minimum of 30 total trials and to maintain a uniform frequency distribution over the entire Detection Bandwidth.

The signal monitoring equipment consists of a spectrum analyzer. The aggregate ON time is calculated by multiplying the number of bins above a threshold during a particular observation period by the dwell time per bin, with the analyzer set to peak detection and max hold.

SYSTEM CALIBRATION

A 50-ohm load is connected in place of the spectrum analyzer, and the spectrum analyzer is connected to a horn antenna via a coaxial cable, with the reference level offset set to (horn antenna gain – coaxial cable loss). The signal generator is set to CW mode. The amplitude of the signal generator is adjusted to yield a level of –64 dBm as measured on the spectrum analyzer.

Without changing any of the instrument settings, the spectrum analyzer is reconnected to the Common port of the Spectrum Analyzer Combiner/Divider. The Reference Level Offset of the spectrum analyzer is adjusted so that the displayed amplitude of the signal is –64 dBm.

The spectrum analyzer displays the level of the signal generator as received at the antenna ports of the Master Device. The interference detection threshold may be varied from the calibrated value of –64 dBm and the spectrum analyzer will still indicate the level as received by the Master Device.

ADJUSTMENT OF DISPLAYED TRAFFIC LEVEL

A link is established between the Master and Slave and the distance between the units is adjusted as needed to provide a suitable received level at the Master and Slave devices. Traffic that meets or exceed the minimum loading requirement is streamed from the Master device to the Slave Device. The monitoring antenna is adjusted so that the WLAN traffic level, as displayed on the spectrum analyzer, is at lower amplitude than the radar detection threshold.

TEST AND MEASUREMENT EQUIPMENT

The following test and measurement equipment was utilized for the DFS tests documented in this report:

TEST EQUIPMENT LIST				
Description	**Manufacturer**	**Model**	**ID No.**	**Cal Due**
Spectrum Analyzer, PXA, 3Hz to 44GHz	Keysight	N9030A	T459	07/25/19
Signal Generator, MXG X-Series RF Vector	Agilent	N5182B	T1134	04/23/19

6.1.3. TEST AND MEASUREMENT SOFTWARE

The following test and measurement software was utilized for the tests documented in this report:

TEST SOFTWARE LIST		
Name	**Version**	**Test / Function**
Aggregate Time-PXA	3.1	Channel Loading and Aggregate Closing Time
PXA Read	3.1	Signal Generator Screen Capture Utility
SGXProject.exe	1.7	Radar Waveform Generation and Download

6.1.4. TEST ROOM ENVIRONMENT

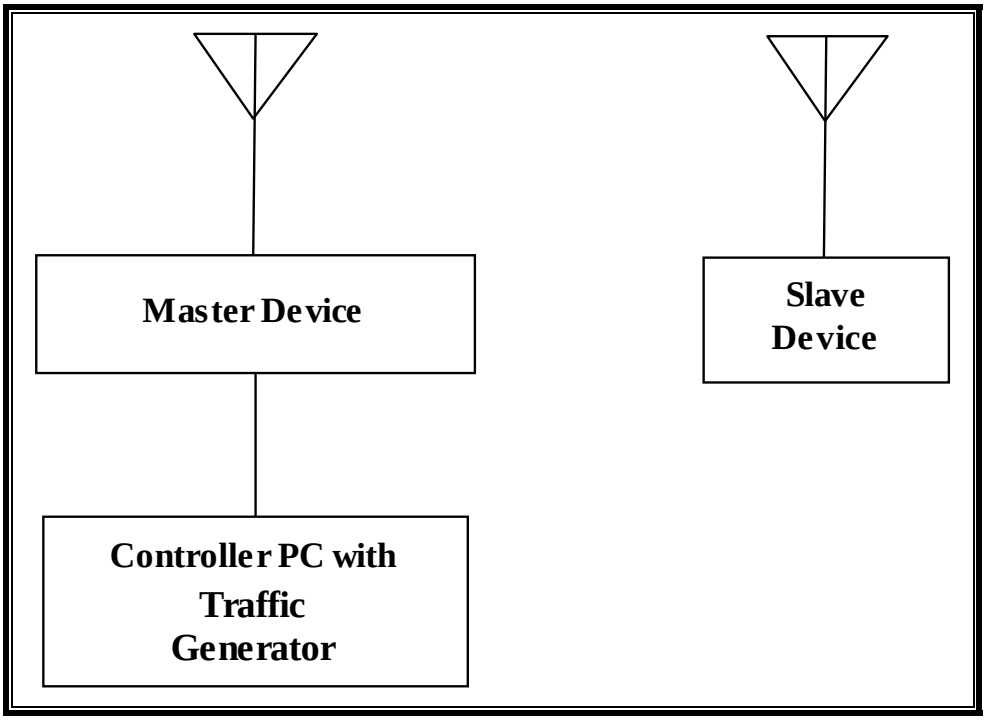
The test room temperature and humidity shall be maintained within normal temperature of 15~35 °C and normal humidity 20~75% (relative humidity).

ENVIRONMENT CONDITION

Parameter	Value
Temperature	23.8 °C
Humidity	36 %

6.1.5. SETUP OF EUT

RADIATED METHOD EUT TEST SETUP



SUPPORT EQUIPMENT

The following support equipment was utilized for the DFS tests documented in this report:

PERIPHERAL SUPPORT EQUIPMENT LIST				
Description	**Manufacturer**	**Model**	**Serial Number**	**FCC ID**
802.11ac Dual Band Wireless Access Point (Master Device)	Cisco	AIR-CAP3702E-A-K9	FTX181570A6	LDK102087
P.O.E. Injector (Master)	Phihong	POE30U-560(G)	PHI170102N2	DoC
Notebook PC (Controller)	Lenovo	Type 4236-B92	PB-HEX04 12/05	DoC
AC Adapter (Controller PC)	Lenovo	42T4418	11S42T4418Z1ZGWG08R90M	DoC

6.1.6. DESCRIPTION OF EUT

The EUT operates over the 5250-5350 MHz and 5470-5725 MHz ranges.

The EUT is a Slave Device without Radar Detection.

The highest power level within these bands is 18.58 dBm EIRP in the 5250-5350 MHz band and 17.26 dBm EIRP in the 5470-5725 MHz band.

The highest gain antenna assembly utilized with the EUT has a gain of -0.16 dBi in the 5250-5350 MHz band and -2.1 dBi in the 5470-5725 MHz band. The lowest gain antenna assembly utilized with the EUT has a gain of -2.1 dBi in the 5250-5350 MHz band and -2.5 dBi in the 5470-5725 MHz band.

Two antennas are utilized to meet the diversity and MIMO operational requirements.

The rated output power of the Master unit is > 23dBm (EIRP). Therefore the required interference threshold level is –64 dBm. After correction for procedural adjustments, the required radiated threshold at the antenna port is –64 + 1 = -63 dBm.

The calibrated radiated DFS Detection Threshold level is set to –64 dBm. The tested level is lower than the required level hence it provides a margin to the limit.

The EUT uses two transmitter/receiver chains, each connected to an antenna to perform radiated tests.

WLAN traffic that meets or exceeds the minimum required loading was generated by transferring a data stream from the Master Device to the Slave Device using iPerf version 2.0.5 software package.

TPC is not required since the maximum EIRP is less than 500 mW (27 dBm).

The EUT utilizes the 802.11ax architecture. Three nominal channel bandwidths are implemented: 20 MHz, 40 MHz, and 80 MHz. However, due to the lack of readily available certified 802.11ax Master devices and pursuant to the FCC confirmation reply received 11/05/18 to an OET Knowledge Base Inquiry (Tracking Number 410066): “For now 802.11ax client-only devices can be treated and tested as 802.11ac devices.”.

The software version installed in the EUT is G970N.001.

The hardware version installed in the EUT is REV0.3.

The operating system installed in the EUT is Android version 9, build number: beyond0lteks-eng 9 PPR1.180610.011 G970NKSE0ARL5 test-keys.

The software installed in the access point is AP3G2-K9W7-M Version 15.2(4)JB4.

UNIFORM CHANNEL SPREADING

This is requirement not applicable to Slave Devices.

OVERVIEW OF MASTER DEVICE WITH RESPECT TO §15.407 (h) REQUIREMENTS

The Master Device is a Cisco Access Point, FCC ID: LDK102087. The minimum antenna gain for the Master Device is 6 dBi.

The rated output power of the Master unit is > 23dBm (EIRP). Therefore the required interference threshold level is –64 dBm. After correction for procedural adjustments, the required radiated threshold at the antenna port is –64 + 1 = -63 dBm.

The calibrated radiated DFS Detection Threshold level is set to –64 dBm. The tested level is lower than the required level hence it provides a margin to the limit.

802.11ax client-only devices can be treated and tested as 802.11ac devices per OET Knowledge Base Inquiry Confirmation, Tracking Number 410066.

6.2. RESULTS FOR 20 MHz BANDWIDTH

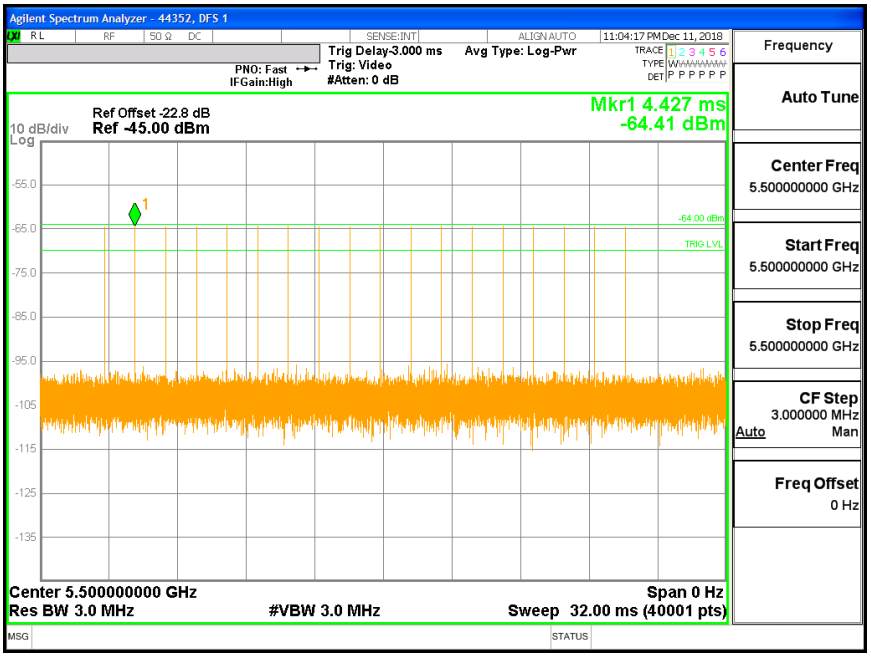
6.2.1. TEST CHANNEL

All tests were performed at a channel center frequency of 5500 MHz.

6.2.2. RADAR WAVEFORM AND TRAFFIC

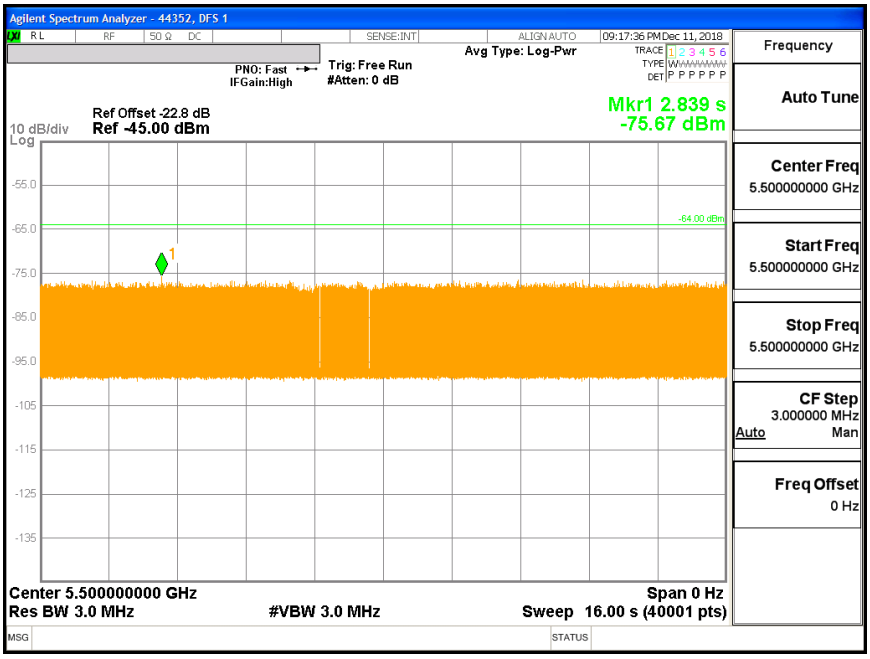
RADAR WAVEFORM

SHORT PULSE RADAR TYPE 0 AT MASTER

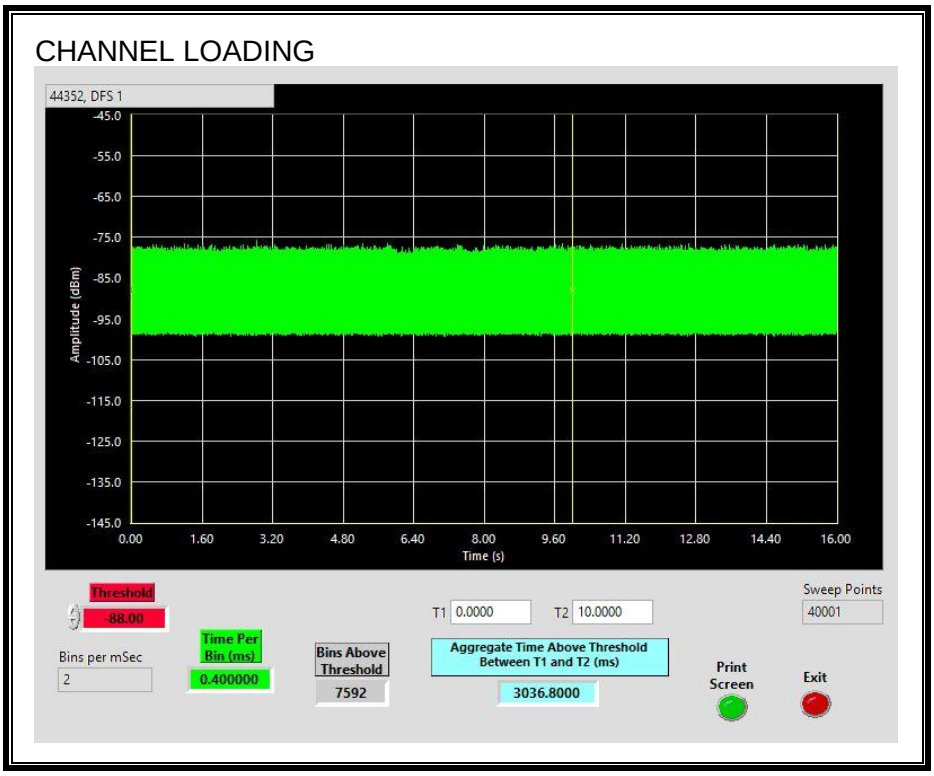


TRAFFIC

SLAVE TRAFFIC



CHANNEL LOADING



The level of traffic loading on the channel by the EUT is 30.368%.

6.2.3. OVERLAPPING CHANNEL TESTS

RESULTS

These tests are not applicable.

6.2.4. MOVE AND CLOSING TIME

REPORTING NOTES

The reference marker is set at the end of last radar pulse.

The delta marker is set at the end of the last WLAN transmission following the radar pulse. This delta is the channel move time.

The aggregate channel closing transmission time is calculated as follows:

Aggregate Transmission Time =
(Number of analyzer bins showing transmission) * (dwell time per bin)

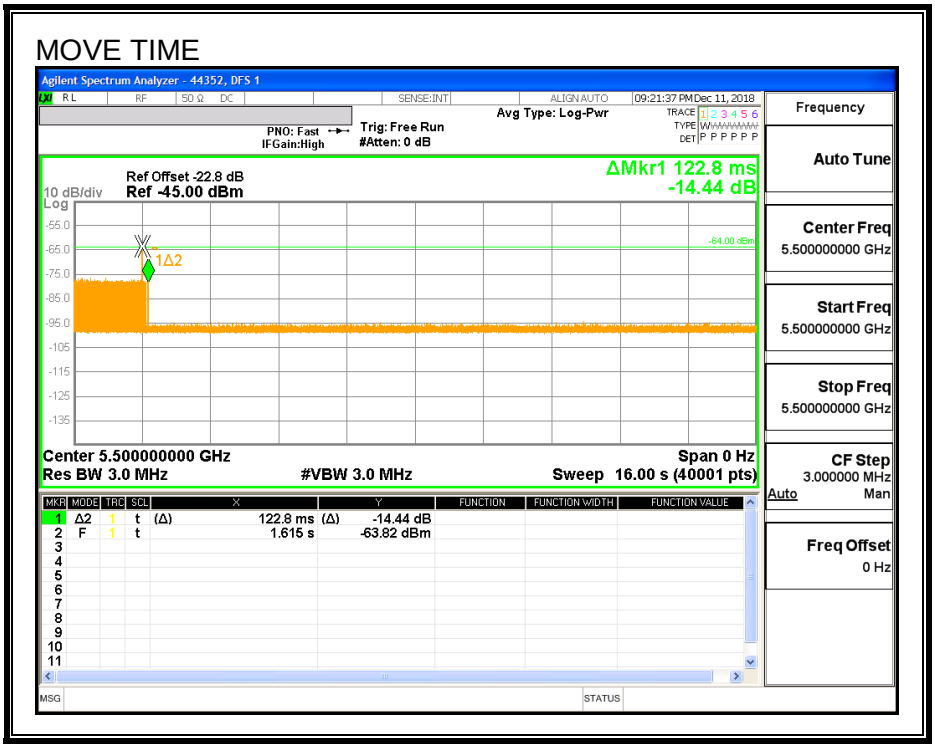
The observation period over which the aggregate time is calculated begins at (Reference Marker + 200 msec) and ends no earlier than (Reference Marker + 10 sec).

RESULTS

Channel Move Time (sec)	Limit (sec)
0.123	10

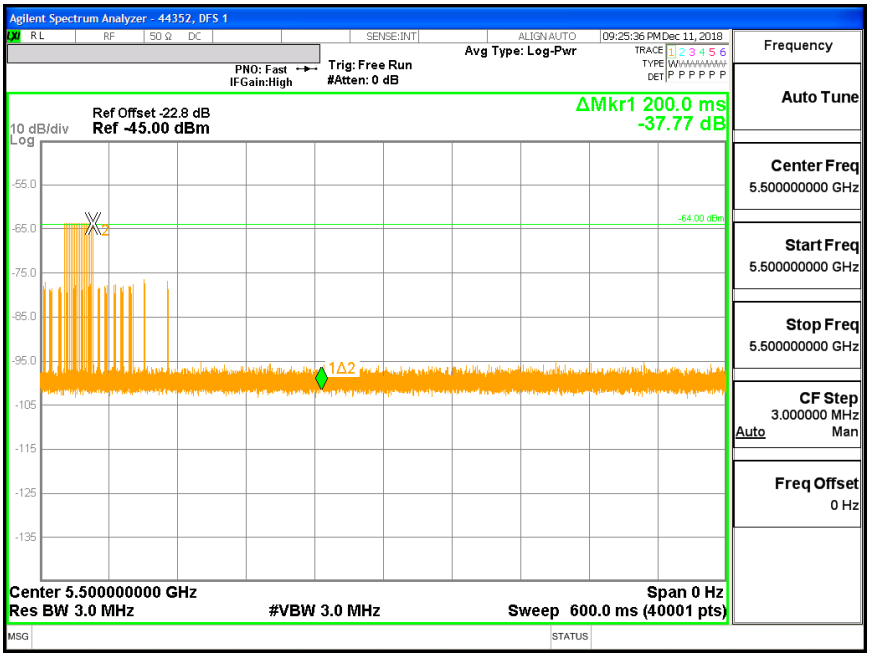
Aggregate Channel Closing Transmission Time (msec)	Limit (msec)
0.0	60

MOVE TIME



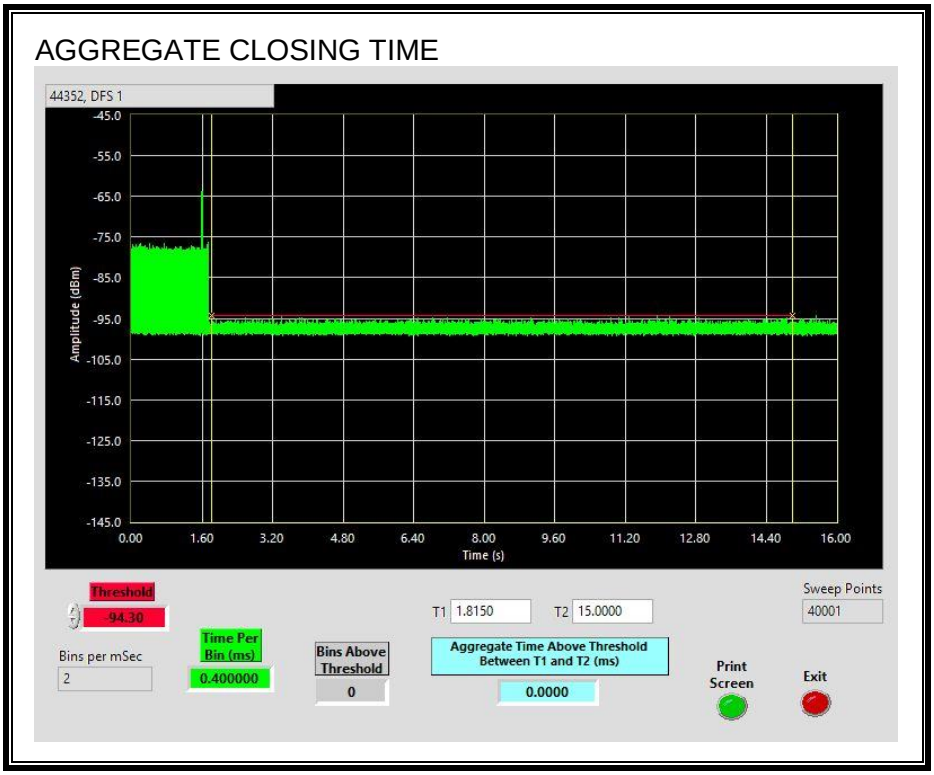
CHANNEL CLOSING TIME

CLOSING TIME



AGGREGATE CHANNEL CLOSING TRANSMISSION TIME

No transmissions are observed during the aggregate monitoring period.



6.3. RESULTS FOR 40 MHz BANDWIDTH

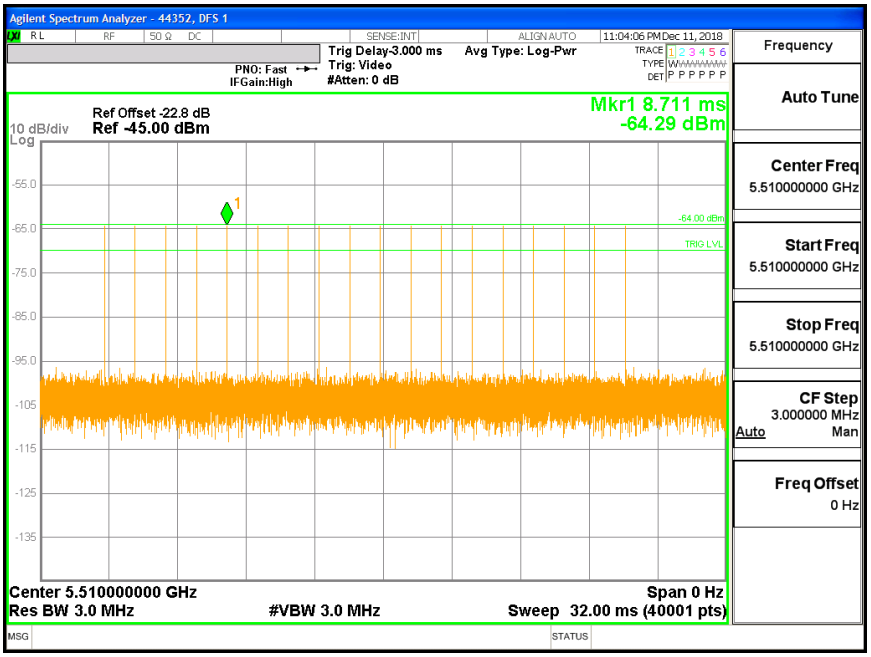
6.3.1. TEST CHANNEL

All tests were performed at a channel center frequency of 5510 MHz.

6.3.2. RADAR WAVEFORM AND TRAFFIC

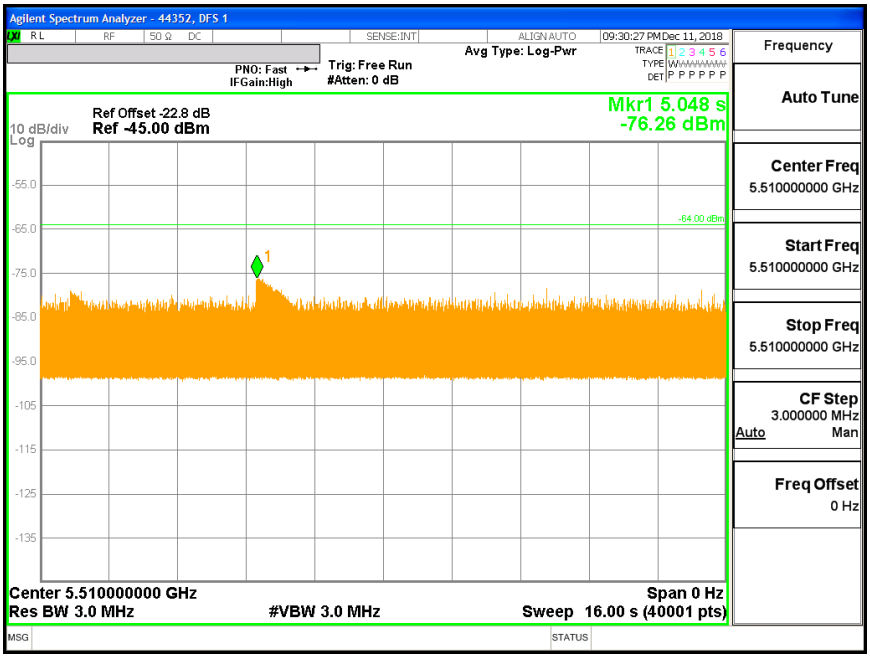
RADAR WAVEFORM

SHORT PULSE RADAR TYPE 0 AT MASTER

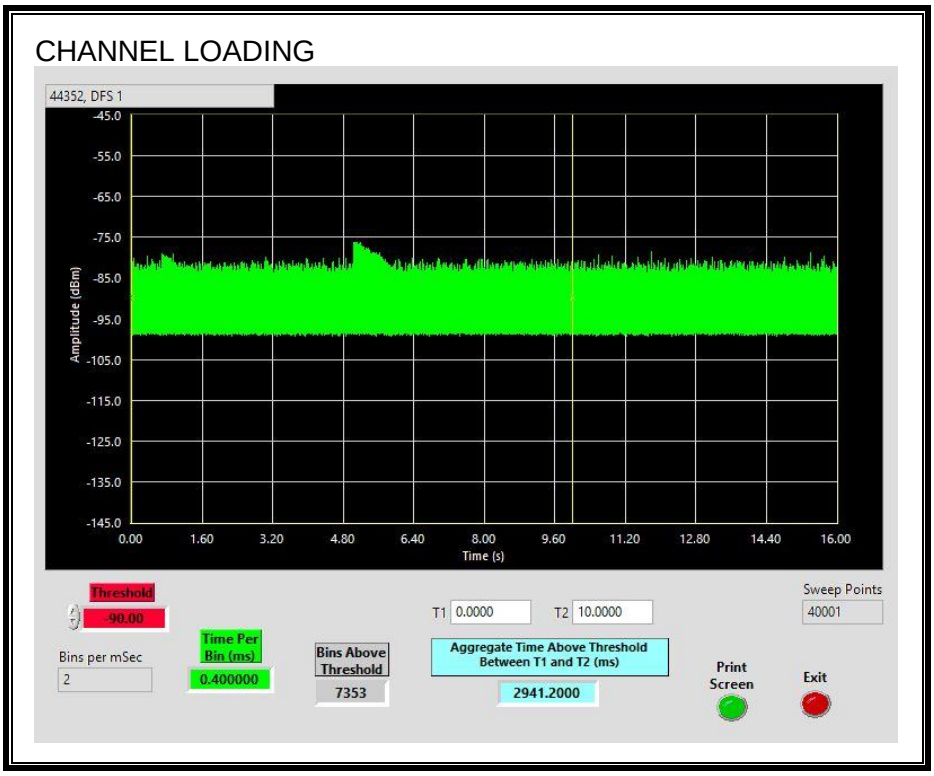


TRAFFIC

SLAVE TRAFFIC



CHANNEL LOADING



The level of traffic loading on the channel by the EUT is 29.412%.

6.3.3. OVERLAPPING CHANNEL TESTS

RESULTS

These tests are not applicable.

6.3.4. MOVE AND CLOSING TIME

REPORTING NOTES

The reference marker is set at the end of last radar pulse.

The delta marker is set at the end of the last WLAN transmission following the radar pulse. This delta is the channel move time.

The aggregate channel closing transmission time is calculated as follows:

Aggregate Transmission Time =
(Number of analyzer bins showing transmission) * (dwell time per bin)

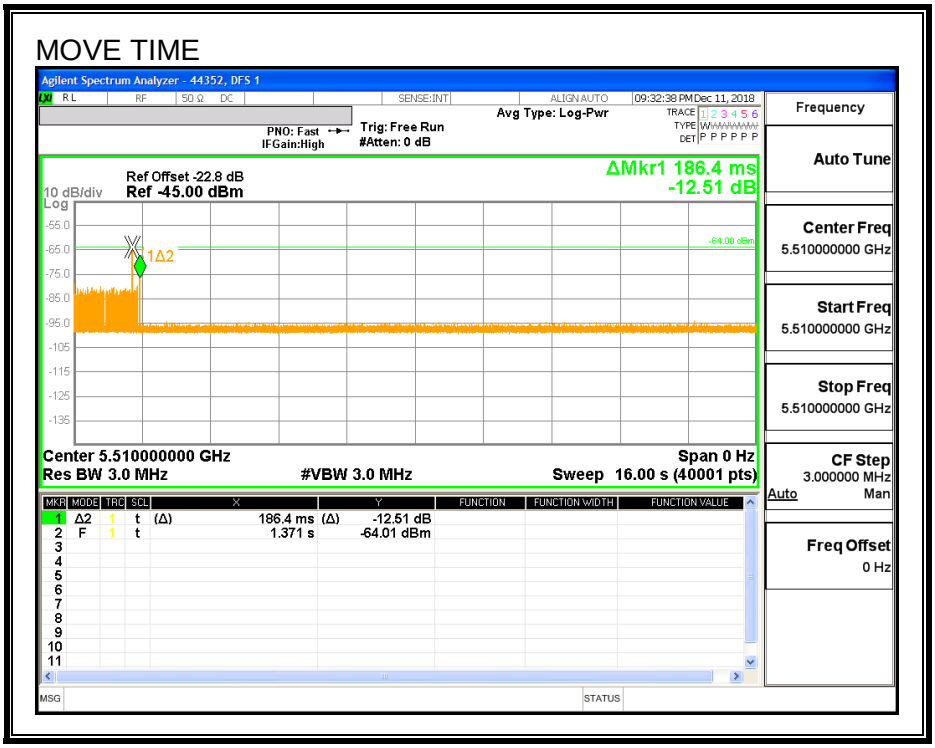
The observation period over which the aggregate time is calculated begins at (Reference Marker + 200 msec) and ends no earlier than (Reference Marker + 10 sec).

RESULTS

Channel Move Time (sec)	Limit (sec)
0.186	10

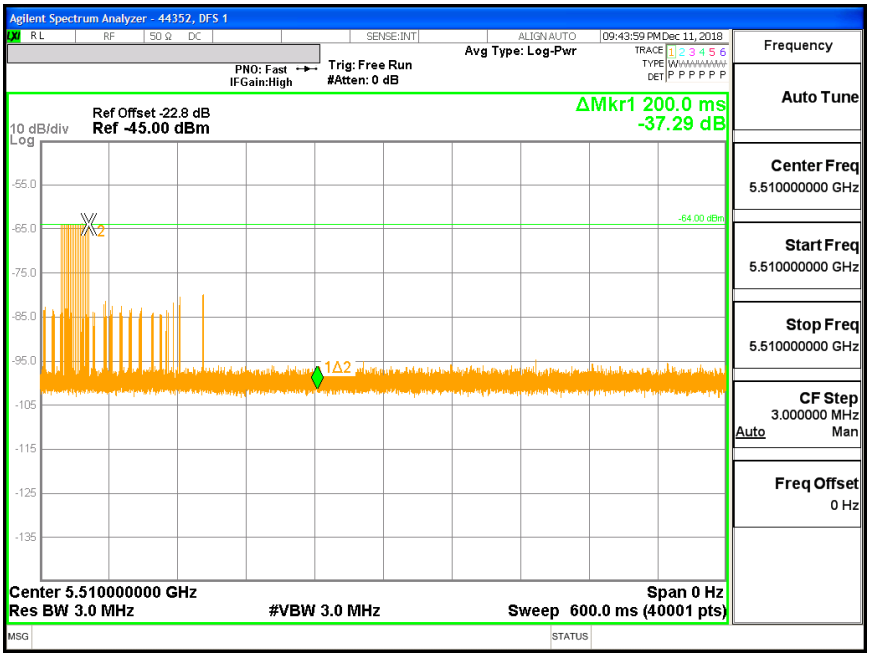
Aggregate Channel Closing Transmission Time (msec)	Limit (msec)
0.0	60

MOVE TIME



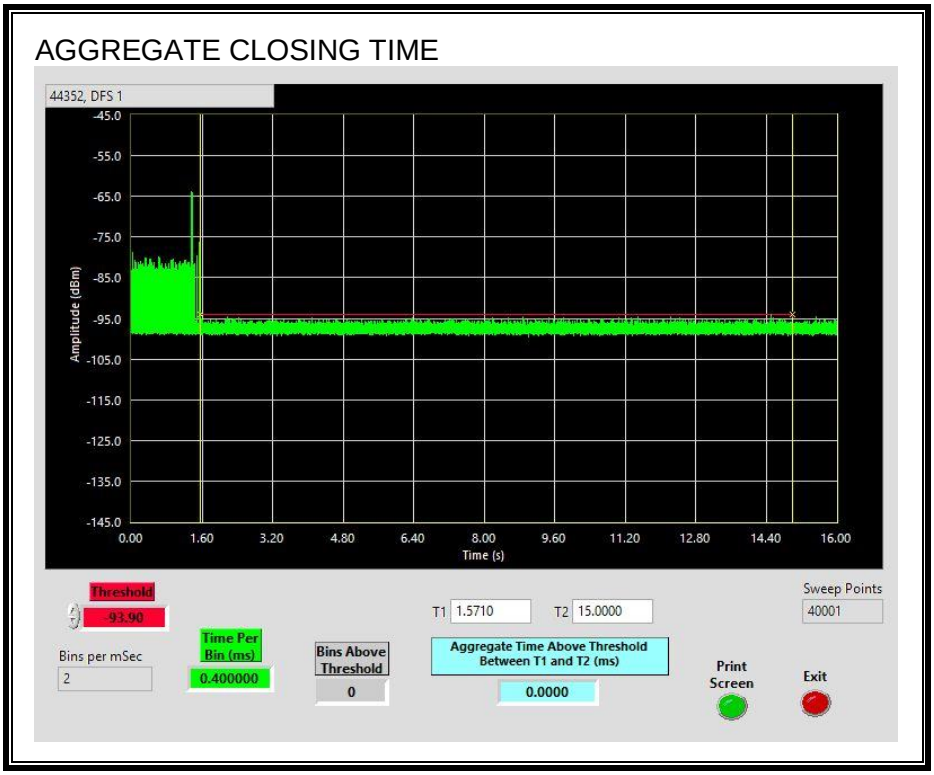
CHANNEL CLOSING TIME

CLOSING TIME



AGGREGATE CHANNEL CLOSING TRANSMISSION TIME

No transmissions are observed during the aggregate monitoring period.



6.4. RESULTS FOR 80 MHz BANDWIDTH

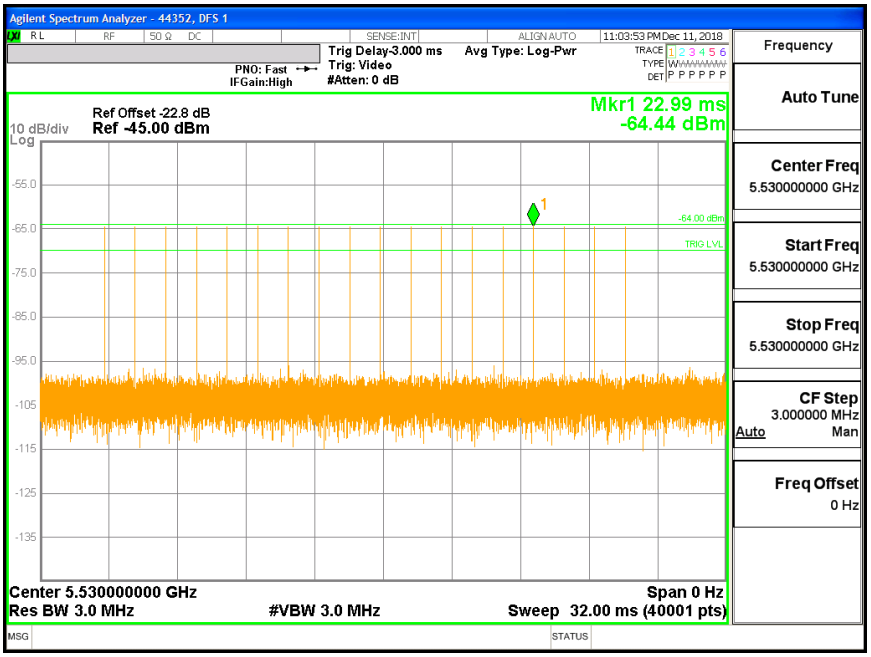
6.4.1. TEST CHANNEL

All tests were performed at a channel center frequency of 5530 MHz.

6.4.2. RADAR WAVEFORM AND TRAFFIC

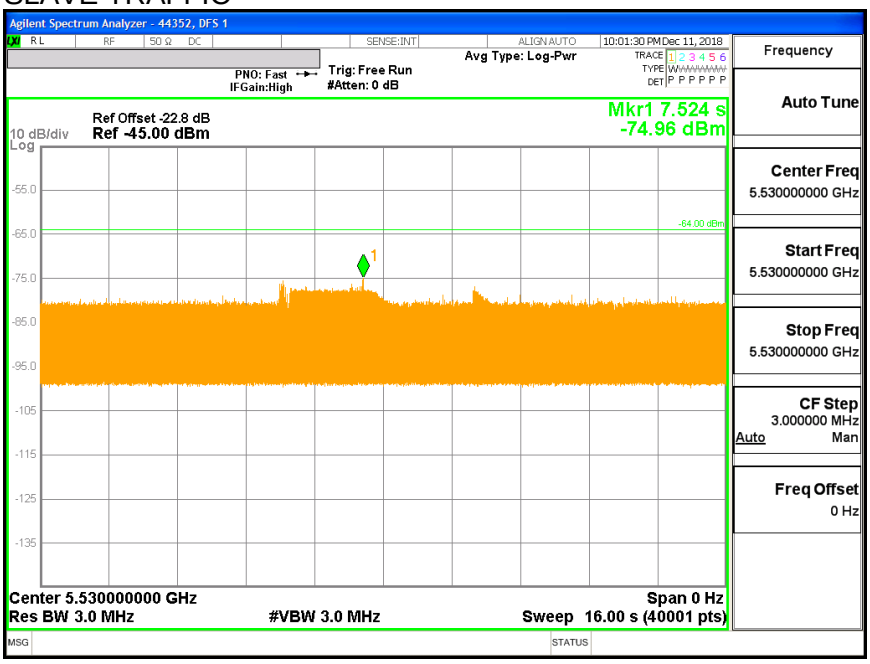
RADAR WAVEFORM

SHORT PULSE RADAR TYPE 0 AT MASTER

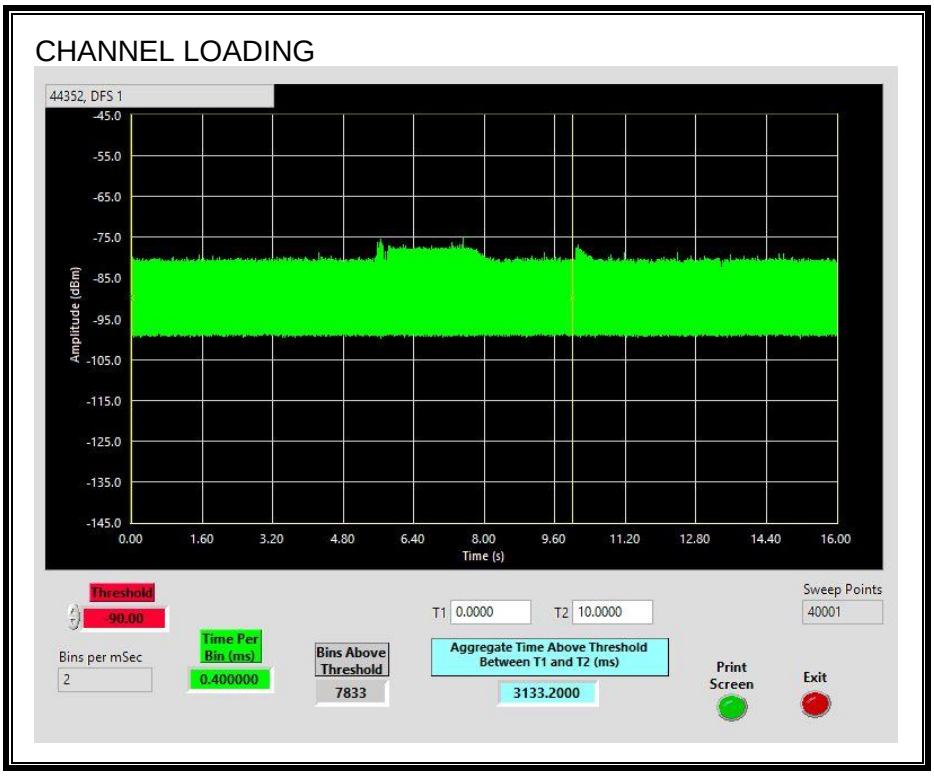


TRAFFIC

SLAVE TRAFFIC



CHANNEL LOADING



The level of traffic loading on the channel by the EUT is 31.332%.

6.4.3. OVERLAPPING CHANNEL TESTS

RESULTS

These tests are not applicable.

6.4.4. MOVE AND CLOSING TIME

REPORTING NOTES

The reference marker is set at the end of last radar pulse.

The delta marker is set at the end of the last WLAN transmission following the radar pulse. This delta is the channel move time.

The aggregate channel closing transmission time is calculated as follows:

Aggregate Transmission Time =
(Number of analyzer bins showing transmission) * (dwell time per bin)

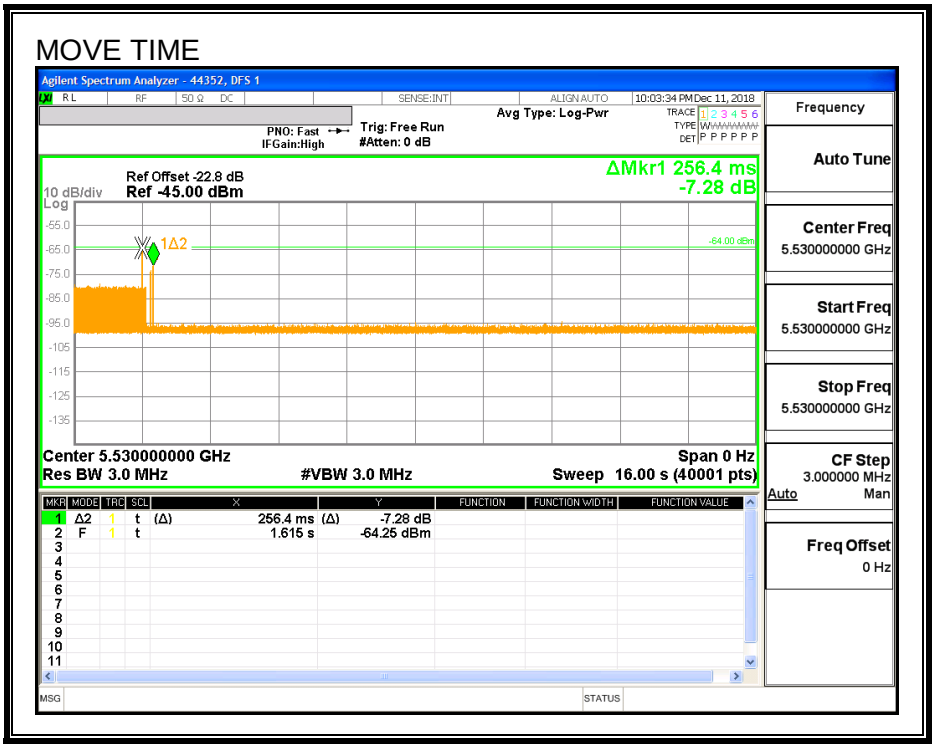
The observation period over which the aggregate time is calculated begins at (Reference Marker + 200 msec) and ends no earlier than (Reference Marker + 10 sec).

RESULTS

Channel Move Time (sec)	Limit (sec)
0.256	10

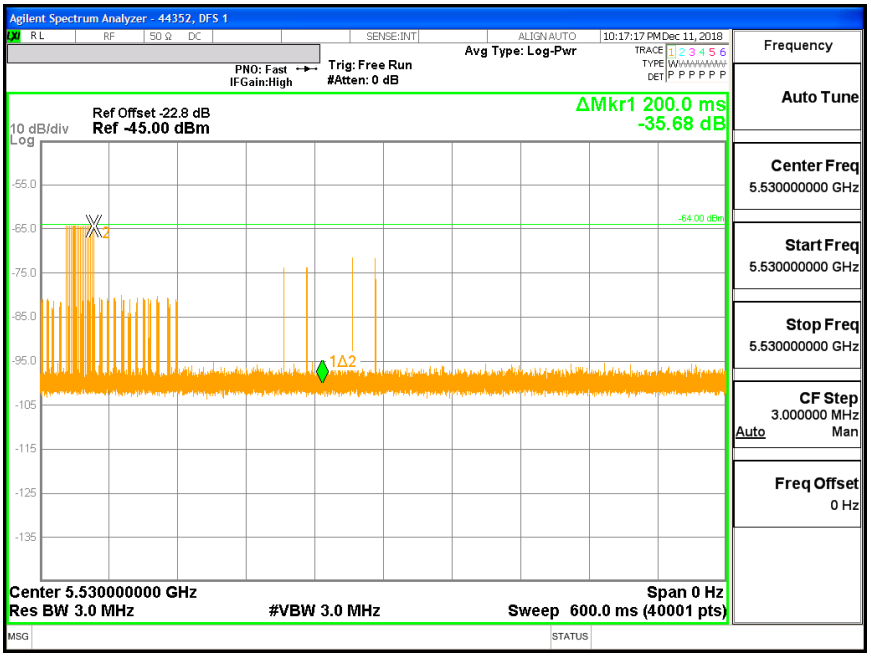
Aggregate Channel Closing Transmission Time (msec)	Limit (msec)
0.0	60

MOVE TIME



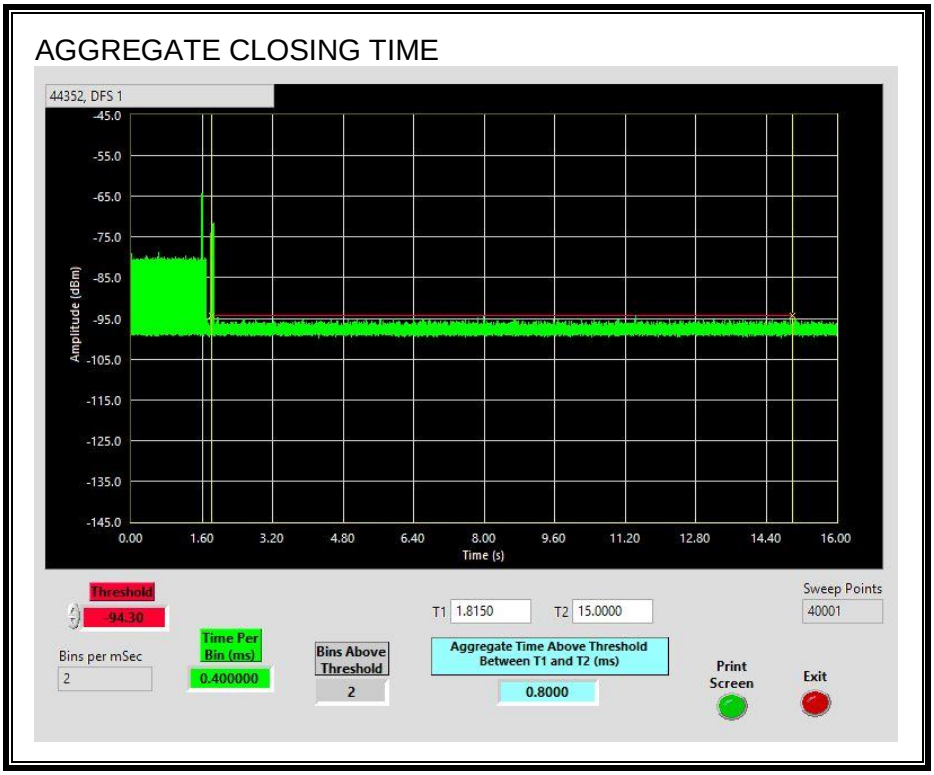
CHANNEL CLOSING TIME

CLOSING TIME



AGGREGATE CHANNEL CLOSING TRANSMISSION TIME

Only intermittent transmissions are observed during the aggregate monitoring period.



6.4.5. 30-MINUTE NON-OCCUPANCY PERIOD

RESULTS

No EUT transmissions were observed on the test channel during the 30-minute observation time.

30-MINUTE NON-OCCUPANCY