## APPENDIX I: LTE DOWNLINK ONLY CARRIER AGGREGATION TEST REDUCTION METHODOLOGY

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number of component carriers (CCs) supported by the product implementation. Per April 2018 TCBC Workshop Notes, the following test reduction methodology was applied to determine the combinations required for conducted power measurements.

LTE DLCA Test Reduction Methodology:

- The supported combinations were arranged by the number of component carriers in columns.
- Any limitations on the PCC or SCC for each combination were identified alongside the combination (e.g. CA_2A-2A-4A-12A, but B12 can only be configured as a SCC).
- Power measurements were performed for "supersets" (LTE CA combinations with multiple components carriers) and any "subsets" (LTE CA combinations with fewer component carriers) that were not completely covered by the supersets.
- Only subsets that have the exact same components as a superset were excluded for measurement.
- When there were certain restrictions on component carriers that existed in the superset that were not applied for the subset, the subset configuration was additionally evaluated.
- Both inter-band and intra-band downlink carrier aggregation scenarios were considered.

Table l-1 - Example of Exclusion Table for SISO Configurations


## I. 1 LTE Downlink Only Carrier Aggregation Test Selection and Setup

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number component carriers (CCs) supported by the product implementation. For those configurations required by April 2018 TCBC Workshop Notes, conducted power measurements with LTE Carrier Aggregation (CA) (downlink only) active are made in accordance to KDB Publication 941225 D05Av01r02. The RRC connection is only handled by one cell, the primary component carrier (PCC) for downlink and uplink communications. After making a data connection to the PCC, the UE device adds secondary component carrier(s) (SCC) on the downlink only. All uplink communications and acknowledgements remain identical to specifications when downlink carrier aggregation is inactive on the PCC. Additional conducted output powers are measured with the downlink carrier aggregation active for the configuration with highest measured maximum conducted power with downlink carrier aggregation inactive measured among the channel bandwidth, modulation, and RB combinations in each frequency band.

Per FCC KDB Publication 941225 D05Av01r02, no SAR measurements are required for carrier aggregation configurations when the maximum average output power with downlink only carrier aggregation active is not more than 0.25 dB higher than the average output power with downlink only carrier aggregation inactive. All bands required for SAR testing per FCC KDB procedures were considered. Based on the measured maximum powers below, no additional SAR tests were required for DLCA SAR configurations.

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General PCC and SCC configuration selection procedure

- PCC uplink channel, channel bandwidth, modulation and RB configurations were selected based on section C)3)b)ii) of KDB 941225 D05 V01r02. All LTE bandwidth conducted powers needed for PCC uplink configuration selection can be found in Section 9.3 and appendix H. The downlink PCC channel was paired with the selected PCC uplink channel according to normal configurations without carrier aggregation.
- To maximize aggregated bandwidth, highest channel bandwidth available for that CA combination was selected for SCC. For inter-band CA, the SCC downlink channels were selected near the middle of their transmission bands. For contiguous intra-band CA, the downlink channel spacing between the component carriers was set to multiple of 300 kHz less than the nominal channel spacing defined in section 5.4.1A of 3GPP TS 36.521. For non-contiguous intra-band CA, the downlink channel spacing between the component carriers was set to be larger than the nominal channel spacing and provided maximum separation between the component carriers.
- All selected PCC and SCC(s) remained fully within the uplink/downlink transmission band of the respective component carrier.


Figure l-1
DL CA Power Measurement Setup

## I. 2 Downlink Carrier Aggregation RF Conducted Powers

## I.2.1 LTE Band 71 as PCC

Table l-2
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCCBW [MHz] | PCC (UL) Ch. | $\begin{gathered} \mathrm{PCC}(\mathrm{UL}) \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | Mod. | $\left\|\begin{array}{c} \text { PCC UL\# } \\ \text { RB } \end{array}\right\|$ | PCCULRB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | $\begin{gathered} \text { SCC BW } \\ {[\mathrm{MHz}]} \end{gathered}$ | SCC (DL) Channe | $\left\lvert\, \begin{gathered} \operatorname{scc}(\mathrm{DL}) \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}\right.$ | LTE Tx.Power with DL CA Enabled (dBm) | LTE Single <br> Carrier Tx <br> Power (dBm) |
| CA_2A-71A | LTE B71 | 20 | 133297 | 680.5 | QPSK | 1 | 0 | 68761 | 634.5 | LTE B2 | 20 | 900 | 1960 | 24.23 | 24.37 |
| CA_4A-71A | LTE B71 | 20 | 133297 | 680.5 | QPSK | 1 | 0 | 68761 | 634.5 | LTE B4 | 20 | 2175 | 2132.5 | 24.21 | 24.37 |
| CA_66A-71A | LTE B71 | 20 | 133297 | 680.5 | QPSK | 1 | 0 | 68761 | 634.5 | LTE B66 | 20 | 66786 | 2145 | 24.19 | 24.37 |

## I.2.2 LTE Band 12 as PCC

Table l-3
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCCBW [MHz] | PCC (UL) Ch. | PCC (UL) <br> Freq. [MHz] | Mod. | $\underset{\mathrm{RB}}{\mathrm{PCC} \mathrm{UL}}$ | PCC ULIRB | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | scc Bw <br> [MHz] | SCC (DL) Channe | $\left\lvert\, \begin{gathered} \operatorname{SCC}(D L) \\ \text { Freq. [MHz] } \end{gathered}\right.$ | $\begin{array}{\|c} \hline \text { LTE Tx.Power } \\ \text { with DL CA } \\ \text { Enabled } \\ (\mathrm{dBm}) \end{array}$ | LTE Single Carrier Tx Power ( dBm ) |
| CA_2A-12A (1) | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE B2 | 20 | 900 | 1960 | 24.60 | 24.45 |
| CA_4A-12A (1) | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE B4 | 20 | 2175 | 2132.5 | 24.58 | 24.45 |
| CA_4A-12A (2) | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE B4 | 20 | 2175 | 2132.5 | 24.58 | 24.45 |
| CA_7A-12A | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE B7 | 20 | 3100 | 2655 | 24.61 | 24.45 |
| CA_12A-30A | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE B30 | 10 | 9820 | 2355 | 24.57 | 24.45 |
| CA_12A-66A (1) | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 | 0 | 5095 | 737.5 | LTE 666 | 20 | 66786 | 2145 | 24.59 | 24.45 |
| CA_12A-66A (2) | LTE B12 | 10 | 23095 | 707.5 | QPSK | 1 |  | 5095 | 737.5 | LTE $\mathrm{B}_{6} 6$ | 20 | 66786 | 2145 | 24.59 | 24.45 |
| CA_12B | LTE B12 | 5 | 23035 | 701.5 | QPSK | 1 | 12 | 5035 | 731.5 | LTE B12 | 5 | 5083 | 736.3 | 24.39 | 24.22 |


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| Portable Handset |  |  |  |

## I.2.3 LTE Band 13 as PCC

Table l-4
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | $\begin{aligned} & \text { PCC BW } \\ & {[\mathrm{MHz]}} \end{aligned}$ | PCC (UL) ch. | $\left\lvert\, \begin{gathered} \text { PCC (UL) } \\ \text { Freq. [MHz] } \end{gathered}\right.$ | Mod. | $\underset{\mathrm{RB}}{\mathrm{PCCOL}} \begin{aligned} & \text { R } \end{aligned}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | $\begin{gathered} \text { scc BW } \\ {[\mathrm{MHz}]} \end{gathered}$ | $\operatorname{scC}(D L)$ Channel | $\begin{array}{\|c\|} \hline \mathrm{SCC}(\mathrm{DL}) \\ \text { Freq. [MHz] } \end{array}$ | LTE Tx.Power with DL CA Enabled (dBm) | LTE Single <br> Carrier Tx <br> Power (dBm) |
| CA_2A-13A | LTE B13 | 10 | 23230 | 782 | QPSK | 1 | 25 | 5230 | 751 | LTE B2 | 20 | 900 | 1960 | 24.12 | 24.15 |
| CA_4A-13A | LTE B13 | 10 | 23230 | 782 | QPSK | 1 | 25 | 5230 | 751 | LTE B4 | 20 | 2175 | 2132.5 | 24.10 | 24.15 |
| CA_13A-66A | LTE B13 | 10 | 23230 | 782 | QPSK | 1 | 25 | 5230 | 751 | LTE B66 | 20 | 66786 | 2145 | 24.17 | 24.15 |

## I.2.4 LTE Band 26 as PCC

Table I-5
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | ScC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCCBW [MHz] | PCC (UL) Ch. | $\begin{gathered} \text { PCC (UL) } \\ \text { Freq. [MHz] } \end{gathered}$ | Mod. | $\begin{gathered} \text { PCC UL\# } \\ \text { RB } \end{gathered}$ | PCCULRB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | SCC Band | $\begin{gathered} \mathrm{SCCB} \mathrm{BW} \\ {[\mathrm{MHz}]} \end{gathered}$ | SCC (DL) Channe | $\begin{gathered} \mathrm{SCC}(\mathrm{DL}) \\ \text { Freq. [MHz] } \end{gathered}$ | LTE Tx. Power with DL CA Enabled (dBm) | LTE Single Carrier Tx Power (dBm) |
| CA_26A-41A | LTE B26 | 15 | 26865 | 831.5 | QPSK | 1 | 0 | 8865 | 876.5 | LTE B41 | 20 | 40620 | 2593 | 24.31 | 24.28 |
| CA_25A-26A | LTE B26 | 1.4 | 27033 | 848.3 | QPSK | 1 | 0 | 9033 | 893.3 | LTE B25 | 20 | 8365 | 1962.5 | 24.44 | 24.38 |

## I.2.5 LTE Band 66 as PCC

Table I-6
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCC BW [MHz] | PCC (UL) Ch. | $\begin{gathered} \text { PCC (UL) } \\ \text { Freq. [MHz] } \end{gathered}$ | Mod. | $\begin{array}{\|c} \text { PCC UL\# } \\ \text { RB } \end{array}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | $\begin{aligned} & \text { SCC BW } \\ & {[\mathrm{MHz}]} \end{aligned}$ | SCC (DL) Channel | $\begin{aligned} & \text { SCC (DL) } \\ & \text { Freq. [MHz] } \end{aligned}$ | LTE Tx. Power with DL CA Enabled (dBm) | LTE Single Carrier Tx Power (dBm) |
| CA_2A-66A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B2 | 20 | 900 | 1960 | 24.53 | 24.57 |
| CA_5A-66A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B5 | 10 | 2525 | 881.5 | 24.43 | 24.57 |
| CA_7A-66A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B7 | 20 | 3100 | 2655 | 24.40 | 24.57 |
| CA_12A-66A (1) | LTE B66 | 1.4 | 131979 | 1710.7 | QPSK | 1 | 0 | 66443 | 2110.7 | LTE B12 | 10 | 5095 | 737.5 | 24.42 | 24.59 |
| CA_12A-66A (2) | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B12 | 10 | 5095 | 737.5 | 24.54 | 24.57 |
| CA_13A-66A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B13 | 10 | 5230 | 751 | 24.58 | 24.57 |
| CA_66A-66A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B66 | 20 | 67236 | 2190 | 24.55 | 24.57 |
| CA_66A-71A | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B71 | 20 | 68761 | 634.5 | 24.35 | 24.57 |
| CA_66B | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B66 | 5 | 66604 | 2126.8 | 24.37 | 24.57 |
| CA_66C | LTE B66 | 15 | 132047 | 1717.5 | QPSK | 1 | 0 | 66511 | 2117.5 | LTE B66 | 20 | 66682 | 2134.6 | 24.42 | 24.57 |

## I.2.6 LTE Band 25 as PCC

Table l-7
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCC BW [MHz] | PCC (UL) Ch. | $\begin{gathered} \text { PCC (UL) } \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | Mod. | $\begin{array}{\|c} \text { PCC UL\# } \\ \text { RB } \end{array}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | SCC BW [MHz] | SCC (DL) Channel | $\begin{gathered} \text { SCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | LTE Tx. Power with DL CA Enabled (dBm) | LTE Single Carrier Tx Power (dBm) |
| CA_25A-25A (1) | LTE B25 | 10 | 26365 | 1882.5 | QPSK | 1 | 25 | 8365 | 1962.5 | LTE B25 | 20 | 8140 | 1940 | 24.48 | 24.40 |
| CA_25A-41A | LTE B25 | 10 | 26365 | 1882.5 | QPSK | 1 | 25 | 8365 | 1962.5 | LTE B41 | 20 | 40620 | 2593 | 24.50 | 24.40 |
| CA_25A-26A | LTE B25 | 10 | 26365 | 1882.5 | QPSK | 1 | 25 | 8365 | 1962.5 | LTE B26 | 15 | 8865 | 876.5 | 24.36 | 24.40 |

## I.2.7 LTE Band 30 as PCC

Table I-8
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | pCCBW [MHz] | PCC (UL) Ch. | PCC (UL) Freq. [MHz] | Mod. | $\underset{\mathrm{RB}}{\mathrm{PCCUL}}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | SCC Band | $\begin{gathered} \mathrm{SCC} \mathrm{BW} \\ {[\mathrm{MHz}]} \end{gathered}$ | SCC (DL) Channe | $\operatorname{SCC}(\mathrm{DL})$ Freq. [MHz] | $\begin{array}{\|c\|} \hline \text { LTE TX.Power } \\ \text { with DL CA } \\ \text { Enabled } \\ (\mathrm{dBm}) \end{array}$ | LTE Single Carrier Tx Power ( dBm ) |
| CA_2A-30A | LTE B30 | 5 | 27710 | 2310 | QPSK | 1 | 0 | 9820 | 2355 | LTE B2 | 20 | 900 | 1960 | 21.77 | 21.79 |
| CA_5A-30A | LTE B30 | 5 | 27710 | 2310 | QPSK | 1 | 0 | 9820 | 2355 | LTE B5 | 10 | 2525 | 881.5 | 21.79 | 21.79 |
| CA_12A-30A | LTE B30 | 5 | 27710 | 2310 | QPSK | 1 |  | 9820 | 2355 | LTE B12 | 10 | 5095 | 737.5 | 21.83 | 21.79 |
| CA_29A-30A | LTE B30 | 5 | 27710 | 2310 | QPSK | 1 | 0 | 9820 | 2355 | LTE B29 | 10 | 9715 | 722.5 | 21.78 | 21.79 |


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## I.2.8 LTE Band 7 as PCC

Table I-9
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCCBW [MHz] | PCC (UL) Ch. | $\begin{gathered} \text { PCC (UL) } \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | Mod. | $\begin{array}{\|c} \text { PCC UL\# } \\ \text { RB } \end{array}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | SCC BW [MHz] | SCC (DL) Channel | $\begin{gathered} \text { SCC (DL) } \\ \text { Freq. }[\mathrm{MHz}] \end{gathered}$ | LTE Tx. Power with DL CA Enabled (dBm) | LTE Single Carrier Tx Power (dBm) |
| CA_2A-7A | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B2 | 20 | 900 | 1960 | 23.73 | 23.83 |
| CA_4A-7A (1) | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B4 | 20 | 2175 | 2132.5 | 23.71 | 23.83 |
| CA_5A-7A | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B5 | 10 | 2525 | 881.5 | 23.77 | 23.83 |
| CA_7A-7A (1) | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B7 | 20 | 2850 | 2630 | 23.74 | 23.83 |
| CA_7A-12A | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B12 | 10 | 5095 | 737.5 | 23.79 | 23.83 |
| CA_7A-66A | LTE B7 | 20 | 21100 | 2535 | QPSK | 1 | 0 | 3100 | 2655 | LTE B66 | 20 | 66786 | 2145 | 23.76 | 23.83 |

## I.2.9 LTE Band 41 PC3 as PCC

Table l-10
Maximum Output Powers

|  | PCC |  |  |  |  |  |  |  |  | SCC 1 |  |  |  | Power |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Combination | PCC Band | PCCBW [MHz] | PCC (UL) Ch. | $\begin{gathered} \text { PCC (UL) } \\ \text { Freq. [MHz] } \end{gathered}$ | Mod. | $\begin{array}{\|c} \text { PCC UL\# } \\ \text { RB } \end{array}$ | PCC UL RB Offset | PCC (DL) Channel | $\begin{gathered} \text { PCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | SCC Band | SCC BW <br> [MHz] | SCC (DL) Channel | $\begin{gathered} \text { SCC (DL) } \\ \text { Freq. [MHz] } \end{gathered}$ | LTE Tx. Power with DLCA Enabled (dBm) | LTE Single Carrier Tx Power (dBm) |
| CA_41A-41A (1) | LTE B41 | 20 | 39750 | 2506 | QPSK | 1 | 99 | 39750 | 2506 | LTE B41 | 20 | 41490 | 2680 | 23.81 | 23.81 |
| CA_41C (1) | LTE B41 | 20 | 39750 | 2506 | QPSK | 1 | 99 | 39750 | 2506 | LTE B41 | 20 | 39948 | 2525.8 | 23.84 | 23.81 |


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