



# RF Exposure Report

## (Part 2: Test Under Dynamic Transmission Condition)

**FCC ID** : 2AUWUFP4  
**Equipment** : Fairphone 4 5G  
**Brand Name** : FAIRPHONE  
**Model Name** : FP4  
**Applicant** : FairPhone B.V.  
Van Diemenstraat 200, 1013 CP,  
Amsterdam, The Netherlands  
**Manufacturer** : FairPhone B.V.  
Van Diemenstraat 200, 1013 CP,  
Amsterdam, The Netherlands  
**Standard** : FCC 47 CFR Part 2 (2.1093)

We, SPORTON INTERNATIONAL INC., would like to declare that the tested sample has been evaluated in accordance with the test procedures and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC. Laboratory, the test report shall not be reproduced except in full.

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### History of this test report

Report No.	Version	Description	Issued Date
FA152120-03	01	Initial issue of report	Apr. 08, 2022



1. Introduction

The equipment under test (EUT) is a portable handset (FCC ID: 2AUWUFP4, it contains the Qualcomm modem supporting 2G/3G/4G technologies and 5G NR bands. The modem is enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The P\_limit and input.power.limit used in this report is determined in Part 0 and Part 1 reports.

Refer to PART 0 SAR AND POWER DENSITY CHAR REPORT, for product description and terminology used in this report.

Test Lab Information

Table with 2 columns: Test Firm Name, Test Firm Information, Date of Start during the Test, Date of End during the Test, Test Engineers, Report Producer. Values include Sporton International Inc., No. 52, Huaya 1st Rd., Guishan Dist., Taoyuan City, Taiwan, 03/19/2022, 03/22/2022, Steven Chang, Aaron Chen, and Carlie Tsai.



## 2. Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
6. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.

As described in Part 1 report, the RF exposure is proportional to the Tx power for a SAR-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for  $f < 6\text{GHz}$ ) and radiated (for  $f \geq 6\text{GHz}$ ) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through time-averaged power measurements
  - Measure conducted Tx power (for  $f < 6\text{GHz}$ ) versus time, and radiated Tx power (EIRP for  $f > 10\text{GHz}$ ) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios at all times.

Mathematical expression:

– For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC SAR limit} \leq 1$$

(1b)

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR limits, through time-averaged SAR measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.
  - For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
  - Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
  - Perform time averaging over FCC defined time window.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC SAR limit} \leq 1$$

(3b)

where,  $pointSAR(t)$ ,  $pointSAR\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission.

**NOTE:** cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides ratio of  $\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2}$  versus time.

### **3. SAR Time Averaging Validation Test Procedures**

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating  $f < 3\text{GHz}$  is used as an example to detail the test procedures in this chapter.

#### **3.1 Test sequence determination for validation**

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ( $f < 6\text{ GHz}$ ) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured  $P_{max}^\dagger$ , for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured  $P_{max}$ , measured  $P_{limit}$  and calculated  $P_{reserve}$  (= measured  $P_{limit}$  in dBm - Reserve\_power\_margin in dB) of EUT based on measured  $P_{limit}$ .

The details for generating these two test sequences is described and listed in Appendix A.

**NOTE:** For test sequence generation, "measured  $P_{limit}$ " and "measured  $P_{max}$ " are used instead of the " $P_{limit}$ " specified in EFS entry and " $P_{max}$ " specified for the device, because Smart Transmit feature operates against the actual power level of the " $P_{limit}$ " that was calibrated for the EUT. The "measured  $P_{limit}$ " accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining  $P_{limit}$ .

#### **3.2 Test configuration selection criteria for validating Smart Transmit feature**

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

##### **3.2.1 Test configuration selection for time-varying Tx power transmission**

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient.

The criteria for the selection are based on the  $P_{limit}$  values determined in Part 0 report. Select the band in each supported technology that corresponds to the  $P_{limit}$  value that is less than  $P_{max}$  for validating Smart Transmit.

##### **3.2.2 Test configuration selection for change in call**

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least  $P_{limit}$  among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest measured 1gSAR at  $P_{limit}$  listed in Part 1 report.
- In case of multiple bands having same least  $P_{limit}$ , then select the band having the highest measured 1gSAR at  $P_{limit}$  in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at  $P_{reserve}$ ) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ). One test is sufficient as the feature operation is independent of technology and band.

### **3.2.3 Test configuration selection for change in technology/band**

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest  $P_{limit}$  within the technology group (in case of multiple bands having the same  $P_{limit}$ , then select the band with highest *measured* 1gSAR at  $P_{limit}$ ) to a technology/band with highest  $P_{limit}$  within the technology group, in case of multiple bands having the same  $P_{limit}$ , then select the band with lowest *measured* 1gSAR at  $P_{limit}$  in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### **3.2.4 Test configuration selection for change in DSI**

The criteria to select a test configuration for DSI change test is

- Select a technology/band having the  $P_{limit} < P_{max}$  within any technology and DSI group, and for the same technology/band having a different  $P_{limit}$  in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### **3.2.5 Test configuration selection for SAR exposure switching**

If supported, the test configuration for SAR exposure switching should cover

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in Section 8.2.3 and 8.2.4.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among  $SAR_{radio1}$  only,  $SAR_{radio1} + SAR_{radio2}$ , and  $SAR_{radio2}$  only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is



1. select one configuration where both  $P_{limit}$  of radio1 and radio2 is less than their corresponding  $P_{max}$ , preferably, with different  $P_{limits}$ . If this configuration is not available, then,
2. select one configuration that has  $P_{limit}$  less than its  $P_{max}$  for at least one radio. If this can not be found, then,
3. select one configuration that has  $P_{limit}$  of radio1 and radio2 greater than  $P_{max}$  but with least  $(P_{limit} - P_{max})$  delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

### **3.3 Test procedures for conducted power measurements**

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### **3.3.1 Time-varying Tx power transmission scenario**

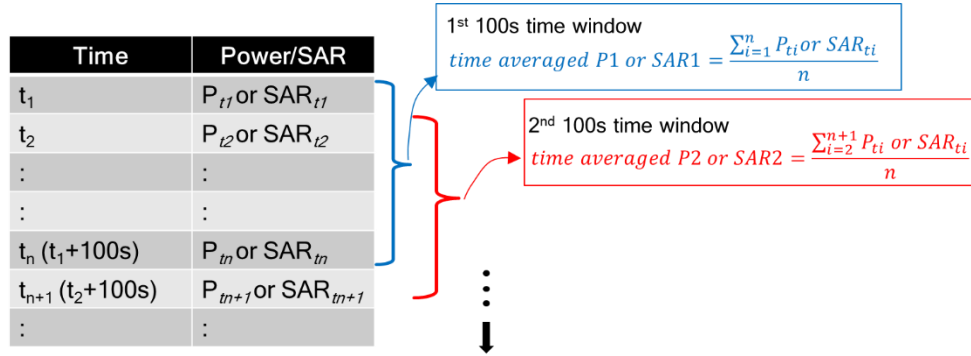
This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time-averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

##### **Test procedure**

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate  $P_{reserve}$  (= measured  $P_{limit}$  in dBm –  $Reserve\_power\_margin$  in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured  $P_{max}$  and measured  $P_{limit}$  of the EUT. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - Measure  $P_{max}$  with Smart Transmit disabled and callbox set to request maximum power.
  - Measure  $P_{limit}$  with Smart Transmit enabled and  $Reserve\_power\_margin$  set to 0 dB, callbox set to request maximum power.
2. Set  $Reserve\_power\_margin$  to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured  $P_{limit}$  from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

**NOTE:** In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

**NOTE:** For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.



**Figure 3-1 100s running average illustration**

3. Make one plot containing:
  - a. Instantaneous Tx power versus time measured in Step 2,
  - b. Requested Tx power used in Step 2 (test sequence 1),
  - c. Computed time-averaged power versus time determined in Step 2,
  - d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1gSAR or 4.0W/kg for 10gSAR) given by

$$Time\ averaged\ power\ limit = meas.P_{limit} + 10 \times \log\left(\frac{FCC\ SAR\ limit}{meas.SAR\_Plimit}\right) \quad (5a)$$

where  $meas.P_{limit}$  and  $meas.SAR\_Plimit$  correspond to measured power at  $P_{limit}$  and measured SAR at  $P_{limit}$ .

4. Make another plot containing:
  - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
  - b. FCC 1gSARlimit of 1.6W/kg or FCC 10gSARlimit of 4.0W/kg.
5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.
6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

### 3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnect and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at  $P_{reserve}$  level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

### **Test procedure**

1. Measure  $P_{limit}$  for the technology/band selected in Section 3.2.2. Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1gSAR or 10gSAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

**NOTE:** In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
6. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time-averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (1b)).

### **3.3.3 Change in technology and band**

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at  $P_{reserve}$  level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous  $P_{reserve}$  level to the new  $P_{reserve}$  level (corresponding to new technology/band). Since the  $P_{limit}$  could vary with technology and band, Eq. (1a) can be written

as follows to convert the instantaneous Tx power in 1gSAR or 10gSAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1} \quad (6a)$$

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where,  $conducted\_Tx\_power\_1(t)$ ,  $conducted\_Tx\_power\_P_{limit\_1}$ , and  $1g\_or\_10gSAR\_P_{limit\_1}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR value at  $P_{limit}$  of technology1/band1;  $conducted\_Tx\_power\_2(t)$ ,  $conducted\_Tx\_power\_P_{limit\_2}(t)$ , and  $1g\_or\_10gSAR\_P_{limit\_2}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR value at  $P_{limit}$  of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant ' $t_1$ '.

### Test procedure

1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.3. Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit
3. Establish radio link with callbox in first technology/band selected.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq. (6a) and (6b) and corresponding measured  $P_{limit}$  values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.

**NOTE:** In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).
7. Make another plot containing: (a) computed time-averaged 1gSAR or 10gSAR versus time, and (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (6c)).

### 3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

**NOTE:** If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

### 3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

### 3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz.

To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\ 10g\_SAR\_P_{limit\_1} \quad (7a)$$

$$1gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\ 10g\_SAR\_P_{limit\_2} \quad (7b)$$

$$\frac{1}{T1_{SAR}} \left[ \int_{t-T1_{SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[ \int_{t-T2_{SAR}}^t \frac{1g\_or\ 10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (7c)$$

where, *conducted\_Tx\_power\_1(t)*, *conducted\_Tx\_power\_P<sub>limit\_1</sub>(t)*, and *1g\_or 10g\_SAR\_P<sub>limit\_1</sub>* correspond to the instantaneous Tx power, conducted Tx power at *P<sub>limit</sub>*, and compliance *1g\_or 10g\_SAR* values at *P<sub>limit\_1</sub>* of band1 with time-averaging window '*T1<sub>SAR</sub>*'; *conducted\_Tx\_power\_2(t)*, *conducted\_Tx\_power\_P<sub>limit\_2</sub>(t)*, and *1g\_or 10g\_SAR\_P<sub>limit\_2</sub>* correspond to the instantaneous Tx power, conducted Tx power at *P<sub>limit</sub>*, and compliance *1g\_or 10g\_SAR* values at *P<sub>limit\_2</sub>* of band2 with time-averaging window '*T2<sub>SAR</sub>*'. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window '*T1<sub>SAR</sub>*' to the second band with time-averaging window '*T2<sub>SAR</sub>*' happens at time-instant '*t<sub>1</sub>*'.

### **Test procedure**

1. Measure *P<sub>limit</sub>* for both the technologies and bands selected in Section 3.2.6. Measure *P<sub>limit</sub>* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.



2. Set *Reserve\_power\_margin* to actual (intended) value and enable Smart Transmit

#### Transition from 100s time window to 60s time window, and vice versa

3. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.
4. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at  $P_{limit}$ .
6. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.
7. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

#### Transition from 60s time window to 100s time window, and vice versa

8. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
9. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.
10. Repeat above Step 5~7 to generate the plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg

### **3.3.7 SAR exposure switching**

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

**Test procedure:**

1. Measure conducted Tx power corresponding to  $P_{limit}$  for radio1 and radio2 in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1  $P_{limit}$  with Smart Transmit enabled and Reserve\_power\_margin set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to radio2  $P_{limit}$ . If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set Reserve\_power\_margin to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1gSAR or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg or  $10gSAR_{limit}$  of 4.0W/kg.

**3.4 Test procedure for time-varying SAR measurements**

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the “path loss” between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.,) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 7.1.
2. Time averaging feature validation:
  - I. For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set Reserve\_power\_margin to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, pointSAR\_Plimit, corresponds to point SAR at the measured Plimit (i.e., measured Plimit from the EUT in Step 1 of Section 3.3.1).
  - II. Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if Reserve\_power\_margin cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, pointSAR(t), and convert it into instantaneous 1gSAR or 10gSAR vs. time using Eq. (3a), re-written below:
 
$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit}$$
 where, *pointSAR\_Plimit* is the value determined in Step 2.i, and *pointSAR(t)* is the instantaneous point SAR measured in Step 2.ii, *1g\_or\_10gSAR\_Plimit* is the measured 1gSAR or 10gSAR value listed in Part 1 report.
  - III. Perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time.





- IV. Make one plot containing: (a) time-averaged 1gSAR or 10gSAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.
- V. Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.
- VI. Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR (i.e., Eq. (3b)).

## 4. Test Configurations

### 4.1 WWAN (sub-6) transmission

The  $P_{limit}$  values, corresponding to *SAR\_design\_target* in operational description, for technologies and bands supported by EUT are derived in Part 1 report and summarized in Table 4-1. Note all  $P_{limit}$  power levels entered in Table 4-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & Sub6 NR TDD).

**Table 4-1:  $P_{limit}$  for supported technologies and bands ( $P_{limit}$  in EFS file)**

Band	Duty Cycle %	Antenna	Head DSI 3	Body-Worn&Hotspot&Extremity DSI 1	Pmax*
GSM850 (2 Tx slots)	25	Ant 0	24.1	27.5	24.5
GSM1900 (2 Tx slots)	25	Ant 3	19.4	24.9	21.5
WCDMA II	100	Ant 3	18.0	24.8	23.5
WCDMA IV	100	Ant 3	18.3	24.6	23.5
WCDMA V	100	Ant 0	23.6	27.2	24.5
LTE Band 2	100	Ant 3	18.6	24.3	23.0
LTE Band 4	100	Ant 3	19.2	24.9	23.0
LTE Band 5	100	Ant 0	23.2	27.3	24.0
LTE Band 7	100	Ant 1	30.6	20.0	23.5
LTE Band 12	100	Ant 0	24.5	26.9	24.0
LTE Band 71	100	Ant 0	24.2	27.2	24.0
LTE Band 41(38)	63.3	Ant 1	29.7	22.7	21.5
5G NR n5	100	Ant 0	22.9	27.3	23.5
5G NR n71	100	Ant 0	22.6	27.0	23.5
5G NR n77(78)	100	Ant 4	20.4	20.4	23.5
5G NR n78(HPUE)	100	Ant 4	20.4	20.4	26.0
5G NR n77(78) Part 27O	100	Ant 7	21.3	26.7	16.0
5G NR n77(78) Part 27Q	100	Ant 7	21.3	26.7	18.5
5G NR n78(HPUE) Part 27O	100	Ant 7	28.1	25.9	17.0
5G NR n78(HPUE) Part 27Q	100	Ant 7	28.1	25.9	21.5

Note: 1) \*P<sub>max</sub> is used for RF tune up procedure. The maximum allowed output power is equal to P<sub>max</sub> + device uncertainty.

2) All  $P_{limit}$  power levels entered in the Table correspond to average power levels after accounting for duty cycle in the case TDD modulation schemes (for e.g., GSM & LTE TDD).

3) The max allowed output power is the  $P_{limit}$  + device uncertainty, and if  $P_{limit}$  is higher than P<sub>max</sub>, the device output power will be P<sub>max</sub> instead.

4) 5G NR n77/n78 ant 7 support SRS (Sounding Reference Signal) functionality.

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 4-3, the *Reserve\_power\_margin* (dB) for 2AUWUFP4 is set to 3dB in EFS, and is used in Part 2 test.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4-3. The corresponding worst-case radio configuration 1gSAR or 10gSAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4-3.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same.



**SAR Design Target**

Band	Antenna	Head DSI 3	Body-Worn DSI 1	Hotspot DSI 1	Extremity DSI 1
GSM850	Ant 0	0.75	0.75	0.75	1.75
GSM1900	Ant 3	0.75	0.75	0.75	1.75
WCDMA II	Ant 3	0.75	0.75	0.75	1.75
WCDMA VI	Ant 3	0.75	0.75	0.75	1.75
WCDMA V	Ant 0	0.75	0.75	0.75	1.75
LTE Band 2	Ant 3	0.75	0.75	0.75	1.75
LTE Band 4	Ant 3	0.75	0.75	0.75	1.75
LTE Band 5	Ant 0	0.75	0.75	0.75	1.75
LTE Band 7	Ant 1	0.75	0.26	0.55	1.75
LTE Band 12	Ant 0	0.75	0.75	0.75	1.75
LTE Band 71	Ant 0	0.75	0.75	0.75	1.75
LTE Band 41(38)	Ant 1	0.75	0.75	0.75	1.75
5G NR n5	Ant 0	0.75	0.75	0.75	1.75
5G NR n71	Ant 0	0.75	0.75	0.75	1.75
5G NR n77(78)	Ant 4	0.75	0.30	0.70	1.75
5G NR n78(HPUE)	Ant 4	0.75	0.30	0.70	1.75
5G NR n77(78)	Ant 7	0.75	0.75	0.75	1.75
5G NR n78(HPUE)	Ant 7	0.75	0.75	0.75	1.75

**Trigger condition**

Exposure conditions	Trigger Conditions	DSI
Head	Receiver on	3
Body Worn	NA	1
Hotspot	NA	1
Extremity	NA	1

	Uncertainty dB (k=2)
Sub6 radio TxAGC	1.0
Device to device variation	1.2
Total uncertainty	1.49

To account for total uncertainty, SAR\_design\_target should be determined as:

$$SAR_{design\_target} < SAR_{regulatory\_limit} \times 10^{\frac{-total\ uncertainty}{10}}$$

**Table 4-2: Radio configurations selected for Part 2 test**

Test case #	Test scenario	Band	Antenna	DSI	Channel	Freq (MHz)	BW (MHz)	Modulation	RB size	RB offset	mode	Test Position	Gap (mm)	Part 1, SAR@Plimit 1-g SAR (W/kg)
1	Time-Varying Seq1	GSM1900	3	3	512	1850.2	-	-	-	-	GPRS (2 Tx slots)	Right Cheek	0	0.781
2		WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	0.754
3		WCDMA 4	3	3	1513	1752.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	0.774
4		LTE Band 2	3	3	19100	1900	20M	QPSK	1	0	-	Right Cheek	0	0.766
5		LTE Band 7	1	1	21100	2535	20M	QPSK	1	0	-	Front	10	0.27
6		FR1 SA n77	4	3	633334	3500.01	100M	QPSK	1	1	-	Left Cheek	0	0.746
7		FR1 SA n78 pc2	4	1	633334	3500.01	100M	QPSK	1	1	-	Back	10	0.331
8	Call Drop	WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	0.754
9	Tech/band switch	LTE Band 4	3	3	20300	1745	20M	QPSK	1	0	-	Right Cheek	0	0.772
		WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	0.754
10	DSI switch	WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Left Cheek	0	0.754
		WCDMA 2	3	1	9400	1880	-	-	-	-	RMC 12.2Kbps	Back	10	0.553
11	Antenna switch	LTE Band 2	3	3	19100	1900	20M	QPSK	1	0	-	Right Cheek	0	0.766
		LTE Band 7	1	3	21100	2535	20M	QPSK	1	0	-	Left Cheek	0	0.144
12	SAR1 vs SAR2	LTE Band 7	1	1	21100	2535	20M	QPSK	1	0	-	Front	10	0.27
		FR1 NSA n78 pc2	4	1	633334	3500.01	100M	QPSK	1	1	-	Back	10	0.331

Note that the EUT has total six DSI states to manage the output power for different conditions, including five for the RF exposure conditions in above table 4-1, detail DSI states and trigger conditions shown on the operational description, the maximum 1gSAR/or 10gSAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation.



Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1~7 listed in Table 5-3 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. Technology and band for change in call test: The test case 8 listed in Table 5-3 are selected for performing the call drop test in conducted power setup. WCDMA B2 having the lowest Plimit among all technologies and bands
3. Technologies and bands for change in technology/band test: The test case 9 listed in Table 5-3 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
4. Technologies and bands for change in DSI: The test case 10 listed in Table 5-3 is selected for DSI switch test by establishing a call in WCDMA B2 in DSI=3, and then handing over to DSI =1 exposure scenario in conducted power setup.
5. Technologies and bands for change in Antenna: Technologies and bands for change in antenna: The test case 11 listed in Table 4-2 is selected for handover test from antenna to another antenna, in conducted power setup.
6. Technologies and bands for switch in SAR exposure: The test case 12 listed in Table 5-3 are selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR active in the same 100s time window, in conducted power setup.



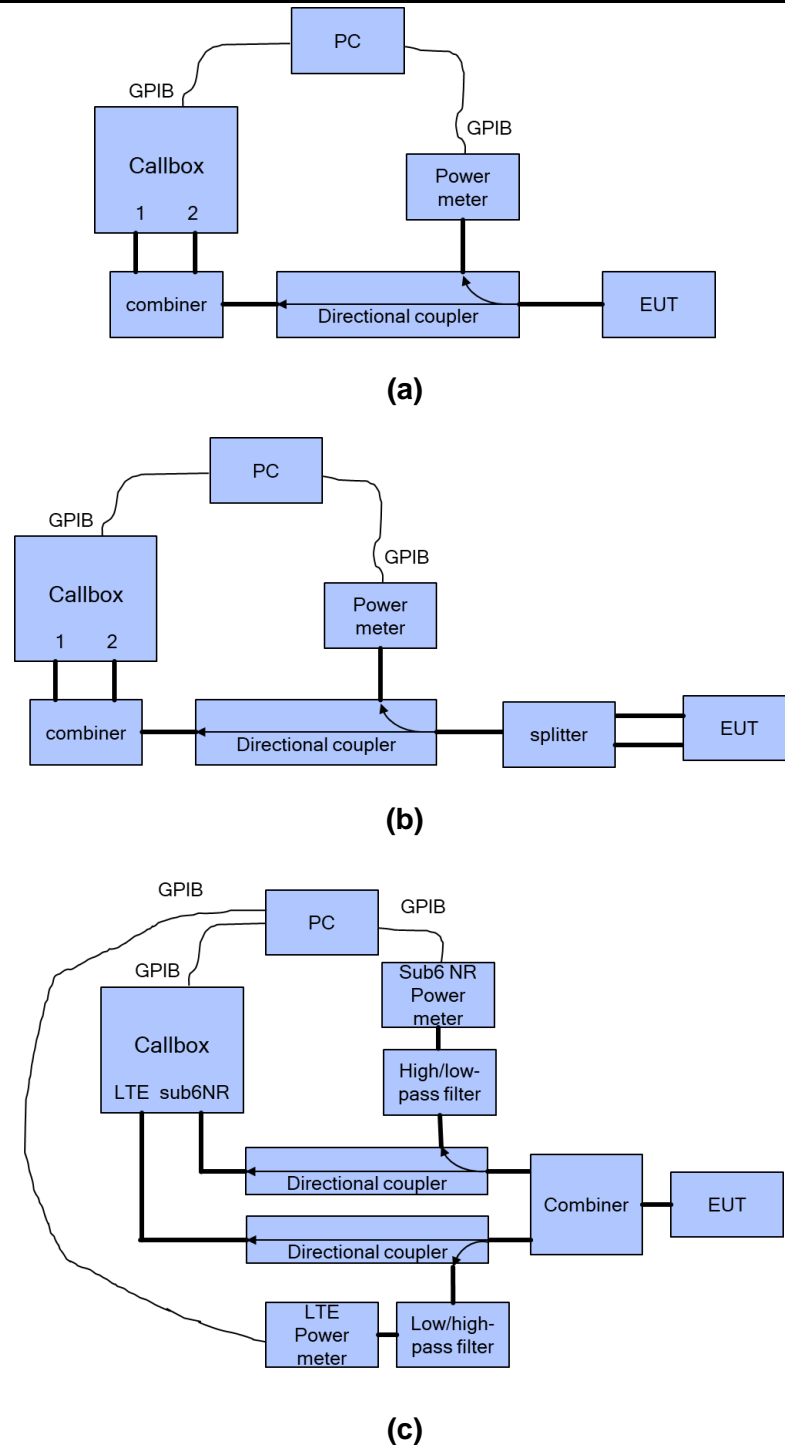
## **5. Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation**

### **5.1 Measurement setup**

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup schematic are shown in Figures 5-1. For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

#### LTE+Sub6 NR test setup:

The Keysight UXME7515B callbox is used in this test. If LTE conducted port and Sub6 NR conducted port are same on this EUT (i.e., they share the same antenna), therefore, low-/high-pass filter are used to separate LTE and Sub6 NR signals for power meter measurement via directional couplers, as shown in below Figure 5-1 C (Appendix E – Test Setup Photo ). All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.



**Figure 5-1 Conducted power measurement setup**

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send GPIB commands to control the callbox’s requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:



- 0dBm for 100 seconds
- test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- stay at the last power level of test sequence 1 or test sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2<sup>nd</sup> test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at  $P_{reserve}$  level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.





**5.2 Plimit and Pmax measurement results**

The measured  $P_{limit}$  for all the selected radio configurations given in Table 4-2 are listed in below Table 5-1.  $P_{max}$  was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

**Table 5-1: Measured  $P_{limit}$  and  $P_{max}$  of selected radio configurations**

Test case #	Test scenario	Band	Antenna	DSI	Channel	Freq (MHz)	BW (MHz)	Modulation	RB size	RB offset	mode	Test Position	Gap (mm)	Plimit EFS setting (dBm)	target pmax (dBm)	measured plimit (dBm)	measured pmax (dBm)
1	Time-Varying Seq1	GSM1900	3	3	512	1850.2	-	-	-	-	GPRS (2 Tx slots)	Right Cheek	0	19.4	21.5	19.5	21.27
2		WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	18	23.5	18.6	23.7
3		WCDMA 4	3	3	1513	1752.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	18.3	23.5	18.9	23.65
4		LTE Band 2	3	3	19100	1900	20M	QPSK	1	0	-	Right Cheek	0	18.6	23	18.65	23.25
5		LTE Band 7	1	1	21100	2535	20M	QPSK	1	0	-	Front	10	20	23.5	19.95	23.1
6		FR1 SA n77	4	3	633334	3500.01	100M	QPSK	1	1	-	Left Cheek	0	20.4	23.5	21.1	23.45
7		FR1 SA n78 pc2	4	1	633334	3500.01	100M	QPSK	1	1	-	Back	10	20.4	26	21.4	25.2
8	Call Drop	WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	18	23.5	18.6	23.7
9	Tech/band switch	LTE Band 4	3	3	20300	1745	20M	QPSK	1	0	-	Right Cheek	0	19.2	23	20	23.85
		WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Right Cheek	0	18	23.5	18.6	23.7
10	DSI switch	WCDMA 2	3	3	9538	1907.6	-	-	-	-	RMC 12.2Kbps	Left Cheek	0	18	23.5	18.6	23.7
		WCDMA 2	3	1	9400	1880	-	-	-	-	RMC 12.2Kbps	Back	10	24.8	23.5	23.7	23.7
11	Antenna switch	LTE Band 2	3	3	19100	1900	20M	QPSK	1	0	-	Right Cheek	0	18.6	23	18.65	23.25
		LTE Band 7	1	3	21100	2535	20M	QPSK	1	0	-	Left Cheek	0	30.6	23.5	22.8	22.8
12	SAR1 vs SAR2	LTE Band 7	1	1	21100	2535	20M	QPSK	1	0	-	Front	10	20	23.5	19.95	23.2
		FR1 NSA n78 pc2	4	1	633334	3500.01	100M	QPSK	1	1	-	Back	10	20.4	26	21.4	25.2

Note: the device uncertainty of  $P_{max}$  is +1dB/-1dB as provided by manufacturer.

**5.3 Time-varying Tx power measurement results**

The measurement setup is shown in Figures 5-1(a) and 5-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when represented in time-averaged 1gSAR or 10gSAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR and 10gSAR values at *P<sub>limit</sub>* reported in Part 1 test (listed in Table 4-2 of this report as well).

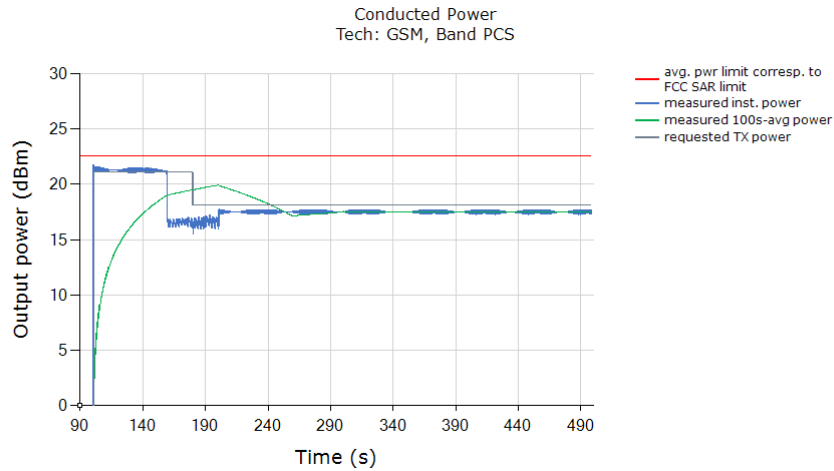
Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time-averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

Similarly, in all the 1g or 10gSAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1gSAR or 10gSAR value calculated based on instantaneous 1gSAR or 10gSAR; and the red line limit represents the FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR.

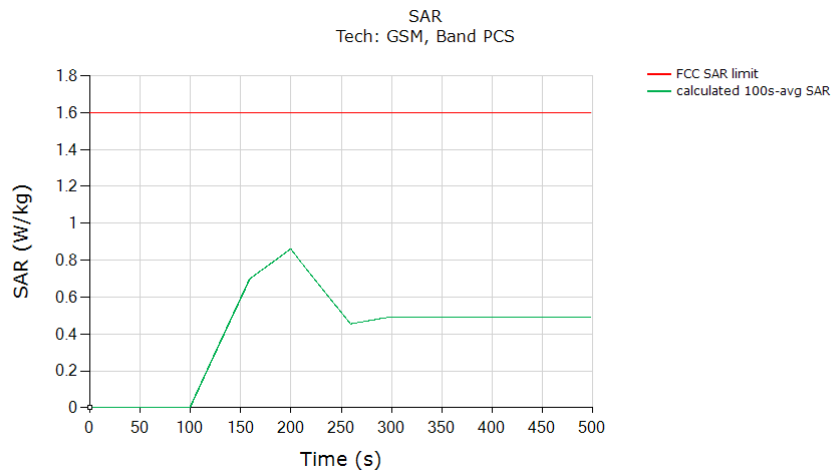
The power limiting enforcement is effective in all the tests, and the time-averaged 1gSAR does not exceed the SAR design target + device uncertainty for all the tested technologies/bands. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

6.3.1 GSM1900

Test result for test sequence 1:

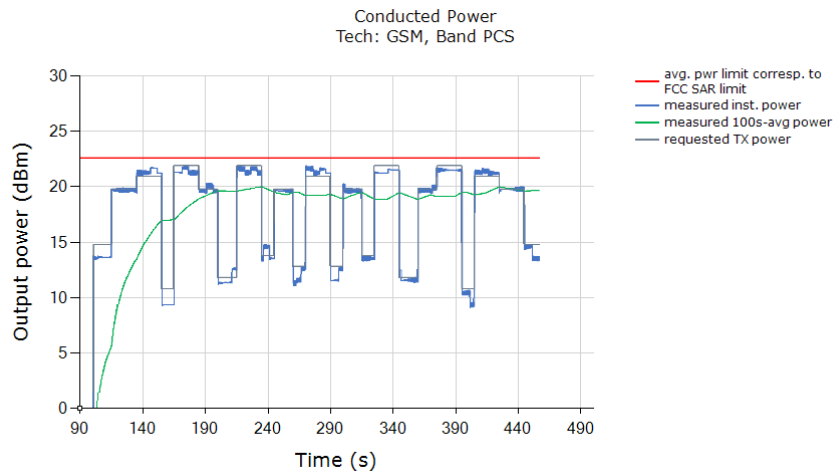


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

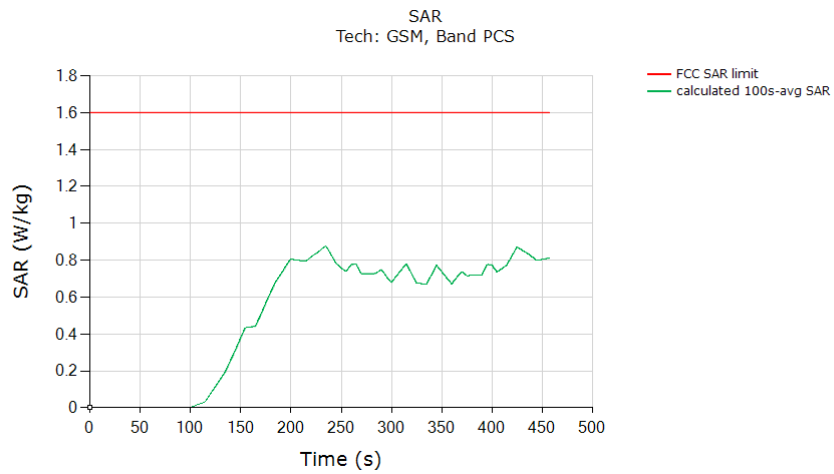


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.860
Validated: Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

Test result for test sequence 2:



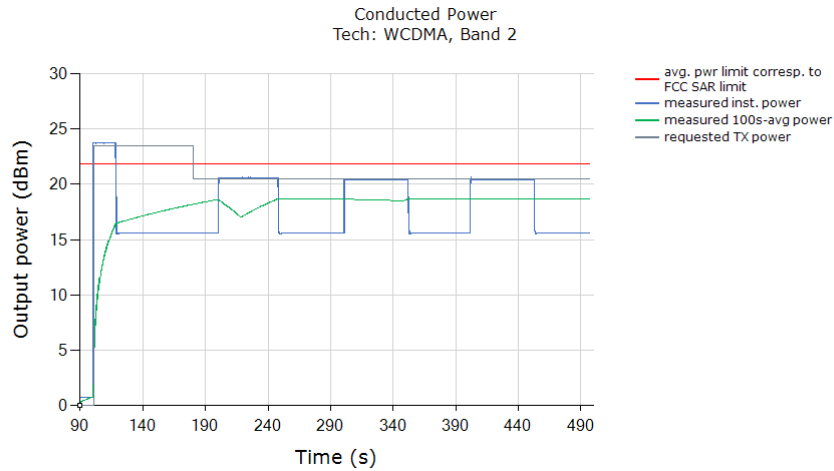
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6W/kg for 1gSAR:



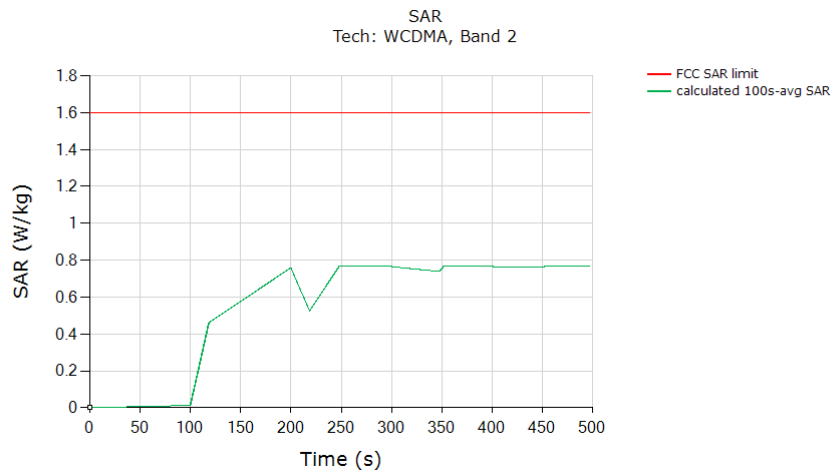
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.876
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

**6.3.2 WCDMA B2**

Test result for test sequence 1:

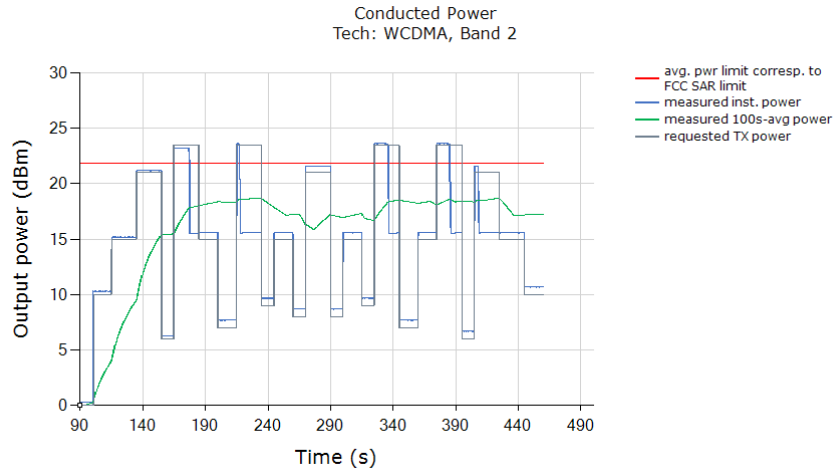


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

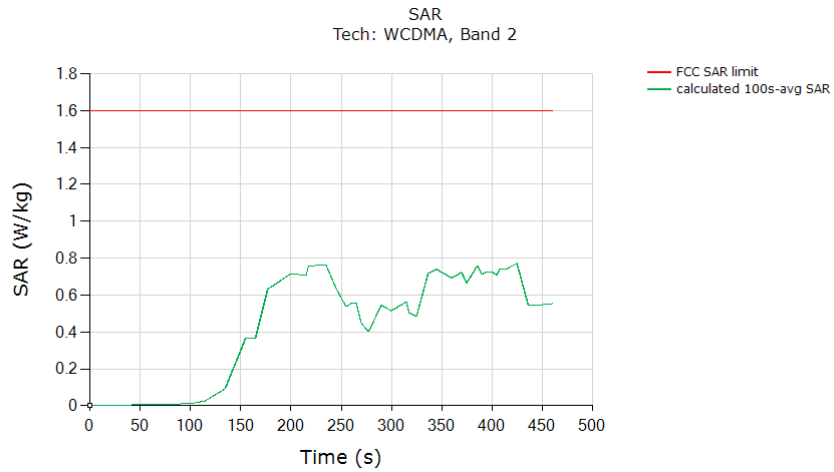


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.769
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

Test result for test sequence 2:



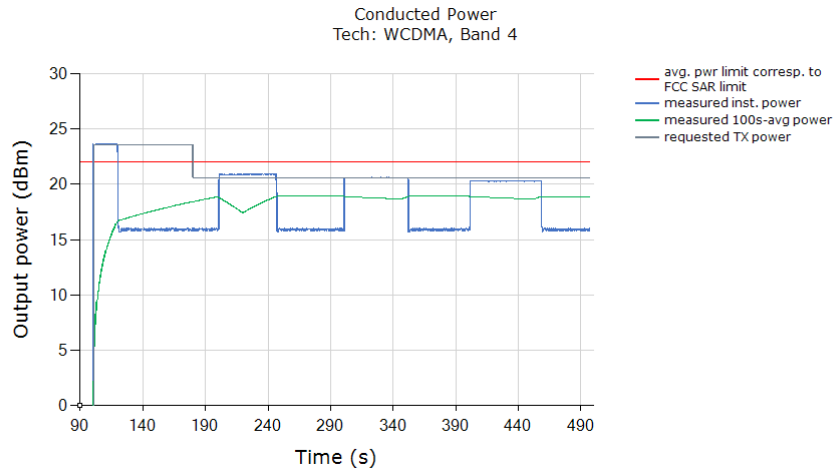
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6W/kg for 1gSAR:



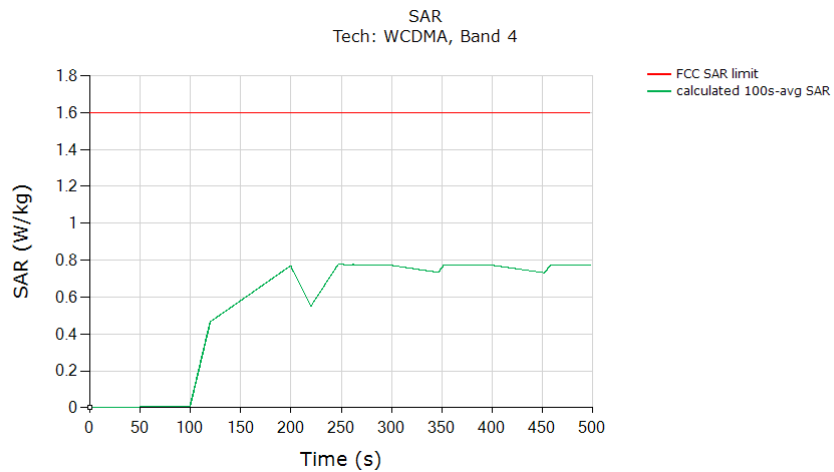
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.771
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

**6.3.3 WCDMA B4**

Test result for test sequence 1:

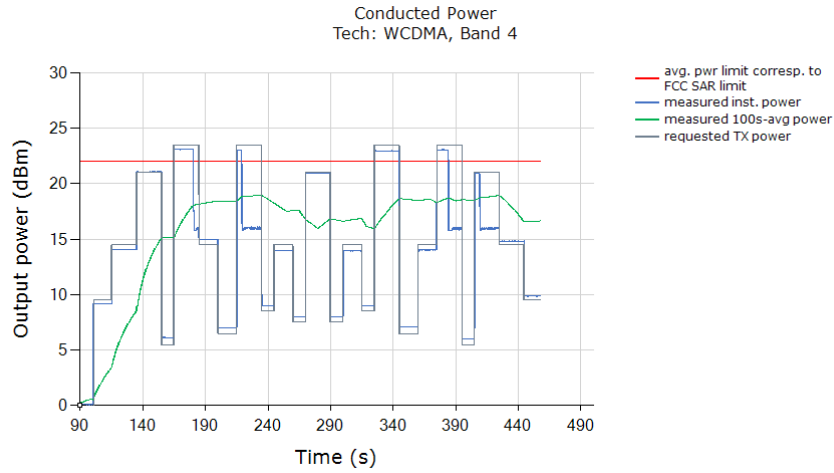


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

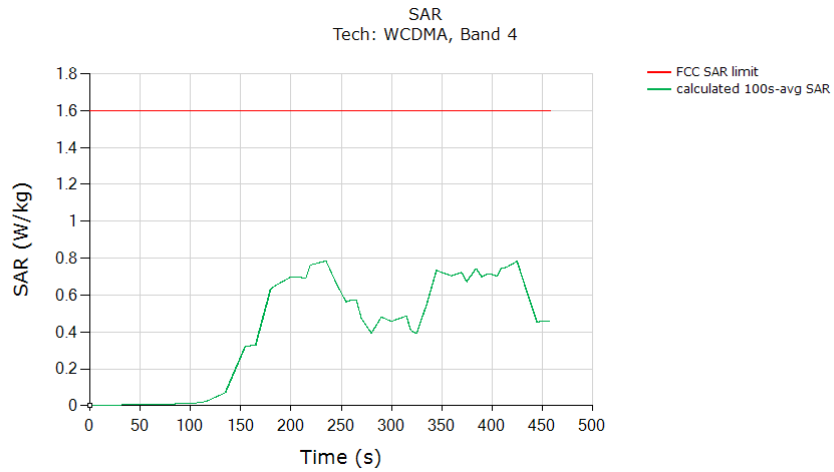


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.776
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

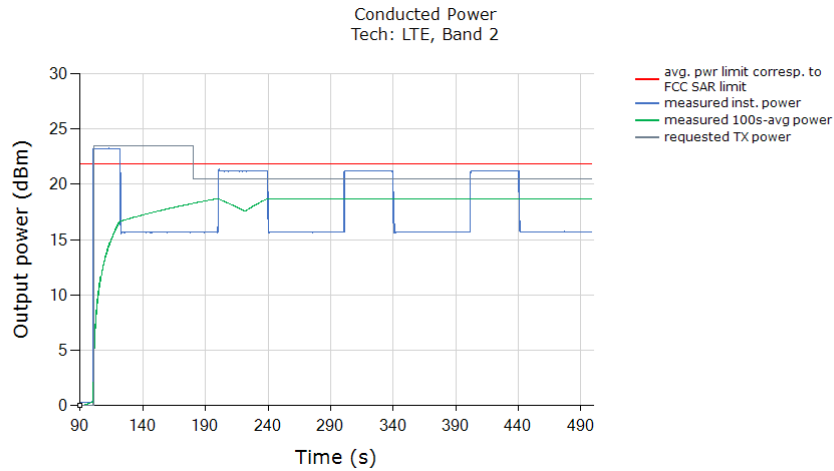


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.784
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

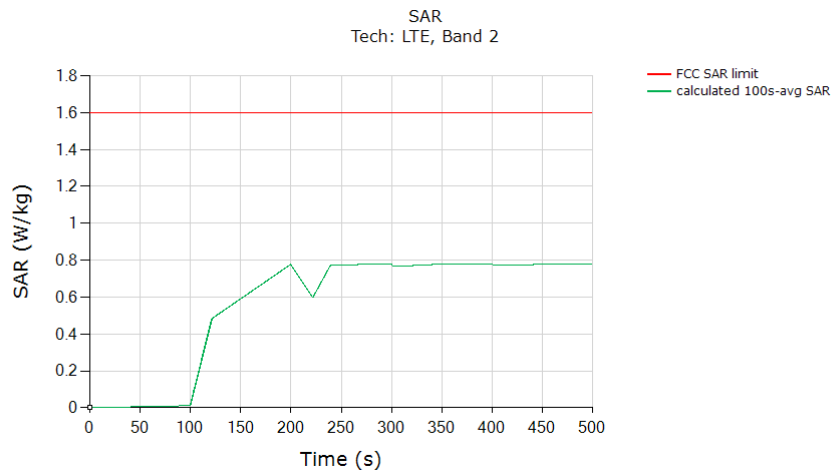


6.3.4 LTE B2

Test result for test sequence 1:

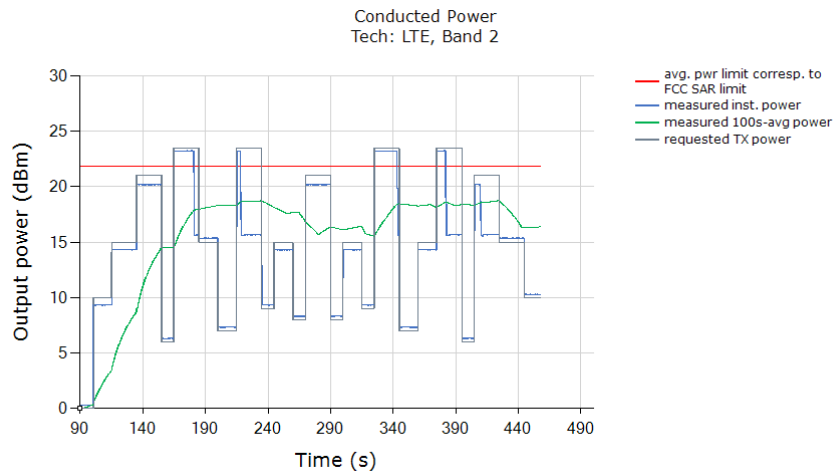


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

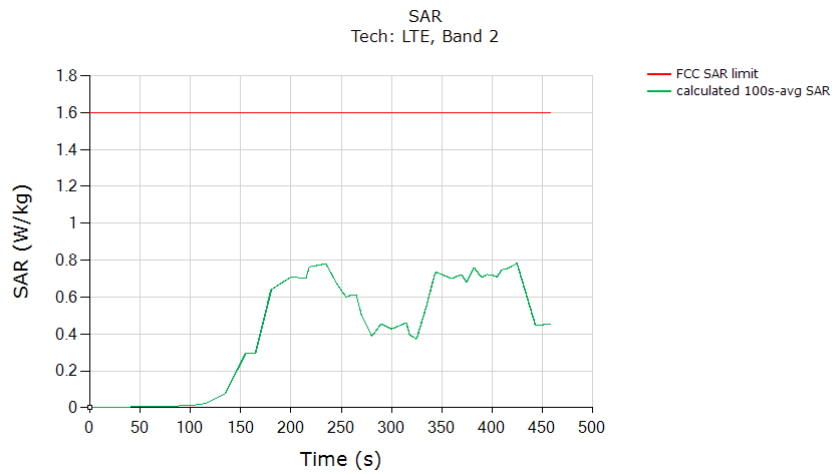


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.777
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

Test result for test sequence 2:



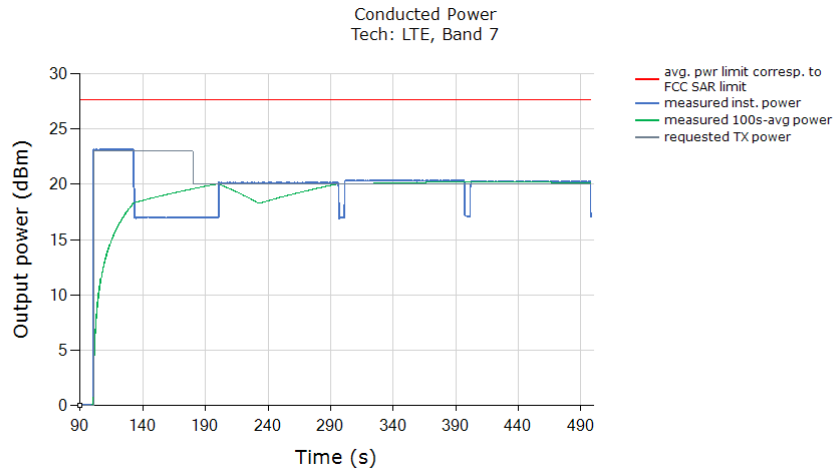
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



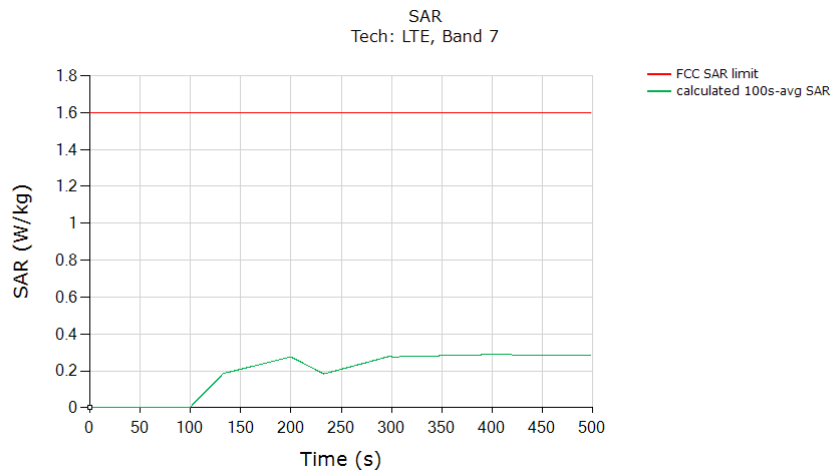
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.783
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

6.3.5 LTE B7

Test result for test sequence 1:

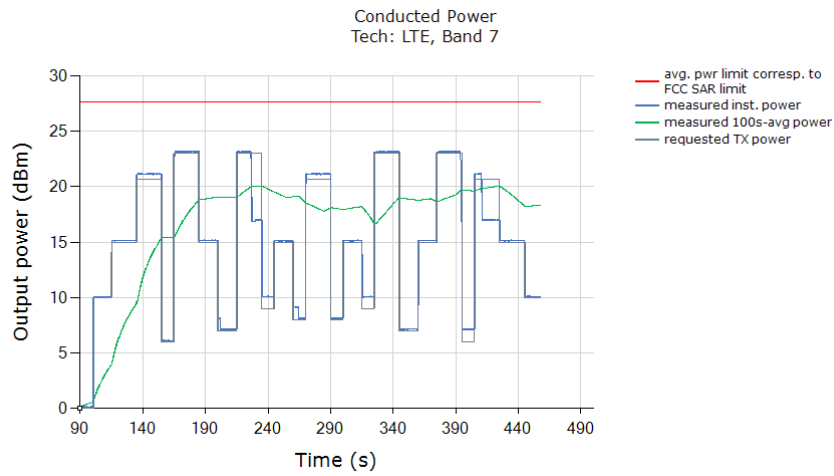


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

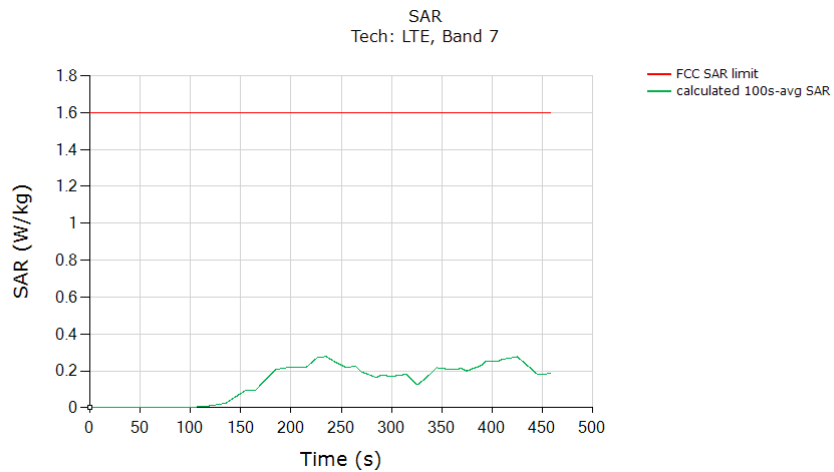


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 10gSAR (green curve)	0.289
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

Test result for test sequence 2:



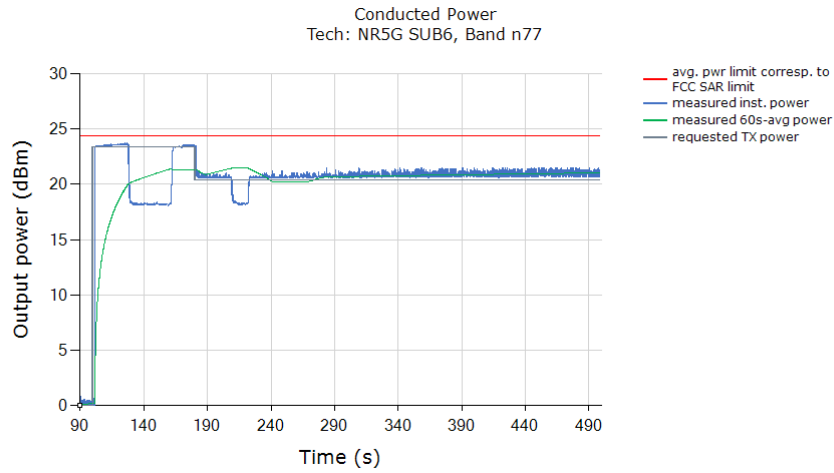
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



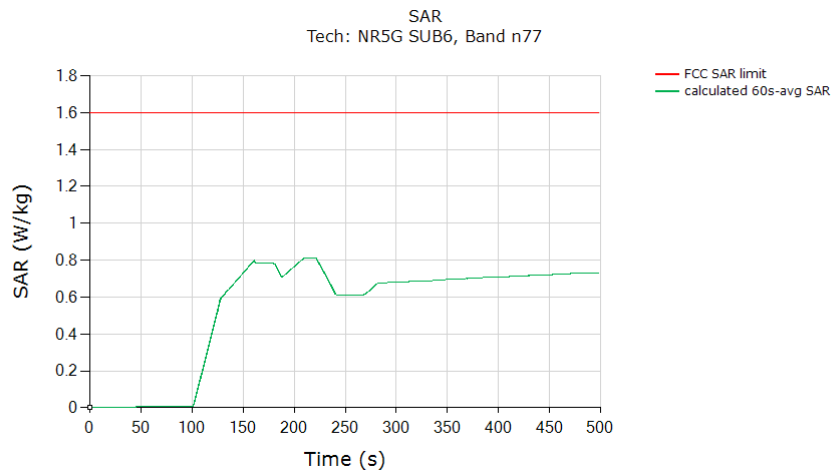
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.277
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed SAR design target +1.0dB device uncertainty	

**6.3.6 Sub6 NR n77**

Test result for test sequence 1:

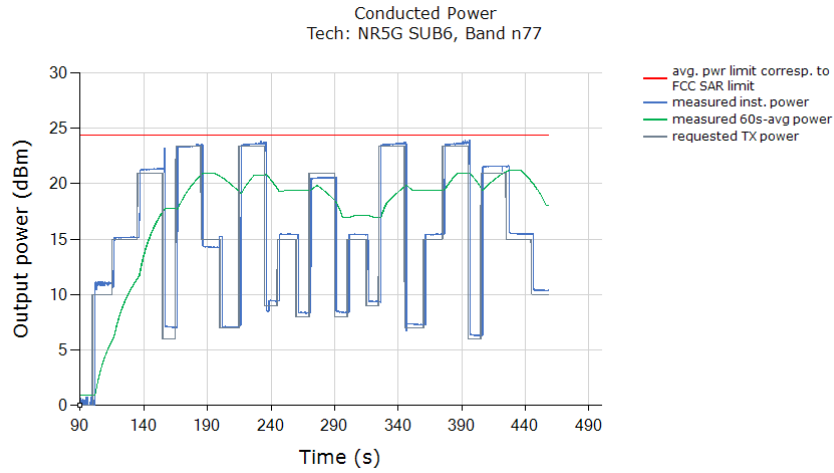


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

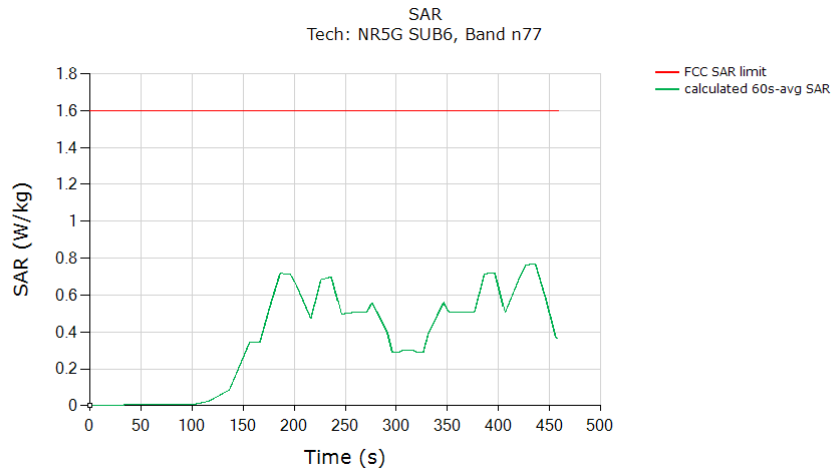


	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.810
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	

Test result for test sequence 2:



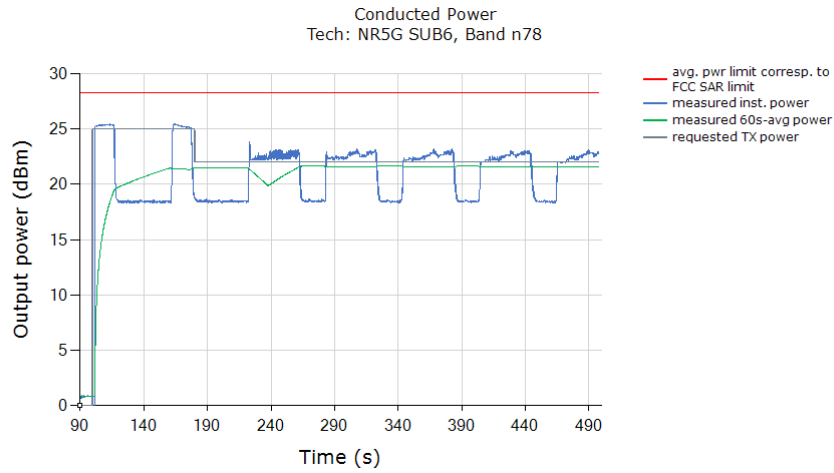
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



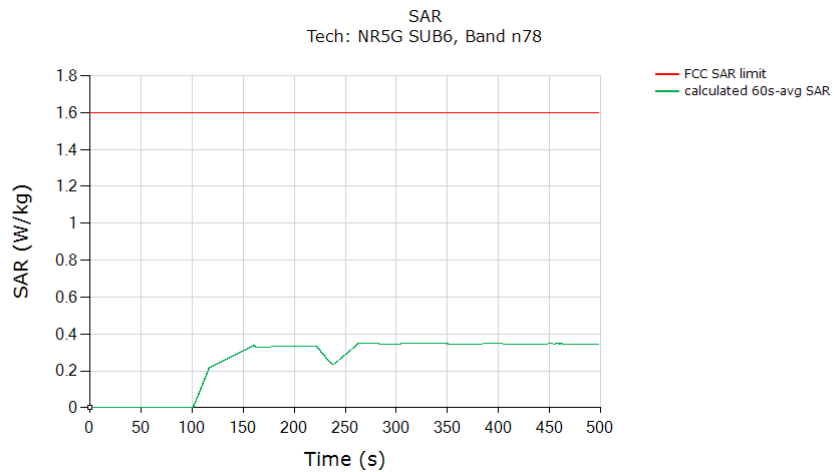
FCC 1gSAR limit	(W/kg)
Max 60s-time averaged 1gSAR (green curve)	1.6
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	0.765

**6.3.7 Sub6 NR n78**

Test result for test sequence 1:

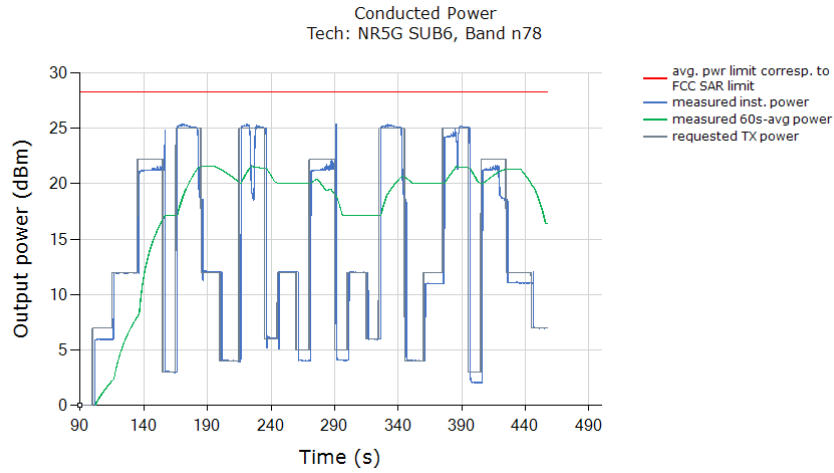


Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

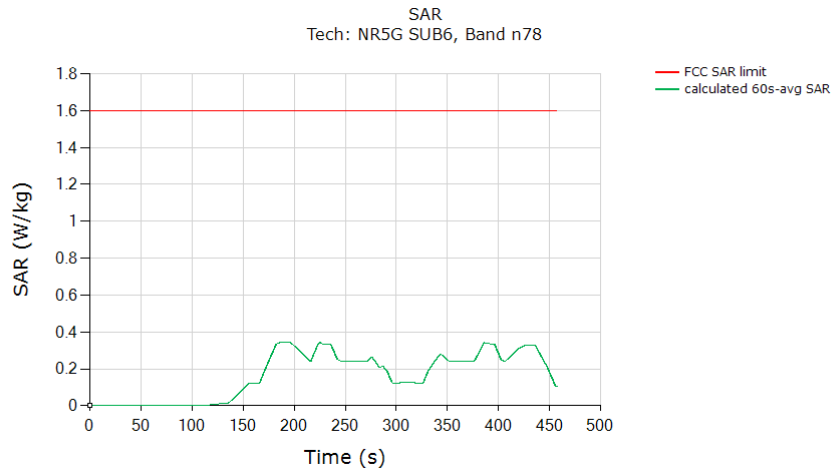


	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.352
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.345
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	

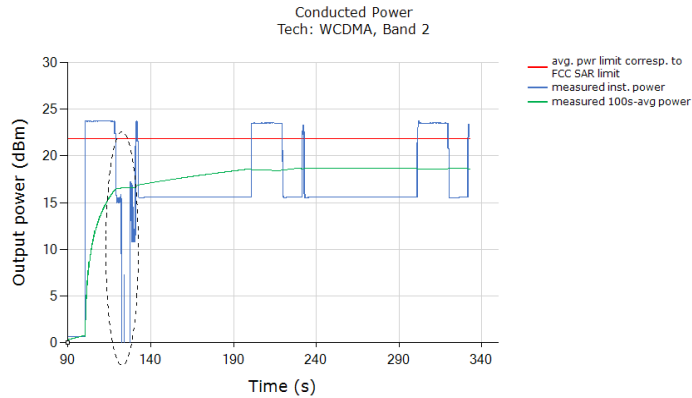


**5.4 Change in Call Test Results**

This test was measured with WCDMA B2, DSI=3, and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 5-1. The detailed test procedure is described in Section 3.3.2.

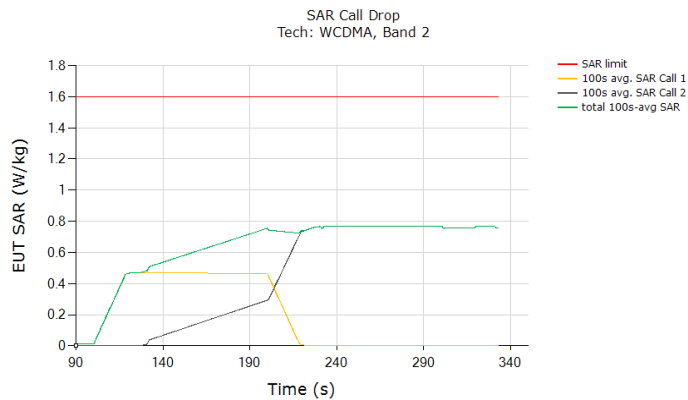
Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same  $P_{reserve}$  level of WCDMA Band 2 after the call was re-established:



Plot Notes: ... The conducted power plot shows expected Tx transition.

Plot 2: Above time-averaged conducted Tx power is converted/calculated into time-averaged 1gSAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1gSAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



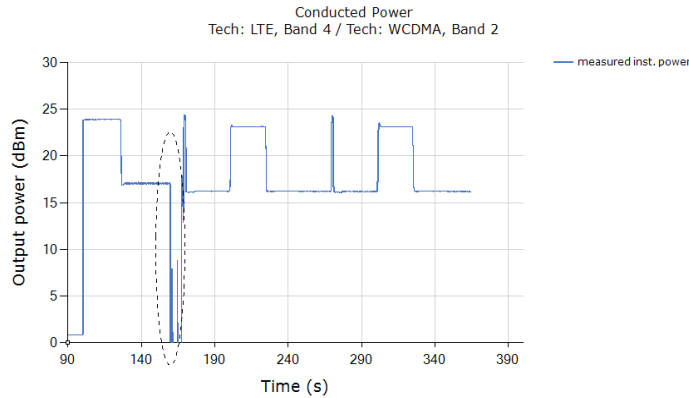
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.766
<b>Validated</b>	

The test result validated the continuity of power limiting in DSI switch scenario.

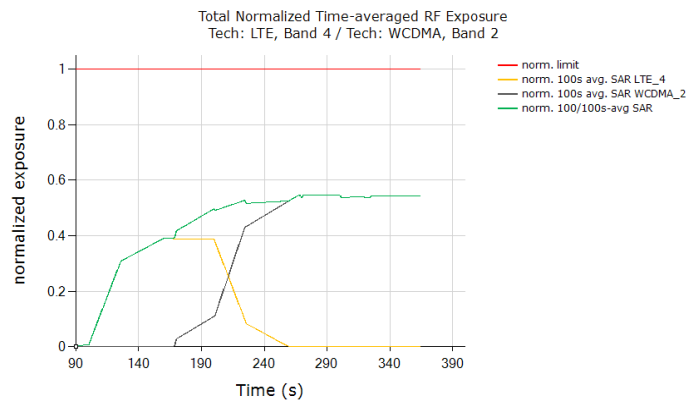
**5.5 Change in technology/band test results**

This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE B4, DSI = 3 to WCDMA B2, DSI = 3. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 6-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black region).

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE B4, DSI = 3  $P_{reserve}$  level to WCDMA B2, DSI = 3



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized exposure versus time does not exceed the normalized FCC limit of 1.0:



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.546
<b>Validated</b>	

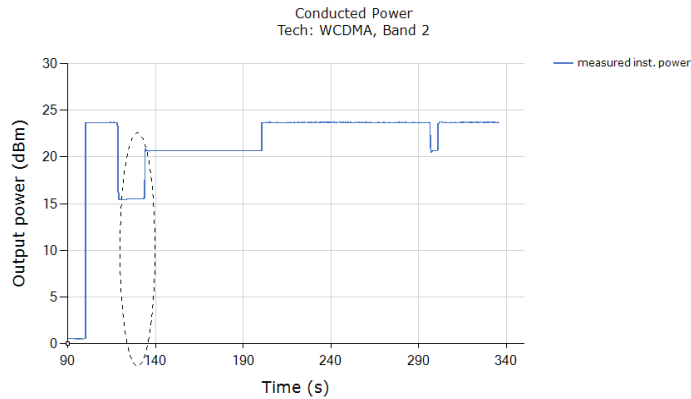
The test result validated the continuity of power limiting in technology/band switch scenario.

**5.6 Change in DSI test results**

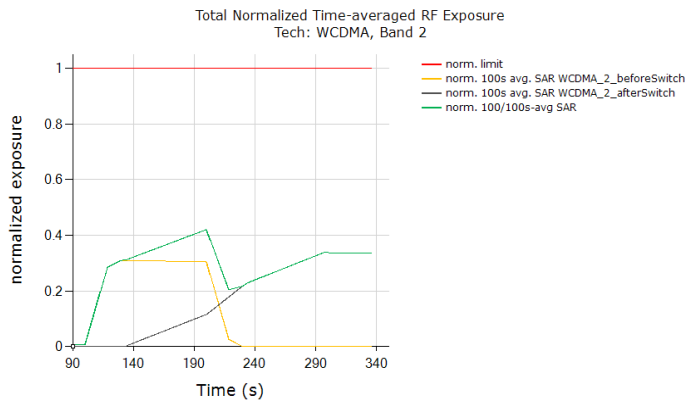
This test was conducted with callbox requesting maximum power, and with DSI switch from WCDMA B2 DSI = 3 to DSI = 1. Following procedure detailed in Section 3.3.5 using the measurement setup shown in Figure 6-1(a) and (c), the DSI switch was performed when the EUT is transmitting at  $P_{reserve}$  level as shown in the plot below (dotted black circle).

**Test result for change in DSI:**

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when DSI = 3 switches to DSI = 1.



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized Exposure versus time does not exceed the FCC limit of 1 unit.



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 100s-time averaged normalized Exposure Ratio (green curve)	0.420
<b>Validated</b>	

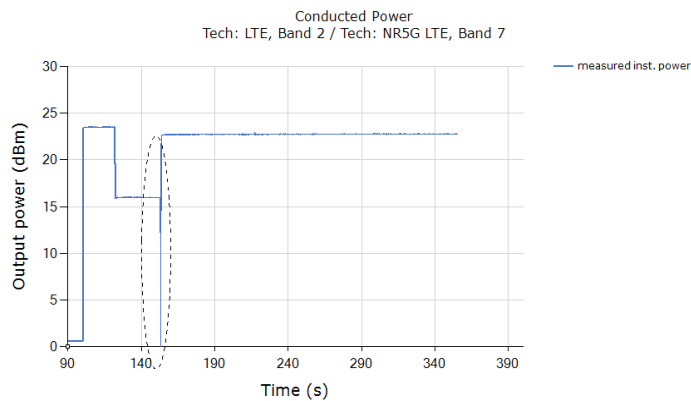
The test result validated the continuity of power limiting in DSI switch scenario.

**5.7 Change in antenna test results**

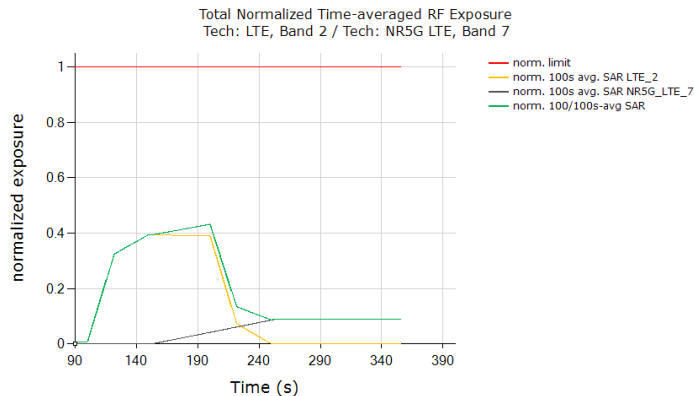
This test was conducted with callbox requesting maximum power, and with antenna switch from LTE B2, DSI = 3 to LTE B7, DSI = 3. Following procedure detailed in Section 3.3.4, and using the measurement setup shown in Figure 5-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at Preserve level as shown in the plot below (dotted black region). Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE B2, DSI = 3 Preserve level to LTE B7, DSI = 3 (dotted black circle).

Test result for change in antenna:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE B2, DSI = 3 to LTE B7, DSI = 3



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized Exposure versus time does not exceed the FCC limit of 1 unit.

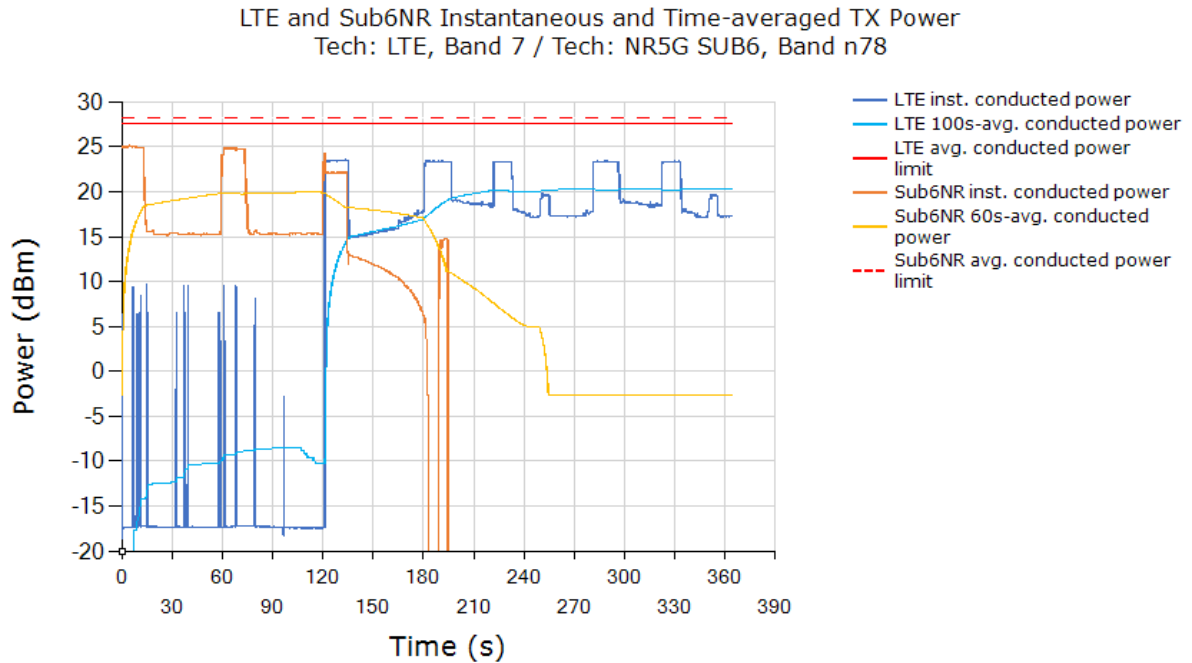


	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max 360s -time averaged normalized Exposure Ratio (green curve)	0.432
<b>Validated</b>	

The test result validated the continuity of power limiting in DSI switch scenario.

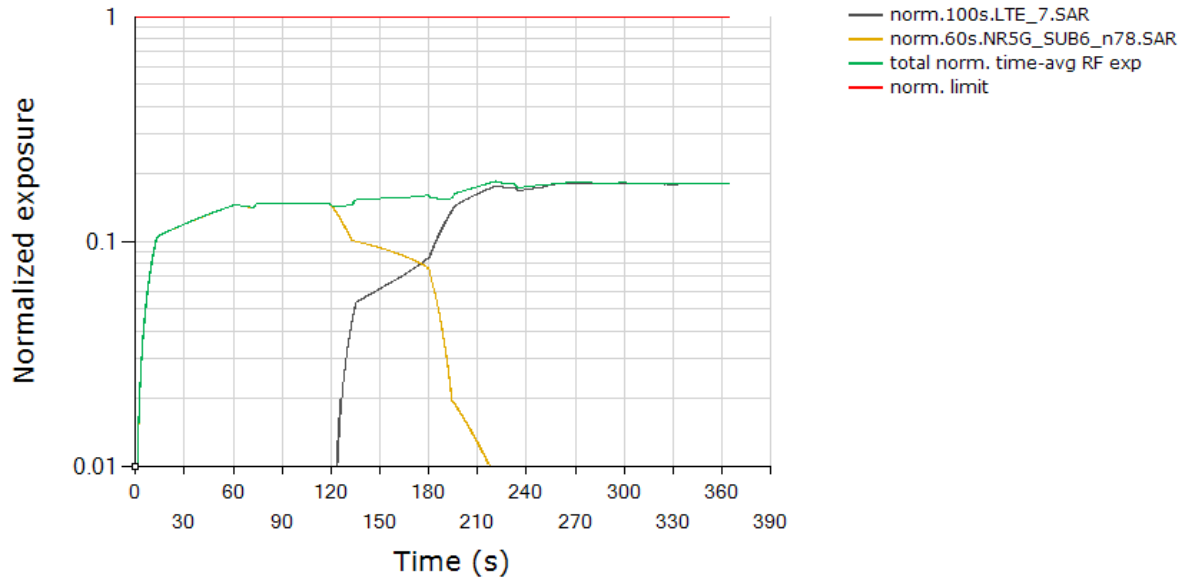
**5.8 Switch in SAR exposure test results**

This test was conducted with callbox requesting maximum power, and with the EUT in LTE 7 + Sub6 NR Band 78 call. Following procedure detailed in Section 3.3.7 and Appendix B.2, and using the measurement setup shown in Figure 5-1, the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios.



Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE B7 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in Sub6 NR n78 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).

Total Normalized Time-averaged RF Exposure  
 Tech: LTE, Band 7 / Tech: NR5G SUB6, Band n78



	Exposure Ratio
FCC normalized Exposure Ratio limit	1.0
Max time averaged normalized Exposure Ratio (green curve)	0.186
Validated	

Plot Notes:

Device starts predominantly in Sub6 NR SAR exposure scenario between 0s and 120s, and in LTE SAR + Sub6 NR SAR exposure scenario between 120s and 240s, and in predominantly in LTE SAR exposure scenario after t=240s. Here, Smart Transmit allocates a maximum of 75% of exposure margin (based on 3dB reserve margin setting) for Sub6 NR. This corresponds to a normalized 1gSAR exposure value = 75% \* 0.331W/kg measured SAR at Sub6 NR Plimit / 1.6W/kg limit = 0.155+ "+1.0dB~ -1.0dB" device related uncertainty (see orange curve between 0s~120s). For predominantly LTE SAR exposure scenario, maximum normalized 1gSAR exposure should correspond to 100% exposure margin = 0.27W/kg measured SAR at LTE Plimit / 1.6W/kg limit = 0.169 "+1.0dB~ -1.0dB" device related uncertainty (see black curve after t = 240s). Additionally, in SAR exposure switch test, at all times the total time-averaged normalized RF exposure (green curve) should not exceed normalized SAR\_design\_target + 1dB device uncertainty. In this test, with a maximum normalized SAR of 0.186 being ≤ 0.43 (= 0.55/1.6 + 1dB device uncertainty), the above test result validated the continuity of power limiting in SAR exposure switch scenario.



## **6. SAR Test Results for Sub-6 Smart Transmit Feature Validation**

### **6.1 Measurement setup**

The measurement setup is similar to normal SAR measurements (see Appendix E). The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 3.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in Sub6 NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

The EUT is placed in worst-case position according to Table 4-2.



## 6.2 SAR measurement results for time-varying Tx power transmission scenario

Following Section 3.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix C, and the associated SPEAG certificates are attached in Appendix D.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):

<https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf>

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s or 60s / cDASY6\_scan\_duration \* total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s-/60s-averaged pointSAR.

Following Section 3.4, for each of selected technology/band (listed in Table 4-2):

1. With *Reserve\_power\_margin* set to 0 dB, area scan is performed at  $P_{limit}$ , and time-averaged pointSAR measurements are conducted to determine the pointSAR at  $P_{limit}$  at peak location, denoted as  $pointSAR_{P_{limit}}$ .
2. With *Reserve\_power\_margin* set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g\_or\_10gSAR_{P_{limit}} \quad (3a)$$

where,  $pointSAR(t)$ ,  $pointSAR_{P_{limit}}$ , and  $1g\_or\_10gSAR_{P_{limit}}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$  from above step 1 and 2, and measured 1gSAR or 10gSAR values at  $P_{limit}$  obtained from Part 1 report and listed in Table 4-2 in Section 5.1 of this report.

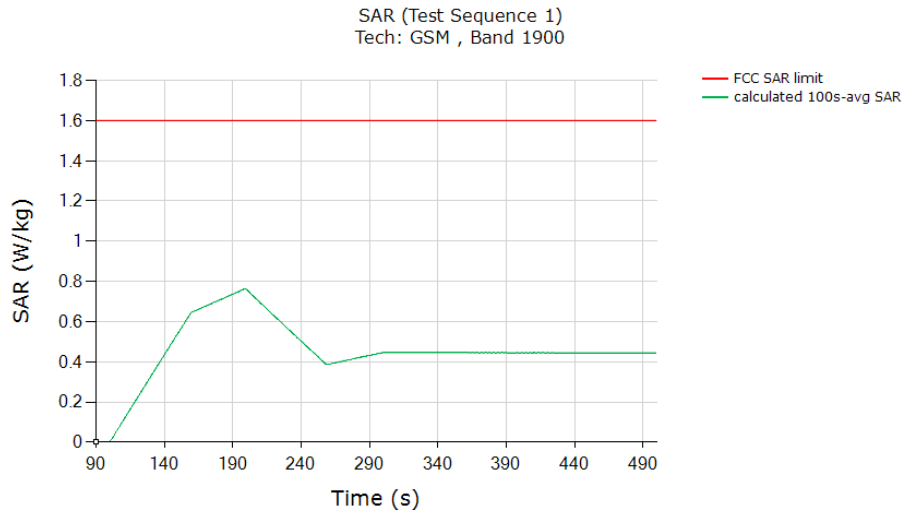
The power limiting enforcement is effective in all the tests, and the time-averaged 1gSAR does not exceed the SAR design target + device uncertainty for all the tested technologies/bands. Therefore, Qualcomm Smart Transmit time averaging feature is validated.





GSM1900 SAR test results

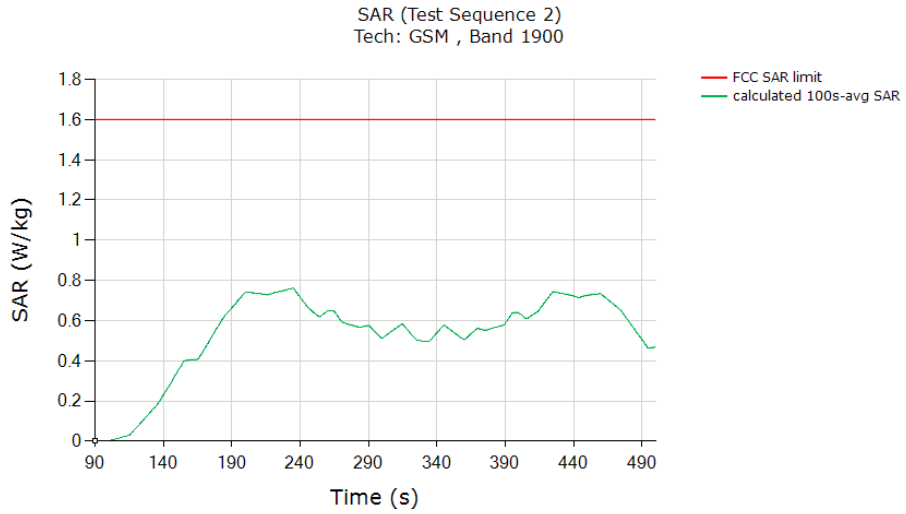
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.764
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



SAR test results for test sequence 2:

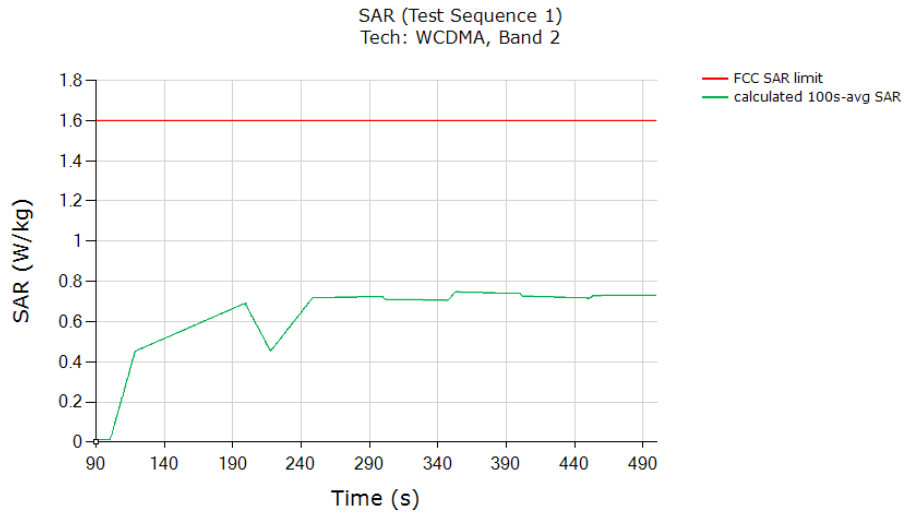


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.760
<i>Validated:</i> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



WCDMA B2 SAR test results

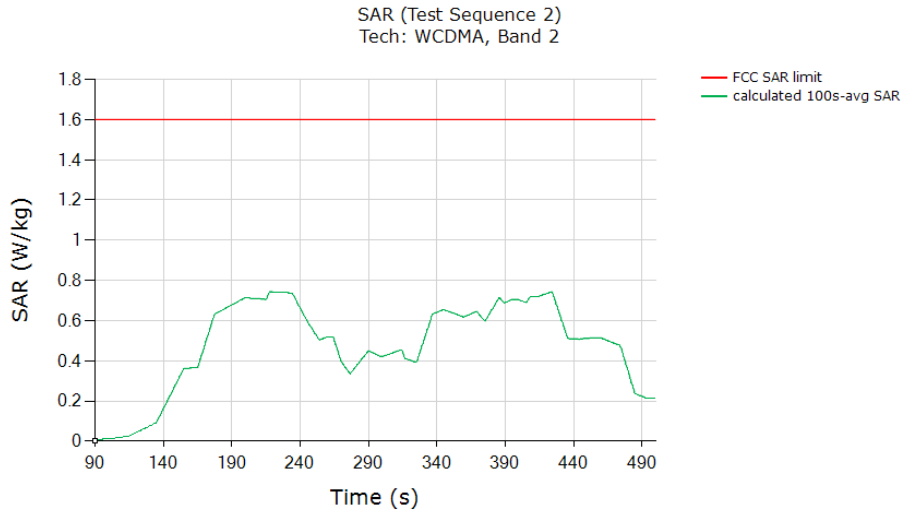
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.747
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



SAR test results for test sequence 2:

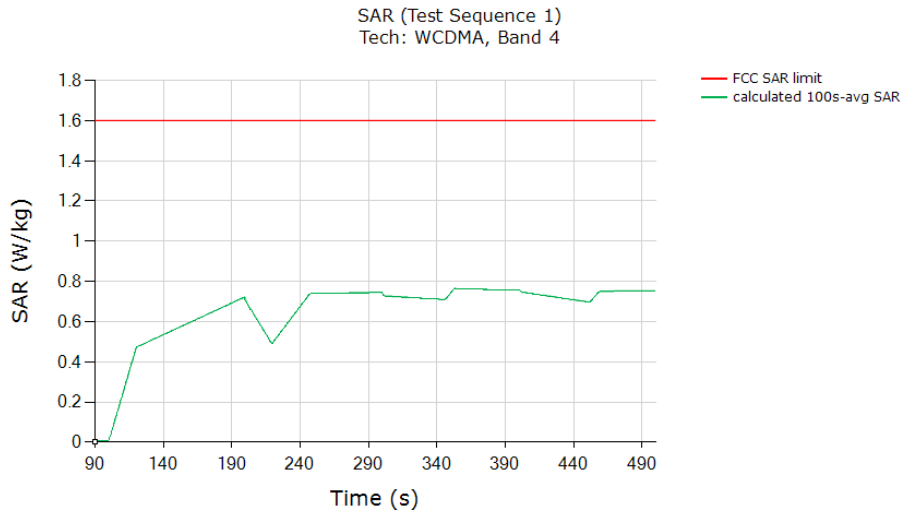


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.742
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



WCDMA B4 SAR test results

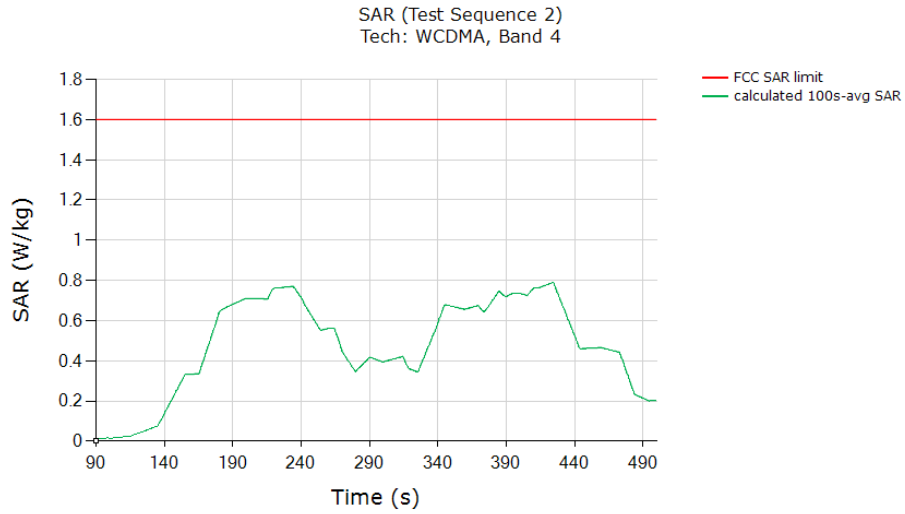
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.763
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



SAR test results for test sequence 2:

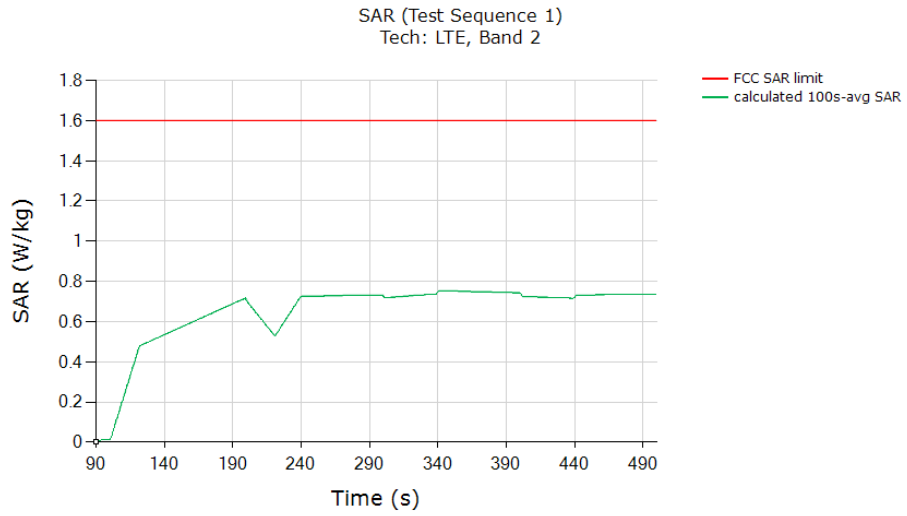


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.789
<span style="color: green;">Validated:</span> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



LTE B2 SAR test results

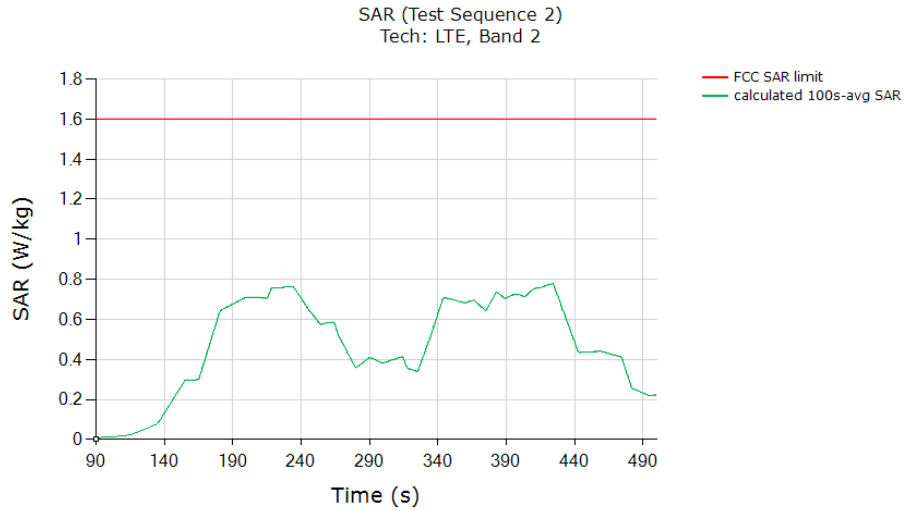
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.753
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



SAR test results for test sequence 2:



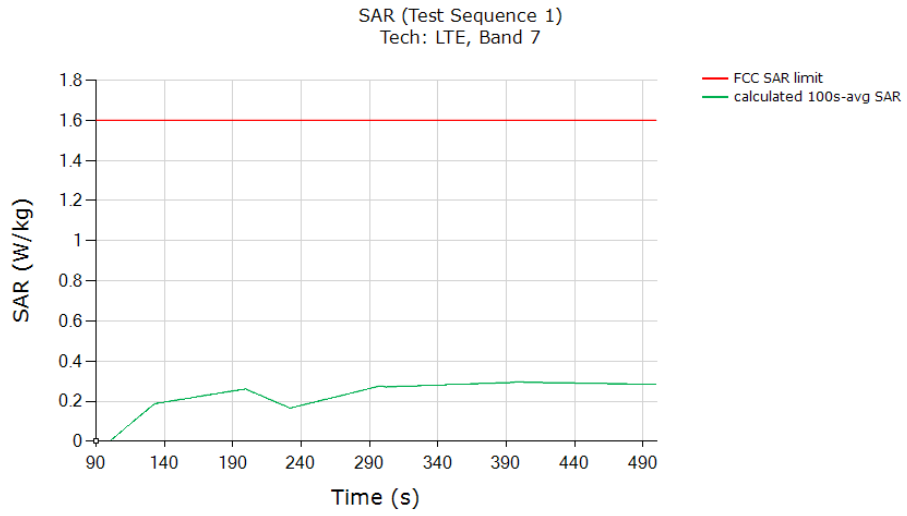
	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.779
<b>Validated:</b> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	





LTE B7 SAR test results

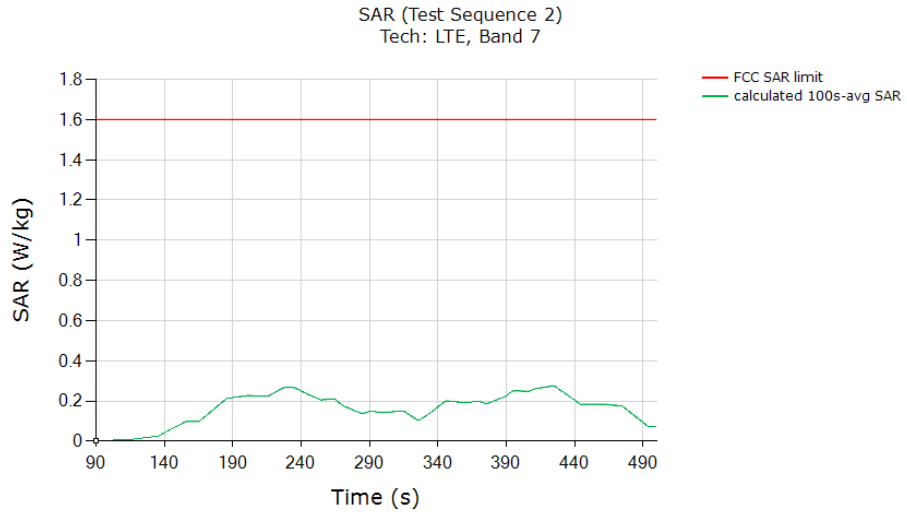
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.297
Validated: Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



SAR test results for test sequence 2:

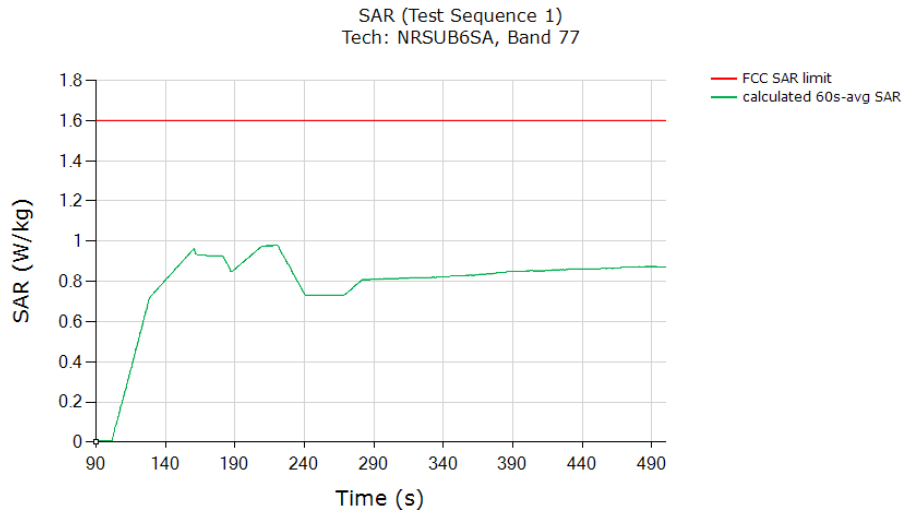


	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.275
<i>Validated:</i> Max time averaged SAR (green curve) does not exceed measured SAR at Plimit +1dB device uncertainty	



Sub6 NR Band 77 SAR test results

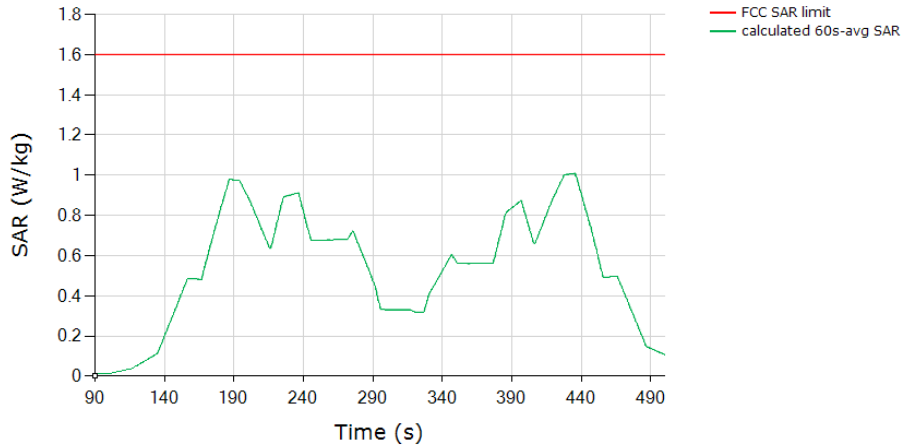
SAR test results for test sequence 1:



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.977
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	

SAR test results for test sequence 2:

SAR (Test Sequence 2)  
Tech: NRSUB6SA, Band 77

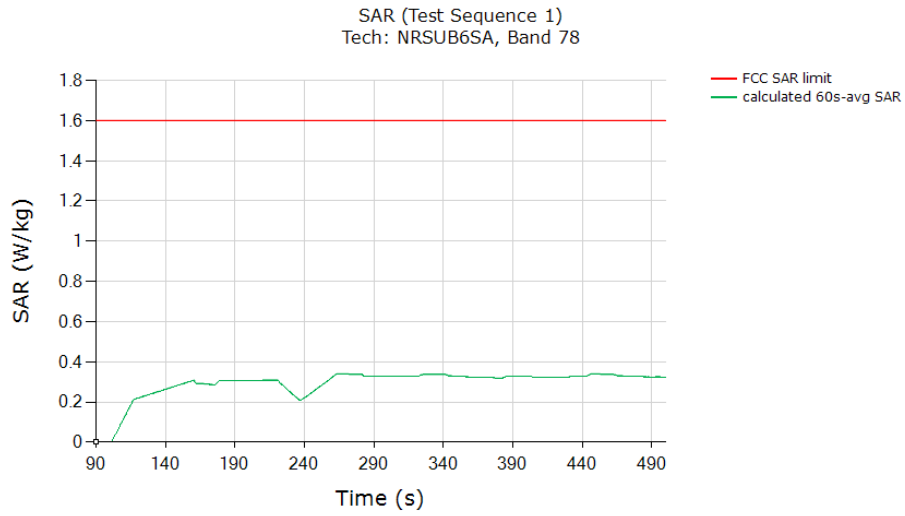


	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	1.007
<b>Validated:</b> Max time averaged SAR (green curve) is within +1dB ~ -1dB device uncertainty of 75% (with 3dB Reserve_Power_Margin) of SAR design target	



Sub6 NR Band 78 SAR test results

SAR test results for test sequence 1:

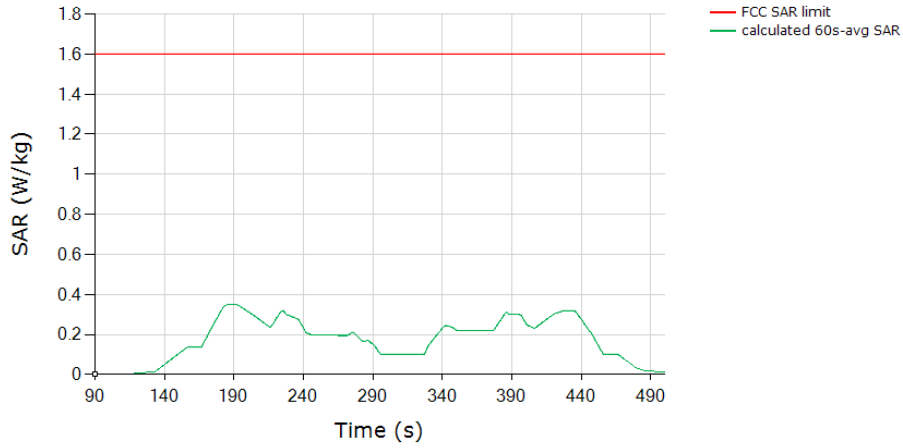


	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.338
Validated: Max time averaged SAR (green curve) does not exceed "75% (with 3dB Reserve_Power_Margin) of SAR design target +1dB device uncertainty"	



SAR test results for test sequence 2:

SAR (Test Sequence 2)  
Tech: NRSUB6SA, Band 78



	(W/kg)
FCC 1gSAR limit	1.6
Max 60s-time averaged 1gSAR (green curve)	0.350
Validated: Max time averaged SAR (green curve) is within +1dB ~ -1dB device uncertainty of 75% (with 3dB Reserve_Power_Margin) of SAR design target	



## **7. Conclusions**

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR and PD measurement. As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement.

## Appendix A. Test Sequences

1. Test sequence is generated based on below parameters of the EUT:

Measured maximum power ( $P_{max}$ )

Measured Tx\_power\_at\_SAR\_design\_target ( $P_{limit}$ )

Reserve\_power\_margin (dB)

- $P_{reserve}$  (dBm) = measured  $P_{limit}$  (dBm) – Reserve\_power\_margin (dB)

SAR\_time\_window (100s for FCC)

Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power =  $P_{max}$ ; low power =  $P_{max}/2$ , and the transition occurs after 80 seconds at high power  $P_{max}$ . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower  $P_{limit}$  for this test. The Test sequence 1 waveform is shown below:

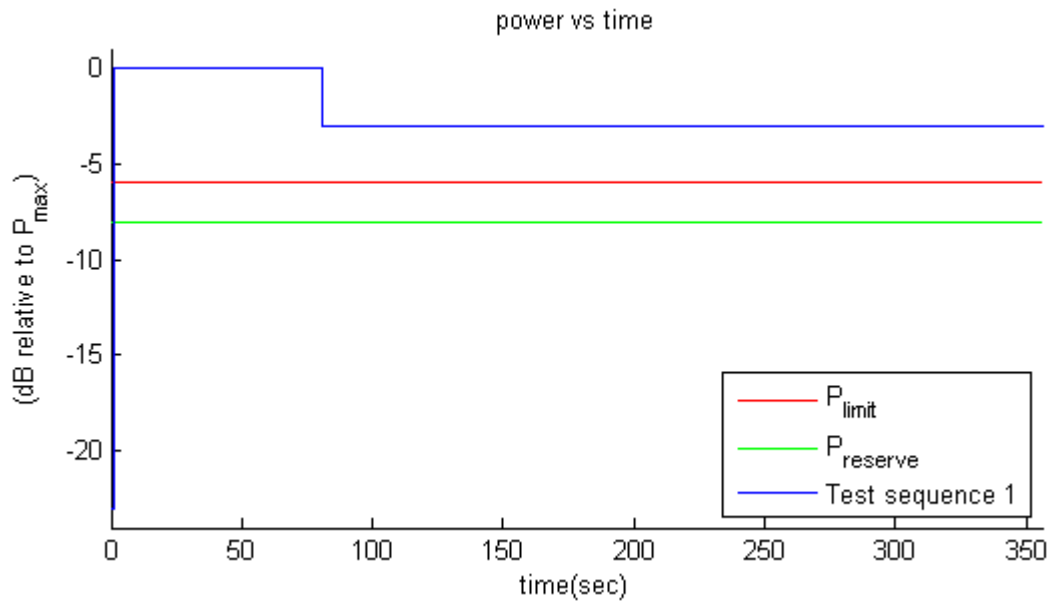


Figure A-1 Test sequence 1 waveform





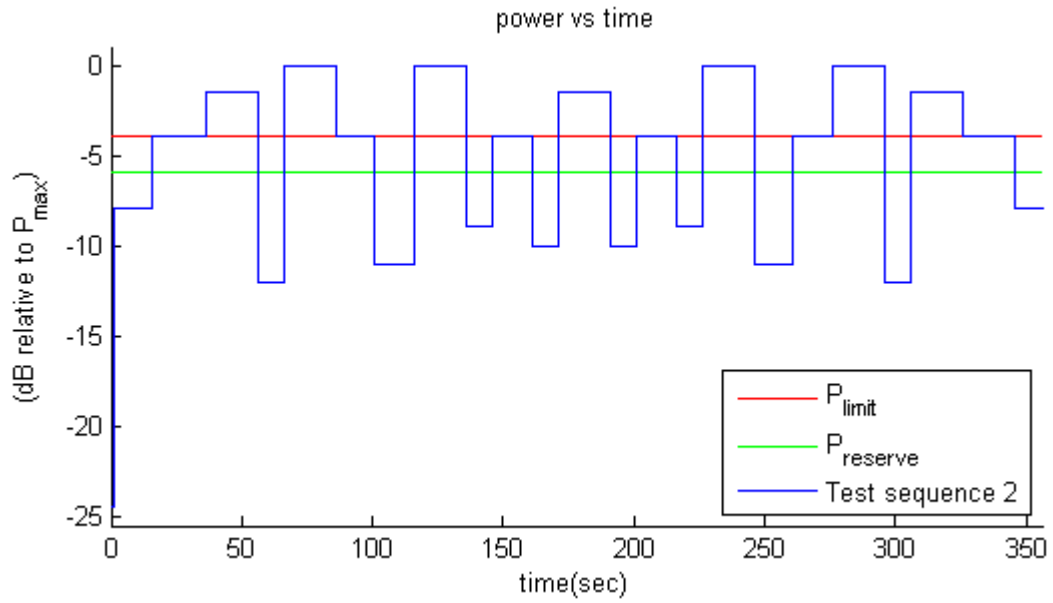
Test Sequence 2 Waveform:

Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

Table A-2 Test Sequence 2

Time duration (seconds)	dB relative to $P_{limit}$ or $P_{reserve}$
15	$P_{reserve} - 2$
20	$P_{limit}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 6$
20	$P_{max}$
15	$P_{limit}$
15	$P_{reserve} - 5$
20	$P_{max}$
10	$P_{reserve} - 3$
15	$P_{limit}$
10	$P_{reserve} - 4$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve} - 4$
15	$P_{limit}$
10	$P_{reserve} - 3$
20	$P_{max}$
15	$P_{reserve} - 5$
15	$P_{limit}$
20	$P_{max}$
10	$P_{reserve} - 6$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	$P_{limit}$
15	$P_{reserve} - 2$

The Test Sequence 2 waveform is shown in Figure A-2.



## **Appendix B. Test Procedures for sub6 NR + LTE Radio**

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

### **1 Time-varying Tx power test for sub6 NR in NSA mode**

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). Sub6 NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

### **2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission**

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### **Test procedure:**

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and sub6 NR in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to Sub6 NR  $P_{limit}$ . If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set *Reserve\_power\_margin* to actual (intended) value with EUT setup for LTE + Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all-down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.



3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1.
4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.
5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory  $1gSAR_{limit}$  of 1.6W/kg.

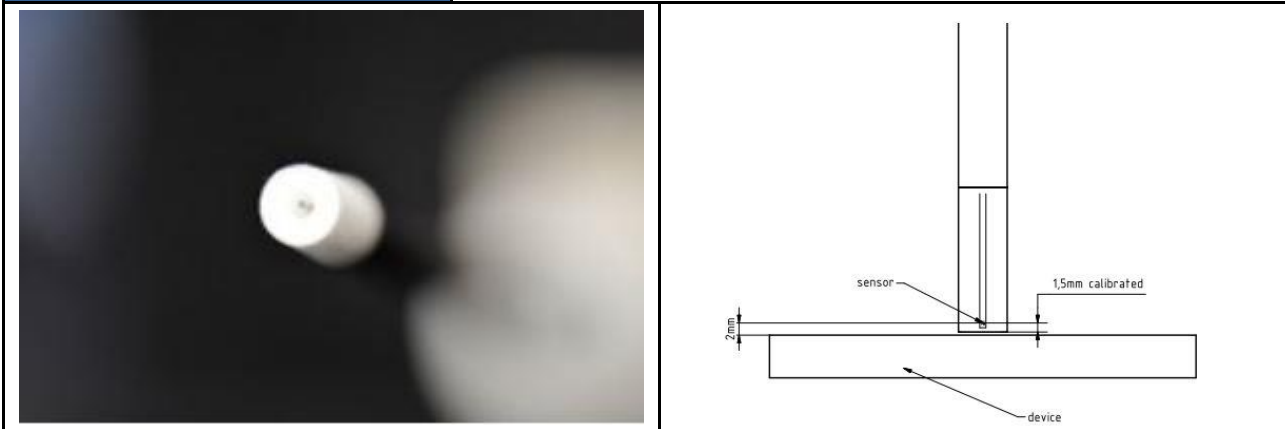
The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg.

## Appendix C. cDASY6 System Verification

### 1. EUmmWave Probe

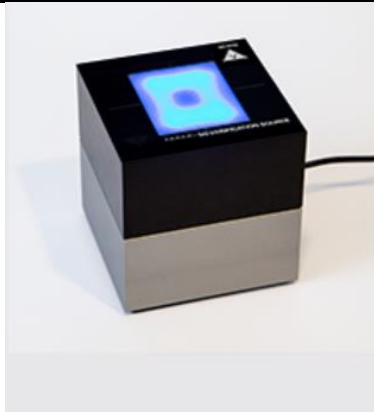
The probe design allows measurements at distances as small as 2 mm from the sensors to the surface of the device under test (DUT). The typical sensor to probe tip distance is 1.5 mm.

Frequency	750 MHz – 110 GHz
Probe Overall Length	320 mm
Probe Body Diameter	8.0 mm
Tip Length	23.0 mm
Tip Diameter	8.0 mm
Probe's two dipoles length	0.9 mm – Diode loaded
Dynamic Range	< 20 V/m - 10000 V/m with PRE-10 (min < 50 V/m - 3000 V/m)
Position Precision	< 0.2 mm
Distance between diode sensors and probe's tip	1.5 mm
Minimum Mechanical separation between probe tip and a Surface	0.5 mm
Applications	E-field measurements of 5G devices and other mm-wave transmitters operating above 10GHz in < 2 mm distance from device (free-space) Power density, H-field and far-field analysis using total field reconstruction.
Compatibility	cDASY6 + 5G-Module SW1.0 and higher




### 2. EUMmWave Verification source

Model	Ka-band horn antenna
Calibrated frequency:	30 GHz at 10mm from the case surface
Frequency accuracy	± 100 MHz
E-field polarization	linear
Harmonics	-20 dBc
Total radiated power	14 dBm
Power stability	0.05 dB
Power consumption	5 W
Size	100 x 100 x 100 mm
Weight	1 kg



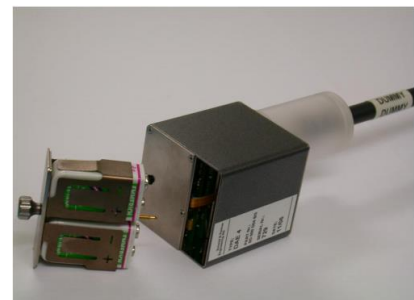
### 3. SAR E-Field Probe

Construction	Symmetric design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)	
Frequency	10 MHz – >6 GHz Linearity: ±0.2 dB (30 MHz – 6 GHz)	
Directivity	±0.3 dB in TSL (rotation around probe axis) ±0.5 dB in TSL (rotation normal to probe axis)	
Dynamic Range	10 µW/g – >100 mW/g Linearity: ±0.2 dB (noise: typically <1 µW/g)	
Dimensions	Overall length: 337 mm (tip: 20 mm) Tip diameter: 2.5 mm (body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

### 4. Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.

The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.





5. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
SPEAG	1750MHz System Validation Kit	D1750V2	1068	Nov. 25, 2021	Nov. 24, 2022
SPEAG	1900MHz System Validation Kit	D1900V2	5d041	Aug. 19, 2021	Aug. 18, 2022
SPEAG	2600MHz System Validation Kit	D2600V2	1008	Aug. 17, 2021	Aug. 16, 2022
SPEAG	3500MHz System Validation Kit	D3500V2	1014	Jan. 17, 2022	Jan. 16, 2023
SPEAG	Data Acquisition Electronics	DAE4	1424	Jan. 20, 2022	Jan. 19, 2023
SPEAG	Data Acquisition Electronics	DAE4	1647	Jan. 19, 2022	Jan. 18, 2023
SPEAG	Dosimetric E-Field Probe	EX3DV4	3642	Apr. 26, 2021	Apr. 25, 2022
SPEAG	Dosimetric E-Field Probe	EX3DV4	3728	Mar. 02, 2022	Mar. 01, 2023
Keysight	5G Wireless Test Platform	E7515B	MY59321821	Apr. 06, 2021	Apr. 05, 2022
R&S	Base Station	CMW500	169351	Sep. 07, 2021	Sep. 06, 2022
R&S	Power Sensor	NRP50S	100983	Sep. 29, 2021	Sep. 28, 2022
R&S	Power Sensor	NRP8S	109688	Sep. 15, 2021	Sep. 14, 2022
Testo	Hygro meter	608-H1	45196600	Oct. 22, 2021	Oct. 21, 2022
Testo	Hygro meter	608-H1	45207528	Oct. 22, 2021	Oct. 21, 2022
Anritsu	Signal Generator	MG3710A	6201502524	Oct. 21, 2021	Oct. 20, 2022
Anritsu	Power Meter	ML2495A	1419002	Aug. 18, 2021	Aug. 17, 2022
Anritsu	Power Sensor	MA2411B	1911176	Aug. 18, 2021	Aug. 17, 2022
Anritsu	Power Meter	ML2495A	1804003	Oct. 09, 2021	Oct. 08, 2022
Anritsu	Power Sensor	MA2411B	1726150	Oct. 09, 2021	Oct. 08, 2022
Mini-Circuits	Power Amplifier	ZVE-8G+	479102029	Sep. 06, 2021	Sep. 05, 2022
Mini-Circuits	Power Amplifier	ZHL-42W+	321501827	Sep. 06, 2021	Sep. 05, 2022
Warison	10-50 GHz Directional Coupler	WCOU-10-50S-10	WR889BMC481	Note 1	
ATM	500M-18GHz Dual Directional Coupler	C122H-10	P610410z-02	Note 1	
Woken	Attenuator 1	WK0602-XX	N/A	Note 1	
Woken	Attenuator 2	PE7005-10	N/A	Note 1	
Woken	Attenuator 3	PE7005-3	N/A	Note 1	

General Note:

1. Prior to system verification and validation, the path loss from the signal generator to the system check source and the power meter, which includes the amplifier, cable, attenuator and directional coupler, was measured by the network analyzer. The reading of the power meter was offset by the path loss difference between the path to the power meter and the path to the system check source to monitor the actual power level fed to the system check source.
2. The dipole calibration interval can be extended to 3 years with justification according to KDB 865664 D01. The dipoles are also not physically damaged, or repaired during the interval. The justification data in appendix C can be found which the return loss is < -20dB, within 20% of prior calibration, the impedance is within 5 ohm of prior calibration for each dipole.

## 6. SAR system verification and validation

### 6.1 Tissue Verification

The following tissue formulations are provided for reference only as some of the parameters have not been thoroughly verified. The composition of ingredients may be modified accordingly to achieve the desired target tissue parameters required for routine SAR evaluation.

Frequency (MHz)	Water (%)	Sugar (%)	Cellulose (%)	Salt (%)	Preventol (%)	DGBE (%)	Conductivity ( $\sigma$ )	Permittivity ( $\epsilon_r$ )
750	41.1	57.0	0.2	1.4	0.2	0	0.89	41.9
835	40.3	57.9	0.2	1.4	0.2	0	0.90	41.5
900	40.3	57.9	0.2	1.4	0.2	0	0.97	41.5
1800, 1900, 2000	55.2	0	0	0.3	0	44.5	1.40	40.0
2450	55.0	0	0	0	0	45.0	1.80	39.2
2600	54.8	0	0	0.1	0	45.1	1.96	39.0

#### Simulating Liquid for 5GHz, Manufactured by SPEAG

Ingredients	(% by weight)
Water	64~78%
Mineral oil	11~18%
Emulsifiers	9~15%
Additives and Salt	2~3%

#### <Tissue Check Results>

Frequency (MHz)	Liquid Temp. (°C)	Conductivity ( $\sigma$ )	Permittivity ( $\epsilon_r$ )	Conductivity Target ( $\sigma$ )	Permittivity Target ( $\epsilon_r$ )	Delta ( $\sigma$ ) (%)	Delta ( $\epsilon_r$ ) (%)	Limit (%)	Date
1750	22.5	1.370	40.300	1.37	40.10	0.00	0.50	±5	2022/03/22
1900	22.5	1.430	41.000	1.40	40.00	2.14	2.50	±5	2022/03/22
2600	22.5	1.970	38.500	1.96	39.00	0.51	-1.28	±5	2022/03/22
3500	22.5	2.960	37.800	2.91	37.90	1.72	-0.26	±5	2022/03/22

## 6.2 System Verification

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10 %. Below table shows the target SAR and measured SAR after normalized to 1W input power. The table below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix C.

#### <System Verification Results>

Test Site	Date	Frequency (MHz)	Input Power (mW)	Dipole S/N	Probe S/N	DAE S/N	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
SAR01	2022/03/22	1750	250	D1750V2-1068	EX3DV4 - SN3642	DAE4 Sn1647	9.41	36.60	37.64	2.84
SAR01	2022/03/22	1900	250	D1900V2-5d041	EX3DV4 - SN3642	DAE4 Sn1647	9.99	40.60	39.96	-1.58
SAR01	2022/03/22	2600	250	D2600V2-1008	EX3DV4 - SN3642	DAE4 Sn1647	14.97	58.00	59.88	3.24
SAR06	2022/03/22	3500	100	D3500V2-1014	EX3DV4 - SN3728	DAE4 Sn1424	7.24	67.20	72.4	7.74